Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter Social Security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

For calendar year 2013, or tax year beginning Oct 1 , 2013, and ending Sep 30 , 2014

Name of foundation: ROCKWELL AUTOMATION CHARITABLE CORP

A Employer identification number: 48-1307009

Number and street (or P O box number if mail is not delivered to street address): BMO HARRIS BANK NA, PO BOX 2980 — Room/suite:

B Telephone number (see the instructions): (414) 287-7137

City or town, state or province country, and ZIP or foreign postal code: MILWAUKEE WI 53201

C If exemption application is pending, check here ► ☐

G Check all that apply. ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 7,520,731.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)	5,500,000.			
	2 Ck ► ☐ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	802.	802.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule) Excise tax refund	103.			
	12 **Total.** Add lines 1 through 11	5,500,905.	802.		
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch)				
	17 Interest				
	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt				
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) See Line 23 Stmt	62,237.	500.		61,737.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	62,269.	500.		61,737.
	25 Contributions, gifts, grants paid	5,569,679.			5,569,679.
	26 **Total expenses and disbursements.** Add lines 24 and 25	5,631,948.	500.		5,631,416.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-131,043.			
	b **Net investment income** (if negative, enter -0-)		302.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	7,651,720.	7,520,685.	7,520,685.
	3 Accounts receivable ►			
	Less allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less. allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)			
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ►			
	Less, accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment: basis ►			
	Less accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ► L-15 Stmt)	54.	46.	46.
	16 **Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	7,651,774.	7,520,731.	7,520,731.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► X and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	7,651,774.	7,520,731.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	7,651,774.	7,520,731.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	7,651,774.	7,520,731.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,651,774.
2	Enter amount from Part I, line 27a	2	-131,043.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	7,520,731.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	7,520,731.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day year)
1 a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k) but not less than -0-) or Losses (from column (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 — **2**

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 — **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	5,705,582.	5,281,406.	1.080315
2011	5,032,092.	6,381,618.	0.788529
2010	4,895,743.	7,106,986.	0.688863
2009	4,249,322.	8,167,211.	0.520290
2008	4,172,392.	3,950,058.	1.056286

2	**Total** of line 1, column (d)	2	4.134283
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.826857
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	6,382,467.
5	Multiply line 4 by line 3	5	5,277,388.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3.
7	Add lines 5 and 6	7	5,277,391.
8	Enter qualifying distributions from Part XII, line 4	8	5,631,416.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter. ________ (attach copy of letter if necessary — see instrs)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b	1	3.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	3.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	3.
6	Credits/Payments:		
a	2013 estimated tax pmts and 2012 overpayment credited to 2013 — 6 a: 32.		
b	Exempt foreign organizations — tax withheld at source — 6 b		
c	Tax paid with application for extension of time to file (Form 8868) — 6 c		
d	Backup withholding erroneously withheld — 6 d		
7	Total credits and payments. Add lines 6a through 6d	7	32.
8	Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	29.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ► 4. **Refunded** ►	11	25.

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ► $ ______ **(2)** On foundation managers ► $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► WI - Wisconsin			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► www.rockwellautomation.com/about_us/neighbor

14 The books are in care of ► BMO Harris Bank, NA Telephone no. ► (414) 287-7197

Located at ► 111 E Kilbourn Ave Ste 200 Milwaukee WI ZIP + 4 ► 53202

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** — Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1 a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1 b		X
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement — see instructions)		2 b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*		3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to.

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5 b**

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6 b** No: X

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7 b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
D.M. HAGERMAN ROCKWELL AUTOMATION 1201 S SECOND MILWAUKEE WI 53202	VP & Treasurer 1.00	0.	0.	0.
E.M. Walter ROCKWELL AUTOMATION 1201 S SECOND MILWAUKEE WI 53202	BOARD SECRETARY 1.00	0.	0.	0.
K.D. NOSBUSCH RCCKWELL AUTOMATION 1201 S SECOND MILWAUKEE WI 53202	BOARD CHAIRMAN 1.00	0.	0.	0.
See Information about Officers, Directors, Trustees, Etc.		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		None

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0.
2	
All other program-related investments See instructions.	
3	
Total. Add lines 1 through 3 ▶	None

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes.		
a Average monthly fair market value of securities	1a	6,479,662.
b Average of monthly cash balances	1b	
c Fair market value of all other assets (see instructions)	1c	
d **Total** (add lines 1a, b, and c)	1d	6,479,662.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	6,479,662.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	97,195.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,382,467.
6 **Minimum investment return.** Enter 5% of line 5	6	319,123.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6			1	319,123.
2a Tax on investment income for 2013 from Part VI, line 5	2a	3.		
b Income tax for 2013 (This does not include the tax from Part VI.)	2b			
c Add lines 2a and 2b			2c	3.
3 Distributable amount before adjustments. Subtract line 2c from line 1			3	319,120.
4 Recoveries of amounts treated as qualifying distributions			4	
5 Add lines 3 and 4			5	319,120.
6 Deduction from distributable amount (see instructions)			6	
7 **Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	319,120.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	5,631,416.
b Program-related investments – total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,631,416.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	3.
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	5,631,413.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					319,120.
2 Undistributed income, if any, as of the end of 2013:					
a Enter amount for 2012 only				0.	
b Total for prior years 20 __, 20 __, 20 __					
3 Excess distributions carryover, if any, to 2013:					
a From 2008	3,975,943.				
b From 2009	3,841,339.				
c From 2010	4,540,648.				
d From 2011	4,713,251.				
e From 2012	5,441,574.				
f **Total** of lines 3a through e		22,512,755.			
4 Qualifying distributions for 2013 from Part XII, line 4. ► $ 5,631,416.					
a Applied to 2012, but not more than line 2a					
b Applied to undistributed income of prior years (Election required — see instructions)					
c Treated as distributions out of corpus (Election required — see instructions)					
d Applied to 2013 distributable amount					319,120.
e Remaining amount distributed out of corpus		5,312,296.			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		27,825,051.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b. Taxable amount — see instructions			0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions				0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		3,975,943.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		23,849,108.			
10 Analysis of line 9					
a Excess from 2009	3,841,339.				
b Excess from 2010	4,540,648.				
c Excess from 2011	4,713,251.				
d Excess from 2012	5,441,574.				
e Excess from 2013	5,312,296.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

RA CHARITABLE CORP ADMINISTRATOR
1201 S SECOND ST
MILWAUKEE WI 53204 (414) 382-2000

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHED STATEMENT

c Any submission deadlines

SEE ATTACHED STATEMENT

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED STATMENT

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT SEE ATTACHED STATEMENT Milwaukee WI 53201		Public	Various	5,569,679.
Total . . . ▶ 3a				5,569,679.
b Approved for future payment				
Total . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	802.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18		
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				802.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 802.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of.			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions.			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Eileen M. Shelton — 2/13/15 — SECRETARY

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Michelle Willms, EA	Michelle Willms, EA	2-23-15		P00367630

Firm's name ► BMO Harris Bank NA — Firm's EIN ► 36-2085229

Firm's address ► 111 E Kilbourn Ave, Ste 200, Milwaukee WI 53202 — Phone no (414) 287-7134

Schedule B
(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF
► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at *www.irs.gov/form990.*

OMB No 1545-0047

2013

Name of the organization	Employer identification number
ROCKWELL AUTOMATION CHARITABLE CORP	48-1307009

Organization type (check one).

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule** .

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of $5,000 or more during the year . . . . ► $ __________

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization: ROCKWELL AUTOMATION CHARITABLE CORP

Employer identification number: 48-1307009

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROCKWELL AUTOMATION 1201 S SECOND ST MILWAUKEE WI 53204	$ 5,500,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Form 990-PF, Page 1, Part I, Line 23
Line 23 Stmt

Other expenses	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
CK GROUP - ADMIN FEES FOR MATCHING GIFTS	10,464.			10,464.
MicroEdge Expense	43,523.			43,523.
Association of Corp Contrib Prof	6,000.			6,000.
Guidestar USA	1,750.			1,750.
BMO Harris Bank	500.	500.		
Total	62,237.	500.		61,737.

Form 990-PF, Page 6, Part VIII, Line 1
Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person . [X] Business . [ ] S. Schmitt ROCKWELL AUTOMATION 1201 S SECOND MILWAUKEE WI 53202	VP 1.00	0.	0.	0.
Person . . [X] Business . [ ] T. Crandall ROCKWELL AUTOMATION 1201 S SECOND MILWAUKEE WI 53202	VP 1.00	0.	0.	0.
Total		0.	0.	0.

Form 990-PF, Page 2, Part II, Line 15
Other Assets Stmt

Line 15 - Other Assets:	**Beginning Year Book Value**	**End of Year Book Value**	**End of Year Fair Market Value**
Accrued income	54.	46.	46.
Total	54.	46.	46.

990 PF, PART XV – 2(b),(c), and (d)

SEE ROCKWELL AUTOMATION CHARITABLE CORP
GUIDELINES STATEMENT AND GRANT APPLICATION

WWW.ROCKWELLAUTOMATION.COM/ABOUT_US/CITIZENSHIP.HTM

Payee Name	Fund	Request Project Title	Amount	Payment Date	Check Number	
American Red Cross in Southeastern Wisconsin	RACC Contingent Reserve	EARLY ISSUE - Funding for disaster relief in Philippines due to damage cause by Super Typhoon Haiyan on November 8, 2013 (USD equivalency of 2,500,000 PHP)	$57,372 00	11/18/2013	0032276	contribution
Big Brothers Big Sisters of Metropolitan Milwaukee, Inc	RACC Budgeted Item	Continued support for general operations.	$35,000 00	2/18/2014	39552	contribution
BoardStar, Inc	RACC Budgeted Item	Continued support for Governance Education (General Operations Funding)	$20,000 00	2/18/2014	39537	contribution
Boys & Girls Clubs of Greater Milwaukee, Inc	RACC Budgeted Item	Rockwell Automation and Boys & Girls Clubs of Greater Milwaukee Partnership - Purpose is to support our operations and lead programs to ensure thousands of youth can continue to develop the necessary skills to lead successful productive lives	$350,000 00	2/18/2014	39538	contribution
Cleveland Museum of Art	RACC Budgeted Item	Continued support for general operations	$5,000.00	2/18/2014	39528	contribution
Community Sports & Entertainment Support, Inc	RACC Contingent Reserve	Champions of the Community Support for the community's principal sports and entertainment facility, BMO Harris Bradley Center at $100,000 per year for six years beginning 2013-14 (RA FY14) through 2018-19 (RA FY19)	$100,000 00	2/18/2014	39534	contribution
Georgia Tech Foundation, Inc	RACC Budgeted Item	Support for Women in Engineering scholarships and programming ($10K) and RA Scholarships to support students studying CS with interest in cyber security ($10K)	$20,000 00	2/18/2014	39539	contribution
Girl Scouts of Wisconsin Southeast, Inc	RACC Budgeted Item	Continued support for Urban & Latina Initiatives Transformational Leadership for Girls, along with STEM activities	$35,000 00	2/18/2014	39540	contribution
Great Lakes Museum of Science Environment and Technology	RACC Budgeted Item	Continued support for educational programming including Girls Go! Science program led by working female STEM professionals from around Northeast Ohio	$20,000 00	2/18/2014	39529	contribution
Hispanic Chamber of Commerce of Wisconsin, Inc	RACC Budgeted Item	Continued support for operations	$10,000 00	2/18/2014	39535	contribution
IndependenceFirst	RACC Budgeted Item	Continued funding to support general operations	$10,000 00	2/18/2014	39553	contribution
JK Group Inc	Matching Gifts	FY14Q1 Matching Gifts payment, Invoice #: FY14Q1MG-01	$158,726.29	2/6/2014	wire transfer	contribution
Junior Achievement of Greater Cleveland, Inc	RACC Budgeted Item	Continued support for general operations	$10,000 00	2/18/2014	39530	contribution

Midwest BikeShare, Inc*	RACC Contingent Reserve	Support for a Milwaukee BikeShare station on RA campus providing a convenient and healthy transportation choice for ee's, visitors and Walker's Point neighbors This $15K request is renewable for four years (five years, $75K total) - annual grant app req	$15,000 00	2/18/2014	39554	contribution
Milwaukee Development Corporation	RACC Budgeted Item	Milwaukee 7 Regional Economic Development Initiative, third payment on a your-year pledge of $125 with initial payment of $50K in FY12, followed by $25K payments in FY13-15	$25,000.00	2/18/2014	39536	contribution
Milwaukee Institute, Inc.	RACC Budgeted Item	Support to fund the annual Mathematica software license agreement with Wolfram Research, Inc	$25,000.00	2/18/2014	39541	contribution
Milwaukee School of Engineering	RACC Budgeted Item	Rockwell Automation Scholarship Program, 3 one-half tuition scholarships fortwo existing and one new student at MSOE for the 2014-15 academic year	$50,805 00	2/18/2014	39542	contribution
Milwaukee Symphony Orchestra, Inc	RACC Budgeted Item	MSO FY14 General Operating Proposal, support incr from $300K to $500K in FY14 as MSO is one of two performing arts groups key to attracting and retaining talent in the Milwaukee community and not making a RACC gift to UPAF in FY14	$500,000 00	2/18/2014	39533	contribution
Richland County United Givers Fund, Inc	RACC Budgeted Item	Support for Richland County United Givers Fund, Inc	$4,230 00	2/18/2014	39555	contribution
Society of Women Engineers/National Headquarters	RACC Budgeted Item	Continued support for SWE scholarships, SWE Corporate Partnership Council (CPC) funding and regional support	$13,500 00	2/18/2014	39544	contribution
STEM Forward	RACC Budgeted Item	Continued support for Day of Engineering, PLTW, FIRST and general operations, 2013-14 STEM Education Outreach - Metro Milwaukee	$35,000 00	2/18/2014	39545	contribution
Teach for America, Inc	RACC Budgeted Item	Continued support and new support for an additional TFA teacher at Bradley Tech (two TFA corps member teachers total), preferably in math or science, for academic year 2014-15.	$40,000 00	2/18/2014	39546	contribution
The Regents of the University of Michigan	RACC Contingent Reserve	Cloud Manufacturing Automation This research explores how three revolutionary technologies - virtual design environments, agent-based reasoning, and high-performance computing - can optimize the performance of manufacturing systems design and operation	$101,192 00	2/18/2014	39543	contribution
United Community Center, Inc	RACC Budgeted Item	Continued support for general operations	$20,000.00	2/18/2014	39547	contribution
United Negro College Fund, Inc	RACC Contingent Reserve	Wisconsin Scholars Fund @ Morehouse College, funding for $25K scholarship, renewable for four years, to one MPS student to attend Morehouse College. Tuition and fees	$25,000.00	2/18/2014	39548	contribution
United Way of Champaign County Illinois Inc	RACC Budgeted Item	United Way of Champaign County Illinois Inc	$4,500.00	2/18/2014	39556	contribution

United Way of Greater Cleveland	RACC Budgeted Item	Continued support for general operations	$385,875.00	2/18/2014	39531	contribution
United Way of Greater Milwaukee, Inc	RACC Budgeted Item	Support for Community Impact Fund and general operations, MKE Teen Pregnancy Prevention, RA Sales Office Match, Days of Caring	$914,000 00	2/18/2014	39557	contribution
United Way of Massachusetts Bay and Merrimack Valley	RACC Budgeted Item	Support for United Way of Massachusetts Bay and Merrimack Valley	$3,200 00	2/18/2014	39558	contribution
United Way of Summit County	RACC Budgeted Item	Continued support for general operaions	$13,500.00	2/18/2014	39532	contribution
University of Wisconsin Foundation	RACC Budgeted Item	Support for Rockwell Automation Corporate Scholars Program request at $58,224 for 4 continuing and one new student, PEOPLE Program request at $17,634 total for two third-year students and ESP request at $9,180 total for two students.	$85,038 00	2/18/2014	39549	contribution
Urban Ecology Center	RACC Budgeted Item	Operating upport for hands-on, inquiry-based STEM education to students at Escuela Vieau and Bradley Tech	$40,000 00	2/18/2014	39550	contribution
UWM Foundation	RACC Budgeted Item	Fourth payment on a five-year pledge for RA Endowed Chair in Supply Chain Management education and research within UWM's Sheldon B. Lubar School of Business	$500,000 00	2/18/2014	39551	contribution
JK Group Inc	Matching Gifts	FY14Q2 Matching Gifts paymenting, Invoice #: FY14Q2MG-01	$176,462.75	6/16/2014	wire transfer	contribution
Hispanic Chamber of Commerce of Wisconsin, Inc	RACC Budgeted Item	Continued support for operations	($10,000 00)	6/19/2014	39535	contribution
Midwest BikeShare, Inc	RACC Contingent Reserve	Support for a Milwaukee BikeShare station on RA campus providing a convenient and healthy transportation choice for ee's, visitors and Walker's Point neighbors This $15K request is renewable for four years (five years, $75K total) - annual grant app req	($15,000 00)	9/30/2014		contribution
National Merit Scholarship Corporation	RACC Budgeted Item	EARLY ISSUE: $2,500 on-year merit scholarships each for five sons and daughters of Rockwell Automation employees for academic year 2014-15, plus processesing fee	$13,750 00	8/13/2014	40826	contribution
Alverno College	RACC Budgeted Item	Continued support for general operations via the Alverno Fund.	$12,000 00	9/16/2014	41028	contribution
American Red Cross in Southeastern Wisconsin	RACC Budgeted Item	Continued support for general ops and disaster relief	$40,000 00	9/17/2014	41042	contribution
City Year, Inc	RACC Budgeted Item	Continued support for one City Year team of 8-10 AmeriCorps members who serve full-time as tutors, mentors and role models at Rogers Street Academy during the 2014-15 school year	$100,000 00	9/16/2014	41029	contribution

Discovery World, Ltd	RACC Contingent Reserve	Discovery World, Ltd	$540,000 00	9/16/2014	41029	contribution
Great Lakes Future, Inc	RACC Contingent Reserve	Support for the Harbor District Initiative, to create a working waterfront for the 21st century, supported by revitalized land and restored ecology, and guided by comprehensive economic development and land use planning	$25,000 00	9/16/2014	41031	contribution
JK Group Inc	Matching Gifts	FY14Q3-4 Matching Gifts payment, Invoice # FY14Q3MG-01	$75,219 00	9/10/2014	wire transfer	contribution
Junior Achievement of Wisconsin, Inc.	RACC Budgeted Item	Continued support for general operations for the 2014-15 academic year	$45,000 00	9/16/2014	41032	contribution
Keep Greater Milwaukee Beautiful, Inc	RACC Budgeted Item	Continued support of the middle school environmental science and stewardship program, Environmental Commitment Global to Local (ECG2L)	$10,000 00	9/16/2014	41033	contribution
Milwaukee Public Library Foundation, Inc	RACC Budgeted Item	Continued support for thMilwaukee Public Library Business and Technology Collection Development, specifically for the electronic resource collection in the Department of Business, Technology and Periodicals	$25,000.00	9/16/2014	41034	contribution
Milwaukee Public Museum, Inc	RACC Budgeted Item	General Support 2014. to support the general operations of the Milwaukee Public Museum	$20,000 00	9/16/2014	41035	contribution
Milwaukee Repertory Theater	RACC Budgeted Item	Continued support for general operations for the 2014-2015 season	$25,000.00	9/16/2014	41025	contribution
Milwaukee Urban League	RACC Budgeted Item	Continued support for general operations; funding to help individuals and families become self-sufficient to improve the quality of their lives	$7,500 00	9/16/2014	41036	contribution
PEARLS For Teen Girls Inc	RACC Budgeted Item	Continued upport for general operations and PEARLS for Teen Girls 10,000 Girls Initiative Phase Two Support	$15,000 00	9/16/2014	41037	contribution
Public Policy Forum, Inc Researching Community Issues	RACC Budgeted Item	Continued support for general operations	$10,000 00	9/16/2014	41027	contribution
School for Early Development and Achievement, Inc	RACC Budgeted Item	Continued support for general operations for SEDA, operated by the Milwaukee Center for Independence	$10,000 00	9/16/2014	41038	contribution
SHARP Literacy, Inc	RACC Budgeted Item	Continued support for SHARP programming at Rogers Street Academy for the 2014-15 academic year	$30,000 00	9/16/2014	41039	contribution
United Performing Arts Fund, Inc	RACC Budgeted Item	Support for 2014 UPAF community campaign Per KDN, 2014 RACC gift will be a 1 1 match of electronic employee giving from workplace giving campaign.	$124,309.00	9/16/2014	41026	contribution

US Foundation for the Inspiration & Recognition of Science & Technology	RACC Budgeted Item	To support the overall mission which is to inspire young people to be science and technology leaders, by engaging them in exciting mentor-based programs that build science, engineering and technology skills, that inspire innovation	$650,000 00	9/16/2014	41040	contribution
Zoological Society of Milwaukee County	RACC Budgeted Item	Zoological Society & Bruce Guadalupe Partnership - To continue support of Bruce Guadalupe 's 4th grade students in the Society's Animal Ambassador program, an interactive, science-based learning opportunity, for school years 2014-15 and 2015-16	$8,500 00	9/16/2014	41041	contribution

Total from GIFTS Above contribution 5,569,679.04

From Bank (5,569,679.04)

Payee Name	Fund	Request Project Title	Amount	Payment Date	Check Number	
GuideStar USA Inc	Administrative Expense-RACC	GuideStar Premium Annual Subscription ($1000) and Charity Check Annual Subscription ($750).	1,750 00	10/24/2013	0032078	expense
JK Group Inc	Administrative Expense-RACC	Invoice #: INV-000802 Matching Gift Program Administration and Org Validation for 9/1/13-11/30/13, 2Q Bank Fees	3,252 69	10/24/2013	wire transfer	expense
Association of Corporate Contributions Professionals	Administrative Expense-Dept	2014 ACCP Annual Membership Dues	$6,000.00	11/5/2013	0032119	expense
MicroEdge, LLC	Administrative Expense-RACC	Combined Invoice # INV38827 (IGAM, GIFTS Transactions and Attachments, November 2013, $201 75) and INV36725 (IGAM 3 Hosting Fee 8/7/13-8/6/14, $1,449)	$1,650 75	12/18/2013		expense
JK Group Inc	Administrative Expense-RACC	Invoice # INV-001430, 2014 JK Group Forum Registration for Marie Olmsted	$595 00	1/24/2014		expense
MicroEdge, LLC	Administrative Expense-RACC	Invoice # INV39558, GIFTS Onsite Custom Training and Consulting with Jill	$3,520 00	1/22/2014		expense
JK Group Inc	Administrative Expense-RACC	Invoice #: INV-001443 for MG Program Admin Fees, Annual Phone Line and Q3 and Q4 Bank Fees	$780.71	3/7/2014	wire transfer	expense
JK Group Inc	Administrative Expense-RACC	Invoice # INV-00184 for program administration, organization validation and check stock fees	$3,118 75	6/9/2014	wire transfer	expense
MicroEdge, LLC	Administrative Expense-RACC	*Combined Invoices*. INV41876 dated 5/31/14 ($184 49) for May IGAM transaction fees and INV41029 dated 4/11/14 ($37,459 74) for GIFTS Renewal, covering 7/7/14-7/6/15	$37,644 23	6/18/2014	40483	expense
JK Group Inc	Administrative Expense-RACC	Invoice # INV-001430, 2014 JK Group Forum Registration for Marie Olmsted	($595 00)	1/30/2014		expense
JK Group Inc	Administrative Expense-RACC	Invoice #. INV-002392 for Matching Gifts Program Admin Fees, Org Valication and 1Q Bank Fees	$3,312 13	7/9/2014	wire transfer	expense
MicroEdge, LLC	Administrative Expense-RACC	Combined Invoices INV40425, dated 3/13/14, for IGAM transaction fees ($114 55) and 624, dated 3/31/14, for Jill Zurborg (trainer) expenses for April 2014 on-site MicroEdge training at RA ($593 21)	$707 76	9/4/2014	40976	expense

	Total from GIFTS Above	From Bank
expense	61,737.02	(61,737.02)

Form **8868**
(Rev January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

► File a separate application for each return.
► Information about Form 8868 and its instructions is at www.irs gov/form8868

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ► [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extention on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I — Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ► [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions: ROCKWELL AUTOMATION CHARITABLE CORP	Employer identification number (EIN) or: 48-1307009
File by the due date for filing your return See instructions	Number street, and room or suite number If a P O box, see instructions: BMO HARRIS BANK NA, PO BOX 2980	Social security number (SSN)
	City, town or post office, state and ZIP code For a foreign address, see instructions: MILWAUKEE WI 53201	

Enter the Return code for the return that this application is for (file a separate application for each return) . 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► BMO Harris Bank, NA

Telephone No ► (414) 287-7197 Fax No ► (414) 212-0936

- If the organization does not have an office or place of business in the United States, check this box ► [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ____ If this is for the whole group, check this box ► [ ] If it is for part of the group, check this box ► [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until May 15 , 20 15 , to file the exempt organization return for the organization named above The extension is for the organization's return for

► [ ] calendar year 20 ____ or

► [X] tax year beginning Oct 1 , 20 13 , and ending Sep 30 , 20 14 .

2 If the tax year entered in line 1 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3 a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions .	**3 a**	$ 3.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	**3 b**	$ 32.
c Balance due. Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	**3 c**	$ 0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions