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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury
Internal Revenue Service

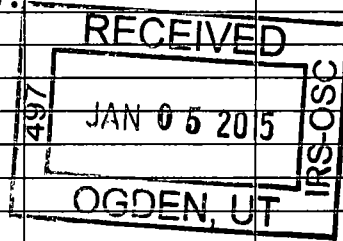
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Open to Public Inspection

For calendar year 2013 or tax year beginning **OCT 1, 2013**, and ending **SEP 30, 2014**

Name of foundation THE PLATT FOUNDATION, INC.		A Employer identification number 52-1102776
Number and street (or P O box number if mail is not delivered to street address) 12826 DOVER ROAD	Room/suite	B Telephone number 410-561-3500
City or town, state or province, country, and ZIP or foreign postal code REISTERSTOWN, MD 21136		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 998,188.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	38,402.	38,402.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-1,572.			
	b Gross sales price for all assets on line 6a	53,000.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	121.	121.		STATEMENT 2	
12 Total. Add lines 1 through 11	36,951.	38,523.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	1,600.	1,600.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	3,600.	3,600.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	5,200.	5,200.		0.
	25 Contributions, gifts, grants paid	124,225.			124,225.
26 Total expenses and disbursements. Add lines 24 and 25	129,425.	5,200.		124,225.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-92,474.				
b Net investment income (if negative, enter -0-)		33,323.			
c Adjusted net income (if negative, enter -0-)			N/A		



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	10,396.	9,093.	9,093.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds STMT 5	1,059,991.	968,820.	989,095.
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	1,070,387.	977,913.	998,188.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,070,387.	977,913.	
30 Total net assets or fund balances	1,070,387.	977,913.		
31 Total liabilities and net assets/fund balances	1,070,387.	977,913.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,070,387.
2 Enter amount from Part I, line 27a	2	-92,474.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	977,913.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	977,913.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	4712 SHS TRP GNMA	P	VARIOUS	10/07/13
b	525 SHS TRP GNMA	P	VARIOUS	11/29/13
c	317 SHS TRP GNMA	P	VARIOUS	12/17/13
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a	45,000.	46,302.	-1,302.	
b	5,000.	5,161.	-161.	
c	3,000.	3,109.	-109.	
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				-1,302.
b				-161.
c				-109.
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2 -1,572.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		}		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	129,767.	1,116,391.	.116238
2011	94,700.	1,166,404.	.081190
2010	123,692.	1,182,417.	.104609
2009	166,653.	1,282,303.	.129964
2008	155,497.	1,149,507.	.135273
2 Total of line 1, column (d)			2 .567274
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .113455
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 1,000,457.
5 Multiply line 4 by line 3			5 113,507.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 333.
7 Add lines 5 and 6			7 113,840.
8 Enter qualifying distributions from Part XII, line 4			8 124,225.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	333.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	333.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	333.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	537.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	537.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	204.
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	X	
14	The books are in care of ▶ <u>PLATT FOUNDATION</u> Telephone no. ▶ <u>410-561-3500</u> Located at ▶ <u>12826 DOVER ROAD, REISTERSTOWN, MD</u> ZIP+4 ▶ <u>21136</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 <u>N/A</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u>N/A</u> ▶ <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____, _____, _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____, _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) <u>N/A</u>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870. 6b X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No N/A 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Table with 3 columns: (a) Name and address of each person paid more than \$50,000, (b) Type of service, (c) Compensation. Row 1 contains 'NONE'. Total number of others receiving over \$50,000 for professional services is 0.

Part IX-A Summary of Direct Charitable Activities

Table with 2 columns: Description of activities, Expenses. Row 1 contains 'N/A'. Rows 2, 3, and 4 are blank.

Part IX-B Summary of Program-Related Investments

Table with 2 columns: Description of investments, Amount. Row 1 contains 'N/A'. Row 2 is blank. Row 3 contains 'All other program-related investments. See instructions.'. Total Add lines 1 through 3 is 0.

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,004,346.
b	Average of monthly cash balances	1b	11,346.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,015,692.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,015,692.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	15,235.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,000,457.
6	Minimum investment return. Enter 5% of line 5	6	50,023.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	50,023.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	333.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	333.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	49,690.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	49,690.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	49,690.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	124,225.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	124,225.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	333.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	123,892.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				49,690.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	98,028.			
b From 2009	109,932.			
c From 2010	65,887.			
d From 2011	37,284.			
e From 2012	74,713.			
f Total of lines 3a through e	385,844.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$	124,225.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				49,690.
e Remaining amount distributed out of corpus	74,535.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	460,379.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	98,028.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	362,351.			
10 Analysis of line 9:				
a Excess from 2009	109,932.			
b Excess from 2010	65,887.			
c Excess from 2011	37,284.			
d Excess from 2012	74,713.			
e Excess from 2013	74,535.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE ATTACHED LIST	NONE	EXEMPT	UNRESTRICTED	124,225.
Total				▶ 3a 124,225.
b Approved for future payment				
NONE				
Total				▶ 3b 0.

FORM 990-PF	CORPORATE BONDS	STATEMENT	5
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
FIXED INCOME FUND	968,820.	989,095.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	968,820.	989,095.	

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	6
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAMES B. PLATT, III 1590 52ND STREET, GULF SIDE @ PELICAN PASS MARATHON, FL 33050	PRESIDENT/CHAIRMAN 0.50	0.	0.	0.
DWIGHT SAGE PLATT 1609 BROADWAY ROAD LUTHERVILLE, MD 21093	VICE PRESIDENT/TREASURER 0.50	0.	0.	0.
DONNA L. TRIMELONI 2047 BACHMAN VALLEY ROAD MANCHESTER, MD 21102	SECRETARY/RESIDENT AGENT 1.00	0.	0.	0.
JAMES B. PLATT, III 1590 52ND STREET, GULF SIDE @ PELICAN PASS MARATHON, FL 33050	DIRECTOR 0.00	0.	0.	0.
DWIGHT SAGE PLATT 1609 BROADWAY ROAD LUTHERVILLE, MD 21093	DIRECTOR 0.00	0.	0.	0.
MARY PLATT COOPER 891 BARNSTOWN ROAD HOPE, MA 04847	DIRECTOR 0.00	0.	0.	0.
DWIGHT SAGE PLATT, JR 202 WARREN AVENUE BALTIMORE, MD 21230	DIRECTOR 0.00	0.	0.	0.

THE PLATT FOUNDATION, INC.

52-1102776

CHARLES HARRIS PLATT
1503 RUSSELL ROAD
ALEXANDRIA, VA 22301

DIRECTOR
0.00

0. 0. 0.

SUSAN PLATT GREENE
103 UNWIN PLACE
CHAPEL HILL, NC 27516

DIRECTOR
0.00

0. 0. 0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0. 0. 0.

THE PLATT FOUNDATION, INC.
 EIN 52-1102776
 STATEMENT OF CONTRIBUTIONS
 FISCAL YEAR 10/01/2013 - 09/30/2014

<u>DATE OF GIFT</u>	<u>CHECK #</u>	<u>NAME OF CHARITY</u>	<u>ADDRESS</u>	<u>AMOUNT</u>
10-03-2013	1122	ABCD ARCHBISHOP'S CHARITIES DRIVE 305-762-1145 - DEVELOPMENT OFFICE 305-582-5368 CELL# - EDITH BARAHONA	C/O SAN PABLO CATHOLIC CHURCH 550 122ND STREET, OCEAN MARATHON, FL 33050	2,000 00
10-03-2013	1123	ALS - AMYOTROPHIC LATERAL SCLEROSIS ASSOCIATION 818-880-9007	27001 AGOURA ROAD, SUITE 250 CALABASAS HILLS, CA 91301-5104	2,000 00
06-13-2014	1153	AVON OLD FARMS SCHOOL 860-404-4100	500 OLD FARMS ROAD AVON, CONNECTICUT 06001	1,000 00
10-03-2013	1124	B&O RAILROAD MUSEUM 410-752-2490 RESTORATION OF THE #217 DAVIS CAMEL	ATTN MR COURTNEY B WILSON EXECUTIVE DIRECTOR 901 WEST PRATT STREET BALTIMORE, MD 21223	1,000 00
10-03-2013	1128	BALTIMORE MUSEUM OF INDUSTRY 410-727-4808	ATTN ROLAND H WOODWARD, EXECUTIVE DIRECTOR 1415 KEY HIGHWAY INNER HARBOR SOUTH BALTIMORE, MD 21230	1,500 00
06-13-2014	1154	BALTIMORE SQUASH WISE 410-402-0085	ATTN MS ABBY MARKOE, EXECUTIVE DIRECTOR 3600 CLIPPER MILL ROAD, SUITE # 107 BALTIMORE, MD 21211	5,000 00
10-03-2013	1125	BERKSHIRE SCHOOL 413-229-1237 SCHOOL ANNUAL FUND - MAPLES SYRUP FUND DAVID SHUMWAY BARRETT 59 MEMORIAL PRIZE FOR PERSEVERANCE FRANK BEATTIE ENDOWMENT AND JOHN F GOODMAN FUND (1995)	ATTN MS PENNY HUDNUT 245 NORTH UNDER MOUNTAIN ROAD SHEFFIELD, MA 01257	5,000 00
10-03-2013	1127	BOOTS FOR BALTIMORE 410-435-7333	ATTN. MS SUZANNE H WILLS 5603 NORTH CHARLES STREET BALTIMORE, MD 21210	500 00
09-03-2014	1158	BROWNE ACADEMY 703-960-3000	5917 TELEGRAPH ROAD ALEXANDRIA, VA 22310	20,000 00
10-03-2013	1128	CATHOLIC CHARITIES OF FLORIDA 305-289-0636	C/O SAN PABLO CATHOLIC CHURCH 550 122ND STREET, OCEAN MARATHON, FL 33050	3,000 00
10-03-2013	1129	CHESTNUT RIDGE VOLUNTEER FIRE COMPANY 410-252-9734	ATTN MR HARRY KAKEL, TREASURER 12020 GREENSPRING AVENUE OWINGS MILLS, MD 21117	500 00
08-12-2014	1160	CROSS ROADS COMMUNITY CHURCH	895 LEIDY ROAD WESTMINSTER, MD 21157	1,000 00
10-03-2013	1130	FLORIDA HIGHWAY PATROL CHAPTER OF THE FLORIDA POLICE BENEVOLENT ASSOCIATION, INC 800-894-9555 - 850-222-3329	ATTN MONROE COUNTY CAMPAIGN 300 EAST BREVARD STREET TALLAHASSEE, FL 32301-1218	100 00
11-18-2013	1144	FLORIDA KEYS CONCERT ASSOCIATION 1-305-393-1407	P O BOX 522636 MARATHON SHORES, FL 33052-2636	6,000 00

THE PLATT FOUNDATION, INC.
EIN 52-1102776
STATEMENT OF CONTRIBUTIONS
FISCAL YEAR 10/01/2013 - 09/30/2014

DATE OF GIFT	CHECK #	NAME OF CHARITY	ADDRESS	AMOUNT
10-03-2013 01-14-2014	1131 1146	FLORIDA KEYS LAND & SEA TRUST 305-743-3900 (CRANE POINT MUSEUM & NATURE CENTER)	ATTN MR JEFF SMITH P O BOX 5000536 5550 OVERSEAS HIGHWAY MARATHON, FL 33050-0536	1,000 00 10,000 00
10-03-2013	1132	FLORIDA SHERIFFS ASSOCIATION 1-800-877-2168	P O BOX 12519 TALLAHASSEE, FL 32317-9938	100 00
10-03-2013	1133	GRACE JONES COMMUNITY CENTER 305-743-6064	ATTN MS IRIS COE, GRACE JONES DAYCARE 230 41ST STREET MARATHON, FL 33050	2,000 00
10-03-2013	1134	JOHNS HOPKINS ONCOLOGY CENTER 410-516-4203 - PAM - DEVELOPMENT OFFICE	ATTN DEVELOPMENT OFFICE ONE CHARLES STREET 100 NORTH CHARLES STREET, SUITE # 234 BALTIMORE, MD 21201	2,000 00
10-03-2013	1135	MARATHON COMMUNITY THEATER 305-743-0408	5101 OVERSEAS HIGHWAY P O BOX 500124 MARATHON, FL 33050-2620	1,000 00
01-06-2014	1148	MARATHON YACHT CLUB EDUCATIONAL FOUNDATION	C/O MARATHON YACHT CLUB 825 33RD STREET, GULF MARATHON, FL 33050	500 00
10-08-2013	1142	MARYLAND TROOPERS ASSOCIATION 410-653-3885	ATTN MR ROBERT J DEVERS, EXECUTIVE DIRECTOR 1300 REISTERSTOWN ROAD PIKESVILLE, MD 21208	1,000 00
07-15-2014	1155	MOUNT VERNON LADIES' ASSOCIATION 1-703-780-2000	ATTN F ANDERSON MORSE, VP FOR DEVELOPMENT GEORGE WASHINGTON'S MOUNT VERNON P O BOX 110 MOUNT VERNON, VA 22121	10,000 00
05-21-2014	1151	NATIONAL AUDUBON SOCIETY 1-800-274-4201	P O BOX 422246 PALM COAST, FL 32142-2246	25 00
10-03-2013	1136	PAUL'S PLACE, INC 410-625-0775	ATTN MS MELANIE M HEACOCK, PRESIDENT 1118 WARD STREET BALTIMORE, MD 21230	1,000 00
10-13-2014	1149	REDEMPTORIST FATHERS - ST PATRICK'S MONASTERY +1-416-598-3269	ATTN FATHER GENE 141 MCCAUL STREET TORONTO, ONTARIO M5T 1W3 CANADA	1,000 00
10-03-2013	1137	SAINT MATTHEWS EPISCOPAL CHURCH 603-823-5667 - BARBARA SEROFINI - CHAIR PERSON	ATTN BARBARA SEROFINI - CHAIR PERSON RTE 117 AND BIRCHES ROAD SUGAR HILL, NH 03586	500 00
10-03-2013	1138	SAINT THOMAS CHURCH 410-363-1044	GARRISON FOREST 232 ST THOMAS LANE OWINGS MILLS, MD 21117	1,000 00
10-03-2013	1139	THE SALVATION ARMY - BALTIMORE CHAPTER 410-783-2920 - EXT# 1296	REGIONAL RESOURCE DEVELOPMENT DIRECTOR 814 LIGHT STREET BALTIMORE, MD 21230-3972	2,500 00

DATE: 10/31/2014

THE PLATT FOUNDATION, INC.
EIN 52-1102776
STATEMENT OF CONTRIBUTIONS
FISCAL YEAR 10/01/2013 - 09/30/2014

<u>DATE OF GIFT</u>	<u>CHECK #</u>	<u>NAME OF CHARITY</u>	<u>ADDRESS</u>	<u>AMOUNT</u>
10-03-2013	1140	SAN PABLO CATHOLIC CHURCH 305-289-0636	550 122ND STREET, OCEAN MARATHON, FL 33050	20,000 00
09-03-2014	1159	SAVE ECUADORS ANIMALS	523 WILKES STREET ALEXANDRIA, VA 22314	20,000 00
10-03-2013	1141	SHRINE OF THE SACRED HEART	MOUNT WASHINGTON 1701 REGENT ROAD BALTIMORE, MD 21209	2,000 00
<u>TOTAL CONTRIBUTIONS:</u>				<u>124,225.00</u>