



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Open to Public Inspection

Department of the Treasury  
Internal Revenue ServiceDo not enter Social Security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2013 or tax year beginning OCT 1, 2013, and ending SEP 30, 2014

Name of foundation  
**GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.**

Number and street (or P O box number if mail is not delivered to street address)  
**ONE EAST WASHINGTON STREET** Room/suite **1900**

City or town, state or province, country, and ZIP or foreign postal code  
**PHOENIX, AZ 85004-2554**

**G** Check all that apply  
☐ Initial return  
☐ Final return  
☐ Address change  
☐ Initial return of a former public charity  
☐ Amended return  
☐ Name change

**H** Check type of organization  
☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust  
☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col (c), line 16)  
**\$ 249,612,088.** (Part I, column (d) must be on cash basis)

**J** Accounting method  
☒ Cash  
☐ Accrual  
☐ Other (specify) \_\_\_\_\_

**A** Employer identification number  
**86-0710305**

**B** Telephone number  
**405-348-8987**

**C** If exemption application is pending, check here ☐

**D** 1. Foreign organizations, check here ☐  
 2. Foreign organizations meeting the 85% test, check here and attach computation ☐

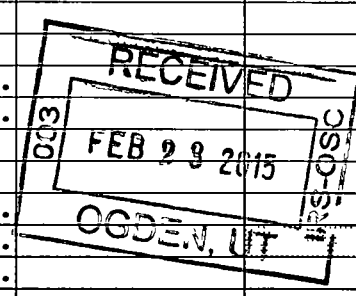
**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1 Contributions, gifts, grants, etc., received                                                                                                      |  |                                    |                           | N/A                     |                                                             |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B                                                       |  |                                    |                           |                         |                                                             |
| 3 Interest on savings and temporary cash investments                                                                                                |  | 433.                               | 433.                      |                         | STATEMENT 1                                                 |
| 4 Dividends and interest from securities                                                                                                            |  | 2,529,442.                         | 2,529,442.                |                         | STATEMENT 2                                                 |
| 5a Gross rents                                                                                                                                      |  |                                    |                           |                         |                                                             |
| b Net rental income or (loss)                                                                                                                       |  |                                    |                           |                         |                                                             |
| 6a Net gain or (loss) from sale of assets not on line 10                                                                                            |  | 15,716,115.                        |                           |                         |                                                             |
| b Gross sales price for all assets on line 6a                                                                                                       |  |                                    |                           |                         |                                                             |
| 7 Capital gain net income (from Part IV, line 2)                                                                                                    |  |                                    | 15,716,115.               |                         |                                                             |
| 8 Net short-term capital gain                                                                                                                       |  |                                    |                           |                         |                                                             |
| 9 Income modifications                                                                                                                              |  |                                    |                           |                         |                                                             |
| 10a Gross sales less returns and allowances                                                                                                         |  |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                           |  |                                    |                           |                         |                                                             |
| c Gross profit or (loss)                                                                                                                            |  |                                    |                           |                         |                                                             |
| 11 Other income                                                                                                                                     |  | -112,505.                          | -112,505.                 |                         | STATEMENT 3                                                 |
| 12 Total. Add lines 1 through 11                                                                                                                    |  | 18,133,485.                        | 18,133,485.               |                         |                                                             |
| 13 Compensation of officers, directors, trustees, etc                                                                                               |  | 0.                                 | 0.                        |                         | 0.                                                          |
| 14 Other employee salaries and wages                                                                                                                |  |                                    |                           |                         |                                                             |
| 15 Pension plans, employee benefits                                                                                                                 |  |                                    |                           |                         |                                                             |
| 16a Legal fees STMT 4                                                                                                                               |  | 2,983.                             | 2,983.                    |                         | 0.                                                          |
| b Accounting fees STMT 5                                                                                                                            |  | 1,000.                             | 1,000.                    |                         | 0.                                                          |
| c Other professional fees                                                                                                                           |  |                                    |                           |                         |                                                             |
| 17 Interest                                                                                                                                         |  |                                    |                           |                         |                                                             |
| 18 Taxes STMT 6                                                                                                                                     |  | 27,664.                            | 27,664.                   |                         | 0.                                                          |
| 19 Depreciation and depletion                                                                                                                       |  | 18,437.                            | 18,437.                   |                         | 0.                                                          |
| 20 Occupancy                                                                                                                                        |  | 3,590.                             | 3,590.                    |                         | 0.                                                          |
| 21 Travel, conferences, and meetings                                                                                                                |  | 132,370.                           | 132,370.                  |                         | 0.                                                          |
| 22 Printing and publications                                                                                                                        |  |                                    |                           |                         |                                                             |
| 23 Other expenses STMT 7                                                                                                                            |  | 4,023,746.                         | 3,996,126.                |                         | 27,620.                                                     |
| 24 Total operating and administrative expenses. Add lines 13 through 23                                                                             |  | 4,209,790.                         | 4,182,170.                |                         | 27,620.                                                     |
| 25 Contributions, gifts, grants paid                                                                                                                |  | 11,516,688.                        |                           |                         | 11,516,688.                                                 |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                            |  | 15,726,478.                        | 4,182,170.                |                         | 11,544,308.                                                 |
| 27 Subtract line 26 from line 12                                                                                                                    |  |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                 |  | 2,407,007.                         |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                    |  |                                    | 13,951,315.               |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                      |  |                                    |                           | N/A                     |                                                             |

6142

SCANNED FEB 27 2015



**GLENN FOUNDATION FOR MEDICAL  
RESEARCH, INC.**

Form 990-PF (2013)

86-0710305 Page 2

| <b>Part II Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small> |                                                                                           | Beginning of year | End of year    |                       |
|----------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                                                                                                                                              |                                                                                           | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| <b>Assets</b>                                                                                                                                | 1 Cash - non-interest-bearing                                                             |                   |                |                       |
|                                                                                                                                              | 2 Savings and temporary cash investments                                                  | 176,352,386.      | 139,986,967.   | 139,986,967.          |
|                                                                                                                                              | 3 Accounts receivable ▶                                                                   |                   |                |                       |
|                                                                                                                                              | Less allowance for doubtful accounts ▶                                                    |                   |                |                       |
|                                                                                                                                              | 4 Pledges receivable ▶                                                                    |                   |                |                       |
|                                                                                                                                              | Less allowance for doubtful accounts ▶                                                    |                   |                |                       |
|                                                                                                                                              | 5 Grants receivable                                                                       |                   |                |                       |
|                                                                                                                                              | 6 Receivables due from officers, directors, trustees, and other disqualified persons      |                   |                |                       |
|                                                                                                                                              | 7 Other notes and loans receivable ▶                                                      |                   |                |                       |
|                                                                                                                                              | Less allowance for doubtful accounts ▶                                                    |                   |                |                       |
|                                                                                                                                              | 8 Inventories for sale or use                                                             |                   |                |                       |
|                                                                                                                                              | 9 Prepaid expenses and deferred charges                                                   |                   |                |                       |
|                                                                                                                                              | 10a Investments - U S and state government obligations                                    |                   |                |                       |
|                                                                                                                                              | b Investments - corporate stock <span style="float:right">STMT 8</span>                   | 63,880,381.       | 104,486,967.   | 106,630,319.          |
|                                                                                                                                              | c Investments - corporate bonds                                                           |                   |                |                       |
|                                                                                                                                              | 11 Investments - land, buildings, and equipment basis ▶                                   |                   |                |                       |
| Less accumulated depreciation ▶                                                                                                              |                                                                                           |                   |                |                       |
| 12 Investments - mortgage loans                                                                                                              |                                                                                           |                   |                |                       |
| 13 Investments - other <span style="float:right">STMT 9</span>                                                                               | 3,515,327.                                                                                | 2,974,928.        | 2,974,928.     |                       |
| 14 Land, buildings, and equipment basis ▶ <span style="float:right">148,897.</span>                                                          |                                                                                           |                   |                |                       |
| Less accumulated depreciation ▶ <span style="float:right">129,023.</span>                                                                    | 28,360.                                                                                   | 19,874.           | 19,874.        |                       |
| 15 Other assets (describe ▶ )                                                                                                                |                                                                                           |                   |                |                       |
| 16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item 1)                                      | 243,776,454.                                                                              | 247,468,736.      | 249,612,088.   |                       |
| <b>Liabilities</b>                                                                                                                           | 17 Accounts payable and accrued expenses                                                  |                   |                |                       |
|                                                                                                                                              | 18 Grants payable                                                                         |                   |                |                       |
|                                                                                                                                              | 19 Deferred revenue                                                                       |                   |                |                       |
|                                                                                                                                              | 20 Loans from officers, directors, trustees, and other disqualified persons               |                   |                |                       |
|                                                                                                                                              | 21 Mortgages and other notes payable                                                      |                   |                |                       |
|                                                                                                                                              | 22 Other liabilities (describe ▶ STATEMENT 19 )                                           | 4,172,919.        | 5,462,560.     |                       |
| 23 <b>Total liabilities</b> (add lines 17 through 22)                                                                                        | 4,172,919.                                                                                | 5,462,560.        |                |                       |
| <b>Net Assets or Fund Balances</b>                                                                                                           | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>                   |                   |                |                       |
|                                                                                                                                              | 24 Unrestricted                                                                           |                   |                |                       |
|                                                                                                                                              | 25 Temporarily restricted                                                                 |                   |                |                       |
|                                                                                                                                              | 26 Permanently restricted                                                                 |                   |                |                       |
|                                                                                                                                              | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> |                   |                |                       |
|                                                                                                                                              | 27 Capital stock, trust principal, or current funds                                       | 0.                | 0.             |                       |
|                                                                                                                                              | 28 Paid-in or capital surplus, or land, bldg, and equipment fund                          | 0.                | 0.             |                       |
|                                                                                                                                              | 29 Retained earnings, accumulated income, endowment, or other funds                       | 239,603,535.      | 242,006,176.   |                       |
| 30 <b>Total net assets or fund balances</b>                                                                                                  | 239,603,535.                                                                              | 242,006,176.      |                |                       |
| 31 <b>Total liabilities and net assets/fund balances</b>                                                                                     | 243,776,454.                                                                              | 247,468,736.      |                |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|                                                                                                                                                                 |   |              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 239,603,535. |
| 2 Enter amount from Part I, line 27a                                                                                                                            | 2 | 2,407,007.   |
| 3 Other increases not included in line 2 (itemize) ▶ <u>ROUNDING</u>                                                                                            | 3 | 2.           |
| 4 Add lines 1, 2, and 3                                                                                                                                         | 4 | 242,010,544. |
| 5 Decreases not included in line 2 (itemize) ▶ <u>NON-DEDUCTIBLE CLUB DUES</u>                                                                                  | 5 | 4,368.       |
| 6 <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30                                                  | 6 | 242,006,176. |

## GLENN FOUNDATION FOR MEDICAL

Form 990-PF (2013)

RESEARCH, INC.

86-0710305

Page 3

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo, day, yr) | (d) Date sold<br>(mo, day, yr) |
|-----------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|------------------------------------|--------------------------------|
| <b>1a</b>                                                                                                                         |                                                  |                                    |                                |
| <b>b</b> SEE ATTACHED STATEMENT                                                                                                   |                                                  |                                    |                                |
| <b>c</b>                                                                                                                          |                                                  |                                    |                                |
| <b>d</b>                                                                                                                          |                                                  |                                    |                                |
| <b>e</b>                                                                                                                          |                                                  |                                    |                                |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| <b>a</b>              |                                            |                                                 |                                              |
| <b>b</b>              |                                            |                                                 |                                              |
| <b>c</b>              |                                            |                                                 |                                              |
| <b>d</b>              |                                            |                                                 |                                              |
| <b>e</b>              |                                            |                                                 | 15,716,115.                                  |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) FMV as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col (i)<br>over col (j), if any | (l) Gains (Col (h) gain minus<br>col (k), but not less than -0-) or<br>Losses (from col (h)) |
|------------------------|--------------------------------------|-----------------------------------------------|----------------------------------------------------------------------------------------------|
| <b>a</b>               |                                      |                                               |                                                                                              |
| <b>b</b>               |                                      |                                               |                                                                                              |
| <b>c</b>               |                                      |                                               |                                                                                              |
| <b>d</b>               |                                      |                                               |                                                                                              |
| <b>e</b>               |                                      |                                               | 15,716,115.                                                                                  |

|                                                                                       |                                                                                                                             |          |             |
|---------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|----------|-------------|
| <b>2</b> Capital gain net income or (net capital loss)                                | <div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>             | <b>2</b> | 15,716,115. |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) | <div> <div>If gain, also enter in Part I, line 8, column (c)</div> <div>If (loss), enter -0- in Part I, line 8</div> </div> | <b>3</b> | N/A         |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-----------------------------------------------------------|
| 2012                                                                 | 6,478,696.                               | 234,880,539.                                 | .027583                                                   |
| 2011                                                                 | 9,362,595.                               | 216,669,110.                                 | .043211                                                   |
| 2010                                                                 | 7,033,463.                               | 195,417,788.                                 | .035992                                                   |
| 2009                                                                 | 5,583,938.                               | 174,812,109.                                 | .031943                                                   |
| 2008                                                                 | 3,684,514.                               | 114,720,204.                                 | .032117                                                   |

|                                                                                                                                                                                       |          |              |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|--------------|
| <b>2</b> Total of line 1, column (d)                                                                                                                                                  | <b>2</b> | .170846      |
| <b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | <b>3</b> | .034169      |
| <b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5                                                                                                 | <b>4</b> | 240,108,464. |
| <b>5</b> Multiply line 4 by line 3                                                                                                                                                    | <b>5</b> | 8,204,266.   |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   | <b>6</b> | 139,513.     |
| <b>7</b> Add lines 5 and 6                                                                                                                                                            | <b>7</b> | 8,343,779.   |
| <b>8</b> Enter qualifying distributions from Part XII, line 4                                                                                                                         | <b>8</b> | 11,544,308.  |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate  
See the Part VI instructions

**GLENN FOUNDATION FOR MEDICAL  
RESEARCH, INC.**

Form 990-PF (2013)

86-0710305 Page 4

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                        |    |          |          |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----------|----------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |    |          |          |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                           |    | 1        | 139,513. |
| c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                                                                                                |    |          |          |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                                                                                             |    | 2        | 0.       |
| 3 Add lines 1 and 2                                                                                                                                                                                                                    |    | 3        | 139,513. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                                                                                           |    | 4        | 0.       |
| 5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-                                                                                                                                               |    | 5        | 139,513. |
| 6 Credits/Payments                                                                                                                                                                                                                     |    |          |          |
| a 2013 estimated tax payments and 2012 overpayment credited to 2013                                                                                                                                                                    | 6a |          |          |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                                | 6b |          |          |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                  | 6c | 139,513. |          |
| d Backup withholding erroneously withheld                                                                                                                                                                                              | 6d |          |          |
| 7 Total credits and payments Add lines 6a through 6d                                                                                                                                                                                   | 7  | 139,513. |          |
| 8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached                                                                                                          | 8  |          |          |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                        | 9  | 0.       |          |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                           | 10 |          |          |
| 11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>                                                                                                         | 11 |          |          |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                               |    |     |    |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                       |    | Yes | No |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |    |     | X  |
| 1c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                       |    |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.                                                                                                                         |    |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.                                                                                                                                                                         |    |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                               | 2  |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                  | 3  |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                | 4a |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                            | 4b |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                          | 5  |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?           | 6  |     | X  |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV                                                                                                                                                                                                            | 7  | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>AZ</u>                                                                                                                                                                                                      |    |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                 | 8b | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                        | 9  |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                         | 10 |     | X  |

N/A

Form 990-PF (2013)

**GLENN FOUNDATION FOR MEDICAL  
RESEARCH, INC.**

Form 990-PF (2013)

86-0710305

Page 5

**Part VII-A Statements Regarding Activities** (continued)

|    |                                                                                                                                                                                                                                                                                                                                  |    |     |         |
|----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|---------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                          | 11 |     | X       |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                         | 12 |     | X       |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► <u>GLENNFOUNDATION.ORG</u>                                                                                                                                                              | 13 | X   |         |
| 14 | The books are in care of ► <u>COPELAND, HILD &amp; TROTTER, PC</u> Telephone no ► <u>(405) 348-8987</u><br>Located at ► <u>PO BOX 2690, EDMOND, OK</u> ZIP+4 ► <u>73083-2690</u>                                                                                                                                                 |    |     |         |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year<br>► <u>15</u> N/A                                                                                                                           |    |     |         |
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ► | 16 | Yes | No<br>X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Yes | No |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a  | During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                                 |     |    |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                             |     |    |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                     |     |    |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                         |     |    |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                               |     |    |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                      |     |    |
| (6) | Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                     |     |    |
| b   | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here<br>N/A<br>► <input type="checkbox"/>                                                                                                                                                    | 1b  |    |
| c   | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                                                                            | 1c  | X  |
| 2   | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                      |     |    |
| a   | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years ►                                                                                                                                                                                                                                                |     |    |
| b   | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A                                                                                                                                                                                       | 2b  |    |
| c   | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here<br>►                                                                                                                                                                                                                                                                                                                                                                               |     |    |
| 3a  | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                     |     |    |
| b   | If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A | 3b  |    |
| 4a  | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                    | 4a  | X  |
| b   | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                                  | 4b  | X  |

Form 990-PF (2013)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| STATEMENT 15         |                                                           |                                           |                                                                       |                                       |
|                      | 0.00                                                      | 0.                                        | 0.                                                                    | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

Form 990-PF (2013)

GLENN FOUNDATION FOR MEDICAL  
RESEARCH, INC.

Form 990-PF (2013)

86-0710305 Page 7

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| JOHN LYTTLE<br>1521 SINALOA DRIVE, SANTA BARBARA, CA 93108  | CONSULTING SERVICES | 0.               |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

Total number of others receiving over \$50,000 for professional services **66000**

**Part IX-A** Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1 N/A                                                                                                                                                                                                                                                 |          |
| 2                                                                                                                                                                                                                                                     |          |
| 3                                                                                                                                                                                                                                                     |          |
| 4                                                                                                                                                                                                                                                     |          |

**Part IX-B** Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| 1 N/A                                                                                                            |        |
| 2                                                                                                                |        |
| All other program-related investments. See instructions                                                          |        |
| 3                                                                                                                |        |
| Total. Add lines 1 through 3                                                                                     | 0.     |

Form 990-PF (2013)

GLENN FOUNDATION FOR MEDICAL  
RESEARCH, INC.

Form 990-PF (2013)

86-0710305

Page 8

**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                            |    |              |
|---|------------------------------------------------------------------------------------------------------------|----|--------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes |    |              |
| a | Average monthly fair market value of securities                                                            | 1a | 81,756,066.  |
| b | Average of monthly cash balances                                                                           | 1b | 156,690,068. |
| c | Fair market value of all other assets                                                                      | 1c | 5,318,804.   |
| d | Total (add lines 1a, b, and c)                                                                             | 1d | 243,764,938. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)  | 1e | 0.           |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                       | 2  | 0.           |
| 3 | Subtract line 2 from line 1d                                                                               | 3  | 243,764,938. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)  | 4  | 3,656,474.   |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4       | 5  | 240,108,464. |
| 6 | Minimum investment return. Enter 5% of line 5                                                              | 6  | 12,005,423.  |

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                    |    |             |
|----|----------------------------------------------------------------------------------------------------|----|-------------|
| 1  | Minimum investment return from Part X, line 6                                                      | 1  | 12,005,423. |
| 2a | Tax on investment income for 2013 from Part VI, line 5                                             | 2a | 139,513.    |
| b  | Income tax for 2013 (This does not include the tax from Part VI)                                   | 2b |             |
| c  | Add lines 2a and 2b                                                                                | 2c | 139,513.    |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                              | 3  | 11,865,910. |
| 4  | Recoveries of amounts treated as qualifying distributions                                          | 4  | 0.          |
| 5  | Add lines 3 and 4                                                                                  | 5  | 11,865,910. |
| 6  | Deduction from distributable amount (see instructions)                                             | 6  | 0.          |
| 7  | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 11,865,910. |

**Part XII**

**Qualifying Distributions** (see instructions)

|   |                                                                                                                                   |    |             |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|-------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                         |    |             |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 11,544,308. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 0.          |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |             |
| 3 | Amounts set aside for specific charitable projects that satisfy the                                                               |    |             |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |             |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |             |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                        | 4  | 11,544,308. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 139,513.    |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4                                                                    | 6  | 11,404,795. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

**GLENN FOUNDATION FOR MEDICAL  
RESEARCH, INC.**

Form 990-PF (2013)

86-0710305 Page 9

**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7                                                                                                                       |               |                            |             | 11,865,910. |
| 2 Undistributed income, if any, as of the end of 2013                                                                                                                      |               |                            |             |             |
| a Enter amount for 2012 only                                                                                                                                               |               |                            | 11,005,113. |             |
| b Total for prior years                                                                                                                                                    |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2013                                                                                                                          |               |                            |             |             |
| a From 2008                                                                                                                                                                |               |                            |             |             |
| b From 2009                                                                                                                                                                |               |                            |             |             |
| c From 2010                                                                                                                                                                |               |                            |             |             |
| d From 2011                                                                                                                                                                |               |                            |             |             |
| e From 2012                                                                                                                                                                |               |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              | 0.            |                            |             |             |
| 4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 11,544,308.                                                                                                 |               |                            |             |             |
| a Applied to 2012, but not more than line 2a                                                                                                                               |               |                            | 11,005,113. |             |
| b Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| d Applied to 2013 distributable amount                                                                                                                                     |               |                            |             | 539,195.    |
| e Remaining amount distributed out of corpus                                                                                                                               | 0.            |                            |             |             |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          | 0.            |                            |             |             |
| b Prior years' undistributed income Subtract line 4b from line 2b                                                                                                          |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b Taxable amount - see instructions                                                                                                          |               | 0.                         |             |             |
| e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr                                                                                   |               |                            | 0.          |             |
| f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014                                                                |               |                            |             | 11,326,715. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)                                                     | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7                                                                                                 | 0.            |                            |             |             |
| 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a                                                                                              | 0.            |                            |             |             |
| 10 Analysis of line 9                                                                                                                                                      |               |                            |             |             |
| a Excess from 2009                                                                                                                                                         |               |                            |             |             |
| b Excess from 2010                                                                                                                                                         |               |                            |             |             |
| c Excess from 2011                                                                                                                                                         |               |                            |             |             |
| d Excess from 2012                                                                                                                                                         |               |                            |             |             |
| e Excess from 2013                                                                                                                                                         |               |                            |             |             |

## Form 990-PF (2013)

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- [illegible]

## 1 Information Regarding Foundation Managers:

- NONE

- NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include**

- c Any submission deadlines**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

|                                                                                           |                                                                                                                                                            |                                                                                 |                                                                                   |                                                         |
|-------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|---------------------------------------------------------|
| <div> <div>Part XV</div> <div>Supplementary Information (continued)</div> </div>          |                                                                                                                                                            |                                                                                 |                                                                                   |                                                         |
| <div>3 Grants and Contributions Paid During the Year or Approved for Future Payment</div> |                                                                                                                                                            |                                                                                 |                                                                                   |                                                         |
| <div> <div>Recipient</div> <div>Name and address (home or business)</div> </div>          | <div>                     If recipient is an individual, show any relationship to any foundation manager or substantial contributor                 </div> | <div>                     Foundation status of recipient                 </div> | <div>                     Purpose of grant or contribution                 </div> | <div>                     Amount                 </div> |
| <div> <div>a Paid during the year</div> <div>STATEMENT 21</div> </div>                    |                                                                                                                                                            |                                                                                 |                                                                                   | <div>11,516,688.</div>                                  |
|                                                                                           |                                                                                                                                                            |                                                                                 |                                                                                   |                                                         |
|                                                                                           |                                                                                                                                                            |                                                                                 |                                                                                   |                                                         |
|                                                                                           |                                                                                                                                                            |                                                                                 |                                                                                   |                                                         |
|                                                                                           |                                                                                                                                                            |                                                                                 |                                                                                   |                                                         |
|                                                                                           |                                                                                                                                                            |                                                                                 |                                                                                   |                                                         |
| <div>Total</div>                                                                          |                                                                                                                                                            |                                                                                 |                                                                                   | <div> <div>▶ 3a</div> <div>11,516,688.</div> </div>     |
| <div> <div>b Approved for future payment</div> <div>NONE</div> </div>                     |                                                                                                                                                            |                                                                                 |                                                                                   |                                                         |
|                                                                                           |                                                                                                                                                            |                                                                                 |                                                                                   |                                                         |
|                                                                                           |                                                                                                                                                            |                                                                                 |                                                                                   |                                                         |
|                                                                                           |                                                                                                                                                            |                                                                                 |                                                                                   |                                                         |
| <div>Total</div>                                                                          |                                                                                                                                                            |                                                                                 |                                                                                   | <div> <div>▶ 3b</div> <div>0.</div> </div>              |

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations )



## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                  | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| MONTECITO BANK          | 433.                        | 433.                            |                               |
| TOTAL TO PART I, LINE 3 | 433.                        | 433.                            |                               |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE                        | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| DIVIDEND INCOME               | 2,495,384.      | 0.                            | 2,495,384.                  | 2,495,384.                        |                               |
| INTEREST INCOME               | 29,218.         | 0.                            | 29,218.                     | 29,218.                           |                               |
| PARTNERSHIP<br>DIVIDENDS K1'S | 2,371.          | 0.                            | 2,371.                      | 2,371.                            |                               |
| PARTNERSHIP<br>INTEREST K1'S  | 2,469.          | 0.                            | 2,469.                      | 2,469.                            |                               |
| TO PART I, LINE 4             | 2,529,442.      | 0.                            | 2,529,442.                  | 2,529,442.                        |                               |

## FORM 990-PF OTHER INCOME STATEMENT 3

| DESCRIPTION                           | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| SEE STATEMENT 20                      | -112,505.                   | -112,505.                         |                               |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | -112,505.                   | -112,505.                         |                               |

| FORM 990-PF                | LEGAL FEES                   |                                   |                               | STATEMENT                     | 4 |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| LEGAL FEES                 | 2,983.                       | 2,983.                            |                               | 0.                            |   |
| TO FM 990-PF, PG 1, LN 16A | 2,983.                       | 2,983.                            |                               | 0.                            |   |

| FORM 990-PF                  | ACCOUNTING FEES              |                                   |                               | STATEMENT                     | 5 |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| ACCOUNTING FEES              | 1,000.                       | 1,000.                            |                               | 0.                            |   |
| TO FORM 990-PF, PG 1, LN 16B | 1,000.                       | 1,000.                            |                               | 0.                            |   |

| FORM 990-PF                 | TAXES                        |                                   |                               | STATEMENT                     | 6 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| FOREIGN TAXES               | 26,260.                      | 26,260.                           |                               | 0.                            |   |
| OTHER TAXES                 | 149.                         | 149.                              |                               | 0.                            |   |
| OTHER TAXES                 | 1,255.                       | 1,255.                            |                               | 0.                            |   |
| TO FORM 990-PF, PG 1, LN 18 | 27,664.                      | 27,664.                           |                               | 0.                            |   |

| FORM 990-PF                 | OTHER EXPENSES               |                                   |                               | STATEMENT                     | 7 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| STATEMENT 16                | 4,023,746.                   | 3,996,126.                        |                               | 27,620.                       |   |
| TO FORM 990-PF, PG 1, LN 23 | 4,023,746.                   | 3,996,126.                        |                               | 27,620.                       |   |

| FORM 990-PF                             | CORPORATE STOCK | STATEMENT         | 8 |
|-----------------------------------------|-----------------|-------------------|---|
| DESCRIPTION                             | BOOK VALUE      | FAIR MARKET VALUE |   |
| INVESTMENTS CORP. SECURITIES STMT 17    | 104,486,967.    | 106,630,319.      |   |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 104,486,967.    | 106,630,319.      |   |

| FORM 990-PF                            | OTHER INVESTMENTS | STATEMENT  | 9                 |
|----------------------------------------|-------------------|------------|-------------------|
| DESCRIPTION                            | VALUATION METHOD  | BOOK VALUE | FAIR MARKET VALUE |
| OTHER INVESTMENTS - STATEMENT 18       | COST              | 2,974,928. | 2,974,928.        |
| TOTAL TO FORM 990-PF, PART II, LINE 13 |                   | 2,974,928. | 2,974,928.        |

Glenn Foundation  
 EIN 86-0710305  
 Commodity Account Summary  
 10/01/13 TO 9/30/14

| Broker | Account       | Open<br>G(L)<br>30-Sep-13 | Closed<br>Futures<br>G(L) | Open<br>Futures<br>G(L) | Open<br>Options<br>G(L) | Net<br>G(L)<br>Reported | Account<br>Balance<br>as of 9/30/14 |
|--------|---------------|---------------------------|---------------------------|-------------------------|-------------------------|-------------------------|-------------------------------------|
| ADM    | 27600         | 163,224.52                | 928,536.60                | 491,783.64              | (910,250.00)            | 673,294.76              | 4,028,062.03                        |
| ADM    | 41795         | (167,966.10)              | 1,372,195.67              | (13,157.05)             | 259,875.00              | 1,450,947.52            | 7,493,796.78                        |
| ADM    | 42060         | 0.00                      | 99,524.12                 |                         |                         | 99,524.12               | 1,288,789.84                        |
| ADM    | 42096         | 4,862,113.28              | (1,371,730.74)            | 71,156.25               | (979,687.50)            | 2,581,851.29            | 18,396,078.15                       |
| ADM    | 42263         | 0.00                      | 428,640.16                | 186,526.42              | 40,737.50               | 655,904.08              | 3,155,904.08                        |
| ADM    | 81413         | (7,041,385.17)            | 7,474,822.14              | 4,440,835.66            | 1,268,975.00            | 6,143,247.63            | 32,235,810.97                       |
| ADM    | 94420         | 427,026.80                | (468,356.74)              | 492,450.00              |                         | 451,120.06              | 32,213,366.21                       |
| ADM    | 94421         | (6,712.50)                | (459,866.52)              |                         |                         | (466,579.02)            | 0.00                                |
| MF C/A | 18053         |                           |                           |                         |                         | 0.00                    | 0.00                                |
| McVean | 71363         | (29,361.25)               | 674,060.27                | 280,582.50              | 17,326.00               | 942,607.52              | 3,670,390.17                        |
| McVean | Non Reg 71363 | (400.00)                  | 50,805.23                 | 27,930.00               |                         | 78,335.23               | 110,623.61                          |
| McVean | 71364         | (10,025.00)               | 9,970.08                  |                         | 9,056.25                | 9,001.33                | 136,162.25                          |
| McVean | 71365         | 40,100.00                 | 4,869.77                  | 41,707.50               | (53,817.50)             | 32,859.77               | 286,719.32                          |
| McVean | 71366         | (12,562.50)               | 73,585.90                 | 103,625.00              |                         | 164,648.40              | 547,479.34                          |
| McVean | 71367         | 101,121.25                | (100,418.74)              | 34,810.34               |                         | 35,512.85               | 637,322.55                          |
| RCG    | 12006         | 1,387,475.00              | (1,011,070.94)            | 69,396.73               | (600,200.00)            | (154,399.21)            | 3,326,666.85                        |
| RCG    | 17001         | 0.00                      | 15,293.23                 | 33,982.83               | 24,918.75               | 74,194.81               | 324,194.81                          |
| RCG    | 33544         | (66,556.44)               | 55,375.98                 | 32,208.47               | 36,400.00               | 57,428.01               | 1,297,038.86                        |
| RCG    | 47430         | (10,462.50)               | 18,118.08                 | 30,487.50               |                         | 38,143.08               | 535,864.08                          |
| RCG    | 47466         | (75,600.00)               | (93,607.24)               |                         |                         | (169,207.24)            | 0.00                                |
| RCG    | 47467         | 560.00                    | (7,891.60)                |                         |                         | (7,331.60)              | 0.00                                |
| RCG    | 51041         | (105,720.50)              | 1,347,258.22              | 1,659.15                | 372,500.00              | 1,615,696.87            | 10,588,353.18                       |
|        |               | (545,131.11)              | 9,040,112.93              | 6,325,984.94            | (514,166.50)            | 14,306,800.26           | 120,272,623.08                      |
|        |               |                           |                           |                         |                         | 14,306,800.26           | 120,272,623.08                      |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.  
 EIN: 86-0710305  
 FORM 990PF PART IV, LINE 1C - CAPITAL GAINS (LOSSES) - PARTNERSHIPS  
 FYE: 09-30-2014

| DESCRIPTION             | GAIN (LOSS) |           | TOTAL     |
|-------------------------|-------------|-----------|-----------|
|                         | SHORT-TERM  | LONG-TERM |           |
| ADIT CAPITAL II         | (2,847)     | 2,167     | (680)     |
| BOARDWALK PIPELING      |             | 107       | 107       |
| CALUMET SPLTY           | (471)       |           | (471)     |
| CALUMET SPLTY- 1231     |             | (21)      | (21)      |
| CONSTELLATION FUND      |             | 9,056     | 9,056     |
| CONSTELLATION FUND-1256 | (28,500)    | (42,751)  | (71,251)  |
| ENTERPRISES PRDCS-1231  |             | 74        | 74        |
| GIBRALTAR PARTN.- 1256  | (482)       | (723)     | (1,205)   |
| GIBRALTAR PARTNERS LLC  | 30,634      |           | 30,634    |
| GREEN ENERGY METALS     | (2,149)     | (15,637)  | (17,786)  |
| LINN ENERGY LLC-1231    |             | 148       | 148       |
| NGEN ENABLING           |             | (122,724) | (122,724) |
| NGEN II LP              |             | (208,819) | (208,819) |
| PVR PARTNERS LP - 1231  |             | (33)      | (33)      |
| REGENCY ENERGY-1231     |             | (31)      | (31)      |
|                         | (3,815)     | (379,187) | (383,002) |
|                         |             |           | -383,002  |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.  
EIN: 86-0710305  
STOCK GAIN (LOSS) SUMMARY PAGE  
FYE 9-30-2014

| Stock Account               | GAIN<br>(LOSS)      |
|-----------------------------|---------------------|
| B of A ML 83636515          | 12,458.42 LT        |
| CSFB 065328                 | 9,138.82 ST         |
| CSFB 065328                 | 46,864.88 LT        |
| DBAB 065557                 | (1,016,559.84) ST   |
| DBAB 388629                 | 7,787.25 ST         |
| DBAB 388629                 | 17,019.31 LT        |
| DBAB 874529                 | 1,708,278.36 ST     |
| DBAB 874529                 | 275,325.38 LT       |
| DBAB 876581                 | 262,743.92 ST       |
| DBAB 876581                 | 128,612.31 LT       |
| Morgan Stanley 814890187061 | (157,644.32) ST     |
| Morgan Stanley 814890187061 | 22,466.52 LT        |
| Raymond James 49519053      | 153,811.04 ST       |
| Raymond James 49519053      | 186,513.12 LT       |
| Raymond James 49533723      | 2,743.06 ST         |
| UBS FINANCIAL JP 32494 WH   | 5,871.61 ST         |
| UBS FINANCIAL JP 39221 V3   | 64,333.24 ST        |
| UBS FINANCIAL JP 39221 V3   | 2,005.41 LT         |
| UBS JP 39222 V3             | 48,690.79 ST        |
| UBS JP 39222 V3             | 11,858.18 LT        |
|                             | <u>1,792,317.46</u> |
| <br>TOTAL SHORT TERM        | <br>1,089,193.93    |
| TOTAL LONG TERM             | <u>703,123.53</u>   |
|                             | <u>1,792,317.46</u> |

GLENN FOUNDATION  
FEI # 88-0710305  
CREDIT SUISSE 214-085328  
SCHEDULE D ATTACHMENT  
Y/E 09/30/14

| Memo                                            | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|-------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| Oct-13<br>SHORT TERM                            |                |                 |          |               |               |                |
| GAIN ON CONVERSION                              | 0.25           |                 |          |               | 0.00          | 0.25           |
| Nov-13<br>SHORT TERM                            |                |                 |          |               |               |                |
| LOSS ON CONVERSION                              | 0.00           |                 |          |               | 0.68          | (0.68)         |
| Dec-13<br>SHORT TERM                            |                |                 |          |               |               |                |
| LOSS ON CONVERSION                              | 0.00           |                 |          |               | 0.33          | (0.33)         |
| Jan-14<br>SHORT TERM                            |                |                 |          |               |               |                |
| REPSOL SA RIGHTS                                | 8,977.71       | 01/06/14        | 12/24/13 | 13265         | 0             | 8,977.71       |
| LOAA ON XONCWEAION                              | 0.00           |                 |          |               | 0.50          | (0.50)         |
|                                                 | 8,977.71       |                 |          |               | 0.50          | 8,977.21       |
| LONG TERM                                       |                |                 |          |               |               |                |
| TURKISH INVT FD INC LT CAP GAIN                 | 46,864.88      |                 |          |               | 0.00          | 46,864.88      |
| Feb-14<br>SHORT TERM                            |                |                 |          |               |               |                |
| GAIN ON CONVERSION                              | 0.53           |                 |          |               | 0.00          | 0.53           |
| Mar-14<br>SHORT TERM                            |                |                 |          |               |               |                |
| GAIN ON CONVERSION                              | 117.85         |                 |          |               | 0.00          | 117.85         |
| Apr-14<br>SHORT TERM                            |                |                 |          |               |               |                |
| GAIN ON CONVERSION                              | 0.02           |                 |          |               | 0.00          | 0.02           |
| May-14<br>SHORT TERM                            |                |                 |          |               |               |                |
| BANK OF QUEENSLAND LTD CASH IN LIEU             | 8.86           |                 |          |               | 0.00          | 8.86           |
| GAIN ON CONVERSION                              | 0.06           |                 |          |               | 0.00          | 0.06           |
|                                                 | 8.92           |                 |          |               |               | 8.92           |
| Jun-14<br>SHORT TERM                            |                |                 |          |               |               |                |
| TORTOISE ENRGY INFRASTRUCTURE CORP CASH IN LIEU | 36.24          |                 |          |               | 0.00          | 36.24          |
| GAIN ON CONVERSION                              | 0.17           |                 |          |               | 0.00          | 0.17           |
|                                                 | 36.41          |                 |          |               | 0.00          | 36.41          |
| Jul-14<br>SHORT TERM                            |                |                 |          |               |               |                |
| LOSS ON CONVERSION                              | 0.00           |                 |          |               | 0.32          | (0.32)         |
| Aug-14<br>SHORT TERM                            |                |                 |          |               |               |                |
| GAIN ON CONVERSION                              | 0.16           |                 |          |               | 0.00          | 0.16           |
| Sep-14<br>SHORT TERM                            |                |                 |          |               |               |                |
| LOSS ON CONVERSION                              | 0.00           |                 |          |               | 1.20          | (1.20)         |
| SHORT TERM                                      | 9,138.82       |                 |          |               |               |                |
| LONG TERM                                       | 46,864.88      |                 |          |               |               |                |
|                                                 | 56,003.70      |                 |          |               |               |                |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
 EIN: 86-0710305  
 DEUTSCHE BANK 5XL-065557  
 FYE 9-30-2014

| MONTH                  | Sales<br>Price | Cost<br>Basis | Gain<br>(Loss) |
|------------------------|----------------|---------------|----------------|
| <b>SHORT TERM</b>      |                |               |                |
| Oct-13                 | 21,047.35      | 0.00          | 21,047.35      |
| Nov-13                 | 120,351.81     | 124,812.55    | (4,460.74)     |
| Dec-13                 | 31,046.63      | 0.00          | 31,046.63      |
| Jan-14                 | 0.00           | 152,768.92    | (152,768.92)   |
| Feb-14                 | 5,944,544.97   | 5,844,249.89  | 100,295.08     |
| Mar-14                 | 3,940,113.62   | 5,124,431.62  | (1,184,318.00) |
| Apr-14                 | 1,409,426.78   | 1,395,637.50  | 13,789.28      |
| May-14                 | 148,922.66     | 121,074.00    | 27,848.66      |
| Jun-14                 | 51,379.84      | 36,677.00     | 14,702.84      |
| Jul-14                 | 139,311.77     | 98,447.25     | 40,864.52      |
| Aug-14                 | 1,471,111.76   | 1,354,018.00  | 117,093.76     |
| Sep-14                 | 417,311.70     | 459,012.00    | (41,700.30)    |
|                        | 13,694,568.89  | 14,711,128.73 | (1,016,559.84) |
| SHORT TERM GAIN (LOSS) | (1,016,559.84) |               |                |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK 6XL-066557  
FYE 9-30-2014

| Memo                                           | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| Oct-13                                         |                |                 |          |               |               |                |
| SHORT TERM                                     |                |                 |          |               |               |                |
| TESLA MOTORS INC 10-11-13 PUT @ 155.00 EXPIRED | 13,501.99      | 10/03/13        | 10/14/13 | 50            | 0.00          | 13,501.99      |
| TESLA MOTORS INC 10-19-13 PUT @ 195.00 EXPIRED | 7,545.36       | 10/15/13        | 10/21/13 | 50            | 0.00          | 7,545.36       |
|                                                | 21,047.35      |                 |          |               | 0.00          | 21,047.35      |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
 EIN: 86-0710305  
 DEUTSCHE BANK 5XL-065557  
 FYE 9-30-2014

| Memo                                           | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| <b>Nov-13</b>                                  |                |                 |          |               |               |                |
| AMAZON INC. 11-16-13 PUT @ 305.00              | 0.00           | 11/18/13        | 10/23/13 | 100           | 61,103.55     | (61,103.55)    |
| TESLA MOTORS INC 11-29-13 CALL @ 125.00        | 32,794.92      | 11/22/13        | 11/27/13 | 50            | 11,104.50     | 21,690.42      |
| TESLA MOTORS INC 11-8-13 CALL @ 200.00 EXPIRED | 11,852.98      | 11/05/13        | 11/11/13 | 50            | 0.00          | 11,852.98      |
| TESLA MOTORS INC. 11-22-13 CALL @ 125.00       | 17,595.19      | 11/18/13        | 11/22/13 | 50            | 26,604.50     | (9,009.31)     |
| TESLA MOTORS INC 11-8-13 CALL @ 190.00 EXPIRED | 13,164.01      | 11/04/13        | 11/11/13 | 50            | 0.00          | 13,164.01      |
| TWITTER INC.                                   | 44,944.71      | 11/07/13        | 11/07/13 | 1000          | 26,000.00     | 18,944.71      |
|                                                | 120,351.81     |                 |          |               | 124,812.55    | (4,460.74)     |

## GLENN FOUNDATION FOR MEDICAL RESEARCH, INC

EIN: 86-0710305

DEUTSCHE BANK 5XL-065557

FYE 9-30-2014

| Memo                                           | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| Dec-13                                         |                |                 |          |               |               |                |
| SHORT TERM                                     |                |                 |          |               |               |                |
| AMAZON INC 12-13-13 PUT @ 380.00 EXPIRED       | 7,001.56       | 12/09/13        | 12/16/13 | 30            | 0.00          | 7,001.56       |
| TESLA MOTORS INC 12-6-13 CALL @ 125.00 EXPIRED | 24,045.07      | 11/27/13        | 12/09/13 | 50            | 0.00          | 24,045.07      |
|                                                | 31,046.63      |                 |          |               | 0.00          | 31,046.63      |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
 EIN: 86-0710305  
 DEUTSCHE BANK 5XL-085557  
 FYE 9-30-2014

| Memo                                       | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|--------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| Jan-14                                     |                |                 |          |               |               |                |
| SHORT TERM                                 |                |                 |          |               |               |                |
| TESLA MOTORS 1-18-14 CALL @ 190.00 EXPIRED | 0.00           | 01/21/14        | 10/03/13 | 100           | 152,768.92    | (152,768.92)   |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK 5XL-065557  
FYE 9-30-2014

| Memo                                           | Sales<br>Price | Date of<br>Sale | Purchase  | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|------------------------------------------------|----------------|-----------------|-----------|---------------|---------------|----------------|
| Feb-14                                         |                |                 |           |               |               |                |
| AMAZON COM                                     | 1,006,381.36   | 10/25/13        | 01/31/14  | 3000          | 1,108,532.59  | (100,151.23)   |
| AMAZON COM                                     | 670,820.80     | 10/25/2013      | 01/31/14  | 2000          | 765,186.44    | (94,265.54)    |
| AMAZON COM                                     | 3,569,310.33   | ARIOUS S/T      | 1/31/2014 | 10000         | 3,414,481.86  | 154,828.47     |
| ARES CAP CORP                                  | 202,722.66     | 02/20/14        | 12/10/13  | 11000         | 195,690.00    | 7,032.66       |
| ENBRIDGE ENERGY MGMT LLC CASH IN LIEU          | 24.37          |                 |           |               | 0.00          | 24.37          |
| SEARS HOLDINGS CORP 2-22-14 CALL @ 39.00       | 23,818.58      | 01/16/14        | 02/20/14  | 100           | 22,616.00     | 1,202.58       |
| TESLA MOTORS 3-22-14 CALL @ 185.00             | 416,586.74     | 02/18/14        | 02/07/14  | 200           | 339,743.00    | 76,843.74      |
| TESLA MOTORS INC 2-22-14 CALL @ 160.00 EXPIRED | 34,686.39      | 02/07/14        | 02/24/14  | 100           | 0.00          | 34,686.39      |
| TESLA MOTORS INC 2-22-14 CALL @ 220.00 EXPIRED | 20,093.64      | 02/12/14        | 02/24/14  | 50            | 0.00          | 20,093.64      |
|                                                | 5,944,544.97   |                 |           |               | 5,844,249.89  | 100,295.08     |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK 5XL-065557  
FYE 9-30-2014

| Memo                                                 | Sales<br>Price | Date of<br>Sale Purchase |          | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|------------------------------------------------------|----------------|--------------------------|----------|---------------|---------------|----------------|
| Mar-14                                               |                |                          |          |               |               |                |
| ISHARES INC MSCI JAPAN INDEX FD                      | 302,763.43     | 05/20/13                 | 03/26/13 | 25000         | 279,756.00    | 23,007.43      |
| PROSHARES TRUST II CRUDE OIL 3-7-14 CALL @ 35.00     | 16,393.70      | 02/19/14                 | 03/07/14 | 200           | 4,458.00      | 11,937.70      |
| PROSHARES TRUST II CRUDE OIL 3-7-14 CALL @ 35.00 EXP | 19,993.64      | 03/07/14                 | 03/24/14 | 200           | 0.00          | 19,993.64      |
| SEARS HOLDINGS CORP                                  | 117,790.00     | 03/18/14                 | 03/24/14 | 2688          | 129,095.16    | (11,305.16)    |
| SEARS HOLDINGS CORP                                  | 319,887.10     | ARIOUS S/T               | 03/25/14 | 7314          | 352,544.46    | (32,657.36)    |
| TESLA MOTORS                                         | 3,122,514.55   | VARIOUS S                | 03/21/14 | 20000         | 4,333,812.00  | (1,211,297.45) |
| TESLA MOTORS INC 3-14-14 CALL @ 225.00               | 11,593.79      | 03/10/14                 | 03/14/14 | 50            | 1,362.00      | 10,231.79      |
| TESLA MOTORS INC 3-7-14 CALL @ 230.00 EXPIRED        | 15,093.73      | 03/03/14                 | 03/10/14 | 50            | 0.00          | 15,093.73      |
| TESLA MOTORS INC 3-22-14 CALL @ 235.00               | 14,083.68      | 03/18/14                 | 03/21/14 | 50            | 23,408.00     | (9,322.32)     |
|                                                      | 3,940,113.62   |                          |          |               | 5,124,431.62  | (1,184,318.00) |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK 5XL-065557  
FYE 9-30-2014

| Memo                                              | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|---------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| Apr-14                                            |                |                 |          |               |               |                |
| ENBRIDGE ENERGY MGMT LLC                          | 206,830.15     | 04/04/14        | 09/10/13 | 7288          | 203,000.00    | 3,830.15       |
| PROSHARES TRUST II CRUDE OIL 4-19-14 CALL @ 33.00 | 15,288.85      | 04/04/14        | 04/17/14 | 100           | 35,808.00     | (20,317.35)    |
| PROSHARES TRUST II CRUDE OIL 4-4-14 CALL @ 33.50  | 5,643.87       | 03/25/14        | 04/04/14 | 100           | 10,163.50     | (4,519.63)     |
| SEARS HOLDINGS CORP 4-19-14 CALL @ 50.00          | 9,593.78       | 03/25/14        | 04/09/14 | 50            | 1,056.00      | 8,537.78       |
| TESLA MOTORS                                      | 1,139,441.57   | 03/21/14        | 03/28/14 | 5000          | 1,137,808.00  | 1,635.57       |
| TESLA MOTORS INC 4-11-14 CALL @ 200.00            | 11,046.75      | 04/07/14        | 04/10/14 | 50            | 8,006.00      | 3,040.75       |
| TESLA MOTORS INC 4-19-14 CALL @ 255.00 EXPIRED    | 12,056.73      | 04/03/14        | 04/21/14 | 50            | 0.00          | 12,056.73      |
| TESLA MOTORS INC 4-19-14 PUT @ 187.50             | 9,525.28       | 04/14/14        | 04/21/14 | 50            | 0.00          | 9,525.28       |
|                                                   | 1,409,426.78   |                 |          |               | 1,395,637.50  | 13,789.28      |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK 6XL-085557  
FYE 9-30-2014

| Memo                                              | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|---------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| May-14                                            |                |                 |          |               |               |                |
| PROSHARES TRUST II CRUDE OIL 5-17-14 CALL @ 36.00 | 12,693.71      | 04/15/14        | 05/15/14 | 100           | 1,508.00      | 11,187.71      |
| PROSHARES TRUST II CRUDE OIL 5-17-14 CALL @ 33.00 | 37,493.16      | 04/17/14        | 05/15/14 | 100           | 25,008.00     | 12,487.16      |
| TESLA MOTORS INC 5-17-14 CALL @ 172.50 EXPIRED    | 18,949.57      | 05/08/14        | 05/19/14 | 50            | 0.00          | 18,949.57      |
| TESLA MOTORS INC 5-7-14 CALL @ 185.00             | 11,193.74      | 05/08/14        | 05/08/14 | 50            | 38,908.00     | (25,712.26)    |
| TESLA MTRS INC 5-17-14 PUT @ 190.00               | 68,592.48      | 05/08/14        | 03/28/14 | 50            | 57,656.00     | 10,936.48      |
|                                                   | 148,922.66     |                 |          |               | 121,074.00    | 27,848.66      |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK 5XL-065557  
FYE 9-30-2014

| Memo                                             | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|--------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| Jun-14                                           |                |                 |          |               |               |                |
| APPLE INC 6-21-14 PUT @ 90.00 EXPIRED            | 0.00           | 06/23/14        | 06/04/14 | 350           | 29,995.00     | (29,995.00)    |
| APPLE INC 6-21-14 PUT @ 94.29 EXPIRED            | 36,182.19      | 08/04/14        | 06/23/14 | 350           | 0.00          | 36,182.19      |
| PROSHARES TRUST II CRUDE OIL 6-6-14 CALL @ 36.00 | 9,893.77       | 05/19/14        | 06/06/14 | 100           | 6,682.00      | 3,211.77       |
| TESLA MOTORS INC 6-27-14 CALL @ 230.00 EXPIRED   | 5,303.88       | 06/24/14        | 06/30/14 | 30            | 0.00          | 5,303.88       |
|                                                  | 51,379.84      |                 |          |               | 36,677.00     | 14,702.84      |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK 5XL-065557  
FYE 9-30-2014

| Memo                                           | Sales<br>Price | Date of  |          | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|------------------------------------------------|----------------|----------|----------|---------------|---------------|----------------|
|                                                |                | Sale     | Purchase |               |               |                |
| Jul-14                                         |                |          |          |               |               |                |
| FREEPORT-MCMORAN COPPER & GOLD INC             | 18,881.08      | 07/02/14 | 07/17/13 | 500           | 14,191.25     | 4,689.83       |
| INDEPENDENCE ROYALTY TRUST INC                 | 31,042.51      | 07/07/14 | 01/24/14 | 3060          | 25,398.00     | 5,644.51       |
| INDEPENDENCE ROYALTY TRUST INC                 | 70,700.61      | 07/08/14 | 01/24/14 | 6940          | 57,602.00     | 13,098.61      |
| TESLA MOTORS INC 7-11-14 CALL @ 215.00         | 8,843.80       | 07/07/14 | 07/11/14 | 50            | 1,256.00      | 7,587.80       |
| TESLA MOTORS INC 7-19-14 CALL @ 215.00 EXPIRED | 9,843.77       | 07/15/14 | 07/15/14 | 50            | 0.00          | 9,843.77       |
|                                                | 139,311.77     |          |          |               | 98,447.25     | 40,864.52      |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK 5XL-065557  
FYE 9-30-2014

| Memo                                          | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|-----------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| Aug-14                                        |                |                 |          |               |               |                |
| SHORT TERM                                    |                |                 |          |               |               |                |
| PROSHARES TR II ULTRA CRUDE OIL               | 695,008.32     | VARIOUS S/T     | 08/05/14 | 20000         | 676,756.00    | 18,252.32      |
| PROSHARES TR II ULTRA CRUDE OIL               | 737,054.90     | VARIOUS S/T     | 08/13/14 | 20000         | 669,156.00    | 67,898.90      |
| TESLA MOTORS INC 8-1-14 CALL @ 220.00 EXPIRED | 35,393.21      | 07/31/14        | 08/04/14 | 50            | 0.00          | 35,393.21      |
| SUNEDISON INC 8-16-14 CALL @ 20 50            | 3,655.33       | 08/13/14        | 08/15/14 | 100           | 8,106.00      | (4,450.67)     |
|                                               | 1,471,111.76   |                 |          |               | 1,354,018.00  | 117,093.76     |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
 EIN: 86-0710305  
 DEUTSCHE BANK 5XL-085557  
 FYE 9-30-2014

| Memo                                           | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| Sep-14                                         |                |                 |          |               |               |                |
| SHORT TERM                                     |                |                 |          |               |               |                |
| ALIBABA GROUP                                  | 189,229.79     | 09/19/14        | 09/18/14 | 2000          | 136,000.00    | 53,229.79      |
| SUNEDISON INC                                  | 217,083.17     | 08/29/14        | 08/13/14 | 10000         | 205,000.00    | 12,083.17      |
| TESLA MOTORS 9-20-14 PUT @ 180.00 EXPIRED      | 0.00           | 09/22/14        | 05/08/14 | 50            | 118,012.00    | (118,012.00)   |
| TESLA MOTORS INC 8-29-14 CALL @ 245.00 EXPIRED | 5,393.87       | 08/22/14        | 09/02/14 | 50            | 0.00          | 5,393.87       |
| TESLA MOTORS INC 9-20-14 CALL @ 250.00 EXPIRED | 5,604.87       | 09/15/14        | 09/22/14 | 25            | 0.00          | 5,604.87       |
|                                                | 417,311.70     |                 |          |               | 459,012.00    | (41,700.30)    |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
 EIN: 86-0710305  
 DEUTSCHE BANK 388629  
 FYE 9-30-2014

| Memo                                                | Sales<br>Price | Date of<br>Sale | Purchase    | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|-----------------------------------------------------|----------------|-----------------|-------------|---------------|---------------|----------------|
| <b>Dec-13</b>                                       |                |                 |             |               |               |                |
| <b>SHORT TERM</b>                                   |                |                 |             |               |               |                |
| THE OSTERWEIS STRATEGIC INVESTMENT FUND S/T CAP GAI | 29,430.52      |                 |             |               | 0.00          | 29,430.52      |
| <b>LONG TERM</b>                                    |                |                 |             |               |               |                |
| PIMCO LOW DURATION LT CAP GAIN                      | 4,467.05       |                 |             |               | 0.00          | 4,467.05       |
| THE OSTERWEIS STRATEGIC INVESTMENT FUND LT CAP GAI  | 12,298.13      |                 |             |               | 0.00          | 12,298.13      |
|                                                     | 16,765.18      |                 |             |               |               | 16,765.18      |
| <b>Mar-13</b>                                       |                |                 |             |               |               |                |
| <b>LONG TERM</b>                                    |                |                 |             |               |               |                |
| PRANA BIOTECHNOLOGY LTD                             | 55,992.75      | 03/18/14        | VARIOUS LT  | 5000          | 25,924.00     | 30,068.75      |
| <b>May-14</b>                                       |                |                 |             |               |               |                |
| <b>LONG TERM</b>                                    |                |                 |             |               |               |                |
| PIMCO LOW DURATION                                  | 2,784,372.00   | 05/13/14        | 03/13/12    | 268502.804    | 2,795,112.11  | (10,740.11)    |
| VIRTUS SENIOR FLOATING RATE FUND                    | 771,784.00     | 05/13/14        | 03/13/12    | 78118.8020    | 756,170.64    | 15,623.36      |
|                                                     | 3,556,166.00   |                 |             |               | 3,551,282.75  | 4,883.25       |
| <b>Jul-14</b>                                       |                |                 |             |               |               |                |
| <b>LONG TERM</b>                                    |                |                 |             |               |               |                |
| BLACKROCK STRATEGIC INCOME OPPT FUND LT CAP GAIN    | 12,738.41      |                 |             |               | 0.00          | 12,738.41      |
| <b>Sep-14</b>                                       |                |                 |             |               |               |                |
| <b>SHORT TERM</b>                                   |                |                 |             |               |               |                |
| PIMCO LOW DURATION                                  | 2,588,841.62   | 09/28/14        | VARIOUS S/T | 251149.872    | 2608484.8900  | (21,843.27)    |
| <b>LONG TERM</b>                                    |                |                 |             |               |               |                |
| PIMCO LOW DURATION                                  | 4,197,979.86   | 09/28/14        | VARIOUS LT  | 407570.86     | 4245416.1400  | (47,436.28)    |
| SHORT TERM GAIN(LOSS)                               | 7,787.25       |                 |             |               |               |                |
| LONG TERM GAIN(LOSS)                                | 17,019.31      |                 |             |               |               |                |
|                                                     | 24,806.56      |                 |             |               |               |                |

**GLENN FOUNDATION FOR MEDICAL RESEARCH, INC****EIN: 86-0710305****DEUTSCHE BANK #874529****FYE 9-30-2014**

| <b>MONTH</b>          | <b>Sales<br/>Price</b> | <b>Cost<br/>Basis</b> | <b>Gain<br/>(Loss)</b> |
|-----------------------|------------------------|-----------------------|------------------------|
| <b>SHORT TERM</b>     |                        |                       |                        |
| Oct-13                | 3,039,474.73           | 2,843,143.82          | 196,330.91             |
| Nov-13                | 1,280,855.31           | 1,177,191.07          | 103,664.24             |
| Dec-13                | 1,589,506.15           | 1,476,848.46          | 112,657.69             |
| Jan-14                | 3,543,925.57           | 3,287,555.71          | 256,369.86             |
| Feb-14                | 1,586,550.81           | 1,473,733.22          | 112,817.59             |
| Mar-14                | 2,951,844.11           | 2,857,081.87          | 94,762.24              |
| Apr-14                | 2,324,693.08           | 2,120,665.80          | 204,027.28             |
| May-14                | 2,859,225.78           | 2,621,967.04          | 237,258.74             |
| Jun-14                | 4,058,560.39           | 3,866,425.85          | 192,134.54             |
| Jul-14                | 1,060,461.96           | 1,017,660.15          | 42,801.81              |
| Aug-14                | 1,186,441.90           | 1,179,199.34          | 7,242.56               |
| Sep-14                | 2,593,705.60           | 2,445,494.70          | 148,210.90             |
| SHORT TERM GAIN(LOSS) | 28,075,245.39          | 26,366,967.03         | 1,708,278.36           |
| <b>LONG TERM</b>      |                        |                       |                        |
| Oct-13                | 25,935.54              | 29,631.00             | (3,695.46)             |
| Dec-13                | 123,456.72             | 110,068.87            | 13,387.85              |
| Jan-14                | 903,703.21             | 805,704.11            | 97,999.10              |
| Jun-14                | 900,444.45             | 923,863.69            | (23,419.24)            |
| Jul-14                | 113,021.24             | 117,474.04            | (4,452.80)             |
| Aug-14                | 1,201,152.81           | 1,017,050.96          | 184,101.85             |
| Sep-14                | 336,095.22             | 324,691.14            | 11,404.08              |
| LONG TERM GAIN(LOSS)  | 3,603,809.19           | 3,328,483.81          | 275,325.38             |
| SHORT TERM GAIN(LOSS) | 1,708,278.36           |                       |                        |
| LONG TERM GAIN(LOSS)  | 275,325.38             |                       |                        |
|                       | <u>1,983,603.74</u>    |                       |                        |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK #874529  
FYE 9-30-2014

| Memo                                            | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|-------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| <b>Oct-13</b>                                   |                |                 |          |               |               |                |
| <b>SHORT TERM</b>                               |                |                 |          |               |               |                |
| BRISTOL MYERS SQUIBB CO                         | 35,479.37      | 10/01/13        | 09/09/13 | 800           | 33,746.72     | 1,732.65       |
| BROADCOM CORP 10-19-13 CALL @ 28.00 EXPIRED     | 13,047.52      | 09/19/13        | 10/21/13 | 248           | 0.00          | 13,047.52      |
| BROADCOM CORP 10-25-13 CALL @ 27.00 EXPIRED     | 13,364.76      | 10/21/13        | 10/28/13 | 135           | 0.00          | 13,364.76      |
| BROADCOM CORP 10-25-13 CALL @ 28.00             | 11,994.56      | 10/21/13        | 10/23/13 | 248           | 488.00        | 11,498.56      |
| CISCO SYSTEMS INC. 10-19-13 CALL @ 23.00        | 13,629.76      | 09/27/13        | 10/18/13 | 290           | 2,610.00      | 11,019.76      |
| EMC CORP 10-19-13 CALL @ 27.00 EXPIRED          | 16,471.71      | 08/15/13        | 10/21/13 | 284           | 0.00          | 16,471.71      |
| EMC CORP 10-25-13 CALL @ 25.00 EXPIRED          | 7,899.86       | 10/18/13        | 10/28/13 | 158           | 0.00          | 7,899.86       |
| FREEPORT MCMORAN 10-19-13 CALL @ 34.00          | 15,423.77      | 09/18/13        | 10/17/13 | 180           | 13,495.14     | 1,928.63       |
| FREEPORT MCMORAN 10-19-13 CALL @ 37.00 EXPIRED  | 3,167.06       | 09/19/13        | 10/21/13 | 188           | 0.00          | 3,167.06       |
| HOLLYFRONTIER CORP 10-19-13 CALL @ 44.50        | 13,280.87      | 09/24/13        | 10/18/13 | 255           | 7,957.07      | 5,323.80       |
| KIMBERLY CLARK CORP                             | 605,429.45     | 10/18/13        | 05/31/13 | 6400          | 626,378.20    | (20,948.75)    |
| KIMBERLY CLARK CORP 10-19-13 CALL @ 87.50       | 8,749.97       | VARIOUS S/T     | 10/18/13 | 89            | 8,912.10      | (162.13)       |
| MACDONALDS CORP 10-25-13 CALL @ 97.00 EXPIRED   | 2,966.69       | 10/18/13        | 10/28/13 | 69            | 0.00          | 2,966.69       |
| MCDONALDS CORP 10-19-13 CALL @ 85.00            | 7,029.87       | 10/03/13        | 10/18/13 | 74            | 1,990.60      | 5,039.27       |
| MCDONALDS CORP 10-19-13 CALL @ 85.00            | 9,390.43       | 10/18/13        | 10/25/13 | 74            | 148.00        | 9,242.43       |
| MCDONALDS CORP 10-19-13 CALL @ 87.50            | 7,658.86       | 09/20/13        | 10/18/13 | 69            | 69.00         | 7,589.86       |
| MICROSOFT CORP 10-19-13 CALL @ 33.00            | 22,441.61      | 08/08/13        | 10/17/13 | 210           | 39,274.20     | (16,832.59)    |
| PFIZER INC                                      | 705,681.07     | 10/18/13        | 08/07/13 | 23600         | 689,432.15    | 36,248.92      |
| PROCTER & GAMBLE CO 10-19-13 CALL @ 77.50       | 23,649.58      | 09/20/13        | 10/18/13 | 88            | 14,706.00     | 8,943.58       |
| PROCTER & GAMBLE CO 10-25-13 CALL @ 78.00       | 15,393.73      | 10/18/13        | 10/25/13 | 88            | 17,200.00     | (1,806.27)     |
| PROCTER & GAMBLE CO 10-25-13 CALL @ 79.00       | 2,533.44       | 09/27/13        | 10/25/13 | 41            | 4,387.00      | (1,853.56)     |
| QUALCOMM INC 10-19-13 CALL @ 87.50              | 13,578.84      | 07/28/13        | 10/17/13 | 124           | 11,587.17     | 2,011.67       |
| ROYAL DUTCH SHELL 10-19-13 CALL @ 67.50 EXPIRED | 2,524.95       | 09/23/13        | 10/21/13 | 101           | 0.00          | 2,524.95       |
| ROYAL DUTCH SHELL 10-19-13 CALL @ 70.00 EXPIRED | 14,444.26      | 05/14/13        | 10/21/13 | 101           | 0.00          | 14,444.26      |
| SHIRE PLC                                       | 742,130.14     | 10/18/13        | 05/02/13 | 7600          | 671,400.72    | 70,729.42      |
| SPECTRA ENERGY CORP                             | 681,488.48     | 10/18/13        | 08/14/13 | 19600         | 670,132.68    | (8,644.20)     |
| SPECTRA ENERGY CORP                             | 50,624.12      | 10/18/13        | 09/06/13 | 1500          | 49,241.07     | 1,383.05       |
|                                                 | 3,039,474.73   |                 |          |               | 2,843,143.82  | 196,330.91     |
| <b>LONG TERM</b>                                |                |                 |          |               |               |                |
| FREEPORT-MCMORAN COPPER & GOLD INC              | 25,935.54      | 10/09/13        | 03/29/12 | 800           | 29,831.00     | (3,695.46)     |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK #874529  
FYE 9-30-2014

| Memo                                              | Sales<br>Price | Date of<br>Sale | Purchase    | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|---------------------------------------------------|----------------|-----------------|-------------|---------------|---------------|----------------|
| Nov-13                                            |                |                 |             |               |               |                |
| SHORT TERM                                        |                |                 |             |               |               |                |
| BROADCOM CORP 11-16-13 CALL @ 28.00               | 8,410.00       | 10/23/13        | 11/14/13    | 248           | 21,274.48     | (12,864.48)    |
| CISCO SYSTEMS INC 11-16-13 CALL @ 23.00 EXPIRED   | 22,819.80      | 10/18/13        | 11/18/13    | 290           | 0.00          | 22,819.80      |
| HOLLYFRONTIER CORP 11-16-13 CALL @ 46.00          | 25,391.53      | 10/18/13        | 11/14/13    | 255           | 18,811.13     | 8,580.40       |
| MCDONALDS CORP 11-16-13 CALL @ 95.00              | 7,029.87       | 10/25/13        | 11/12/13    | 74            | 18,428.00     | (11,398.13)    |
| MCDONALDS CORP 11-8-13 CALL @ 98.00               | 3,587.93       | 10/28/13        | 11/08/13    | 69            | 3,728.00      | (138.07)       |
| NETAPP INC 11-16-13 CALL @ 43.00                  | 44,169.94      | VARIOUS S/T     | 11/18/13    | 177           | 0.00          | 44,169.94      |
| OCCIDENTAL PETE CORP 11-16-13 CALL @ 85.00        | 34,927.21      | 05/14/13        | 11/11/13    | 37            | 44,059.72     | (9,132.51)     |
| OCCIDENTAL PETE CORP 11-19-13 CALL @ 92.50        | 71,344.10      | 05/18/13        | 11/13/13    | 132           | 40,278.82     | 31,065.28      |
| QUALCOMM INC 11-16-13 CALL @ 70.00                | 13,178.94      | 10/17/13        | 11/14/13    | 124           | 13,021.04     | 157.90         |
| SYSCO CORP                                        | 1,043,511.83   | 11/15/13        | VARIOUS S/T | 31800         | 1,019,598.08  | 23,913.75      |
| TEVA PHARMACEUTICAL 11-16-13 CALL @ 40.00 EXPIRED | 6,884.38       | 09/23/13        | 11/18/13    | 211           | 0.00          | 6,884.38       |
|                                                   | 1,280,855.31   |                 |             |               | 1,177,191.07  | 103,664.24     |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK #874529  
FYE 9-30-2014

| Memo                                              | Sales<br>Price | Date of<br>Sale | Purchase  | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|---------------------------------------------------|----------------|-----------------|-----------|---------------|---------------|----------------|
| <b>Dec-13</b>                                     |                |                 |           |               |               |                |
| <b>SHORT TERM</b>                                 |                |                 |           |               |               |                |
| BROADCOM CORP 1-18-14 CALL @ 27.00                | 28,713.98      | 11/14/13        | 12/11/13  | 248           | 49,600.00     | (20,886.02)    |
| BROADCOM CORP 12-21-13 CALL @ 29.00 EXPIRED       | 4,184.92       | 10/28/13        | 12/23/13  | 135           | 0.00          | 4,184.92       |
| CISCO SYSTEMS INC 12-21-13 CALL @ 22.00 EXPIRED   | 8,699.84       | 11/18/13        | 12/23/13  | 290           | 0.00          | 8,699.84       |
| CISCO SYSTEMS INC 12-21-13 CALL @ 21.00           | 15,299.73      | 11/26/13        | 12/20/13  | 340           | 6,480.00      | 8,839.73       |
| EMC CORP 12-21-13 CALL @ 25.00 EXPIRED            | 4,897.91       | 10/28/13        | 12/23/13  | 158           | 0.00          | 4,897.91       |
| FREEPORT MCMORAN 12-21-13 CALL @ 36.00 EXPIRED    | 17,106.36      | 10/17/13        | 12/23/13  | 180           | 0.00          | 17,106.36      |
| FREEPORT MCMORAN 12-21-13 CALL @ 39.00 EXPIRED    | 5,375.90       | 11/08/13        | 12/23/13  | 188           | 0.00          | 5,375.90       |
| HOLLYFRONTIER CORP 12-21-13 CALL @ 47.50          | 23,928.27      | 11/14/13        | 12/20/13  | 255           | 18,029.57     | 5,898.70       |
| KIMBERLY CLARK CORP 1-18-14 CALL @ 100.00         | 14,442.36      | 10/18/13        | 12/03/13  | 69            | 43,432.90     | (28,990.54)    |
| KINDER MORGAN INC 12-21-13 CALL @ 42.50 EXPIRED   | 7,964.15       | 07/12/13        | 12/23/13  | 110           | 0.00          | 7,964.15       |
| KINDERMORGAN INC 12-21-13 CALL @ 40.00 EXPIRED    | 1,274.97       | 08/20/13        | 12/23/13  | 25            | 0.00          | 1,274.97       |
| LYONDELLBASELL INDUSTRIES 12-21-13 CALL @ 77.50   | 12,899.77      | 11/20/13        | 12/19/13  | 86            | 6,192.00      | 6,707.77       |
| MCDONALDS CORP 12-21-13 CALL @ 97.50 EXPIRED      | 5,450.90       | 11/08/13        | 12/23/13  | 69            | 0.00          | 5,450.90       |
| NETAPP INC 12-21-13 CALL @ 43.00 EXPIRED          | 8,713.02       | 11/18/13        | 12/23/13  | 177           | 0.00          | 8,713.02       |
| ORACLE CORP                                       | 675,233.80     | 12/20/13        | 12/12/13  | 19800         | 661,048.42    | 14,185.38      |
| PROCTER & GAMBLE CO 12-21-13 CALL @ 80.00         | 7,051.87       | 10/25/13        | 12/19/13  | 41            | 6,683.00      | 368.87         |
| QUALCOMM INC 12-21-13 CALL @ 72.50                | 11,780.83      | 11/14/13        | 12/20/13  | 124           | 4,980.00      | 6,820.83       |
| ROYAL DUTCH SHELL                                 | 660,130.74     | 12/20/13        | 02/08/13  | 9700          | 654,354.57    | 5,776.17       |
| ROYAL DUTCH SHELL                                 | 27,221.88      | 12/20/13        | 03/26/13  | 400           | 26,088.00     | 1,133.88       |
| SPECTRA ENERGY CORP 12-21-13 CALL @ 36.00 EXPIRED | 31,745.27      | 07/19/13        | 12/23/13  | 196           | 0.00          | 31,745.27      |
| TEVA PHARMACEUTICAL 12-21-13 CALL @ 40.00 EXPIRED | 6,329.88       | 11/18/13        | 12/23/13  | 211           | 0.00          | 6,329.88       |
| VERIZON 12-21-13 CALL @ 50.00 EXPIRED             | 11,059.80      | 10/17/13        | 12/23/13  | 140           | 0.00          | 11,059.80      |
|                                                   | 1,589,506.15   |                 |           |               | 1,476,848.46  | 112,657.69     |
| <b>LONG TERM</b>                                  |                |                 |           |               |               |                |
| MERCK & CO INC                                    | 123,456.72     | 12/11/13        | 11/9/2012 | 2500          | 110,068.87    | 13,387.85      |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 88-0710305  
DEUTSCHE BANK #874529  
FYE 9-30-2014

| Memo                                            | Sales<br>Price | Date of<br>Sale | Purchase    | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|-------------------------------------------------|----------------|-----------------|-------------|---------------|---------------|----------------|
| Jan-14                                          |                |                 |             |               |               |                |
| <b>SHORT TERM</b>                               |                |                 |             |               |               |                |
| BRISTOL MYERS SQUIBB CO                         | 913,013.83     | 12/30/13        | 09/09/13    | 19100         | 805,702.94    | 107,310.89     |
| BROADCOM CORP 1-18-14 CALL @ 30.00 EXPIRED      | 4,531.52       | 12/23/13        | 01/21/14    | 135           | 0.00          | 4,531.52       |
| CONOCOPHILLIPS 1-10-14 CALL @ 70.00 EXPIRED     | 7,759.86       | 12/30/13        | 01/13/14    | 97            | 0.00          | 7,759.86       |
| DU PONT EI DE NEMOURS & CO                      | 375,173.46     | 01/17/14        | 07/29/13    | 6000          | 345,122.80    | 30,050.66      |
| DU PONT EI DE NEMOURS & CO                      | 87,540.48      | 01/17/14        | 09/20/13    | 1400          | 83,953.80     | 3,586.68       |
| FREEPORT MCMORAN 1-18-14 CALL @ 37.00 EXPIRED   | 4,518.57       | 12/23/13        | 01/21/14    | 180           | 0.00          | 4,518.57       |
| HOLLYFRONTIER CORP 1-18-14 CALL @ 49.00 EXPIRED | 30,244.89      | 12/20/13        | 01/21/14    | 255           | 0.00          | 30,244.89      |
| INTEL CORP 1-18-14 CALL @ 25.00                 | 20,661.08      | 10/16/13        | 01/18/14    | 651           | 109,223.67    | (88,562.59)    |
| MCDONALDS CORP 1-18-14 CALL @ 87.50 EXPIRED     | 3,810.03       | 12/23/13        | 01/21/14    | 69            | 0.00          | 3,810.03       |
| MERCK & CO INC                                  | 489,135.55     | 01/17/14        | 03/08/13    | 9500          | 409,449.61    | 59,685.94      |
| MICROSOFT CORP 1-18-14 CALL @ 34.00             | 39,474.27      | 01/18/14        | 01/17/14    | 210           | 46,482.45     | (7,008.18)     |
| PFIZER INC 1-31-14 CALL @ 30.00                 | 7,944.86       | 01/27/14        | 01/30/14    | 227           | 9,988.00      | (2,043.14)     |
| PROCTER & GAMBLE CO 1-18-14 CALL @ 80.00        | 18,145.68      | 10/25/13        | 01/17/14    | 86            | 1,217.42      | 16,928.26      |
| QUALCOMM INC 1-18-14 CALL @ 75.00               | 5,455.90       | 12/20/13        | 01/17/14    | 124           | 523.25        | 4,932.65       |
| ROYAL DUTCH SHELL                               | 695,877.88     | 1/17/2014       | VARIOUS S/T | 10100         | 654,925.89    | 40,951.99      |
| TEVA PHARMA INDUSTRIES LTD                      | 305,319.68     | 01/14/14        | 04/08/13    | 7500          | 291,812.59    | 13,507.09      |
| TEVA PHARMA INDUSTRIES LTD                      | 553,646.36     | 01/17/14        | 04/08/13    | 13600         | 529,153.49    | 24,492.87      |
| VERIZON 1-18-14 CALL @ 49.00 EXPIRED            | 1,671.67       | 09/18/13        | 01/21/14    | 11            | 0.00          | 1,671.67       |
|                                                 | 3,543,925.57   |                 |             |               | 3,287,555.71  | 256,369.86     |
| <b>LONG TERM</b>                                |                |                 |             |               |               |                |
| MERCK & CO INC                                  | 903,703.21     | 01/17/14        | 11/09/12    | 18300         | 805,704.11    | 97,999.10      |

EIN: 86-0710305  
DEUTSCHE BANK #874528  
FYE 9-30-2014

| Memo                                              | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|---------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| Feb-14                                            |                |                 |          |               |               |                |
| SHORT TERM                                        |                |                 |          |               |               |                |
| BROADCOM CORP 2-22-14 CALL @ 29.00                | 34,223.40      | 12/11/13        | 02/11/14 | 248           | 42,393.12     | (8,169.72)     |
| BROADCOM CORP 2-22-14 CALL @ 30.00                | 9,179.84       | 01/21/14        | 02/20/14 | 135           | 13,230.00     | (4,050.16)     |
| CISCO SYSTEMS INC 2-22-14 CALL @ 22.00            | 16,319.71      | 12/20/13        | 02/20/14 | 340           | 11,541.33     | 4,778.38       |
| CISCO SYSTEMS INC 2-22-14 CALL @ 24.00 EXPIRED    | 7,539.86       | 02/12/14        | 02/24/14 | 290           | 0.00          | 7,539.86       |
| CONOCOPHILLIPS 2-22-14 CALL @ 70.00 EXPIRED       | 5,346.54       | 01/17/14        | 02/24/14 | 97            | 0.00          | 5,346.54       |
| DU PONT EI DE NEMOURS & CO                        | 42,610.33      | 02/10/14        | 01/24/14 | 700           | 41,858.28     | 752.05         |
| DU PONT EI DE NEMOURS & CO                        | 645,242.27     | 02/11/14        | 01/28/14 | 10800         | 633,854.00    | 11,388.27      |
| DU PONT EI DE NEMOURS & CO                        | 8,087.19       | 02/21/14        | 01/28/14 | 100           | 5,979.78      | 107.43         |
| EMC CORP 2-22-14 CALL @ 27.00                     | 12,025.45      | VARIOUS S/T     | 02/19/14 | 442           | 651.64        | 11,373.81      |
| HOLLYFRONTIER CORP 2-22-14 CALL @ 50.00 EXPIRED   | 25,889.95      | 01/21/14        | 02/24/14 | 255           | 0.00          | 25,889.95      |
| MCDONALDS CORP 2-22-14 CALL @ 97.50 EXPIRED       | 3,798.87       | 01/21/14        | 02/24/14 | 69            | 0.00          | 3,798.87       |
| NETAPP INC 2-22-14 CALL @ 44.00 EXPIRED           | 10,814.47      | 12/23/13        | 02/24/14 | 177           | 0.00          | 10,814.47      |
| NUCOR CORP                                        | 682,405.64     | 02/21/14        | 02/03/14 | 14300         | 674,866.09    | 7,539.55       |
| OCCIDENTAL PETE CORP 2-22-14 CALL @ 97.50 EXPIRED | 41,595.89      | 11/13/13        | 02/24/14 | 132           | 0.00          | 41,595.89      |
| PFIZER INC 2-28-14 CALL @ 30.50                   | 10,441.81      | 01/30/14        | 02/26/14 | 227           | 32,915.00     | (22,473.19)    |
| PROCTER & GAMBLE CO 1-31-14 CALL @ 81.00 EXPIRED  | 5,517.32       | 01/17/14        | 02/03/14 | 86            | 0.00          | 5,517.32       |
| PROCTER & GAMBLE CO 2-22-14 CALL @ 77.50          | 4,729.91       | 02/03/14        | 02/20/14 | 86            | 7,568.00      | (2,838.09)     |
| QUALCOMM INC 2-22-14 CALL @ 77.50 EXPIRED         | 8,920.56       | 01/17/14        | 02/24/14 | 124           | 0.00          | 8,920.56       |
| VERIZON 2-22-14 CALL @ 49.00                      | 467.30         | VARIOUS S/T     | 02/20/14 | 11            | 44.00         | 423.30         |
| WALMART STORES 2-22-14 CALL @ 72.50               | 13,416.50      | 02/03/14        | 02/20/14 | 92            | 8,832.00      | 4,584.50       |
|                                                   | 1,586,550.81   |                 |          |               | 1,473,733.22  | 112,817.59     |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
 EIN: 86-0710305  
 DEUTSCHE BANK #874529  
 FYE 9-30-2014

| Memo                                             | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|--------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| Mar-14                                           |                |                 |          |               |               |                |
| SHORT TERM                                       |                |                 |          |               |               |                |
| CISCO SYSTEMS INC 3-22-14 CALL @ 22.00           | 20,739.83      | 02/20/14        | 03/21/14 | 340           | 1,700.00      | 19,039.83      |
| COCA COLA CO                                     | 694,742.88     | 03/11/14        | 02/18/14 | 18400         | 686,817.85    | 7,825.03       |
| CONOCOPHILLIPS 3-22-14 CALL @ 67.50              | 5,334.90       | 02/25/14        | 03/21/14 | 97            | 4,437.21      | 897.69         |
| EMERSON ELECTRIC CO 3-22-14 CALL @ 85.00         | 10,069.82      | 02/25/14        | 03/21/14 | 108           | 5,286.96      | 4,782.86       |
| HOLLYFRONTIER CORP 3-22-14 CALL @ 48.50          | 21,121.59      | 02/24/14        | 03/21/14 | 255           | 10,705.38     | 10,416.21      |
| HOME DEPOT                                       | 696,068.74     | 02/28/14        | 02/24/14 | 8800          | 683,898.88    | 12,169.86      |
| KIMBERLY CLARK CORP 4-19-14 CALL @ 105.00        | 28,942.39      | 12/03/13        | 03/04/14 | 89            | 39,758.61     | (10,814.22)    |
| LYONDELLBASELL INDUSTRIES                        | 702,283.63     | 03/28/14        | 11/20/13 | 8500          | 681,748.97    | 40,516.66      |
| LYONDELLBASELL INDUSTRIES                        | 8,261.88       | 03/21/14        | 11/20/13 | 100           | 7,785.26      | 476.62         |
| MCDONALDS CORP 3-22-14 CALL @ 97.50              | 21,022.82      | VARIOUS S/T     | 03/21/14 | 143           | 432.87        | 20,589.75      |
| MICROSOFT CORP 3-22-14 CALL @ 36.00              | 31,878.91      | 01/17/14        | 03/19/14 | 210           | 70,304.64     | (38,425.73)    |
| NETAPP INC 3-22-14 CALL @ 42.00 EXPIRED          | 6,287.57       | 02/25/14        | 03/24/14 | 177           | 0.00          | 6,287.57       |
| SPECTRA ENERGY CORP 3-22-14 CALL @ 38.00 EXPIRED | 8,819.84       | 02/11/14        | 03/24/14 | 196           | 0.00          | 8,819.84       |
| STARBUCKS CORP                                   | 680,896.81     | 03/21/14        | 03/05/14 | 9800          | 684,109.24    | 6,787.57       |
| VERIZON 3-22-14 CALL @ 52.50 EXPIRED             | 4,479.92       | 12/23/13        | 03/24/14 | 140           | 0.00          | 4,479.92       |
| VERIZON 3-22-14 CALL @ 48.00 EXPIRED             | 912.98         | 02/20/14        | 03/24/14 | 11            | 0.00          | 912.98         |
|                                                  | 2,951,844.11   |                 |          |               | 2,857,081.87  | 94,762.24      |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK #874529  
FYE 9-30-2014

| Memo                                      | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|-------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| Apr-14                                    |                |                 |          |               |               |                |
| SHORT TERM                                |                |                 |          |               |               |                |
| EMC CORP 4-19-14 CALL @ 27.00             | 11,701.43      | 02/19/14        | 04/17/14 | 442           | 442.00        | 11,259.43      |
| EMERSON ELECTRIC CO 4-19-14 CALL @ 65.00  | 13,771.28      | 03/21/14        | 04/16/14 | 106           | 26,045.48     | (12,274.20)    |
| FREEMONT MCMORAN 4-19-14 CALL @ 24.00     | 9,586.23       | 04/03/14        | 04/17/14 | 348           | 348.00        | 9,248.23       |
| HOLLYFRONTIER CORP 4-19-14 CALL @ 48.50   | 41,309.08      | 03/21/14        | 04/17/14 | 255           | 58,708.64     | (17,397.56)    |
| INTEL CORP 4-19-14 CALL @ 26.00           | 87,991.72      | 01/16/14        | 04/15/14 | 651           | 52,718.83     | 35,272.89      |
| KIMBERLY CLARK CORP 4-19-14 CALL @ 110.00 | 14,918.35      | 03/04/14        | 04/16/14 | 69            | 15,647.66     | (731.31)       |
| MCDONALD CORP 4-19-14 CALL @ 87.50        | 11,447.50      | 03/21/14        | 04/14/14 | 143           | 29,439.30     | (17,991.80)    |
| OCCIDENTAL PETE CORP 4-19-14 CALL @ 97.50 | 21,611.82      | 02/24/14        | 04/17/14 | 132           | 613.72        | 20,997.90      |
| PFIZER INC 4-19-14 CALL @ 31.00           | 42,450.79      | VARIOUS S/T     | 04/17/14 | 459           | 459.00        | 41,991.79      |
| PROCTER & GAMBLE CO 4-19-14 CALL @ 82.50  | 9,634.83       | 12/19/13        | 04/17/14 | 41            | 82.00         | 9,552.83       |
| PROCTER AND GAMBLE CO                     | 685,662.93     | 04/17/14        | 08/10/13 | 8600          | 670,805.38    | 14,857.55      |
| QUALCOMM INC 4-19-14 CALL @ 80.00         | 462.73         | 03/04/14        | 04/17/14 | 11            | 1,383.35      | (900.62)       |
| QUALCOMM INC 4-19-14 CALL @ 77.50         | 8,783.86       | 02/28/14        | 04/16/14 | 124           | 26,514.19     | (17,730.33)    |
| SPECTRA ENERGY CORP                       | 653,983.26     | 04/29/14        | 09/08/13 | 17300         | 567,913.86    | 86,069.60      |
| STARBUCKS CORP 4-19-14 CALL @ 72.50       | 22,386.67      | 03/28/14        | 04/17/14 | 103           | 103.00        | 22,293.67      |
| WALMART STORES INC                        | 688,972.80     | 03/28/14        | 02/03/14 | 9200          | 669,463.59    | 19,509.21      |
|                                           | 2,324,693.08   |                 |          |               | 2,120,665.80  | 204,027.28     |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK #874529  
FYE 9-30-2014

| Memo                                        | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|---------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| <b>May-14</b>                               |                |                 |          |               |               |                |
| <b>SHORT TERM</b>                           |                |                 |          |               |               |                |
| AGILENT TECHNOLOGIES 5-17-14 CALL @ 52.50   | 27,881.88      | 04/29/14        | 05/15/14 | 150           | 34,038.00     | (6,178.12)     |
| BROADCOM CORP 5-17-14 CALL @ 30.00          | 49,739.87      | 02/11/04        | 05/15/14 | 248           | 520.08        | 49,219.79      |
| BROADCOM CORP 5-17-14 CALL @ 32.00 EXPIRED  | 14,849.74      | 02/20/14        | 05/19/14 | 135           | 0.00          | 14,849.74      |
| CA INC 5-17-14 CALL @ 30.00 EXPIRED         | 19,799.56      | 04/29/14        | 05/19/14 | 284           | 0.00          | 19,799.56      |
| CISCO SYSTEMS INC                           | 2,269.17       | 05/15/14        | 09/27/13 | 100           | 2,329.98      | (60.81)        |
| CISCO SYSTEMS INC                           | 655,791.24     | 05/18/14        | 09/27/13 | 28900         | 673,365.82    | (17,574.58)    |
| CISCO SYSTEMS INC 5-17-14 CALL @ 23.00      | 10,199.77      | 03/21/14        | 05/15/14 | 340           | 48,854.41     | (38,654.64)    |
| CONOCOPHILLIPS                              | 888,182.83     | 05/18/14        | 12/30/13 | 9700          | 680,797.98    | 5,384.85       |
| EMC CORP 5-17-14 CALL @ 27.00 EXPIRED       | 20,386.17      | 04/17/14        | 05/19/14 | 442           | 0.00          | 20,386.17      |
| EMERSON ELECTRIC CO                         | 720,004.20     | 05/13/14        | 02/25/14 | 10800         | 686,505.29    | 33,488.91      |
| EQT MIDSTREAM PARTNERS LP                   | 374,346.72     | 05/22/14        | 05/02/14 | 4700          | 360,920.36    | 13,426.36      |
| FREEPORT MCMORAN 5-17-14 CALL @ 34.00       | 17,821.06      | 04/17/17        | 05/15/14 | 348           | 44,056.70     | (26,235.64)    |
| HOLLYFRONTIER CORP 5-17-14 CALL @ 49.00     | 65,386.36      | 04/17/14        | 05/18/14 | 255           | 11,288.11     | 54,088.25      |
| HOME DEPOT 5-17-14 CALL @ 75.00             | 14,502.58      | 04/15/14        | 05/14/14 | 95            | 15,957.78     | (1,455.20)     |
| INTEL CORP 5-2-14 CALL @ 26.50              | 39,328.69      | 04/15/14        | 05/02/14 | 651           | 2,763.17      | 36,565.52      |
| MCDONALDS CORP 5-2-14 CALL @ 99.00          | 24,439.89      | 04/14/14        | 05/01/14 | 143           | 24,914.02     | (474.33)       |
| NETAPP INC 5-17-14 CALL @ 34.00             | 5,855.87       | 05/07/14        | 05/15/14 | 122           | 6,273.21      | (417.34)       |
| NETAPP INC 5-17-14 CALL @ 39.00 EXPIRED     | 15,679.72      | 03/06/14        | 05/19/14 | 98            | 0.00          | 15,679.72      |
| NETAPP INC 5-17-14 CALL @ 40.00 EXPIRED     | 8,330.84       | 04/04/14        | 05/19/14 | 177           | 0.00          | 8,330.84       |
| OCCIDENTAL PETE CORP 5-17-14 CALL @ 90.00   | 35,387.86      | 11/11/13        | 05/14/14 | 37            | 28,055.00     | 9,312.86       |
| OCCIDENTAL PETE CORP 5-17-14 CALL @ 97.50   | 21,212.10      | 04/17/14        | 05/15/14 | 132           | 1,312.98      | 19,899.12      |
| PFIZER INC 5-17-14 CALL @ 31.00 EXPIRED     | 12,392.72      | 04/17/14        | 05/19/14 | 459           | 0.00          | 12,392.72      |
| QUALCOMM INC 5-17-14 CALL @ 82.50 EXPIRED   | 1,418.31       | 04/17/14        | 05/19/14 | 11            | 0.00          | 1,418.31       |
| STARBUCKS CORP 5-17-14 CALL @ 72.50 EXPIRED | 11,437.18      | 04/17/14        | 05/19/14 | 103           | 0.00          | 11,437.18      |
| VERIZON 5-17-14 CALL @ 49.00                | 6,843.85       | 04/04/14        | 05/18/14 | 151           | 4,014.15      | 2,829.70       |
|                                             | 2,859,225.78   |                 |          |               | 2,621,967.04  | 237,258.74     |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK #874529  
FYE 9-30-2014

| Memo                                            | Sales Price  | Date of Sale | Date of Purchase | #of Shares | Cost Basis   | Gain (Loss)  |
|-------------------------------------------------|--------------|--------------|------------------|------------|--------------|--------------|
| Jun-14                                          |              |              |                  |            |              |              |
| <b>SHORT TERM</b>                               |              |              |                  |            |              |              |
| BRISTOL MYERS SQUIBB 6-21-14 CALL 49.00 EXPIRED | 16,445.63    | 05/23/14     | 06/23/14         | 150        | 0.00         | 16,445.63    |
| BROADCOM CORP                                   | 267,711.10   | 06/20/14     | 07/24/13         | 8800       | 238,132.80   | 29,578.50    |
| BROADCOM CORP                                   | 486,748.21   | 06/20/14     | 08/27/13         | 16000      | 401,118.22   | 85,629.99    |
| BROADCOM CORP                                   | 422,280.52   | 06/20/14     | 10/21/13         | 13500      | 368,227.87   | 54,062.85    |
| CA INC 6-21-14 CALL 29.00 EXPIRED               | 7,279.83     | 05/22/14     | 06/23/14         | 208        | 0.00         | 7,279.83     |
| HOLLYFRONTIER CORP 6-21-14 CALL @49.00          | 39,353.36    | 05/16/14     | 06/20/14         | 255        | 33,036.73    | 6,316.63     |
| HOME DEPOT                                      | 558,473.64   | 06/02/14     | 05/14/14         | 7100       | 542,289.80   | 16,183.84    |
| HOME DEPOT                                      | 737,104.28   | 06/02/14     | 04/15/14         | 9500       | 711,669.57   | 25,434.71    |
| HOME DEPOT 6-27-14 CALL @ 78.00                 | 11,231.75    | 06/12/14     | 06/27/14         | 104        | 31,175.81    | (19,944.06)  |
| INTEL CORP 6-21-14 CALL @ 27.00                 | 19,523.90    | 05/02/14     | 06/13/14         | 651        | 186,384.11   | (166,840.21) |
| MCDONALDS CORP 6-21-14 CALL @ 100.00            | 30,861.08    | 05/01/14     | 05/19/14         | 143        | 24,604.75    | 6,256.31     |
| MICROSOFT CORP 6-21-14 CALL @ 40.00             | 9,575.78     | 05/12/14     | 06/19/14         | 133        | 19,551.00    | (9,975.22)   |
| NETAPP INC 6-21-14 CALL @ 34.00                 | 18,314.66    | 05/15/14     | 06/20/14         | 122        | 21,637.21    | (3,322.55)   |
| NETAPP INC 6-21-14 CALL @ 37.00 EXPIRED         | 11,142.78    | 05/21/14     | 06/23/14         | 275        | 0.00         | 11,142.78    |
| OCCIDENTAL PETE CORP                            | 405,694.79   | 06/05/14     | 04/26/14         | 4100       | 367,075.80   | 38,618.99    |
| PFIZER INC 6-21-14 CALL @ 30.00 EXPIRED         | 12,853.22    | 05/20/14     | 06/23/14         | 459        | 0.00         | 12,853.22    |
| PROCTER & GAMBLE CO 6-21-14 CALL @ 80.00        | 4,727.03     | 06/05/14     | 06/20/14         | 61         | 368.00       | 4,361.03     |
| QUALCOMM INC 6-21-14 CALL @ 80.00 EXPIRED       | 28,621.55    | 04/16/14     | 06/23/14         | 124        | 0.00         | 28,621.55    |
| SPECTRA ENERGY CORP                             | 681,794.88   | 06/20/14     | 3/10/2014        | 17300      | 641,279.86   | 40,515.02    |
| SPECTRA ENERGY CORP                             | 90,643.25    | 06/20/14     | 9/6/2013         | 2300       | 75,502.97    | 15,140.28    |
| STARBUCKS CORP                                  | 168,198.50   | 06/06/14     | 03/28/14         | 2300       | 169,696.89   | (1,498.39)   |
| STARBUCKS CORP 6-21-14 CALL @ 72.50             | 5,051.08     | 05/19/14     | 06/16/14         | 80         | 20,215.90    | (15,164.82)  |
| VERIZON 6-27-14 CALL @ 50.00 EXPIRED            | 7,793.53     | 05/16/14     | 06/30/14         | 151        | 0.00         | 7,793.53     |
| WHOLE FOODS MKT INC 6-27-14 CALL @ 38.00        | 17,126.06    | 05/20/14     | 06/27/14         | 212        | 14,480.96    | 2,645.10     |
|                                                 | 4,058,560.39 |              |                  |            | 3,866,425.85 | 192,134.54   |
| <b>LONG TERM</b>                                |              |              |                  |            |              |              |
| OCCIDENTAL PETE CORP                            | 900,444.45   | 06/05/14     | 03/06/12         | 9100       | 923,863.69   | (23,419.24)  |

## GLENN FOUNDATION FOR MEDICAL RESEARCH, INC

EIN: 88-0710305

DEUTSCHE BANK #874529

FYE 9-30-2014

| Memo                                              | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|---------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| <b>Jul-14</b>                                     |                |                 |          |               |               |                |
| <b>SHORT TERM</b>                                 |                |                 |          |               |               |                |
| AT&T INC 7-19-14 CALL @ 38 00 EXPIRED             | 13,837.47      | 05/08/14        | 07/21/14 | 462           | 0.00          | 13,837.47      |
| BRISTOL MYERS SQUIBB 7-19-14 CALL @ 48 00         | 8,255.81       | VARIOUS S/T     | 07/17/14 | 156           | 9,204.00      | (948.19)       |
| CA INC 7-19-14 CALL @ 29.00                       | 7,279.83       | 06/23/14        | 07/17/14 | 208           | 1,039.13      | 6,240.70       |
| CA INC 7-19-14 CALL @ 30 00 EXPIRED               | 6,599.85       | 05/22/14        | 07/21/14 | 264           | 0.00          | 6,599.85       |
| EMERSON ELECTRIC CO 7-19-14 CALL @ 67.50          | 10,999.75      | 07/08/14        | 07/18/14 | 110           | 755.70        | 10,244.05      |
| FREEPORT MCMORAN 7-19-14 CALL @ 35 00             | 46,232.06      | 05/15/14        | 07/08/14 | 348           | 127,704.34    | (81,472.28)    |
| GENERAL ELECTRIC INC 7-19-14 CALL @ 27 00 EXPIRED | 9,799.78       | 07/16/14        | 07/21/14 | 280           | 0.00          | 9,799.78       |
| HOLLYFRONTIER CORP 6-21-14 CALL @ 49.50 EXPIRED   | 34,887.25      | 06/20/14        | 07/21/14 | 255           | 0.00          | 34,887.25      |
| INTL BUSINESS MACHINES CORP                       | 828,781.65     | 07/18/14        | 06/27/14 | 4500          | 818,152.16    | 11,629.49      |
| KIMBERLY CLARK CORP 7-19-14 CALL @ 115 00         | 12,172.71      | 04/16/14        | 07/18/14 | 89            | 241.85        | 11,930.86      |
| NETAPP INC 7-19-14 CALL @ 37.00                   | 10,530.20      | 06/25/14        | 07/18/14 | 275           | 825.00        | 9,705.20       |
| PFIZER INC 7-19-14 CALL @ 30 00                   | 7,802.82       | 06/30/14        | 07/16/14 | 459           | 33,170.37     | (25,367.55)    |
| PROCTER & GAMBLE CO 7-19-14 CALL @ 80 00          | 8,853.80       | 06/20/14        | 07/15/14 | 118           | 15,908.47     | (7,054.67)     |
| PROCTER & GAMBLE CO 7-19-14 CALL @ 82 50          | 5,575.87       | 04/17/14        | 07/18/14 | 41            | 41.00         | 5,534.87       |
| QUALCOMM INC 7-19-14 CALL @ 80 00                 | 10,683.35      | 06/24/14        | 07/18/14 | 124           | 372.00        | 10,291.35      |
| WHOLE FOODS MKT INC 7-19-14 CALL @ 39 00          | 26,010.01      | VARIOUS S/T     | 07/18/14 | 333           | 599.70        | 25,410.31      |
| WILLIAMS COS INC 7-19-14 CALL @ 57 50             | 11,179.75      | 07/07/14        | 07/18/14 | 130           | 9,646.43      | 1,533.32       |
|                                                   | 1,060,481.96   |                 |          |               | 1,017,860.15  | 42,801.81      |
| <b>LONG TERM</b>                                  |                |                 |          |               |               |                |
| NETAPP INC                                        | 113,021.24     | 07/07/14        | 05/22/13 | 3100          | 117,474.04    | (4,452.80)     |

## GLENN FOUNDATION FOR MEDICAL RESEARCH, INC

EIN: 86-0710305

DEUTSCHE BANK #874529

FYE 9-30-2014

| Memo                                              | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|---------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| <b>Aug-14</b>                                     |                |                 |          |               |               |                |
| <b>SHORT TERM</b>                                 |                |                 |          |               |               |                |
| AGILENT TECHNOLOGIES INC                          | 842,543.37     | 08/15/14        | 04/28/14 | 15000         | 798,832.79    | 43,710.58      |
| BRISTOL MYERS SQUIBB 8-16-14 CALL @ 49.00         | 13,103.71      | 07/17/14        | 08/14/14 | 156           | 12,480.00     | 623.71         |
| CA INC 8-16-14 CALL @ 29.00 EXPIRED               | 10,250.57      | 07/17/14        | 08/18/14 | 208           | 0.00          | 10,250.57      |
| DELPHI AUTOMOTIVE PLC 8-16-14 CALL @ 67.50        | 7,778.67       | 07/18/14        | 08/15/14 | 36            | 4,932.00      | 2,846.67       |
| HEWLETT PACKARD CO 8-16-14 CALL @ 35.00           | 12,117.52      | 07/14/14        | 08/14/14 | 241           | 10,792.49     | 1,325.03       |
| HEWLETT PACKARD CO 8-22-14 CALL @ 35.50           | 9,347.79       | 08/19/14        | 08/22/14 | 114           | 15,276.00     | (5,928.21)     |
| HEWLETT PACKARD CO 8-22-14 CALL @ 36.00           | 18,258.87      | 08/14/14        | 08/21/14 | 241           | 18,427.68     | (168.89)       |
| HOLLYFRONTIER CORP 8-16-14 CALL @ 47.00           | 8,308.92       | 08/05/14        | 08/15/14 | 255           | 48,192.42     | (38,883.50)    |
| HOLLYFRONTIER CORP 8-20-14 CALL @ 48.00           | 43,538.02      | 08/15/14        | 08/22/14 | 255           | 77,451.94     | (33,915.92)    |
| HOME DEPOT 8-16-14 CALL @ 80.00                   | 23,895.55      | 08/27/14        | 08/15/14 | 104           | 35,152.00     | (11,256.45)    |
| KIMBERLY CLARK CORP 8-16-14 CALL @ 115.00 EXPIRED | 6,864.99       | 07/18/14        | 08/18/14 | 89            | 0.00          | 6,864.99       |
| MEDTRONIC INC 8-16-14 CALL @ 65.00 EXPIRED        | 11,312.42      | 07/15/14        | 08/18/14 | 128           | 0.00          | 11,312.42      |
| MICROSOFT CORP 8-16-14 CALL @ 41.00               | 19,949.55      | 08/19/14        | 08/12/14 | 133           | 30,609.46     | (10,659.91)    |
| NETAPP INC 8-13-14 CALL @ 38.00                   | 20,373.44      | 07/18/14        | 08/13/14 | 275           | 46,586.93     | (26,213.49)    |
| OCCIDENTAL PETE CORP 8-16-14 CALL @ 89.00         | 3,569.92       | 07/30/14        | 08/13/14 | 21            | 3,024.00      | 545.92         |
| PROCTER & GAMBLE CO 8-16-14 CALL @ 82.50          | 1,434.98       | 07/18/14        | 08/14/14 | 41            | 134.34        | 1,300.62       |
| QUALCOMM INC 8-16-14 CALL @ 76.00                 | 1,229.97       | 07/30/14        | 08/15/14 | 15            | 15.00         | 1,214.97       |
| QUALCOMM INC 8-16-14 CALL @ 82.50 EXPIRED         | 6,075.86       | 07/18/14        | 08/18/14 | 124           | 0.00          | 6,075.86       |
| QUALCOMM INC 8-16-14 CALL @ 85.00 EXPIRED         | 837.68         | 05/20/14        | 08/18/14 | 11            | 0.00          | 837.68         |
| STARBUCKS CORP 8-16-14 CALL @ 75.00               | 19,043.53      | 08/18/14        | 08/14/14 | 80            | 11,356.88     | 7,686.65       |
| TARGET CORP 8-16-14 CALL @ 80.00                  | 9,999.77       | 07/31/14        | 08/13/14 | 125           | 250.00        | 9,749.77       |
| VERIZON 8-16-14 CALL @ 50.00 EXPIRED              | 6,326.76       | 07/01/14        | 08/18/14 | 151           | 0.00          | 6,326.76       |
| WHOLE FOODS MKT INC 8/18/14 CALL @ 37.50          | 2,686.44       | 07/14/14        | 08/14/14 | 17            | 2,040.00      | 646.44         |
| WHOLE FOODS MKT INC 8-1-14 CALL @ 39.00           | 27,737.61      | 07/18/14        | 07/31/14 | 333           | 4,151.84      | 23,585.67      |
| WHOLE FOODS MKT INC 8-16-14 CALL @ 37.00          | 34,882.93      | 07/31/14        | 08/14/14 | 333           | 55,853.49     | (20,970.56)    |
| WILLIAMS COS INC 8-16-14 CALL @ 57.50             | 23,975.28      | 07/18/14        | 08/15/14 | 130           | 3,840.00      | 20,335.28      |
|                                                   | 1,186,441.90   |                 |          |               | 1,179,199.34  | 7,242.56       |
| <b>LONG TERM</b>                                  |                |                 |          |               |               |                |
| MICROSOFT CORP                                    | 869,380.78     | 08/18/14        | 07/19/13 | 21000         | 672,207.82    | 197,172.96     |
| NETAPP INC                                        | 331,772.03     | 08/01/14        | 05/22/13 | 9100          | 344,843.14    | (13,071.11)    |
|                                                   | 1,201,152.81   |                 |          |               | 1,017,050.96  | 184,101.85     |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
 EIN: 86-0710305  
 DEUTSCHE BANK #874529  
 FYE 9-30-2014

| Memo                                                     | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|----------------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| <b>Sep-14</b>                                            |                |                 |          |               |               |                |
| <b>SHORT TERM</b>                                        |                |                 |          |               |               |                |
| AMERICAN AIRLINES GROUP INC 8-29-14 CALL @ 40 00         | 6,719.85       | 08/22/14        | 08/29/14 | 98            | 98.00         | 6,623.85       |
| AMERICAN AIRLINES GROUP INC 9-12-14 CALL @ 39 50 EXPIRED | 2,399.94       | 08/05/14        | 09/15/14 | 98            | 0 00          | 2,399.94       |
| AMERICAN AIRLINES GROUP INC 9-26-14 CALL @ 39.50 EXPIRED | 2,975.93       | 08/18/14        | 09/29/14 | 96            | 0.00          | 2,975.93       |
| AMERICAN AIRLINES GROUP INC 9-5-14 CALL @ 39.50          | 3,167.92       | 08/29/14        | 09/05/14 | 96            | 192.00        | 2,975.92       |
| BRISTOL MYERS SQUIBB 9-20-14 CALL @ 50 00                | 14,039.68      | 08/14/14        | 09/16/14 | 156           | 11,388.00     | 2,651.68       |
| CISCO SYSTEMS INC 10-18-14 CALL @ 24 00                  | 43,179.04      | 05/15/14        | 09/29/14 | 340           | 39,780.00     | 3,399.04       |
| DELPHI AUTO PLC 9-20-14 CALL @ 67.50                     | 8,531.81       | 08/15/14        | 09/15/14 | 36            | 6,540.12      | 1,991.69       |
| DU PONT EI DE 9-20-14 CALL @ 65.00                       | 13,443.61      | 08/12/14        | 09/16/14 | 115           | 9,660.00      | 3,783.61       |
| EASTMAN CHEMICAL CO 9-20-14 CALL @ 85 00                 | 11,019.75      | 07/30/14        | 09/18/14 | 116           | 1,160.00      | 9,859.75       |
| EMC CORP 10-18-14 CALL @ 27.00                           | 40,256.65      | 05/19/14        | 09/26/14 | 442           | 92,121.64     | (51,864.99)    |
| EMERSON ELEC CO 9-20-14 CALL @ 67 50 EXPIRED             | 16,783.94      | 07/18/14        | 09/22/14 | 110           | 0.00          | 16,783.94      |
| EMERSON ELECTRIC CO 9-20-14 CALL @ 82.50                 | 1,160.97       | 08/12/14        | 09/19/14 | 9             | 2,250.00      | (1,089.03)     |
| GENERAL ELECTRIC INC 9-20-14 CALL @ 26.50                | 4,759.89       | 08/19/14        | 09/16/14 | 280           | 798.00        | 3,961.89       |
| HOME DEPOT                                               | 678,602.99     | 08/29/14        | 08/12/14 | 8100          | 635,441.23    | 43,161.76      |
| HOME DEPOT                                               | 192,889.74     | 09/19/14        | 08/12/14 | 2300          | 180,433.93    | 12,255.81      |
| KIMBERLY CLARK CORP 9-20-14 CALL @ 110.00 EXPIRED        | 4,829.89       | 08/18/14        | 09/22/14 | 69            | 0.00          | 4,829.89       |
| MCDONALDS CORP 9-20-14 CALL @ 105.00 EXPIRED             | 18,169.34      | 06/19/14        | 09/22/14 | 143           | 0 00          | 18,169.34      |
| MEDTRONIC INC 9-20-14 CALL @ 65.00                       | 11,847.17      | ARIOUS S/T      | 09/16/14 | 178           | 19,539.06     | (7,691.89)     |
| OCCIDENTAL PETE CORP 9-20-14 CALL @ 100.00 EXPIRED       | 4,388.90       | 08/13/14        | 09/22/14 | 21            | 0 00          | 4,388.90       |
| PFIZER INC 9-20-14 CALL @ 31 00 EXPIRED                  | 24,687.09      | 07/16/14        | 09/22/14 | 459           | 0 00          | 24,687.09      |
| PROCTER & GAMBLE CO 9-20-14 CALL @ 82 50                 | 2,935.41       | 08/14/14        | 09/16/14 | 41            | 7,831.00      | (4,895.59)     |
| PROCTER AND GAMBLE CO                                    | 500,041.40     | 09/19/14        | 06/05/14 | 6100          | 489,159.00    | 10,882.40      |
| PROCTER AND GAMBLE CO                                    | 131,158.89     | 09/19/14        | 06/20/14 | 1600          | 127,833.49    | 3,325.20       |
| QUALCOMM INC 9-20-14 CALL @ 75 00                        | 1,319.97       | 08/15/14        | 09/16/14 | 15            | 1,395.00      | (75.03)        |
| STARBUCKS CORP 9-20-14 CALL @ 75 00                      | 18,562.69      | 08/14/14        | 09/18/14 | 80            | 4,636.80      | 13,925.89      |
| TARGET CORP                                              | 756,808.27     | 09/19/14        | 07/31/14 | 12500         | 750,687.64    | 5,920.63       |
| VERIZON 9-20-14 CALL @ 50 00                             | 3,118.35       | 08/28/14        | 09/19/14 | 151           | 421.29        | 2,697.06       |
| VERIZON 9-20-14 CALL @ 52.50 EXPIRED                     | 5,248.21       | 07/14/14        | 09/22/14 | 150           | 0 00          | 5,248.21       |
| WHOLE FOODS MKT INC 9-20-14 CALL @ 38.00                 | 53,638.90      | 8/14/2014       | 09/18/14 | 350           | 64,130.50     | (10,491.60)    |
| WILLIAMS COS INC 9-20-14 CALL @ 57.50 EXPIRED            | 17,419.81      | 08/15/14        | 09/22/14 | 130           | 0.00          | 17,419.81      |
|                                                          | 2,593,705.80   |                 |          |               | 2,445,494.70  | 148,210.90     |
| <b>LONG TERM</b>                                         |                |                 |          |               |               |                |
| PROCTER AND GAMBLE CO                                    | 336,095.22     | 09/19/14        | 08/26/13 | 4100          | 324,691.14    | 11,404.08      |

**GLENN FOUNDATION FOR MEDICAL RESEARCH, INC**  
**EIN: 86-0710305**  
**DEUTSCHE BANK 5XL-876581**  
**FYE 9-30-2014**

| <b>MONTH</b>                 | <b>Sales<br/>Price</b> | <b>Cost<br/>Basis</b> | <b>Gain<br/>(Loss)</b> |
|------------------------------|------------------------|-----------------------|------------------------|
| <b>SHORT TERM</b>            |                        |                       |                        |
| Oct-13                       | 111,961.32             | 96,647.17             | 15,314.15              |
| Nov-13                       | 336,728.22             | 325,216.24            | 11,511.98              |
| Dec-13                       | 189,320.14             | 174,295.84            | 15,024.30              |
| Jan-14                       | 526,723.63             | 483,507.96            | 43,215.67              |
| Feb-14                       | 434,756.42             | 368,237.70            | 66,518.72              |
| Mar-14                       | 371,327.88             | 339,307.40            | 32,020.48              |
| Apr-14                       | 12,048.85              | 335.98                | 11,712.87              |
| May-14                       | 264,221.73             | 253,157.87            | 11,063.86              |
| Jun-14                       | 306,895.47             | 278,687.49            | 28,207.98              |
| Jul-14                       | 7,724.55               | 3,210.00              | 4,514.55               |
| Aug-14                       | 123,376.34             | 107,792.51            | 15,583.83              |
| Sep-14                       | 126,200.93             | 118,145.40            | 8,055.53               |
| <b>SHORT TERM GAIN(LOSS)</b> | <b>2,811,285.48</b>    | <b>2,548,541.56</b>   | <b>262,743.92</b>      |
| <b>LONG TERM</b>             |                        |                       |                        |
| Oct-13                       | 177,796.90             | 199,843.50            | (22,046.60)            |
| Mar-14                       | 75,748.32              | 57,987.00             | 17,761.32              |
| Jun-14                       | 473,258.06             | 367,925.80            | 105,332.26             |
| Jul-14                       | 363,277.33             | 335,712.00            | 27,565.33              |
| <b>LONG TERM GAIN(LOSS)</b>  | <b>1,090,080.61</b>    | <b>961,468.30</b>     | <b>128,612.31</b>      |
| <b>SHORT TERM GAIN(LOSS)</b> | <b>262,743.92</b>      |                       |                        |
| <b>LONG TERM GAIN (LOSS)</b> | <b>128,612.31</b>      |                       |                        |
|                              | <b>391,356.23</b>      |                       |                        |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK 5XL-876581  
FYE 9-30-2014

| Memo                                                     | Sales<br>Price | Date of  |          | #of    | Cost       | Gain        |
|----------------------------------------------------------|----------------|----------|----------|--------|------------|-------------|
| Oct-13                                                   |                | Sale     | Purchase | Shares | Basis      | (Loss)      |
| <b>SHORT TERM</b>                                        |                |          |          |        |            |             |
| FREEPORT MCMORAN COPPER & GOLD INC 10-19-13 CALL @ 34.00 | 4,284.37       | 09/18/13 | 10/17/13 | 50     | 3,748.65   | 535.72      |
| BROADCOM 10-19-13 CALL @ 28.00 EXPIRED                   | 1,578.33       | 09/19/13 | 10/21/13 | 30     | 0.00       | 1,578.33    |
| BROADCOM 10-25-13 CALL @ 28.00 EXPIRED                   | 1,450.95       | 10/21/13 | 10/28/13 | 30     | 0.00       | 1,450.95    |
| CA INC                                                   | 88,048.46      | 10/18/13 | 10/09/13 | 3000   | 86,415.30  | 1,633.16    |
| FREEPORT MCMORAN COPPER & GOLD INC 10-19-13 CALL @ 35.00 | 3,099.94       | 09/20/13 | 10/18/13 | 50     | 200.00     | 2,899.94    |
| HOLLYFRONTIER CORP 10-19-13 CALL @ 43.50                 | 3,999.93       | 09/24/13 | 10/18/13 | 50     | 3,700.00   | 299.93      |
| KIMBERLY CLARK CORP 10-19-13 CALL @ 97.50                | 2,505.28       | 08/16/13 | 10/18/13 | 20     | 2,583.22   | (77.94)     |
| MERCK & CO INC 10-19-13 CALL @ 47.00 EXPIRED             | 6,994.06       | 08/16/13 | 10/21/13 | 50     | 0.00       | 6,994.06    |
|                                                          | 111,861.32     |          |          |        | 96,647.17  | 15,314.15   |
| <b>LONG TERM</b>                                         |                |          |          |        |            |             |
| FREEPORT-MCMORAN COPPER & GOLD INC                       | 177,796.90     | 10/25/13 | 03/09/12 | 5000   | 199,843.50 | (22,046.60) |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710306  
DEUTSCHE BANK 5XL-876681  
FYE 9-30-2014

| Memo                                             | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|--------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| Nov-13                                           |                |                 |          |               |               |                |
| SHORT TERM                                       |                |                 |          |               |               |                |
| CA INC                                           | 53,909.06      | 11/15/13        | 10/09/13 | 1800          | 51,849.18     | 2,059.88       |
| CA INC                                           | 35,939.37      | 11/08/13        | 10/09/13 | 1200          | 34,566.12     | 1,373.25       |
| GILEAD SCIENCES INC                              | 211,998.43     | 11/22/13        | 10/30/13 | 3000          | 217,794.60    | (5,796.17)     |
| GILEAD SCIENCES INC 11-16-13 CALL @ 69.00        | 1,109.98       | 11/11/13        | 11/15/13 | 30            | 1,402.13      | (292.15)       |
| GILEAD SCIENCES INC 11-8-13 CALL @ 69.00 EXPIRED | 2,285.92       | 11/06/13        | 11/11/13 | 30            | 0.00          | 2,285.92       |
| HOLLYFRONTIER CORP 11-16-13 CALL @ 44.00         | 8,449.85       | 10/18/13        | 11/14/13 | 50            | 7,399.17      | 1,050.68       |
| MERCK & CO INC 11-1-13 CALL @ 47.00 EXPIRED      | 1,436.19       | 10/25/13        | 11/04/13 | 50            | 0.00          | 1,436.19       |
| OCCIDENTAL PETE CORP 11-16-13 CALL @ 92.50       | 21,619.42      | 05/16/13        | 11/13/13 | 40            | 12,205.04     | 9,414.38       |
|                                                  | 336,728.22     |                 |          |               | 325,216.24    | 11,511.98      |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK 5XL-876581  
FYE 9-30-2014

| Memo                                                             | Sales<br>Price | Date of  |           | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|------------------------------------------------------------------|----------------|----------|-----------|---------------|---------------|----------------|
|                                                                  |                | Sale     | Purchase  |               |               |                |
| <b>Dec-13</b>                                                    |                |          |           |               |               |                |
| <b>SHORT TERM</b>                                                |                |          |           |               |               |                |
| FREEPORT MCMORAN COPPER & GOLD INC 12-21-13 CALL @ 36.00 EXPIRED | 4,751.76       | 10/17/13 | 12/23/13  | 50            | 0.00          | 4,751.76       |
| HOLLYFRONTIER CORP                                               | 60,162.73      | 12/03/13 | 6/18/2013 | 1300          | 57,575.70     | 2,587.03       |
| HOLLYFRONTIER CORP 12-21-13 CALL @ 44.50                         | 6,585.27       | 11/14/13 | 12/19/13  | 37            | 9,583.00      | (2,997.73)     |
| KIMBERLY CLARK CORP                                              | 112,300.48     | 12/03/13 | 08/04/13  | 1100          | 107,137.14    | 5,163.34       |
| VERIZON COMMUNICATIONS INC 12-21-13 CALL @ 50.00 EXPIRED         | 5,519.90       | 11/15/13 | 12/23/13  | 60            | 0.00          | 5,519.90       |
|                                                                  | 189,320.14     |          |           |               | 174,295.84    | 15,024.30      |

## GLENN FOUNDATION FOR MEDICAL RESEARCH, INC

EIN: 88-0710305

DEUTSCHE BANK 5XL-876581

FYE 9-30-2014

| Memo                                                    | Sales<br>Price | Date of  |          | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|---------------------------------------------------------|----------------|----------|----------|---------------|---------------|----------------|
|                                                         |                | Sale     | Purchase |               |               |                |
| Jan-14                                                  |                |          |          |               |               |                |
| FREEPORT MCMORAN COPPER & GOLD INC 1-18-14 CALL @ 36 00 | 2,789.95       | 12/23/13 | 01/15/14 | 50            | 4,500 00      | (1,700.05)     |
| HOLLYFRONTIER CORP                                      | 177,855 90     | 01/17/14 | 08/18/13 | 3700          | 183,889 30    | 13,988.60      |
| INTEL CORP 1-18-14 CALL @ 26 00 EXPIRED                 | 1,239 97       | 01/07/14 | 01/21/14 | 40            | 0 00          | 1,239.97       |
| KIMBERLY CLARK CORP                                     | 91,882.22      | 01/17/14 | 08/04/13 | 900           | 87,657.86     | 4,224 56       |
| MERCK & CO                                              | 252,945 59     | 01/17/14 | 05/20/13 | 5000          | 227,481 00    | 25,464 59      |
|                                                         | 526,723.63     |          |          |               | 483,507 96    | 43,215.67      |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 88-0710305  
DEUTSCHE BANK 5XL-878581  
FYE 9-30-2014

| Memo                                                             | Sales<br>Price | Date of  |          | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|------------------------------------------------------------------|----------------|----------|----------|---------------|---------------|----------------|
|                                                                  |                | Sale     | Purchase |               |               |                |
| Feb-14                                                           |                |          |          |               |               |                |
| SHORT TERM                                                       |                |          |          |               |               |                |
| BROADCOM CORP                                                    | 89,818.44      | 02/07/14 | 08/08/13 | 3000          | 70,334.30     | 19,484.14      |
| BROADCOM CORP                                                    | 91,558.40      | 02/07/14 | 08/27/13 | 3000          | 74,386.20     | 17,172.20      |
| CISCO SYSTEMS INC. 2-22-14 CALL @ 23.00 EXPIRED                  | 1,574.97       | 02/08/14 | 02/24/14 | 35            | 0.00          | 1,574.97       |
| EMC CORP 1-31-14 CALL @ 26.00 EXPIRED                            | 1,808.86       | 01/28/14 | 02/03/14 | 50            | 0.00          | 1,808.86       |
| FREEPORT MCMORAN COPPER & GOLD INC 2-22-14- CALL @ 36.00 EXPIRED | 7,789.86       | 01/15/14 | 02/24/14 | 50            | 0.00          | 7,789.86       |
| GILEAD SCIENCES INC 2-7-14 CALL @ 84.00 EXPIRED                  | 1,574.97       | 02/04/14 | 02/10/14 | 15            | 0.00          | 1,574.97       |
| GILEAD SCIENCES INC 2-22-14 CALL @ 82.50                         | 1,739.96       | 02/10/14 | 02/20/14 | 20            | 1,700.00      | 39.96          |
| INTEL CORP 2-22-14 CALL @ 25.00 EXPIRED                          | 1,399.87       | 01/28/14 | 02/24/14 | 40            | 0.00          | 1,399.87       |
| OCCIDENTAL PETE CORP 2-22-14 CALL @ 87.50 EXPIRED                | 12,604.82      | 11/13/13 | 02/24/14 | 40            | 0.00          | 12,604.82      |
| TARGET CORP                                                      | 213,832.27     | 02/13/14 | 02/03/14 | 3800          | 210,726.34    | 2,905.93       |
| TARGET CORP                                                      | 11,243.80      | 02/21/14 | 02/03/14 | 200           | 11,080.86     | 152.94         |
|                                                                  | 434,756.42     |          |          |               | 388,237.70    | 66,518.72      |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN- 88-0710305  
DEUTSCHE BANK 5XL-876581  
FYE 9-30-2014

| Memo                                             | Sales<br>Price | Date of<br>Sale Purchase |          | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|--------------------------------------------------|----------------|--------------------------|----------|---------------|---------------|----------------|
| Mar-14                                           |                |                          |          |               |               |                |
| SHORT TERM                                       |                |                          |          |               |               |                |
| EMC CPR[                                         | 138,848.87     | 03/21/14                 | 03/22/13 | 5000          | 120,950.00    | 15,898.87      |
| GILEAD SCIENCES INC 3-22-14 CALL @ 82.50 EXPIRED | 6,094.89       | 02/20/14                 | 03/24/14 | 20            | 0.00          | 8,094.89       |
| VERIZON COMM INC 3-22-14 CALL @ 49.00 EXPIRED    | 4,859.91       | 02/20/14                 | 03/24/14 | 60            | 0.00          | 4,859.91       |
| WALMART STORES INC                               | 223,526.11     | 03/07/14                 | 02/03/14 | 3000          | 218,357.40    | 5,168.71       |
|                                                  | 371,327.88     |                          |          |               | 339,307.40    | 32,020.48      |
| LONG TERM                                        |                |                          |          |               |               |                |
| INTEL CORP                                       | 75,748.32      | 03/21/14                 | 11/21/12 | 3000          | 57,987.00     | 17,761.32      |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK 5XL-878581  
FYE 9-30-2014

| Memo                                                | Sales<br>Price | Date of  |          | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|-----------------------------------------------------|----------------|----------|----------|---------------|---------------|----------------|
|                                                     |                | Sale     | Purchase |               |               |                |
| Apr-14                                              |                |          |          |               |               |                |
| SHORT TERM                                          |                |          |          |               |               |                |
| EMC CORP 4-19-14 CALL @ 27.00                       | 2,499.95       | 02/28/14 | 04/17/14 | 50            | 50.00         | 2,449.95       |
| FREEPORT MCMORAN COPPER & GOLD 4-19-14 CALL @ 34.00 | 2,899.93       | 04/14/14 | 04/17/14 | 100           | 100.00        | 2,899.93       |
| OCCIDENTAL PETE CORP 4-19-14 CALL @ 97.50           | 8,548.97       | 02/24/14 | 04/17/14 | 40            | 185.98        | 6,362.99       |
|                                                     | 12,048.85      |          |          |               | 335.98        | 11,712.87      |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 88-0710305  
DEUTSCHE BANK 5XL-878581  
FYE 9-30-2014

| Memo                                                    | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|---------------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| May-14                                                  |                |                 |          |               |               |                |
| SHORT TERM                                              |                |                 |          |               |               |                |
| CA INC 5-17-14 CALL @ 30.00 EXPIRED                     | 2,799.93       | 05/08/14        | 05/19/14 | 70            | 0 00          | 2,799.93       |
| CISCO SYSTEMS INC                                       | 79,421 07      | 05/16/14        | 12/26/16 | 3500          | 74,119 00     | 5,302.07       |
| EMC CORP 5-17-14 CALL @ 27 00 EXPIRED                   | 2,308 12       | 04/17/14        | 05/19/14 | 50            | 0.00          | 2,308 12       |
| FREEPORT MCMORAN COPPER & GOLD INC 5-17-14 CALL @ 34 00 | 5,120 99       | 05/15/14        | 05/15/14 | 100           | 12,859 97     | (7,538.98)     |
| GILEAD SCIENCES INC                                     | 181,636 42     | 05/16/14        | 01/23/14 | 2000          | 183,758.00    | (2,119 58)     |
| OCCIDENTAL PETE CORP 5-17-14 CALL @ 97.50               | 6,427 90       | 04/17/14        | 05/15/14 | 40            | 397 87        | 6,030 03       |
| STARBUCKS CORP 5-17-14 CALL @ 70.00                     | 3,869.91       | 04/15/14        | 05/15/14 | 30            | 630.00        | 3,239.91       |
| VERIZON COMMUNICATION SINC 5-17-14 CALL @ 49.00         | 2,639.39       | 04/04/14        | 05/18/14 | 60            | 1,595.03      | 1,044.36       |
|                                                         | 284,221.73     |                 |          |               | 253,157.87    | 11,063.86      |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
 EIN: 86-0710305  
 DEUTSCHE BANK 5XL-876581  
 FYE 9-30-2014

| Memo                                                    | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|---------------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| <b>Jun-14</b>                                           |                |                 |          |               |               |                |
| <b>SHORT TERM</b>                                       |                |                 |          |               |               |                |
| CISCO SYSTEMS INC                                       | 86,420.92      | 06/20/14        | 12/26/13 | 3500          | 74,118.99     | 12,301.93      |
| GILEAD SCIENCES INC 6-21-14 CALL @ 85.00 EXPIRED        | 1,639.96       | 04/30/14        | 06/23/14 | 10            | 0.00          | 1,639.96       |
| NETAPP INC 6-21-14 CALL @ 37.00 EXPIRED                 | 1,849.85       | 06/04/14        | 06/23/14 | 50            | 0.00          | 1,849.85       |
| STARBUCKS CORP                                          | 214,345.25     | 06/20/14        | 04/15/14 | 3000          | 204,588.50    | 9,776.75       |
| VERIZON COMMUNICATIONS INC 6-27-14 CALL @ 50.00 EXPIRED | 2,639.39       | 04/04/14        | 06/30/14 | 60            | 0.00          | 2,639.39       |
|                                                         | 306,895.47     |                 |          |               | 278,687.49    | 28,207.98      |
| <b>LONG TERM</b>                                        |                |                 |          |               |               |                |
| INTEL CORP                                              | 77,458.29      | 06/20/14        | 11/21/12 | 3000          | 57,887.00     | 19,471.29      |
| OCCIDENTAL PETROLEUM CORP                               | 395,799.77     | 06/05/14        | 03/28/13 | 4000          | 309,938.80    | 85,860.97      |
|                                                         | 473,258.06     |                 |          |               | 367,925.80    | 105,332.26     |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
DEUTSCHE BANK 6XL-876581  
FYE 9-30-2014

| Memo                                       | Sales<br>Price | Date of<br>Sale | Purchase    | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|--------------------------------------------|----------------|-----------------|-------------|---------------|---------------|----------------|
| Jul-14                                     |                |                 |             |               |               |                |
| SHORT TERM                                 |                |                 |             |               |               |                |
| DELPHI AUTOMOTIVE PLC 7-19-14 CALL @ 67.50 | 5,809.97       | 08/30/14        | 07/17/14    | 30            | 3,080.00      | 2,749.97       |
| NETAPP INC 7-19-14 CALL @ 37.00            | 1,914.58       | 08/25/14        | 07/18/14    | 50            | 150.00        | 1,764.58       |
|                                            | 7,724.55       |                 |             |               | 3,210.00      | 4,514.55       |
| LONG TERM                                  |                |                 |             |               |               |                |
| FREEPORT-MCMORAN COPPER & GOLD INC         | 363,277.33     | 07/10/14        | VARIOUS L/T | 10000         | 335,712.00    | 27,565.33      |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710306  
DEUTSCHE BANK 6XL-876581  
FYE 9-30-2014

| Memo                                                    | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|---------------------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| Aug-14                                                  |                |                 |          |               |               |                |
| SHORT TERM                                              |                |                 |          |               |               |                |
| DELPHI AUTOMOTIVE PLC 8-16-14 CALL @ 67.50              | 6,618.95       | 07/17/14        | 08/15/14 | 30            | 4,110.00      | 2,509.95       |
| EASTMAN CHEMICAL CO 8-16-14 CALL @ 82.50 EXPIRED        | 2,224.95       | 07/30/14        | 08/18/14 | 25            | 0.00          | 2,224.95       |
| GILEAD SCIENCES INC                                     | 90,817.98      | 08/15/14        | 01/23/14 | 1000          | 81,878.90     | 8,939.08       |
| HEWLETT PACKARD CO 8-16-14 CALL @ 34.00                 | 2,909.93       | 07/14/14        | 08/15/14 | 30            | 4,230.00      | (1,320.07)     |
| HEWLETT PACKARD CO 8-16-14 CALL @ 35.00                 | 1,559.96       | 07/14/14        | 08/14/14 | 30            | 1,343.46      | 216.50         |
| HEWLETT PACKARD CO 8-22-14 CALL @ 38.00                 | 2,272.85       | 08/14/14        | 08/21/14 | 30            | 2,293.90      | (21.05)        |
| NETAPP INC 8-16-14 CALL @ 38.00                         | 3,704.25       | 07/18/14        | 08/13/14 | 50            | 8,470.35      | (4,766.10)     |
| VERIZON COMMUNICATIONS INC 8-1-14 CALL @ 52.00          | 779.98         | 07/30/14        | 08/01/14 | 60            | 60.00         | 719.98         |
| VERIZON COMMUNICATIONS INC 8-16-14 CALL @ 50.00 EXPIRED | 4,199.90       | 08/01/14        | 08/18/14 | 60            | 0.00          | 4,199.90       |
| WHOLE FOODS MKT INC 8-1-14 CALL @ 39.00                 | 5,143.98       | 07/30/14        | 07/31/14 | 30            | 374.05        | 4,769.93       |
| WHOLE FOODS MKT INC 8-16-14 CALL @ 37.00                | 3,142.61       | 07/31/14        | 08/14/14 | 30            | 5,031.85      | (1,889.24)     |
|                                                         | 123,376.34     |                 |          |               | 107,792.51    | 15,583.83      |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710306  
DEUTSCHE BANK 6XL-876581  
FYE 9-30-2014

| Memo                                            | Sales<br>Price | Date of  |          | #of    | Cost       | Gain       |
|-------------------------------------------------|----------------|----------|----------|--------|------------|------------|
| Sep-14                                          |                | Sale     | Purchase | Shares | Basis      | (Loss)     |
| <b>SHORT TERM</b>                               |                |          |          |        |            |            |
| DELPHI AUTOMOTIVE PLC 9-20-14 CALL @ 67.50      | 7,109.84       | 08/15/14 | 09/15/14 | 30     | 5,450.10   | 1,659.74   |
| EASTMAN CHEMICAL CO 9-20-14 CALL @ 82.50        | 2,474.84       | 08/18/14 | 09/18/14 | 25     | 4,141.00   | (1,666.08) |
| HEWLETT PACKARD CO                              | 105,489.85     | 09/05/14 | 07/14/14 | 2800   | 99,058.20  | 6,441.45   |
| HEWLETT PACKARD CO                              | 3,637.92       | 09/19/14 | 07/14/14 | 100    | 3,415.80   | 222.12     |
| MCDONALDS CORP 9-20-14 CALL @ 95.00             | 1,639.98       | 08/13/14 | 09/16/14 | 20     | 416.00     | 1,223.98   |
| VERIZON COMMUNICATIONS INC 9-20-14 CALL @ 50.00 | 1,239.08       | 08/26/14 | 09/19/14 | 60     | 167.40     | 1,071.88   |
| WHOLE FOODS MKT 9-20-14 CALL @ 38.00            | 4,599.54       | 08/14/14 | 09/20/14 | 30     | 5,496.90   | (897.36)   |
|                                                 | 126,200.93     |          |          |        | 118,145.40 | 8,055.53   |

GLENN FOUNDATION  
88-0710305  
SCHEDULE D ATTACHMENT  
FYE 9/30/14  
MORGAN STANLEY 814-890187-081

| Memo                                                 | Sales Price | Date of Sale | Purchase    | # of Shares | Cost Basis | Gain (Loss) |
|------------------------------------------------------|-------------|--------------|-------------|-------------|------------|-------------|
| <b>Oct-14</b>                                        |             |              |             |             |            |             |
| <b>SHORT TERM</b>                                    |             |              |             |             |            |             |
| ACCENTURE PLC                                        | 54,158.15   | 10/30/13     | VARIOUS S/T | 725         | 59,419.86  | (5,261.71)  |
| BLACKROCK INC 10-19-13 PUT @ 260.00 EXPIRED          | 1,783.46    | VARIOUS S/T  | 10/21/13    | 2           | 0.00       | 1,783.46    |
| MARATHON PETROLEUM CORP                              | 25,707.76   | 10/30/13     | 05/22/13    | 360         | 29,687.58  | (3,959.80)  |
| MARATHON PETROLEUM CORP 10-19-13 PUT @ 65.00 EXPIRED | 837.98      | 08/20/13     | 10/21/13    | 4           | 0.00       | 837.98      |
| PULTE CORP 1-18-14 PUT @ 20.00                       | 2,054.95    | 05/17/01     | 10/30/13    | 15          | 3,801.00   | (1,746.05)  |
|                                                      | 84,542.30   |              |             |             | 92,888.42  | (8,346.12)  |
| <b>Nov-13</b>                                        |             |              |             |             |            |             |
| <b>SHORT TERM</b>                                    |             |              |             |             |            |             |
| AMER INTL GROUP INC 11-16-13 PUT @ 40.00 EXPIRED     | 1,175.97    | 05/17/13     | 11/18/13    | 8           | 0.00       | 1,175.97    |
| HOME DEPOT INC 11-16-13 PUT @ 67.50 EXPIRED          | 734.98      | 05/17/13     | 11/18/13    | 5           | 0.00       | 734.98      |
| WISDOM TREE JPT DIV 11-16-13 CALL @ 40.00 EXPIRED    | 1,433.47    | 08/07/13     | 11/18/13    | 8           | 0.00       | 1,433.47    |
| WISDOM TREE JPY 11-16-13 PUT @ 45.00 EXPIRED         | 909.97      | 05/17/13     | 11/18/13    | 7           | 0.00       | 909.97      |
|                                                      | 4,254.39    |              |             |             | 0.00       | 4,254.39    |
| <b>Dec-13</b>                                        |             |              |             |             |            |             |
| <b>SHORT TERM</b>                                    |             |              |             |             |            |             |
| FORTUNE BRAND HOME 12-21-13 PUT @ 35.00              | 1,039.97    | 05/17/13     | 12/23/13    | 8           | 0.00       | 1,039.97    |
| GNC HOLDINGS 12-21-13 PUT @ 37.50                    | 653.98      | 06/20/13     | 12/23/13    | 8           | 0.00       | 653.98      |
| MERCADOLIBRE INC 12-21-13 PUT @ 90.00                | 854.98      | 05/17/13     | 12/23/13    | 3           | 0.00       | 854.98      |
| MERCADOLIBRE INC 12-21-13 PUT @ 95.00                | 2,393.45    | 05/07/13     | 12/23/13    | 4           | 0.00       | 2,393.45    |
| THE MOSAIC CO 12-21-13 PUT @ 35.00 EXPIRED           | 1,973.96    | 07/30/13     | 12/23/13    | 15          | 0.00       | 1,973.96    |
| TWITTER INC 1-18-14 CALL @ 50.00                     | 1,165.92    | 01/24/13     | 12/10/13    | 15          | 6,621.00   | (5,455.08)  |
| TWITTER INC 1-18-14 CALL @ 57.50                     | 2,892.45    | 12/10/13     | 12/17/13    | 15          | 5,257.50   | (2,565.05)  |
| WISDOMTREE TRUST JPY HEDGE EQUITY CAP GAIN DIST      | 577.86      |              |             |             | 0.00       | 577.86      |
|                                                      | 11,352.57   |              |             |             | 11,878.50  | (525.93)    |
| <b>Jan-13</b>                                        |             |              |             |             |            |             |
| <b>SHORT TERM</b>                                    |             |              |             |             |            |             |
| TESLA MOTORS 1-18-14 CALL @ 175.00 EXPIRED           | 300.99      | 11/12/13     | 01/21/14    | 1           | 0.00       | 300.99      |
| CELEGENE CORP 1-18-14 PUT @ 100.00 EXPIRED           | 1,457.96    | 05/17/13     | 01/21/14    | 3           | 0.00       | 1,457.96    |
| INTUITIVE SURGICAL 1-18-14 PUT @ 285.00 EXPIRED      | 893.98      | 07/19/13     | 01/21/14    | 1           | 0.00       | 893.98      |
| MERCADOLIBRE INC.                                    | 24,472.10   | 01/31/14     | 08/05/13    | 250         | 28,489.30  | (4,017.20)  |
| PENNY JC CO                                          | 49,302.94   | 01/14/14     | 11/21/13    | 7000        | 64,717.80  | (15,414.86) |
|                                                      | 76,227.97   |              |             |             | 93,207.10  | (16,979.13) |
| <b>Feb-14</b>                                        |             |              |             |             |            |             |
| <b>SHORT TERM</b>                                    |             |              |             |             |            |             |
| BEST BUY 1-17-15 PUT @ 20.00                         | 2,843.95    | 01/16/14     | 02/06/14    | 15          | 3,532.50   | (688.55)    |
| TWITTER INC 6-21-14 CALL @ 75.00                     | 4,192.92    | 12/18/13     | 02/06/14    | 10          | 1,257.00   | 2,935.92    |
| US SILICA HOLDINGS                                   | 3,681.44    | 02/19/14     | 05/29/13    | 121         | 2,705.31   | 956.13      |
| WPX ENERGY INC                                       | 84,306.08   | 02/18/14     | VARIOUS S/T | 4850        | 92,001.44  | (7,695.36)  |
|                                                      | 95,004.39   |              |             |             | 99,486.25  | (4,491.86)  |
| <b>Mar-14</b>                                        |             |              |             |             |            |             |
| <b>SHORT TERM</b>                                    |             |              |             |             |            |             |
| BROOKFIELD RESIDENTIAL PROPERTIES                    | 54,390.64   | 03/27/14     | VARIOUS S/T | 2675        | 60,342.35  | (5,951.71)  |
| GNC HOLDINGS INC                                     | 54,458.38   | 03/27/14     | VARIOUS S/T | 1260        | 57,572.68  | (3,114.30)  |
| WEYERHAEUSER CO                                      | 64,567.51   | 03/13/14     | VARIOUS S/T | 2185        | 60,910.54  | 3,656.97    |
|                                                      | 173,416.53  |              |             |             | 178,825.57 | (5,409.04)  |
| <b>Apr-14</b>                                        |             |              |             |             |            |             |
| <b>SHORT TERM</b>                                    |             |              |             |             |            |             |
| WISDOMTREE TRUST JPY HEDGE                           | 60,887.50   | 04/09/14     | VARIOUS S/T | 1320        | 58,034.24  | 2,853.26    |
| VALEANT PHARMACEUTIC INTL INC                        | 71,438.02   | 04/07/14     | VARIOUS S/T | 600         | 80,328.86  | (8,890.84)  |
| LINKEDIN CORP                                        | 190,175.75  | 04/14/14     | VARIOUS S/T | 1151        | 203,161.15 | (12,985.40) |
| AMBIT BIOSCIENCES CORP                               | 52,314.55   | 04/15/14     | 01/18/14    | 8700        | 95,736.54  | (43,421.99) |
|                                                      | 374,615.82  |              |             |             | 437,260.79 | (62,644.97) |
| <b>May-14</b>                                        |             |              |             |             |            |             |
| <b>SHORT TERM</b>                                    |             |              |             |             |            |             |
| PUMA BIOTECHNOLOGY INC                               | 17,943.48   | 05/29/13     | 03/19/14    | 232         | 28265.23   | (10,321.75) |

GLENN FOUNDATION  
86-0710305  
SCHEDULE D ATTACHMENT  
FYE 9/30/14  
MORGAN STANLEY 814-890187-061

| Memo                                                 | Sales<br>Price | Date of<br>Sale | Purchase    | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|------------------------------------------------------|----------------|-----------------|-------------|---------------|---------------|----------------|
| <b>Jun-14</b>                                        |                |                 |             |               |               |                |
| <b>SHORT TERM</b>                                    |                |                 |             |               |               |                |
| KARYOPHARM THERAPEUTICS INC                          | 16,932.15      | 06/11/14        | 03/13/14    | 690           | 27,605.66     | (10,673.51)    |
| PUMA BIOTECHNOLOGY INC                               | 25,910.80      | 06/04/14        | 04/07/14    | 472           | 41,254.12     | (15,343.32)    |
| TWITTER INC                                          | 3,998.01       | 08/09/14        | 02/12/14    | 117           | 6,327.93      | (2,331.92)     |
| TWITTER INC                                          | 4,308.99       | 06/13/14        | 04/29/14    | 117           | 5,079.80      | (772.81)       |
| TWITTER INC                                          | 4,701.31       | 06/25/14        | 04/29/14    | 119           | 5,168.98      | (465.67)       |
| TWITTER INC 6-21-14 CALL @ 38.00 EXPIRED             | 3,517.43       | 02/06/14        | 06/21/14    | 15            | 0.00          | 3,517.43       |
|                                                      | 59,364.69      |                 |             |               | 85,434.49     | (26,069.80)    |
| <b>LONG TERM</b>                                     |                |                 |             |               |               |                |
| FORTUNE BRANDS HOME                                  | 15,183.60      | 06/11/14        | 06/06/13    | 375           | 14,523.71     | 659.89         |
| FORTUNE BRANDS HOME                                  | 6,284.37       | 06/12/14        | 06/06/13    | 175           | 6,777.73      | (493.36)       |
|                                                      | 21,467.97      |                 |             |               | 21,301.44     | 166.53         |
| <b>Jul-14</b>                                        |                |                 |             |               |               |                |
| <b>SHORT TERM</b>                                    |                |                 |             |               |               |                |
| ATHENAHEALTH INC 12-20-14 CALL @ 130.00              | 1,744.96       | 05/07/14        | 07/17/14    | 2             | 3,211.00      | (1,466.04)     |
| MARATHON PETROLEUM CORP                              | 36,507.80      | 07/01/14        | 07/30/13    | 465           | 34,116.68     | 2,390.92       |
| SIRIUS XM HOLDINGS INC.                              | 51,275.42      | 07/02/14        | 02/04/14    | 14877         | 52,322.41     | (1,046.99)     |
| TWITTER INC.                                         | 8,782.91       | 07/28/14        | 04/29/14    | 231           | 10,028.01     | (1,246.10)     |
|                                                      | 98,310.89      |                 |             |               | 99,679.10     | (1,368.21)     |
| <b>LONG TERM</b>                                     |                |                 |             |               |               |                |
| FORTUNE BRANDS HOME                                  | 8,772.86       | 07/01/14        | 06/06/13    | 200           | 7,745.99      | 1,026.87       |
| <b>Aug-14</b>                                        |                |                 |             |               |               |                |
| <b>SHORT TERM</b>                                    |                |                 |             |               |               |                |
| HERBALIFE 8-16-14 CALL @ 75.00 EXPIRED               | 1,842.97       | 03/13/14        | 08/18/14    | 5             | 0.00          | 1,842.97       |
| MICHAEL KORS HOLDINGS LTD                            | 79,066.25      | 08/05/14        | VARIOUS S/T | 1000          | 85,993.80     | (6,927.55)     |
|                                                      | 80,709.22      |                 |             |               | 85,993.80     | (5,284.58)     |
| <b>LONG TERM</b>                                     |                |                 |             |               |               |                |
| GILEAD SCIENCE                                       | 21,509.64      | 08/28/14        | 06/06/13    | 200           | 10,149.60     | 11,360.04      |
| US SILICA HOLDINGS                                   | 14,474.09      | 08/28/14        | 05/29/13    | 204           | 4,561.01      | 9,913.08       |
|                                                      | 35,983.73      |                 |             |               | 14,710.61     | 21,273.12      |
| <b>Sep-14</b>                                        |                |                 |             |               |               |                |
| <b>SHORT TERM</b>                                    |                |                 |             |               |               |                |
| LAS VEGAS SANDS 9-20-14 CALL @ 60.00 @ 60.00 EXPIRED | 1,993.16       | 01/24/14        | 09/22/14    | 8             | 0.00          | 1,993.16       |
| SANDRIDGE ENERGY INC                                 | 44,242.02      | 09/25/14        | VARIOUS S/T | 10000         | 66,692.50     | (22,450.48)    |
|                                                      | 46,235.18      |                 |             |               | 66,692.50     | (20,457.32)    |
| <b>SHORT TERM GAIN (LOSS)</b>                        | (157,644.32)   |                 |             |               |               |                |
| <b>LONG TERM GAIN (LOSS)</b>                         | 22,466.52      |                 |             |               |               |                |
|                                                      | (135,177.80)   |                 |             |               |               |                |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
RAYMOND JAMES #49519053  
FYE 9-30-2014

| Memo                                   | Sales Price | Date of Sale | Purchase    | #of Shares | Cost Basis | Gain (Loss) |
|----------------------------------------|-------------|--------------|-------------|------------|------------|-------------|
| <b>Oct-13</b>                          |             |              |             |            |            |             |
| <b>SHORT TERM</b>                      |             |              |             |            |            |             |
| ANGIES LIST INC                        | 17,775.29   | 10/08/13     | 08/05/13    | 1000       | 23,624.80  | (5,849.51)  |
| ROCKWOOD HLDGS INC. CASH IN LIEU       | 21.86       |              |             |            | 0.00       | 21.86       |
| ROCKWOOD HOLDINGS                      | 43,907.10   | 10/07/13     | 03/04/13    | 862.3270   | 40,682.47  | 3,244.63    |
|                                        | 61,704.25   |              |             |            | 64,287.27  | (2,583.02)  |
| <b>LONG TERM</b>                       |             |              |             |            |            |             |
| LINCOLN NATL CORP                      | 63,777.98   | 10/10/13     | 12/27/11    | 1500.544   | 27,679.95  | 36,098.03   |
| LINCOLN NATL CORP                      | 46,482.39   | 10/21/13     | 12/27/11    | 1058       | 22,283.31  | 24,219.08   |
| LINCOLN NATL CORP CASH IN LIEU         | 24.31       |              |             |            | 0.00       | 24.31       |
| PALO ALTO NETWORKS                     | 17,167.00   | 10/21/13     | 08/17/12    | 400        | 25,758.90  | (8,591.90)  |
| STANLEY BLACK & DECKER                 | 64,235.59   | 10/21/13     | 12/27/11    | 834.684    | 54,837.39  | 9,398.20    |
| STANLEY BLACK & DECKER CASH IN LIEU    | 52.57       |              |             |            | 0.00       | 52.57       |
|                                        | 191,739.84  |              |             |            | 130,539.55 | 61,200.29   |
| <b>Nov-13</b>                          |             |              |             |            |            |             |
| <b>SHORT TERM</b>                      |             |              |             |            |            |             |
| BED BATH & BEYOND                      | 7,540.36    | 11/14/13     | 01/23/13    | 100        | 5,587.83   | 1,952.73    |
| <b>Dec-13</b>                          |             |              |             |            |            |             |
| <b>SHORT TERM</b>                      |             |              |             |            |            |             |
| BED BATH & BEYOND                      | 116,282.47  | 12/09/13     | 01/23/13    | 1500       | 83,814.47  | 32,468.00   |
| CNOOC LTD                              | 1,453.97    | 12/12/13     | VARIOUS S/T | 7.274      | 1,389.14   | 64.83       |
| CNOOC LTD CASH IN LIEU                 | 68.55       |              |             |            | 0.00       | 68.55       |
| RED ROBIN GOURMET BURGERS INC          | 40,930.94   | 12/09/13     | 08/08/13    | 550        | 31,648.66  | 9,282.28    |
|                                        | 158,733.93  |              |             |            | 116,832.27 | 41,901.66   |
| <b>LONG TERM</b>                       |             |              |             |            |            |             |
| CNOOC LTD                              | 39,977.26   | 12/12/13     | 01/26/12    | 200        | 40,320.85  | (343.69)    |
| CNOOC LTD                              | 1,011.02    | 12/12/13     | VARIOUS LT  | 5.058      | 1,003.65   | 7.37        |
|                                        | 40,988.28   |              |             |            | 41,324.60  | (336.32)    |
| <b>Jan-13</b>                          |             |              |             |            |            |             |
| <b>SHORT TERM</b>                      |             |              |             |            |            |             |
| BITAUTO HOLDINGS LTD                   | 14,864.19   | 01/30/14     | 10/21/13    | 500        | 11,474.55  | 3,389.64    |
| CITIGROUP INC                          | 42,623.35   | 01/27/14     | 08/13/13    | 820.323    | 42,530.67  | 92.68       |
| CITIGROUP INC CASH IN LIEU             | 16.81       |              |             |            | 0.00       | 16.81       |
| E HOUSE CHINA HOLDINGS LTD             | 12,964.07   | 01/29/14     | 10/21/13    | 1000       | 9,115.70   | 3,848.37    |
| ICICI BK LTD                           | 17,173.85   | 01/29/14     | 10/21/13    | 500        | 16,570.85  | 603.00      |
| ISHARES 20+YR BD                       | 108,922.23  | 01/30/14     | VARIOUS S/T | 1000       | 105,042.00 | 1,880.23    |
| NEW ORIENTAL ED & TECH GROUP           | 120,382.00  | 01/29/14     | 12/09/13    | 4000       | 119,656.70 | 725.30      |
| QIHOO 360 TECHNOLOGY                   | 9,558.93    | 01/27/14     | 10/21/13    | 100        | 8,699.46   | 859.47      |
| RED ROBIN GOURMET BURGERS INC          | 65,742.95   | 01/27/14     | 08/08/13    | 1000       | 57,543.01  | 8,199.94    |
| TRACTOR SUPPLY COMPANY                 | 47,456.60   | 01/16/14     | 06/05/13    | 642.479    | 36,532.20  | 10,924.40   |
| TRACTOR SUPPLY COMPANY CASH LIEU       | 35.22       |              |             |            | 0.00       | 35.22       |
| YOUKU TUDOU INC.                       | 29,583.98   | 01/30/14     | 10/21/13    | 1000       | 28,735.80  | 848.18      |
|                                        | 467,324.18  |              |             |            | 435,900.94 | 31,423.24   |
| <b>LONG TERM</b>                       |             |              |             |            |            |             |
| CHEVRON CORPORATION CASH IN LIEU       | 41.75       |              |             |            | 0.00       | 41.75       |
| CTRIPT INTL                            | 29,985.17   | 01/15/14     | 10/07/13    | 800        | 48,343.42  | (18,358.25) |
| CHEVRON CORPORATION                    | 63,608.80   | 01/16/14     | 12/28/11    | 533.35     | 57,020.11  | 6,588.69    |
| POST PTYS INC. CASH IN LIEU            | 4.43        |              |             |            | 0.00       | 4.43        |
| GILDAN ACTIVEWEAR                      | 15,587.12   | 01/29/14     | 01/23/12    | 300        | 6,484.83   | 9,102.29    |
| GILDAN ACTIVEWEAR                      | 10,391.42   | 01/29/14     | 01/30/12    | 200        | 4,461.77   | 5,929.65    |
| POST PROPERTIES INC                    | 47,812.21   | 01/30/14     | 12/27/11    | 1047.097   | 45,329.68  | 2,482.53    |
|                                        | 167,430.90  |              |             |            | 161,639.81 | 5,791.09    |
| <b>Feb-14</b>                          |             |              |             |            |            |             |
| <b>SHORT TERM</b>                      |             |              |             |            |            |             |
| AUTOMATIC DATA PROCESSING              | 1,909.73    | 02/10/14     | VARIOUS S/T | 25.9180    | 1,855.19   | 54.54       |
| AUTOMATIC DATA PROCESSING CASH IN LIEU | 71.87       |              |             |            | 0.00       | 71.87       |
| LORD ROBBET SHORT DURATION             | 10,000.00   | 02/18/14     | 01/31/14    | 2197.802   | 10,000.00  | 0.00        |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
RAYMOND JAMES #49518053  
FYE 9-30-2014

| Memo                               | Sales Price | Date of Sale | Purchase    | #of Shares | Cost Basis | Gain (Loss) |
|------------------------------------|-------------|--------------|-------------|------------|------------|-------------|
| LORD ABBETT SHORT DURATION         | 150,000.00  | 02/21/14     | 01/31/14    | 32894 737  | 149,670 72 | 329.28      |
|                                    | 161,981 60  |              |             |            | 161,525 91 | 455.69      |
| <b>LONG TERM</b>                   |             |              |             |            |            |             |
| AUTOMATIC DATA PROCESSING          | 75,824 48   | 02/10/14     | VARIOUS L/T | 1029 0580  | 57,822.63  | 18,001.85   |
| GILDAN ACTIVEWEAR                  | 25,852 64   | 02/10/14     | 01/30/12    | 500        | 11,154 41  | 14,698.23   |
|                                    | 101,677.12  |              |             |            | 68,977 04  | 32,700 08   |
| <b>Mar-14 SHORT TERM</b>           |             |              |             |            |            |             |
| BB&T CORPORATION CASH IN LIEU      | 33.86       |              |             |            | 0.00       | 33.86       |
| GILDAN ACTIVEWEAR INC CASH IN LIEU | 45.92       |              |             |            | 0.00       | 45.92       |
| HUNTINGTON BANCSHARES              | 54,777.77   | 03/13/14     | VARIOUS S/T | 5597.373   | 43,519.58  | 11,258.21   |
| HUNTINGTON BANCSHARES CASH IN LIEU | 3.67        |              |             |            | 0.00       | 3.67        |
| LORD ABBETT SHORT DURATION         | 15,000.00   | 03/05/14     | 01/31/14    | 3286.474   | 14,953.46  | 46 54       |
| LORD ABBETT SHORT DURATION         | 40,000.00   | 03/27/14     | 01/31/14    | 8810.573   | 40,088.11  | (88 11)     |
| NVIDIA CORPORATION CASH IN LIEU    | 8.87        |              |             |            | 0 00       | 8 87        |
| SOUTHERN COPPER                    | 609.58      | 03/13/14     | VARIOUS S/T | 21 435     | 638.88     | (29 30)     |
| SOUTHERN COPPER CASH IN LIEU       | 19 66       |              |             |            | 0.00       | 19 66       |
| UNITEDHEALTH GROUP                 | 63 84       |              |             |            | 0 00       | 63.84       |
|                                    | 110,563.17  |              |             |            | 99,200.01  | 11,363 16   |
| <b>LONG TERM</b>                   |             |              |             |            |            |             |
| BB&T CORPORATION                   | 82,953.37   | 03/13/14     | VARIOUS L/T | 2128.8620  | 55,790.60  | 27,162 77   |
| GILDAN ACTIVEWEAR                  | 26,519.23   | 03/13/14     | 01/30/12    | 520 9010   | 11,994.52  | 14,524.71   |
| NVIDIA CORPORATION                 | 56,402.01   | 03/13/14     | VARIOUS L/T | 3083 49    | 43,536.47  | 12,865 54   |
| SOUTHERN COPPER                    | 32,228.32   | 03/13/14     | VARIOUS L/T | 1133 287   | 40,000 87  | (7,772.55)  |
| UNITEDHEALTH GROUP                 | 79,581 11   | 03/13/14     | 01/09/12    | 1030.819   | 53,792.20  | 25,788 91   |
|                                    | 277,684.04  |              |             |            | 205,114.66 | 72,569 38   |
| <b>Apr-14 SHORT TERM</b>           |             |              |             |            |            |             |
| LORD ABBETT SHORT DURATION         | 20,000 00   | 04/04/14     | VARIOUS S/T | 5395.604   | 20,000.00  | 0.00        |
| LORD ABBETT SHORT DURATION         | 200,000.00  | 04/14/14     | VARIOUS S/T | 43956 044  | 200,000.00 | 0 00        |
| LORD ABBETT SHORT DURATION         | 227,204.56  | 4/14/2014    | VARIOUS S/T | 48938.068  | 227,220.32 | (15 76)     |
| SPDR S&P 500 EFT TRUST             | 100,843 12  | 04/30/14     | 04/14/14    | 540        | 100,427.77 | 415 35      |
|                                    | 548,047.68  |              |             |            | 547,848.09 | 399.59      |
| <b>May-14 SHORT TERM</b>           |             |              |             |            |            |             |
| ISHARES 20+ YR TR BD CASH IN LIEU  | 59.16       |              |             |            | 0.00       | 59 16       |
| ISHARES 20+ YR BD                  | 302,073.01  | 05/15/14     | VARIOUS S/T | 2726 533   | 301,235.55 | 837 46      |
|                                    | 302,132 17  |              |             |            | 301,235.55 | 896.62      |
| <b>Jun-14 SHORT TERM</b>           |             |              |             |            |            |             |
| ACE LTD                            | 155,983.35  | 06/18/14     | VARIOUS S/T | 1502.600   | 152,691.85 | 3,271 70    |
| ACE LTD CASH IN LIEU               | 62 44       |              |             |            | 0 00       | 62 44       |
| BANCOLOMBIA SA                     | 58,902.79   | 06/18/14     | VARIOUS S/T | 1000       | 55,945.90  | 2,956.89    |
| CAPSTEAD MTG CORP                  | 79,842.33   | 06/18/14     | 01/29/14    | 6000       | 74,769 54  | 5,072 79    |
| CAPSTEAD MTG CORP                  | 56,787.57   | 06/26/14     | VARIOUS S/T | 4266.907   | 53,246 36  | 3,521.21    |
| CAPSTEAD MTG CORP CASH IN LIEU     | 12 10       |              |             |            | 0 00       | 12.10       |
| CHINA LIFE INS COMPANY             | 82,722 27   | 06/18/14     | VARIOUS S/T | 2000       | 85,143 00  | (2,420 73)  |
| CPFL ENERGIA                       | 74,044 16   | 06/18/14     | VARIOUS S/T | 4127       | 55,268 30  | 18,775 86   |
| CPFL ENERGIA S A CASH IN LIEU      | 12.48       |              |             |            | 0.00       | 12 48       |
| CTRIIP INTL                        | 116,301.52  | 06/18/14     | VARIOUS S/T | 2000       | 97,839.80  | 18,461 72   |
| FREEMPORT-MCMORAN COPPER & GOLD    | 67,062 61   | 06/18/14     | 05/14/14    | 2000       | 69,655.50  | (2,592.89)  |
| GOL LINHAS AEREAS INTELIGENTES     | 16,911 68   | 06/18/14     | 05/15/14    | 3000       | 16,675 90  | (1,764.22)  |
| GRUMA SAB DE CV                    | 87,097 17   | 06/18/14     | VARIOUS S/T | 1800       | 62,875 82  | 24,221.35   |
| HDFC BANK LTD                      | 47,273 05   | 06/18/14     | 06/08/14    | 1000       | 46,825 70  | 447.35      |
| MERCER INTL INC                    | 50,352.98   | 06/05/14     | 01/27/14    | 6000       | 59,482 14  | (9,129 16)  |
| MERCER INTL INC.                   | 50,982.97   | 06/18/14     | 01/27/14    | 6000       | 59,524 71  | (8,541 74)  |
| MICHAEL KORS HOLDING LTD           | 17,667 72   | 06/26/14     | 02/18/14    | 200        | 19,534.78  | (1,867.06)  |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
RAYMOND JAMES #49519053  
FYE 9-30-2014

| Memo                                        | Sales<br>Price | Date of<br>Sale | Purchase    | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|---------------------------------------------|----------------|-----------------|-------------|---------------|---------------|----------------|
| WUZI PHARMATECH CAYMAN INC                  | 104,557.78     | 06/18/14        | VARIOUS S/T | 3200          | 100,735.70    | 3,822.08       |
|                                             | 1,066,536.97   |                 |             |               | 1,012,214.80  | 54,322.17      |
| <b>LONG TERM</b>                            |                |                 |             |               |               |                |
| GOL LINHAS AEREAS INTELIGENTES              | 16,911.67      | 06/18/14        | 08/20/12    | 3000          | 14,324.80     | 2,586.77       |
| TRONOX INC                                  | 79,332.34      | 06/18/14        | VARIOUS L/T | 3000          | 37,474.92     | 41,857.42      |
|                                             | 96,244.01      |                 |             |               | 51,799.82     | 44,444.19      |
| <b>Jul-14</b>                               |                |                 |             |               |               |                |
| <b>SHORT TERM</b>                           |                |                 |             |               |               |                |
| FREEPORT-MCMORAN COPPER & GOLD              | 71,882.88      | 07/29/14        | 06/26/14    | 1900          | 68,316.72     | 3,566.16       |
| HARTFORD SHORT DURATION FD                  | 35,000.00      | 07/29/14        | 06/18/14    | 3510.532      | 35,035.10     | (35.10)        |
| HARTFORD SHORT DURATION FD                  | 45,000.00      | 07/31/14        | 06/18/14    | 4513.541      | 45,045.14     | (45.14)        |
| INDUSTRIAS BACHOCO S A CASH IN LIEU         | 17.58          |                 |             |               | 0.00          | 17.58          |
| INDUSTRIAS BACHOCO SA                       | 28,350.61      | 07/29/14        | VARIOUS S/T | 511.312       | 20,567.09     | 7,783.52       |
| LATAM AIRLIS GROUP SA                       | 12,216.82      | 07/29/14        | 05/30/14    | 1000          | 14,615.80     | (2,398.98)     |
| THAI FD INC CASH IN LIEU                    | 11.39          |                 |             |               | 0.00          | 11.39          |
| THAI FD INC L/T CAPITAL GAIN                | 1,441.72       |                 |             |               | 0.00          | 1,441.72       |
| THAI FUND INC                               | 25,261.89      | 7/29/2014       | VARIOUS S/T | 2126.955      | 23,439.80     | 1,822.09       |
| TRONOX INC                                  | 40,885.69      | 07/29/14        | VARIOUS S/T | 1581.4640     | 38,603.60     | 2,282.09       |
| TRONOX LTD CASH IN LIEU                     | 5.06           |                 |             |               | 0.00          | 5.06           |
|                                             | 260,073.64     |                 |             |               | 245,623.25    | 14,450.39      |
| <b>LONG TERM</b>                            |                |                 |             |               |               |                |
| TRONOX INC                                  | 32,490.30      | 07/29/14        | VARIOUS L/T | 1256.7290     | 62,345.89     | (29,855.59)    |
| <b>Aug-14</b>                               |                |                 |             |               |               |                |
| <b>SHORT TERM</b>                           |                |                 |             |               |               |                |
| FREEPORT-MCMORAN COPPER & GOLD              | 526.18         | 08/21/14        | 8/5/2014    | 15            | 564.01        | (37.83)        |
| FREEPORT-MCMORAN COPPER & GOLD CASH IN LIEU | 26.78          | 08/19/14        | 08/05/14    | -0.791        | 29.74         | (0.96)         |
| HARTFORD SHORT DURATION FD                  | 35,000.00      | 08/01/14        | 08/18/14    | 3510.532      | 35,035.10     | (35.10)        |
| HARTFORD SHORT DURATION FD                  | 100,000.00     | 08/22/14        | 08/18/14    | 10030.9       | 100,108.38    | (108.38)       |
|                                             | 135,554.96     |                 |             |               | 135,737.23    | (182.27)       |
| <b>Sep-14</b>                               |                |                 |             |               |               |                |
| <b>SHORT TERM</b>                           |                |                 |             |               |               |                |
| CHINA DISTANCE ED HOLDINGS CASH IN LIEU     | 12.33          | 09/30/14        | 12/09/13    | 0.879         | 16.93         | (4.60)         |
| CHINA LIFE INS CO CASH IN LIEU              | 18.47          | 09/30/14        | 07/29/14    | 0.441         | 18.74         | (0.27)         |
| HARTFORD SHORT DURATION FD                  | 40,000.00      | 09/04/14        | 06/18/14    | 4012.036      | 40,040.12     | (40.12)        |
| HARTFORD SHORT DURATION FD                  | 135,000.00     | 09/28/14        | 06/18/14    | 13579.549     | 135,543.26    | (543.26)       |
| XINYUAN REAL ESTATE CO CASH IN LIEU         | 1.27           | 09/29/14        | 03/05/14    | 0.426         | 1.94          | (0.67)         |
|                                             | 175,032.07     |                 |             |               | 175,620.99    | (588.92)       |
| SHORT TERM GAIN(LOSS)                       | 153,811.04     |                 |             |               |               |                |
| LONG TERM GAIN(LOSS)                        | 186,513.12     |                 |             |               |               |                |
|                                             | 340,324.16     |                 |             |               |               |                |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC  
EIN: 86-0710305  
RAYMOND JAMES #49533723  
FYE 8-30-2014

| Memo                                         | Sales<br>Price | Date of<br>Sale | Purchase | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|----------------------------------------------|----------------|-----------------|----------|---------------|---------------|----------------|
| Oct-13<br>SHORT TERM                         |                |                 |          |               |               |                |
| ROYCE GLOBAL VALUE TR INC CASH IN LIEU       | 8.28           |                 |          |               | 0.00          | 8.28           |
| Dec-13<br>SHORT TERM                         |                |                 |          |               |               |                |
| GENERAL AMERIN INVS INC CASH IN LIEU         | 20.04          |                 |          |               | 0.00          | 20.04          |
| TEMPLETON EMERGING MKTS FUND CAPITAL GAIN    | 2,703.89       |                 |          |               | 0.00          | 2,703.89       |
|                                              | 2,723.93       |                 |          |               | 0.00          | 2,723.93       |
| Jul-14<br>SHORT TERM                         |                |                 |          |               |               |                |
| GABELLI GLB SML & MID CAP VALUE CASH IN LIEU | 6.01           |                 |          |               | 0.00          | 6.01           |
| Sep-14<br>SHORT TERM                         |                |                 |          |               |               |                |
| LIBERTY ALL-STAR GROWTH FD CASH IN LIEU      | 4.84           |                 |          |               | 0.00          | 4.84           |
| SHORT TERM GAIN(LOSS)                        | 2,743.06       |                 |          |               |               |                |

GLENN FOUNDATION  
FEI # 86-0710306  
UBS FINANCIAL SERVICES JP 32494 WH  
SCHEDULE D ATTACHMENT  
Y/E 09/30/14

| Memo                                      | Sales<br>Price | Date of<br>Sale | Purchase    | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|-------------------------------------------|----------------|-----------------|-------------|---------------|---------------|----------------|
| <b>Oct-13</b><br><b>SHORT TERM</b>        |                |                 |             |               |               |                |
| CURRENCYSHARES USTRALIAN DOLLAR TRUST     | 96,379.07      | 10/22/13        | VARIOUS S/T | 1000          | 95,684.50     | 694.57         |
| <b>Mar-14</b><br><b>SHORT TERM</b>        |                |                 |             |               |               |                |
| UBS AG USDNOK 3-27-14                     | 281,436.13     | 03/27/14        | 02/27/14    | 1701000       | 281,832.49    | (396.36)       |
| <b>Apr-14</b><br><b>SHORT TERM</b>        |                |                 |             |               |               |                |
| UBS AG AUDUSD 4-7-14                      | 287,846.19     | 04/07/14        | 3/8/2014    | 294790        | 283,607.57    | 4,038.62       |
| <b>May-14</b><br><b>SHORT TERM</b>        |                |                 |             |               |               |                |
| UBS AG USDNOK 6-7-14                      | 286,259.43     | 05/13/14        | 4/7/2014    | 1705820       | 284,104.46    | 2,154.97       |
| <b>Jun-14</b><br><b>SHORT TERM</b>        |                |                 |             |               |               |                |
| UBS AG USDNOK 6-13-14                     | 285,602.83     | 08/13/14        | 05/13/14    | 1710817       | 289,336.79    | (3,734.16)     |
| ISHARES MSCI MEXICO CAPPED ETF            | 33,509.01      | 06/23/14        | 03/03/14    | 500           | 30,306.25     | 3,202.76       |
|                                           | 319,111.64     |                 |             |               | 319,643.04    | (531.40)       |
| <b>Aug-14</b><br><b>SHORT TERM</b>        |                |                 |             |               |               |                |
| SPDR S&P 500 EFT TR 8-20-14 PUT @ 200.00  | 13,004.46      | 08/04/14        | 07/28/14    | 15            | 8,015.25      | 4,989.21       |
| SPDR S&P 500 EFT TR 9-20-14 PUT @ 200.00  | 3,049.67       | 08/26/14        | 08/14/14    | 15            | 10,280.25     | (7,230.58)     |
| CURRENCYSHARES EURO TRUST                 | 131,626.46     | 08/07/13        | 08/25/14    | 10000         | 130,378.25    | 1,248.21       |
|                                           | 147,680.59     |                 |             |               | 148,673.75    | (993.16)       |
| <b>Sep-14</b><br><b>SHORT TERM</b>        |                |                 |             |               |               |                |
| SPDR S&P 500 EFT TR 10-18-14 PUT @ 200.00 | 6,014.61       | 09/28/14        | 08/26/14    | 15            | 5,110.24      | 904.37         |
| <b>SHORT TERM GAIN(LOSS)</b>              | 5,871.61       |                 |             |               |               |                |

GLENN FOUNDATION  
FEI # 86-0710305  
UBS FINANCIAL SERVICES JP 39221 V3  
SCHEDULE D ATTACHMENT  
Y/E 09/30/14

| Memo                                                 | Sales Price | Date of Sale | Purchase    | # of Shares | Cost Basis | Gain (Loss) |
|------------------------------------------------------|-------------|--------------|-------------|-------------|------------|-------------|
| <b>Nov-13</b>                                        |             |              |             |             |            |             |
| <b>SHORT TERM</b>                                    |             |              |             |             |            |             |
| DU PONT DE NEMOURS 11-16-13 CALL @ 62.50 EXPIRED     | 342.71      | 09/24/13     | 11/25/13    | 7           | 0.00       | 342.71      |
| <b>Dec-13</b>                                        |             |              |             |             |            |             |
| <b>SHORT TERM</b>                                    |             |              |             |             |            |             |
| AT&T INC 12-21-13 CALL @ 38.00                       | 303.62      | 09/24/13     | 12/23/13    | 13          | 0.00       | 303.62      |
| CHEVRON CORP 12-21-13 CALL @ 135.00                  | 107.99      | 09/24/13     | 12/23/13    | 3           | 0.00       | 107.99      |
| DU PONT DE NEMOURS                                   | 44,136.75   | 12/26/13     | VARIOUS S/T | 700         | 36,687.20  | 7,449.55    |
| GENERAL ELECTRIC                                     | 45,827.21   | 12/23/13     | VARIOUS S/T | 1800        | 41,843.95  | 3,883.26    |
| INTEL CORP                                           | 833.07      | 12/27/13     | 03/21/13    | 33          | 702.84     | 130.23      |
| INTEL CORP                                           | 227.2       | 12/27/13     | 06/19/13    | 9           | 225.60     | 1.60        |
| INTEL CORP 12-21-13 CALL @ 26.00 EXPIRED             | 350.05      | 09/24/13     | 12/23/13    | 18          | 0.00       | 350.05      |
| MCDONALDS CORP 12-21-13 CALL @ 105.00                | 119.99      | 09/24/13     | 12/23/13    | 4           | 0.00       | 119.99      |
| MERCK & CO 12-21-13 CALL @ 50.00 EXPIRED             | 561.52      | 09/24/13     | 12/23/13    | 9           | 0.00       | 561.52      |
| PFIZER INC.                                          | 45,509.21   | 12/26/13     | VARIOUS S/T | 1500        | 42,113.44  | 3,395.77    |
| PROCTER & GAMBLE 12-21-13 CALL @ 85.00 EXPIRED       | 199.74      | 09/24/13     | 12/23/13    | 5           | 0.00       | 199.74      |
| PROCTER & GAMBLE CO                                  | 45,914.27   | 12/27/13     | VARIOUS S/T | 565         | 42,975.08  | 2,939.19    |
| VERIZON COMMUNICATIONS 12-21-13 CALL @ 52.50 EXPIRED | 187.27      | 09/24/13     | 12/23/13    | 9           | 0.00       | 187.27      |
|                                                      | 184,277.89  |              |             |             | 164,848.11 | 19,629.78   |
| <b>LONG TERM</b>                                     |             |              |             |             |            |             |
| ISHARES TRUST RUSSELL 200 INDEX FUND                 | 800.79      | 12/27/13     | 12/22/11    | 7           | 509.92     | 290.87      |
| <b>Mar-14</b>                                        |             |              |             |             |            |             |
| <b>SHORT TERM</b>                                    |             |              |             |             |            |             |
| AT&T INC 3-22-14 CALL @ 38.00 EXPIRED                | 456.90      | 12/24/13     | 03/24/14    | 13          | 0.00       | 456.90      |
| AT&T INC                                             | 3,422.91    | 03/26/14     | VARIOUS S/T | 100         | 4,706.29   | (1,283.38)  |
| CHEVRON CORP 3-22-14 CALL @ 130.00 EXPIRED           | 248.20      | 12/24/13     | 03/24/14    | 3           | 0.00       | 248.20      |
| CISCO SYSTEMS INC 3-22-14 CALL @ 23.00 EXPIRED       | 789.98      | 3/24/2014    | 03/24/14    | 22          | 0.00       | 789.98      |
| DU PONT DE NEMOURS                                   | 51,358.65   | 03/27/14     | VARIOUS S/T | 772         | 48,182.27  | 3,176.38    |
| DU PONT DE NEMOURS 4-19-14 CALL @ 65.00              | 1,030.61    | 12/24/13     | 03/21/14    | 7           | 1,511.06   | (480.45)    |
| GENERAL ELECTRIC 3-22-14 CALL @ 26.00 EXPIRED        | 11.99       | 02/24/14     | 03/24/14    | 1           | 0.00       | 11.99       |
| GENERAL ELECTRIC 3-22-14 CALL @ 29.00 EXPIRED        | 463.99      | 12/24/13     | 03/24/14    | 16          | 0.00       | 463.99      |
| INTEL CORP                                           | 1,466.20    | 03/26/14     | 06/19/13    | 58          | 1,980.28   | (514.08)    |
| INTEL CORP                                           | 1,061.73    | 03/26/14     | 06/27/13    | 42          | 992.60     | 69.13       |
| INTEL CORP 3-22-14 CALL @ 27.00 EXPIRED              | 557.99      | 12/24/13     | 03/24/14    | 18          | 0.00       | 557.99      |
| MCDONALDS CORP 3-22-14 CALL @ 100.00 EXPIRED         | 338.74      | 12/24/13     | 03/24/14    | 4           | 0.00       | 338.74      |
| MCDONALDS CORP 3-22-14 CALL @ 97.50 EXPIRED          | 55.99       | 02/24/14     | 03/24/14    | 1           | 0.00       | 55.99       |
| MERCK & CO INC                                       | 47,678.19   | 03/17/14     | VARIOUS S/T | 900         | 41,539.90  | 6,138.29    |
| PFIZER INC 3-22-14 CALL @ 32.00 EXPIRED              | 485.30      | 12/24/13     | 03/24/14    | 15          | 0.00       | 485.30      |
| PFIZER INC 3-22-14 CALL @ 33.00 EXPIRED              | 13.99       | 02/24/14     | 03/24/14    | 1           | 0.00       | 13.99       |
| VERIZON COMM 3-22-14 CALL @ 50.00 EXPIRED            | 44.99       | 41,738.99    | 02/24/14    | 1           | 0.00       | 44.99       |
| VERIZON COMM 3-22-14 CALL @ 52.50 EXPIRED            | 312.62      | 12/24/13     | 03/24/14    | 9           | 0.00       | 312.62      |
|                                                      | 109,798.97  |              |             |             | 98,912.40  | 10,886.57   |
| <b>May-14</b>                                        |             |              |             |             |            |             |
| <b>SHORT TERM</b>                                    |             |              |             |             |            |             |
| CHEVRON CORP                                         | 2,186.37    | 05/15/14     | 06/19/13    | 18          | 2,187.80   | (1.53)      |
| CHEVRON CORP                                         | 2,307.84    | 05/15/14     | 12/27/13    | 19          | 2,341.26   | (33.42)     |
| CHEVRON CORP                                         | 2,307.84    | 05/15/14     | 02/26/14    | 19          | 2,155.96   | 151.88      |
| CHEVRON CORP                                         | 3,279.56    | 05/15/14     | 03/12/14    | 27          | 3,114.10   | 165.46      |
| CHEVRON CORP                                         | 2,307.84    | 05/15/14     | 03/19/14    | 19          | 2,171.42   | 136.42      |
| CHEVRON CORP                                         | 7,166.48    | 05/15/14     | 03/27/14    | 59          | 6,868.07   | 298.41      |
|                                                      | 19,555.93   |              |             |             | 18,838.71  | 717.22      |
| <b>LONG TERM</b>                                     |             |              |             |             |            |             |
| CHEVRON CORP                                         | 41,176.97   | 05/15/14     | 03/21/13    | 339         | 40,457.85  | 719.32      |
| <b>Jun-14</b>                                        |             |              |             |             |            |             |
| <b>SHORT TERM</b>                                    |             |              |             |             |            |             |
| AT&T INC 6-21-14 CALL @ 38.00 EXPIRED                | 593.99      | 03/25/14     | 06/23/14    | 18          | 0.00       | 593.99      |
| CISCO SYSTEMS                                        | 67,510.64   | 06/25/14     | VARIOUS S/T | 2900        | 62,245.53  | 5,265.11    |
| GENERAL ELECTRIC                                     | 857.58      | 06/26/14     | 06/19/13    | 32          | 758.92     | 98.66       |
| GENERAL ELECTRIC 6-21-14 CALL @ 27.00 EXPIRED        | 649.99      | 03/25/14     | 06/23/14    | 25          | 0.00       | 649.99      |
| INTEL CORP                                           | 68,073.50   | 06/25/14     | VARIOUS S/T | 2500        | 59,721.14  | 8,352.36    |
| ISHARES MSCI EAFE INDEX FUND                         | 883.38      | 06/26/14     | 12/22/11    | 14          | 879.54     | 303.84      |
| MCDONALDS CORP                                       | 2,140.18    | 06/25/14     | 6/19/2013   | 22          | 2,182.33   | (42.15)     |
| MCDONALDS CORP                                       | 58,368.48   | 06/25/14     | VARIOUS S/T | 600         | 55,722.88  | 2,645.50    |
| MERCK & CO 6-21-14 CALL @ 60.00 EXPIRED              | 373.99      | 03/25/14     | 06/23/14    | 11          | 0.00       | 373.99      |

GLENN FOUNDATION  
FEI # 88-0710306  
UBS FINANCIAL SERVICES JP 39221 V3  
SCHEDULE D ATTACHMENT  
Y/E 09/30/14

| Memo                                                | Sales<br>Price | Date of<br>Sale | Purchase    | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|-----------------------------------------------------|----------------|-----------------|-------------|---------------|---------------|----------------|
| MERCK & CO INC                                      | 2,586.85       | 06/26/14        | 06/27/13    | 44            | 2,030.81      | 556.04         |
| PFIZER INC 6-21-14 CALL @ 34.00 EXPIRED             | 662.16         | 03/25/14        | 06/23/14    | 20            | 0.00          | 662.16         |
| PROCTER & GAMBLE 6-21-14 CALL @ 85.00 EXPIRED       | 303.99         | 03/25/14        | 06/23/14    | 8             | 0.00          | 303.99         |
| VERIZON COMMUNICATIONS INC                          | 21,742.55      | 06/25/14        | VARIOUS S/T | 440           | 20,702.77     | 1,039.78       |
|                                                     | 224,847.28     |                 |             |               | 204,044.02    | 20,803.26      |
| <b>LONG TERM</b>                                    |                |                 |             |               |               |                |
| VERIZON COMMUNICATIONS INC                          | 42,496.81      | 06/25/14        | VARIOUS L/T | 860           | 41,501.59     | 995.22         |
| <b>Sep-14<br/>SHORT TERM</b>                        |                |                 |             |               |               |                |
| AT&T INC 9-20-14 CALL @ 37.00 EXPIRED               | 358.33         | 08/24/14        | 09/22/14    | 19            | 0.00          | 358.33         |
| CHEVRON CORP 9-20-14 CALL @ 140.00 EXPIRED          | 445.83         | 08/24/14        | 09/22/14    | 5             | 0.00          | 445.83         |
| CISCO SYSTEMS INC 9-20-14 CALL @ 27.00 EXPIRED      | 485.99         | 08/24/14        | 09/22/14    | 27            | 0.00          | 485.99         |
| GENERAL ELECTRIC 9-20-14 CALL @ 28.00 EXPIRED       | 624.99         | 08/24/14        | 09/22/14    | 25            | 0.00          | 624.99         |
| INTEL CORP                                          | 1,880.12       | 08/24/14        | 03/27/14    | 52            | 1,278.08      | 404.04         |
| INTEL CORP                                          | 69,401.79      | 08/24/14        | 06/26/14    | 2148          | 64,762.20     | 4,839.59       |
| INTEL CORP                                          | 1,703.20       | 09/25/14        | 06/26/14    | 49            | 1,478.98      | 224.22         |
| MCDONALDS CORP 9-20-14 CALL @ 110.00 EXPIRED        | 177.34         | 41,814.00       | 09/22/14    | 6             | 0.00          | 177.34         |
| MERCK & CO 9-20-14 CALL @ 62.50 EXPIRED             | 643.26         | 06/24/14        | 09/22/14    | 11            | 0.00          | 643.26         |
| MERCK & CO INC                                      | 910.82         | 09/25/14        | 08/27/13    | 15            | 692.32        | 218.50         |
| MERCK & CO INC                                      | 728.65         | 09/25/14        | 09/26/13    | 12            | 572.60        | 156.05         |
| PFIZER INC 9-20-14 CALL @ 31.00 EXPIRED             | 492.48         | 06/24/14        | 09/22/14    | 22            | 0.00          | 492.48         |
| PFIZER INC                                          | 964.78         | 09/25/14        | 09/26/13    | 32            | 924.69        | 40.09          |
| PROCTER & GAMBLE CO                                 | 66,455.23      | 09/24/14        | VARIOUS S/T | 800           | 63,668.51     | 2,786.72       |
| VERIZON COMMUNICATIONS 9-20-14 CALL @ 52.50 EXPIRED | 207.99         | 06/24/14        | 09/22/14    | 13            | 0.00          | 207.99         |
| VERIZON COMMUNICATIONS INC                          | 701.80         | 09/25/14        | 03/27/14    | 14            | 653.52        | 48.28          |
|                                                     | 145,882.60     |                 |             |               | 134,028.90    | 11,953.70      |
| SHORT TERM GAIN(LOSS)                               | 64,333.24      |                 |             |               |               |                |
| LONG TERM GAIN(LOSS)                                | 2,005.41       |                 |             |               |               |                |
|                                                     | 66,338.65      |                 |             |               |               |                |

GLENN FOUNDATION  
FEI # 86-0710305  
UBS FINANCIAL SERVICES JP 39222 V3  
SCHEDULE D ATTACHMENT  
Y/E 09/30/14

| Memo                                    | Sales Price | Date of Sale | Purchase    | #of Shares | Cost Basis | Gain (Loss) |
|-----------------------------------------|-------------|--------------|-------------|------------|------------|-------------|
| <b>Dec-13</b>                           |             |              |             |            |            |             |
| <b>SHORT TERM</b>                       |             |              |             |            |            |             |
| ASTRAZENECA                             | 3,849.17    | 12/11/13     | 03/20/13    | 64         | 2,974.53   | 674.64      |
| BANK OF NOVA SCOTIA CANADA              | 27,030.88   | 12/11/13     | 09/11/13    | 451        | 25,968.53  | 1,062.35    |
| BP PLC                                  | 2,483.04    | 12/11/13     | 03/20/13    | 53         | 2,353.16   | 129.88      |
| CDN IMPERIAL BK                         | 932.78      | 12/11/13     | 03/08/13    | 11         | 885.72     | 47.06       |
| CHINA PETROLEUM & CHEMICAL CORP         | 943.24      | 12/11/13     | 03/08/13    | 11         | 896.72     | 46.52       |
| CHINA PETROLEUM & CHEMICAL CORP         | 514.49      | 12/11/13     | 03/18/13    | 6          | 902.72     | (388.23)    |
| CHINA PETROLEUM & CHEMICAL CORP         | 428.74      | 12/11/13     | 03/20/13    | 5          | 907.72     | (478.98)    |
| ENCANA CORP                             | 1,303.87    | 12/11/13     | 03/08/13    | 68         | 1,212.91   | 90.96       |
| ENCANA CORP                             | 383.49      | 12/11/13     | 03/13/13    | 20         | 390.60     | (7.11)      |
| IAMGOLD                                 | 16,914.43   | 12/11/13     | 08/26/13    | 4304       | 19,169.14  | (2,254.71)  |
| NATIONAL GRID PLC                       | 857.49      | 12/11/13     | 03/20/13    | 14         | 778.06     | 79.43       |
| ROYAL DUTCH SHELL PLC                   | 269.75      | 12/11/13     | 3/13/2013   | 4          | 284.72     | 5.03        |
| SUN LIFE FINANCIAL INC OF CANADA        | 1,765.60    | 12/11/13     | 03/20/13    | 51         | 1,437.50   | 328.10      |
| TOTAL S A FRANCE                        | 761.01      | 12/11/13     | 08/03/11    | 13         | 702.45     | 58.56       |
| VODAFONE GROUP                          | 3,084.90    | 12/11/13     | 03/20/13    | 82         | 2,274.40   | 810.50      |
|                                         | 61,322.88   |              |             |            | 61,118.88  | 204.00      |
| <b>Feb-14</b>                           |             |              |             |            |            |             |
| <b>SHORT TERM</b>                       |             |              |             |            |            |             |
| VERIZON COMMUNICATIONS INC CASH IN LIEU | 11.99       |              |             |            | 0.00       | 11.99       |
| VERIZON COMMUNICATIONS INC CASH IN LIEU | 26.72       |              |             |            | 0.00       | 26.72       |
| VODAFONE GROUP PLC CASH IN LIEU         | 14.98       |              |             |            | 0.00       | 14.98       |
|                                         | 53.69       |              |             |            | 0.00       | 53.69       |
| <b>Mar-14</b>                           |             |              |             |            |            |             |
| <b>SHORT TERM</b>                       |             |              |             |            |            |             |
| BARRICK GOLD CORP                       | 34,339.22   | 03/27/14     | VARIOUS S/T | 1815       | 33,696.86  | 642.36      |
| ENCANA CORP                             | 7,503.86    | 03/27/14     | VARIOUS S/T | 371        | 6,577.71   | 926.15      |
| ENERPLUS CORP                           | 29,807.31   | 03/27/14     | VARIOUS S/T | 1528       | 28,497.19  | 1,110.12    |
| SUN LIFE FINANCIAL INC OF CANADA        | 3,711.75    | 03/27/14     | VARIOUS S/T | 107        | 3,563.74   | 148.01      |
| VERIZON COMMUNICATIONS INC              | 9,244.42    | 03/27/14     | 02/24/14    | 198        | 9,249.57   | (5.15)      |
| WESTPAC BANKING LTD                     | 13,161.85   | 03/27/14     | VARIOUS S/T | 431        | 5,932.87   | 7,228.98    |
|                                         | 97,568.41   |              |             |            | 87,517.94  | 10,050.47   |
| <b>LONG TERM</b>                        |             |              |             |            |            |             |
| ENCANA CORP                             | 22,612.73   | 03/27/14     | VARIOUS LT  | 1118       | 22,855.63  | (242.90)    |
| SUN LIFE FINANCIAL INC OF CANADA        | 24,802.80   | 03/27/14     | 03/20/13    | 715        | 20,068.62  | 4,734.18    |
| WESTPAC BANKING LTD                     | 16,918.02   | 03/27/13     | VARIOUS LT  | 554        | 20,084.47  | (3,166.45)  |
|                                         | 64,333.55   |              |             |            | 63,008.72  | 1,324.83    |
| <b>Jun-14</b>                           |             |              |             |            |            |             |
| <b>SHORT TERM</b>                       |             |              |             |            |            |             |
| ASTRAZENECA                             | 16,426.99   | 06/12/14     | VARIOUS S/T | 226        | 13,438.68  | 2,988.31    |
| BRITISH AMER TOBACCO PLC                | 1,421.85    | 06/12/14     | 09/11/13    | 12         | 1,226.55   | 195.30      |
| CHINA MOBILE                            | 491.62      | 06/12/14     | 03/08/13    | 10         | 545.80     | (54.18)     |
| CHINA MOBILE                            | 639.12      | 06/12/14     | 03/13/13    | 13         | 711.23     | (72.11)     |
| CHINA MOBILE                            | 1,327.40    | 06/12/14     | 03/20/13    | 27         | 1,436.68   | (109.28)    |
| CNOOC LTD                               | 886.66      | 06/12/14     | 03/12/14    | 4          | 842.40     | 44.26       |
| PEARSON PLC                             | 1,602.70    | 06/12/14     | 03/12/14    | 83         | 1,475.99   | 126.71      |
| PEARSON PLC                             | 1,486.85    | 06/12/14     | 03/27/14    | 77         | 1,279.74   | 207.11      |
| PETROCHINA CO LTD                       | 1,695.22    | 06/12/14     | 03/12/14    | 14         | 1,460.48   | 234.74      |
| PETROCHINA CO LTD                       | 726.53      | 06/12/14     | 03/27/14    | 6          | 363.24     | 363.29      |
| POTASH CORP SASK INC                    | 41,081.94   | 06/12/14     | VARIOUS S/T | 1154       | 39,689.34  | 1,372.60    |
| SHAW COMMUNICATIONS INC                 | 42,500.43   | 06/12/14     | VARIOUS S/T | 1722       | 40,554.15  | 1,946.28    |
| VODAFONE GROUP                          | 24,069.29   | 06/12/14     | VARIOUS S/T | 690        | 22,901.73  | 1,167.56    |
|                                         | 134,136.60  |              |             |            | 125,726.01 | 8,410.59    |
| <b>LONG TERM</b>                        |             |              |             |            |            |             |
| ASTRAZENECA                             | 28,638.20   | 06/12/14     | VARIOUS LT  | 394        | 18,129.38  | 10,508.82   |

GLENN FOUNDATION  
FEI # 86-0710305  
UBS FINANCIAL SERVICES JP 39222 V3  
SCHEDULE D ATTACHMENT  
Y/E 09/30/14

| Memo                        | Sales<br>Price | Date of<br>Sale | Purchase    | #of<br>Shares | Cost<br>Basis | Gain<br>(Loss) |
|-----------------------------|----------------|-----------------|-------------|---------------|---------------|----------------|
| BCE INC.                    | 277.89         | 08/12/14        | 09/26/12    | 6             | 265.02        | 12.87          |
| BP PLC                      | 407.08         | 08/12/14        | 03/20/13    | 8             | 327.30        | 79.78          |
| ROYAL DUTCH SHELL PLC       | 1,348.24       | 06/12/14        | 03/20/13    | 17            | 1,124.86      | 223.58         |
| TOTAL S A FRANCE            | 492.71         | 08/12/14        | 08/03/11    | -7            | 378.24        | 114.47         |
| VODAFONE GROUP              | 13,534.82      | 06/12/14        | VARIOUS LT  | 388           | 13,940.59     | (405.97)       |
|                             | 44,698.54      |                 |             |               | 34,185.19     | 10,533.35      |
| <b>Sep-14</b>               |                |                 |             |               |               |                |
| <b>SHORT TERM</b>           |                |                 |             |               |               |                |
| BANK OF MONTREAL CANADA     | 30,768.10      | 09/25/14        | VARIOUS LT  | 402           | 25,345.56     | 5,422.54       |
| BANK OF MONTREAL CANADA     | 19,517.08      | 09/25/14        | VARIOUS S/T | 255           | 17,073.22     | 2,443.86       |
| CDN IMPERIAL BK             | 30,344.84      | 09/25/14        | VARIOUS LT  | 316           | 25,478.36     | 4,866.48       |
| CDN IMPERIAL BK             | 19,685.73      | 09/25/14        | VARIOUS S/T | 205           | 17,735.68     | 1,950.05       |
| CHINA MOBILE                | 25,528.61      | 09/25/14        | VARIOUS LT  | 418           | 22,278.42     | 3,250.19       |
| CHINA MOBILE                | 32,124.52      | 09/25/14        | VARIOUS S/T | 526           | 23,573.34     | 8,551.18       |
| PETROCHINA CO LTD           | 1,452.74       | 09/25/14        | 03/27/14    | 11            | 1,166.44      | 286.30         |
| SUN FINANCIAL INC OF CANADA | 49,641.21      | 09/25/14        | VARIOUS S/T | 1311          | 46,439.77     | 3,201.44       |
|                             | 209,062.83     |                 |             |               | 179,080.79    | 29,972.04      |
| SHORT TERM GAIN(LOSS)       | 48,690.79      |                 |             |               |               |                |
| LONG TERM GAIN(LOSS)        | 11,858.18      |                 |             |               |               |                |
|                             | 60,548.97      |                 |             |               |               |                |

# GLENN FOUNDATION FOR MEDICAL RESEARCH [GLENN FOUND.]

## Depreciation Expense

Federal

10/01/2013 - 09/30/2014

| System No.                        | S | Description                       | Date In Service | Method / Conv. | Life   | Cost / Other Basis | Sec. 179/ Bonus/ (Cur. Yr. Only) | Beg. Accum. Depreciation/ (Sec. 179) | Current Depreciation | Total Depreciation/ (Sec. 179) |
|-----------------------------------|---|-----------------------------------|-----------------|----------------|--------|--------------------|----------------------------------|--------------------------------------|----------------------|--------------------------------|
| <b>1 FURNITURE &amp; FIXTURES</b> |   |                                   |                 |                |        |                    |                                  |                                      |                      |                                |
| 54                                |   | OFFICE MART INC.                  | 7/19/2004       | M / MQ         | 5.0000 | 1,818.30           | 0.00                             | 1,818.30                             | 0.00                 | 1,818.30                       |
| 72                                |   | OFFICE CHAIR                      | 2/5/2010        | M / HY         | 7.0000 | 581.40             | 0.00                             | 490.59                               | 25.95                | 516.54                         |
| 71                                |   | CHAIR                             | 3/9/2010        | M / HY         | 5.0000 | 108.74             | 0.00                             | 99.34                                | 6.27                 | 105.61                         |
| 76                                |   | CONFERENCE ROOM CHAIRS            | 12/5/2011       | M / HY         | 5.0000 | 8,103.67           | 0.00                             | 8,103.67                             | 0.00                 | 8,103.67                       |
| 77                                |   | OFFICE FURNITURE                  | 1/6/2012        | M / HY         | 5.0000 | 2,547.21           | 0.00                             | 1,935.88                             | 244.53               | 2,180.41                       |
| 78                                |   | SB SCREENS AND SHADES             | 2/2/2012        | M / HY         | 5.0000 | 2,493.63           | 0.00                             | 1,895.16                             | 239.39               | 2,134.55                       |
| 79                                |   | FURNITURE                         | 2/13/2012       | M / HY         | 5.0000 | 2,547.21           | 0.00                             | 1,935.88                             | 244.53               | 2,180.41                       |
| 80                                |   | OFFICE FURNITURE                  | 3/21/2012       | M / HY         | 5.0000 | 21,877.97          | 0.00                             | 16,827.26                            | 2,100.28             | 18,727.54                      |
| 75                                |   | IMPACT SIGNS - SIGNAGE FOR OFFICE | 4/30/2012       | M / HY         | 7.0000 | 2,358.00           | 0.00                             | 1,636.16                             | 206.24               | 1,842.40                       |
| 86                                |   | OFFICE FURNITURE                  | 5/10/2012       | M / HY         | 5.0000 | 5,553.68           | 0.00                             | 4,220.80                             | 533.15               | 4,753.95                       |
| 87                                |   | ART AND FRAMING                   | 5/23/2012       | M / HY         | 7.0000 | 5,488.79           | 0.00                             | 3,808.55                             | 480.07               | 4,288.62                       |
| 88                                |   | OFFICE FURNITURE                  | 6/30/2012       | M / HY         | 5.0000 | 21,877.98          | 0.00                             | 16,827.27                            | 2,100.28             | 18,727.55                      |
| 89                                |   | OFFICE FURNITURE                  | 7/26/2012       | M / HY         | 5.0000 | 4,574.37           | 0.00                             | 3,476.53                             | 439.14               | 3,915.67                       |
| 91                                |   | FILE CABINET                      | 12/10/2012      | M / HY         | 5.0000 | 1,433.24           | 0.00                             | 859.94                               | 229.32               | 1,089.26                       |
| Subtotal: 1 FURNITURE & FIXTURES  |   |                                   |                 |                |        | 81,364.19          | 0.00                             | 63,535.33                            | 6,849.15             | 70,384.48                      |
| Less dispositions and exchanges:  |   |                                   |                 |                |        | 0.00               | 0.00                             | 0.00                                 | 0.00                 | 0.00                           |
| Net for: 1 FURNITURE & FIXTURES   |   |                                   |                 |                |        | 81,364.19          | 0.00                             | 63,535.33                            | 6,849.15             | 70,384.48                      |
| <b>3 EQUIPMENT</b>                |   |                                   |                 |                |        |                    |                                  |                                      |                      |                                |
| 66                                |   | APPLE COMPUTER                    | 2/17/2009       | M / HY         | 5.0000 | 3,460.77           | 0.00                             | 3,261.43                             | 199.34               | 3,460.77                       |
| 67                                |   | APPLE COMPUTER                    | 3/6/2009        | M / HY         | 5.0000 | 5,868.82           | 0.00                             | 5,530.78                             | 338.04               | 5,868.82                       |
| 68                                |   | APPLE COMPUTER                    | 5/26/2009       | M / HY         | 5.0000 | 5,017.40           | 0.00                             | 4,728.40                             | 289.00               | 5,017.40                       |
| 69                                |   | MAC BOOK PRO                      | 6/17/2009       | M / HY         | 5.0000 | 7,071.39           | 0.00                             | 6,664.08                             | 407.31               | 7,071.39                       |
| 70                                |   | SERVER SOFTWARE                   | 6/30/2009       | M / HY         | 3.0000 | 999.00             | 0.00                             | 999.00                               | 0.00                 | 999.00                         |
| 73                                |   | APPLE COMPUTER EQUIPMENT          | 4/30/2010       | M / HY         | 5.0000 | 8,043.78           | 0.00                             | 8,043.13                             | 507.10               | 8,550.23                       |
| 74                                |   | COMPUTER                          | 8/23/2010       | M / HY         | 5.0000 | 4,060.42           | 0.00                             | 3,709.60                             | 233.88               | 3,943.48                       |
| 81                                |   | APPLE AUDIO EQUIPMENT             | 2/13/2012       | M / HY         | 5.0000 | 754.20             | 0.00                             | 573.19                               | 72.40                | 645.59                         |
| 82                                |   | TV MONITOR                        | 3/12/2012       | M / HY         | 5.0000 | 2,595.99           | 0.00                             | 1,972.96                             | 249.21               | 2,222.17                       |
| 83                                |   | 2 IPADS                           | 3/12/2012       | M / HY         | 5.0000 | 1,798.50           | 0.00                             | 1,366.86                             | 172.66               | 1,539.52                       |
| 84                                |   | TELEVISION                        | 4/9/2012        | M / HY         | 5.0000 | 1,615.17           | 0.00                             | 1,227.53                             | 155.06               | 1,382.59                       |
| 90                                |   | MACBOOK PRO                       | 6/20/2012       | M / HY         | 5.0000 | 5,696.34           | 0.00                             | 4,329.22                             | 546.85               | 4,876.07                       |
| 93                                |   | 4 SANUS MONITORS                  | 12/17/2012      | M / HY         | 5.0000 | 855.91             | 0.00                             | 513.55                               | 136.94               | 650.49                         |
| 94                                |   | 4 SAMSUNG TVS                     | 12/17/2012      | M / HY         | 5.0000 | 6,251.57           | 0.00                             | 3,750.95                             | 1,000.25             | 4,751.20                       |
| 85                                |   | MACBOOK PRO                       | 4/3/2013        | M / HY         | 5.0000 | 3,407.24           | 0.00                             | 2,044.34                             | 545.16               | 2,589.50                       |
| 95                                |   | TELEVISION                        | 4/4/2013        | M / HY         | 5.0000 | 866.83             | 0.00                             | 520.10                               | 138.69               | 658.79                         |
| 96                                |   | PRINTER                           | 6/10/2013       | M / HY         | 5.0000 | 636.00             | 0.00                             | 381.60                               | 101.76               | 483.36                         |
| 97                                |   | ROUTERS                           | 6/24/2013       | M / HY         | 5.0000 | 429.84             | 0.00                             | 257.90                               | 68.78                | 326.68                         |
| 98                                |   | IPAD                              | 7/15/2013       | M / HY         | 5.0000 | 541.92             | 0.00                             | 325.15                               | 86.71                | 411.86                         |
| 99                                |   | MAC BOOK                          | 11/5/2013       | M / HY         | 5.0000 | 3,566.92           | 0.00                             | 0.00                                 | 2,140.15             | 2,140.15                       |
| 92                                |   | IPAD AIR                          | 11/5/2013       | M / HY         | 5.0000 | 1,006.32           | 0.00                             | 0.00                                 | 603.79               | 603.79                         |
| 100                               |   | APPLE DIGITAL BACKUP              | 8/7/2014        | M / HY         | 5.0000 | 1,403.95           | 0.00                             | 0.00                                 | 280.79               | 280.79                         |
| 101                               |   | I PHONE 6 PLUS                    | 9/29/2014       | M / HY         | 5.0000 | 824.92             | 0.00                             | 0.00                                 | 164.98               | 164.98                         |
| Subtotal: 3 EQUIPMENT             |   |                                   |                 |                |        | 67,533.20          | 0.00                             | 50,199.77                            | 8,438.85             | 58,638.62                      |
| Less dispositions and exchanges:  |   |                                   |                 |                |        | 0.00               | 0.00                             | 0.00                                 | 0.00                 | 0.00                           |

GLENN FOUNDATION FOR MEDICAL RESEARCH [GLENN FOUND.]  
**Depreciation Expense**

Federal

10/01/2013 - 09/30/2014

11/18/2014  
10:00:00AM

| System No.                       | S | Description | Date In Service | Method / Conv. | Life | Cost / Other Basis | Sec. 179/ Bonus/ (Cur. Yr. Only) | Beg. Accum. Depreciation/ (Sec. 179) | Current Depreciation | Total Depreciation/ (Sec. 179) |
|----------------------------------|---|-------------|-----------------|----------------|------|--------------------|----------------------------------|--------------------------------------|----------------------|--------------------------------|
| Net for: 3 EQUIPMENT             |   |             |                 |                |      | 67,533.20          | 0.00                             | 50,199.77                            | 8,438.85             | 58,638.62                      |
| Subtotal:                        |   |             |                 |                |      | 148,897.39         | 0.00                             | 113,735.10                           | 15,288.00            | 129,023.10                     |
| Less dispositions and exchanges: |   |             |                 |                |      | 0.00               | 0.00                             | 0.00                                 | 0.00                 | 0.00                           |
| Grand Totals:                    |   |             |                 |                |      | 148,897.39         | 0.00                             | 113,735.10                           | 15,288.00            | 129,023.10                     |

GLENN FOUNDATION FOR MEDICAL RESEARCH [GLENN FOUND.]  
Depreciation Expense

11/18/2014  
10:00:02AM

AMT

10/01/2013 - 09/30/2014

| System No.                        | S | Description                       | Date In Service | Method / Conv. | Life   | Cost / Other Basis | Sec. 179 / Bonus / (Cur. Yr. Only) | Beg. Accum. Depreciation / (Sec. 179) | Current Depreciation | Total Depreciation / (Sec. 179) |
|-----------------------------------|---|-----------------------------------|-----------------|----------------|--------|--------------------|------------------------------------|---------------------------------------|----------------------|---------------------------------|
| <b>1 FURNITURE &amp; FIXTURES</b> |   |                                   |                 |                |        |                    |                                    |                                       |                      |                                 |
| 54                                |   | OFFICE MART INC.                  | 7/19/2004       | M / MQ         | 5.0000 | 1,818.30           | 0.00                               | 1,818.30                              | 0.00                 | 1,818.30                        |
| 72                                |   | OFFICE CHAIR                      | 2/5/2010        | M / HY         | 7.0000 | 581.40             | 0.00                               | 490.59                                | 25.95                | 516.54                          |
| 71                                |   | CHAIR                             | 3/9/2010        | M / HY         | 5.0000 | 108.74             | 0.00                               | 99.34                                 | 6.27                 | 105.61                          |
| 76                                |   | CONFERENCE ROOM CHAIRS            | 12/5/2011       | M / HY         | 5.0000 | 8,103.67           | 0.00                               | 8,103.67                              | 0.00                 | 8,103.67                        |
| 77                                |   | OFFICE FURNITURE                  | 1/6/2012        | M / HY         | 5.0000 | 2,547.21           | 0.00                               | 1,935.88                              | 244.53               | 2,180.41                        |
| 78                                |   | SB SCREENS AND SHADES             | 2/2/2012        | M / HY         | 5.0000 | 2,493.63           | 0.00                               | 1,895.16                              | 239.39               | 2,134.55                        |
| 79                                |   | FURNITURE                         | 2/13/2012       | M / HY         | 5.0000 | 2,547.21           | 0.00                               | 1,935.88                              | 244.53               | 2,180.41                        |
| 80                                |   | OFFICE FURNITURE                  | 3/21/2012       | M / HY         | 5.0000 | 21,877.97          | 0.00                               | 16,627.26                             | 2,100.28             | 18,727.54                       |
| 75                                |   | IMPACT SIGNS - SIGNAGE FOR OFFICE | 4/30/2012       | M / HY         | 7.0000 | 2,358.00           | 0.00                               | 1,636.16                              | 206.24               | 1,842.40                        |
| 86                                |   | OFFICE FURNITURE                  | 5/10/2012       | M / HY         | 5.0000 | 5,553.68           | 0.00                               | 4,220.80                              | 533.15               | 4,753.95                        |
| 87                                |   | ART AND FRAMING                   | 5/23/2012       | M / HY         | 7.0000 | 5,488.79           | 0.00                               | 3,808.55                              | 480.07               | 4,288.62                        |
| 88                                |   | OFFICE FURNITURE                  | 6/30/2012       | M / HY         | 5.0000 | 21,877.98          | 0.00                               | 16,627.27                             | 2,100.28             | 18,727.55                       |
| 89                                |   | OFFICE FURNITURE                  | 7/26/2012       | M / HY         | 5.0000 | 4,574.37           | 0.00                               | 3,476.53                              | 439.14               | 3,915.67                        |
| 91                                |   | FILE CABINET                      | 12/10/2012      | M / HY         | 5.0000 | 1,433.24           | 0.00                               | 859.94                                | 229.32               | 1,089.26                        |
| Subtotal: 1 FURNITURE & FIXTURES  |   |                                   |                 |                |        | 81,364.19          | 0.00                               | 63,535.33                             | 6,849.15             | 70,384.48                       |
| Less dispositions and exchanges:  |   |                                   |                 |                |        | 0.00               | 0.00                               | 0.00                                  | 0.00                 | 0.00                            |
| Net for: 1 FURNITURE & FIXTURES   |   |                                   |                 |                |        | 81,364.19          | 0.00                               | 63,535.33                             | 6,849.15             | 70,384.48                       |
| <b>3 EQUIPMENT</b>                |   |                                   |                 |                |        |                    |                                    |                                       |                      |                                 |
| 66                                |   | APPLE COMPUTER                    | 2/17/2009       | ME / HY        | 5.0000 | 3,460.77           | 0.00                               | 3,172.49                              | 288.28               | 3,460.77                        |
| 67                                |   | APPLE COMPUTER                    | 3/6/2009        | ME / HY        | 5.0000 | 5,868.82           | 0.00                               | 5,379.95                              | 488.87               | 5,868.82                        |
| 68                                |   | APPLE COMPUTER                    | 5/26/2009       | ME / HY        | 5.0000 | 5,017.40           | 0.00                               | 4,599.45                              | 417.95               | 5,017.40                        |
| 69                                |   | MAC BOOK PRO                      | 6/17/2009       | ME / HY        | 5.0000 | 7,071.39           | 0.00                               | 6,482.34                              | 589.05               | 7,071.39                        |
| 70                                |   | SERVER SOFTWARE                   | 6/30/2009       | ME / HY        | 3.0000 | 999.00             | 0.00                               | 999.00                                | 0.00                 | 999.00                          |
| 73                                |   | APPLE COMPUTER EQUIPMENT          | 4/30/2010       | M / HY         | 5.0000 | 8,043.78           | 0.00                               | 8,043.13                              | 507.10               | 8,550.23                        |
| 74                                |   | COMPUTER                          | 8/23/2010       | M / HY         | 5.0000 | 4,060.42           | 0.00                               | 3,709.60                              | 233.88               | 3,943.48                        |
| 81                                |   | APPLE AUDIO EQUIPMENT             | 2/13/2012       | M / HY         | 5.0000 | 754.20             | 0.00                               | 573.19                                | 72.40                | 645.59                          |
| 82                                |   | TV MONITOR                        | 3/12/2012       | M / HY         | 5.0000 | 2,595.99           | 0.00                               | 1,972.96                              | 249.21               | 2,222.17                        |
| 83                                |   | 2 IPADS                           | 3/12/2012       | M / HY         | 5.0000 | 1,798.50           | 0.00                               | 1,366.86                              | 172.66               | 1,539.52                        |
| 84                                |   | TELEVISION                        | 4/9/2012        | M / HY         | 5.0000 | 1,615.17           | 0.00                               | 1,227.53                              | 155.06               | 1,382.59                        |
| 90                                |   | MACBOOK PRO                       | 6/20/2012       | M / HY         | 5.0000 | 5,696.34           | 0.00                               | 4,329.22                              | 546.85               | 4,876.07                        |
| 93                                |   | 4 SANUS MONITORS                  | 12/17/2012      | M / HY         | 5.0000 | 855.91             | 0.00                               | 513.55                                | 136.94               | 650.49                          |
| 94                                |   | 4 SAMSUNG TVS                     | 12/17/2012      | M / HY         | 5.0000 | 6,251.57           | 0.00                               | 3,750.95                              | 1,000.25             | 4,751.20                        |
| 85                                |   | MACBOOK PRO                       | 4/3/2013        | M / HY         | 5.0000 | 3,407.24           | 0.00                               | 2,044.34                              | 545.16               | 2,589.50                        |
| 95                                |   | TELEVISION                        | 4/4/2013        | M / HY         | 5.0000 | 866.83             | 0.00                               | 520.10                                | 138.69               | 658.79                          |
| 96                                |   | PRINTER                           | 6/10/2013       | M / HY         | 5.0000 | 636.00             | 0.00                               | 381.60                                | 101.76               | 483.36                          |
| 97                                |   | ROUTERS                           | 6/24/2013       | M / HY         | 5.0000 | 429.84             | 0.00                               | 257.90                                | 68.78                | 326.68                          |
| 98                                |   | IPAD                              | 7/15/2013       | M / HY         | 5.0000 | 541.92             | 0.00                               | 325.15                                | 86.71                | 411.86                          |
| 92                                |   | MAC BOOK                          | 11/5/2013       | M / HY         | 5.0000 | 3,566.92           | 0.00                               | 0.00                                  | 2,140.15             | 2,140.15                        |
| 99                                |   | IPAD AIR                          | 11/5/2013       | M / HY         | 5.0000 | 1,006.32           | 0.00                               | 0.00                                  | 603.79               | 603.79                          |
| 100                               |   | APPLE DIGITAL BACKUP              | 8/7/2014        | ME / HY        | 5.0000 | 1,403.95           | 0.00                               | 0.00                                  | 210.59               | 210.59                          |
| 101                               |   | I PHONE 6 PLUS                    | 9/29/2014       | ME / HY        | 5.0000 | 824.92             | 0.00                               | 0.00                                  | 123.74               | 123.74                          |
| Subtotal: 3 EQUIPMENT             |   |                                   |                 |                |        | 67,533.20          | 0.00                               | 49,649.31                             | 8,877.87             | 58,527.18                       |
| Less dispositions and exchanges:  |   |                                   |                 |                |        | 0.00               | 0.00                               | 0.00                                  | 0.00                 | 0.00                            |

86-0710305

10/01/2013 - 09/30/2014

Sorted: General - category

## GLENN FOUNDATION FOR MEDICAL RESEARCH [GLENN FOUND.]

## Depreciation Expense

AMT

10/01/2013 - 09/30/2014

11/18/2014  
10:00:02AM

| System No.                       | S | Description | Date In Service | Method / Conv. | Life | Cost / Other Basis | Sec. 179/ Bonus/ (Cur. Yr. Only) | Beg. Accum. Depreciation/ (Sec. 179) | Current Depreciation | Total Depreciation/ (Sec. 179) |
|----------------------------------|---|-------------|-----------------|----------------|------|--------------------|----------------------------------|--------------------------------------|----------------------|--------------------------------|
| Net for: 3 EQUIPMENT             |   |             |                 |                |      | 67,533.20          | 0.00                             | 49,649.31                            | 8,877.87             | 58,527.18                      |
| Subtotal:                        |   |             |                 |                |      | 148,897.39         | 0.00                             | 113,184.64                           | 15,727.02            | 128,911.66                     |
| Less dispositions and exchanges: |   |             |                 |                |      | 0.00               | 0.00                             | 0.00                                 | 0.00                 | 0.00                           |
| Grand Totals:                    |   |             |                 |                |      | 148,897.39         | 0.00                             | 113,184.64                           | 15,727.02            | 128,911.66                     |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.  
EIN 86-0710305  
9-30-2014

FORM 990PF, PART VIII – INFORMATION ABOUT OFFICERS, DIRECTORS

|                                              |                                                  |
|----------------------------------------------|--------------------------------------------------|
| NAME .....                                   | PAUL F. GLENN                                    |
| NAME (CONT'D) .....                          |                                                  |
| STREET.....                                  | 1270 COAST VILLAGE CIRCLE                        |
| STREET (CONT'D) .....                        | SUITE 100                                        |
| CITY, STATE, ZIP .....                       | SANTA BARBARA, CA 93108                          |
| TITLE .....                                  | CHAIRMAN, TREASURER,<br>DIRECTOR                 |
| TIME DEVOTED TO POSITION.....                | PART TIME                                        |
| COMPENSATION .....                           | NONE                                             |
| CONTRIBUTION TO EMPLOYEE BENEFIT PLANS ..... | NONE                                             |
| EXPENSE ACCOUNT .....                        | REIMBURSEMENT OF<br>REASONABLE EXPENSES          |
|                                              |                                                  |
| NAME .....                                   | MARK R. COLLINS                                  |
| NAME (CONT'D) .....                          |                                                  |
| STREET.....                                  | 1270 COAST VILLAGE CIRCLE                        |
| STREET (CONT'D) .....                        | SUITE 200                                        |
| CITY, STATE, ZIP .....                       | SANTA BARBARA, CA 93108                          |
| TITLE .....                                  | PRESIDENT, DIRECTOR                              |
| TIME DEVOTED TO POSITION.....                | FULL TIME                                        |
| COMPENSATION .....                           | NONE                                             |
| CONTRIBUTION TO EMPLOYEE BENEFIT PLANS ..... | NONE                                             |
| EXPENSE ACCOUNT .....                        | REIMBURSEMENT OF<br>REASONABLE EXPENSES          |
|                                              |                                                  |
| NAME .....                                   | K. LEONARD JUDSON                                |
| NAME (CONT'D) .....                          |                                                  |
| STREET.....                                  | 1270 COAST VILLAGE CIRCLE                        |
| STREET (CONT'D) .....                        | SUITE 100                                        |
| CITY, STATE, ZIP .....                       | SANTA BARBARA, CA 93108                          |
| TITLE .....                                  | SECRETARY, EXECUTIVE VICE<br>PRESIDENT, DIRECTOR |
| TIME DEVOTED TO POSITION.....                | PART TIME                                        |
| COMPENSATION .....                           | NONE                                             |
| CONTRIBUTION TO EMPLOYEE BENEFIT PLANS ..... | NONE                                             |
| EXPENSE ACCOUNT .....                        | REIMBURSEMENT OF<br>REASONABLE EXPENSES          |

STATEMENT 15

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.  
EIN 86-0710305  
9-30-2014

FORM 990PF, PART VIII – INFORMATION ABOUT OFFICERS, DIRECTORS

|                                              |                                         |
|----------------------------------------------|-----------------------------------------|
| NAME .....                                   | A. RAY COPELAND                         |
| NAME (CONT'D) .....                          |                                         |
| STREET .....                                 | P.O. BOX 2690                           |
| STREET (CONT'D) .....                        |                                         |
| CITY, STATE, ZIP .....                       | EDMOND, OK 73083                        |
| TITLE .....                                  | ASSISTANT TREASURER,<br>DIRECTOR        |
| TIME DEVOTED TO POSITION .....               | PART TIME                               |
| COMPENSATION .....                           | NONE                                    |
| CONTRIBUTION TO EMPLOYEE BENEFIT PLANS ..... | NONE                                    |
| EXPENSE ACCOUNT .....                        | REIMBURSEMENT OF<br>REASONABLE EXPENSES |

|                                              |                                         |
|----------------------------------------------|-----------------------------------------|
| NAME .....                                   | JACK N. RUDEL                           |
| NAME (CONT'D) .....                          |                                         |
| STREET .....                                 | ONE EAST WASHINGTON STREET              |
| STREET (CONT'D) .....                        | SUITE 1900                              |
| CITY, STATE, ZIP .....                       | PHOENIX, AZ 85004-2554                  |
| TITLE .....                                  | DIRECTOR, ASSISTANT<br>SECRETARY        |
| TIME DEVOTED TO POSITION .....               | PART TIME                               |
| COMPENSATION .....                           | NONE                                    |
| CONTRIBUTION TO EMPLOYEE BENEFIT PLANS ..... | NONE                                    |
| EXPENSE ACCOUNT .....                        | REIMBURSEMENT OF<br>REASONABLE EXPENSES |

STATEMENT 15

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.  
 EIN: 86-0710305  
 FORM 990PF, PART I, LINE 23 - OTHER EXPENSES  
 FYE: 09-30-2014

| DESCRIPTION             | (A)<br>TOTAL OPERATING<br>AND ADMINISTRATIVE<br>EXPENSES | (B)<br>NET<br>INVESTMENT<br>INCOME | (C)<br>DISBURSEMENTS<br>FOR CHARITABLE<br>PURPOSES |           |
|-------------------------|----------------------------------------------------------|------------------------------------|----------------------------------------------------|-----------|
| Advertising             | 195                                                      | 195                                |                                                    |           |
| Books & subscriptions   | 752                                                      | 752                                |                                                    |           |
| Consulting              | 81,000                                                   | 81,000                             |                                                    |           |
| Dues                    | 40                                                       |                                    | 40                                                 |           |
| Investment Expense      | 3,866,206                                                | 3,866,206                          |                                                    |           |
| Internet Data Line      | 7,557                                                    |                                    | 7,557                                              |           |
| Meals & Entertainment   | 2,205                                                    | 2,205                              |                                                    |           |
| Office Supplies         | 3,757                                                    | 1,879                              | 1,878                                              |           |
| Portfolio Deductions    | 39,723                                                   | 39,723                             |                                                    |           |
| Postage                 | 1,158                                                    | 1,158                              |                                                    |           |
| Repairs and Maintenance | 3,008                                                    | 3,008                              |                                                    |           |
| Telephone               | 18,145                                                   |                                    | 18,145                                             |           |
| Rounding                |                                                          |                                    |                                                    |           |
|                         | 4,023,746                                                | 3,996,126                          | 27,620                                             | 4,023,746 |

GLENN FOUNDATION FOR MEDICAL RESEARCH  
EIN: 86-0710305  
FORM 990PF - INVESTMENTS - CORPORATE SECURITIES  
FYE: 9/30/2014

| Description                                                                | Inventory                |                                              | Market Value                                 |
|----------------------------------------------------------------------------|--------------------------|----------------------------------------------|----------------------------------------------|
|                                                                            | End of Period            |                                              | End of Period                                |
|                                                                            | Shares                   | Cost                                         |                                              |
| UBS<br>JP 39222 V3<br><br>LONG STOCK                                       | 24,746                   | 945,308                                      | 953,456                                      |
| UBS<br>JP 32494 WH FX<br><br>RESTRICTED SHORT<br>SHORT STOCK<br>LONG STOCK | (30)<br>13,000<br>12,970 | (513,331)<br>(4,260)<br>325,000<br>(192,591) | (591,060)<br>(2,880)<br>288,010<br>(305,930) |
| TOTAL STOCKS<br>Add back short stock                                       | 7,694,313                | 99,024,407                                   | 100,778,319                                  |
|                                                                            |                          | (5,462,560)                                  | (5,852,000)                                  |
|                                                                            |                          | 104,486,967                                  | 106,630,319                                  |
| TOTAL STOCKS                                                               | 7,694,313                | \$104,486,967                                | \$106,630,319                                |

GLENN FOUNDATION FOR MEDICAL RESEARCH  
 EIN: 86-0710305  
 FORM 990PF - INVESTMENTS - CORPORATE SECURITIES  
 FYE: 9/30/2014

| Description        | Inventory     |            | Market Value  |
|--------------------|---------------|------------|---------------|
|                    | End of Period |            | End of Period |
|                    | Shares        | Cost       |               |
| CSFB<br>214-065328 |               |            |               |
| LONG STOCK         | 866,508       | 6,201,297  | 7,178,965     |
| DBAB<br>5XL 874529 |               |            |               |
| SHORT STOCK        | (5,645)       | (780,946)  | (882,765)     |
| LONG STOCK         | 854,521       | 31,957,106 | 33,124,102    |
| Total              | 848,876       | 31,176,160 | 32,241,337    |
| DBAB<br>5XL 876581 |               |            |               |
| SHORT STOCK        | (445)         | (52,191)   | (52,125)      |
| LONG STOCK         | 57,500        | 2,444,941  | 2,546,815     |
| Totals             | 57,055        | 2,392,750  | 2,494,690     |
| DBAB<br>388629     |               |            |               |
| LONG STOCK         | 5,365,801     | 50,901,302 | 51,075,169    |

GLENN FOUNDATION FOR MEDICAL RESEARCH  
 EIN: 86-0710305  
 FORM 990PF - INVESTMENTS - CORPORATE SECURITIES  
 FYE: 9/30/2014

| Description                                | Inventory     |             | Market Value  |
|--------------------------------------------|---------------|-------------|---------------|
|                                            | End of Period |             | End of Period |
|                                            | Shares        | Cost        |               |
| DBAB<br>5KL-065557                         |               |             |               |
| SHORT STOCK                                | (65,000)      | (3,993,812) | (4,228,700)   |
| LONG STOCK                                 | 97,500        | 1,594,356   | 1,360,950     |
| TOTAL                                      | 32,500        | (2,399,456) | (2,867,750)   |
| HELD BY FOUNDATION                         |               |             |               |
| LONG STOCK                                 | 137,014       | 1,619,333   | 684,726       |
| MORGAN STANLEY<br>814-890187<br>814-890188 |               |             |               |
| SHORT STOCK                                | (834)         | (110,841)   | (87,481)      |
| LONG STOCK                                 | 110,795       | 4,535,728   | 5,470,679     |
| Total                                      | 109,961       | 4,424,887   | 5,383,198     |
| RAYMOND JAMES<br>49519053                  |               |             |               |
| LONG STOCK                                 | 90,036        | 1,433,337   | 1,400,703     |

GLENN FOUNDATION FOR MEDICAL RESEARCH  
EIN: 86-0710305  
FORM 990PF - INVESTMENTS - CORPORATE SECURITIES  
FYE: 9/30/2014

| Description               | Inventory     |           | Market Value  |
|---------------------------|---------------|-----------|---------------|
|                           | End of Period |           | End of Period |
|                           | Shares        | Cost      |               |
| RAYMOND JAMES<br>49533723 |               |           |               |
| LONG STOCK                | 132,337       | 1,778,039 | 1,795,490     |
| UBS<br>JP 39221 V3        |               |           |               |
| SHORT STOCK               | (153)         | (7,179)   | (6,989)       |
| LONG STOCK                | 16,662        | 751,220   | 751,254       |
| Total                     | 16,509        | 744,041   | 744,265       |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.  
 EIN: 86-0710305  
 FORM 990PF, PART II, LINE 13 - OTHER INVESTMENTS  
 FYE: 09-30-2014

| DESCRIPTION                | ID<br>NUMBER | BOOK VALUE |           | FAIR MARKET<br>VALUE |
|----------------------------|--------------|------------|-----------|----------------------|
|                            |              | BOY        | EOY       |                      |
| Adit Capital II            | 42-1674873   | 274,926    | 267,809   | 267,809              |
| Constellation Futures Fund | 59-3779745   | 67,467     | 0         | 0                    |
| Davenport Gas              | 43-1999609   | 38,959     | 38,844    | 38,844               |
| Gibraltar Partners LLC     | 57-1137856   | 233,664    | 252,650   | 252,650              |
| Gradient                   | 62-1627822   | (36,773)   | (38,238)  | -38,238              |
| Green Energy Metals Fund   | 26-0308422   | 350,974    | 321,692   | 321,692              |
| Katana Fund, LLC           | 77-0493842   | 654        | 654       | 654                  |
| ML Lee Acquisition Fund    | 13-3426817   | 165        | 165       | 165                  |
| Nexus Cap Partners II, LP  | 94-3329318   | 110,785    | 0         | 0                    |
| Ngen Enabling Tech Fund    | 77-0569843   | 243,551    | 116,509   | 116,509              |
| Ngen II                    | 81-0662704   | 230,955    | 14,844    | 14,844               |
| Portolan Pilot Offshore    | N/A          | 2,000,000  | 2,000,000 | 2,000,000            |
|                            |              | 3,515,327  | 2,974,928 | 2,974,928            |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.  
EIN 86-0710305  
OTHER LIABILITIES  
FYE 9/30/2014

| Liability             | Amount           |
|-----------------------|------------------|
| DB ALEX BROWN 874529  | 780,946          |
| DB ALEX BROWN 876581  | 52,192           |
| DB ALEX BROWN 065557  | 3,993,812        |
| M STANLEY 890188-3501 | 110,841          |
| UBS 32494             | 517,591          |
| UBS 39221             | 7,178            |
|                       | <u>5,462,560</u> |

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.  
EIN: 86-0710305  
FORM 990PF, PART I, LINE 11 - OTHER INCOME/(LOSS)  
FYE 9/30/2014

| DESCRIPTION                            |            | AMOUNT                  |
|----------------------------------------|------------|-------------------------|
| <b>INCOME (LOSS) FROM PARTNERSHIPS</b> |            |                         |
| ADIT CAPT II                           | 42-1674873 | (576)                   |
| BOARDWALK PIPELINE                     | 20-3265614 | (674)                   |
| CALUMET SPECIALTY                      | 35-1811116 | (478)                   |
| CHENIERE ENERGY                        | 20-5913059 | (2,030)                 |
| CONSTELLATION FUTURES FUND             | 59-3779745 | (5,273)                 |
| CRESTWOOD MIDSTREAM                    | 20-1647837 | (46)                    |
| DAVENPORT BRITISH GAS                  | 43-1999609 | (41)                    |
| ENTERPRISE PRODUCTS                    | 76-0568219 | (3,197)                 |
| GIBRALTAR PARTNERS                     | 57-1137856 | (708)                   |
| GRADIENT TECH                          | 62-1627822 | (1,461)                 |
| GREEN ENERGY METALS FD LP              | 26-0308422 | (1,240)                 |
| LINN ENERGY                            | 65-1177591 | 1,394                   |
| LRR ENERGY                             | 90-0708431 | 1,862                   |
| NCP 2                                  | 94-3329318 | (110,785)               |
| NISKA GAS                              | 86-0710305 | 481                     |
| PAA NATURAL GAS STORAGE                | 27-1679071 | (1,054)                 |
| PVR PARTNERS                           | 23-3087517 | (2,231)                 |
| QR ENERGY                              | 90-0613069 | 1,262                   |
| REGENCY ENERGY PRTNRS LP               | 16-1731691 | (2,218)                 |
| SABINE ROYALTY TRUST                   | 75-6297143 | <u>1,851</u>            |
|                                        |            | (125,162)               |
| <b>OTHER INCOME (LOSS)</b>             |            |                         |
| DEUTSCHE BANK                          | 388629     | 788                     |
| DEUTSCHE BANK                          | 874529     | 11,088                  |
| DEUTSCHE BANK                          | 65557      | 38                      |
| MF GLOBAL                              | 18053      | (1)                     |
| STATE OF CA UNCLAIMED PROP             |            | <u>744</u>              |
|                                        |            | 12,657                  |
|                                        |            | <u><u>(112,505)</u></u> |

| GLENN FOUNDATION FOR MEDICAL RESEARCH, INC |                                                          |                         |           |         |  |
|--------------------------------------------|----------------------------------------------------------|-------------------------|-----------|---------|--|
| Contributions Paid                         |                                                          |                         |           |         |  |
| Fiscal Year Ending 9/30/2014               |                                                          |                         |           |         |  |
| Recipient                                  | Address                                                  | Purpose of Contribution | Amount    | Date    |  |
| American Federation For Aging Research     | 55 West 39th Street 16th Floor,<br>New York, NY 10018    | Various Research Grants | 2,322,000 | VARIOUS |  |
| American Aging Assoc.                      | 750 17th St. NW, Suite 1100,<br>Washington, DC 20006     | Various Research Grants | 10,000    | VARIOUS |  |
| Alliance for Aging Research                | 2021 K. Street NW Suite 305<br>Washington, DC 20006      | Various Research Grants | 25,000    | VARIOUS |  |
| Albert Einstein College of Medicine        | 1300 Morris Park Ave.<br>Bronx, NY 10461-1975            | Various Research Grants | 1,060,000 | VARIOUS |  |
| Buck Institute                             | 8001 REDWOOD BLVD<br>NOVATO, CA 94945                    | Various Research Grants | 680,000   | VARIOUS |  |
| Cold Springs Harbor Lab                    | ONE BUNGTOWN RD<br>COLD SPS HARBOR NY 11724              | Various Research Grants | 15,000    | VARIOUS |  |
| Gerontological Society of America          | 1220 L Street NW Suite 901,<br>Washington, DC 20005-4018 | Various Research Grants | 90,500    | VARIOUS |  |
| Harvard Medical School                     | 25 Shattuck Street<br>Boston, MA 02115                   | Various Research Grants | 1,000,000 | VARIOUS |  |
| MIT                                        | 77 MASSACHUSETTS AVE<br>CAMBRIDGE, MA 02139              | Various Research Grants | 1,000,000 | VARIOUS |  |
| Mayo Clinic                                | 200 First St, SW<br>Rochester, MN 55905                  | Various Research Grants | 60,000    | VARIOUS |  |
| The Oxygen Club Of CA                      | Santa Barbara, Ca                                        | Various Research Grants | 10,000    | VARIOUS |  |
| Princeton University                       | P.O. Box 5357<br>Princeton, NJ 08543-5357                | Various Research Grants | 600,000   | VARIOUS |  |
| Salk Institute                             | P O. Box 85800,<br>San Diego, CA 92186-5800              | Various Research Grants | 1,000,000 | VARIOUS |  |
| Stanford University                        | 450 Serra Mall<br>Stanford, CA 94305                     | Various Research Grants | 1,075,000 | VARIOUS |  |
| University of Texas                        | 7703 Floyd Carl Dr San Antonio,<br>TX 78229              | Various Research Grants | 10,000    | VARIOUS |  |

| GLENN FOUNDATION FOR MEDICAL RESEARCH, INC. |                                              |                         |            |         |  |
|---------------------------------------------|----------------------------------------------|-------------------------|------------|---------|--|
| Contributions Paid                          |                                              |                         |            |         |  |
| Fiscal Year Ending 9/30/2014                |                                              |                         |            |         |  |
| Recipient                                   | Address                                      | Purpose of Contribution | Amount     | Date    |  |
| UW Foundation                               | 1848 UNIVERSITY AVE<br>MADISON, WI 53726     | Various Research Grants | 13,000     | VARIOUS |  |
| University of Washington Seattle            | 1320 NE Campus Prkwy<br>Seattle, WA 98105    | Various Research Grants | 10,000     | VARIOUS |  |
| University of California                    | 1156 High Street                             | Various Research Grants | 1,420,000  | VARIOUS |  |
| University of Michigan                      | 500 S. State St<br>Ann Arbor, MI 48109       | Various Research Grants | 1,000,000  | VARIOUS |  |
| Whitehead Inst. Of Bio Research             | Nine Cambridge Center<br>Cambridge, MA 02142 | Various Research Grants | 60,000     | VARIOUS |  |
| Vanderbilt University                       | 2201 WEST END AVE<br>NASHVILLE TN 37235      | Various Research Grants | 60,000     | VARIOUS |  |
| Grants returned from prior year             |                                              |                         | (3,817)    |         |  |
| Various K1's                                |                                              |                         | 5          |         |  |
|                                             |                                              |                         |            |         |  |
|                                             |                                              |                         | 11,516,688 |         |  |

Form **990-W****Estimated Tax on Unrelated Business Taxable  
Income for Tax-Exempt Organizations**

OMB No 1545-0976

(Worksheet)

Department of the Treasury  
Internal Revenue Service

(and on Investment Income for Private Foundations)

FORM 990-PF

**2014**

(Keep for your records. Do not send to the Internal Revenue Service.)

|     |                                                                                                                                                                                              |     |          |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----------|
| 1   | Unrelated business taxable income expected in the tax year                                                                                                                                   | 1   |          |
| 2   | Tax on the amount on line 1 See instructions for tax computation                                                                                                                             | 2   |          |
| 3   | Alternative minimum tax (see instructions)                                                                                                                                                   | 3   |          |
| 4   | Total Add lines 2 and 3                                                                                                                                                                      | 4   |          |
| 5   | Estimated tax credits (see instructions)                                                                                                                                                     | 5   |          |
| 6   | Subtract line 5 from line 4                                                                                                                                                                  | 6   |          |
| 7   | Other taxes (see instructions)                                                                                                                                                               | 7   |          |
| 8   | Total Add lines 6 and 7                                                                                                                                                                      | 8   |          |
| 9   | Credit for federal tax paid on fuels (see instructions)                                                                                                                                      | 9   |          |
| 10a | Subtract line 9 from line 8 <b>Note</b> If less than \$500, the organization is not required to make estimated tax payments Private foundations, see instructions                            | 10a | 139,513. |
| b   | Enter the tax shown on the 2013 return (see instructions) <b>Caution.</b> If zero or the tax year was for less than 12 months, skip this line and enter the amount from line 10a on line 10c | 10b | 139,513. |
| c   | <b>2014 Estimated Tax.</b> Enter the smaller of line 10a or line 10b If the organization is required to skip line 10b, enter the amount from line 10a on line 10c                            | 10c | 139,513. |

|    | (a) | (b) | (c) | (d) |
|----|-----|-----|-----|-----|
| 11 | 11  |     |     |     |
| 12 | 12  |     |     |     |
| 13 | 13  |     |     |     |
| 14 | 14  |     |     |     |

LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-W** (2014)

|               |          |
|---------------|----------|
| ESTIMATED TAX | 139,513. |
| AMOUNT PAID   | 140,000. |
| AMOUNT DUE    | 0.       |

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse, or common stock, 200 shs MLC Co |                                 | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                 | SEE STATEMENT 11 ST CMD         |                                                  | VARIOUS                              | VARIOUS                          |
| b                                                                                                                                  | SEE STATEMENT 11 LT CMD         |                                                  | VARIOUS                              | VARIOUS                          |
| c                                                                                                                                  | SEE STATEMENT 12 ST PARTNERSHIP |                                                  | VARIOUS                              | VARIOUS                          |
| d                                                                                                                                  | SEE STATEMENT 12 LT PARTNERSHIP |                                                  | VARIOUS                              | VARIOUS                          |
| e                                                                                                                                  | SEE STATEMENT 13 A ST STOCK     |                                                  | VARIOUS                              | VARIOUS                          |
| f                                                                                                                                  | SEE STATEMENT 13 A LT STOCK     |                                                  | VARIOUS                              | VARIOUS                          |
| g                                                                                                                                  |                                 |                                                  |                                      |                                  |
| h                                                                                                                                  |                                 |                                                  |                                      |                                  |
| i                                                                                                                                  |                                 |                                                  |                                      |                                  |
| j                                                                                                                                  |                                 |                                                  |                                      |                                  |
| k                                                                                                                                  |                                 |                                                  |                                      |                                  |
| l                                                                                                                                  |                                 |                                                  |                                      |                                  |
| m                                                                                                                                  |                                 |                                                  |                                      |                                  |
| n                                                                                                                                  |                                 |                                                  |                                      |                                  |
| o                                                                                                                                  |                                 |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a                     |                                            |                                                 | 5,722,720.                                   |
| b                     |                                            |                                                 | 8,584,080.                                   |
| c                     |                                            |                                                 | -3,815.                                      |
| d                     |                                            |                                                 | -379,187.                                    |
| e                     |                                            |                                                 | 1,089,194.                                   |
| f                     |                                            |                                                 | 703,123.                                     |
| g                     |                                            |                                                 |                                              |
| h                     |                                            |                                                 |                                              |
| i                     |                                            |                                                 |                                              |
| j                     |                                            |                                                 |                                              |
| k                     |                                            |                                                 |                                              |
| l                     |                                            |                                                 |                                              |
| m                     |                                            |                                                 |                                              |
| n                     |                                            |                                                 |                                              |
| o                     |                                            |                                                 |                                              |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) FMV as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col (i)<br>over col (j), if any | (l) Losses (from col (h))<br>Gains (excess of col (h) gain over col (k),<br>but not less than "-0-") |
|------------------------|--------------------------------------|-----------------------------------------------|------------------------------------------------------------------------------------------------------|
| a                      |                                      |                                               | 5,722,720.                                                                                           |
| b                      |                                      |                                               | 8,584,080.                                                                                           |
| c                      |                                      |                                               | -3,815.                                                                                              |
| d                      |                                      |                                               | -379,187.                                                                                            |
| e                      |                                      |                                               | 1,089,194.                                                                                           |
| f                      |                                      |                                               | 703,123.                                                                                             |
| g                      |                                      |                                               |                                                                                                      |
| h                      |                                      |                                               |                                                                                                      |
| i                      |                                      |                                               |                                                                                                      |
| j                      |                                      |                                               |                                                                                                      |
| k                      |                                      |                                               |                                                                                                      |
| l                      |                                      |                                               |                                                                                                      |
| m                      |                                      |                                               |                                                                                                      |
| n                      |                                      |                                               |                                                                                                      |
| o                      |                                      |                                               |                                                                                                      |

|                                                                                                                                                                                    |   |             |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }                                              | 2 | 15,716,115. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 } | 3 | N/A         |

Department of the Treasury  
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

► Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868)

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file)** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on **e-file for Charities & Nonprofits**.

## **Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number

|                                                                                    |                                                                                                                           |                                                                  |
|------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| Type or print<br><br>File by the due date for filing your return. See instructions | Name of exempt organization or other filer, see instructions.<br><b>GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.</b>       | Employer identification number (EIN) or<br><br><b>86-0710305</b> |
|                                                                                    | Number, street, and room or suite no. If a P.O. box, see instructions.<br><b>ONE EAST WASHINGTON STREET, NO. 1900</b>     | Social security number (SSN)                                     |
|                                                                                    | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br><b>PHOENIX, AZ 85004-2554</b> |                                                                  |

Enter the Return code for the return that this application is for (file a separate application for each return)

**0 4**

| Application Is For                       | Return Code | Application Is For                | Return Code |
|------------------------------------------|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ                  | 01          | Form 990-T (corporation)          | 07          |
| Form 990-BL                              | 02          | Form 1041-A                       | 08          |
| Form 4720 (individual)                   | 03          | Form 4720 (other than individual) | 09          |
| Form 990-PF                              | 04          | Form 5227                         | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069                         | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870                         | 12          |

**COPELAND, HILD & TROTTER, PC**

• The books are in the care of ► **PO BOX 2690 - EDMOND, OK 73083-2690**

Telephone No. ► **(405) 348-8987**

Fax No. ►

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **MAY 15, 2015**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

► ☐ calendar year \_\_\_\_\_ or

► ☒ tax year beginning **OCT 1, 2013**, and ending **SEP 30, 2014**

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

|                                                                                                                                                                                               |           |    |                 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----|-----------------|
| <b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                   | <b>3a</b> | \$ | <b>139,513.</b> |
| <b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | <b>3b</b> | \$ | <b>0.</b>       |
| <b>c</b> <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.       | <b>3c</b> | \$ | <b>139,513.</b> |

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.