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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2013**

Open to Public Inspection

- ▶ Do not enter Social Security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2013 or tax year beginning 10/1/2013, and ending 9/30/2014

|                                                                                                                                                                                                                                                                                                       |                    |                                                                                                                                                 |                                                                                                                                                                              |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Name of foundation<br><b>SIEMENS FOUNDATION</b>                                                                                                                                                                                                                                                       |                    |                                                                                                                                                 | A Employer identification number<br><b>52-2136074</b>                                                                                                                        |  |
| Number and street (or PO box number if mail is not delivered to street address)<br><b>170 WOOD AVENUE SOUTH</b>                                                                                                                                                                                       |                    | Room/suite                                                                                                                                      | B Telephone number (see instructions)<br><b>732-906-3809</b>                                                                                                                 |  |
| City or town<br><b>ISELIN</b>                                                                                                                                                                                                                                                                         | State<br><b>NJ</b> | ZIP code<br><b>08830</b>                                                                                                                        |                                                                                                                                                                              |  |
| Foreign country name                                                                                                                                                                                                                                                                                  |                    | Foreign province/state/country                                                                                                                  | Foreign postal code                                                                                                                                                          |  |
| G Check all that apply<br><input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |                    |                                                                                                                                                 | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |  |
| H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                      |                    |                                                                                                                                                 | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |  |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <b>60,576,181</b>                                                                                                                                                                                              |                    | J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ |                                                                                                                                                                              |  |
|                                                                                                                                                                                                                                                                                                       |                    |                                                                                                                                                 | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |  |
|                                                                                                                                                                                                                                                                                                       |                    |                                                                                                                                                 | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |  |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) |                                                                                    | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                                            | 1 Contributions, gifts, grants, etc., received (attach schedule)                   | 5,813,616                          |                           |                         |                                                             |
|                                                                                                                                                                    | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 3 Interest on savings and temporary cash investments                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 4 Dividends and interest from securities                                           | 944,134                            | 1,105,006                 |                         |                                                             |
|                                                                                                                                                                    | 5a Gross rents                                                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | b Net rental income or (loss)                                                      |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 6a Net gain or (loss) from sale of assets not on line 10                           | 1,433,395                          |                           |                         |                                                             |
|                                                                                                                                                                    | b Gross sales price for all assets on line 6a <b>11,385,543</b>                    |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 7 Capital gain net income (from Part IV, line 2)                                   |                                    | 1,433,395                 |                         |                                                             |
|                                                                                                                                                                    | 8 Net short-term capital gain                                                      |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 9 Income modifications                                                             |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 10a Gross sales less returns and allowances                                        |                                    |                           |                         |                                                             |
| b Less: Cost of goods sold                                                                                                                                         |                                                                                    |                                    |                           |                         |                                                             |
| c Gross profit or (loss) (attach schedule)                                                                                                                         |                                                                                    |                                    |                           |                         |                                                             |
| 11 Other income (attach schedule)                                                                                                                                  |                                                                                    |                                    |                           |                         |                                                             |
| 12 Total. Add lines 1 through 11                                                                                                                                   | 8,191,145                                                                          | 2,538,401                          | 0                         |                         |                                                             |
| Operating and Administrative Expenses                                                                                                                              | 13 Compensation of officers, directors, trustees, etc. <b>St 1</b>                 | 561,297                            |                           |                         | 561,297                                                     |
|                                                                                                                                                                    | 14 Other employee salaries and wages                                               | 185,618                            |                           |                         | 185,618                                                     |
|                                                                                                                                                                    | 15 Pension plans, employee benefits                                                | 19,906                             |                           |                         | 19,906                                                      |
|                                                                                                                                                                    | 16a Legal fees (attach schedule)                                                   |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | b Accounting fees (attach schedule) <b>St 2</b>                                    | 31,650                             |                           |                         |                                                             |
|                                                                                                                                                                    | c Other professional fees (attach schedule) <b>St. 2 A</b>                         | 620,325                            |                           |                         | 620,325                                                     |
|                                                                                                                                                                    | 17 Interest                                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 18 Taxes (attach schedule) (see instructions) <b>St. 3</b>                         | 58,351                             |                           |                         | 28,771                                                      |
|                                                                                                                                                                    | 19 Depreciation (attach schedule) and depletion                                    |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 20 Occupancy                                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 21 Travel, conferences, and meetings                                               | 210,065                            |                           |                         | 210,065                                                     |
|                                                                                                                                                                    | 22 Printing and publications                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 23 Other expenses (attach schedule) <b>St. 4</b>                                   | 4,581,222                          |                           |                         | 4,580,952                                                   |
|                                                                                                                                                                    | 24 Total operating and administrative expenses. Add lines 13 through 23            | 6,268,434                          | 0                         | 0                       | 6,206,934                                                   |
|                                                                                                                                                                    | 25 Contributions, gifts, grants paid                                               | 1,016,130                          |                           |                         | 1,384,771                                                   |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                                           | 7,284,564                                                                          | 0                                  | 0                         | 7,591,705               |                                                             |
| 27 Subtract line 26 from line 12                                                                                                                                   |                                                                                    |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                                | 906,581                                                                            |                                    |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                                   |                                                                                    | 2,538,401                          |                           |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                                     |                                                                                    |                                    | 0                         |                         |                                                             |

For Paperwork Reduction Act Notice, see instructions.

HTA

Form **990-PF** (2013)

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| <b>Part II Balance Sheets</b>      |     | Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)                          |                | Beginning of year     | End of year |            |
|------------------------------------|-----|-----------------------------------------------------------------------------------------------------------------------------------------------|----------------|-----------------------|-------------|------------|
|                                    |     | (a) Book Value                                                                                                                                | (b) Book Value | (c) Fair Market Value |             |            |
| <b>Assets</b>                      | 1   | Cash—non-interest-bearing                                                                                                                     |                | 469,119               | 863,019     | 863,019    |
|                                    | 2   | Savings and temporary cash investments                                                                                                        |                | 2,147                 | 51,296      | 51,296     |
|                                    | 3   | Accounts receivable ▶                                                                                                                         |                |                       |             |            |
|                                    |     | Less: allowance for doubtful accounts ▶                                                                                                       |                |                       |             |            |
|                                    | 4   | Pledges receivable ▶                                                                                                                          |                |                       |             |            |
|                                    |     | Less: allowance for doubtful accounts ▶                                                                                                       |                |                       |             |            |
|                                    | 5   | Grants receivable                                                                                                                             |                |                       |             |            |
|                                    | 6   | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)                       |                |                       |             |            |
|                                    | 7   | Other notes and loans receivable (attach schedule) ▶                                                                                          |                |                       |             |            |
|                                    |     | Less: allowance for doubtful accounts ▶                                                                                                       |                |                       |             |            |
|                                    | 8   | Inventories for sale or use                                                                                                                   |                |                       |             |            |
|                                    | 9   | Prepaid expenses and deferred charges                                                                                                         |                | 83,708                | 97,504      | 97,504     |
|                                    | 10a | Investments—U S and state government obligations (attach schedule)                                                                            |                |                       |             |            |
|                                    | b   | Investments—corporate stock (attach schedule)                                                                                                 |                |                       |             |            |
|                                    | c   | Investments—corporate bonds (attach schedule)                                                                                                 |                |                       |             |            |
|                                    | 11  | Investments—land, buildings, and equipment basis ▶                                                                                            |                |                       |             |            |
|                                    |     | Less: accumulated depreciation (attach schedule) ▶                                                                                            |                |                       |             |            |
|                                    | 12  | Investments—mortgage loans                                                                                                                    |                |                       |             |            |
|                                    | 13  | Investments—other (attach schedule) <b>Statement 4A</b>                                                                                       |                | 57,784,863            | 59,544,606  | 59,544,606 |
|                                    | 14  | Land, buildings, and equipment basis ▶                                                                                                        |                |                       |             |            |
|                                    |     | Less: accumulated depreciation (attach schedule) ▶                                                                                            |                |                       |             |            |
|                                    | 15  | Other assets (describe ▶ <b>ACCRUED INTEREST AND DIVID</b> )                                                                                  |                |                       | 19,756      | 19,756     |
|                                    | 16  | <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)                                            |                | 58,339,837            | 60,576,181  | 60,576,181 |
| <b>Liabilities</b>                 | 17  | Accounts payable and accrued expenses                                                                                                         |                | 195,999               | 331,422     |            |
|                                    | 18  | Grants payable                                                                                                                                |                | 2,908,767             | 2,661,350   |            |
|                                    | 19  | Deferred revenue                                                                                                                              |                |                       |             |            |
|                                    | 20  | Loans from officers, directors, trustees, and other disqualified persons                                                                      |                |                       |             |            |
|                                    | 21  | Mortgages and other notes payable (attach schedule)                                                                                           |                |                       |             |            |
|                                    | 22  | Other liabilities (describe ▶ )                                                                                                               |                |                       |             |            |
|                                    | 23  | <b>Total liabilities</b> (add lines 17 through 22)                                                                                            |                | 3,104,766             | 2,992,772   |            |
| <b>Net Assets or Fund Balances</b> |     | <b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> <input checked="" type="checkbox"/> |                |                       |             |            |
|                                    | 24  | Unrestricted                                                                                                                                  |                | 55,235,071            | 57,583,409  |            |
|                                    | 25  | Temporarily restricted                                                                                                                        |                |                       |             |            |
|                                    | 26  | Permanently restricted                                                                                                                        |                |                       |             |            |
|                                    |     | <b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input type="checkbox"/>                         |                |                       |             |            |
|                                    | 27  | Capital stock, trust principal, or current funds                                                                                              |                |                       |             |            |
|                                    | 28  | Paid-in or capital surplus, or land, bldg, and equipment fund                                                                                 |                |                       |             |            |
|                                    | 29  | Retained earnings, accumulated income, endowment, or other funds                                                                              |                |                       |             |            |
|                                    | 30  | <b>Total net assets or fund balances</b> (see instructions)                                                                                   |                | 55,235,071            | 57,583,409  |            |
|                                    | 31  | <b>Total liabilities and net assets/fund balances</b> (see instructions)                                                                      |                | 58,339,837            | 60,576,181  |            |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|   |                                                                                                                                                          |   |            |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 55,235,071 |
| 2 | Enter amount from Part I, line 27a                                                                                                                       | 2 | 906,581    |
| 3 | Other increases not included in line 2 (itemize) ▶ <b>UNREALIZED GAIN ON INVESTMENTS</b>                                                                 | 3 | 1,441,757  |
| 4 | Add lines 1, 2, and 3                                                                                                                                    | 4 | 57,583,409 |
| 5 | Decreases not included in line 2 (itemize) ▶                                                                                                             | 5 |            |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30                                                      | 6 | 57,583,409 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)                                                                   |                                            | (b) How acquired<br>P—Purchase<br>D—Donation    | (c) Date acquired<br>(mo., day, yr.)                                                            | (d) Date sold<br>(mo., day, yr.) |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|----------------------------------|
| 1a                                                                                                                                                                                                 | See Attached Statement 4B                  |                                                 |                                                                                                 |                                  |
| b                                                                                                                                                                                                  | See Attached Statement 4B                  |                                                 |                                                                                                 |                                  |
| c                                                                                                                                                                                                  | See Attached Statement 4B                  |                                                 |                                                                                                 |                                  |
| d                                                                                                                                                                                                  | See Attached Statement 4B                  |                                                 |                                                                                                 |                                  |
| e                                                                                                                                                                                                  | See Attached Statement 4B                  |                                                 |                                                                                                 |                                  |
| (e) Gross sales price                                                                                                                                                                              | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                                    |                                  |
| a                                                                                                                                                                                                  |                                            |                                                 | 0                                                                                               |                                  |
| b                                                                                                                                                                                                  |                                            |                                                 | 0                                                                                               |                                  |
| c                                                                                                                                                                                                  |                                            |                                                 | 0                                                                                               |                                  |
| d                                                                                                                                                                                                  |                                            |                                                 | 0                                                                                               |                                  |
| e                                                                                                                                                                                                  |                                            |                                                 | 0                                                                                               |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                                                                                        |                                            |                                                 |                                                                                                 |                                  |
| (i) FMV as of 12/31/69                                                                                                                                                                             | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |                                  |
| a                                                                                                                                                                                                  |                                            |                                                 | 0                                                                                               |                                  |
| b                                                                                                                                                                                                  |                                            |                                                 | 0                                                                                               |                                  |
| c                                                                                                                                                                                                  |                                            |                                                 | 0                                                                                               |                                  |
| d                                                                                                                                                                                                  |                                            |                                                 | 0                                                                                               |                                  |
| e                                                                                                                                                                                                  |                                            |                                                 | 0                                                                                               |                                  |
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }                                                                |                                            |                                                 | 2                                                                                               | 1,433,395                        |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in<br>Part I, line 8 } |                                            |                                                 | 3                                                                                               | 0                                |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions                                                                                                                                                                           | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|----------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2012                                                                 | 7,856,293                                                                                                                                                                                                          | 56,692,537                                   | 0.138577                                                    |
| 2011                                                                 | 7,830,053                                                                                                                                                                                                          | 53,179,013                                   | 0.147240                                                    |
| 2010                                                                 | 7,728,172                                                                                                                                                                                                          | 53,424,841                                   | 0.144655                                                    |
| 2009                                                                 | 7,539,804                                                                                                                                                                                                          | 51,009,400                                   | 0.147812                                                    |
| 2008                                                                 | 7,301,437                                                                                                                                                                                                          | 47,204,298                                   | 0.154677                                                    |
| 2                                                                    | Total of line 1, column (d)                                                                                                                                                                                        |                                              | 0.732961                                                    |
| 3                                                                    | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years                                         |                                              | 0.146592                                                    |
| 4                                                                    | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5                                                                                                                                       |                                              | 59,031,387                                                  |
| 5                                                                    | Multiply line 4 by line 3                                                                                                                                                                                          |                                              | 8,653,529                                                   |
| 6                                                                    | Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                                                         |                                              | 25,384                                                      |
| 7                                                                    | Add lines 5 and 6                                                                                                                                                                                                  |                                              | 8,678,913                                                   |
| 8                                                                    | Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions. |                                              | 7,591,705                                                   |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

|    |                                                                                                                                                                                                                                   |    |        |  |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|--------|--|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1<br>Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) |    |        |  |
| b  | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                   | 1  | 50,768 |  |
| c  | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                                                                                            |    |        |  |
| 2  | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                         | 2  | 0      |  |
| 3  | Add lines 1 and 2                                                                                                                                                                                                                 | 3  | 50,768 |  |
| 4  | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                       | 4  |        |  |
| 5  | <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                    | 5  | 50,768 |  |
| 6  | <b>Credits/Payments</b>                                                                                                                                                                                                           |    |        |  |
| a  | 2013 estimated tax payments and 2012 overpayment credited to 2013                                                                                                                                                                 | 6a | 33,404 |  |
| b  | Exempt foreign organizations—tax withheld at source                                                                                                                                                                               | 6b |        |  |
| c  | Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                               | 6c | 20,000 |  |
| d  | Backup withholding erroneously withheld                                                                                                                                                                                           | 6d |        |  |
| 7  | Total credits and payments. Add lines 6a through 6d                                                                                                                                                                               | 7  | 53,404 |  |
| 8  | Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                          | 8  |        |  |
| 9  | <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>                                                                                                                                       | 9  | 0      |  |
| 10 | <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>                                                                                                                           | 10 | 2,636  |  |
| 11 | Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> 2,636 <b>Refunded</b>                                                                                                                                     | 11 | 0      |  |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                     | Yes | No |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                             |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?<br><i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i> |     | X  |
| c Did the foundation file <b>Form 1120-POL</b> for this year?                                                                                                                                                                                                                                                                                       |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation \$ _____ (2) On foundation managers \$ _____                                                                                                                                                                           |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____                                                                                                                                                                                                     |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br><i>If "Yes," attach a detailed description of the activities</i>                                                                                                                                                                               |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>                                                                                                                 |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                      |     | X  |
| b If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?                                                                                                                                                                                                                                                                           | N/A |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br><i>If "Yes," attach the statement required by General Instruction T</i>                                                                                                                                                                         |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?                 | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>                                                                                                                                                                                                           | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <b>NJ, NY</b>                                                                                                                                                                                                                                 |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>                                                                                                                        | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>                                                                                       |     | X  |
| 10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>                                                                                                                                                                                                        |     | X  |

**Part VII-A Statements Regarding Activities (continued)**

|                                                                                                                                     |                                                                                                                                                                                           |                |              |    |
|-------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|--------------|----|
| 11                                                                                                                                  | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)   | 11             |              | X  |
| 12                                                                                                                                  | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)  | 12             |              | X  |
| 13                                                                                                                                  | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?                                                                       | 13             | X            |    |
| Website address ► WWW.SIEMENS-FOUNDATION.ORG                                                                                        |                                                                                                                                                                                           |                |              |    |
| 14                                                                                                                                  | The books are in care of ► FRANK MOLINARO                                                                                                                                                 | Telephone no ► | 732-906-3809 |    |
| Located at ► 170 WOOD AVENUE SOUTH ISELIN NJ                                                                                        |                                                                                                                                                                                           | ZIP+4 ►        | 08830        |    |
| 15                                                                                                                                  | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year         | 15             |              |    |
| 16                                                                                                                                  | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16             | Yes          | No |
| See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ► |                                                                                                                                                                                           |                |              | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Yes | No  |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
| 1a | During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                             |     |     |
|    | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                     |     |     |
|    | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                             |     |     |
|    | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                 |     |     |
|    | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                       |     |     |
|    | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                              |     |     |
|    | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                             |     |     |
| b  | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>                                                                                                                                                             | 1b  | X   |
| c  | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                                                                        | 1c  | X   |
| 2  | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                  |     |     |
| a  | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                          |     |     |
|    | If "Yes," list the years ► 20____, 20____, 20____, 20____                                                                                                                                                                                                                                                                                                                                                                                                                                      |     |     |
| b  | Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)                                                                                                                                                                                  | 2b  | N/A |
| c  | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____                                                                                                                                                                                                                                                                                                                                               |     |     |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                 |     |     |
| b  | If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) | 3b  | N/A |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                | 4a  | X   |
| b  | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                              | 4b  | X   |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                    |                                                   |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|---------------------------------------------------|
| <p><b>5a</b> During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes?</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?</p> <p><b>b</b> If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?</p> <p>Organizations relying on a current notice regarding disaster assistance check here <span style="float: right;">▶ <input type="checkbox"/></span></p> <p><b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?</p> <p>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</p> <p><b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?</p> <p><b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?</p> <p>If "Yes" to 6b, file Form 8870</p> <p><b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?</p> <p><b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?</p> | <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> | <p><b>5b</b></p> <p><b>6b</b></p> <p><b>7b</b></p> | <p><b>X</b></p> <p><b>X</b></p> <p><b>N/A</b></p> |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|---------------------------------------------------|

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| STATEMENT 1          |                                                           | 561,297                                   |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| KEISHA BOYKINS<br>170 WOOD AVENUE SOUTH, ISELIN, NJ 08830     | PROG SPECIALIS<br>40 00                                   | 61,429           |                                                                       |                                       |
| CHANIKA COLBERT<br>170 WOOD AVENUE SOUTH, ISELIN, NJ 08830    | EDUCATION MGR<br>40 00                                    | 65,066           |                                                                       |                                       |
| MARYANN SCHUR<br>170 WOOD AVENUE SOUTH, ISELIN, NJ 08830      | PROGRAM COOR<br>40 00                                     | 51,454           |                                                                       |                                       |
|                                                               | 00                                                        | 0                |                                                                       |                                       |
|                                                               | 00                                                        | 0                |                                                                       |                                       |
| <b>Total number of other employees paid over \$50,000</b>     |                                                           |                  | ▶                                                                     | 0                                     |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000                             | (b) Type of service    | (c) Compensation |
|-----------------------------------------------------------------------------------------|------------------------|------------------|
| DISCOVERY EDUCATION<br>PO BOX 791363, BALTIMORE, MD 21279                               | PROGRAM ADMINISTRATION | 3,392,000        |
| THE COLLEGE BOARD<br>11911 FREEDOM DR, SUITE 300, RESTON, VA 20190                      | EDUCATION SERVICE      | 359,425          |
| SUMMERLIN GROUP<br>17 SKYLARK DR, APT 33, LARKSPUR, CA 94939                            | VIDEO PRODUCTION       | 199,419          |
| UNIVERSITY OF TEXAS AT AUSTIN<br>PO BOX 7758, UNIVERSITY STATION, AUSTIN, TX 78713-7758 | EVENT ADMINISTRATION   | 94,502           |
| FEDERAL CITY CATERERS, INC<br>1119 12TH ST NW, WASHINGTON, DC, 20005                    | EVENT FEES             | 93,330           |
| <b>Total</b> number of others receiving over \$50,000 for professional services         |                        | <b>11</b>        |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1 NONE                                                                                                                                                                                                                                                 |          |
| 2                                                                                                                                                                                                                                                      |          |
| 3                                                                                                                                                                                                                                                      |          |
| 4                                                                                                                                                                                                                                                      |          |

**Part IX-B Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount   |
|------------------------------------------------------------------------------------------------------------------|----------|
| 1 NONE                                                                                                           |          |
| 2                                                                                                                |          |
| All other program-related investments. See instructions.                                                         |          |
| 3                                                                                                                |          |
| <b>Total.</b> Add lines 1 through 3                                                                              | <b>0</b> |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                             |           |            |
|----------|-------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes  |           |            |
| <b>a</b> | Average monthly fair market value of securities                                                             | <b>1a</b> | 58,656,672 |
| <b>b</b> | Average of monthly cash balances                                                                            | <b>1b</b> | 1,176,166  |
| <b>c</b> | Fair market value of all other assets (see instructions)                                                    | <b>1c</b> | 97,504     |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)                                                                       | <b>1d</b> | 59,930,342 |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | <b>1e</b> |            |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                        | <b>2</b>  |            |
| <b>3</b> | Subtract line 2 from line 1d                                                                                | <b>3</b>  | 59,930,342 |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)  | <b>4</b>  | 898,955    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | <b>5</b>  | 59,031,387 |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5                                                        | <b>6</b>  | 2,951,569  |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

|           |                                                                                                           |           |           |
|-----------|-----------------------------------------------------------------------------------------------------------|-----------|-----------|
| <b>1</b>  | Minimum investment return from Part X, line 6                                                             | <b>1</b>  | 2,951,569 |
| <b>2a</b> | Tax on investment income for 2013 from Part VI, line 5                                                    | <b>2a</b> | 50,768    |
| <b>b</b>  | Income tax for 2013 (This does not include the tax from Part VI)                                          | <b>2b</b> |           |
| <b>c</b>  | Add lines 2a and 2b                                                                                       | <b>2c</b> | 50,768    |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | <b>3</b>  | 2,900,801 |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions                                                 | <b>4</b>  |           |
| <b>5</b>  | Add lines 3 and 4                                                                                         | <b>5</b>  | 2,900,801 |
| <b>6</b>  | Deduction from distributable amount (see instructions)                                                    | <b>6</b>  |           |
| <b>7</b>  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 2,900,801 |

**Part XII Qualifying Distributions** (see instructions)

|          |                                                                                                                                                      |           |           |
|----------|------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                                            |           |           |
| <b>a</b> | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26                                                                          | <b>1a</b> | 7,591,705 |
| <b>b</b> | Program-related investments—total from Part IX-B                                                                                                     | <b>1b</b> |           |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                            | <b>2</b>  |           |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the                                                                                  |           |           |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                                       | <b>3a</b> |           |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                                                | <b>3b</b> |           |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                    | <b>4</b>  | 7,591,705 |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | <b>5</b>  |           |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                                                | <b>6</b>  | 7,591,705 |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2013 from Part XI, line 7                                                                                                                       |               |                            |             | 2,900,801   |
| <b>2</b> Undistributed income, if any, as of the end of 2013                                                                                                                      |               |                            |             |             |
| <b>a</b> Enter amount for 2012 only                                                                                                                                               |               |                            | 0           |             |
| <b>b</b> Total for prior years. 20____, 20____, 20____                                                                                                                            |               |                            |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2013                                                                                                                          |               |                            |             |             |
| <b>a</b> From 2008                                                                                                                                                                | 4,967,667     |                            |             |             |
| <b>b</b> From 2009                                                                                                                                                                | 5,007,113     |                            |             |             |
| <b>c</b> From 2010                                                                                                                                                                | 5,075,418     |                            |             |             |
| <b>d</b> From 2011                                                                                                                                                                | 5,193,867     |                            |             |             |
| <b>e</b> From 2012                                                                                                                                                                | 5,044,235     |                            |             |             |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 25,288,300    |                            |             |             |
| <b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 7,591,705                                                                                                   |               |                            |             |             |
| <b>a</b> Applied to 2012, but not more than line 2a                                                                                                                               |               |                            |             |             |
| <b>b</b> Applied to undistributed income of prior years (Election required—see instructions)                                                                                      |               |                            |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required—see instructions)                                                                                              |               |                            |             |             |
| <b>d</b> Applied to 2013 distributable amount                                                                                                                                     |               |                            |             | 2,900,801   |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | 4,690,904     |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) )                                        |               |                            |             |             |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          | 29,979,204    |                            |             |             |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b                                                                                                          |               | 0                          |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               |                            |             |             |
| <b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions                                                                                                            |               |                            |             |             |
| <b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a. Taxable amount—see instructions                                                                             |               |                            | 0           |             |
| <b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014                                                                |               |                            |             | 0           |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)                                  |               |                            |             |             |
| <b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)                                                                              | 4,967,667     |                            |             |             |
| <b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a                                                                                              | 25,011,537    |                            |             |             |
| <b>10</b> Analysis of line 9                                                                                                                                                      |               |                            |             |             |
| <b>a</b> Excess from 2009                                                                                                                                                         | 5,007,113     |                            |             |             |
| <b>b</b> Excess from 2010                                                                                                                                                         | 5,075,418     |                            |             |             |
| <b>c</b> Excess from 2011                                                                                                                                                         | 5,193,867     |                            |             |             |
| <b>d</b> Excess from 2012                                                                                                                                                         | 5,044,235     |                            |             |             |
| <b>e</b> Excess from 2013                                                                                                                                                         | 4,690,904     |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)**N/A**

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

| Tax year                                                                                                                                                 | Prior 3 years |          |          | (e) Total |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|----------|-----------|
| (a) 2013                                                                                                                                                 | (b) 2012      | (c) 2011 | (d) 2010 |           |
|                                                                                                                                                          |               |          |          | 0         |
| <b>b</b> 85% of line 2a                                                                                                                                  |               |          |          | 0         |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed                                                                             |               |          |          | 0         |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities                                                           |               |          |          | 0         |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c                                   |               |          |          | 0         |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon:                                                                                      |               |          |          |           |
| <b>a</b> "Assets" alternative test—enter:                                                                                                                |               |          |          |           |
| <b>(1)</b> Value of all assets                                                                                                                           |               |          |          | 0         |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)                                                                                     |               |          |          | 0         |
| <b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed                                |               |          |          | 0         |
| <b>c</b> "Support" alternative test—enter:                                                                                                               |               |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) |               |          |          | 0         |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)                                      |               |          |          | 0         |
| <b>(3)</b> Largest amount of support from an exempt organization                                                                                         |               |          |          | 0         |
| <b>(4)</b> Gross investment income                                                                                                                       |               |          |          | 0         |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SIEMENS FOUNDATION 170 WOOD AVENUE SOUTH ISELIN, NJ 08830

- b** The form in which applications should be submitted and information and materials they should include.

**STATEMENT 7**

- c** Any submission deadlines

**STATEMENT 7**

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**STATEMENT 7**

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                                                                                                   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount                 |
|-------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|------------------------|
| Name and address (home or business)                                                                         |                                                                                                           |                                |                                  |                        |
| <b>a</b> <i>Paid during the year</i><br>STATEMENT 5                                                         |                                                                                                           |                                |                                  | 1,384,771              |
| <b>Total</b>                                                                                                |                                                                                                           |                                | ▶ <b>3a</b>                      | 1,384,771              |
| <b>b</b> <i>Approved for future payment</i><br>STATEMENT 6- PAGE 4 OF 23<br><br>NATIONAL MERIT SCHOLARSHIPS |                                                                                                           |                                |                                  | 545,900<br><br>218,649 |
| <b>Total</b>                                                                                                |                                                                                                           |                                | ▶ <b>3b</b>                      | 764,549                |



**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |   |                                                                                                                                                                                                                                                                                                                                                                                              |    |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                          |    |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of                                                                                                                                                                                                                                                                                                            |    |
|   | (1) Cash                                                                                                                                                                                                                                                                                                                                                                                     | 1a |
|   | (2) Other assets                                                                                                                                                                                                                                                                                                                                                                             | 1a |
| b | Other transactions                                                                                                                                                                                                                                                                                                                                                                           |    |
|   | (1) Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                   | 1b |
|   | (2) Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                             | 1b |
|   | (3) Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                         | 1b |
|   | (4) Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                               | 1b |
|   | (5) Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                 | 1b |
|   | (6) Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                       | 1b |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                             |    |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |    |

|       | Yes | No |
|-------|-----|----|
| 1a(1) |     | X  |
| 1a(2) |     | X  |
|       |     |    |
| 1b(1) |     | X  |
| 1b(2) |     | X  |
| 1b(3) |     | X  |
| 1b(4) |     | X  |
| 1b(5) |     | X  |
| 1b(6) |     | X  |
| 1c    |     | X  |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

---

**Title**

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name \_\_\_\_\_

STEVEN WOLDAR

Preparer's Signature

Date \_\_\_\_\_

7/17/2015

Check ☒ if self-employed

PTIN

P00650944

Firm's name ▶ STEVEN D WOLDAR, CPA

Firm's EIN ▶

Firm's address ► 30 WALKER AVENUE, EDISON, NJ 08820

|          |              |
|----------|--------------|
| Phone no | 732-452-3300 |
|----------|--------------|

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

**2013**

Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

SIEMENS FOUNDATION

Employer identification number

52-2136074

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

|                                                   |                                                     |
|---------------------------------------------------|-----------------------------------------------------|
| Name of organization<br><b>SIEMENS FOUNDATION</b> | Employer identification number<br><b>52-2136074</b> |
|---------------------------------------------------|-----------------------------------------------------|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                                                                                           | (c)<br>Total contributions | (d)<br>Type of contribution                                                                                                                                         |
|------------|-----------------------------------------------------------------------------------------------------------------------------|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1          | SIEMENS CORPORATION<br>170 WOOD AVENUE SOUTH<br>ISELIN NJ 08830<br>Foreign State or Province _____<br>Foreign Country _____ | \$ 5,813,616               | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions ) |
|            | _____<br>_____<br>_____<br>Foreign State or Province _____<br>Foreign Country _____                                         | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions )            |
|            | _____<br>_____<br>_____<br>Foreign State or Province _____<br>Foreign Country _____                                         | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions )            |
|            | _____<br>_____<br>_____<br>Foreign State or Province _____<br>Foreign Country _____                                         | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions )            |
|            | _____<br>_____<br>_____<br>Foreign State or Province _____<br>Foreign Country _____                                         | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions )            |
|            | _____<br>_____<br>_____<br>Foreign State or Province _____<br>Foreign Country _____                                         | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions )            |
|            | _____<br>_____<br>_____<br>Foreign State or Province _____<br>Foreign Country _____                                         | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions )            |

Name of organization  
SIEMENS FOUNDATION

Employer identification number  
52-2136074

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|---------------------------|----------------------------------------------|------------------------------------------------|----------------------|
| -----                     | -----<br>-----<br>-----<br>-----             | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| -----                     | -----<br>-----<br>-----<br>-----             | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| -----                     | -----<br>-----<br>-----<br>-----             | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| -----                     | -----<br>-----<br>-----<br>-----             | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| -----                     | -----<br>-----<br>-----<br>-----             | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| -----                     | -----<br>-----<br>-----<br>-----             | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| -----                     | -----<br>-----<br>-----<br>-----             | \$ -----                                       | -----                |

Name of organization  
SIEMENS FOUNDATION

Employer identification number  
52-2136074

**Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations**

total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc.,

contributions of \$1,000 or less for the year (Enter this information once See instructions )

► \$ 0

Use duplicate copies of Part III if additional space is needed

| (a) No.<br>from<br>Part I | (b) Purpose of gift                     | (c) Use of gift         | (d) Description of how gift is held      |
|---------------------------|-----------------------------------------|-------------------------|------------------------------------------|
| -----                     | -----<br>-----<br>-----                 | -----<br>-----<br>----- | -----<br>-----<br>-----                  |
|                           | (e) Transfer of gift                    |                         |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                         | Relationship of transferor to transferee |
|                           | -----<br>-----<br>-----                 | -----<br>-----<br>----- |                                          |
|                           | For Prov                      Country   |                         |                                          |
| (a) No.<br>from<br>Part I | (b) Purpose of gift                     | (c) Use of gift         | (d) Description of how gift is held      |
| -----                     | -----<br>-----<br>-----                 | -----<br>-----<br>----- | -----<br>-----<br>-----                  |
|                           | (e) Transfer of gift                    |                         |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                         | Relationship of transferor to transferee |
|                           | -----<br>-----<br>-----                 | -----<br>-----<br>----- |                                          |
|                           | For Prov                      Country   |                         |                                          |
| (a) No.<br>from<br>Part I | (b) Purpose of gift                     | (c) Use of gift         | (d) Description of how gift is held      |
| -----                     | -----<br>-----<br>-----                 | -----<br>-----<br>----- | -----<br>-----<br>-----                  |
|                           | (e) Transfer of gift                    |                         |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                         | Relationship of transferor to transferee |
|                           | -----<br>-----<br>-----                 | -----<br>-----<br>----- |                                          |
|                           | For Prov                      Country   |                         |                                          |
| (a) No.<br>from<br>Part I | (b) Purpose of gift                     | (c) Use of gift         | (d) Description of how gift is held      |
| -----                     | -----<br>-----<br>-----                 | -----<br>-----<br>----- | -----<br>-----<br>-----                  |
|                           | (e) Transfer of gift                    |                         |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                         | Relationship of transferor to transferee |
|                           | -----<br>-----<br>-----                 | -----<br>-----<br>----- |                                          |
|                           | For Prov                      Country   |                         |                                          |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Check "X" to include in Part IV | Description                 | CUSIP #   | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold  | Gross Sales Price | Cost or Other Basis | Valuation Method | Totals      |                  |
|---------------------------------|-----------------------------|-----------|-----------|--------------------------------------|---------------|--------------------|------------|-------------------|---------------------|------------------|-------------|------------------|
|                                 |                             |           |           |                                      |               |                    |            |                   |                     |                  | Gross Sales | Net Gain or Loss |
|                                 | Long Term CG Distributions  |           |           |                                      |               |                    |            |                   |                     |                  | 11,385,543  | 1,433,395        |
|                                 | Short Term CG Distributions |           |           |                                      |               |                    |            |                   |                     |                  | 0           | 0                |
| 1                               | CF ENH LARGE CAP CORE       | 990009615 |           |                                      |               | P                  | 10/16/2013 | 300,000           | 219,393             | FMV              |             | 80,607           |
| 2                               | CF ENH LARGE CAP CORE       | 990009615 |           |                                      |               | P                  | 12/27/2013 | 24,000            | 16,186              | FMV              |             | 7,814            |
| 3                               | CF SMALL STOCK INDEX        | 999493596 |           |                                      |               | P                  | 10/16/2013 | 500,000           | 310,559             | FMV              |             | 189,441          |
| 4                               | CF LARGE CAP STOCK IND      | 999509821 |           |                                      |               | P                  | 10/13/2013 | 450,000           | 321,567             | FMV              |             | 128,433          |
| 5                               | CF LARGE CAP STOCK IND      | 999509821 |           |                                      |               | P                  | 11/22/2013 | 25,000            | 16,938              | FMV              |             | 8,062            |
| 6                               | CF NCML INTL FUND           | 999702517 |           |                                      |               | P                  | 10/15/2013 | 400,000           | 388,450             | FMV              |             | 31,550           |
| 7                               | CF AGG BOND INDEX           | 990016446 |           |                                      |               | P                  | 10/16/2013 | 200,000           | 189,981             | FMV              |             | 10,019           |
| 8                               | CF ACTIVE BOND              | 990016917 |           |                                      |               | P                  | 10/16/2013 | 200,000           | 186,364             | FMV              |             | 13,636           |
| 9                               | CF ACTIVE BOND FUND         | 990016917 |           |                                      |               | P                  | 2/3/2014   | 8,386,543         | 7,747,093           | FMV              |             | 639,450          |
| 10                              | CF ENH LARGE CAP CORE       | 990009615 |           |                                      |               | P                  | 3/28/2014  | 200,000           | 133,722             | FMV              |             | 66,278           |
| 11                              | CF ENH LARGE CAP CORE       | 990009615 |           |                                      |               | P                  | 4/25/2014  | 25,000            | 16,428              | FMV              |             | 8,572            |
| 12                              | CF ENH LARGE CAP CORE       | 990009615 |           |                                      |               | P                  | 9/28/2014  | 75,000            | 46,992              | FMV              |             | 28,008           |
| 13                              | CF SMALL CAP STOCK IND      | 999493596 |           |                                      |               | P                  | 3/28/2014  | 200,000           | 116,858             | FMV              |             | 83,142           |
| 14                              | CF LARGE CAP STOCK IND      | 999509821 |           |                                      |               | P                  | 3/28/2014  | 350,000           | 230,418             | FMV              |             | 119,582          |
| 15                              | CF LARGE CAP STOCK IND      | 999509821 |           |                                      |               | P                  | 6/27/2014  | 50,000            | 31,209              | FMV              |             | 18,791           |

Statement A

| Name & Address                                                                                           | Title               | Time devoted to Foundation | Compensation | Contribution to Benefit Plans | Expense Accounts |
|----------------------------------------------------------------------------------------------------------|---------------------|----------------------------|--------------|-------------------------------|------------------|
| 170 Wood Avenue South<br>Iselin, NJ 08830                                                                |                     |                            |              |                               |                  |
| Alan Cardenas - effective 6/2/11<br>Siemens Corporation<br>170 Wood Avenue South<br>Iselin, NJ 08830     | Secretary           | 5%                         | -            | 0                             | 0                |
| Caroline Ochital - effective 8/21/00<br>Siemens Corporation<br>170 Wood Avenue South<br>Iselin, NJ 08830 | Assistant Secretary | 5%                         | -            | 0                             | 0                |
| Randi Rosenberg - effective 6/2/11<br>Siemens Corporation<br>170 Wood Avenue South<br>Iselin, NJ 08830   | Assistant Secretary | 5%                         | -            | 0                             | 0                |
|                                                                                                          |                     |                            | \$561,297    | STATEMENT 1                   |                  |

| Name & Address                                                                                                                          | Title     | Time devoted to Foundation | Compensation | Contribution to Benefit Plans | Expense Accounts |
|-----------------------------------------------------------------------------------------------------------------------------------------|-----------|----------------------------|--------------|-------------------------------|------------------|
| <b>BOARD MEMBERS</b>                                                                                                                    |           |                            |              |                               |                  |
| <b>Daryl Dulaney (SBT) - effective 6/30/05</b><br>Siemens Industry, Inc.<br>887 Deerfield Parkway<br>Buffalo Grove, IL 60089            | Director  | 5%                         | 0            | 0                             | 0                |
| <b>Judith Marks (SGT) - effective 5/25/12</b><br>Siemens Government Technologies, Inc<br>1881 Campus Commons Drive<br>Reston, VA 20191  | Director  | 5%                         | 0            | 0                             | 0                |
| <b>Michael Panigel (SC) - effective 6/30/05</b><br>Siemens Corporation<br>170 Wood Avenue South<br>Iselin, NJ 08830                     | Director  | 5%                         | 0            | 0                             | 0                |
| <b>Michael Reitermann (DX) - effective 1/13/12</b><br>Siemens Healthcare Diagnostics Inc.<br>511 Benedict Ave<br>Tarrytown, NJ 10591    | Director  | 5%                         | 0            | 0                             | 0                |
| <b>Gregory Sorensen (SMS) - effective 2/6/13</b><br>Siemens Medical Solutions USA<br>51 Valley Stream Parkway<br>Malvern, PA 19355-1406 | Director  | 5%                         | 0            | 0                             | 0                |
| <b>Peter Solmssen - effective 3/31/11</b><br>Siemens AG<br>Wittelsbacherplatz 2<br>80200 Muenchen, Germany                              | Director  | 5%                         | 0            | 0                             | 0                |
| <b>Eric Spiegel (SC) - effective 1/24/12</b><br>Siemens Corporation<br>300 New Jersey Avenue NW<br>Washington, DC 20001                 | Director  | 5%                         | 0            | 0                             | 0                |
| <b>Klaus Stegemann (SC) - effective 1/24/12</b><br>Siemens Corporation<br>527 Madison Avenue<br>New York, NY 10022                      | Director  | 5%                         | 0            | 0                             | 0                |
| <b>Randy Zwirn (SPG) - effective 12/19/11</b><br>Siemens Energy, Inc.<br>4400 Alafaya Trail<br>Orlando, FL 32826                        | Director  | 5%                         | 0            | 0                             | 0                |
| <b>OFFICERS:</b>                                                                                                                        |           |                            |              |                               |                  |
| <b>David Etzwiler (SF) - effective 10/16/13</b><br>Siemens Foundation<br>170 Wood Avenue South<br>Iselin, NJ 08830                      | CEO       | 100%                       | \$ 363,077   | 0                             | 0                |
| <b>Jennifer Harper-Taylor (President effective 3/12/10)</b><br>Siemens Corporation<br>170 Wood Avenue South<br>Iselin, NJ 08830         | President | 100%                       | \$ 198,219   | 0                             | 0                |
| <b>Gerard Halpin (S'Capital) - effective 6/2/11</b><br>Siemens Capital                                                                  | Treasurer | 5%                         | -            | 0                             | 0                |

**Part I, Line 16b (990-PF) - Accounting Fees**

|             |                      | 31,650                         | 0                     | 0                   | 0                                                       |
|-------------|----------------------|--------------------------------|-----------------------|---------------------|---------------------------------------------------------|
| Description |                      | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes (Cash Basis Only) |
| 1           | NOBLE & NOBLE, LLP   | 24,750                         |                       |                     | 0                                                       |
| 2           | STEVEN D WOLDAR, CPA | 6,900                          |                       |                     | 0                                                       |
| 3           |                      |                                |                       |                     |                                                         |
| 4           | STATEMENT 2          |                                |                       |                     |                                                         |

**Part I, Line 16c (990-PF) - Other Professional Fees**

|             |                   | 620,325                        | 0                     | 0                   | 620,325                                                 |
|-------------|-------------------|--------------------------------|-----------------------|---------------------|---------------------------------------------------------|
| Description |                   | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes (Cash Basis Only) |
| 1           | COLLEGE BOARD     | 359,425                        |                       |                     | 359,425                                                 |
| 2           | DISCOVERY         | 250,000                        |                       |                     | 250,000                                                 |
| 3           | KAYSER/THERKELSEN | 10,900                         |                       |                     | 10,900                                                  |
| 4           |                   |                                |                       |                     |                                                         |
| 5           | STATEMENT 2A      |                                |                       |                     |                                                         |

**Part I, Line 18 (990-PF) - Taxes**

|             |               | 58,351                         | 0                     | 0                   | 28,771                                |
|-------------|---------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| Description |               | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
| 1           | PAYROLL TAXES | 28,771                         |                       |                     | 28,771                                |
| 2           | OTHER TAXES   | 29,580                         |                       |                     |                                       |
| 3           |               |                                |                       |                     |                                       |
| 4           | STATEMENT 3   |                                |                       |                     |                                       |

**Part I, Line 23 (990-PF) - Other Expenses**

|             |                           | 4,581,222                      | 0                     | 0                   | 4,580,952                             |
|-------------|---------------------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| Description |                           | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
| 1           | EVENT SPONSORSHIP         | 3,689,103                      |                       |                     | 3,689,103                             |
| 2           | ADVERTISING AND PROMOTION | 133,742                        |                       |                     | 133,742                               |
| 3           | VIDEO PRODUCTION          | 199,419                        |                       |                     | 199,149                               |
| 4           | PROGRAM ADMINISTRATION    | 454,485                        |                       |                     | 454,485                               |
| 5           | POSTAGE                   | 23,316                         |                       |                     | 23,316                                |
| 6           | RECRUITING EXPENSES       | 41,834                         |                       |                     | 41,834                                |
| 7           | SUPPLIES                  | 14,745                         |                       |                     | 14,745                                |
| 8           | MISCELLANEOUS             | 24,578                         |                       |                     | 24,578                                |
| 9           |                           |                                |                       |                     |                                       |
| 10          | STATEMENT 4               |                                |                       |                     |                                       |

**Part II, Line 13 (990-PF) - Investments - Other**

| Asset Description |                                  | Basis of Valuation | Book Value Beg. of Year | Book Value End of Year | FMV End of Year |
|-------------------|----------------------------------|--------------------|-------------------------|------------------------|-----------------|
| 1                 | CF AGGREGATE BOND INDEX          | FMV                | 8,482,037               | 17,810,423             | 17,810,423      |
| 2                 | CF ACTIVE BOND FUND              | FMV                | 8,473,572               | 0                      | 0               |
| 3                 | CENTER SQUARE GLOBAL REAL ESTATE | FMV                | 3,036,924               | 3,248,208              | 3,248,208       |
| 4                 | CF GLOBAL ALPHA II               | FMV                | 4,036,503               | 3,978,610              | 3,978,610       |
| 5                 | EACM ABSOLUTE RETURN FUND        | FMV                | 2,553,611               | 2,682,324              | 2,682,324       |
| 6                 | CF EQUITY MUTUAL NEUTRAL FUND    | FMV                | 4,038,905               | 4,165,930              | 4,165,930       |
| 7                 | CF ENHANCED LARGE CAP CORE FUND  | FMV                | 6,089,384               | 6,736,944              | 6,736,944       |
| 8                 | SMALL CAP STOCK INDEX FUND       | FMV                | 4,393,147               | 3,864,491              | 3,864,491       |
| 9                 | CF LARGE CAP STOCK INDEX FUND    | FMV                | 6,277,823               | 6,489,807              | 6,489,807       |
| 10                | CF NCML EAFE PLUS FUND           | FMV                | 10,402,957              | 10,567,869             | 10,567,869      |

Statement 4A

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| Description of Property Sold | CUSIP #   | How Acquired | Date Acquired | Date Sold  | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | FMV as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Excess of FMV Over Adjusted Basis or Losses |
|------------------------------|-----------|--------------|---------------|------------|-------------------|----------------------|-------------|------------------------------------------|--------------|--------------------|-------------------------------|------------------------------|---------------------------------------------------------|
|                              |           |              |               |            |                   |                      |             |                                          |              |                    |                               |                              |                                                         |
| Long Term CG Distributions   | 0         |              |               |            | 11,385,543        | 0                    | 0           | 9,952,148                                | 1,433,395    | 0                  | 0                             | 0                            | 1,433,395                                               |
| Short Term CG Distributions  | 0         |              |               |            |                   |                      |             |                                          |              |                    |                               |                              |                                                         |
| 1 CF ENH LARGE CAP CORE      | 990009615 | P            |               | 10/16/2013 | 300,000           |                      |             | 219,393                                  | 80,607       |                    | 0                             | 0                            | 80,607                                                  |
| 2 CF ENH LARGE CAP CORE      | 990009615 | P            |               | 12/27/2013 | 24,000            |                      |             | 16,186                                   | 7,814        |                    | 0                             | 0                            | 7,814                                                   |
| 3 CF SMALL STOCK INDEX       | 999493596 | P            |               | 10/16/2013 | 500,000           |                      |             | 310,559                                  | 189,441      |                    | 0                             | 0                            | 189,441                                                 |
| 4 CF LARGE CAP STOCK IND     | 999509821 | P            |               | 10/13/2013 | 450,000           |                      |             | 321,567                                  | 128,433      |                    | 0                             | 0                            | 128,433                                                 |
| 5 CF LARGE CAP STOCK IND     | 999509821 | P            |               | 11/22/2013 | 25,000            |                      |             | 16,938                                   | 8,062        |                    | 0                             | 0                            | 8,062                                                   |
| 6 CF NCML INTL FUND          | 999702517 | P            |               | 10/15/2013 | 400,000           |                      |             | 368,450                                  | 31,550       |                    | 0                             | 0                            | 31,550                                                  |
| 7 CF AGG BOND INDEX          | 990016446 | P            |               | 10/16/2013 | 200,000           |                      |             | 189,981                                  | 10,019       |                    | 0                             | 0                            | 10,019                                                  |
| 8 CF ACTIVE BOND             | 990016917 | P            |               | 10/16/2013 | 200,000           |                      |             | 186,364                                  | 13,636       |                    | 0                             | 0                            | 13,636                                                  |
| 9 CF ACTIVE BOND FUND        | 990016917 | P            |               | 2/3/2014   | 8,386,543         |                      |             | 7,747,093                                | 639,450      |                    | 0                             | 0                            | 639,450                                                 |
| 10 CF ENH LARGE CAP CORE     | 990009615 | P            |               | 3/28/2014  | 200,000           |                      |             | 133,722                                  | 66,278       |                    | 0                             | 0                            | 66,278                                                  |
| 11 CF ENH LARGE CAP CORE     | 990009615 | P            |               | 4/25/2014  | 25,000            |                      |             | 16,428                                   | 8,572        |                    | 0                             | 0                            | 8,572                                                   |
| 12 CF ENH LARGE CAP CORE     | 990009615 | P            |               | 9/26/2014  | 75,000            |                      |             | 46,982                                   | 28,018       |                    | 0                             | 0                            | 28,018                                                  |
| 13 CF SMALL CAP STOCK IND    | 999493596 | P            |               | 3/28/2014  | 200,000           |                      |             | 116,858                                  | 83,142       |                    | 0                             | 0                            | 83,142                                                  |
| 14 CF LARGE CAP STOCK IND    | 999509821 | P            |               | 3/28/2014  | 350,000           |                      |             | 230,418                                  | 119,582      |                    | 0                             | 0                            | 119,582                                                 |
| 15 CF LARGE CAP STOCK IND    | 999509821 | P            |               | 6/27/2014  | 50,000            |                      |             | 31,209                                   | 18,791       |                    | 0                             | 0                            | 18,791                                                  |

Statement 4B

**SIEMENS FOUNDATION  
PART XV  
LINE 3A GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR**

**OTHER GRANTS AND CONTRIBUTIONS**

|                                |            |
|--------------------------------|------------|
| NATIONAL MERIT SCHOLARSHIP     | 390,149    |
| GEORGIA TECH FOUNDATION        | 26,762     |
| UNIVERSITY OF NOTRE DAME       | 48,345     |
| GEORGE WASHINGTON UNIVERSITY   | 15,413     |
| UNIV. OF TEXAS AT AUSTIN       | 18,112     |
| CALIFORNIA INSTITUTE OF TECHN. | 18,165     |
| AMERICAN CANCER SOCIETY        | <u>250</u> |

|              |                |
|--------------|----------------|
| <b>TOTAL</b> | <b>517,196</b> |
|--------------|----------------|

|                                      |                |
|--------------------------------------|----------------|
| OTHER GRANTS AND CONTRIBUTIONS       | 517,196        |
| STATEMENT 6 GRANTS AND CONTRIBUTIONS | <u>867,575</u> |

|                                            |                  |
|--------------------------------------------|------------------|
| <b>TOTAL GRANTS AND CONTRIBUTIONS PAID</b> | <b>1,384,771</b> |
|--------------------------------------------|------------------|

**PURPOSE FOR CONTRIBUTIONS AND GRANTS:**

GENERALLY ALL AWARDS ARE MADE IN CONNECTION WITH EXCELLENCE IN MATHEMATICS, TECHNOLOGY AND SCIENCE. SPECIFICALLY, SCHOLARSHIPS ARE AWARDED TO STUDENTS WHO EXCEL IN MATHEMATICS, TECHNOLOGY AND SCIENCE. THE SCHOLARSHIPS ARE AWARDED TO THOSE WHO RECEIVE HIGH SCORES ON QUALIFYING TESTS. THESE STUDENTS HAVE NO RELATIONSHIP TO ANY SIEMENS ENTITY.

SMALL AWARDS ARE MADE TO HIGH SCHOOLS AND TEACHERS OF GIFTED STUDENTS. IN ADDITION, GRANTS ARE MADE TO NATIONAL MERIT SCHOLARSHIP AND OTHER ORGANIZATIONS ASSISTING IN THE ADMINISTRATION OF THE QUALIFYING TESTS.

**STATEMENT 5**

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

Form 990-PF, Part XV, Line 3

Grants and Contributions Paid During the Year or Approved for Future Payment

## SUMMARY OF AWARDS (all years)

| <u>Purpose =</u><br><u>Competition</u><br><u>(SWSTC or AP)</u> | <u>Total Grant</u><br><u>Awarded</u> | <u>Amount Paid</u><br><u>Fiscal Year End</u><br><u>9/30/2014</u> | <u>Amount Paid In</u><br><u>Previous Years</u> | <u>Balance (i.e.,</u><br><u>Approved for</u><br><u>Future Payment)</u> |
|----------------------------------------------------------------|--------------------------------------|------------------------------------------------------------------|------------------------------------------------|------------------------------------------------------------------------|
| <b>Total Awards</b>                                            | <b>4,328,672.32</b>                  | <b>867,575.15</b>                                                | <b>1,426,050.80</b>                            | <b>2,035,046.37</b>                                                    |
| SAAP                                                           | 339,000 00                           | 122,716 00                                                       | 33,149 98                                      | 183,134 02                                                             |
| SWSTC                                                          | 3,698,838 96                         | 704,109 15                                                       | 1,386,650 82                                   | 1,608,078 99                                                           |
| WCCTWC                                                         | 290,833 36                           | 40,750 00                                                        | 6,250 00                                       | 243,833 36                                                             |

STATEMENT 6

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

## Form 990-PF, Part XV, Line 3

### Grants and Contributions Paid During the Year or Approved for Future Payment

#### 2013 Awards (Awarded During Year Ending 9/30/14)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Program Discontinued                                   | SAAP                                               | none                           |                                                      |                                          |                                                            |
|                                                        | SAAP Teachers (HS)                                 | none                           |                                                      |                                          |                                                            |
|                                                        | SAAP Teachers                                      | none                           |                                                      |                                          |                                                            |
| Abbi , Sahil                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Abbott , Connor                                        | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Ahn , Surin                                            | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Argyres , Aaron                                        | SWSTC                                              | 18,000 00                      | 18,000 00                                            |                                          | -                                                          |
| Asthana , Ruchi                                        | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Bajwa , Ayesha                                         | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Bidthanapally , Neha                                   | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Bilge , Arman                                          | SWSTC                                              | 53,000 00                      |                                                      |                                          | 53,000 00                                                  |
| Car , Jeffrey                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Cha , Timothy                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Chen , Alyssa                                          | SWSTC                                              | 8,000 00                       |                                                      |                                          | 8,000 00                                                   |
| Chen , Eric                                            | SWSTC                                              | 103,000 00                     | 25,000 00                                            |                                          | 78,000 00                                                  |
| Chen , Jia-Wei                                         | SWSTC                                              | 1,000 00                       | 500 00                                               |                                          | 500 00                                                     |
| Chen , Kelly                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Chen , Vinciane                                        | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Cho , Sandy                                            | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Cho , Yong Hyun                                        | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Cohn , Amy                                             | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Das , Shriya                                           | SWSTC                                              | 8,000 00                       |                                                      |                                          | 8,000 00                                                   |
| DiRito , Jenna                                         | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Drysdale , Claire                                      | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Fu , David                                             | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Fulton , Laura                                         | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Gambhir , Milan                                        | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Gandhi , Kavish                                        | SWSTC                                              | 28,000 00                      |                                                      |                                          | 28,000 00                                                  |
| Golowich , Noah                                        | SWSTC                                              | 28,000 00                      |                                                      |                                          | 28,000 00                                                  |
| Gow , John                                             | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Green , Michael                                        | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Guo , Patrick                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Ha , Jang Hoon                                         | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Haider , Ayman                                         | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Hauck , Evan                                           | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Ho , Stacy                                             | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Hong , Yi-han                                          | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Huang , Claudia                                        | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Jiang , Tian-Shun                                      | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Jin , Andrew                                           | SWSTC                                              | 23,000 00                      |                                                      |                                          | 23,000 00                                                  |
| Kannan , Sanjay                                        | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Kapoor , Arjun                                         | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Kim , Mingui                                           | SWSTC                                              | 18,000 00                      | 3,600 00                                             |                                          | 14,400 00                                                  |
| Lagrassa , Alexandra                                   | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Lang , Frederick                                       | SWSTC                                              | 13,000 00                      | 13,000 00                                            |                                          | -                                                          |
| Lee , Allen                                            | SWSTC                                              | 8,666 66                       |                                                      |                                          | 8,666 66                                                   |
| Lee , Jason                                            | SWSTC                                              | 8,666 66                       |                                                      |                                          | 8,666 66                                                   |
| Li , Charles                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Li , Silin                                             | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Lim , Ji Won                                           | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Lim , Michael                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Liu , Jeffery                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

## Form 990-PF, Part XV, Line 3

### Grants and Contributions Paid During the Year or Approved for Future Payment

#### 2013 Awards (Awarded During Year Ending 9/30/14)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Lu , David                                             | SWSTC                                              | 8,666 66                       |                                                      |                                          | 8,666 66                                                   |
| Ma , David                                             | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Mahajan , Elish                                        | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Mahmood , Zainab                                       | SWSTC                                              | 35,333 33                      |                                                      |                                          | 35,333 33                                                  |
| Meier , Joshua                                         | SWSTC                                              | 43,000 00                      | 43,000 00                                            |                                          | -                                                          |
| Meixiong , Gerald                                      | SWSTC                                              | 33,000 00                      | 11,000 00                                            |                                          | 22,000 00                                                  |
| Mukundan , Aditya                                      | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Ng , Stephen                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Ou , William                                           | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Paskov , Ivan                                          | SWSTC                                              | 23,000 00                      | 23,000 00                                            |                                          | -                                                          |
| Pei , Jiawen                                           | SWSTC                                              | 35,333 33                      |                                                      |                                          | 35,333 33                                                  |
| Pramanick , Smriti                                     | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Rao , Angeline                                         | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Reid , Piper                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Ren , Margarita                                        | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Saleh , Bassel                                         | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Sayana , Anin                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Sayed , Shaayaan                                       | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Shegog , Evan                                          | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Shi , Oliver                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Shim , Peter                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Sims , Taylor                                          | SWSTC                                              | 1,000 00                       | 500 00                                               |                                          | 500 00                                                     |
| Singh , Impreet                                        | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Tharakan , Serena                                      | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Tian , Margaret                                        | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Tian , Xinchu                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Tucker , Maxwell                                       | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Venkatasubramaniam , Sidhanth                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Vijayakumar , Saranya                                  | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Wadgaonkar , Priyanka                                  | SWSTC                                              | 35,333 33                      | 7,500 00                                             |                                          | 27,833 33                                                  |
| Wan , Kevin                                            | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Wang , Ben                                             | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Wang , Emily                                           | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Wang , Frank                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Wang , Joshua                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Wang , Steven                                          | SWSTC                                              | 23,000 00                      |                                                      |                                          | 23,000 00                                                  |
| Weiss , Talia                                          | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Wu , David                                             | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Wu , George                                            | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Wu , Jessica                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Xu , Carrie                                            | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Yang , Alexander                                       | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Yu , Jessica                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Zeng , Anna                                            | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Zeng , Kevin                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Zhan , Max                                             | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Zhang , Kristine                                       | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Zhao , Yingge                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Zhou , Andrew                                          | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Zhou , Wilson                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Zhu , Jordan                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
|                                                        | SWSTC HS                                           | none                           |                                                      |                                          |                                                            |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

Form 990-PF, Part XV, Line 3

Grants and Contributions Paid During the Year or Approved for Future Payment

## 2013 Awards (Awarded During Year Ending 9/30/14)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Andraka, Jack                                          | WCCTWC                                             | 25,000 00                      |                                                      |                                          | 25,000 00                                                  |
| Diggs, Chloe                                           | WCCTWC                                             | 25,000 00                      |                                                      |                                          | 25,000 00                                                  |
| Palkar, Aniket                                         | WCCTWC                                             | 12,500.00                      |                                                      |                                          | 12,500 00                                                  |
| Mishra, Prakash                                        | WCCTWC                                             | 12,500 00                      |                                                      |                                          | 12,500 00                                                  |
| Kroes, Jessie                                          | WCCTWC                                             | 3,333 34                       |                                                      |                                          | 3,333 34                                                   |
| Kuhns, Allison                                         | WCCTWC                                             | 3,333 34                       |                                                      |                                          | 3,333 34                                                   |
| Ellis, Elizabeth                                       | WCCTWC                                             | 3,333 34                       |                                                      |                                          | 3,333 34                                                   |
|                                                        |                                                    |                                |                                                      |                                          | -                                                          |
|                                                        | <b>2013 Award Totals</b>                           | <b>718,999.99</b>              | <b>173,100.00</b>                                    | -                                        | <b>545,899.99</b>                                          |
|                                                        | SAAP                                               | -                              | -                                                    | -                                        | -                                                          |
|                                                        | SWSTC                                              | 633,999 97                     | 173,100 00                                           | -                                        | 460,899 97                                                 |
|                                                        | WCCTWC                                             | 85,000 02                      | -                                                    | -                                        | 85,000 02                                                  |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

## Form 990-PF, Part XV, Line 3

### Grants and Contributions Paid During the Year or Approved for Future Payment

#### 2012 Awards (Awarded During Year Ending 9/30/13)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Shen, Lilly                                            | SAAP                                               | 5,000 00                       |                                                      |                                          | 5,000 00                                                   |
| Aggarwal, Vineet                                       | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Ban, Steven                                            | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Bauer, Rebecca                                         | SAAP                                               | 2,000 00                       | -                                                    |                                          | 2,000 00                                                   |
| Bergal, Asya                                           | SAAP                                               | 2,000 00                       | 1,000 00                                             | 1,000 00                                 | -                                                          |
| Braswell, Leigh                                        | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Chakraborty, Uttara                                    | SAAP                                               | 2,000 00                       |                                                      | 500 00                                   | 1,500 00                                                   |
| Chen, Christina                                        | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Chitra, Uthsav                                         | SAAP                                               | 2,000 00                       | 1,000 00                                             | 1,000 00                                 | -                                                          |
| Chu, Albert                                            | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Davis, Rachel                                          | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Elliott, Andrei                                        | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Fan, Yunpiao (Amy)                                     | SAAP                                               | 2,000 00                       | 1,000 00                                             | 1,000 00                                 | -                                                          |
| Fazel-Rezaei, Vahid                                    | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Feng, Zhili                                            | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Grigoryeva, Yekaterina                                 | SAAP                                               | 2,000 00                       | 1,000 00                                             | 1,000 00                                 | -                                                          |
| Ho, Katherine                                          | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Hua, Amy                                               | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Hussain, Emma                                          | SAAP                                               | 2,000 00                       |                                                      | 2,000 00                                 | -                                                          |
| Joshi, Shreyas                                         | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Kim, Heeyoon                                           | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Kompa, Benjamin                                        | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Le, Cynthia                                            | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Li, Jennifer                                           | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Li, Jingyu                                             | SAAP                                               | 2,000 00                       | 250 00                                               |                                          | 1,750 00                                                   |
| Liang, David                                           | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Liang, Jack                                            | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Liang, Xinyu (Bob)                                     | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Liu, Derek                                             | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Liu, Runpeng                                           | SAAP                                               | 2,000 00                       | 1,000 00                                             | 1,000 00                                 | -                                                          |
| Lu, Dao                                                | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Ma, Michelle                                           | SAAP                                               | 2,000 00                       | 1,000 00                                             | 1,000 00                                 | -                                                          |
| Mytelka, Catherine                                     | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Neis, Jacob                                            | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Nistala, Akhil                                         | SAAP                                               | 2,000 00                       | 1,000 00                                             | 1,000 00                                 | -                                                          |
| Omar, Kareem                                           | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Park, James                                            | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Pralle, Sabrina                                        | SAAP                                               | 2,000 00                       | 1,000 00                                             | 1,000 00                                 | -                                                          |
| Salazar, Marisa                                        | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Simonson, Ellie                                        | SAAP                                               | 2,000 00                       | 1,125 00                                             |                                          | 875 00                                                     |
| Stuart, Holly                                          | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Sun, Devin                                             | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Sun, Xin                                               | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Sundaresan, Tejas                                      | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Swaminathan, Krithika                                  | SAAP                                               | 2,000 00                       | 1,000 00                                             |                                          | 1,000 00                                                   |
| Thompson, Jedidiah                                     | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Wang, Cathy                                            | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Wang, Lawrence                                         | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Wang, Lucy                                             | SAAP                                               | 2,000 00                       | 1,000 00                                             | 1,000 00                                 | -                                                          |
| Wedlund, Leia                                          | SAAP                                               | 2,000 00                       | 1,000 00                                             | 1,000 00                                 | -                                                          |
| Whitesides, Lindsey                                    | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Whitman, Caleb                                         | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

## Form 990-PF, Part XV, Line 3

### Grants and Contributions Paid During the Year or Approved for Future Payment

#### 2012 Awards (Awarded During Year Ending 9/30/13)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Wu, Haotian                                            | SAAP                                               | 2,000 00                       | 500 00                                               | 500 00                                   | 1,000 00                                                   |
| Wu, Jimmy                                              | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Xie, Emily                                             | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Xu, Han                                                | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Xu, Peter                                              | SAAP                                               | 2,000 00                       | 1,200 00                                             | 800 00                                   | -                                                          |
| Yang, Haoyue                                           | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Yu, Sarah                                              | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Zhang, Emily                                           | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Zhang, Zongning                                        | SAAP                                               | 2,000 00                       | 1,000 00                                             |                                          | 1,000 00                                                   |
| An, Guangning                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Andress, James                                         | SWSTC                                              | 18,000 00                      | 18,000 00                                            |                                          | -                                                          |
| Applebaum, Jeremy                                      | SWSTC                                              | 35,333 33                      | 17,666 00                                            | 8,833 33                                 | 8,834 00                                                   |
| Balasingam, Arjun                                      | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Balasingam, Namrata                                    | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Bandyopadhyay, Saumil                                  | SWSTC                                              | 33,000 00                      | 8,250 00                                             | 8,250 00                                 | 16,500 00                                                  |
| Banerjee, Rohan                                        | SWSTC                                              | 1,000 00                       | 500 00                                               |                                          | 500 00                                                     |
| Bhandarkar, Archis                                     | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Bhattachaya, Paulomi                                   | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Chabra, Ranjeev                                        | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Chandra, Rohan                                         | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Chen, Christina                                        | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Chen, Vinciane                                         | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Chernack, Evan                                         | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Choudhury, Zareen                                      | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Dantzier, Alexa                                        | SWSTC                                              | 1,000 00                       | 500 00                                               |                                          | 500 00                                                     |
| Davey, Neil                                            | SWSTC                                              | 23,000 00                      |                                                      |                                          | 23,000 00                                                  |
| Debs, Caroline                                         | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Fu, Daniel                                             | SWSTC                                              | 28,000 00                      | 28,000 00                                            |                                          | -                                                          |
| Ghosh, Atreyo                                          | SWSTC                                              | 1,000 00                       | 500 00                                               | 500 00                                   | -                                                          |
| Gil, William                                           | SWSTC                                              | 35,333 33                      | 9,552 00                                             |                                          | 25,781 33                                                  |
| Gowravaram, Nihal                                      | SWSTC                                              | 1,000 00                       | 500 00                                               |                                          | 500 00                                                     |
| Guo, Anna                                              | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Howe, James                                            | SWSTC                                              | 23,000 00                      | 11,500 00                                            | 11,500 00                                | -                                                          |
| Huang, Anna                                            | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Iyer, Shilpa                                           | SWSTC                                              | 1,000 00                       | 500 00                                               |                                          | 500 00                                                     |
| Iyer, Shweta                                           | SWSTC                                              | 1,000 00                       | 500 00                                               |                                          | 500 00                                                     |
| Jagadeesan, Ravi                                       | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Jin, Joy                                               | SWSTC                                              | 8,000 00                       |                                                      |                                          | 8,000 00                                                   |
| Kao, Brandon                                           | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Kinarwalla, Aneri                                      | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Kodama, Nathan                                         | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Kumar, Caleb                                           | SWSTC                                              | 1,000 00                       | 300 00                                               | 400 00                                   | 300 00                                                     |
| Kuszmaul, William                                      | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Lam, Jasmine                                           | SWSTC                                              | 1,000 00                       | -                                                    | 1,000 00                                 | -                                                          |
| Lee, Allen                                             | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Lee, Jason                                             | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Lee, Jongyoon                                          | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Li, Jessie                                             | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Lin, Elaine                                            | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Lin, Henry                                             | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Lu, David                                              | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Luh, Thomas                                            | SWSTC                                              | 8,000 00                       |                                                      |                                          | 8,000 00                                                   |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

## Form 990-PF, Part XV, Line 3

### Grants and Contributions Paid During the Year or Approved for Future Payment

#### 2012 Awards (Awarded During Year Ending 9/30/13)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Mago, Aashna                                           | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| McQuaid, Daniel                                        | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Posner, Sarah                                          | SWSTC                                              | 1,000 00                       | 500 00                                               | 500 00                                   | -                                                          |
| Prasad, Rohil                                          | SWSTC                                              | 13,000 00                      | 13,000 00                                            |                                          | -                                                          |
| Pritt, Samuel                                          | SWSTC                                              | 43,000 00                      | 10,000 00                                            | 5,000 00                                 | 28,000 00                                                  |
| Qi, George                                             | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Shi, Kensen                                            | SWSTC                                              | 103,000 00                     |                                                      | 49,224 00                                | 53,776 00                                                  |
| Shin, Allen                                            | SWSTC                                              | 35,333 33                      |                                                      |                                          | 35,333 33                                                  |
| Singh, Nipun                                           | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Singh, Rachit                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Som, Avira                                             | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Tan, Patrick                                           | SWSTC                                              | 28,000 00                      |                                                      |                                          | 28,000 00                                                  |
| Tang, Yu                                               | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Tidor, Jonathan                                        | SWSTC                                              | 13,000 00                      |                                                      |                                          | 13,000 00                                                  |
| Toth, Albert                                           | SWSTC                                              | 18,000 00                      | 4,500 00                                             | 2,250 00                                 | 11,250 00                                                  |
| Tripathi, Raghav                                       | SWSTC                                              | 13,000 00                      |                                                      |                                          | 13,000 00                                                  |
| Tung, Robert                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Yu, Kimberley                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Yu, Phillip                                            | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Zhang, Jesse                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Zhou, Ziling                                           | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Barron, Aaron Christopher                              | WCCTWC                                             | 25,000 00                      |                                                      |                                          | 25,000 00                                                  |
| Brockington, Crystal                                   | WCCTWC                                             | 25,000 00                      | 25,000 00                                            |                                          | -                                                          |
| Lunkenheimer, Dana                                     | WCCTWC                                             | 12,500 00                      | 6,250 00                                             | 6,250 00                                 | -                                                          |
| <b>2012 Award Totals</b>                               |                                                    | <b>712,499.99</b>              | <b>241,593.00</b>                                    | <b>107,507.33</b>                        | <b>363,399.66</b>                                          |
| SAAP                                                   |                                                    | 125,000 00                     | 69,075 00                                            | 13,800 00                                | 42,125 00                                                  |
| SWSTC                                                  |                                                    | 524,999 99                     | 141,268 00                                           | 87,457 33                                | 296,274 66                                                 |
| WCCTWC                                                 |                                                    | 62,500 00                      | 31,250 00                                            | 6,250 00                                 | 25,000 00                                                  |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

## Form 990-PF, Part XV, Line 3

### Grants and Contributions Paid During the Year or Approved for Future Payment

#### 2011 Awards (Awarded During Year Ending 9/30/12)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Bierstedt, Zachary                                     | SAAP                                               | 2,000 00                       | 1,000 00                                             | 1,000 00                                 | -                                                          |
| Brockman, Phillip                                      | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Chen, Saisai                                           | SAAP                                               | 2,000 00                       | 1,000 00                                             |                                          | 1,000 00                                                   |
| Chen, Xiangru                                          | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Chyao, Amy                                             | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Davey, Nicholas                                        | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Falconer, Sara                                         | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Fidaleo, Anthony                                       | SAAP                                               | 2,000 00                       |                                                      | 1,000 00                                 | 1,000 00                                                   |
| Harris, Connor                                         | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Ishii, Taryn                                           | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Kanuparth, Meghamsh                                    | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Kim, Michael                                           | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Kim, Tae                                               | SAAP                                               | 2,000 00                       | 1,000 00                                             | 1,000 00                                 | -                                                          |
| Liu, Jeremy                                            | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Lu, Bowen                                              | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Maloney, Andrew                                        | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Sangani, Kunal                                         | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Sarkar, Ellora                                         | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Schuth, Henry                                          | SAAP                                               | 2,000 00                       |                                                      | 500 00                                   | 1,500 00                                                   |
| Shi, Ivy                                               | SAAP                                               | 2,000 00                       | 500 00                                               |                                          | 1,500 00                                                   |
| Smith, Megan                                           | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Stephens, Marissa                                      | SAAP                                               | 2,000 00                       | 2,000 00                                             | -                                        | -                                                          |
| Swanson, Julia                                         | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Walther, Jonathan                                      | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Wang, Charlotte                                        | SAAP                                               | 2,000 00                       | 775 00                                               | 1,225 00                                 | -                                                          |
| Wang, Joy                                              | SAAP                                               | 2,000 00                       | 1,000 00                                             | 1,000 00                                 | -                                                          |
| Wang, Kevin                                            | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Wen, Xueyin                                            | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Wong, Gina                                             | SAAP                                               | 2,000 00                       |                                                      | 1,000 00                                 | 1,000 00                                                   |
| Zhu, Lingyu                                            | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Bhattacharya, Paulomi                                  | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Bhupatiraju, Surya                                     | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Cain, Cassee                                           | SWSTC                                              | 53,000 00                      | 11,420 00                                            | 18,700 00                                | 22,880 00                                                  |
| Chakoumakos, Madison                                   | SWSTC                                              | 1,000 00                       | 400 00                                               | 400 00                                   | 200 00                                                     |
| Chang, Kevin                                           | SWSTC                                              | 8,666 67                       | 877 93                                               | 7,788 74                                 | -                                                          |
| Chen, Sitan                                            | SWSTC                                              | 43,000 00                      | 34,123 34                                            | 8,876 66                                 | -                                                          |
| Cheng, Yuwen                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Chittajallu, Punya                                     | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Crowley-Farenga, Julia                                 | SWSTC                                              | 23,000 00                      | 9,750 00                                             | 3,500 00                                 | 9,750 00                                                   |
| Fan, Peter                                             | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Hanley, Camrinn                                        | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Ho, Johnny                                             | SWSTC                                              | 1,000 00                       | (1,000 00)                                           | 1,000 00                                 | 1,000 00                                                   |
| Kim, Brian                                             | SWSTC                                              | 53,000 00                      | 1,500 00                                             | 1,500 00                                 | 50,000 00                                                  |
| Kubiak, Joshua                                         | SWSTC                                              | 33,000 00                      | 10,400 00                                            | 14,600 00                                | 8,000 00                                                   |
| Kuszmaw, William                                       | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Ling, Daniel                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Ling, Jeffrey                                          | SWSTC                                              | 8,000 00                       | 4,000 00                                             | 4,000 00                                 | -                                                          |
| Liu, Charles                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Liu, Ziyuan                                            | SWSTC                                              | 53,000 00                      | 3,257 00                                             | 11,958 00                                | 37,785 00                                                  |
| Mocz, Viola                                            | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Nam, David                                             | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Nguyen, Kevin                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

## Form 990-PF, Part XV, Line 3

### Grants and Contributions Paid During the Year or Approved for Future Payment

#### 2011 Awards (Awarded During Year Ending 9/30/12)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Petrova, Victoria                                      | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Qian, Hansen                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Rottman-Yang, Jaron                                    | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Sarkar, Ellora                                         | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Shen, Lilly                                            | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Teicher, Ilana                                         | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Teraoka, Jonathan                                      | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Vavilala, Vaibhav                                      | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Vemuri, Harsha                                         | SWSTC                                              | 1,000 00                       | 500 00                                               | 500 00                                   | -                                                          |
| Viswanathan, Vijay                                     | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Wang, Lilly                                            | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Wild, Austin                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Xu, Andrew                                             | SWSTC                                              | 8,666 67                       | 4,000 00                                             | -                                        | 4,666 67                                                   |
| Yuan, Justin                                           | SWSTC                                              | 18,666 67                      | 4,875 00                                             | 4,325 00                                 | 9,466 67                                                   |
| Zhang, Angela                                          | SWSTC                                              | 103,000 00                     | 25,000 00                                            | 37,508 00                                | 40,492 00                                                  |
| Zhang, Michael                                         | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Crowley, Mikaela                                       | WCCTWC                                             | 12,500 00                      |                                                      |                                          | 12,500 00                                                  |
| King, Chandler                                         | WCCTWC                                             | 2,500 00                       |                                                      |                                          | 2,500 00                                                   |
| Kubricki, Jon                                          | WCCTWC                                             | 12,500 00                      |                                                      |                                          | 12,500 00                                                  |
| Love, Cameron                                          | WCCTWC                                             | 6,250 00                       |                                                      |                                          | 6,250 00                                                   |
| Love, Tanner                                           | WCCTWC                                             | 6,250 00                       |                                                      |                                          | 6,250 00                                                   |
| LoVullo, Stacie                                        | WCCTWC                                             | 2,500 00                       |                                                      |                                          | 2,500 00                                                   |
| Morgan, Savannah                                       | WCCTWC                                             | 6,250 00                       |                                                      |                                          | 6,250 00                                                   |
| Morrow, Daniel                                         | WCCTWC                                             | 6,250 00                       |                                                      |                                          | 6,250 00                                                   |
| Naples, Christopher                                    | WCCTWC                                             | 12,500 00                      |                                                      |                                          | 12,500 00                                                  |
| Zarych, Bridget                                        | WCCTWC                                             | 12,500 00                      |                                                      |                                          | 12,500 00                                                  |
| <b>2011 Award Totals</b>                               |                                                    | <b>572,000.01</b>              | <b>135,378.27</b>                                    | <b>121,381.40</b>                        | <b>315,240.34</b>                                          |
| SAAP                                                   |                                                    | 60,000 00                      | 23,275 00                                            | 6,725 00                                 | 30,000 00                                                  |
| SWSTC                                                  |                                                    | 432,000 01                     | 112,103 27                                           | 114,656 40                               | 205,240 34                                                 |
| WCCTWC                                                 |                                                    | 80,000 00                      | -                                                    | -                                        | 80,000 00                                                  |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

## Form 990-PF, Part XV, Line 3

### Grants and Contributions Paid During the Year or Approved for Future Payment

#### 2010 Awards (Awarded During Year Ending 9/30/11)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Agrawal, Rohit                                         | SAAP                                               | 5,000 00                       | 5,000 00                                             | -                                        | -                                                          |
| Bhattatiry, Mitu                                       | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Chow, Jacqueline                                       | SAAP                                               | 2,000.00                       | 2,000 00                                             |                                          | -                                                          |
| Kim, Sin                                               | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Koh, Jung Hun                                          | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Lee, Timothy                                           | SAAP                                               | 2,000 00                       | 666 00                                               |                                          | 1,334 00                                                   |
| Lehman, Jesse                                          | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Li, Jonathan                                           | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Lou, Sarah                                             | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Miao, Alice                                            | SAAP                                               | 2,000 00                       | 650 00                                               | 1,350 00                                 | -                                                          |
| Niday, William                                         | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Rea, Erin                                              | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Seu, Mark                                              | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Shih, Maurice                                          | SAAP                                               | 2,000 00                       | 500 00                                               |                                          | 1,500 00                                                   |
| Ting, Wei-Husan                                        | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Wang, Jeffrey                                          | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Wang, Luchang                                          | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Wu, Joseph                                             | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Yang, Huck Joon                                        | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Zhang, Leon                                            | SAAP                                               | 2,000 00                       | 1,000 00                                             |                                          | 1,000 00                                                   |
| Bhattacharya, Arjun                                    | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Chan, Lesley                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Chen, Jamie                                            | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Chen, Kevin (NY)                                       | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Chow, Ryan                                             | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Chung, Scotty                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Clark, Benjamin                                        | SWSTC                                              | 103,000 00                     | 11,362 00                                            | 91,638 00                                | -                                                          |
| Daniel, Nevin                                          | SWSTC                                              | 53,000 00                      | 7,000 00                                             | 46,000 00                                | -                                                          |
| del-Castillo-Negrete, Carlos                           | SWSTC                                              | 1,000 00                       | 500 00                                               | 500 00                                   | -                                                          |
| Homma, Youkow - adjusted award                         | SWSTC                                              | 36,666 67                      | 17,208 33                                            | 19,458 34                                | -                                                          |
| Hong, Chung Su                                         | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Huang, Eric (CA)                                       | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Jain, Yajit                                            | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Kim, Lawrence                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Lei, Bonnie                                            | SWSTC                                              | 1,000 00                       | 500 00                                               | 500 00                                   | -                                                          |
| Liu, Connie                                            | SWSTC                                              | 43,000 00                      | 10,000 00                                            | 25,000 00                                | 8,000 00                                                   |
| Mehandru, Nikhil - adjusted award                      | SWSTC                                              | 16,666 66                      |                                                      | 668 00                                   | 15,998 66                                                  |
| Moon, Nicholas                                         | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Narayan, Santhosh - adjusted award                     | SWSTC                                              | 16,666 66                      | 4,666 66                                             | 12,000 00                                | -                                                          |
| Pinkerton, James - adjusted award                      | SWSTC                                              | 29,333 34                      | 6,333 34                                             | 23,000 00                                | -                                                          |
| Qi, George                                             | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Ramirez, Ignacio                                       | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Sangani, Kunal                                         | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Setra, Rafael - adjusted award                         | SWSTC                                              | 29,333 34                      | 3,000 00                                             | 23,000 00                                | 3,333 34                                                   |
| Shim, Jay                                              | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Thottumkara, Prem                                      | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Wang, Jacqueline                                       | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Wang, Shenghao                                         | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Wang, Zhongshi - adjusted award                        | SWSTC                                              | 14,333 33                      | 4,222 22                                             | 8,000 00                                 | 2,111 11                                                   |
| Wu, Tianqi - adjusted award                            | SWSTC                                              | 19,333 34                      |                                                      | 13,000 00                                | 6,333 34                                                   |
| Yuan, Allen                                            | SWSTC                                              | 33,000 00                      | 4,000 00                                             | 29,000 00                                | -                                                          |
| Zhou, Benjamin - adjusted award                        | SWSTC                                              | 14,333 33                      | 6,333 33                                             | 8,000 00                                 | -                                                          |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

Form 990-PF, Part XV, Line 3

Grants and Contributions Paid During the Year or Approved for Future Payment

## 2010 Awards (Awarded During Year Ending 9/30/11)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Alsentzer, Emily                                       | WCCTWC                                             | 5,000 00                       | 2,500 00                                             |                                          | 2,500 00                                                   |
| De la Fuente, Agustina                                 | WCCTWC                                             | 12,500 00                      |                                                      |                                          | 12,500 00                                                  |
| Fischer, Sarah                                         | WCCTWC                                             | 16,666 67                      | 7,000 00                                             |                                          | 9,666 67                                                   |
| Puri, Arthi                                            | WCCTWC                                             | 12,500 00                      |                                                      |                                          | 12,500 00                                                  |
| Roth, Justin                                           | WCCTWC                                             | 16,666 67                      |                                                      |                                          | 16,666 67                                                  |
| <b>2010 Award Totals</b>                               |                                                    | <b>535,000.01</b>              | <b>110,441.88</b>                                    | <b>301,114.34</b>                        | <b>123,443.79</b>                                          |
| SAAP                                                   |                                                    | 43,000 00                      | 19,816 00                                            | 1,350 00                                 | 21,834 00                                                  |
| SWSTC                                                  |                                                    | 428,666 67                     | 81,125 88                                            | 299,764 34                               | 47,776 45                                                  |
| WCCTWC                                                 |                                                    | 63,333 34                      | 9,500 00                                             | -                                        | 53,833 34                                                  |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

## Form 990-PF, Part XV, Line 3

### Grants and Contributions Paid During the Year or Approved for Future Payment

#### 2009 Awards (Awarded During Year Ending 9/30/10)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Gandelman, Jason                                       | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Hoza, Kathleen                                         | SAAP                                               | 2,000 00                       | 550 00                                               | 950 00                                   | 500 00                                                     |
| Jenkins, Megan                                         | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Kong, Tracie                                           | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Lai, Victoria                                          | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Mohanasundaram, Samita                                 | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Srvatsa, Ashvin                                        | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Wang, Diane                                            | SAAP                                               | 2,000 00                       | 1,000 00                                             | 1,000 00                                 | -                                                          |
| Wu, Yi                                                 | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Zhang, Andrew                                          | SAAP                                               | 2,000 00                       | 1,000 00                                             | 1,000 00                                 | -                                                          |
| Ahmed, Israt                                           | SWSTC                                              | 15,333 33                      | 5,447 50                                             | 5,447 50                                 | 4,438 33                                                   |
| Chen, Kevin (Mo City, TX)                              | SWSTC                                              | 35,333 33                      | 4,417 17                                             | 26,499 00                                | 4,417 16                                                   |
| Chen, Stephanie                                        | SWSTC                                              | 15,333 33                      |                                                      |                                          | 15,333 33                                                  |
| Chiang, Brian                                          | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Gogia, Sumit                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Gu, Liang                                              | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Jia, Randy                                             | SWSTC                                              | 18,000 00                      | 3,450 00                                             | 14,550 00                                | -                                                          |
| Jiang, Ruoyi                                           | SWSTC                                              | 103,000 00                     | 33,000 00                                            | 70,000 00                                | -                                                          |
| Kang, Sarah                                            | SWSTC                                              | 1,000 00                       | 1,000 00                                             | -                                        | -                                                          |
| Khetpal, Sahil                                         | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Khojandi, Aryan                                        | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Kim, Minhye                                            | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Lett, Lanair                                           | SWSTC                                              | 33,000 00                      |                                                      |                                          | 33,000 00                                                  |
| Liang, Linus                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Liu, Jian                                              | SWSTC                                              | 1,000 00                       | -                                                    | 1,000 00                                 | -                                                          |
| Luo, Michael                                           | SWSTC                                              | -                              |                                                      | (750 00)                                 | 750 00                                                     |
| Pai, Sunil                                             | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Shah, Salonee                                          | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Sidharthan, Sreetha                                    | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Song, Benjamin                                         | SWSTC                                              | 13,000 00                      |                                                      |                                          | 13,000 00                                                  |
| Su, Jinge                                              | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Suchyta, Marissa                                       | SWSTC                                              | 43,000 00                      |                                                      | 39,000 00                                | 4,000 00                                                   |
| Tang, Shawn                                            | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Wang, Katherine                                        | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Wang, Jennifer                                         | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Ye, Lynnelle                                           | SWSTC                                              | 53,000 00                      | 24,400 00                                            | 28,600 00                                | -                                                          |
| Zhou, Xiao                                             | SWSTC                                              | 15,333 33                      | 2,113 33                                             | 8,200 00                                 | 5,020 00                                                   |
| <b>2009 Award Totals</b>                               |                                                    | <b>380,333.32</b>              | <b>82,378.00</b>                                     | <b>195,496.50</b>                        | <b>102,458.82</b>                                          |
| SAAP                                                   |                                                    | 20,000 00                      | 4,550 00                                             | 2,950 00                                 | 12,500 00                                                  |
| SWSTC                                                  |                                                    | 360,333 32                     | 77,828 00                                            | 192,546 50                               | 89,958 82                                                  |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

## Form 990-PF, Part XV, Line 3

### Grants and Contributions Paid During the Year or Approved for Future Payment

#### 2008 Awards (Awarded During Year Ending 9/30/09)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Culbertson, Andreas                                    | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Gao, Ryan                                              | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Hsiao, Allan                                           | SAAP                                               | 2,000 00                       | 2,000 00                                             |                                          | -                                                          |
| Hu, Xin                                                | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Kelley, Claire                                         | SAAP                                               | 2,000 00                       | 1,000 00                                             |                                          | 1,000 00                                                   |
| Luan, Jageng                                           | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Mao, Marianna                                          | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Patnaik, Sandeep                                       | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Sun, Benjamin                                          | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Triantafillou, Nicholas                                | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Woodhouse, Brent                                       | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Yang, Hesu                                             | SAAP                                               | 2,000 00                       |                                                      | 1,000 00                                 | 1,000 00                                                   |
| Chang, Joshua                                          | SWSTC                                              | 1,000 00                       |                                                      | 500 00                                   | 500 00                                                     |
| Chyan, Wen                                             | SWSTC                                              | 103,000 00                     | 23,720 00                                            | 32,416 08                                | 46,863 92                                                  |
| Goodman, Elias                                         | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Guo, Andrew                                            | SWSTC                                              | 53,000 00                      |                                                      | 32,429 00                                | 20,571 00                                                  |
| Guo, Angi                                              | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Heeter, Nathan                                         | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Khalili, Ashley                                        | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Larson, Eric                                           | SWSTC                                              | 53,000 00                      | 10,750 00                                            | 21,800 00                                | 20,450 00                                                  |
| Lim, Raphael-Joel                                      | SWSTC                                              | 13,000 00                      |                                                      | 5,800 00                                 | 7,200 00                                                   |
| Lopez, Ivan                                            | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Lu, Amanda                                             | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Moon, Hyunsik                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Patel, Aanand                                          | SWSTC                                              | 8,000 00                       |                                                      |                                          | 8,000 00                                                   |
| Rambhia, Pooja                                         | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Thummalapalli, Rohit                                   | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Volkova, Eugenia                                       | SWSTC                                              | 28,000 00                      |                                                      | 11,412 00                                | 16,588 00                                                  |
| Wickramasekara, Sajith                                 | SWSTC                                              | 53,000 00                      |                                                      | 19,000 00                                | 34,000 00                                                  |
| Wu, Alexander                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Xu, Jeffrey                                            | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Young, Emile                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| <b>2008 Award Totals</b>                               |                                                    | <b>348,000.00</b>              | <b>40,470.00</b>                                     | <b>124,357.08</b>                        | <b>183,172.92</b>                                          |
| SAAP                                                   |                                                    | 24,000 00                      | 3,000 00                                             | 1,000 00                                 | 20,000 00                                                  |
| SWSTC                                                  |                                                    | 324,000 00                     | 37,470 00                                            | 123,357 08                               | 163,172 92                                                 |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

## Form 990-PF, Part XV, Line 3

### Grants and Contributions Paid During the Year or Approved for Future Payment

#### 2007 Awards (Awarded During Year Ending 9/30/08)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Chung, Hyeseung (Hallie)                               | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Lopez, Gilberto                                        | SAAP                                               | 2,000 00                       |                                                      | 1,500 00                                 | 500 00                                                     |
| Posch, Daniel                                          | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Rolnick, David                                         | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000.00                                                   |
| Wu, Cathy                                              | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Xu, Maria                                              | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Ye, Anne                                               | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Yoon, Bo Hyung                                         | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Zhang, Shuo                                            | SAAP                                               | 2,000 00                       |                                                      | 1,200 00                                 | 800 00                                                     |
| Bhattacharya, Vivek                                    | SWSTC                                              | 18,667 00                      | 7,008 00                                             | 11,659 00                                | -                                                          |
| Chernyakhovsky, Alexander                              | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Collipp, Naomi                                         | SWSTC                                              | 9,667 00                       |                                                      |                                          | 9,667.00                                                   |
| Dasgupta, Shabitri                                     | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Ding, Christopher                                      | SWSTC                                              | 18,000 00                      |                                                      | 9,600 00                                 | 8,400 00                                                   |
| Jiang, James                                           | SWSTC                                              | 18,000 00                      |                                                      | 11,500 00                                | 6,500 00                                                   |
| Kumar, Smriti                                          | SWSTC                                              | 1,000 00                       | 500 00                                               |                                          | 500 00                                                     |
| Kurwa, Shelina                                         | SWSTC                                              | 8,000 00                       |                                                      | 8,000 00                                 | -                                                          |
| Li, Shuo                                               | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Lian, Hao                                              | SWSTC                                              | 18,667 00                      |                                                      | 11,366 33                                | 7,300 67                                                   |
| Littrup, Gunnar                                        | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Liu, Emily                                             | SWSTC                                              | 1,000 00                       | 1,000 00                                             |                                          | -                                                          |
| Liu, Monica                                            | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Nendick, Timothy                                       | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Oreh, Alison                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Ren, Minghui                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000.00                                                   |
| Sampath, Varun                                         | SWSTC                                              | 1,000 00                       |                                                      | -                                        | 1,000 00                                                   |
| Sarma, Nandini                                         | SWSTC                                              | 23,000 00                      |                                                      | 11,500 00                                | 11,500 00                                                  |
| Sen, Ayon                                              | SWSTC                                              | 33,000 00                      |                                                      | 19,175 00                                | 13,825 00                                                  |
| Shiao, Jean                                            | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Shrock, Ellen                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Song, Benjamin                                         | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Stemp, Daniel                                          | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Vizza, Christian                                       | SWSTC                                              | 1,000 00                       |                                                      | 500 00                                   | 500 00                                                     |
| <b>2007 Award Totals</b>                               |                                                    | <b>181,001.00</b>              | <b>8,508.00</b>                                      | <b>86,000.33</b>                         | <b>86,492.67</b>                                           |
| SAAP                                                   |                                                    | 18,000 00                      | -                                                    | 2,700 00                                 | 15,300 00                                                  |
| SWSTC                                                  |                                                    | 163,001 00                     | 8,508 00                                             | 83,300 33                                | 71,192 67                                                  |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

Form 990-PF, Part XV, Line 3

Grants and Contributions Paid During the Year or Approved for Future Payment

## 2006 Awards (Awarded During Year Ending 9/30/07)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Cai, Yi                                                | SAAP                                               | 2,000 00                       |                                                      | 1,000 00                                 | 1,000.00                                                   |
| Chung, Hae Rhee                                        | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000.00                                                   |
| Marzen, Sarah                                          | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| McCutchen, Richard                                     | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Barnett, Kirk                                          | SAAP Teachers                                      | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Arcangeli, Steven                                      | SWSTC                                              | 35,333 00                      |                                                      | 28,264 00                                | 7,069 00                                                   |
| Chung, Hae Rhee                                        | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000.00                                                   |
| Jain, Vijay                                            | SWSTC                                              | 18,000 00                      |                                                      | 12,000 00                                | 6,000 00                                                   |
| Ludovici, Dominic                                      | SWSTC                                              | 33,000 00                      | 1,170 00                                             | 28,304 00                                | 3,526 00                                                   |
| Macaluso, Benjamin                                     | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Marshall, Kevin                                        | SWSTC                                              | 1,000 00                       |                                                      | -                                        | 1,000 00                                                   |
| Mohanty, Sudarsana                                     | SWSTC                                              | 1,000.00                       |                                                      | 750 00                                   | 250 00                                                     |
| Ramamurti, Arjun                                       | SWSTC                                              | 43,000.00                      |                                                      | 21,950 00                                | 21,050 00                                                  |
| Shen, Kevin                                            | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Yi, Jinju                                              | SWSTC                                              | 18,000.00                      |                                                      | 13,834 00                                | 4,166 00                                                   |
| <b>2006 Award Totals</b>                               |                                                    | <b>161,333.00</b>              | <b>1,170.00</b>                                      | <b>106,102.00</b>                        | <b>54,061.00</b>                                           |
| SAAP                                                   |                                                    | 9,000 00                       | -                                                    | 1,000 00                                 | 8,000.00                                                   |
| SWSTC                                                  |                                                    | 152,333.00                     | 1,170 00                                             | 105,102 00                               | 46,061 00                                                  |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

## Form 990-PF, Part XV, Line 3

### Grants and Contributions Paid During the Year or Approved for Future Payment

#### 2005 Awards (Awarded During Year Ending 9/30/06)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Cooledge, Elizabeth                                    | SAAP                                               | 2,000 00                       |                                                      | 1,999 98                                 | 0 02                                                       |
| Lee, Ling-Wei                                          | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Mitchell, Benjamin                                     | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Yao, Linda                                             | SAAP                                               | 2,000 00                       |                                                      |                                          | 2,000 00                                                   |
| Feng, Enk                                              | SWSTC                                              | 1,000 00                       |                                                      | 750 00                                   | 250.00                                                     |
| Feng, Xue                                              | SWSTC                                              | 13,000 00                      | 3,000 00                                             | 7,500 00                                 | 2,500 00                                                   |
| Hippalgaonkar, Neha                                    | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Jara, Gabriela                                         | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Katz, Daniel                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Schmerling, Ed                                         | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| Shia, Victor                                           | SWSTC                                              | -                              |                                                      | (500 00)                                 | 500 00                                                     |
| Umar, Tanik                                            | SWSTC                                              | 12,000 00                      | 8,900 00                                             | 3,100 00                                 | -                                                          |
| Wang, Daniel                                           | SWSTC                                              | 1,000 00                       |                                                      |                                          | 1,000 00                                                   |
| <b>2005 Award Totals</b>                               |                                                    | <b>39,000.00</b>               | <b>11,900.00</b>                                     | <b>12,849.98</b>                         | <b>14,250.02</b>                                           |
| SAAP                                                   |                                                    | 8,000 00                       | -                                                    | 1,999 98                                 | 6,000 02                                                   |
| SWSTC                                                  |                                                    | 31,000 00                      | 11,900 00                                            | 10,850 00                                | 8,250 00                                                   |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

Form 990-PF, Part XV, Line 3

Grants and Contributions Paid During the Year or Approved for Future Payment

## 2004 Awards (Awarded During Year Ending 9/30/05)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Credo, T                                               | SAAP                                               | 3,000.00                       |                                                      | -                                        | 3,000.00                                                   |
| Stansifer, E                                           | SAAP                                               | 3,000.00                       |                                                      | -                                        | 3,000.00                                                   |
| Chakraborty                                            | SWSTC                                              | 1,000.00                       |                                                      | -                                        | 1,000.00                                                   |
| Christensen                                            | SWSTC                                              | 1,000.00                       |                                                      | -                                        | 1,000.00                                                   |
| Fong                                                   | SWSTC                                              | 1,000.00                       |                                                      | -                                        | 1,000.00                                                   |
| Frehn, Steven                                          | SWSTC                                              | 23,000.00                      |                                                      | 12,500.00                                | 10,500.00                                                  |
| Guo, Yueqi-Lucy                                        | SWSTC                                              | 53,000.00                      | 8,000.00                                             | 21,000.00                                | 24,000.00                                                  |
| Loh, Poling                                            | SWSTC                                              | 53,000.00                      |                                                      | 8,412.00                                 | 44,588.00                                                  |
| Schmerling, Ed                                         | SWSTC                                              | 1,000.00                       |                                                      |                                          | 1,000.00                                                   |
| Spikes                                                 | SWSTC                                              | 1,000.00                       |                                                      | -                                        | 1,000.00                                                   |
| VonDerHoff                                             | SWSTC                                              | 1,000.00                       |                                                      | -                                        | 1,000.00                                                   |
| Yang, Fan                                              | SWSTC                                              | 1,000.00                       |                                                      | -                                        | 1,000.00                                                   |
| Zaidi, Samir                                           | SWSTC                                              | 43,000.00                      |                                                      | 42,999.34                                | 0.66                                                       |
| <b>2004 Award Totals</b>                               |                                                    | <b>185,000.00</b>              | <b>8,000.00</b>                                      | <b>84,911.34</b>                         | <b>92,088.66</b>                                           |
| SAAP                                                   |                                                    | 6,000.00                       | -                                                    | -                                        | 6,000.00                                                   |
| SWSTC                                                  |                                                    | 179,000.00                     | 8,000.00                                             | 84,911.34                                | 86,088.66                                                  |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

Form 990-PF, Part XV, Line 3

Grants and Contributions Paid During the Year or Approved for Future Payment

## 2003 Awards (Awarded During Year Ending 9/30/04)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Ho, Madelyn                                            | SAAP                                               | 3,000 00                       |                                                      | 500.00                                   | 2,500 00                                                   |
| Ryu, Ho Seung (Paul)                                   | SAAP                                               | 3,000.00                       |                                                      | 1,125.00                                 | 1,875.00                                                   |
| Chung, Hannah                                          | SWSTC                                              | 4,334.00                       |                                                      | 4,300.00                                 | 34.00                                                      |
| Cons Matthew                                           | SWSTC                                              | 500.00                         |                                                      | -                                        | 500 00                                                     |
| Katz, Ezra                                             | SWSTC                                              | 500.00                         |                                                      | -                                        | 500.00                                                     |
| Thottumkara, Arun                                      | SWSTC                                              | 53,000 00                      |                                                      | 51,000.00                                | 2,000.00                                                   |
| Wang, Shuyu                                            | SWSTC                                              | 1,000 00                       |                                                      | -                                        | 1,000 00                                                   |
| Wang, Yiduo                                            | SWSTC                                              | 4,334 00                       |                                                      | 3,000.00                                 | 1,334.00                                                   |
| <b>2003 Award Totals</b>                               |                                                    | <b>69,668.00</b>               | -                                                    | <b>59,925.00</b>                         | <b>9,743.00</b>                                            |
|                                                        | SAAP                                               | 6,000 00                       | -                                                    | 1,625.00                                 | 4,375 00                                                   |
|                                                        | SWSTC                                              | 63,668.00                      | -                                                    | 58,300.00                                | 5,368.00                                                   |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

## Form 990-PF, Part XV, Line 3

### Grants and Contributions Paid During the Year or Approved for Future Payment

#### 2002 Awards (Awarded During Year Ending 9/30/03)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Cardona, Ann (formerly Ann Chi)                        | SAAP                                               | 3,000.00                       | 3,000.00                                             | -                                        | -                                                          |
| Rafferty, Anna                                         | SAAP                                               | 3,000.00                       |                                                      | -                                        | 3,000.00                                                   |
| Ryu, Ho Seung (paul)                                   | SAAP                                               | 8,000 00                       |                                                      | -                                        | 8,000 00                                                   |
| Cardona, Ann (formerly Ann Chi)                        | SWSTC                                              | 21,500.00                      | 3,860.00                                             | 7,500.00                                 | 10,140 00                                                  |
| Frenkel, Rikki                                         | SWSTC                                              | 6,500 00                       |                                                      | -                                        | 6,500.00                                                   |
| Girard, Juliet                                         | SWSTC                                              | 51,500.00                      | 4,050.00                                             | 47,450.00                                | -                                                          |
| Goldstein, Lauren                                      | SWSTC                                              | 500.00                         |                                                      | -                                        | 500.00                                                     |
| Kuncham, Vivek                                         | SWSTC                                              | 500.00                         |                                                      | -                                        | 500.00                                                     |
| Leung, Connie                                          | SWSTC                                              | 500.00                         |                                                      | 250.00                                   | 250.00                                                     |
| Mesta, Nigel                                           | SWSTC                                              | 11,500.00                      |                                                      | 6,575.00                                 | 4,925.00                                                   |
| Raghuram, Ravi                                         | SWSTC                                              | 500.00                         |                                                      | -                                        | 500.00                                                     |
| Soule, Rebecca                                         | SWSTC                                              | 500.00                         |                                                      | -                                        | 500.00                                                     |
| Washauer, Jeremy                                       | SWSTC                                              | 500.00                         |                                                      | -                                        | 500 00                                                     |
| Witmer, Lisa                                           | SWSTC                                              | 500.00                         |                                                      | -                                        | 500.00                                                     |
| <b>2002 Award Totals</b>                               |                                                    | <b>108,500.00</b>              | <b>10,910.00</b>                                     | <b>61,775.00</b>                         | <b>35,815.00</b>                                           |
|                                                        | SAAP                                               | 14,000.00                      | 3,000.00                                             | -                                        | 11,000 00                                                  |
|                                                        | SWSTC                                              | 94,500.00                      | 7,910.00                                             | 61,775 00                                | 24,815.00                                                  |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

Form 990-PF, Part XV, Line 3

Grants and Contributions Paid During the Year or Approved for Future Payment

## 2001 Awards (Awarded During Year Ending 9/30/02)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Dekker, K                                              | SAAP                                               | 3,000.00                       | -                                                    | -                                        | 3,000.00                                                   |
| Ristroph, Gunnar                                       | SAAP                                               | 3,000.00                       | -                                                    | -                                        | 3,000 00                                                   |
| *Hsieh, Joanne                                         | SWSTC                                              | 500.00                         | -                                                    | -                                        | 500 00                                                     |
| Behroozi, Peter                                        | SWSTC                                              | 23,000 00                      | -                                                    | 19,385.00                                | 3,615.00                                                   |
| Chen, Lilian                                           | SWSTC                                              | 500.00                         | -                                                    | -                                        | 500 00                                                     |
| Craig, Heather                                         | SWSTC                                              | 26,500 00                      | -                                                    | 26,499.25                                | 0.75                                                       |
| Indaram, Maanasa                                       | SWSTC                                              | 500.00                         | -                                                    | -                                        | 500.00                                                     |
| Ovetsky, Alexandra                                     | SWSTC                                              | 53,000.00                      | -                                                    | 52,800 00                                | 200 00                                                     |
| Patterson, Ryan                                        | SWSTC                                              | 103,000 00                     | -                                                    | 12,335.25                                | 90,664.75                                                  |
| Starr, Peter                                           | SWSTC                                              | 500.00                         | -                                                    | -                                        | 500 00                                                     |
| Vinberg, Alexander                                     | SWSTC                                              | 6,500 00                       | -                                                    | -                                        | 6,500.00                                                   |
| <b>2001 Award Totals</b>                               |                                                    | <b>220,000.00</b>              | <b>-</b>                                             | <b>111,019.50</b>                        | <b>108,980.50</b>                                          |
|                                                        | SAAP                                               | 6,000.00                       | -                                                    | -                                        | 6,000 00                                                   |
|                                                        | SWSTC                                              | 214,000 00                     | -                                                    | 111,019.50                               | 102,980.50                                                 |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

## Form 990-PF, Part XV, Line 3

### Grants and Contributions Paid During the Year or Approved for Future Payment

#### 2000 Awards (Awarded During Year Ending 9/30/01)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
| Adams, April                                           | SWSTC                                              | 500.00                         | 500.00                                               | -                                        | -                                                          |
| Barton, Reid                                           | SWSTC                                              | -                              | 2,514.00                                             | (2,514.00)                               | -                                                          |
| Belova , Nadezhda                                      | SWSTC                                              | 500.00                         | 500.00                                               | -                                        | -                                                          |
| Chang, Samuel                                          | SWSTC                                              | 23,000.00                      | 5,000.00                                             | 18,000.00                                | -                                                          |
| Curtis, Jonathan                                       | SWSTC                                              | 11,500.00                      | 3,455.00                                             | 8,045.00                                 | -                                                          |
| Deng , Wei                                             | SWSTC                                              | 11,500.00                      | 2,750.00                                             | 8,750.00                                 | -                                                          |
| Huang, Frank                                           | SWSTC                                              | 7,670.00                       | 340.00                                               | 7,330.00                                 | -                                                          |
| Lai, Ann                                               | SWSTC                                              | 23,000.00                      | 9,000.00                                             | 14,000.00                                | -                                                          |
| Martin, William                                        | SWSTC                                              | 11,500.00                      | 11,500.00                                            | -                                        | -                                                          |
| Smith, Garyson                                         | SWSTC                                              | 500.00                         | 500.00                                               | -                                        | -                                                          |
| Wyatt, Rachel                                          | SWSTC                                              | 7,667.00                       | 7,667.00                                             | -                                        | -                                                          |
| <b>2000 Award Totals</b>                               |                                                    | <b>97,337.00</b>               | <b>43,726.00</b>                                     | <b>53,611.00</b>                         | <b>-</b>                                                   |
| SAAP                                                   |                                                    | -                              | -                                                    | -                                        | -                                                          |
| SWSTC                                                  |                                                    | 97,337.00                      | 43,726.00                                            | 53,611.00                                | -                                                          |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

Form 990-PF, Part XV, Line 3

Grants and Contributions Paid During the Year or Approved for Future Payment

## 1999 Awards (Awarded During Year Ending 9/30/00)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
|                                                        | SWSTC                                              |                                |                                                      |                                          | -                                                          |
|                                                        | <b>1999 Award Totals</b>                           | -                              | -                                                    | -                                        | -                                                          |
|                                                        | SAAP                                               | -                              | -                                                    | -                                        | -                                                          |
|                                                        | SWSTC                                              | -                              | -                                                    | -                                        | -                                                          |

# Contribution Schedule for 9/30/14 Tax Return (as of 9/30/14)

Form 990-PF, Part XV, Line 3

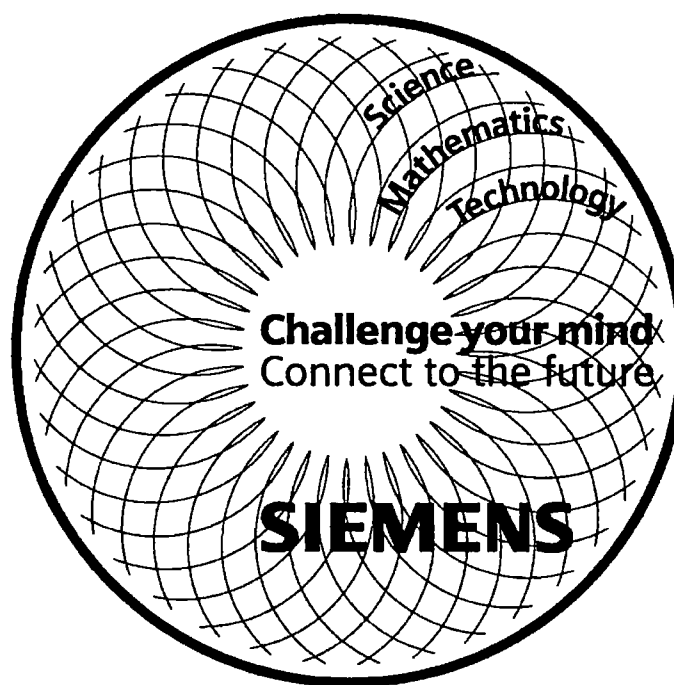
Grants and Contributions Paid During the Year or Approved for Future Payment

## 1998 Awards (Awarded During Year Ending 9/30/99)

| <u>Name of Student,<br/>Teacher or High<br/>School</u> | <u>Purpose =<br/>Competition<br/>(SWSTC or AP)</u> | <u>Total Grant<br/>Awarded</u> | <u>Amount Paid<br/>Fiscal Year End<br/>9/30/2014</u> | <u>Amount Paid In<br/>Previous Years</u> | <u>Balance (i.e.,<br/>Approved for<br/>Future Payment)</u> |
|--------------------------------------------------------|----------------------------------------------------|--------------------------------|------------------------------------------------------|------------------------------------------|------------------------------------------------------------|
|                                                        | SAAP                                               |                                | -                                                    |                                          | -                                                          |
|                                                        | <b>1998 Award Totals</b>                           | -                              | -                                                    | -                                        | -                                                          |
|                                                        | SAAP                                               | -                              | -                                                    | -                                        | -                                                          |
|                                                        | SWSTC                                              | -                              | -                                                    | -                                        | -                                                          |

# SIEMENS COMPETITION

## Math : Science : Technology



## 2013 SIEMENS COMPETITION GUIDELINES

**SUBMISSION DEADLINE: ALL COMPETITION MATERIALS MUST BE RECEIVED BY  
MONDAY, SEPTEMBER 30, 2013, 5 P.M. ET**

**Siemens Competition**  
**Math : Science : Technology**

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**Siemens Competition**  
**Math : Science : Technology**

**2013 SIEMENS COMPETITION GUIDELINES**

**What's New – 2013**

- **Research Reports and all Additional Required Materials must be received by Monday, September 30, 2013, 5 p.m. ET.**
- **Projects will no longer be accepted by hand delivery.**

**It is your responsibility to carefully review and adhere to all  
2013 Siemens Competition Guidelines.**

**I. OVERVIEW**

The Siemens Foundation (the "Foundation"), in partnership with the College Board, established the Siemens Competition in Math, Science & Technology ("Siemens Competition" or "Competition") and the Siemens Awards for Advanced Placement. The Foundation is a not-for-profit corporation dedicated to providing scholarships and increasing access to higher education for talented mathematics, science, engineering and technology students in the United States.

The Siemens Competition seeks to promote excellence by encouraging students to undertake individual or team research projects. It fosters intensive research that improves students' understanding of the value of scientific study and informs their consideration of future careers in these disciplines.

You can compete as an individual or as a member of a team. Individual projects promote independent research. Team projects foster collaborative research efforts, as well as individual contributions to the cooperative endeavor.

Scholarships for winning projects range from \$1,000 to \$100,000.

**The Advantage of Participating**

Participating in the leading science and mathematics research-based competition for high school students in the United States:

- Expands your inquiry-based research skills.
- Challenges you to solve some of the world's toughest problems.
- Opens new doors in pursuit of your educational and career objectives
- Provides the opportunity to meet other students who share your interest in research
- Allows you to engage with distinguished scientists.
- Offers you a chance to win a college scholarship

## II. ABOUT THE SIEMENS COMPETITION

### A. Scholarship Awards

College scholarships are awarded to all students who are selected to attend and compete in the regional and national events.

| Regional Awards (in six regions) |                            |                                                                               |
|----------------------------------|----------------------------|-------------------------------------------------------------------------------|
| Category                         | Individual                 | Team                                                                          |
| Finalists                        | \$1,000 and a bronze medal | \$1,000 and a bronze medal for each team member                               |
| Winners                          | \$3,000 and a silver medal | \$6,000 divided equally among team members and a silver medal for each member |

The winners of the individual and team regional awards advance to compete at the national level. The national awards are announced at a special event hosted by the Siemens Foundation in Washington, D.C.

| National Awards |            |                                      |
|-----------------|------------|--------------------------------------|
| Standing        | Individual | Team (divided equally among members) |
| 1st Place       | \$100,000  | \$100,000                            |
| 2nd Place       | \$50,000   | \$50,000                             |
| 3rd Place       | \$40,000   | \$40,000                             |
| 4th Place       | \$30,000   | \$30,000                             |
| 5th Place       | \$20,000   | \$20,000                             |
| 6th Place       | \$10,000   | \$10,000                             |

Scholarship award money is sent directly to the accredited college or university the winning students select. In order to continue receiving the scholarship, students must be enrolled full-time and making satisfactory academic progress toward a degree.

Your scholarship can be used for:

- Tuition and fees required for enrollment.
- Fees, books, supplies, and equipment required for any courses of instruction at the school that are required for graduation.
- On-campus room and board.
- Costs associated with your participation in scientific or mathematical research (only) at the institution in which you are enrolled, within, or beyond your regular courses of curriculum.

Students should be prepared to participate in all aspects of the Competition. This includes being available to attend regional and national levels of the Competition if you are selected as a finalist

## B. Competition Schedule

|                                       |                                                                                                                                                             |
|---------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Wednesday, May 1, 2013                | 2013 Registration Opens<br>2013 Competition Guidelines and Forms Posted at<br><a href="http://siemens.collegeboard.org">http://siemens.collegeboard.org</a> |
| Monday, September 30, 2013, 5 p.m. ET | All Competition Materials Due (Research Report and all Additional Required Materials)                                                                       |
| October 18, 2013                      | Announcement of Regional Finalists and Semifinalists                                                                                                        |
| <b>Regional Competition</b>           |                                                                                                                                                             |
| November 1-2, 2013                    | Georgia Institute of Technology, Atlanta, Georgia<br>Massachusetts Institute of Technology, Cambridge, Massachusetts                                        |
| November 8-9, 2013                    | University of Notre Dame, Notre Dame, Indiana<br>The University of Texas at Austin, Austin, Texas                                                           |
| November 15-16, 2013                  | Carnegie Mellon University, Pittsburgh, Pennsylvania<br>California Institute of Technology, Pasadena, California                                            |
| <b>National Competition</b>           |                                                                                                                                                             |
| December 6-10, 2013                   | The George Washington University, Washington, D.C.                                                                                                          |

## C. Student Eligibility

- The Siemens Competition is open to high school students who are citizens or permanent residents (green card holders) of the United States. Students must be in good standing, enrolled in and attending one of the following (during the 2013-14 school year).
  - High school (grades 9-12) in the United States, Puerto Rico, Guam, U.S. Virgin Islands, American Samoa, Wake and Midway Islands, or the Marianas.
  - Department of Defense Education Activity (DoDEA) school.
  - Foreign school as an exchange student or because your parent or guardian lives and works abroad.
  - Homeschool, provided that signatures are obtained from the school district responsible for such programs on the student's Confirmation Page. If your state does not require district supervision, the parent or guardian responsible for the homeschooling must sign it.
- Students must be enrolled in high school (grades 9-12) during the 2013-14 school year.
- Students submitting **individual projects** must be in good standing, enrolled in and attending their last year of high school (grade 12). Students must complete all high school courses required for college admissions no later than September 1, 2014.
- **Team projects** may have two or three members and do not need to include a senior (grade 12). All team members must be in good standing, enrolled in and attending high school (grades 9-12), although team members may be from different schools. Each team must designate a team leader who serves as the communication liaison between the Siemens Competition and the other members of the team. All team members must have active roles in the design, execution, and delivery of results of the research submitted to the Siemens Competition and have made a substantial contribution to the effort.
- Competition entrants who have parents, guardians, or Competition mentors employed at, or otherwise affiliated with, the Siemens Foundation's university partners (Carnegie Mellon University; Georgia Institute of Technology; Massachusetts Institute of Technology; The University of Texas at Austin, California Institute of Technology; and University of Notre Dame) **may enter** the Competition but **may not be permitted** to compete at the partner university.

where the parent, guardian, or mentor is employed or otherwise affiliated. Furthermore, if lab or research work for the project was performed at any of these six universities, students may enter the Competition but may not be able to compete at that university site.

- Children of employees of either the College Board or the Educational Testing Service **are not eligible** to enter the Competition.

#### **D. Siemens Scholar Network and Future Communications**

The Siemens Foundation maintains an alumni network, offering information and potential opportunities to Semifinalists, Regional and National Finalists that may include:

- Alumni newsletters.
- Leading-edge technological and scientific innovation updates.
- Invitations to participate in future recruiting activities.
- Access to potential opportunities with internship programs of Siemens affiliate companies.
- Participation in future research and other programs or initiatives of the Siemens Foundation, its affiliates, or partner universities.

To receive this information, you must grant the Siemens Foundation permission to include you by **completing the authorization section when you register**. This also allows the partner universities and relevant Siemens affiliates to notify you of these types of opportunities.

### III. ABOUT YOUR PROJECT

#### A. Project Eligibility

Before you begin, you must determine that your project is eligible for the Competition.

- Each student can submit **only one** Research Project which will include all of the materials set forth in these Competition Guidelines (collectively, the "Research Report", "report" or "project"), either as an individual competitor or as a member of a team.
- You may submit a Research Report that has been or will be submitted to other science competitions.
- You may submit a Research Report that has been or will be published, as long as you retain the rights.
- Projects with Human Subjects and Other Vertebrates are allowed; however students must follow the guidelines noted in Section III.C.
- To resubmit a project that already has been submitted to the Siemens Competition in the past, you must be able to clearly demonstrate how the research has been advanced since such prior submission. This information is required during registration.
- Projects that violate any laws, school or Competition regulations or that may potentially place any student, judge, or observer in danger are not eligible.

#### B. Project Topics

Research Reports may be submitted on projects in the fields of mathematics, engineering, biological and physical sciences. They may also involve combinations of disciplines such as:

- |                                 |                                         |
|---------------------------------|-----------------------------------------|
| ▪ Astrophysics                  | ▪ Electrical Engineering                |
| ▪ Biochemistry                  | ▪ Environmental Science and Engineering |
| ▪ Bioengineering                | ▪ Genetics                              |
| ▪ Biology                       | ▪ Geology                               |
| ▪ Biophysics                    | ▪ Materials Science/Nanoscience         |
| ▪ Botany                        | ▪ Mathematics                           |
| ▪ Chemical Engineering          | ▪ Mechanical Engineering                |
| ▪ Chemistry                     | ▪ Microbiology                          |
| ▪ Civil Engineering             | ▪ Nutritional Science                   |
| ▪ Computer Science              | ▪ Physics                               |
| ▪ Earth and Atmospheric Science | ▪ Toxicology                            |

Social and behavioral science research projects are not eligible. Social science is considered to be the study of society or social behavior. Behavioral science is considered any project that involves the study of the actions and reactions of humans and animals through observation and experimental methods.

Neuroscience projects - based on the underlying sciences of biology, chemistry, and physics - are allowed

## C. Human Subjects and Other Vertebrates

The Siemens Competition recognizes that laboratory research using animals and/or human subjects has led to important discoveries. Because this is a high school competition, however, the program has set guidelines as to what is and is not allowable for purposes of entering this Competition. Therefore, students must understand and follow the guidelines below, and mentors must clearly document the use of human subjects and other vertebrates to be eligible for the Competition.

For the purpose of this Competition, **live vertebrates** include humans, mammalian embryos or fetuses, bird eggs within three days (72 hours) of hatching, and all other vertebrates at hatching or birth. **Section V of the Mentor Form, Human Subjects and Other Vertebrate Certification**, must be completed by the advisor, mentor, or supervising scientist. In addition, the student must complete the **Qualification Questions for Vertebrate Animals/Human Subjects Form** as explained in **Section V.E.** of the Competition Guidelines.

Projects that involve in any way, including testing and questioning, the use of **live human subjects or other live vertebrates or the fluids, cells, tissues, or organs from vertebrates** are accepted only under the following conditions:

- The research project is conducted in a registered institution or laboratory in the United States where human or animal experimentation is authorized. The mentor(s) is required to provide the following information on the certification form:
  - Name of the research institution.
  - Title of the study.
  - In the case of human subjects, Institutional Review Board (IRB) approval number and approval date. A high school IRB is **not permitted**.
  - In the case of other vertebrate animals, Institutional Animal Care and Use Committee (IACUC) approval number and approval date.
- The vertebrate animals **cannot be euthanized** for the sole purpose of the student's research project. Fluids, cells, tissues, and organs may be used only if the animals were euthanized for another purpose.
- Projects using commercially purchased human or animal cell lines **ONLY** do not require IACUC or IRB approval. However, the student must complete the Qualification Questions for Vertebrate Animal/Human Subjects Form.

Research projects conducted outside of the United States must follow the same guidelines listed above when using **live human subjects or other live vertebrates or the fluids, cells, tissues, or organs from vertebrates** in accordance with the following:

- The research must be done in an institution that is affiliated with a U.S. registered institution or laboratory. The mentor must provide an IRB or IACUC approval number, or
- The country where the research is done must have, at a minimum, guidelines equivalent to the United States. The mentor must provide evidence of this. In place of an IRB or IACUC approval number, the mentor must provide a copy of the official certification used in that country and specifically for that research project. If the country or affiliate institution has a federal-wide assurance number, please provide. The documentation must be in English.

## D. Academic Integrity

Academic integrity is a fundamental value of the Siemens Competition and scientific research. We expect the Research Report, presentation slides, poster and any other materials submitted to the Competition to be your own work. If you are using text or images from someone else, you are expected to ensure that all facts, techniques, images, and information (including the Internet) are properly cited. It is not sufficient to simply modify the words of an original source. If you have used the essential idea, you must properly cite the source.

In addition, all of the materials submitted to the Siemens Competition, including without limitation the findings, results, conclusions, discoveries, research, data, software, code and all other matters related to the materials, must satisfy the

principles of academic and scientific honesty and integrity and cannot be designed, engineered, altered or modified in any way to fraudulently or illegitimately produce (whether intentionally or unintentionally) a dishonest result.

Documentation may be requested at any time (including after the Competition, after winners have been selected, and after awards have been provided) including, but not limited to computer source code, software, lab journals, cited references, and/or underlying mathematical formulas, to support the research submission and any unsubstantiated claims

The Competition uses specific procedures, as part of the judging process, to detect plagiarized or dishonest materials. If a Research Report or other materials are found to have improper citations, if citations are omitted, intentionally or unintentionally, or if the materials fail to satisfy in any way the principles of academic and scientific honesty and integrity, the College Board and the Siemens Foundation, at their sole discretion, will **disqualify** you from the Competition and notify your high school about the disqualification. Grounds for disqualification may include, but are not limited to plagiarism, claims of novelty and/or substantial significance that cannot be supported, and improper use of vertebrates (if applicable). Disqualification may occur after the end of the Competition, after winners are selected, and after scholarship awards have been provided.

**The integrity of the research project is the responsibility of the author (student) and violations of academic integrity will result in disqualification.**

## **E. Citing Your Sources**

Any piece of information that is not your own original text or is not common knowledge must be properly cited and quoted within the Research Report. This includes facts, techniques and information from other sources (e.g., print, web-based, oral). It is not sufficient to simply modify the words of an original source. All images, figures, histograms, diagrams, graphs, data, must be cited. If you used the essential idea (whether a primary or secondary source), you must properly cite the source. You must also cite and quote any text from other published papers where you are an author.

- **What is the difference between a primary and secondary source?** A primary source comes directly from the researcher. Examples are research articles in journals, patents, or reports. A secondary source comes from information that was originally collected elsewhere by someone other than the researcher. Examples are review articles and books. Both types of sources must be cited.
- **Am I required to cite a photograph or graph that was created by someone else in the lab where I am doing research?** Yes. This is not your own original work and must be properly cited. Examples include images and other graphical materials that have previously appeared in publications or other documents, whether or not they come from the same lab. This requirement also applies even if you were an author of these earlier materials. Failure to properly cite one's own previous work is regarded as self-plagiarism.
- **What is a peer-reviewed source?** Professional journal articles are almost always reviewed by 'peers' or experts to help ensure that the material submitted is legitimate and original. Whether the information comes from a peer-reviewed source or not, it must be cited if you take information from the source.

## **F. Sharing Your Results**

Research Reports and findings are the property of the students. However, the Research Reports and all Additional Required Materials submitted to the Competition are not returned. You agree to permit the Siemens Foundation and the College Board to utilize and share the reports, including any parts and any other documents or corroborating materials (in any form or medium) submitted to supplement the original report, with third parties as it deems appropriate in their respective sole discretion.

Students selected as Regional or National Finalists grant the Siemens Foundation and the College Board nonexclusive, non-royalty bearing worldwide rights to showcase the project design, results, and findings, as well as the student(s) themselves who worked on the project.

By participating in the Competition, the competitors agree to have their photos taken and used publicly and to have their names and images used publicly with respect to the Competition.

## IV. RESEARCH REPORT

The Research Report enables the judges to evaluate the scientific work completed as part of the Competition. Semifinalists and Regional Finalists are selected on the basis of their Research Report. To ensure that the judges focus on the quality of the work completed, rather than on the manner of presentation, strict requirements for the Research Report must be followed. **Research Reports that do not adhere to these guidelines may be disqualified from the Competition.**

### A. Overall Report Requirements

One color original and two color copies of the Research Report must be submitted, so that all graphics and colors are clear on all copies.

The Research Report must be written by the student(s). To ensure fairness, Research Reports are initially evaluated without reference to any personal information about the students.

**Absolutely no** student names or references to gender ("he" or "she"), high schools, school officials, advisors, mentors, affiliated research organizations, acknowledgements, or any other identifying information of the entrants are to appear anywhere in the Research Report. These references may only appear on the **Supplemental Form and Confirmation Page**.

Any piece of information that is not your own original text or common knowledge must be properly cited and quoted within the Research Report. You must also cite and quote any text from other published papers where you are an author. See Section III.E. for additional information.

All claims of novelty and/or substantial significance must be documented. If you choose to use superlatives such as "never before discovered," "state of the art," "best study to date," "new and novel idea," and similar superlatives, be prepared to provide extensive detail to support these statements.

### B. Format Requirements

**The Research Report must:**

- Be written in English.
- Adhere to an 18-page limit. This limit includes the introduction, text, tables, data, illustrations, and appendices. **References are not included in the 18-page limit.**
- Be submitted on 8-1/2 X 11 inch single-sided sheets of paper, double-spaced, with page numbers at the bottom.
- Have page margins of at least 1 inch.
- Use 12 point or larger **Arial or Times New Roman** font for the body of the report. Captions accompanying pictures and graphs, as well as citations for references, may be single-spaced and in a smaller point size.

### C. Content Requirements

These guidelines are provided to help you understand the goals of each section of the Research Report. While the overall Research Report should provide the content as outlined under the following headings, the specifics stated below may vary slightly from one discipline to another. Subheadings should be used in Materials and Methods, Results, and Discussion to clarify the content, but sections such as Results and Discussion may be combined. The pages noted for each section are suggestions only, but the Research Report may be a maximum of 18 pages.

#### **Introduction: the "why" section (2-3 pages)**

- Start with a broad picture of the problem you have chosen to study and why it is interesting. Provide a brief review of pertinent scientific literature, describe what information is missing and how your work addresses this gap in the literature. Previous relevant publications and patents must be properly cited in the text of the Research Report and included in the Reference section of your report.

- Describe the specific problem to be solved, the research question to be answered, the hypothesis(es) to be tested, or the product to be developed (if any). Provide a brief rationale for the research and why the work is important.

#### **Materials & Methods: the "how" section (2-5 pages)**

- Describe how you performed your work, giving sufficient detail so that someone trained in the field is able to understand what you did and can replicate it.
- Include the methods you used, written in a format commonly used in publications in your field of study. Do not merely restate a protocol or copy blocks of text; instead, use your own words to describe what you did, referencing key papers where appropriate.
- Explain your personal role in the work and the roles played by others in supporting this work. Include, for example, acknowledgments to others in the laboratory for running key instrumentation or other protocols. You may refer to others who assisted you by title but do not include any specific names in the body of your Research Report.
- Mention common procedures but there is no need to describe them in detail; provide references to where the method is published. All modifications of existing methods should be described.

#### **Results: what did you find? (4-5 pages)**

- Present your findings in sufficient detail so that the reader understands the results that were obtained or can follow each step of a mathematical proof.
- Describe how the results address the problem to be solved, the research question to be answered, or the hypothesis to be tested.
- Present all experiments, controls and statistical tests that show the results are reliable and statistically significant. In theoretical work, present the experimental findings against which the work was tested, the extent to which it was validated, or both.

#### **Illustrations: documenting your findings (2-4 pages)**

- Use illustrations to document the textual description of your results. Each illustration should be numbered in sequence and should be accompanied by its own legend. The illustration plus its legend should stand alone — the reader should understand it without having to read the text of the paper.

#### **Discussion: what do your results mean? (3-4 pages)**

- Provide readers with an interpretation of the results, enabling them to understand the implication(s) of your findings.
- Describe what makes your work unique in the context of published findings and what distinguishes it from that of others in the field, or in your laboratory. In other words, put the work in context with other reports that ask the same or related questions, and address whether or not your observations are consistent with or enhance other findings in the field.

#### **Conclusions and Future Work: what did you learn and what's next? (1-3 pages)**

- Recap briefly what was learned from your research and how your work addresses the unanswered question(s) that you posed in the introduction.
- Assess the validity of the conclusions, which is an important component of any scientific report. In particular, are your conclusions fully supported by the results described in the report alone or in conjunction with prior literature? Are there alternative explanations for your observations that cannot be ruled out?
- Determine what experiments could be performed in the future to refine your conclusions.
- Indicate what you would do next if you had more time, and what you would do differently if you were to start the work today.

- Consider what questions still remain to be answered.

#### **D. References (not included in 18-page limit)**

Citations and references must be in complete and correct standard format for the discipline. Consult with a teacher in your science or math department, or your mentor for assistance. You may also refer to *The Mayfield Handbook of Technical & Scientific Writing*, on the Siemens Foundation website ([www.siemens-foundation.org](http://www.siemens-foundation.org)).

Reference pages must be contained in the same size font as the body of the Research Report (12 point or larger). Each individual reference should be single-spaced with a double space between references.

Any piece of information that is not your own original text or is not common knowledge must be properly cited and quoted within the Research Report. This includes facts, techniques and information from other sources (e.g., print, web-based, oral). It is not sufficient to simply modify the words of an original source. All images, figures, histograms, diagrams, graphs, data, must be cited. If you used the essential idea (whether a primary or secondary source), you must properly cite the source. You must also cite and quote any text from other published papers where you are an author. See Section III E. for additional information.

## V. ADDITIONAL REQUIRED MATERIALS

In addition to your Research Report and References, your Research Project submission must include **one original and two copies** of each of the following items (unless otherwise noted):

### A. Confirmation Page

Research Projects **MUST** include the Confirmation Page with all required signatures. The Confirmation Page lists all of the registration information provided by the individual or team leader and is available **ONLY** after the online registration is submitted. You must complete the online registration early enough to print the Confirmation Page and obtain the required signatures.

**Print the Confirmation Page.** It is your responsibility to review the Confirmation Page for accuracy and to ensure that all information is printed and legible. Depending on your printer, you may need to print the Confirmation Page in "Landscape" orientation. Make any necessary corrections (spelling of names, etc.) on the original, prior to making copies.

**Signatures are required from:**

- Each student participant.
- Parent or guardian of each student participant.
- School administrator of the individual's or team leader's high school.

For homeschooled students, obtain the signature of the school district official responsible for such programs. If your state does not require district supervision, the parent or guardian responsible for homeschooling must sign the Confirmation Page.

If you lose your Confirmation Page, or need to reprint it for any reason, log back into your registration. Select the red "Print Confirmation Page" link in the upper right-hand corner of the screen.

The Confirmation Page is **not included** in the 18-page limit for the Research Report.

### B. Supplemental Form

The purpose of the Supplemental Form is to collect the identifying information that students are not permitted to provide in the body of the Research Report. This form includes student name, high school, information on publications, and acknowledgements of all people and affiliated research institutions involved with your project. The accuracy of this document is vital to the academic integrity of your Research Project

You are required to complete the Acknowledgements section and must include the name, title, institution, and role of any individuals who assisted with the Research Project, including family members. Receiving assistance from family members does not affect the judging in any way, but you must acknowledge their support. Failure to identify others who assisted you with any aspect of your research may result in disqualification.

The Supplemental Form is **not included** in the 18-page limit for the Research Report.

### C. Abstract

The Abstract is a technical synopsis of the problem, methods, results and conclusions. It should be double-spaced using 12 point or larger Arial or Times New Roman font, 100-200 words long, include the research project title, and be printed on its own page.

No identifying information, such as name, high school, or references to gender or research facilities should be included in the Abstract.

Sample Abstracts from previous winners can be found on the Siemens Foundation website ([www.siemens-foundation.org](http://www.siemens-foundation.org)).

The Abstract is **not included** in the 18-page limit for the Research Report.

#### **D. Executive Summary**

The Executive Summary presents the question asked, the methods used and the lessons learned. The summary on its own, separate from the Research Report, should convey the essence of your project and should be understood by someone without scientific expertise. **Do not simply replicate what you wrote in your Abstract.** The difference between the Abstract and the Executive Summary is that the Executive Summary must be written in layperson (non-specialist) language. The summary will be used to explain your project to the general public and in preparing press releases for the media.

The Executive Summary must be double-spaced and use 12 point or larger Arial or Times New Roman font. No identifying information, such as name, high school, or references to gender or research facilities should be included.

The Executive Summary may not exceed one page and is **not included** in the 18-page limit for the Research Report.

#### **E. Qualification Questions for Vertebrate Animals/Human Subjects Form**

If your Research Project uses vertebrate animals and/or human subjects, including projects using commercially purchased human or animal cell lines, you must complete this form. Your response provides you an opportunity to describe your use of vertebrates to the judges. Like the Research Report, the responses must be written by the student(s) and **no** student names or reference to gender, high schools, school officials, advisors, mentors, affiliated research organizations, or acknowledgements of the entrants are to appear anywhere in the answers below. The form asks the following questions:

- Why was it necessary for you to use live animals and/or humans or fluids, cells, tissues, or organs from vertebrates in your research? Justify the species used.
- Describe how you used the animals and/or humans in the research. Further, describe whether the animals were euthanized before or after your experiments and for what purpose.

The Qualification Questions for Vertebrate Animals/Human Subjects Form is **not included** in the 18-page limit for the Research Report.

#### **F. CD-ROM (one original only)**

A copy of your Supplemental Form, Abstract, Executive Summary, Research Report with References, and Qualification Questions for Vertebrate Animals/Human Subjects Form (if applicable), must be saved to a CD-ROM and submitted with your report. Save all required items as ONE Word or PDF document. All required materials saved on the CD-ROM must be identical to the printed copy. The individual or team leader's name and the project confirmation number must be noted directly on the CD-ROM. Please print legibly with a permanent marker or affix a typed label.

#### **G. Mentor Form (one original and three copies)**

Individuals and teams must have their mentor complete the Mentor Form. The mentor is the individual most closely associated with the Research Project, such as the student's research supervisor or another qualified scientist who gave support throughout the course of the Research Project.

**If a student or team does not have a mentor, a teacher or other high school administrator must complete the form.**

Additionally, if any of the research was conducted at a university or other registered laboratory facility, the signature of the lab director or head of the research group is required.

If the Research Project involves vertebrate animals and/or human subjects, **Section V of the Mentor Form, Human Subjects and Other Vertebrate Certification**, is required and must be completed and signed by the advisor, mentor, or supervising scientist. This section of the form is carefully reviewed to determine the project's eligibility, in accordance with the Competition Guidelines.

**Signatures are required from:**

- Mentor.
- Lab director or head of the research group (if research conducted at a university or registered laboratory/institution).
- High school administrator (if no mentor).

You are required to submit **one original and three copies** of the Mentor Form. The Mentor Form may be submitted separately from the Research Project or placed in a sealed envelope and mailed with it. If mailed separately, both must be received by the deadline for the Competition.

The Mentor Form is **not included** in the 18-page limit for the Research Report.

## VI. REGISTRATION AND SUBMITTING YOUR PROJECT

### A. Registration

Registration opens Wednesday, May 1, 2013 at <http://siemens.collegeboard.org>.

- Follow the instructions carefully when registering.
- Every individual or team submitting a Research Project in the Competition must register online prior to the project submission deadline of Monday, September 30, 2013, 5 p.m. ET.
- For team projects, the team leader must register online for each of the other team members
- **REGISTER EARLY!** The Confirmation Page lists all of the registration information provided by the individual or team leader and is available ONLY after the online registration is submitted. You must complete the online registration early enough to print the Confirmation Page and obtain all required signatures. Research Projects MUST include one original and two copies of the Confirmation Page with all required signatures; otherwise the project will **not** be accepted.
- Registering does not guarantee your entry into the Competition. Research Reports and all Additional Required Materials MUST be received by Monday, September 30, 2013 at 5 p.m. ET. See Section VI.B. for more information
- If you do not have access to the Internet, experience technical difficulties, or have questions, please contact the College Board at (877) 358-6777.

**You need the following to begin your registration. If you are a team leader, you need the information for each team member:**

- Name, address, home telephone number, cell phone number, and email address.
- Date of birth.
- Citizenship Status (U.S. Citizen or Permanent Resident). For Permanent Residents, Alien Number is required.
- Official high school name, address, telephone number, and principal's name.
- Mentor's name, address, telephone number, and email address.
- If your project involves Human Subjects and/or Other Vertebrates, carefully review Section III.C. prior to submitting your registration online.
- If you or your team members have submitted a project(s) to the Competition in the past, you must provide detailed information about the prior year project as requested on the Registration Page.
- Permission to release information concerning future educational and career opportunities. See Section II.D. for additional information.

### B. Submitting Your Project

Your Research Report and all Additional Required Materials must be **received** by Monday, September 30, 5 p.m. ET. **Submissions are not accepted by fax or email.**

**New for 2013: Research Projects will no longer be accepted by hand delivery. All projects must be sent by regular mail or overnight/express delivery (e.g., FedEx, UPS) and arrive on or before Monday, September 30, 2013, 5 p.m. ET.**

If sending a project via regular mail, the U.S. Postal Service (USPS) provides services that allow you track the shipment and confirm delivery for a minimal fee. Visit <https://www.usps.com/ship/insurance-and-extra-services.htm> to learn more. The student is responsible for providing proof of delivery in the event the Research Project is not received. Due to the large volume of projects received, confirmation of receipt of Research Project is not possible by telephone or email.

**Address for USPS mail:**

Siemens Competition  
Educational Testing Service  
P.O. Box 6730  
Princeton, NJ 08541

**Address for overnight mail/express delivery (e.g., FedEx, UPS):**

Siemens Competition  
Educational Testing Service  
1425 Lower Ferry Road, Room Q220  
Trenton, NJ 08618\*  
Phone: (609) 771-7878

\*The zip code 08618 may list Ewing or Trenton. Both cities are correct.

## VII. JUDGING

The Siemens Competition recognizes student Research Projects that display originality, creativity, academic rigor, and clarity of communication. The College Board assembles a panel of research scientists to conduct the initial review of all project submissions.

Judges identify projects that exhibit not only scientific excellence, but also the collaborative teamwork that is often characteristic of successful scientific and technological endeavors.

Students should consider the audience who is judging the Research Projects. For the initial review and for the national level of the Competition, judges are selected by their field of expertise and come from both academic and laboratory settings. For the regional level, judges are selected by the Siemens Foundation's partner universities.

Team projects are evaluated separately from individual projects, using the same criteria. Additional attention is given to the collaboration among team members and to each team member's contribution to the project effort.

### A. Judging Process

#### Initial Judging

During the initial judging, only the Research Reports are evaluated. These Research Reports are judged solely on project merits. The initial judges do not have any information about you (name, gender, school, age, or state).

#### Semifinalists

Up to 300 outstanding projects are selected as finalists. From those 300 projects, up to 60 will advance to the Regional Finalist stage of the Competition and the others will be honored as Semifinalists. Students chosen as Semifinalists receive a special recognition package; their names are announced in an advertisement in *USA Today* and posted on the Siemens Foundation website ([www.siemens-foundation.org](http://www.siemens-foundation.org)).

#### Regional Finals

Up to 10 projects (up to five individual and up to five team projects) from each of six geographic regions are selected to compete at the regional level of the Competition.

At the regional and national levels of the Competition, judges evaluate the Research Report, the References cited in the Research Report, poster display, oral presentation, and private question-and-answer session. Factors such as knowledge of the science involved, your role in the project, and ideas extending from the work are also considered.

#### National Finals

The six individual and six team regional winners compete at the national level. National Finalists are required to display their posters, make oral presentations, and respond to questions before a national panel of judges.

### B. Judging Criteria

The regional and national judges use these criteria to evaluate all aspects of the projects and presentations.

- **Creativity:** Is the project original and imaginative? What is the origin of the student's interest in the topic? Did the student develop new solutions or procedures? To what extent were the student's talent and insight incorporated into the project? How did the student address any surprising or unforeseen developments?
- **Field knowledge:** Does the student demonstrate strong knowledge of the area of inquiry and the underlying scientific or mathematical issues?
- **Comprehensiveness:** Are sufficient details given so that others can replicate the work? If the work is experimental, are the variables and controls clearly defined? Did the student use the correct quantitative measures? Are the

procedures well-defined? Were measuring tools chosen and used appropriately? Does the Research Report fully explain the project itself or is further explanation needed?

- **Interpretation:** Has the student stated the interpretations and conclusions clearly? How scientifically reasonable and credible are the data, interpretations, and conclusions? Do the conclusions and interpretations follow from the results presented? Can claims of novelty or improvement be justified? What are the limits of the interpretations and the conclusions? Are there alternative conclusions that fit the results?
- **Literature review:** Does the report reference appropriate related works and place the study in a proper context? Are all sources used in the research listed as references? Are the references cited within the text?
- **Scientific importance:** Does the project address an important scientific, technical, or mathematical question or major issue? Does the student's work demonstrate a high level of intellectual input, and is it innovative? Do the findings substantially add to the understanding of the area investigated?
- **Future work:** Is there a discussion of future or follow-up research? If so, what further data would be needed? What are possible applications of the work?
- **Clarity of expression:** Is the project understandable? Is the material presented logically and coherently? Are the key points, problems, and solutions stated clearly and precisely? Does the student use tables and figures appropriately? Was the Research Report carefully proofread for spelling and grammar?
- **Presentation:** Is the method of presentation consistent with the nature of the work and with scientific practice in the discipline involved?

#### **Additional Criteria for Team Projects**

- **Teamwork:** Is it clear how each member contributed? Was there an appropriate distribution of workload and responsibilities? All team members must have active roles in the design, execution and delivery of results of the research submitted to the Siemens Competition and have made a substantial contribution to the effort.

## VIII. REGIONAL AND NATIONAL FINALISTS

The College Board will assign students to compete at one of the six regional competitions. The Siemens Competition uses the regional structure shown in the regional map. This map can be viewed at <http://siemens.collegeboard.org> by clicking on the "Regional and National Finalists" tab. The Competition selects up to 10 projects (up to five individuals and up to five teams) from each of the six geographic regions. Finalists (including all team members) must attend and participate in all required Competition events.

If you are chosen, you receive an expense-paid trip, with a chaperone, to the campus of a university partner. A panel of judges appointed by the host institution reviews the projects.

Students who win at the regional level compete at the national level. The National Finalists receive an expense-paid trip, with a chaperone, to Washington, D.C.

### **Regional and National Finalists are required to:**

- Prepare a poster display of the Research Project.
- Deliver a 12-minute oral presentation about the research and findings.
- Participate in a private question-and-answer session with the judges (14 minutes at Regional Competition, 12 minutes at National Competition)

Judges expect to hear concise presentations and responses to their questions during the question-and-answer session. You must also be able to describe further aspects of your project, including the fundamental science behind what you have done. All aspects of the projects and presentations inform the final decisions of the judges.

**Research completed after the original submission may not be presented during the oral presentation or included in the poster.** Corrections are permitted (e.g., fixing a typo in the report) as long as the correction does not reflect new data or findings.

Finalists are evaluated on these items, in addition to the Research Report and References cited. The Regional and National Poster, Oral Presentation and Q&A Guidelines can be found on the College Board's Siemens Competition website (<http://siemens.collegeboard.org>) under the "Regional and National Finalists" tab

## **IX. FAQs**

### **Abstract**

#### **How do I create an Abstract?**

The Abstract is a synopsis of the problem, methods, results, and conclusions in your Research Report. It should be 100-200 words long, include the project title, and stand alone on a page. Do not include identifying information, such as your name, high school, research facilities, mentors, or advisors. Do not use gender ("he" or "she") in the abstract. The Abstract is not counted as part of the 18-page report. Sample Abstracts from previous winners are on the Siemens Foundation website.

### **Acknowledgements**

#### **Why can't we use names or acknowledgements in our Research Report?**

This ensures that the projects are judged on the quality of your research. Do not include identifying information, such as your name, high school, research facilities, mentors, or advisors. Do not use gender ("he" or "she"). Research Reports that include identifying information may be disqualified.

Acknowledgements must be listed on the Supplemental Form. List any individuals that assisted you with your Research Report, including family members.

#### **I want to reference certain individuals or institutions in my Research Report. How can I do so without mentioning a name?**

You may use a generic expression to distinguish your references. For example, if you are citing the work you performed in a research institution, your Report could read "research facility." If you wish to cite an individual, such as your advisor, you may substitute the word "advisor." Specific names must only be listed on the Supplemental Form, under Acknowledgements, and on the Confirmation Page.

### **Behavioral Science Topics**

#### **What type of project would be considered behavioral science and thus, ineligible?**

Any project that involves the study of the actions and reactions of humans or animals, through observation, experimental methods, or both is ineligible. Social science projects, the study of society or social behavior, are also ineligible.

### **Categorization**

#### **I don't know how to categorize my project. Does this matter?**

Your Research Project must be categorized as accurately as possible, so the appropriate judges can be assigned. Consult a teacher in your science or math department, or your mentor, to help you. If your project crosses several disciplines, place it in the one where you feel it best belongs. If necessary, the judges reassign projects to ensure qualified judges review your work.

### **Citations and References**

#### **I don't know how to format my citations and references. What is acceptable?**

Citations and references should be in complete and correct standard format for the discipline. Consult a teacher in your science or math department, or your mentor. You may also refer to *The Mayfield Handbook of Technical & Scientific Writing*, on the Siemens Foundation website ([www.siemens-foundation.org](http://www.siemens-foundation.org)).

### **Eligibility**

#### **I am not a citizen of the United States and do not hold a green card. May I still apply for the Competition?**

No. You must be a U.S. Citizen or Permanent Resident (holding a green card). The Siemens Foundation established this program to close the growing skills gap we have in math, science, and technology here in the United States.

**I have applied for a green card, but have not received it yet. Can I still enter the Competition?**

No. All individuals or team members must first obtain green cards or permanent resident status before registering for the Competition and submitting a Research Report. You need to obtain a green card before the Competition deadline in order to be eligible.

**Are exceptions made for non-seniors to submit individual projects?**

No. Exceptions are not made. Students submitting **individual** projects must be in good standing, enrolled in, and attending their last year of high school. You must complete all high school courses required for college admissions no later than September 1, 2014. Team projects may include seniors, but are not required to do so.

**Are exceptions made for teams with more than three students?**

No. Exceptions are not made. Teams may have only two or three students.

**Are we allowed to submit a research project again?**

Yes. You may resubmit a project. However, you are required to demonstrate that you have substantially advanced the project and must provide this information during registration.

**I will not be 13 years old by the Competition deadline and/or my birth year does not appear on the registration drop down. What should I do?**

Please email the College Board at [spro@collegeboard.org](mailto:spro@collegeboard.org).

## **Mentors**

**What if I don't have a mentor or advisor?**

If you do not have a mentor or advisor, a teacher or high school administrator must complete the Mentor Form. If your project utilized any vertebrates, including human subjects, **Section V of the Mentor Form, Human Subjects and Other Vertebrate Certification**, must be completed by the supervising scientist at the lab where the experiment was performed.

**When am I required to obtain the signature of the lab director on my Mentor Form?**

If you are doing your research at a university, or other registered laboratory facility, the signature of the lab director is required. If you are doing your research at your high school, you are not required to obtain this signature.

## **Online Registration**

**I submitted my registration online and received my Confirmation Page. Then I realized that I had entered some incorrect information and misspelled words. How do I fix this?**

Use a pen to make your corrections directly on the Confirmation Page. Once you mail your Research Report, the changes are corrected for you.

## **Publications**

**Can I submit my Research Report if I plan to submit it for publication or if it has already been published?**

Yes. You must retain the full rights to your research. Indicate where your research was submitted or published on the Supplemental Form. Your mentor should also include this information on the Mentor Form.

## **Research Report/Research Project Defined**

**What is the difference between the Research Report and the Research Project?**

The Research Report is the written 18-page paper and References describing your research. The Research Project contains your Research Report, plus all Additional Required Materials, or in other words, the entire project submission.

## Research Report Requirements

### Are my graphs and charts included in the 18-page limit?

Yes. The Confirmation Page, Supplemental Form, References, Abstract, Executive Summary, and Qualification Questions for Vertebrate Animals/Human Subjects Form are the only parts of the Research Project that are **not included** within the 18-page limit. The remaining text, tables, data, illustrations, and appendices are counted as part of the 18-page limit.

### How many graphics and illustrations are allowed?

You may use as many as you would like. However, it is important to remember that all graphics are counted as part of the 18-page limit.

### When should I start on my Research Project?

Start early! There is no time limit on the period of time spent on the Research Project. The number one reason students do not enter the Competition is they ran out of time.

### When submitting the Research Reports, can the original signatures be photocopied?

You do not need to get all copies signed with an original signature. Get one form signed and then make photocopies.

## Vertebrates

### My Research Project involves working with live vertebrates and/or human subjects. Is this allowed?

Research Projects involving live vertebrates are allowed **only** under the following conditions:

- The project is **not** behavioral science.
- The research must be approved by an Institutional Animal Care and Use Committee (IACUC) or Institutional Review Board (IRB). A high school IRB is not permitted
- The research must be conducted in a registered institution or laboratory.

### My Research Project involves examining tissue of a vertebrate that was initially collected for another research experiment. I was not involved in that experiment. Tissues were provided to me. Is my project allowed?

You may examine fluids, cells, tissues, or organs if the materials were supplied to you by the supervising scientist and any animal that was euthanized for a purpose other than your research. Your research must be conducted in a registered institution or laboratory where this type of experimentation is authorized. The supervising scientist is required to complete Section V of the Mentor Form. The form requires the Institutional Animal Care and Use Committee (IACUC) approval or Institution Review Board (IRB) approval, when applicable.

## Competition Facts and Information

### Is the deadline when the Research Project must be received or when it must be postmarked?

Monday, September 30, 2013, 5 p.m. ET is when your Research Report and all Additional Required Materials **must be received**.

### How many Research Projects are received each year?

We receive approximately 1, 500 projects from individuals and teams.

### How many finalists are chosen?

Up to 300 outstanding projects (a maximum of 50 in each of the six geographic regions) are selected as finalists, including both regional and semifinalists.

**How many regional finalists are chosen?**

Up to 10 projects (five individual projects and up to five team projects in each of the six geographic regions) are selected to compete at the regional level of the Competition.

**Which university do I compete at if I advance to the regional level?**

The College Board will assign students to compete at one of the six regional competitions. The Siemens Competition utilizes the regional structure shown in the regional map, which can be found on the College Board website. Assignments will be based on a number of factors including the location of the student's school, where the student conducted his/her research, and/or whether there is any potential conflict of interest including if the parent or mentor works at or attends one of the partner universities. Students will not be reassigned due to personal schedule conflicts.

**I still have questions. Whom do I contact?**

**The College Board – Siemens Competition**

Email: [spro@collegeboard.org](mailto:spro@collegeboard.org)

Phone: (877) 358-6777

Fax: (703) 935-7795

## **X. SIEMENS COMPETITION CHECKLIST**

☐ **Register Online**

**REGISTER EARLY!** You must complete the online registration early enough to print the Confirmation Page and obtain all required signatures. The Confirmation Page is only available after the online registration is submitted.

- Review registration Review Page for accuracy before submitting the online registration.
- Print Confirmation Page. It is your responsibility to review the Confirmation Page for accuracy and to ensure that all information is printed and legible. Depending on your printer, you may need to print the Confirmation Page in "Landscape" orientation. Acquire the required signatures (each student participant, parent or guardian for each student participant, and school administrator). Make corrections in ink, prior to making copies.

☐ **Write Abstract and Executive Summary**

☐ **Complete Supplemental Form**

☐ **Complete Qualification Questions for Vertebrate Animals/Human Subjects Form**

Required if your project used vertebrate animals (including materials from vertebrates or human subjects) or commercially purchased cell lines.

☐ **Provide the Mentor Form to your mentor to complete**

The Mentor Form must also be received on or before Monday, September 30, 2013 at 5 p.m. ET and may be sent with the Research Project or separately. See section V.G. for additional information.

☐ **Review Research Report for the following:**

- No names of student(s), high school(s), mentor/advisor(s), affiliated research organization(s), acknowledgements(s), or terminology that depicts your gender (e.g. "he" or "she")
- Review citations and references to ensure proper format for the discipline.
- Spelling and grammatical errors. Project does not exceed 18-page maximum limit that includes the introduction, data, illustrations, text, and appendices.

☐ **Assemble three sets of your Research Project** (one original and two copies) of the following documents, in this order:

- Confirmation Page
- Supplemental Form
- Abstract
- Executive Summary
- Qualification Questions for Vertebrate Animals/Human Subjects Form (if applicable)
- Research Report (one **color** original and two **color** copies) – all graphics, colors and images must be clear on all copies
- References

☐ **Create One CD-ROM**

Copy of Abstract, Executive Summary, Supplemental Form, Qualification Questions for Vertebrate Animals/Human Subjects, Research Report, and References. The individual or team leader's name and the project confirmation number must be noted directly on the CD-ROM. Please print legibly with a permanent marker or affix a typed label.

☐ **Determine if Mentor Form (1 original and three copies) will be submitted with research project or separately.** See section V.G. for more information.

☐ **Mail three sets of your project, CD-ROM and Mentor Form (if sending with project) to be received Monday, September 30, 2013, 5 p.m. ET.**