Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation
▶ **Do not enter Social Security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052
2013
Open to Public Inspection

For calendar year 2013 or tax year beginning OCT 1, 2013 , and ending SEP 30, 2014

Name of foundation
MAURICE A. ROTHMAN & THELMA P. ROTHMAN
FAMILY FOUNDATION, INC.

A Employer identification number
59-2061386

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
5700 70TH AVENUE NORTH

B Telephone number
727-545-9555

City or town, state or province, country, and ZIP or foreign postal code
PINELLAS PARK, FL 33781

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 6,617,054.

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

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Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received	18,219.		N/A	
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	2,558.	2,558.		Statement 1
	4	Dividends and interest from securities	198,503.	198,503.		Statement 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	117,463.			
	b	Gross sales price for all assets on line 6a 3,802,479.				
	7	Capital gain net income (from Part IV, line 2)		117,463.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	1,109.	2,228.		Statement 3
	12	**Total.** Add lines 1 through 11	337,852.	320,752.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees				
	c	Other professional fees				
	17	Interest	12.	12.		0.
	18	Taxes Stmt 4	4,798.	0.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses Stmt 5	36,247.	35,347.		0.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	41,057.	35,359.		0.
	25	Contributions, gifts, grants paid	330,471.			330,471.
	26	**Total expenses and disbursements.** Add lines 24 and 25	371,528.	35,359.		330,471.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	<33,676.>			
	b	**Net investment income** (if negative, enter -0-)		285,393.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	230,026.	160,871.	158,501.
	3	Accounts receivable ▶			
		Less. allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S. and state government obligations			
	b	Investments - corporate stock Stmt 6	1,002,274.	1,150,101.	1,427,362.
	c	Investments - corporate bonds Stmt 7	2,223,127.	2,099,060.	2,138,294.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other Stmt 8	2,555,569.	2,567,734.	2,879,081.
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶ Statement 9)	14,262.	13,816.	13,816.
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item 1)	6,025,258.	5,991,582.	6,617,054.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ ADVANCES)	28,264.	28,264.	
	23	**Total liabilities** (add lines 17 through 22)	28,264.	28,264.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	5,996,994.	5,963,318.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	**Total net assets or fund balances**	5,996,994.	5,963,318.	
	31	**Total liabilities and net assets/fund balances**	6,025,258.	5,991,582.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,996,994.
2	Enter amount from Part I, line 27a	2	<33,676.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	5,963,318.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,963,318.

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Form 990-PF (2013) MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC. 59-2061386 Page 3

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	See Attached Statements			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	3,802,479.		3,685,016.	117,463.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				117,463.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 117,463.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	314,137.	6,134,654.	.051207
2011	288,461.	5,819,678.	.049566
2010	288,047.	5,808,118.	.049594
2009	275,933.	5,322,657.	.051841
2008	202,124.	4,899,543.	.041254

2	Total of line 1, column (d)	2	.243462
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048692
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	6,429,537.
5	Multiply line 4 by line 3	5	313,067.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,854.
7	Add lines 5 and 6	7	315,921.
8	Enter qualifying distributions from Part XII, line 4	8	330,471.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	2,854.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3	Add lines 1 and 2			3	2,854.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	2,854.
6	Credits/Payments:				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	4,740.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	4,740.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,886.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶	1,886.	**Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ LESLIE M. ROGAS Telephone no ▶727-545-9555

Located at ▶5700 70TH AVENUE NORTH, PINELLAS PARK, FL ZIP+4 ▶33781

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No

b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A 1b

Organizations relying on a current notice regarding disaster assistance check here ▶☐

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? 1c X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No

If "Yes," list the years ▶ ____, ____, ____, ____

b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A 2b

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here

▶ ____, ____, ____, ____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A 3b

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? 4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARGIE R. GREEN 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	CHAIRMAN/TRUSTEE 4.00	0.	0.	0.
CAROL R. LANE 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	SECRETARY/TRUSTEE 1.00	0.	0.	0.
KEVIN A. LANE 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	VICE PRESIDENT/TRUSTEE 1.00	0.	0.	0.
JASON E. GREEN 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	0.
2	
All other program-related investments. See instructions. 3 NONE	0.
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	6,384,938.
b	Average of monthly cash balances			1b	139,317.
c	Fair market value of all other assets			1c	3,194.
d	**Total** (add lines 1a, b, and c)			1d	6,527,449.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	6,527,449.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	97,912.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	6,429,537.
6	**Minimum investment return.** Enter 5% of line 5			6	321,477.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	321,477.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,854.		
b	Income tax for 2013. (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	2,854.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	318,623.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	318,623.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	318,623.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	330,471.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the.		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	330,471.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	2,854.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	327,617.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					318,623.
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only				0.	
b Total for prior years ______ , ______ , ______			0.		
3 Excess distributions carryover, if any, to 2013.					
a From 2008					
b From 2009					
c From 2010					
d From 2011	5,155.				
e From 2012	16,878.				
f Total of lines 3a through e		22,033.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 330,471.					
a Applied to 2012, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2013 distributable amount					318,623.
e Remaining amount distributed out of corpus		11,848.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		33,881.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b Taxable amount - see instructions			0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr				0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		33,881.			
10 Analysis of line 9					
a Excess from 2009					
b Excess from 2010					
c Excess from 2011	5,155.				
d Excess from 2012	16,878.				
e Excess from 2013	11,848.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section . . ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
STRAZ CENTER FOR THE PERFORMING ARTS 1010 NORTH W.C. MACINNES PLACE TAMPA, FL 33602	N/A	PUBLIC	ARTISTIC AND EDUCATIONAL PROGRAMMING	5,500.
CELMA MASTRY OVARIAN CANCER FOUNDATION P.O. BOX 48787 ST PETERSBURG, FL 33743-8787	N/A	PUBLIC	CURE, PREVENTION AND EARLY TESTING OF OVARIAN CANCER AND SUPPORT OF CANCER PATIENTS	25,000.
ADMIRAL FARRAGUT ACADEMY 501 PARK STREET NORTH ST PETERSBURG, FL 33710	N/A	PUBLIC	GIRLS ATHLETICS	100.
CONGREGATION B'NAI ISRAEL ST. PETERSBURG 300 58TH STREET NORTH ST PETERSBURG, FL 33710	N/A	PUBLIC	CBI GIFT & CRAFT SHOW	500.
DMG SCHOOL PROJECT 2342 EMERSON AVENUE SOUTH ST PETERSBURG, FL 33712	N/A	PUBLIC	ADVANCEMENT OF ART - PROVIDE EDUCATIONAL OPPORTUNITIES FOR ARTISTS	1,500.
Total See continuation sheet(s)			▶ 3a	330,471.
b *Approved for future payment* None				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	2,558.	
4 Dividends and interest from securities			14	198,503.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	1,109.	
8 Gain or (loss) from sales of assets other than inventory			18	117,463.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		319,633.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 319,633.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of.			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Margie B. Green | 2-4-15 ▶ Chairman

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self- employed	PTIN
LESLIE M. ROGAS	Leslie M Rogas	2/3/15		P00149291

Firm's name ▶ LESLIE M. ROGAS
Firm's EIN ▶

Firm's address ▶ 2926 BAYSHORE VISTA DRIVE
TAMPA, FL 33611
Phone no 813-786-7942

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*

OMB No 1545-0047

2013

Name of the organization
MAURICE A. ROTHMAN & THELMA P. ROTHMAN
FAMILY FOUNDATION, INC.

Employer identification number
59-2061386

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA **For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.** **Schedule B (Form 990, 990-EZ, or 990-PF) (2013)**

323451
10-24-13

Name of organization	Employer identification number
MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC.	59-2061386

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROTHMAN CHARITABLE LEAD TRUST 5700 70TH AVENUE NORTH PINELLAS PARK, FL 33781	$ 18,219.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC.

Employer identification number

59-2061386

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	Employer identification number
MAURICE A. ROTHMAN & THELMA P. ROTHMAN FAMILY FOUNDATION, INC.	59-2061386

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of $1,000 or less for the year (Enter this information once.) ▶ $________

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

MAURICE A. ROTHMAN & THELMA P. ROTHMAN
FAMILY FOUNDATION, INC.

Continuation for 990-PF, Part IV
59-2061386 Page 1 of 12

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	49000 SH WELLS FARGO CO 4.95% 2013	P	02/11/09	10/16/13
b	1000 SH ARES CAPITAL CORP	P	09/20/12	10/22/13
c	2000 SH CITIGROUP INC	P	03/20/13	10/24/13
d	1000 SH U.S. BANCORP	P	04/29/13	11/22/13
e	1000 SH WELLS FARGO & COMPANY	P	11/13/12	11/22/13
f	1400 SH BLACKROCK CORE BOND TR	P	01/14/09	12/23/13
g	1000 SH BLACKROCK CORE BOND TR	P	01/14/09	12/23/13
h	100 SH BLACKROCK CORE BOND TR	P	01/14/09	12/23/13
i	400 SH BLACKROCK CORE BOND TR	P	04/08/09	12/23/13
j	2100 SH BLACKROCK CORE BOND TR	P	04/08/09	12/23/13
k	2000 SH STONE HBR EMERGINGMKTS	P	12/22/10	12/23/13
l	17 SH STONE HBR EMERGINGMKTS	P	04/17/12	12/23/13
m	500 SH STONE HBR EMERGINGMKTS	P	04/17/12	12/23/13
n	300 SH STONE HBR EMERGINGMKTS	P	04/17/12	12/23/13
o	1183 SH STONE HBR EMERGINGMKTS	P	04/17/12	12/23/13

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	49,000.		47,726.	1,274.
b	24,521.		25,000.	<479.>
c	42,599.		50,000.	<7,401.>
d	20,974.		25,000.	<4,026.>
e	20,809.		25,000.	<4,191.>
f	18,193.		15,638.	2,555.
g	12,995.		11,180.	1,815.
h	1,300.		1,119.	181.
i	5,198.		4,052.	1,146.
j	27,290.		21,294.	5,996.
k	37,032.		50,000.	<12,968.>
l	315.		408.	<93.>
m	9,258.		12,000.	<2,742.>
n	5,555.		7,203.	<1,648.>
o	21,904.		28,416.	<6,512.>

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	
a				1,274.
b				<479.>
c				<7,401.>
d				<4,026.>
e				<4,191.>
f				2,555.
g				1,815.
h				181.
i				1,146.
j				5,996.
k				<12,968.>
l				<93.>
m				<2,742.>
n				<1,648.>
o				<6,512.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a	5000 SH BLACKROCK RES & COMMODIT	P	03/28/11	12/23/13
b	1000 SH PRUDENTIAL FINANCIAL INC	P	03/07/13	12/11/13
c	1000 SH RENAISSANCERE HLDGS LTD	P	05/20/13	12/11/13
d	2000 SH SENIOR HOUSING PPTY	P	07/17/12	12/24/13
e	1000 SH STANLEY BLACK&DECKER	P	07/18/12	12/24/13
f	1000 SH WELLS FARGO & COMPANY	P	03/15/13	12/11/13
g	50000 SH AT&T INC 5.10% SEP15 14	P	02/18/09	01/29/14
h	98000 CD AMER EXPRESS BK FSB	P	02/27/09	03/04/14
i	2500 SH CLEARBRIDGE AMERN ENERGY	P	06/25/13	03/17/14
j	2500 SH CLEARBRIDGE AMERN ENERGY	P	12/24/13	03/17/14
k	8500 SH OIL SVCS ARN ISSUER SEK	P	01/24/13	03/28/14
l	5000 SH C JPM GS ARN ISS BAC	P	02/21/13	04/25/14
m	3000 SH ALLIANZGI CONV AND	P	12/23/13	04/02/14
n	3000 SH ALLIANZGI CONV AND	P	12/30/13	04/02/14
o	2000 SH FIRST TR INTER DURATION	P	05/23/13	04/10/14

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	56,462.		94,750.	<38,288.>
b	20,750.		25,000.	<4,250.>
c	18,318.		25,000.	<6,682.>
d	40,038.		50,000.	<9,962.>
e	20,931.		25,000.	<4,069.>
f	20,085.		25,000.	<4,915.>
g	51,392.		50,126.	1,266.
h	98,000.		98,000.	0.
i	40,574.		48,500.	<7,926.>
j	40,574.		42,873.	<2,299.>
k	99,748.		85,000.	14,748.
l	61,250.		50,000.	11,250.
m	29,459.		26,745.	2,714.
n	29,459.		26,830.	2,629.
o	44,399.		50,000.	<5,601.>

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F.M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				<38,288.>
b				<4,250.>
c				<6,682.>
d				<9,962.>
e				<4,069.>
f				<4,915.>
g				1,266.
h				0.
i				<7,926.>
j				<2,299.>
k				14,748.
l				11,250.
m				2,714.
n				2,629.
o				<5,601.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a	1000 SH AMERIPRISE FINANCIAL CLD	P	05/27/09	06/16/14
b	5000 SH MLCXPREC ARN ISSUER BAC	P	04/01/13	06/03/14
c	3500 SH RICI CLIRN ISS BAC	P	06/28/12	06/24/14
d	50000 SBC COMMUN 5.62% JUN15 16	P	01/29/09	07/15/14
e	50000 SBC COMMUN 5.62% JUN15 16	P	02/10/09	07/15/14
f	4000 SH MORGAN STANLEY EMERGING	P	12/23/13	07/03/14
g	50 SH WESTERN ASSET MIDDLE MKT	P	12/20/12	07/25/14
h	1000 SH DOUBLELINE INCOME	P	04/25/13	07/03/14
i	2000 SH ARES MULTI-STRATEGY	P	10/28/13	07/03/14
j	5000 SH WHR STEPS ISSUER RBC	P	07/15/13	07/25/14
k	5000 SH ARES DYNAMIC CREDIT	P	11/27/12	08/21/14
l	2580 SH BROCADE COMMUNICATIONS	P	09/11/13	10/02/13
m	625 SH CRANE CO DELAWARE	P	08/07/13	10/02/13
n	450 SH GAP INC DELAWARE	P	06/11/13	10/09/13
o	935 SH GENERAC HLDGS INC	P	07/17/13	10/09/13

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	25,000.		25,000.	0.
b	42,609.		50,000.	<7,391.>
c	44,034.		35,000.	9,034.
d	54,738.		50,495.	4,243.
e	54,738.		50,289.	4,449.
f	52,663.		51,353.	1,310.
g	51,475.		50,875.	600.
h	22,109.		25,000.	<2,891.>
i	43,642.		50,000.	<6,358.>
j	52,710.		50,000.	2,710.
k	88,043.		100,000.	<11,957.>
l	20,764.		20,225.	539.
m	38,370.		38,193.	177.
n	17,784.		18,468.	<684.>
o	37,005.		38,755.	<1,750.>

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
	(i) F.M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	
a				0.
b				<7,391.>
c				9,034.
d				4,243.
e				4,449.
f				1,310.
g				600.
h				<2,891.>
i				<6,358.>
j				2,710.
k				<11,957.>
l				539.
m				177.
n				<684.>
o				<1,750.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8 } 3

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a	240 SH HOME DEPOT INC	P	01/11/12	10/09/13
b	900 SH ABBVIE INC SHS	P	05/14/13	11/05/13
c	170 SH BIOGEN IDEC INC	P	07/17/13	11/05/13
d	850 SH CABOT OIL & GAS CORP	P	06/11/13	11/05/13
e	700 SH ENSCO PLC SHS CL A	P	12/07/11	11/05/13
f	400 SH ROSETTA RESOURCES INC	P	08/07/13	11/05/13
g	420 SH ROSETTA RESOURCES INC	P	09/11/13	11/05/13
h	360 SH AVNET INC	P	09/12/13	12/09/13
i	250 SH FREEPRT-MCMRAN CPR & GLD	P	12/06/11	12/09/13
j	960 SH TWENTY-FIRST CENTURY	P	08/12/13	12/09/13
k	200 SH ANADARKO PETE CORP	P	01/21/11	01/07/14
l	300 SH HALLIBURTON COMPANY	P	12/09/13	01/07/14
m	1175 SH MICRON TECHNOLOGY INC	P	07/17/13	01/07/14
n	500 SH PEABODY ENERGY CORP COM	P	01/27/09	01/09/14
o	2310 SH SWIFT TRANSPORTATION	P	07/17/13	01/07/14

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	17,820.		10,419.	7,401.
b	43,381.		40,838.	2,543.
c	41,354.		38,406.	2,948.
d	29,688.		30,054.	<366.>
e	39,791.		34,992.	4,799.
f	23,929.		18,571.	5,358.
g	25,126.		20,921.	4,205.
h	14,915.		14,855.	60.
i	8,586.		10,233.	<1,647.>
j	31,891.		31,342.	549.
k	15,725.		15,433.	292.
l	15,040.		15,104.	<64.>
m	25,265.		15,934.	9,331.
n	8,686.		13,440.	<4,754.>
o	47,855.		38,567.	9,288.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	
a				7,401.
b				2,543.
c				2,948.
d				<366.>
e				4,799.
f				5,358.
g				4,205.
h				60.
i				<1,647.>
j				549.
k				292.
l				<64.>
m				9,331.
n				<4,754.>
o				9,288.

2	Capital gain net income or (net capital loss) .. { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo , day, yr.)
1a	175 SH TEAM HEALTH HOLDINGS INC	P	12/09/13	01/07/14
b	150 SH TEAM HEALTH HOLDINGS INC	P	12/10/13	01/07/14
c	675 SH US SILICA HLDGS INC	P	10/09/13	01/07/14
d	650 SH ALASKA AIR GROUP INC COM	P	10/09/13	02/07/14
e	262 SH DRIL QUIP	P	08/07/13	02/07/14
f	113 SH DRIL QUIP	P	08/07/13	02/10/14
g	325 SH EXXON MOBIL CORP COM	P	01/07/14	02/07/14
h	1180 SH MICRON TECHNOLOGY INC	P	07/17/13	02/07/14
i	500 SH MOOG INC CL A	P	06/11/13	02/07/14
j	225 SH MOOG INC CL A	P	06/12/13	02/07/14
k	750 SH PACKAGING CORP AMERICA	P	03/07/13	02/07/14
l	125 SH REGENERON PHARMACTCLS	P	11/05/13	02/07/14
m	775 SH ARCHER DANIELS MIDLD	P	01/07/14	03/10/14
n	225 SH CIGNA CORP	P	07/17/13	03/10/14
o	650 SH ENDO INTL PLC SHS	P	03/03/14	03/10/14

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	8,105.		8,361.	<256.>
b	6,947.		7,252.	<305.>
c	21,434.		19,608.	1,826.
d	50,074.		40,073.	10,001.
e	25,979.		26,470.	<491.>
f	11,023.		11,416.	<393.>
g	29,209.		32,852.	<3,643.>
h	28,327.		16,002.	12,325.
i	29,501.		25,252.	4,249.
j	13,276.		11,332.	1,944.
k	47,841.		32,421.	15,420.
l	36,496.		37,576.	<1,080.>
m	32,647.		33,202.	<555.>
n	17,783.		17,077.	706.
o	46,710.		44,698.	2,012.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				<256.>
b				<305.>
c				1,826.
d				10,001.
e				<491.>
f				<393.>
g				<3,643.>
h				12,325.
i				4,249.
j				1,944.
k				15,420.
l				<1,080.>
m				<555.>
n				706.
o				2,012.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	300 SH HCA HOLDINGS IN SHS	P	12/09/13	03/10/14
b	150 SH SPDR GOLD TRUST	P	12/11/09	01/07/14
c	16 SH GOOGLE INC SHS CL C	P	02/07/14	04/08/14
d	16 SH GOOGLE INC SHS CL C	P	02/10/14	04/08/14
e	475 SH PRUDENTIAL FINANCIAL INC	P	06/11/13	04/17/14
f	740 SH DU PONT EI DE NEMOURS	P	05/14/13	05/15/14
g	225 SH GOLDMAN SACHS GROUP INC	P	03/10/14	05/06/14
h	2300 SH HUNTNGTN BANCSHS INC MD	P	08/07/13	05/06/14
i	380 SH KRAFT FOODS GROUP INC	P	09/11/13	05/06/14
j	825 SH MASCO CORP	P	03/10/14	05/06/14
k	1050 SH LEVEL 3 COMMUNICATNS INC	P	11/05/13	06/03/14
l	200 SH LEVEL 3 COMMUNICATNS INC	P	11/06/13	06/03/14
m	315 SH TJX COS INC NEW	P	05/21/13	06/03/14
n	425 SH TJX COS INC NEW	P	02/07/14	06/03/14
o	575 AFLAC INC COM	P	05/06/14	07/08/14

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	14,838.		14,128.	710.
b	17,794.		16,599.	1,195.
c	8,845.		9,368.	<523.>
d	8,845.		9,428.	<583.>
e	38,487.		33,837.	4,650.
f	49,375.		40,754.	8,621.
g	34,780.		38,849.	<4,069.>
h	20,769.		19,619.	1,150.
i	21,371.		20,329.	1,042.
j	16,245.		19,123.	<2,878.>
k	45,232.		32,214.	13,018.
l	8,616.		6,010.	2,606.
m	16,992.		16,295.	697.
n	22,925.		25,220.	<2,295.>
o	36,184.		35,693.	491.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				710.
b				1,195.
c				<523.>
d				<583.>
e				4,650.
f				8,621.
g				<4,069.>
h				1,150.
i				1,042.
j				<2,878.>
k				13,018.
l				2,606.
m				697.
n				<2,295.>
o				491.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	375 SH LAS VEGAS SANDS CORP	P	03/13/12	07/08/14
b	900 SH ORACLE CORP $0.01 DEL	P	01/07/14	07/08/14
c	225 SH DISCOVER FINL SVCS	P	10/09/12	08/04/14
d	525 SH DISCOVER FINL SVCS	P	11/05/13	08/04/14
e	175 SH HELMERICH PAYNE INC	P	12/09/13	08/04/14
f	275 SH HELMERICH PAYNE INC	P	01/07/14	08/04/14
g	5100 SH ON SEMICONDUCTOR CRP COM	P	06/03/14	08/04/14
h	450 SH TOLL BROS INC COM	P	03/10/14	08/04/14
i	700 SH TOLL BROS INC COM	P	05/06/14	08/04/14
j	75 SH WYNN RESORTS LTD	P	07/08/14	08/04/14
k	112 SH ISHARES TIPS	P	07/14/09	12/20/13
l	61 SH ISHARES TIPS	P	09/30/09	12/20/13
m	2222 SH ISHARES GOLD TR	P	01/24/12	12/10/13
n	195 SH ISHARES GOLD TR	P	07/27/12	12/10/13
o	130 SH ISHARES GOLD TR	P	02/05/13	12/10/13

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	28,023.		20,695.	7,328.
b	36,455.		34,038.	2,417.
c	13,583.		8,967.	4,616.
d	31,694.		27,286.	4,408.
e	18,650.		13,899.	4,751.
f	29,308.		22,840.	6,468.
g	43,722.		44,904.	<1,182.>
h	14,727.		17,350.	<2,623.>
i	22,908.		23,829.	<921.>
j	15,847.		15,174.	673.
k	12,347.		11,224.	1,123.
l	6,725.		6,275.	450.
m	27,152.		36,210.	<9,058.>
n	2,383.		3,075.	<692.>
o	1,589.		2,115.	<526.>

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				7,328.
b				2,417.
c				4,616.
d				4,408.
e				4,751.
f				6,468.
g				<1,182.>
h				<2,623.>
i				<921.>
j				673.
k				1,123.
l				450.
m				<9,058.>
n				<692.>
o				<526.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo , day, yr)
1a	1126 SH DIREXION CMDTY TRD INST	P	07/27/12	12/20/13
b	228 SH DIREXION CMDTY TRD INST	P	02/05/13	12/20/13
c	.734 SH DIREXION CMDTY TRD INST	P	06/17/13	01/20/13
d	67 SH DIREXION CMDTY TRD INST	P	06/17/13	12/20/13
e	98 SH ISHARES MSCI EAFE	P	03/16/10	01/06/14
f	65 SH ISHARES MSCI EAFE	P	04/01/10	01/06/14
g	136 SH ISHARES MSCI EAFE	P	07/22/10	01/06/14
h	72 SH ISHARES MSCI EAFE	P	03/31/11	01/06/14
i	23 SH ISHARES MSCI EAFE	P	07/27/11	01/06/14
j	159 SH ISHARES MSCI EAFE	P	09/28/11	01/06/14
k	18 SH ISHARES CORE TOTAL U.S.	P	09/30/09	01/06/14
l	44 SH ISHARES CORE TOTAL U.S.	P	01/21/10	01/06/14
m	23 SH ISHARES CORE TOTAL U.S.	P	04/01/10	01/06/14
n	39 SH ISHARES CORE TOTAL U.S.	P	09/30/10	01/06/14
o	79 SH ISHARES CORE TOTAL U.S.	P	02/08/11	01/06/14

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	21,799.		23,725.	<1,926.>
b	4,414.		4,715.	<301.>
c	14.		15.	<1.>
d	1,297.		1,344.	<47.>
e	6,462.		5,471.	991.
f	4,286.		3,685.	601.
g	8,967.		6,940.	2,027.
h	4,747.		4,320.	427.
i	1,517.		1,361.	156.
j	10,484.		7,886.	2,598.
k	1,918.		1,887.	31.
l	4,688.		4,591.	97.
m	2,451.		2,387.	64.
n	4,156.		4,237.	<81.>
o	8,418.		8,224.	194.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				<1,926.>
b				<301.>
c				<1.>
d				<47.>
e				991.
f				601.
g				2,027.
h				427.
i				156.
j				2,598.
k				31.
l				97.
m				64.
n				<81.>
o				194.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo., day, yr.)
1a	1 SH ISHARES CORE TOTAL U.S.	P	03/31/11	01/06/14
b	5 SH ISHARES CORE TOTAL U.S.	P	06/17/13	01/06/14
c	395 SH MARKET VECTORS AGRBUSNSS	P	06/17/13	01/06/14
d	639 SH ISHARES U.S. CONSUMER	P	01/24/12	01/06/14
e	203 SH ISHARES U.S. HEALTHCARE	P	07/14/09	01/06/14
f	34 SH ISHARES U.S. HEALTHCARE	P	09/30/09	01/06/14
g	291 SH ISHARES S&P SMALLCAP 600	P	01/24/12	01/06/14
h	458 SH ISHARES S&P SMALLCAP 600	P	06/17/13	01/06/14
i	744 SH POWERSHARES BUILD AMERIC	P	02/05/13	01/06/14
j	843 SH POWERSHARES BUILD AMERIC	P	06/17/13	01/06/14
k	2226 SH POWERSHARES EXCHANGE	P	02/05/13	01/06/14
l	69 SH POWERSHARES EXCHANGE	P	06/17/13	01/06/14
m	1253 SH SECTOR SPDR INDUSTRIAL	P	02/05/13	01/06/14
n	31 SH ISHARES CORE S&P MID-CAP	P	01/24/12	07/14/14
o	22 SH ISHARES U.S. FINANCIAL	P	02/08/11	07/14/14

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	107.		105.	2.
b	533.		544.	<11.>
c	21,104.		21,001.	103.
d	60,151.		44,206.	15,945.
e	23,468.		10,797.	12,671.
f	3,931.		2,000.	1,931.
g	31,992.		21,749.	10,243.
h	50,352.		43,287.	7,065.
i	20,398.		22,359.	<1,961.>
j	23,112.		24,217.	<1,105.>
k	55,428.		55,809.	<381.>
l	1,718.		1,715.	3.
m	64,429.		50,794.	13,635.
n	4,384.		2,881.	1,503.
o	1,837.		1,336.	501.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				2.
b				<11.>
c				103.
d				15,945.
e				12,671.
f				1,931.
g				10,243.
h				7,065.
i				<1,961.>
j				<1,105.>
k				<381.>
l				3.
m				13,635.
n				1,503.
o				501.

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8		3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo., day, yr)
1a	93 SH ISHARES TIPS	P	09/30/09	07/14/14
b	12 SH ISHARES TIPS	P	01/21/10	07/14/14
c	16 SH ISHARES TIPS	P	04/01/10	07/14/14
d	11 SH ISHARES TIPS	P	09/30/10	07/14/14
e	35 SH ISHARES TIPS	P	02/08/11	07/14/14
f	23 SH ISHARES TIPS	P	02/05/13	07/14/14
g	27 SH ISHARES TIPS	P	06/17/13	07/14/14
h	66 SH FIRST TR NYSE ARCA BIOTE	P	01/06/14	07/14/14
i	190 SH ISHARES JP MORGAN EM BON	P	01/24/12	07/14/14
j	10 SH ISHARES JP MORGAN EM BON	P	02/05/13	07/14/14
k	16 SH POWERSHARES PREFERRED	P	01/07/14	07/14/14
l	92 ISHARES U.S. TECHNOLOGY	P	12/06/11	07/14/14
m	103 SH ISHARES U.S. ENERGY ETF	P	06/17/13	07/14/14
n	18 SH ISHARES U.S. HEALTHCARE	P	09/30/09	07/14/14
o	.888 SH RS EMERGING MARKETS CL Y	P	12/20/13	07/14/14

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	10,699.		9,567.	1,132.
b	1,381.		1,261.	120.
c	1,841.		1,651.	190.
d	1,266.		1,202.	64.
e	4,027.		3,692.	335.
f	2,646.		2,771.	<125.>
g	3,106.		3,091.	15.
h	5,486.		4,524.	962.
i	21,907.		20,820.	1,087.
j	1,153.		1,190.	<37.>
k	235.		220.	15.
l	9,049.		6,075.	2,974.
m	5,800.		4,746.	1,054.
n	2,339.		1,059.	1,280.
o	18.		17.	1.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				1,132.
b				120.
c				190.
d				64.
e				335.
f				<125.>
g				15.
h				962.
i				1,087.
j				<37.>
k				15.
l				2,974.
m				1,054.
n				1,280.
o				1.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a	1791 SH RS EMERGING MARKETS CL Y	P	12/20/13	07/14/14
b	5419 SH RS FLOATING RATE FD CL Y	P	02/05/13	07/14/14
c	.719 SH RS FLOATING RATE FD CL Y	P	06/17/13	07/14/14
d	129 SH RS FLOATING RATE FD CL Y	P	06/17/13	07/14/14
e	688 SH PIMCO TOTAL RETURN ETF	P	01/06/14	09/24/14
f	16 SH PIMCO TOTAL RETURN ETF	P	07/14/14	09/24/14
g	309 SH ISHARES MSCI EMERGING	P	09/28/11	12/20/13
h	68 SH ISHARES MSCI EMERGING	P	07/27/12	12/20/13
i	467 SH ISHARES MSCI EMERGING	P	02/05/13	12/20/13
j	8 SH ISHARES TIPS	P	03/17/09	12/20/13
k	ML SYSTEMATIC MOMENTUM FUTURESACCESS LLC - FROM K	P	Various	Various
l	ML SYSTEMATIC MOMENTUM FUTURESACCESS LLC - FROM K	P	Various	Various
m	ML SYSTEMATIC MOMENTUM FUTURESACCESS LLC - SEC 12	P	Various	Various
n	ML SYSTEMATIC MOMENTUM FUTURESACCESS LLC - SEC 12	P	Various	Various
o	WACHOVIA EQUITY SECURITIES LITIGATION	P	Various	03/14/14

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	36,698.		34,011.	2,687.
b	56,087.		55,870.	217.
c	7.		7.	0.
d	1,335.		1,327.	8.
e	74,970.		72,219.	2,751.
f	1,743.		1,741.	2.
g	12,570.		11,567.	1,003.
h	2,766.		2,650.	116.
i	18,997.		20,618.	<1,621.>
j	882.		791.	91.
k			180.	<180.>
l	1.			1.
m	800.			800.
n	1,200.			1,200.
o	25.			25.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				2,687.
b				217.
c				0.
d				8.
e				2,751.
f				2.
g				1,003.
h				116.
i				<1,621.>
j				91.
k				<180.>
l				1.
m				800.
n				1,200.
o				25.

2 Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a	Capital Gains Dividends			
b				
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2.			2.
b				
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				2.
b				
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	117,463.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PINELLAS EDUCATION FOUNDATION 12090 STARKEY ROAD LARGO, FL 33773	N/A	PUBLIC	YOUTH CONNECT AND ROLE MODELS OF EXCELLENCE PROGRAMS	20,000.
BETH DILLINGER FOUNDATION P.O. BOX 48533 ST PETERSBURG, FL 33743	N/A	PUBLIC	HELP AT RISK YOUTH, SCHOLARSHIP FUND	7,100.
BROOKWOOD FLORIDA, INC. 901 SEVENTH AVENUE SOUTH ST PETERSBURG, FL 33705	N/A	PUBLIC	RESIDENTIAL PROGRAM TO HELP ABUSED & NEGLECTED TEEN GIRLS	500.
STAGEWORKS, INC. 1120 E KENNEDY BLVD., UNIT 151 WEST TAMPA, FL 33602	N/A	PUBLIC	SUPPORT THEATRE PROGRAMS	500.
CHABAD JEWISH CENTER OF GREATER ST. PETERSBURG 4010 PARK ST NORTH ST PETERSBURG, FL 33709	N/A	PUBLIC	EDUCATIONAL OUTREACH ACTIVITIES	100.
CLOTHES TO KIDS, INC. 1059 NORTH HERCULES AVENUE CLEARWATER, FL 33765	N/A	PUBLIC	PROVIDE CLOTHING TO LOW-INCOME SCHOOL CHILDREN	11,100.
COMMUNITY TAMPA BAY 1499 BEACH DRIVE SOUTHEAST UNIT C ST PETERSBURG, FL 33701	N/A	PUBLIC	PROMOTE DIALOGUE & RESPECT AMONG ALL CULTURES/RELIGIONS	15,000.
FLORIDA HOLOCAUST MUSEUM 55 FIFTH STREET SOUTH ST PETERSBURG, FL 33701	N/A	PUBLIC	SUPPORT MUSEUM HONORING VICTIMS OF THE HOLOCAUST	90.
MOREAN ARTS CENTER 719 CENTRAL AVENUE ST PETERSBURG, FL 33701	N/A	PUBLIC	SUPPORT OF THE ARTS	95.
GIRL SCOUTS OF WEST CENTRAL FLORIDA 4610 EISENHOWER BLVD. TAMPA, FL 33634	N/A	PUBLIC	WOMEN OF DISTINCTION PROGRAM	300.
Total from continuation sheets				297,871.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GULF COAST JEWISH FAMILY & COMMUNITY SERVICES 14041 ICOT BOULEVARD CLEARWATER, FL 33760	N/A	PUBLIC	PROVIDE ESSENTIAL SERVICES TO FAMILIES IN NEED	5,000.
GREAT EXPLORATIONS 1925 FOURTH STREET NORTH ST PETERSBURG, FL 33704	N/A	PUBLIC	EXPLORER'S COVE EXHIBIT FOR CHILDREN'S MUSEUM	25,000.
JEWISH FEDERATION OF PINELLAS & PASCO COUNTIES, FL 13191 STARKEY ROAD, SUITE 8 LARGO, FL 33773	N/A	PUBLIC	PROVIDE CONNECTION, ADVOCACY, EDUCATION AND CARE TO JEWISH COMMUNITY	25,000.
LEEPA-RATTNER MUSEUM OF ART 600 KLOSTERMAN ROAD TARPON SPRINGS, FL 34689	N/A	PUBLIC	PROMOTION OF THE ARTS	500.
HILLELS OF THE FLORIDA SUNCOAST PO BOX 290756 TAMPA, FL 33687-0756	N/A	PUBLIC	RINGLING HILLEL JUDAIC ART COMPETITION	8,000.
MUSEUM OF FINE ARTS 255 BEACH DRIVE NE ST PETERSBURG, FL 33701	N/A	PUBLIC	PROMOTION OF THE ARTS	250.
ORT AMERICA 777 YAMATO ROAD, SUITE 100 BOCA RATON , FL 33431	N/A	PUBLIC	SUPPORT EDUCATIONAL PROGRAMS	1,100.
PERSONAL ENRICHMENT THROUGH MENTAL HEALTH SERVICES, INC. 11254 58TH STREET NORTH PINELLAS PARK, FL 33782	N/A	PUBLIC	PACESETTER AWARDS	1,000.
WEDU P.O. BOX 31556 TAMPA, FL 33631	N/A	PUBLIC	SUPPORT PUBLIC TELEVISION	100.
ST. PETERSBURG FREE CLINIC 863 3RD AVENUE NORTH ST PETERSBURG, FL 33701	N/A	PUBLIC	WOMEN'S RESIDENCE CAPITAL CAMPAIGN	30,000.
Total from continuation sheets				

MAURICE A. ROTHMAN & THELMA P. ROTHMAN
FAMILY FOUNDATION, INC. 59-2061386

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SUNCOASTERS OF ST. PETERSBURG FOUNDATION, INC. P.O. BOX 1731 ST PETERSBURG, FL 33731	N/A	PUBLIC	AMBASSADOR SCHOLARSHIP PROGRAM	500.
TAMPA BAY RESEARCH INSTITUTE 10900 ROOSEVELT BLVD. N. ST PETERSBURG, FL 33716	N/A	PUBLIC	SUPPORT IMMUNE HEALTH MEDICAL RESEARCH	25,000.
TEMPLE BETH-EL 400 PASADENA AVENUE SOUTH ST PETERSBURG, FL 33707	N/A	PUBLIC	ADVANCEMENT OF RELIGION, RAISE THE ROOF CAMPAIGN	50,036.
THE DALI MUSEUM ONE DALI BOULEVARD ST PETERSBURG, FL 33701	N/A	PUBLIC	PROMOTION OF THE ARTS	100.
THE FLORIDA ORCHESTRA 244 SECOND AVE NORTH, SUITE 420 ST PETERSBURG, FL 33701	N/A	PUBLIC	PROMOTION OF THE ARTS/MUSIC FOR LIFE CAMPAIGN	59,500.
UNITED WAY SUNCOAST 5201 W. KENNEDY BLVD., SUITE 600 TAMPA, FL 33609	N/A	PUBLIC	EARLY LITERACY INITIATIVE	12,000.
Total from continuation sheets				

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
MERRILL LYNCH	2,557.	2,557.	
NORTHERN TRUST BANK	1.	1.	
Total to Part I, line 3	2,558.	2,558.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
MERRILL LYNCH	196,909.	2.	196,907.	196,907.	
STATE OF ISRAEL	1,596.	0.	1,596.	1,596.	
To Part I, line 4	198,505.	2.	198,503.	198,503.	

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
WHR STEPS ISSUER RBC	5,531.	5,531.	
ML SYSTEMATIC MOMENTUM FUTURESACCESS LLC	<4,422.>	<3,303.>	
Total to Form 990-PF, Part I, line 11	1,109.	2,228.	

Form 990-PF Taxes Statement 4

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
EXCISE TAX ON INVESTMENT INCOME	4,737.	0.		0.
STATE OF FLORIDA ANNUAL FILING FEE	61.	0.		0.
To Form 990-PF, Pg 1, ln 18	4,798.	0.		0.

Form 990-PF Other Expenses Statement 5

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INVESTMENT ADVISOR FEES	35,347.	35,347.		0.
MEALS	400.	0.		0.
MISCELLANEOUS EXPENSE	500.	0.		0.
To Form 990-PF, Pg 1, ln 23	36,247.	35,347.		0.

Form 990-PF Corporate Stock Statement 6

Description	Book Value	Fair Market Value
SEE STATEMENT ATTACHED	1,150,101.	1,427,362.
Total to Form 990-PF, Part II, line 10b	1,150,101.	1,427,362.

Form 990-PF Corporate Bonds Statement 7

Description	Book Value	Fair Market Value
SEE STATEMENT ATTACHED	2,099,060.	2,138,294.
Total to Form 990-PF, Part II, line 10c	2,099,060.	2,138,294.

Form 990-PF Other Investments Statement 8

Description	Valuation Method	Book Value	Fair Market Value
SEE STATEMENT ATTACHED	COST	2,567,734.	2,879,081.
Total to Form 990-PF, Part II, line 13		2,567,734.	2,879,081.

Form 990-PF Other Assets Statement 9

Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value
ESTIMATED TAX DEPOSITS	2,052.	4,740.	4,740.
INTEREST INCOME RECEIVABLE	12,210.	9,076.	9,076.
To Form 990-PF, Part II, line 15	14,262.	13,816.	13,816.

Maurice A. and Thelma P. Rothman Family Foundation, Inc.
Fiscal Year Ended September 30, 2014
EIN 59-2061386

Form 990-PF, Part II

Description	Beginning	Ending	Market Value
Line 10b, Investments - Corporate Stock			
Abbvie Inc Shs	40,838	-	-
Actavis PLC Shs	-	35,467	54,288
Advance Auto Parts Inc.	-	38,090	39,090
Anadarko Pete Corp	15,433	-	-
Apple Inc	32,422	32,422	88,156
Asbury Automotive Group	40,233	40,233	48,959
Automatic Data Proc	39,685	39,685	45,694
Avago Technologies Ltd	-	40,025	82,650
Avnet Inc	14,855	-	-
Ball Corp	-	44,414	53,780
Biogen Idec Inc	38,406	-	-
Boeing Company	-	43,760	44,583
Brocade Communications Sys Inc	20,225	-	-
Cabot Oil & Gas Corp	30,053	-	-
Cigna Corp	38,708	21,631	25,847
Commscope Hldg Co Inc	-	21,662	20,324
Crane Co Delaware	38,193	-	-
Delta Air Lines Inc	-	44,192	50,610
Discover Finl Svcs	8,967	-	-
Dril Quip	37,886	-	-
Dow Chemical Co	-	39,657	40,641
Du Pont E I DE Nemours	40,754	-	-
Eastman Chemical Co	-	45,840	44,085
EMC Corporation Mass	-	43,488	43,159
Ensco PLC Shs Cl A	34,992	-	-
Freeprt-Mcmran Cpr & Gld	10,233	-	-
Gap Inc	18,468	-	-
Generac Hldgs Inc	38,755	-	-
Gilead Sciences Inc Com	11,673	11,673	47,903
Google Inc	-	36,123	37,070
Hess Corp	-	45,980	47,160
Home Depot Inc	10,419	-	-
Honeywell Intl Inc	18,393	18,393	27,936
Huntngtn Bancshs Inc	19,619	-	-
Intel Corp	18,091	38,512	58,324
Kraft Foods Group Inc	20,329	-	-
Las Vegas Sands Corp	20,942	-	-
Lear Corp Shs	-	39,311	43,205
MGM Resorts International	-	36,344	31,892
Masco Corp	-	43,611	49,036

Form 990-PF, Part II

Description	Beginning	Ending	Market Value
Micron Technology Inc	31,936	-	-
Moog Inc	36,584	-	-
Norfolk Southern Corp	-	41,982	50,220
Packaging Corp America	32,421	-	-
Peabody Energy Corp Com	13,440	-	-
Prudential Financial Inc	33,837	-	-
RF Micro Devices Inc	-	43,555	43,852
Rosetta Resources Inc	39,491	-	-
Skyworks Solutions Inc	-	40,053	49,343
St. Jude Medical Inc	-	34,141	31,568
Swift Transportation Company Inc	38,567	-	-
TJX Cos Inc	16,295	-	-
Twenty-First Century Fox Inc	31,342	-	-
Ubiquiti Networks Inc	-	40,936	43,160
United Rental Inc.	-	35,306	41,663
Wells Fargo & Co New Del	33,472	33,472	57,057
Whirlpool Corp	36,317	36,317	47,336
Whiting Petroleum Corp	-	43,830	38,775
Total	1,002,274	1,150,101	1,427,362

Line 10c, Investments - Corporate Bonds

Affiliated Managers Group, Inc.	25,000	25,000	25,480
AT&T Inc	50,193	-	-
Allstate Corp	50,000	50,000	52,040
Ameriprise Financial Inc	25,000	-	-
Apollo Investment Corp	50,000	50,000	49,100
Ares Capital Corp	25,000	-	-
Bank of America Corp	50,000	50,000	47,000
Bank of America Corp	50,000	50,000	57,569
Bank of America Corp	50,000	50,000	50,813
Bank of America Corp	50,000	50,000	48,563
Bank of America Corp	24,032	24,160	25,000
Bank of America Corp	50,000	50,000	51,000
Bank of America Corp	-	25,000	24,940
Barclays Bank PLC	50,000	50,000	48,500
Citigroup Inc	25,000	25,000	26,730
Citigroup Inc	50,000	-	-
Citigroup Inc	-	25,000	26,340
Commerce Bancshares	-	25,000	24,910
Cubesmart	25,000	25,000	26,400

Maurice A. and Thelma P. Rothman Family Foundation, Inc.
Fiscal Year Ended September 30, 2014
EIN 59-2061386

Form 990-PF, Part II

Description	Beginning	Ending	Market Value
Digital Realty Trust Inc	25,000	25,000	25,740
Digital Realty Trust Inc	-	25,000	26,050
Discover Financial Svs	25,000	25,000	25,225
Dow Chemical CO	50,000	50,000	49,962
Dow Chemical CO	50,000	50,000	49,630
Federal Agric Mtg Corp	25,000	25,000	24,000
Fifth Third Bancorp	-	25,000	26,450
First Republic Bank	25,000	25,000	25,460
First Republic Bank	25,000	25,000	22,850
First Republic Bank	25,000	25,000	24,780
Ford Motor Credit Co LLC	-	100,000	97,719
General Elec Cap Corp	50,000	50,000	50,769
General Elec Cap Corp	50,000	50,000	50,766
General Elec Cap Corp	50,000	50,000	50,073
General Elec Cap Corp	50,000	50,000	56,663
General Elec Cap Corp	99,050	99,050	100,027
Hanover Insurance Group	25,000	25,000	24,090
HSBC Finance Corp	50,000	50,000	50,885
Integrys Energy Grp, Inc.	25,000	25,000	25,640
Kraft Foods Inc	51,041	50,851	57,610
Lloyds TSB Bank PLC	50,000	50,000	50,313
Maiden Holdings NA, LTD.	-	25,000	26,201
Montepelier RE Holdings	25,000	25,000	27,500
Morgan Stanley	50,000	50,000	53,820
Natl Retail Ppty Inc	25,000	25,000	25,880
Northern Trust Corp	-	50,000	49,440
Partnerre Ltd Series F	25,000	25,000	24,000
Pitney Bowes Inc	25,000	25,000	25,910
PPL Capital Funding Inc	25,000	25,000	24,380
Prudential Financial Inc	25,000	-	-
Public Storage Pfd Shr	25,000	25,000	26,220
Public Storage (PSA)	25,000	25,000	25,690
Qwest Corporation	-	25,000	24,360
Regency Centers Corp	25,000	25,000	25,700
Renaissancere Hldgs Ltd	25,000	-	-
SBC Communications	50,685	-	-
SBC Communications	50,399	-	-
Senior Housing Ppty	50,000	-	-
Stanley Black & Decker	25,000	-	-
Suntrust Banks Inc	50,000	50,000	46,100
Qwest Corp (Ctl)	25,000	25,000	26,040
Telephone & Data System	25,000	25,000	24,660
Telephone & Data System	25,000	25,000	25,020

Maurice A. and Thelma P. Rothman Family Foundation, Inc.
Fiscal Year Ended September 30, 2014
EIN 59-2061386

Form 990-PF, Part II

Description	Beginning	Ending	Market Value
US Bancorp	25,000	-	-
US Cellular Corp	25,000	25,000	25,300
Vornado Realty Tr Ser J	25,000	25,000	26,430
Wells Fargo Company	50,000	50,000	51,260
Wells Fargo Company	-	50,000	55,300
Wells Fargo Company	25,000	-	-
Wells Fargo Company	25,000	-	-
Wells Fargo Company	47,726	-	-
Total	2,223,127	2,099,060	2,138,294

Line 13, Investments - Other

Allianzgi Convertible and Income Fd Shs	-	29,184	28,650
Ares Dynamic Credit Allocation	100,000	-	-
Basket Stepup Issuer BAC	25,000	25,000	27,050
Basket LIRN Issuer BAC	50,000	50,000	59,550
Blackrock Core Bond TR	53,283	-	-
Blackrock Credit Allct Income Trust	-	38,625	39,930
Blackrock Res & Commodity Strategy TR	94,750	-	-
Blackrock Total Return Fund	-	38,239	38,239
CBRE Clarion Global Real Estate Income Fd	34,170	33,568	35,615
C JPM GS ARN ISS BAC	50,000	-	
Calamos Conv Opp Inc FD	30,924	30,924	33,825
Calamos Conv & High Inc Fund	-	70,119	70,900
Clearbridge Amern Energy MLP FD Inc	50,000	-	-
Cohen & Steers Quality Income	35,162	35,162	37,205
Direxion Commodity Trends Strategy Fd	29,799	-	-
Doubleline Income Solutions Fd	25,000	-	-
Eaton Vance Tax Div Inc Fund	-	57,024	60,570
Emerge Energy Services	-	31,995	34,590
Fin Basket ARN Iss HSBC	-	50,000	51,700
First TR Inter Duration Pfd and Income F	50,000	-	-
First TR NYSE ARCA BIOTE	-	31,532	42,219
Ishares Core Total U.S. Bond Market ETF	21,975	-	-
Ishares TIPS Bond ETF	41,525	-	-
Ishares US Financial Services ETF	46,236	44,901	64,046
Ishares US Consumer Goods ETF	44,206	-	-
Ishares U.S. Energy ETF	56,949	52,203	58,395
Ishares US Healthcare	31,655	17,799	39,633

Maurice A. and Thelma P. Rothman Family Foundation, Inc.
Fiscal Year Ended September 30, 2014
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Form 990-PF, Part II

Description	Beginning	Ending	Market Value
Ishares US Technology	49,141	43,066	65,393
Ishares Gold Trust	41,399	-	-
Ishares Iboxx $	20,841	23,217	25,299
Ishares JP Morgan EM Bon	22,010	-	-
Ishares MSCI EAFE Index	60,980	32,337	36,100
Ishares MSCI Emerging	34,835	-	-
Ishares Russell 1000 Value	45,230	130,352	170,353
Ishares S&P 500 Growth	46,062	144,975	198,472
Ishares S&P Smallcap 600	65,036	-	-
Ishares Core S&P Midcap	66,790	63,909	80,266
JPNK400 ARN ISSUER BARC	-	50,000	53,550
Market Vectors Agrbusnss	56,942	37,463	37,235
MLCXPREC ARN ISSUER BAC	50,000	-	-
MU STEPS Issuer HSBC	-	50,000	49,350
Oil Svcs ARN Issuer SEK	85,000	-	-
Oil Svcs ARN Issuer SEK	-	50,000	46,090
PIMCO 0-5 Year H/Y Corp Bond Index Ex-Traded Fund	-	63,774	62,086
PIMCO 1-5 Year US Tips Index Exchange-Traded Fund	-	25,466	25,009
PIMCO Dynamic Income Fd	-	64,853	63,120
PIMCO Dynamic Credit Income	-	46,890	44,940
PIMCO Income Fund	-	63,755	63,304
Powershares Build Americ Bond ETF	46,576	-	-
Powershares Exchange Traded Fd TR II	57,565	-	-
Powershares Preferred Portfolio	-	23,970	25,189
RICI CLIRN Issuer BAC	35,000	-	-
RS Floating Rate Fund CL Y	57,205	-	-
RS Small Cap Growth Fund	-	83,957	82,627
Sector SPDR Industrial	50,794	-	-
SP500 Stepup Issuer BAC	30,000	30,000	38,970
SP500 Stepup Issuer BAC	50,000	50,000	61,490
SP500 Stepup Issuer DB	-	40,000	39,432
SP500 Stepup Issuer HSBC	40,000	40,000	49,720
SP500 Stepup Issuer HSBC	50,000	50,000	59,900
SP500 Stepup Issuer HSBC	75,000	75,000	87,975
SPDR Barclays Convertible Securities ETF	-	25,456	25,073
SPDR Gold Trust	16,599	-	-
Sprague Resources LP	-	35,758	38,145
State of Israel Bonds	75,000	75,000	75,000
Stone HBR Emerging Mkts Income Fund	98,026	-	-
SX5E Stepup Issuer HSBC	75,000	75,000	88,275
SX5E Stepup Issuer BAC	-	50,000	53,500
SX5E Stepup Issuer BAC	-	35,000	32,690
Systematic Momentum Futures Access LLC	89,648	87,035	89,387

Maurice A. and Thelma P. Rothman Family Foundation, Inc.
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Form 990-PF, Part II

Description	Beginning	Ending	Market Value
Western Asset Middle Mkt Debt Fd Inc	50,875	-	-
Western Asset Middle Mkt Income Fd Inc	-	103,000	98,602
WHR Steps Issuer RBC	50,000	-	-
Wisdomtree Eur S/C Div	-	37,286	33,922
Wisdomtree Trust Japan Hedged Equity Fd	-	37,950	40,339
Tortoise MLP Fd Inc	93,380	86,991	116,160
Total	2,555,569	2,567,734	2,879,081