Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning Nov 1, 2013, and ending Oct 31, 20 14

Name of foundation: The Barden Foundation Inc

A Employer identification number: 06-6054855

Number and street (or P O box number if mail is not delivered to street address): 1146 Barnum Ave | Room/suite:

B Telephone number (see instructions): 2033367532

City or town, state or province, country, and ZIP or foreign postal code: Bridgeport, CT 06811

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 6,064,112

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc, received (attach schedule)				
	2	Check ▶ ☐ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	120,609	120,609		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		166,770		
	8	Net short-term capital gain			‹53›	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) Misc.			166	
	12	**Total.** Add lines 1 through 11	120,609	287,379	113	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	153			153
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	4852			4852
	22	Printing and publications				
	23	Other expenses (attach schedule)	22076	18,428		3648
	24	**Total operating and administrative expenses.** Add lines 13 through 23				
	25	Contributions, gifts, grants paid	272,500			272,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	299,581	18,428		281,153
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	‹178,972›			
	b	**Net investment income** (if negative, enter -0-)		268,951		
	c	**Adjusted net income** (if negative, enter -0-)			‹113›	

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Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	85161	78061	78,061
2	Savings and temporary cash investments			
3	Accounts receivable ▶			
	Less· allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less. allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S. and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	2869560	3,598,196	4813982
c	Investments—corporate bonds (attach schedule)	1918626	1168888	1,172069
11	Investments—land, buildings, and equipment· basis ▶			
	Less· accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment: basis ▶			
	Less. accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	4,873,347	4845145	6.064,112
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	4,873,347	4845,145	
30	**Total net assets or fund balances** (see instructions)	4,873,347	4,845,145	
31	**Total liabilities and net assets/fund balances** (see instructions)	4873,347	4845145	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,873347
2	Enter amount from Part I, line 27a	2	(178972)
3	Other increases not included in line 2 (itemize) ▶ Capital Gains	3	166770
4	Add lines 1, 2, and 3	4	4,861,145
5	Decreases not included in line 2 (itemize) ▶ Federal taxes	5	(16,000)
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,845145

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	166770
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	〈53〉

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	267,173	5,559,717	.04805
2011	284,760	5,240,007	.05434
2010	280,534	5,204,007	.05391
2009	250,111	4919,097	.05084
2008	210,897	4311,877	.06204

2	**Total** of line 1, column (d)	2	.26913
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.053826
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	5953,943
5	Multiply line 4 by line 3	5	320,477
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2990
7	Add lines 5 and 6	7	323467
8	Enter qualifying distributions from Part XII, line 4	8	281153

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter: ______ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	5379	—
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	5379	—
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	5379	—
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 8000			
b	Exempt foreign organizations—tax withheld at source — 6b			
c	Tax paid with application for extension of time to file (Form 8868) — 6c			
d	Backup withholding erroneously withheld — 6d			
7	Total credits and payments. Add lines 6a through 6d	7	8000	
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9		
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2621	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 2,621 **Refunded** ▶	11		

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ Ø **(2)** On foundation managers ▶ $ Ø			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ Ø			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Delaware, Connecticut	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N A			
14	The books are in care of ▶ The Borden Corporation Telephone no. ▶ 203 336 4532 Located at ▶ 1146 Barnum Ave, Bridgeport CT ZIP+4 ▶ 06610-0156			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☒			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☐ No If "Yes," list the years ▶ 20___, 20___, 20___, 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___, 20___, 20___, 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to·			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☐ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☐ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☐ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☐ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☐ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☐ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
	See Sc	hedule	Attached	

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
	None			
Total number of other employees paid over $50,000 ▶				

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
	None	
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 Contributions to other charitable foundations	202,500
2 Scholarships	70,000
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	Ø
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	5,977,103
b	Average of monthly cash balances	1b	67,509
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	6044612
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	6044612
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	90,669
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5953 943
6	**Minimum investment return.** Enter 5% of line 5	6	297697

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	297697
2a	Tax on investment income for 2013 from Part VI, line 5	2a	5,379		
b	Income tax for 2013. (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	5379
3	Distributable amount before adjustments Subtract line 2c from line 1			3	292,318
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	292,318
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	292,318

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	281 153
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	281,153
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	281,153

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				
2	Undistributed income, if any, as of the end of 2013:				
a	Enter amount for 2012 only				
b	Total for prior years. 20___,20___,20___				
3	Excess distributions carryover, if any, to 2013:				
a	From 2008 ... 1192				
b	From 2009 ... 6842				
c	From 2010 ... 30423				
d	From 2011 ... 27827				
e	From 2012 ... —				
f	**Total** of lines 3a through e	66284			
4	Qualifying distributions for 2013 from Part XII, line 4. ▶ $ 281153				
a	Applied to 2012, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2013 distributable amount				292,318
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	66284			
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see instructions				
e	Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				
f	Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	1192			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	65809			
10	Analysis of line 9:				
a	Excess from 2009 ... 6842				
b	Excess from 2010 ... 30423				
c	Excess from 2011 ... 27,827				
d	Excess from 2012 ... —				
e	Excess from 2013 ... —				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . ▶ N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter. (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter. (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

holarship: The Scholarship Committee, The Barden Foundation, Inc., 200 Park Ave. Danbury, CT 06810
ther requests: Robin Bergman, The Barden Foundation, Inc., 1146 Barnum Ave, Bridgeport, CT 06610

b The form in which applications should be submitted and information and materials they should include.

cholarships: See Attached
ther requests: A letter outlining the organizations goals, requirements, recent financial statements

c Any submission deadlines.

March 31 for Scholarships, Jan 1 for other requests

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Some scholarships are restricted to son's and daughter's of The Barden Corporation, PEP Lacey Manufacturing Company LLC, Winsted Precision Ball Company, Inc.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Schedules Attached			Contributions	202,500
			Scholarships	70,000
Total ▶ 3a				272,500
b *Approved for future payment*				
See Schedule Attached				338,000
Total ▶ 3b				338,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	120,609	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				120,609	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 120,609

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	Not Applicable

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		Not Applicable	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Thomas [signature] 3/13/2015 President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ►			Firm's EIN ►	
Firm's address ►			Phone no	

The Barden Foundation, Inc
Part IV Capital Gains and Losses for Tox on Investment Income

(a) Security	Shares/ Face Value	(B) How Acquired	© Date Acquired	(d) Date sold	(e) Sales Price	(g) Cost	(h) &(l) Gain/Loss	Gain/Loss Short-term	Gain/Loss Long-term
Short-Term Invest - Gr Adm	428 33	Purchase	Various	1/27/014	4,596 00	4,626 69	(30 69)	(30 69)	
Short-Term Invest - Gr Adm	254 93	Purchase	Various	4/28/2014	2,735 42	2,741 63	(6 21)	(6 21)	
Short-Term Invest - Gr Adm	429 16	Purchase	Various	7/28/2014	4,609 18	4,619 80	(10 62)	(10 62)	
Short-Term Invest - Gr Adm	425 82	Purchase	Various	10/27/2014	4,577 57	4,583 16	(5 59)	(5 59)	
Short-Term Invest - Gr Adm	177 98	Purchase	Various	4/28/2014	1,909 77	1,925 25	(15 48)		(15 48)
Total Intern Stock Index Admiral	2,145 03	Purchase	Various	6/16/2014	62,742 06	54,440 41	8,301 65		8,301 65
Total Stock Mkt IDX Adm	206 88	Purchase	3/21/2013	6/12/2014	10,100 00	8,031 16	2,068 84		2,068 84
Total Stock Mkt IDX Adm	1,116 35	Purchase	3/21/2013	6/12/2014	54,500 00	40,003 39	14,496 61		14,496 61
Total Stock Mkt IDX Adm	159 21	Purchase	6/22/2012	6/12/2014	7,772 63	5,282 59	2,490 04		2,490 04
Total Intnl Stook IDX ADM	610 53	Purchase	Various	6/12/2014	17,857 94	14,946 17	2,911 77		2,911 77
Total Intnl Stook IDX ADM	809 66	Purchase	Various	6/12/2014	39,527 37	24,274 95	15,252 42		15,252 42
Total Intnl Stook IDX ADM	5,940 19	Purchase	Various	6/12/2014	290,000 00	178,097 74	111,902 26		111,902 26
					500,927 94	343,572 94	157,355 00	(53 11)	157,408 11

The Barden Foundtion Inc. #06-6054855

For The Year Ended October 31, 2014

Scholarships

		Amount
1/7/2014	Northeastern University - Victoria Asman	1500.00
1/7/2014	University of Connecticut - Amanda Savath	1500 00
1/7/2014	Hampton University - Qurisha Young	1500.00
1/7/2014	Western CT State University - Tanya Cambronero	1500 00
1/7/2014	Binghamton University - Gregory Ostner	1500 00
1/7/2014	University of Albany - Kristen Roberts	1500.00
1/7/2014	University of Connecticut - Adnan Tahirovic	1500.00
1/7/2014	University of Connecticut - Katharine Wajda	1500.00
1/7/2014	Iona College - Aida Morales	1500.00
1/7/2014	Western CT State University - Jennifer Petronella	1500 00
1/7/2014	Western CT State University - Erica Schlemmer	1500.00
1/7/2014	University of CT - Kendra Thomas	1500.00
1/7/2014	St Bonaventure University - Kevin Veeley	1500.00
1/7/2014	Rochester Institute of Technology - Carvey Yung	1500.00
1/7/2014	University of CT - Anastasia Zagurskaya	1500 00
1/7/2014	Johnson & Wales University - Nichole Cibu	1500 00
1/7/2014	Central CT State University - Alyssa Garcia	1500.00
1/7/2014	St. Peters University - Alexandra Marques	1500.00
1/7/2014	Western CT State University - Edward Tapia	1500 00
1/7/2014	University of CT - Haley Barnum	1500 00
5/19/2014	NVCC (Scholarship)	2000 00
6/18/2014	Western CT State University - Tanya Cambronero	1500 00
6/18/2014	Binghamton University - Gregory Ostner	1500 00
6/18/2014	University of Albany - Kristen Roberts	1500 00
6/18/2014	University of Connecticut - Adnan Tahirovic	1500 00
6/18/2014	University of Connecticut - Katarina Wajda	1500 00
6/18/2014	Iona College - Aida Morales	1500 00
6/18/2014	Western CT State University - Jennifer Petronella	1500.00
6/18/2014	Western CT State University - Erica Schlemmer	1500.00
6/18/2014	University of CT - Kendra Thomas	1500 00
6/18/2014	St. Bonaventure University - Kevin Veeley	1500 00
6/18/2014	Rochester Institute of Technology - Carvey Yung	1500 00
6/18/2014	University of CT - Anastasia Zagurskaya	1500 00
6/18/2014	Johnson & Wales University - Nichole Cibu	1500 00
6/18/2014	Central CT State University - Alyssa Garcia	1500.00
6/18/2014	St. Peter's University - Alexandra Marques	1500.00
6/18/2014	Western CT State University - Edward Tapia	1500 00
6/18/2014	So. New Hampshire University - Madeline Masi	1500 00
6/18/2014	Sacred Heat University - Sarah Phonthaphanh	1500.00
6/18/2014	Western CT State University - Gimayna Vazquez Paulino	1500 00
6/18/2014	Fordham University - Wendy Young	1500 00
9/10/2014	Northwestern CT Community College (Scholarship)	500 00
9/10/2014	Seton Hall University - Marcia Oliveria	1500.00
		70000.00

The Barden Foundation, Inc.
2014 Actual

	2014 Budget	2014 Actual
1. Health and Welfare Agencies		
A United Way:		
United Way of Western Connecticut	25,000	25,000
United Way of Northwest Connecticut, Inc.	15,000	15,000
United Way of Coastal Fairfield County	15,000	15,000
Subtotal	**55,000**	**55,000**
B National Health Agencies		
American Cancer Society - Danbury & Bridgeport	10,000	10,000
American Red Cross - CT	15,000	15,000
Subtotal	**25,000**	**25,000**
C. Hospitals and Nursing Home		
Danbury Hospital Development Fund, Inc. - WCHN	25,000	25,000
New Milford Hospital Foundation, Inc. - WCHN	2,000	2,000
St Vincent's Medical Centerl Foundation	2,000	2,000
Bridgeport Hospital Foundation, Inc.	22,000	22,000
Norma Phrem Breast Cancer Center	2,000	2,000
Subtotal	**53,000**	**53,000**
D Youth Agencies:		
BAYM, Inc.	1,000	1,000
Cardinal Shehan Center	1,000	1,000
Connecticut Yankee Council BSA	3,000	3,000
Girl Scout Council of Connecticut	3,000	3,000
Junior Achievement of Western CT., Inc.	1,000	1,000
McGiveney Community Center	1,000	1,000
Winchester Youth Service Bureau	1,000	1,000
Colebrook Recreation Department	1,000	1,000
Subtotal	**12,000**	**12,000**

E. Local Health and Welfare Agencies.

The Barden Foundation, Inc.
2014 Actual

Foothill Visiting Nurse Service	2,000	2,000
Americares Free Clinics Danbury & Bridgeport	4,000	4,000
Visiting Nurse Services of CT., Inc.	2,000	2,000
Ability Beyond	7,000	7,000
Subtotal	**15,000**	**15,000**
Total Health, Youth and Welfare Agencies	**160,000**	**160,000**
2 Education		
WCSU	5,000	8,000
Housatonic CC Manufacturing Alliance	5,000	5,000
Scholarships	74,000	70,000
Total Education	**84,000**	**83,000**
3. Special		
Regional Y M C A of Western Connecticut	5,000	5,000
Winsted Area Child Care Center, Inc	10,000	10,000
Northwest Connecticut YMCA	2,000	2,000
Prime Time House	1,000	1,000
Connecticut Special Olympics, Inc.	2,000	2,000
Ct Zoological Society	1,000	1,000
Town of Winchester Recreation Department	2,000	2,000
399th Composite Squadron Civil Air Patrol	2,000	2,000
Ann's Place	2,000	2,000
Bridgeport YMCA	2,000	2,000
Subtotal	**29,000**	**29,000**
Miscellaneous	5,000	500
Subtotal	**5,000**	**500**
Total Contributions & Scholarships	**278,000**	**272,500**

The Barden Foundation, Inc.
2015 Budget

	2015 Budget
1. Health and Welfare Agencies	
A United Way	
United Way of Western Connecticut	25,000
United Way of Northwest Connecticut, Inc.	15,000
United Way of Coastal Fairfield County	15,000
Subtotal	**55,000**
B National Health Agencies.	
American Cancer Society - Danbury & Bridgeport	10,000
American Red Cross - CT	15,000
Subtotal	**25,000**
C Hospitals and Nursing Home	
Danbury Hospital Development Fund, Inc - WHCN	25,000
New Milford Hospital Foundation, Inc. - WCHN	2,000
St Vincent's Medical Centerl Foundation	2,000
Norma Phrem Breast Cancer Center	2,000
Bridgeport Hospital Foundation, Inc	24,000
Subtotal	**55,000**
D. Youth Agencies	
BAYM, Inc	1,000
Cardinal Shehan Center	2,000
Connecticut Yankee Council BSA	3,000
Girl Scout Council of Connecticut	3,000
Junior Achievement of Western CT , Inc	1,000
McGiveney Community Center	2,000
Winchester Youth Service Bureau	1,000
Colebrook Recreation Department	2,000
Subtotal	**15,000**
E. Local Health and Welfare Agencies	

The Barden Foundation, Inc.
2015 Budget

Foothill Visiting Nurse Service	2,000
Americares Free Clinics Danbury & Bridgeport	5,000
Visiting Nurse Services of CT , Inc	2,000
Ability Beyond	8,000
Subtotal	**17,000**
Total Health, Youth and Welfare Agencies	**167,000**
2. Education	
WCSU	5,000
Housatonic CC Manufacturing Alliance	8,000
Scholarships	74,000
Total Education	**87,000**
3 Special	
Regional Y M C A of Western Connecticut	5,000
Winsted Area Child Care Center, Inc.	10,000
Northwest Connecticut YMCA	2,000
Prime Time House	1,000
Connecticut Special Olympics, Inc	2,000
Ct Zoological Society	1,000
Town of Winchester Recreation Department	2,000
399th Composite Squadron Civil Air Patrol	2,000
Ann's Place	2,000
Bridgeport YMCA	2,000
Subtotal	**29,000**
4 Miscellaneous	5,000
5. Special one time contribution	50,000
Subtotal	**5,000**
Total Contributions & Scholarships	**338,000**

STATEMENT REGARDING OFFICERS, COMPENSATION, ETC.

2013 Form 990PF - Part VIII - Item 1

	Title & Avg. Hrs. Per Wk. Devoted to Position	Contri. To Empl. Benefit Plans	Exp. Acct. Other All.	Comp.
Robin Bergman 64 Deer Run Rd Watertown, CT 06795	Treas./Secr./Trustee PT	0	0	0
Thomas F. Loughman 34 Hawley Road Ext. Danbury, CT 06811	President PT	0	0	0
Stanley Noss 3303 La Posada Drive Palm Beach Gardens, FL 33418	Trustee PT	0	0	0
Robert P. More 715 Pinehurst Way Palm Beach Gardens, FL 33418	Trustee PT	0	0	0
Robert Davis 113 St Charles Court Abita Springs, LA 70420	Trustee	0	0	0
Jeannine Frink 11 Ruth Crossroad Winsted, CT 06098	Trustee PT	0	0	0

#06-605485

THE BARDEN FOUNDATION, INC
FOR THE YEAR ENDED OCTOBER 31, 2014
MISC EXPENSES

	AMOUNT
Fees For Investment Accounts	18,428
CSC Netwok Representation	175
Insurance	2,496
Supplies & Postage	
Storage	
	977

TOTAL MISCELLANEOUS EXPENSES	22,076
	=========

THE BARDEN FOUNDATION, INC
FOR THE YEAR ENDED OCTOBER 31, 2014
TAXES

Delaware Franchise Tax	153
	153
	=========

THE BARDEN FOUNDATION, INC.

IDENTIFICATION #06-6054855

SUPPLEMENTARY INFORMATION

SCHOLARSHIP PROGRAM

2013 FORM 990PF - PART XV - LINE 2B

Eligibility requirements for anyone applying for a Barden Foundation Scholarship are described in the enclosed brochure, "The Barden Foundation, Inc. Scholarship Award Program."

Also enclosed are the application forms:

1. Application for Scholarship to be completed by the candidate.

2. High School Certification to be completed by the candidate's high school principal.

SCHOLARSHIP AWARD PROGRAM

The Scholarship Award Program was created to provide an opportunity for sons and daughters of employees of divisions of The Barden Corporation to obtain financial assistance for a college education.

A Scholarship Award provides up to $3000. per year depending on college charges. Each award will be made on the basis of the eligibility requirements stated below.

An Impartial Scholarship Committee will review applications and make final award decisions. A scholarship will not be granted in a year that, in the judgment of the Committee, no candidate qualifies.

Eligibility requirements

In order to be eligible for a Scholarship Award, a candidate must:

1. In the opinion of the judges, be of good moral character.
2. Be a son or daughter of a person employed by a division of The Barden Corporation at the time the Scholarship Award is given. This requirement may be waived in extenuating or unusual circumstances in case of reapplication for continuing scholarship assistance.
3. Expect to graduate from a secondary school in the school year in which the scholarship is granted and enter an accredited college or university in the next school year.
4. Take College Board Entrance Examinations in December of the school year in which the application is made.
5. At least once each academic year while receiving a Scholarship Award, the candidate must have a brief meeting with an Officer of the Corporation or Trustee of the Foundation.

Scholarship award recipients must send to the Scholarship Committee an official transcript of their academic and attendance records at the end of each term. In addition, they may reapply annually in writing for continuing assistance, based on their academic record and financial need, if they are attending an accredited college or university as undergraduates and meet the requirements of item (2) above.

Filing applications

Candidates applying for scholarship assistance must file their completed applications with the Scholarship Committee, The Barden Corporation, Corporate Headquarters, 200 Park Avenue, Danbury, Conn., 06810 not later than April 1 of the final year of a secondary school.

Awarding of scholarship

In determining the awards, the Scholarship Committee will weigh the relative merits of the applicants based upon scholastic achievement, participation in school activities, character and financial need.

The Scholarship Committee will make its decision in the spring of the school year in which the application is made. A decision will be made no later than the first week in May. Certification by the college or university to which the applicant has been admitted will be necessary before the actual award can be made. The award will be made directly to the college or university in the name of the scholarship recipient.

A candidate receiving scholarship assistance must notify the Scholarship Committee of acceptance within ten days from the date of granting of the scholarship.

The Barden Foundation Scholarship Fund

Application for Scholarship

Typewrite or print in ink all information in items 1-22. Answer items 23 and 24 in your own handwriting

Mail completed form to the Scholarship Committee, The Barden Foundation, 200 Park Avenue, Danbury, Connecticut 06810

I hereby apply for a scholarship under the Scholarship Program of the Barden Foundation, and submit the following information:

1. This application is for a scholarship to commence in the __________ semester of 20__
2. Name (give last name first): Mr./Ms. ______________________________
3. Full home address: ______________________________ Phone: ______
4. Birth date: ______________
5. Is your father living? Yes ☐ No ☐ Is your mother living? Yes ☐ No ☐
6. Full name and address of Barden employee on whose employment status you wish to place your application: Name (give last name first): ______________________________

   Complete street address ______________________________ Phone ______

7. List the names of persons living in your home as part of the family group:

   Father ______________________ Mother ______________________

   Brothers (give age of each) ____________ Sisters (give age of each) ____________

   ______________________ ______________________

   Other persons ______________________________

8. Did you earn any money while in high school (including summers)? Yes ☐ No ☐

   How much did you earn in total? ______________ How did you earn it?

   ____

   ____

9. Describe in your own words your work activities during the past three years either for your family, at home or for outside employers: ______

______

______

10. All high schools and other secondary schools attended:

Name of school	City and State	Attendance dates Start date	End date	Graduation date	Principal

Important: If you have earned credits in high schools other than the one you are now attending (or last attended) be sure that all grades and credits earned there are included in your high school record to be furnished by your last school principal or high school certification.

11. What subjects have you found most interesting in your high school work?

First: ______ Second: ______

12. Do you feel that your high school grades are an accurate index of your ability? Yes [ ] No [ ]

Comments: ______

______

______

13. What special recognition have you received for outstanding schoolwork such as honors, prizes, or scholarships? ______

______

14. Specify what part you have played in high school activities such as class or other school offices held, band or orchestra, athletics, dramatics, debate or oratory, school publications, pep club, etc.

Activity	Position held	Hours spent per week	Year of participation Fr.	Soph.	Jr.	Sr.

15. Specify what part you have played in *organized out-of-school activities* such as rank attained as Boy or Girl Scout, 4-H Club work, church organizations, etc.

Activity	Position held	Hours spent per week	Year of participation Fr.	Soph.	Jr	Sr.

16. What are your favorite sports and recreational activities? ______________________

____

17. What are your hobbies? ______________________

18. What special recognition have you received in connection with your recreational activities or hobbies?

____

____

19. List the titles and authors of some of the books you have read during the past year outside of school requirements. ______________________

____

20. In what college or university do you wish to enroll?

First choice ____________ Second choice ____________ Third choice ____________

> Note: Your choice of college will in no way affect your chances of winning a scholarship.

21. Where do you plan to live at college?

At home ____________ With friends or relatives ____________ Dormitory ____________

Rented room in private home ____________ Other (specify) ____________

22. What general course of study do you plan to take? ______________________

____

____

23. State in your own handwriting what your education objectives are, what you want to prepare yourself for by attending college, and the reasons why you have decided on these things

24. Since it will not be possible to have personal interviews with all applicants, write in your own handwriting anything that you would like to say if you were talking to the Scholarship Committee.

Certification

I certify that all statements contained in the foregoing application are true and correct, that I have read the leaflet "The Barden Foundation Scholarship Fund," and that I believe myself eligible to apply for a scholarship under the provisions and conditions it contains. I have personally delivered the High School Certification form and return envelope to my high school principal or guidance counselor.

Signed: ______________________

Date: ______________________

The Barden Foundation Scholarship Fund

High School Certification

As an applicant for a Barden Foundation Scholarship to commence in the ________________ semester of 20___, I hereby request that you, as my High School Principal, complete this form and mail it as indicated below.

____
Name of applicant (last name, first name, middle initial)

____
Address

____

____

____
Applicant Signature

This section to be completed by the high school principal or guidance counselor and mailed in the attached return envelope to the Scholarship Committee, The Barden Foundation, 200 Park Avenue, PO Box 2449, Danbury, Connecticut 06813.

1. Name of high school ____

2. Street address of high school ______________________________________

3. Entrance date of this applicant at this high school (month and year): ____________

4. This applicant is expected to graduate in (month and year): ________________

5. Based on his / her three years of high school work the applicant ranked, at the end of his junior year, number __________ from the top in a class of ____________ members.

6. In what connection have you known this applicant? ____________________

7. Has the applicant shown an outstanding scholastic aptitude of any kind? Yes [ ] No [ ]

   Comments: ____

   ____

8. Has the applicant shown an outstanding scholastic shortcoming of any kind? Yes [ ] No [ ]

   Comments: ____

   ____

9. Has the applicant attained any scholastic honors?

Yes ☐ No ☐ Comments: ________________

10. Has the applicant ever been a serious disciplinary problem, either scholastically or otherwise?

Yes ☐ No ☐ Comments: ________________

11. Has the applicant's attendance record been entirely satisfactory?

Yes ☐ No ☐ Comments: ________________

12. In your opinion, has this student been working up to the true level of his/her ability?

Yes ☐ No ☐ Comments: ________________

13. Have the applicant's studies been seriously affected by outside work, illness, or other factors?

Yes ☐ No ☐ Comments: ________________

14. Specify what part the applicant has played in high school activities such as class or other school offices held, band or orchestra, athletics, dramatics, debate or oratory, school publications, pep club, etc.

Activity	Position of applicant	Hours per week	Year of participation				Contributions to success or failure of this activity		
			Fr.	Soph.	Jr.	Sr.	Large	Small	Indiff.

15. Applicant's scores on standard objective achievement tests, personality tests and vocational preference tests are desired if available. Include any scores from General Education Development Test.

Name of test	Form or edition	Year given	Point score	Percentile score	Average percentile this school

THE BARDEN FOUNDATION, INC.

1146 Barnum Ave., Bridgeport, CT 06610, Tel: 203 336-7532
Fax: 203 336-6440, E-mail: rbergman@laceymfg.com

March 15, 2015

Internal Revenue Service Center
P.O. Box 409101
Ogden, Utah 84201-0027

Re: The Barden Foundation, Inc.
ID #06-6054855

Gentlemen:

Pursuant to the provisions of Section 501(C)(3) of the Internal Revenue Code, there are enclosed herewith the following documents required to be filed with your office:

Form 990-PF, Return of Private Foundations Exempt from Income Tax for the fiscal year ended October 31, 2014.

Very truly yours,

Thomas Loughman

Thomas Loughman
President

Enclosures

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