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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.**2013**

Open to Public Inspection

For calendar year 2013 or tax year beginning **OCT 1, 2013**, and ending **SEP 30, 2014**

Name of foundation ERIK E AND EDITH H BERGSTROM FOUNDATION, A CHARITABLE TRUST		A Employer identification number 91-2155835
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 520	Room/suite	B Telephone number (650) 323-0596
City or town, state or province, country, and ZIP or foreign postal code PALO ALTO, CA 94302-0520		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 93,270,896.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	1,614,500.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	2,226,254.	2,006,862.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	3,190,687.			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		2,778,252.		
	8 Net short-term capital gain				
	9 Income modifications Gross sales less returns and allowances				
	10a Less: Cost of goods sold				
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	7,031,441.	4,785,114.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages	161,109.	80,555.		80,554.
	15 Pension plans, employee benefits	33,235.	16,618.		16,617.
	16a Legal fees				
	b Accounting fees STMT 2	39,711.	19,856.		19,855.
	c Other professional fees				
	17 Interest STMT 3	242,738.	199,142.		0.
	18 Taxes	136,743.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 4	137,199.	97,931.		21,636.
	24 Total operating and administrative expenses. Add lines 13 through 23	750,735.	414,102.		138,662.
	25 Contributions, gifts, grants paid	1,656,654.			1,298,991.
26 Total expenses and disbursements. Add lines 24 and 25	2,407,389.	414,102.		1,437,653.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	4,624,052.				
b Net investment income (if negative, enter -0-)		4,371,012.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			4,271.	16,991.	16,991.
	2 Savings and temporary cash investments					
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock	STMT 5		76,290,527.	88,515,570.	88,515,570.
	c Investments - corporate bonds					
	Liabilities	11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other						
14 Land, buildings, and equipment basis ▶						
Less: accumulated depreciation ▶						
15 Other assets (describe ▶ STATEMENT 6)			4,596,024.	4,738,335.	4,738,335.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)			80,890,822.	93,270,896.	93,270,896.	
17 Accounts payable and accrued expenses			261,857.	235,344.		
18 Grants payable			238,996.	596,659.		
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶ STATEMENT 7)		8,602,256.	7,401,928.		
	23 Total liabilities (add lines 17 through 22)		9,103,109.	8,233,931.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted		67,422,970.	80,298,630.		
	25 Temporarily restricted		4,364,743.	4,738,335.		
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds					
28 Paid-in or capital surplus, or land, bldg., and equipment fund						
29 Retained earnings, accumulated income, endowment, or other funds						
30 Total net assets or fund balances		71,787,713.	85,036,965.			
31 Total liabilities and net assets/fund balances		80,890,822.	93,270,896.			

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	71,787,713.
2 Enter amount from Part I, line 27a	4,624,052.
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN	8,625,200.
4 Add lines 1, 2, and 3	85,036,965.
5 Decreases not included in line 2 (itemize) ▶	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	85,036,965.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHMENT A		VARIOUS	VARIOUS
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			2,778,252.
b			
c			
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			2,778,252.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,778,252.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,226,410.	61,236,000.	.020028
2011	1,433,432.	52,308,952.	.027403
2010	2,091,512.	53,027,651.	.039442
2009	1,769,298.	45,517,467.	.038871
2008	3,002,843.	34,473,003.	.087107

2 Total of line 1, column (d)	2	.212851
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.042570
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	75,017,960.
5 Multiply line 4 by line 3	5	3,193,515.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	43,710.
7 Add lines 5 and 6	7	3,237,225.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,437,653.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	87,420.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	87,420.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	87,420.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		1,964.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		89,384.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>CA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► JULIA RITA BOLOUS Telephone no. ► 650-323-0596 Located at ► P.O. BOX 520, PALO ALTO, CA ZIP+4 ► 94302-0520			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐**5b**☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**6b**☒**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**7b**☐**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ERIK E. BERGSTROM	CO-EXEC. DIRECTOR			
P.O. BOX 520				
PALO ALTO, CA 94302	35.00	0.	0.	0.
EDITH H. BERGSTROM	CO-EXEC. DIRECTOR			
P.O. BOX 520				
PALO ALTO, CA 94302	10.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JULIA RITA BOLOUS	FIN. DIR./PRGRM OFFICER			
P.O. BOX 520, PALO ALTO, CA 94302	40.00	123,659.	19,474.	0.

Total number of other employees paid over \$50,000

☐ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
	0.
2	
All other program-related investments. See instructions.	
3 NONE	
	0.
	0.
Total. Add lines 1 through 3	

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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	84,361,172.
b	Average of monthly cash balances	1b	21,010.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	84,382,182.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	8,221,817.
3	Subtract line 2 from line 1d	3	76,160,365.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,142,405.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	75,017,960.
6	Minimum investment return. Enter 5% of line 5	6	3,750,898.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,750,898.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	87,420.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	53,559.
c	Add lines 2a and 2b	2c	140,979.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,609,919.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	3,609,919.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,609,919.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,437,653.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,437,653.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,437,653.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

**ERIK E AND EDITH H BERGSTROM FOUNDATION,
A CHARITABLE TRUST**

Form 990-PF (2013)

91-2155835 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				3,609,919.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009	637,195.			
c From 2010	2,091,512.			
d From 2011				
e From 2012				
f Total of lines 3a through e	2,728,707.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$	1,437,653.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				1,437,653.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	2,172,266.			2,172,266.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	556,441.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	556,441.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010	556,441.			
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(i)(3) or 4942(i)(5)

b 85% of line 2a

d Amounts included in line 2c not used directly for active conduct of exempt activities

Subtract line 2d from line 2c

a "Assets" alternative test - enter:
(1) Value of all assets

(2) Value of assets qualifying under section 4942(i)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

b. The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

ERIK E AND EDITH H BERGSTROM FOUNDATION,
A CHARITABLE TRUST

Form 990-PF (2013)

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT B				1,298,991.
Total			▶ 3a	1,298,991.
b Approved for future payment				
SEE ATTACHMENT B				562,159.
Total			▶ 3b	562,159.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities	900000	219,392.	14	2,006,862.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory	900000	412,435.	18	2,778,252.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		631,827.		4,785,114.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	5,416,941.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations



		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here  W. E. Bergstrom 11/31/15  Co-executive director

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	SHARON L. ZORBACH	<i>Sharon Zorbach</i>	1/19/2015		P00125475
	Firm's name ▶ DELOITTE TAX LLP			Firm's EIN ▶ 86-1065772	
	Firm's address ▶ 225 WEST SANTA CLARA STREET SAN JOSE, CA 95113			Phone no. 408-704-4000	

Form **990-PF** (2013)

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

ERIK E AND EDITH H BERGSTROM FOUNDATION,
A CHARITABLE TRUST

Employer identification number

91-2155835

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization ERIK E AND EDITH H BERGSTROM FOUNDATION, A CHARITABLE TRUST	Employer identification number 91-2155835
--	---

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ERIK E. BERGSTROM, TRUSTEE P.O. BOX 126 PALO ALTO, CA 94302	\$ 1,614,500.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Employer identification number

91-2155835

Part II

[illegible]

Name of organization

ERIK E AND EDITH H BERGSTROM FOUNDATION,
A CHARITABLE TRUST

Employer identification number

91-2155835

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

LONG TERM NON DEBT FINANCED STOCKS

Broker	Ticker	Type	Shares	Sold	Purchase	Holding Period	Proceeds	Basis	Gain/Loss	Debt Basis %	990T	990PF
AMERITRADE	ADX	Distribution		12/30/13		LT			499,922	N/A		499,922
AMERITRADE	ADX	Distribution		03/03/14		LT			22,817	N/A		22,817
WELLS FARGO	ADX	Distribution		12/27/13		LT			633,600	N/A		633,600
WELLS FARGO	GAM	Distribution		12/30/13		LT			145,075	N/A		145,075
WELLS FARGO	ADX	Distribution		03/03/14		LT			28,800	N/A		28,800
									1,330,214		0	1,330,214

DEBT FINANCED STOCKS

Broker	Ticker	Type	Shares	Sold	Purchase	Holding Period	Proceeds	Basis	Gain/Loss	Debt Basis %	990T	990PF
AMERITRADE	GRR	Sale	0	01/06/14	01/25/13 ST		1	1	(0)	22.24%	(0)	(0)
AMERITRADE	GRR	Sale	40	01/31/14	04/23/12 LT		473	536	(62)	22.24%	(14)	(49)
AMERITRADE	GRR	Sale	218	01/31/14	04/23/12 LT		2,579	2,899	(321)	22.24%	(71)	(249)
AMERITRADE	GRR	Sale	660	01/31/14	04/23/12 LT		7,807	8,837	(1,030)	22.24%	(229)	(801)
AMERITRADE	GRR	Sale	800	01/31/14	04/19/12 LT		9,463	10,624	(1,161)	22.24%	(258)	(903)
AMERITRADE	GRR	Sale	1,107	01/31/14	04/19/12 LT		13,095	14,711	(1,616)	22.24%	(359)	(1,257)
WELLS FARGO	BAC	Sale	500	01/08/14	09/12/13 ST		8,233	7,333	900	100.00%	840	-
WELLS FARGO	BAC	Sale	500	01/08/14	09/13/13 ST		8,233	7,392	840	100.00%	840	-
WELLS FARGO	BAC	Sale	500	01/08/14	10/06/13 ST		8,233	7,011	1,222	100.00%	1,222	-
WELLS FARGO	BAC	Sale	500	01/08/14	10/06/13 ST		8,200	7,011	1,189	100.00%	1,189	-
WELLS FARGO	BAC	Sale	1,000	01/08/14	10/06/13 ST		16,263	14,085	2,178	100.00%	2,178	-
WELLS FARGO	BAC	Sale	1,500	01/08/14	09/13/13 ST		24,416	22,137	2,280	100.00%	2,280	-
WELLS FARGO	BAC	Sale	1,500	01/08/14	10/06/13 ST		24,575	21,032	3,543	100.00%	3,543	-
WELLS FARGO	BAC WSA	Sale	800	01/08/14	10/06/13 ST		4,864	5,563	(699)	100.00%	(699)	-
WELLS FARGO	BAC WSA	Sale	1,000	01/08/14	09/12/13 ST		6,317	6,953	(636)	100.00%	(636)	-
WELLS FARGO	BAC WSA	Sale	1,000	01/08/14	09/12/13 ST		6,333	6,953	(620)	100.00%	(620)	-
WELLS FARGO	BAC WSA	Sale	1,000	01/08/14	10/06/13 ST		6,074	6,953	(879)	100.00%	(879)	-
WELLS FARGO	BAC WSA	Sale	1,000	01/08/14	10/06/13 ST		6,080	6,953	(873)	100.00%	(873)	-
WELLS FARGO	BAC WSA	Sale	1,100	01/08/14	10/06/13 ST		6,688	7,649	(961)	100.00%	(961)	-
WELLS FARGO	BAC WSA	Sale	1,100	01/08/14	10/06/13 ST		6,688	7,649	(961)	100.00%	(961)	-
WELLS FARGO	BAC WSA	Sale	2,000	01/08/14	10/06/13 ST		12,061	13,907	(1,826)	100.00%	(1,826)	-
WELLS FARGO	BAC WSA	Sale	3,000	01/08/14	09/13/13 ST		19,230	20,860	(1,630)	100.00%	(1,630)	-
WELLS FARGO	GRR	Sale	73	01/31/14	08/30/12 LT		864	950	(87)	100.00%	(87)	-
WELLS FARGO	GRR	Sale	872	01/31/14	03/07/12 LT		10,315	11,995	(1,680)	100.00%	(1,680)	-
WELLS FARGO	GRR	Sale	1,000	01/31/14	03/09/12 LT		11,829	13,332	(1,503)	100.00%	(1,503)	-
WELLS FARGO	GRR	Sale	1,000	01/31/14	03/09/12 LT		11,829	13,357	(1,528)	100.00%	(1,528)	-
WELLS FARGO	GRR	Sale	1,000	01/31/14	07/31/12 LT		11,829	13,374	(1,545)	100.00%	(1,545)	-
WELLS FARGO	GRR	Sale	1,000	01/31/14	08/02/12 LT		11,829	13,253	(1,424)	100.00%	(1,424)	-
WELLS FARGO	GRR	Sale	1,000	01/31/14	08/27/12 LT		11,829	13,374	(1,545)	100.00%	(1,545)	-
WELLS FARGO	GRR	Sale	1,000	01/31/14	08/29/12 LT		11,829	13,263	(1,434)	100.00%	(1,434)	-
WELLS FARGO	GRR	Sale	1,487	01/31/14	11/21/12 LT		17,590	19,946	(2,356)	100.00%	(2,356)	-
WELLS FARGO	GRR	Sale	1,500	01/31/14	03/09/12 LT		17,744	20,051	(2,307)	100.00%	(2,307)	-
WELLS FARGO	GRR	Sale	1,000	02/18/14	05/21/12 LT		10,820	12,953	(2,133)	100.00%	(2,133)	-
WELLS FARGO	GRR	Sale	1,000	02/18/14	05/21/12 LT		10,820	12,948	(2,128)	100.00%	(2,128)	-
WELLS FARGO	GRR	Sale	1,000	02/18/14	05/21/12 LT		10,524	12,863	(2,339)	100.00%	(2,339)	-
WELLS FARGO	GRR	Sale	301	02/03/14	05/21/12 LT		3,179	3,873	(695)	100.00%	(695)	-
WELLS FARGO	GRR	Sale	699	02/03/14	05/21/12 LT		7,309	8,778	(1,469)	100.00%	(1,469)	-
WELLS FARGO	GRR	Sale	297	02/03/14	06/06/12 LT		3,133	3,706	(573)	100.00%	(573)	-
WELLS FARGO	GRR	Sale	203	02/03/14	06/06/12 LT		7,344	8,727	(1,383)	100.00%	(1,383)	-
WELLS FARGO	GRR	Sale	700	02/03/14	06/06/12 LT		2,110	2,546	(436)	100.00%	(436)	-
WELLS FARGO	GRR	Sale	800	02/03/14	06/06/12 LT		8,367	9,988	(1,621)	100.00%	(1,621)	-
WELLS FARGO	GRR	Sale	628	02/03/14	07/30/12 LT		6,625	8,425	(1,800)	100.00%	(1,800)	-
WELLS FARGO	GRR	Sale	272	02/03/14	08/30/12 LT		2,804	3,621	(817)	100.00%	(817)	-
WELLS FARGO	GRR	Sale	500	02/03/14	08/30/12 LT		5,239	6,540	(1,301)	100.00%	(1,301)	-
WELLS FARGO	GRR	Sale	155	02/03/14	08/30/12 LT		1,635	2,040	(405)	100.00%	(405)	-
WELLS FARGO	GRR	Sale	1,000	02/03/14	11/21/12 LT		10,477	13,390	(2,912)	100.00%	(2,912)	-
WELLS FARGO	GRR	Sale	345	02/03/14	01/31/13 LT		3,603	4,413	(809)	100.00%	(809)	-
WELLS FARGO	GRR	Sale	500	02/03/14	01/31/13 LT		5,239	6,395	(1,156)	100.00%	(1,156)	-
WELLS FARGO	GRR	Sale	368	02/03/14	01/31/13 LT		3,853	4,707	(853)	100.00%	(853)	-
WELLS FARGO	GRR	Sale	100	02/03/14	01/31/13 LT		1,048	1,279	(231)	100.00%	(231)	-
WELLS FARGO	GRR	Sale	2,695	02/04/14	01/31/13 LT		28,217	34,469	(6,252)	100.00%	(6,252)	-
WELLS FARGO	GRR	Sale	305	02/04/14	03/06/13 ST		2,987	3,816	(829)	100.00%	(829)	-
WELLS FARGO	GRR	Sale	695	02/04/14	03/06/13 ST		7,277	8,636	(1,359)	100.00%	(1,359)	-
WELLS FARGO	GRR	Sale	693	02/04/14	03/06/13 ST		7,256	8,625	(1,369)	100.00%	(1,369)	-
WELLS FARGO	GRR	Sale	1,000	02/04/14	03/06/13 ST		10,470	12,446	(1,976)	100.00%	(1,976)	-
WELLS FARGO	GRR	Sale	612	02/04/14	03/06/13 ST		6,207	7,616	(1,409)	100.00%	(1,409)	-
WELLS FARGO	GRR	Sale	388	02/04/14	03/06/13 ST		4,062	4,821	(758)	100.00%	(758)	-
WELLS FARGO	GRR	Sale	1,000	02/04/14	03/06/13 ST		10,470	12,446	(1,976)	100.00%	(1,976)	-
WELLS FARGO	GRR	Sale	612	02/04/14	03/06/13 ST		6,274	7,610	(1,336)	100.00%	(1,336)	-
WELLS FARGO	GRR	Sale	388	02/04/14	03/06/13 ST		4,062	4,825	(763)	100.00%	(763)	-
WELLS FARGO	GRR	Sale	1,000	02/04/14	03/06/13 ST		10,470	12,426	(1,956)	100.00%	(1,956)	-
WELLS FARGO	GRR	Sale	1,000	02/13/14	03/06/13 ST		10,629	12,428	(1,798)	100.00%	(1,798)	-
WELLS FARGO	GRR	Sale	1,000	02/13/14	03/06/13 ST		10,629	12,446	(1,816)	100.00%	(1,816)	-
WELLS FARGO	GRR	Sale	500	02/14/14	10/21/03 LT		5,377	6,119	(742)	100.00%	(742)	-
WELLS FARGO	GRR	Sale	770	02/14/14	10/21/13 ST		8,249	9,417	(1,168)	100.00%	(1,168)	-
WELLS FARGO	GRR	Sale	64	02/14/14	10/21/13 ST		686	787	(102)	100.00%	(102)	-
WELLS FARGO	GRR	Sale	612	02/04/14	10/23/13 ST		6,274	7,521	(1,247)	100.00%	(1,247)	-
WELLS FARGO	GRR	Sale	500	02/13/14	10/23/13 ST		5,320	6,125	(805)	100.00%	(805)	-
WELLS FARGO	GRR	Sale	188	02/13/14	10/23/13 ST		2,017	2,322	(304)	100.00%	(304)	-
WELLS FARGO	GRR	Sale	70	02/13/14	10/23/13 ST		715	852	(137)	100.00%	(137)	-
WELLS FARGO	GRR	Sale	1,000	02/14/14	10/23/13 ST		10,755	12,165	(1,410)	100.00%	(1,410)	-
WELLS FARGO	GRR	Sale	30	02/14/14	10/23/13 ST		323	367	(44)	100.00%	(44)	-
WELLS FARGO	GRR	Sale	300	02/13/14	10/23/13 ST		3,192	3,661	(469)	100.00%	(469)	-
WELLS FARGO	GRR	Sale	100	02/13/14	10/23/13 ST		1,064	1,222	(158)	100.00%	(158)	-
WELLS FARGO	GRR	Sale	200	02/13/14	10/23/13 ST		2,126	2,420	(294)	100.00%	(294)	-
WELLS FARGO	GRR	Sale	300	02/14/14	10/23/13 ST		3,237	3,623	(386)	100.00%	(386)	-
WELLS FARGO	GRR	Sale	400	02/14/14	11/23/13 ST		4,316	4,835	(519)	100.00%	(519)	-
WELLS FARGO	GRR	Sale	600	02/14/14	10/23/13 ST		6,474	7,252	(778)	100.00%	(778)	-
WELLS FARGO	GRR	Sale	500	02/14/14	10/25/13 ST		5,395	6,066	(671)	100.00%	(671)	-
WELLS FARGO	GRR	Sale	500	02/14/14	10/25/13 ST		5,395	6,065	(670)	100.00%	(670)	-
WELLS FARGO	GRR	Sale	200	02/14/14	10/25/13 ST		1,997	2,420	(423)	100.00%	(423)	-
WELLS FARGO	GRR	Sale	400	02/14/14	10/25/13 ST		4,304	4,827	(523)	100.00%	(523)	-
WELLS FARGO	GRR	Sale	400	02/14/14	10/25/13 ST		4,304	4,824	(520)	100.00%	(520)	-
WELLS FARGO	GRR	Sale	1,000	02/14/14	10/25/13 ST		10,760	12,139	(1,379)	100.00%	(1,379)	-
WELLS FARGO	GRR	Sale	1,000	02/14/14	10/25/13 ST		10,810	12,139	(1,329)	100.00%	(1,329)	-
WELLS FARGO	GRR	Sale	1,000	02/14/14	10/25/13 ST		10,681	12,132	(1,451)	100.00%	(1,451)	-

Broker	Ticker	Type	Shares	Sold	Purchase	Holding Period	Proceeds	Basis	Gain/Loss	Debt Basis %	990T	990PF
WELLS FARGO	GRR	Sale	1,000	02/14/14		10/25/13 ST	10,716	12,139	(1,424)	100.00%	(1,424)	
WELLS FARGO	GRR	Sale	142	02/13/14		10/28/13 ST	1,524	1,732	(208)	100.00%	(208)	
WELLS FARGO	GRR	Sale	1,000	02/14/14		10/28/13 ST	10,696	12,213	(1,517)	100.00%	(1,517)	
WELLS FARGO	GRR	Sale	1,000	02/14/14		10/29/13 ST	10,716	12,197	(1,481)	100.00%	(1,481)	
WELLS FARGO	GRR	Sale	200	02/14/14		10/29/13 ST	2,024	2,437	(413)	100.00%	(413)	
WELLS FARGO	GRR	Sale	200	02/14/14		10/29/13 ST	2,154	2,437	(283)	100.00%	(283)	
WELLS FARGO	GRR	Sale	600	02/14/14		10/29/13 ST	6,462	7,321	(859)	100.00%	(859)	
WELLS FARGO	GRR	Sale	136	02/14/14		10/29/13 ST	1,405	1,666	(261)	100.00%	(261)	
WELLS FARGO	GRR	Sale	700	02/14/14		10/29/13 ST	7,487	8,530	(1,043)	100.00%	(1,043)	
AMERITRADE	BAC	Sale	300	03/17/14		09/11/13 ST	5,133	4,332	801	20.81%	167	634
AMERITRADE	BAC	Sale	700	03/17/14		09/11/13 ST	11,977	10,108	1,869	20.81%	389	1,480
AMERITRADE	BAC WSA	Sale	1,000	03/17/14		09/11/13 ST	6,270	8,200	(1,930)	20.81%	(402)	(1,528)
AMERITRADE	BAC WSA	Sale	1,000	03/17/14		09/11/13 ST	6,250	8,200	(1,950)	20.81%	(406)	(1,544)
WELLS FARGO	GRR	Sale	22	03/31/14		10/29/13 ST	250	274	(24)	100.00%	(24)	
WELLS FARGO	GRR	Sale	66	03/31/14		10/15/13 ST	746	793	(47)	100.00%	(47)	
WELLS FARGO	GRR	Sale	100	03/28/14		10/13/13 ST	1,038	1,207	(169)	100.00%	(169)	
WELLS FARGO	GRR	Sale	100	03/31/14		11/15/13 ST	1,138	1,208	(70)	100.00%	(70)	
WELLS FARGO	GRR	Sale	112	03/31/14		11/18/13 ST	1,237	1,342	(104)	100.00%	(104)	
WELLS FARGO	GRR	Sale	134	03/31/14		10/09/13 ST	1,477	1,586	(109)	100.00%	(109)	
WELLS FARGO	GRR	Sale	134	03/31/14		10/15/13 ST	1,258	1,618	(360)	100.00%	(360)	
WELLS FARGO	GRR	Sale	134	03/31/14		11/14/13 ST	1,481	1,613	(132)	100.00%	(132)	
WELLS FARGO	GRR	Sale	166	03/31/14		10/21/13 ST	1,873	2,022	(148)	100.00%	(148)	
WELLS FARGO	GRR	Sale	200	03/31/14		10/13/13 ST	2,257	2,415	(158)	100.00%	(158)	
WELLS FARGO	GRR	Sale	234	03/31/14		10/15/13 ST	2,609	2,836	(227)	100.00%	(227)	
WELLS FARGO	GRR	Sale	266	03/31/14		10/15/13 ST	3,008	3,216	(208)	100.00%	(208)	
WELLS FARGO	GRR	Sale	266	03/31/14		11/14/13 ST	3,027	3,193	(166)	100.00%	(166)	
WELLS FARGO	GRR	Sale	300	03/31/14		10/15/13 ST	3,390	3,626	(235)	100.00%	(235)	
WELLS FARGO	GRR	Sale	366	03/31/14		10/09/13 ST	4,147	4,355	(208)	100.00%	(208)	
WELLS FARGO	GRR	Sale	400	03/28/14		10/16/13 ST	4,492	4,829	(337)	100.00%	(337)	
WELLS FARGO	GRR	Sale	500	03/31/14		08/28/13 ST	5,653	5,467	186	100.00%	186	
WELLS FARGO	GRR	Sale	500	03/31/14		08/28/13 ST	5,653	5,467	186	100.00%	186	
WELLS FARGO	GRR	Sale	500	03/31/14		10/15/13 ST	5,613	6,054	(441)	100.00%	(441)	
WELLS FARGO	GRR	Sale	500	03/31/14		10/16/13 ST	5,643	6,041	(398)	100.00%	(398)	
WELLS FARGO	GRR	Sale	500	03/31/14		11/14/13 ST	5,628	6,014	(386)	100.00%	(386)	
WELLS FARGO	GRR	Sale	500	03/31/14		11/14/13 ST	5,638	6,059	(420)	100.00%	(420)	
WELLS FARGO	GRR	Sale	500	03/31/14		11/15/13 ST	5,648	6,039	(391)	100.00%	(391)	
WELLS FARGO	GRR	Sale	1,000	03/31/14		10/16/13 ST	11,286	12,119	(833)	100.00%	(833)	
AMERITRADE	GRR	Sale	120	04/15/14		04/25/12 LT	1,390	1,615	(225)	20.38%	(46)	(179)
AMERITRADE	GRR	Sale	173	04/15/14		04/24/12 LT	2,003	2,301	(298)	20.38%	(61)	(237)
AMERITRADE	GRR	Sale	282	04/15/14		04/23/12 LT	3,266	3,751	(485)	20.38%	(99)	(386)
AMERITRADE	GRR	Sale	300	04/15/14		04/24/12 LT	3,474	3,990	(516)	20.38%	(105)	(411)
AMERITRADE	GRR	Sale	300	04/15/14		04/24/12 LT	3,474	3,990	(516)	20.38%	(105)	(411)
AMERITRADE	GRR	Sale	1,000	04/15/14		04/25/12 LT	11,581	13,450	(1,869)	20.38%	(381)	(1,488)
WELLS FARGO	GRR	Sale	100	04/15/14		08/26/13 ST	1,108	1,126	(19)	100.00%	(19)	
WELLS FARGO	GRR	Sale	188	04/15/14		11/18/13 ST	2,177	2,227	(50)	100.00%	(50)	
WELLS FARGO	GRR	Sale	200	04/15/14		11/19/13 ST	2,316	2,385	(69)	100.00%	(69)	
WELLS FARGO	GRR	Sale	300	04/10/14		11/19/13 ST	3,323	3,580	(257)	100.00%	(257)	
WELLS FARGO	GRR	Sale	300	04/15/14		08/26/13 ST	3,368	3,354	14	100.00%	14	
WELLS FARGO	GRR	Sale	312	04/10/14		11/18/13 ST	3,432	3,696	(264)	100.00%	(264)	
WELLS FARGO	GRR	Sale	500	04/10/14		11/18/13 ST	5,680	5,908	(227)	100.00%	(227)	
WELLS FARGO	GRR	Sale	500	04/14/14		08/23/13 ST	5,709	5,696	14	100.00%	14	
WELLS FARGO	GRR	Sale	500	04/15/14		08/23/13 ST	5,754	5,690	65	100.00%	65	
WELLS FARGO	GRR	Sale	500	04/15/14		08/26/13 ST	5,790	5,606	184	100.00%	184	
WELLS FARGO	GRR	Sale	500	04/15/14		08/26/13 ST	5,649	5,590	59	100.00%	59	
WELLS FARGO	GRR	Sale	500	04/15/14		11/19/13 ST	5,790	5,967	(177)	100.00%	(177)	
WELLS FARGO	GRR	Sale	500	04/15/14		11/19/13 ST	5,790	5,962	(172)	100.00%	(172)	
WELLS FARGO	GRR	Sale	600	04/15/14		08/23/13 ST	6,942	6,797	145	100.00%	145	
WELLS FARGO	GRR	Sale	688	04/10/14		11/18/13 ST	7,816	8,181	(365)	100.00%	(365)	
WELLS FARGO	GRR	Sale	700	04/10/14		11/18/13 ST	7,878	8,312	(435)	100.00%	(435)	
WELLS FARGO	GRR	Sale	800	04/15/14		11/18/13 ST	9,264	9,452	(188)	100.00%	(188)	
WELLS FARGO	GRR	Sale	812	04/15/14		08/09/13 ST	9,276	9,337	(60)	100.00%	(60)	
WELLS FARGO	GRR	Sale	1,000	04/15/14		08/09/13 ST	11,585	11,501	84	100.00%	84	
WELLS FARGO	GRR	Sale	1,000	04/15/14		08/09/13 ST	11,444	11,501	(57)	100.00%	(57)	
WELLS FARGO	GRR	Sale	1,000	04/15/14		08/22/13 ST	11,509	11,487	22	100.00%	22	
WELLS FARGO	GRR	Sale	1,000	04/15/14		08/28/13 ST	11,580	10,934	646	100.00%	646	
WELLS FARGO	GRR	Adjustment		04/15/14		11/19/13 ST			(321)	100.00%	(321)	
AMERITRADE	GRR	Sale	27	05/06/14		04/24/12 LT	311	359	(48)	20.33%	(10)	(38)
AMERITRADE	GRR	Sale	80	05/06/14		04/24/12 LT	921	1,064	(143)	20.33%	(29)	(114)
AMERITRADE	GRR	Sale	93	05/06/14		06/08/12 LT	1,072	1,127	(55)	20.33%	(11)	(44)
AMERITRADE	GRR	Sale	107	05/06/14		05/11/12 LT	1,234	1,407	(173)	20.33%	(35)	(138)
AMERITRADE	GRR	Sale	120	05/06/14		04/24/12 LT	1,381	1,596	(215)	20.33%	(44)	(171)
AMERITRADE	GRR	Sale	300	05/06/14		05/11/12 LT	3,453	3,945	(492)	20.33%	(100)	(392)
AMERITRADE	GRR	Sale	380	05/06/14		04/25/12 LT	4,374	5,115	(741)	20.33%	(151)	(590)
AMERITRADE	GRR	Sale	500	05/06/14		04/24/12 LT	5,756	6,649	(894)	20.33%	(182)	(712)
AMERITRADE	GRR	Sale	593	05/06/14		05/11/12 LT	6,826	7,798	(973)	20.33%	(198)	(775)
AMERITRADE	GRR	Sale	1,800	05/06/14		06/07/12 LT	20,755	21,957	(1,201)	20.33%	(244)	(957)
AMERITRADE	BAC WSA	Sale	100	08/29/14		09/30/13 ST	618	720	(102)	20.43%	(21)	(81)
AMERITRADE	BAC WSA	Sale	100	08/29/14		10/04/13 ST	606	720	(114)	20.43%	(23)	(91)
AMERITRADE	BAC WSA	Sale	100	08/29/14		10/07/13 ST	606	720	(114)	20.43%	(23)	(91)
AMERITRADE	BAC WSA	Sale	100	08/29/14		10/08/13 ST	610	720	(110)	20.43%	(22)	(87)
AMERITRADE	BAC WSA	Sale	100	08/29/14		10/11/13 ST	601	720	(119)	20.43%	(24)	(95)
AMERITRADE	BAC WSA	Sale	300	08/29/14		09/30/13 ST	1,852	2,160	(308)	20.43%	(63)	(245)
AMERITRADE	BAC WSA	Sale	300	08/29/14		10/07/13 ST	1,845	2,160	(315)	20.43%	(64)	(250)
AMERITRADE	BAC WSA	Sale	400	08/29/14		10/07/13 ST	2,452	2,880	(428)	20.43%	(87)	(340)
AMERITRADE	BAC WSA	Sale	500	08/29/14		09/11/13 ST	3,125	3,600	(475)	20.43%	(97)	(378)
AMERITRADE	BAC WSA	Sale	500	08/29/14		09/11/13 ST	3,135	3,600	(464)	20.43%	(95)	(370)
AMERITRADE	BAC WSA	Sale	600	08/29/14		09/30/13 ST	3,702	4,320	(618)	20.43%	(126)	(481)
AMERITRADE	BAC WSA	Sale	600	08/29/14		10/07/13 ST	3,678	4,320	(642)	20.43%	(131)	(510)
AMERITRADE	BAC WSA	Sale	700	08/29/14		10/07/13 ST	4,305	5,039	(735)	20.43%	(150)	(584)
AMERITRADE	BAC WSA	Sale	800	08/29/14		10/08/13 ST	4,848	5,759	(911)	20.43%	(186)	(725)
AMERITRADE	BAC WSA	Sale	900	08/29/14		10/04/13 ST	5,454	6,479	(1,025)	20.43%	(209)	(816)
AMERITRADE	BAC WSA	Sale	900	08/29/14		10/07/13 ST	5,454	6,479	(1,025)	20.43%	(209)	(816)
AMERITRADE	BAC WSA	Sale	1,000	08/29/14		09/11/13 ST	6,250	7,199	(949)	20.43%	(194)	(755)
AMERITRADE	BAC WSA	Sale	1,000	08/29/14		09/11/13 ST	6,250	7,199	(949)	20.43%	(194)	(755)
AMERITRADE	BAC WSA	Sale	1,000	08/29/14		09/27/13 ST	6,150	7,199	(1,049)	20.43%	(214)	(835)
AMERITRADE	BAC WSA	Sale	1,000	08/29/14		10/04/13 ST	6,100	7,199	(1,099)	20.43%	(225)	(875)
AMERITRADE	BAC WSA	Sale	1,000	08/29/14		10/04/13 ST	6,100	7,199	(1,099)	20.43%	(225)	(875)
AMERITRADE	BAC WSA	Sale	1,000	08/29/14		10/07/13 ST	6,061	7,199	(1,138)	20.43%	(233)	(906)
AMERITRADE	BAC WSA	Sale	1,000	08/29/14		10/07/13 ST	6,141	7,199	(1,058)	20.43%	(216)	(842)
AMERITRADE	BAC WSA	Sale	1,000	08/29/14		10/07/13 ST	6,140	7,199	(1,059)	20.43%	(216)	(843)

Attachment A

Broker	Ticker	Type	Shares	Sold	Purchase	Holding Period	Proceeds	Basis	Gain/Loss	Debt Basis %	990T	990PF
AMERITRADE	GRR	Sale	807	12/04/13	04/25/12	LT	9,547	10,862	(1,315)	22.23%	(292)	(1,023)
AMERITRADE	GRR	Sale	854	12/04/13	04/25/12	LT	10,104	11,486	(1,383)	22.23%	(307)	(1,075)
AMERITRADE	GRR	Sale	1,000	12/04/13	04/25/12	LT	11,830	13,470	(1,640)	22.23%	(364)	(1,276)
AMERITRADE	GRR	Sale	1,000	12/04/13	04/25/12	LT	11,831	13,460	(1,629)	22.23%	(362)	(1,267)
AMERITRADE	GRR	Sale	1,000	12/04/13	04/25/12	LT	11,830	13,460	(1,630)	22.23%	(362)	(1,268)
AMERITRADE	GRR	Sale	1,000	12/04/13	04/25/12	LT	11,831	13,460	(1,629)	22.23%	(362)	(1,267)
AMERITRADE	GRR	Distribution		01/17/14		LT		4,049	22.24%		900	3,149
AMERITRADE	LAQ	Distribution		01/17/14		LT		50,898	22.24%		11,317	39,581
WELLS FARGO	GRR	Distribution		01/17/14		LT		14,236	100.00%		14,236	-
AMERITRADE	ADX	Distribution		03/03/14		LT		51,183	20.81%		10,652	40,531
WELLS FARGO	ADX	Distribution		03/03/14		LT		200	100.00%		200	-
WELLS FARGO	CET	Distribution		06/24/14		LT		27,200	100.00%		27,200	-
WELLS FARGO	LAQ	Distribution		09/30/14		LT		1,658	100.00%		1,658	-
AMERITRADE	LAQ	Distribution		10/18/13		LT		44,467	27.21%		12,101	32,366
AMERITRADE	ADX	Distribution		12/30/13		LT		1,092,878	22.23%		242,892	849,986
AMERITRADE	CET	Distribution		12/30/13		LT		578,000	22.23%		128,461	449,540
WELLS FARGO	ADX	Distribution		12/27/13		LT		0	100.00%			
WELLS FARGO	GAM	Distribution		12/30/13		LT		43,925	100.00%		43,925	

	Proceeds	Basis	Gain/Loss	990T	990PF
TOTAL SHORT TERM	1,273,688	1,362,747	(89,380)	(52,110)	(37,269)
TOTAL LONG TERM	1,289,889	1,248,829	3,280,067	464,545	2,815,522
TOTAL	2,563,577	2,611,576	3,190,687	412,435	2,778,253

Grants & Contributions Paid During the Year or Approved for Future Payment:

1.)	Asociación Pro-Bienestar de la Familia de Guatemala 9a Calle0-57, Zona 1 Guatemala City, Guatemala	
	Amount of grant approved	\$ 324,513.55
	Amount paid during the year	\$ 240,094.53
	Amount to be paid as of 9/30/14.	\$ 84,419.02

Foundation Status of Recipient NC

A grant of \$324,513.55 was approved to the Asociación Pro-Bienestar de la Familia de Guatemala ("APROFAM"), a Guatemalan charitable organization, which is the Member Association in Guatemala of the International Planned Parenthood Federation. APROFAM is not a U S section 501(c)(3) organization that is described in section 509(a)(1) of the Internal Revenue Code ("Code") This is an expenditure responsibility grant

Purpose of Grant or Contribution

This grant was approved to help support activities in Guatemala to expand and improve services for reproductive health in the Departments of Petén, Alta Verapaz, Baja Verapaz, Izabal, El Progreso, Chiquimula, Jalapa, Jutiapa, Zacapa, Guatemala City, Retalhue and Escuintla.

2)	Grupo de Información en Reproducción Elegida, A C (GIRE) Viena 160, Colonia del Carmen Coyoacán C P 04100 México D F , México	
	Amount of grants approved	\$ 50,000 00
	Amount paid during the year...	\$ 45,000 00
	Amount to be paid as of 9/30/14	\$ 5,000 00

Foundation Status of Recipient: PC

A contribution of \$50,000 00 was made to Grupo de Información en Reproducción Elegida, A C. (GIRE), a Mexican charitable organization, which is considered to be equivalent to a United States public charity exempt under Sec. 501 (c)(3) of the Internal Revenue Code of the United States of America as a publicly supported charity under Sec. 509 (a)(1) and defined in Sec. 170(b)(1)(A)(vi) of the Internal Revenue Code of the United States of America in order that BF may include the grant as a qualifying distribution in satisfaction of BF's minimum distribution requirements because (i) GIRE is an organization that qualifies under Article 97 special authorization of the Income Tax Laws of the United Mexican States to issue tax-deductible receipts; and (ii) Article 17 of the Protocol adopted pursuant to Article 22, paragraph 2 of the Mexico-United States Income Tax Treaty provides that a Mexican charitable organization that so qualifies shall be treated as the equivalent of an organization described in Section 509(a)(1) of the Code

Purpose of Grant or Contribution

This grant will be used for the project on state level judicial strategies in Mexico

- 3) International Planned Parenthood Federation
120 Wall Street, New York, NY 10005
- | | |
|---|---------------|
| Amount of grants approved | \$ 613,500.00 |
| Amount paid during the year | \$ 313,500.00 |
| Amount to be paid as of 9/30/14 | \$ 300,000 00 |

Foundation Status of Recipient PC

A contribution of 613,500 00 was made to the International Planned Parenthood Federation which is listed in the Internal Revenue Service Publication 78 International Planned Parenthood Federation is a publicly supported charity under Sec. 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

These funds provide partial support to increase access to contraception in Bolivia and for unwanted pregnancies and to help CIES built a clinic in Potosi.

- 4) Ipas
300 Market Street, Suite 200, Chapel Hill, NC 27516
- | | |
|---|--------------|
| Amount of grant approved.. . . . | \$ 80,000.00 |
| Amount paid during the year. | \$ 40,000.00 |
| Amount to be paid as of 9/30/14.. . . . | \$ 40,000 00 |

Foundation Status of Recipient PC

A contribution of \$80,000 00 was made to Ipas which is listed in the Internal Revenue Service Publication 78. Ipas is a publicly supported charity under Sec. 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution.

These funds are being used to fund part of the expenditures by Ipas for Méxicofield operations to improve reproductive health services

- 5) Marie Stopes International - USA
1300 19th St , NW Floor 2 Washington, DC 20036
- | | |
|--|---------------|
| Amount of grant approved. | \$ 163,640 00 |
| Amount paid during the year | \$ 145,900.00 |
| Amount to be paid as of 9/30/14. | \$ 17,740 00 |

Foundation Status of Recipient PC

A contribution of \$163,640.00 was made to MSI-US which is listed in the Internal Revenue Service Publication 78 Marie Stopes International – USA is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating

foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution:

These funds are being used to improve reproductive health services in the Departments of Pando, Beni y La Paz, Bolivia.

- 6) One World Children's Fund
1016 Lincoln Blvd., San Francisco, CA 94129
- | | |
|---------------------------------|--------------|
| Amount of grant approved | \$ 25,000.00 |
| Amount paid during the year | \$ 25,000.00 |
| Amount to be paid as of 9/30/14 | \$ 0.00 |

Foundation Status of Recipient: PC

A grant of \$25,000 00 was approved to One World Children's Fund which is listed in the Internal Revenue Service Publication 78. One World Children's Fund is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution

These funds will provide funds to provide contraceptives in Uganda

- 7) Philanthropic Ventures Foundation
1222 Preservation Park Way Oakland, CA 94612-1201
- | | |
|---------------------------------|--------------|
| Amount of grant approved | \$220,000.00 |
| Amount paid during the year | \$220,000 00 |
| Amount to be paid as of 9/30/14 | \$ 0.00 |

Foundation Status of Recipient: PC

A grant of \$220,000 00 was approved to Philanthropic Ventures Foundation which is listed in the Internal Revenue Service Publication 78. Philanthropic Ventures Foundation is a publicly supported charity under Sec 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution

These funds provide support for the improvement of reproductive health in Latin America

- 8) Population Connection
2120 L Street NW, Suite 500
Washington, DC 20037
- | | |
|---------------------------------|--------------|
| Amount of grant approved | \$ 30,000 00 |
| Amount paid during the year | \$ 15,000.00 |
| Amount to be paid as of 9/30/14 | \$ 15,000.00 |

Foundation Status of Recipient PC

A grant of \$30,000 00 was approved to Population Connection which is listed in the Internal Revenue Service Publication 78 Population Connection is a publicly supported charity under Sec. 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution.

These funds will fund the World of 7 Billion Website and the World of 7 Billion Student Contest .

- 9.) Whitman College
345 Boyer Ave., Walla Walla, WA 99362
- | | |
|----------------------------------|--------------|
| Amount of grant approved. | \$ 25,000 00 |
| Amount paid during the year | \$ 25,000 00 |
| Amount to be paid as of 9/30/14. | \$ 0 00 |

Foundation Status of Recipient: PC

A grant of \$25,000 00 was approved to Whitman College which is listed in the Internal Revenue Service Publication 78 Whitman College is a publicly supported charity under Sec. 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

This grant will fund the "Erik E and Edith H Bergstrom Foundation Scholarships"

- 10) World Learning, Inc.
1 Kipling Road, Brattleboro, VT 05302
- | | |
|---------------------------------|---------------|
| Amount of grant approved .. | \$ 125,000.00 |
| Amount paid during the year | \$ 25,000 00 |
| Amount to be paid as of 9/30/14 | \$ 100,000.00 |

Foundation Status of Recipient PC:

A grant of \$125,000.00 was approved to World Learning, Inc which is listed in the Internal Revenue Service Publication 78 World Learning, Inc is a publicly supported charity under Sec 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

This grant will fund the "Erik E. and Edith H Bergstrom Foundation Scholarships"

Grants paid during the year approved prior to October 1, 2014

1.)	Asociación Pro-Bienestar de la Familia de Guatemala 9a Calle0-57, Zona 1 Guatemala City, Guatemala	
	Amount of grant approved	\$ 208,009 01
	Amount paid during the year	\$ 20,496 67
	Amount to be paid as of 9/30/14	\$ 0 00

Foundation Status of Recipient NC

A grant of \$208,009 01 was approved to the Asociación Pro-Bienestar de la Familia de Guatemala ("APROFAM"), a Guatemalan charitable organization, which is the Member Association in Guatemala of the International Planned Parenthood Federation APROFAM is **not** a U S. section 501(c)(3) organization that is described in section 509(a)(1) of the Internal Revenue Code ("Code"). This is an expenditure responsibility grant

Purpose of Grant or Contribution

This grant was approved to help support activities in Guatemala to expand and improve services for reproductive health in the Departments of Petén, Alta Verapaz, Baja Verapaz, Sololá, Quetzaltenango, San Marcos, Huehuetenango, Totonicapán and Quiché.

2.)	Grupo de Información en Reproducción Elegida, A C (GIRE) Viena 160, Colonia del Carmen Coyoacán C P. 04100 México D F , México	
	Amount of grants approved	\$ 25,000 00
	Amount paid during the year...	\$ 3,000 00
	Amount to be paid as of 9/30/14	\$ 0 00

Foundation Status of Recipient PC

A contribution of \$25,000 00 was made to Grupo de Información en Reproducción Elegida, A C. (GIRE), a Mexican charitable organization, which is considered to be equivalent to a United States public charity exempt under Sec 501 (c)(3) of the Internal Revenue Code of the United States of America as a publicly supported charity under Sec. 509 (a)(1) and defined in Sec. 170(b)(1)(A)(vi) of the Internal Revenue Code of the United States of America in order that BF may include the grant as a qualifying distribution in satisfaction of BF's minimum distribution requirements because (i) GIRE is an organization that qualifies under Article 97 special authorization of the Income Tax Laws of the United Mexican States to issue tax-deductible receipts, and (ii) Article 17 of the Protocol adopted pursuant to Article 22, paragraph 2 of the Mexico-United States Income Tax Treaty provides that a Mexican charitable organization that so qualifies shall be treated as the equivalent of an organization described in Section 509(a)(1) of the Code

Purpose of Grant or Contribution

This grant will be used for the project on state level judicial strategies in Mexico

- 3.) International Planned Parenthood Federation
120 Wall Street, New York, NY 10005
- | | |
|--------------------------------------|---------------|
| Amount of grants approved | \$ 690,000 00 |
| Amount paid during the year | \$ 138,000.00 |
| Amount to be paid as of 9/30/14..... | \$ 34,500.00 |

Foundation Status of Recipient PC

A grant of \$690,000 00 was approved to International Planned Parenthood Federation which is listed in the Internal Revenue Service Publication 78. International Planned Parenthood Federation is a publicly supported charity under Sec. 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution

These funds provide partial support to increase access contraception in Bolivia.

- 4.) Ipas
300 Market Street, Suite 200, Chapel Hill, NC 27516
- | | |
|--------------------------------------|--------------|
| Amount of grant approved..... | \$ 80,000.00 |
| Amount paid during the year | \$ 40,000.00 |
| Amount to be paid as of 9/30/14..... | \$ 0 00 |

Foundation Status of Recipient PC

A contribution of \$80,000 00 was made to Ipas which is listed in the Internal Revenue Service Publication 78. Ipas is a publicly supported charity under Sec. 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution:

These funds are being used to fund part of the expenditures by Ipas for México field operations to improve reproductive health services.

- 5.) Population Connection
2120 L Street NW, Suite 500
Washington, DC 20037
- | | |
|--------------------------------------|--------------|
| Amount of grant approved | \$ 30,000.00 |
| Amount paid during the year | \$ 3,000.00 |
| Amount to be paid as of 9/30/14..... | \$ 0.00 |

Foundation Status of Recipient PC

A grant of \$30,000.00 was approved to Population Connection which is listed in the Internal Revenue Service Publication 78. Population Connection is a publicly supported charity under Sec. 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation,

but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution.

These funds will fund the World of 7 Billion Website and the World of 7 Billion Student Contest .

Total grants approved.	\$ 1,656,653.55
Total grants paid during the year	\$ 1,298,991 20
Total grants approved (during the year) to be paid as of 9/30/14	\$ 562,159 02

Total grants approved (prior years) to be paid	\$ 34,500.00
--	--------------

Grantee Name & Adc Award Date	Grant	Grant Amount in Quetzales	Grant Purpose / Project Description	Amount in Quetzales Expended by Grantee	To the Grantor's Knowledge, Grantee Has Diverted a		Date of Reports Received from Grantee	Dates and Results of Any Verification of the Grantee's Reports
					Portion of Funds from the Purpose of the Grant	(Yes/No)		
Asociacion Pro- Bienestar de la Familia de Guatemala - (APROFAM) 9a Calle 57 Zona 1, Guatemala City, Guatemala	01/01/14- 12/31/14	2,346,862 12	Help support activities in Guatemala to expand and improve services for reproductive health in the departments of the Peten, Baja Verapaz, Izabal, Jutiapa, Jalapa, Zacapa, El Progreso, Chiquimula, Guatemala City, Escuintla and Retalhue	1,325,890 05	No		2/21/14 3/19/14 4/16/14 5/21/14 6/14/14 7/15/14 8/21/14 9/22/14	APROFAM is the Member Association in Guatemala of the International Planned Parenthood Federation. The project is progressing as stated in the proposal They have reached most of their objectives by 9/30/14

ERIK E. AND EDITH H. BERGSTROM FOUNDATION,
A CHARITABLE TRUST

91-2155835

FORM 990-PF, PART VII-B, LINE 1a(3) - SELF-DEALING ACTIVITIES

OFFICE SPACE WAS FURNISHED, WITHOUT CHARGE, BY THIS FOUNDATION'S
MANAGER.

REFERENCE: INTERNAL REVENUE SERVICE REGULATION SECTION 53.4941 (d)-2

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME	2,226,254.	0.	2,226,254.	2,006,862.	
TO PART I, LINE 4	2,226,254.	0.	2,226,254.	2,006,862.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	39,711.	19,856.		19,855.
TO FORM 990-PF, PG 1, LN 16B	39,711.	19,856.		19,855.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CURRENT FEDERAL EXCISE TAX	<59,294.>	0.		0.
DEFERRED FEDERAL EXCISE TAX	196,037.	0.		0.
TO FORM 990-PF, PG 1, LN 18	136,743.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DSL/TELEPHONE EXPENSES	544.	272.		272.
OFFICE SUPPLIES	1,832.	916.		916.
MEMBERSHIPS	4,250.	0.		4,250.
POSTAGE	1,423.	712.		711.
COMPUTER NETWORK EXPENSE	16,326.	8,163.		8,163.

ERIK E AND EDITH H BERGSTROM FOUNDATION,			91-2155835
OTHER MISCELLANEOUS EXPENSES	564.	282.	282.
INVESTMENT MANAGEMENT FEES	98,176.	80,544.	0.
CONSULTING EXPENSE	14,084.	7,042.	7,042.
TO FORM 990-PF, PG 1, LN 23	137,199.	97,931.	21,636.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
ADAMS EXPRESS COMPANY	73,770,480.	73,770,480.	
CENTRAL SECURITIES CORP	3,906,600.	3,906,600.	
GENERAL AMERICAN INVESTORS	3,706,000.	3,706,000.	
LATIN AMERICA EQUITY FUND	888,550.	888,550.	
SOURCE CAPITAL INC	3,574,450.	3,574,450.	
ASIA TIGERS FUND INC	118,600.	118,600.	
BANK OF AMERICA CORP	2,438,150.	2,438,150.	
RENN FUND INC	22,500.	22,500.	
ASIA PACIFIC FD INC	90,240.	90,240.	
BANK OF AMERICA WTS SHORTS	<1,800,000.>	<1,800,000.>	
SHORT BALANCE	1,800,000.	1,800,000.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	88,515,570.	88,515,570.	

FORM 990-PF	OTHER ASSETS	STATEMENT	6
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DEFERRED FEDERAL EXCISE TAX ASSET	44,485.	0.	0.
LONG TERM CONTRIBUTIONS RECEIVABLE	4,551,539.	4,738,335.	4,738,335.
TO FORM 990-PF, PART II, LINE 15	4,596,024.	4,738,335.	4,738,335.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	7
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
MARGIN DEBT LIABILITY	8,602,256.	7,401,928.	
TOTAL TO FORM 990-PF, PART II, LINE 22	8,602,256.	7,401,928.	

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 8

NAME OF MANAGER

ERIK E. BERGSTROM
EDITH H. BERGSTROM