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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 10-01-2013 , and ending 09-30-2014

Name of foundation
JOY SPIVA CRAGIN CHARITABLE TRUST

A Employer identification number
43-6365083

Number and street (or P O box number if mail is not delivered to street address)
PO BOX 0634

Room/suite

B Telephone number (see instructions)
(314) 418-2643

City or town, state or province, country, and ZIP or foreign postal code
MILWAUKEE, WI 532010634

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 628,683

J Accounting method ☐ Cash ☐ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	15,932	15,888	44	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	75,907			
	b	Gross sales price for all assets on line 6a 683,399				
	7	Capital gain net income (from Part IV, line 2)		75,907		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	91,839	91,795	44	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	5,793	5,793		
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	750	0	0	750
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	1,874	336		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	8,417	6,129	0	750
	25	Contributions, gifts, grants paid	66,000			66,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	74,417	6,129	0	66,750
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	17,422			
	b	**Net investment income** (if negative, enter -0-)		85,666		
	c	**Adjusted net income** (if negative, enter -0-)			44	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		3,475	3,475
	2	Savings and temporary cash investments	14,471	102	102
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	525,925	398,913	412,186
	c	Investments—corporate bonds (attach schedule)	58,951	214,001	212,920
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			0
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	599,347	616,491	628,683
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	599,347	616,491	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	599,347	616,491	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	599,347	616,491	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	599,347
2	Enter amount from Part I, line 27a	2	17,422
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	616,769
5	Decreases not included in line 2 (itemize) ▶	5	278
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	616,491

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	75,907
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	25,312	631,621	0 040075
2011	30,580	604,441	0 050592
2010	29,250	634,397	0 046107
2009	25,500	609,546	0 041834
2008	28,596	522,420	0 054738

2	**Total** of line 1, column (d).	2	0 233346
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 046669
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	644,681
5	Multiply line 4 by line 3.	5	30,087
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	857
7	Add lines 5 and 6.	7	30,944
8	Enter qualifying distributions from Part XII, line 4.	8	66,750

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	857
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	857
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	857
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,927		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,927
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,070
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 860 **Refunded** ▶			11	210

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶US BANK NA Telephone no ▶(314) 418-2643

Located at ▶PO BOX 387 ST LOUIS MO ZIP+4 ▶63166

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
US BANK PO BOX 387 ST LOUIS,MO 63166	TRUSTEE 0	5,793		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	645,695
b	Average of monthly cash balances			1b	8,803
c	Fair market value of all other assets (see instructions)			1c	0
d	**Total** (add lines 1a, b, and c)			1d	654,498
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	654,498
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	9,817
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	644,681
6	**Minimum investment return.** Enter 5% of line 5			6	32,234

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	32,234
2a	Tax on investment income for 2013 from Part VI, line 5	2a	857		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	857
3	Distributable amount before adjustments Subtract line 2c from line 1			3	31,377
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	31,377
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	31,377

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	66,750
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	66,750
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	857
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	65,893

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					31,377
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only.				30,719	
b Total for prior years 2011 , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2013					
a From 2008.	0				
b From 2009.	0				
c From 2010.	0				
d From 2011.	0				
e From 2012.	0				
f **Total** of lines 3a through e.		0			
4 Qualifying distributions for 2013 from Part XII, line 4 ► $ 66,750					
a Applied to 2012, but not more than line 2a				30,719	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2013 distributable amount.					31,377
e Remaining amount distributed out of corpus		4,654			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		4,654			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)		0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .		0			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		4,654			
10 Analysis of line 9					
a Excess from 2009. . . .	0				
b Excess from 2010. . . .	0				
c Excess from 2011. . . .	0				
d Excess from 2012. . . .	0				
e Excess from 2013. . . .	4,654				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			**(e) Total**
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Additional Data Table				
Total . . . . ▶ **3a**				66,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	15,932	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	75,907	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				91,839	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 91,839

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2015-02-04 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed	PTIN
DONALD J ROMAN		2015-02-04	☑	P00364310
Firm's name ▶ PRICEWATERHOUSECOOPERS LLP			Firm's EIN ▶ 13-4008324	
Firm's address ▶ 600 GRANT STREET PITTSBURGH, PA 15219			Phone no (412) 355-6000	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
25000 NIKE INC MTN 4 700% 10/01/13		2009-06-01	2013-10-01
35 PERRIGO CO		2012-11-11	2013-12-19
7000 CONOCOPHILLIPS 4 750% 2/01/14		2009-03-30	2014-02-01
2820 573 ABERDEEN FDS EMRGN		2013-03-11	2014-04-15
469 615 ABERDEEN FDS EMRGN		2013-04-22	2014-04-15
3354 119 INV BALANCE RISK COMM STR Y		2013-04-22	2014-04-15
40 ALLERGAN INC		2011-11-11	2014-04-15
100 ALTRIA GROUP INC		2008-05-21	2014-04-15
70 CERNER CORPORATION		2013-09-11	2014-04-15
30 COSTCO WHSL CORP		2013-09-11	2014-04-15
80 DOLLAR TREE INC		2011-11-11	2014-04-15
75 DR PEPPER SNAPPLE GROUP		2011-09-14	2014-04-15
263 921 DRIEHAUS SELECT CREDIT FUND		2013-09-11	2014-04-15
1960 206 DRIEHAUS ACTIVE INCOME FUND		2012-08-28	2014-04-15
125 EMC CORPORATION		2013-09-11	2014-04-15
50 EXXON MOBIL CORP		2001-05-30	2014-04-15
51 GENERAL MILLS INC		2008-11-05	2014-04-15
1658 887 HIGHLAND LONG SHORT EQUITY Z		2012-10-08	2014-04-15
105 INTEL CORP		2008-05-21	2014-04-15
15 INTERNATIONAL BUSINESS MACHINES CORP		2012-10-08	2014-04-15
129 ISHARES S P U S PREFERRED STOCK ETF		2010-09-28	2014-04-15
19 ISHARES S P U S PREFERRED STOCK ETF		2011-03-02	2014-04-15
54 J P MORGAN CHASE CO		2009-03-30	2014-04-15
50 JOHNSON JOHNSON		2005-10-26	2014-04-15
56 MACYS INC		2011-11-11	2014-04-15
64 MASTERCARD INC		2012-10-08	2014-04-15
100 MICROSOFT CORP		2012-10-08	2014-04-15
50 NEXTERA ENERGY INC		2003-07-11	2014-04-15
65 NIKE INC		2008-05-21	2014-04-15
445 241 NUVEEN HIGH INCOME BOND FD CL I		2013-03-06	2014-04-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
282 256 NUVEEN REAL ESTATE SECS I		2003-07-11	2014-04-15
116 037 NUVEEN REAL ESTATE SECS I		2010-12-01	2014-04-15
1208 727 NUVEEN SHORT TERM BOND FUND CL I		2012-11-15	2014-04-15
1796 407 OPPENHEIMER SENIOR FLOATING RATE Y		2013-09-11	2014-04-15
100 ORACLE CORPORATION		2011-09-14	2014-04-15
50 QUALCOMM INC		2005-10-26	2014-04-15
468 42 T ROWE PRICE SC STOCK		2012-06-29	2014-04-15
182 SPDR DOW JONES INTERNATIONAL ETF		2011-02-16	2014-04-15
75 SCHLUMBERGER LTD		2009-03-30	2014-04-15
3175 597 SCOUT INTERNATIONAL FUND		2013-03-06	2014-04-15
31 3M CO		2005-02-23	2014-04-15
16 99994 PERRIGO CO LTD		2013-12-19	2014-04-15
18 00006 PERRIGO CO LTD		2013-12-19	2014-04-15
50 ACE LTD		2011-09-14	2014-04-15
281 977 ABERDEEN FDS EMRGN		2013-03-11	2014-04-17
276 244 INV BALANCE RISK COMM STR Y		2013-04-22	2014-04-17
1158 017 DOUBLELINE TOTAL RET BD I		2013-09-11	2014-04-17
120 ISHARES S P U S PREFERRED STOCK ETF		2010-09-28	2014-04-17
113 378 NUVEEN REAL ESTATE SECS I		2003-07-11	2014-04-17
34 152 T ROWE PRICE MID CAP GROWTH FD #64		2011-09-19	2014-04-17
84 063 T ROWE PRICE MID CAP VALUE FD INC		2009-10-06	2014-04-17
26 ANADARKO PETROLEUM CORP		2014-04-17	2014-06-20
1240 323 BLACKROCK EMERGING MKTS L S EQTY IS		2014-04-17	2014-06-20
198 255 CAUSEWAY EMERGING MARKETS INSTL		2014-04-15	2014-06-20
44 MACYS INC		2011-11-11	2014-06-20
885 495 NEUBERGER BERMAN LONG SH INS		2014-04-15	2014-06-20
268 528 NUVEEN HIGH INCOME BOND FD CL I		2013-03-06	2014-06-20
25 OCCIDENTAL PETROLEUM CORPORATION		2008-11-05	2014-06-20
236 958 OPPENHEIMER DEVELOPING MARKETS I		2014-04-15	2014-06-20
590 179 ROBECO BOSTON PARTNERS L S RSRCH		2013-03-11	2014-06-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
166 00321 STAPLES INC		2014-04-17	2014-06-20
44 99679 STAPLES INC		2014-04-17	2014-06-20
350 824 MORGAN DEMPSEY SMALL MICRO CAP VALUE		2014-04-15	2014-06-20
52 WELLS FARGO CO		2014-04-15	2014-06-20
35 WORKDAY INC		2014-04-15	2014-06-20
167 225 ABERDEEN FDS EMRGN		2013-04-22	2014-07-02
19 432 CAMBIAR INTL EQUITY FUND INS		2014-04-15	2014-07-02
12 AMERICAN EXPRESS CO		2014-06-20	2014-07-02
16 AMERICAN TOWER CORP		2014-06-20	2014-07-02
7 AMERIPRISE FINL INC		2014-06-20	2014-07-02
14 AMPHENOL CORP CL A		2014-04-15	2014-07-02
71 BANK OF AMERICA CORP		2014-04-15	2014-07-02
5 BIOGEN IDEC INC		2014-04-15	2014-07-02
11 BOEING CO		2014-04-17	2014-07-02
54 C M S ENERGY CORP		2014-04-15	2014-07-02
22 CAPITAL ONE FINANCIAL CORP		2014-04-15	2014-07-02
46 208 CAUSEWAY EMERGING MARKETS INSTL		2014-04-15	2014-07-02
6 CHEVRON CORPORATION		2014-06-20	2014-07-02
88 CISCO SYSTEMS INC		2013-09-11	2014-07-02
21 CITIGROUP INC		2014-04-15	2014-07-02
10 CUMMINS INC		2014-04-15	2014-07-02
14 DISNEY WALT CO		2014-04-15	2014-07-02
24 DOW CHEM CO		2014-04-15	2014-07-02
26 EMERSON ELEC CO		2014-04-15	2014-07-02
32 FMC TECHNOLOGIES INC		2014-04-15	2014-07-02
27 GENERAL MILLS INC		2008-11-05	2014-07-02
13 GILEAD SCIENCES INC		2014-06-20	2014-07-02
40 537 GLENMEDE SC EQUITY PORT ADV		2014-04-15	2014-07-02
3 GOOGLE INC CL A		2014-04-15	2014-07-02
26 HALLIBURTON CO		2014-04-17	2014-07-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
29 HASBRO INC		2014-06-20	2014-07-02
19 HOME DEPOT INC		2014-06-20	2014-07-02
53 INTEL CORP		2008-05-21	2014-07-02
31 LINCOLN NATL CORP IND		2014-04-15	2014-07-02
109 483 LOOMIS SAYLES GLBL BOND INST		2014-04-15	2014-07-02
29 LULULEMON ATHLETICA INC		2013-09-11	2014-07-02
5 MCKESSON CORPORATION		2014-04-15	2014-07-02
17 MERCK AND CO INC		2014-04-15	2014-07-02
19 NIKE INC		2008-05-21	2014-07-02
56 753 NUVEEN INTERMEDIATE GOVT BD FD CL I		2014-04-15	2014-07-02
64 888 NUVEEN INFLATION PRO SEC CL I		2014-04-15	2014-07-02
11 P N C FINANCIAL SERVICES GROUP INC		2014-06-20	2014-07-02
12 PRAXAIR INC		2014-04-15	2014-07-02
25 PROCTER & GAMBLE CO		2000-05-25	2014-07-02
9 RALPH LAUREN CORP		2014-06-20	2014-07-02
53 379 T ROWE PRICE MID CAP GROWTH FD #64		2011-09-19	2014-07-02
41 592 T ROWE PRICE MID CAP VALUE FD INC		2009-10-06	2014-07-02
59 SPDR DOW JONES INTERNATIONAL ETF		2011-02-16	2014-07-02
24 STARBUCKS CORP		2013-09-11	2014-07-02
242 952 TCW EMERGING MARKETS INCOME FUND		2014-04-15	2014-07-02
11 3M CO		2005-02-23	2014-07-02
25 UNITED HEALTH GROUP INC		2013-09-11	2014-07-02
5 VISA INC		2014-04-15	2014-07-02
14 WALGREEN CO		2014-04-15	2014-07-02
30 WHOLE FOODS MKT INC		2013-09-11	2014-07-02
20 WILLIAMS COS INC		2014-06-20	2014-07-02
6 WYNN RESORTS LTD		2014-04-15	2014-07-02
17 ACCENTURE PLC CL A		2014-04-17	2014-07-02
10 PERRIGO CO LTD		2013-12-21	2014-07-02
49 261 BLACKROCK EMERGING MKTS L S EQTY IS		2014-04-17	2014-07-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
118 408 MORGAN DEMPSEY SMALL MICRO CAP VALUE		2014-04-15	2014-07-08
5 BOSTON PPTYS INC		2014-07-02	2014-07-29
45 413 DOUBLELINE TOTAL RET BD I		2013-09-11	2014-07-29
544 876 DOUBLELINE TOTAL RET BD I		2014-04-15	2014-07-29
3 GOLDMAN SACHS GROUP INC		2014-07-02	2014-07-29
15 ACCENTURE PLC CL A		2014-04-17	2014-07-29
34 73049 HIGHLAND LONG SHORT EQUITY Z		2014-06-20	2014-09-15
40 30451 HIGHLAND LONG SHORT EQUITY Z		2014-06-20	2014-09-15
235 053 ROBECO BOSTON PARTNERS L S RSRCH		2013-03-11	2014-09-15
54 399 T ROWE PRICE MID CAP VALUE FD INC		2009-10-06	2014-09-15
39 904 CAUSEWAY EMERGING MARKETS INSTL		2014-04-15	2014-09-29
291 095 DOUBLELINE TOTAL RET BD I		2013-09-11	2014-09-29
191 49388 DRIEHAUS SELECT CREDIT FUND		2013-09-11	2014-09-29
49 78412 DRIEHAUS SELECT CREDIT FUND		2013-09-11	2014-09-29
25 GENERAL ELECTRIC CO		1997-12-22	2014-09-29
289 57 GOLDMAN SACHS COMM STRAT INS		2014-04-15	2014-09-29
13 J P MORGAN CHASE CO		2009-03-30	2014-09-29
59 28548 LOOMIS SAYLES GLBL BOND INST		2014-04-15	2014-09-29
22 88252 LOOMIS SAYLES GLBL BOND INST		2014-04-15	2014-09-29
9 MASTERCARD INC		2012-10-08	2014-09-29
235 515 LOOMIS SAYLES STRATEGIC ALPHA FUND Y		2014-04-15	2014-09-29
115 533 NUVEEN HIGH INCOME BOND FD CL I		2013-03-06	2014-09-29
76 583 NUVEEN REAL ESTATE SECS I		2010-12-01	2014-09-29
145 752 NUVEEN INTERMEDIATE GOVT BD FD CL I		2014-04-15	2014-09-29
113 328 NUVEEN INFLATION PRO SEC CL I		2014-04-15	2014-09-29
162 589 OPPENHEIMER DEVELOPING MARKETS I		2014-04-15	2014-09-29
27 PFIZER INC		2009-10-15	2014-09-29
1 THE PRICELINE GROUP INC		2014-04-15	2014-09-29
12 62 T ROWE PRICE MID CAP GROWTH FD #64		2011-09-19	2014-09-29
121 16699 T ROWE PRICE INTL GR&INC FD		2014-04-17	2014-09-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
64 91201 T ROWE PRICE INTL GR&INC FD		2014-04-17	2014-09-29
35 72386 LAUDUS INTERNATIONAL MARKETMASTERS		2014-04-15	2014-09-29
56 40014 LAUDUS INTERNATIONAL MARKETMASTERS		2014-04-15	2014-09-29
147 462 TCW EMERGING MARKETS INCOME FUND		2014-04-15	2014-09-29
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
25,000		26,328	-1,328
5,328		3,224	2,104
7,000		7,287	-287
41,998		45,439	-3,441
6,993		7,387	-394
30,221		30,489	-268
4,999		3,413	1,586
3,825		2,274	1,551
3,735		3,377	358
3,355		3,538	-183
4,024		3,150	874
3,844		2,879	965
2,679		2,668	11
21,053		20,000	1,053
3,326		3,378	-52
4,875		2,214	2,661
2,618		1,727	891
19,990		18,606	1,384
2,782		2,505	277
2,940		3,142	-202
5,035		5,143	-108
742		747	-5
2,946		1,390	1,556
4,929		3,150	1,779
3,191		1,739	1,452
4,557		3,035	1,522
3,926		2,972	954
4,830		1,595	3,235
4,683		2,143	2,540
4,092		4,132	-40

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
6,176		4,263	1,913
2,539		2,026	513
12,148		12,090	58
15,036		15,000	36
3,947		2,859	1,088
3,961		2,255	1,706
20,428		16,170	4,258
7,567		7,107	460
7,448		3,107	4,341
116,100		100,082	16,018
4,118		2,601	1,517
2,354		2,587	-233
2,493		2,740	-247
4,972		3,095	1,877
4,255		4,543	-288
2,503		2,511	-8
12,646		12,553	93
4,685		4,784	-99
2,494		1,712	782
2,511		1,931	580
2,589		1,665	924
2,899		2,559	340
12,403		12,360	43
2,486		2,339	147
2,566		1,366	1,200
11,449		11,095	354
2,503		2,492	11
2,586		1,427	1,159
9,381		8,803	578
8,829		7,566	1,263

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,848		1,992	-144
501		540	-39
5,052		4,880	172
2,731		2,521	210
2,989		2,614	375
2,605		2,631	-26
499		471	28
1,143		1,147	-4
1,437		1,422	15
849		834	15
1,359		1,274	85
1,128		1,146	-18
1,656		1,386	270
1,403		1,404	-1
1,617		1,616	1
1,836		1,627	209
591		545	46
781		791	-10
2,203		2,141	62
1,008		1,010	-2
1,537		1,406	131
1,211		1,079	132
1,240		1,127	113
1,731		1,713	18
1,923		1,699	224
1,431		914	517
1,127		1,051	76
1,110		1,037	73
1,771		1,610	161
1,839		1,578	261

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,556		1,529	27
1,557		1,527	30
1,633		1,265	368
1,620		1,428	192
1,827		1,816	11
1,195		2,041	-846
945		828	117
1,000		941	59
1,480		626	854
499		499	
731		719	12
979		984	-5
1,592		1,538	54
1,993		816	1,177
1,454		1,399	55
4,146		3,018	1,128
1,371		824	547
2,624		2,304	320
1,875		1,788	87
2,133		2,072	61
1,593		923	670
2,054		1,867	187
1,074		1,004	70
1,025		906	119
1,168		1,663	-495
1,160		1,154	6
1,272		1,192	80
1,376		1,335	41
1,530		1,570	-40
500		490	10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,697		1,647	50
605		590	15
501		492	9
6,010		5,972	38
528		501	27
1,212		1,178	34
432		435	-3
502		505	-3
3,561		3,013	548
1,795		1,078	717
492		471	21
3,196		3,155	41
1,882		1,936	-54
489		503	-14
635		612	23
1,541		1,703	-162
783		335	448
957		984	-27
370		380	-10
671		427	244
2,383		2,362	21
1,036		1,072	-36
1,725		1,191	534
1,283		1,283	
1,253		1,256	-3
6,271		6,040	231
803		473	330
1,160		1,148	12
964		714	250
1,885		1,924	-39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,010		1,031	-21
821		843	-22
1,297		1,332	-35
1,261		1,258	3
			3,679

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-1,328
			2,104
			-287
			-3,441
			-394
			-268
			1,586
			1,551
			358
			-183
			874
			965
			11
			1,053
			-52
			2,661
			891
			1,384
			277
			-202
			-108
			-5
			1,556
			1,779
			1,452
			1,522
			954
			3,235
			2,540
			-40

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			1,913
			513
			58
			36
			1,088
			1,706
			4,258
			460
			4,341
			16,018
			1,517
			-233
			-247
			1,877
			-288
			-8
			93
			-99
			782
			580
			924
			340
			43
			147
			1,200
			354
			11
			1,159
			578
			1,263

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-144
			-39
			172
			210
			375
			-26
			28
			-4
			15
			15
			85
			-18
			270
			-1
			1
			209
			46
			-10
			62
			-2
			131
			132
			113
			18
			224
			517
			76
			73
			161
			261

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			27
			30
			368
			192
			11
			-846
			117
			59
			854
			12
			-5
			54
			1,177
			55
			1,128
			547
			320
			87
			61
			670
			187
			70
			119
			-495
			6
			80
			41
			-40
			10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			50
			15
			9
			38
			27
			34
			-3
			-3
			548
			717
			21
			41
			-54
			-14
			23
			-162
			448
			-27
			-10
			244
			21
			-36
			534
			-3
			231
			330
			12
			250
			-39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-21
			-22
			-35
			3

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHILDRENS HAVEN OF SOUTHWEST MISSOURI 701 S PICHER JOPLIN, MO 64801	NONE	PUBLIC	EXEMPT PURPOSE	3,000
WILDCAT GLADES AUDUBON CENTER 201 W RIVIERA DR STE A JOPLIN, MO 64804	NONE	PUBLIC	EXEMPT PURPOSE	2,500
JOPLIN SCHOOLS R-VIII FOUNDATION 1717 E 15TH STREET PO BOX 128 JOPLIN, MO 648020128	NONE	PUBLIC	EXEMPT PURPOSE	1,500
TURNAROUND PO BOX 2526 JOPLIN, MO 64803	NONE	PUBLIC	EXEMPT PURPOSE	500
SALVATION ARMY OF JOPLIN C/O SALVATION ARMY 1130 HAMPTON AVE ST LOUIS, MO 63139	NONE	PUBLIC	EXEMPT PURPOSE	500
OZARK CENTER AUTISM SCHOOL PO BOX 2526 JOPLIN, MO 64803	NONE	PUBLIC	EXEMPT PURPOSE	2,000
MISSOURI SOUTHERN FOUNDATION 3950 NEWMAN RD JOPLIN, MO 648011512	NONE	PUBLIC	EXEMPT PURPOSE	10,000
LAFAYETTE HOUSE PO BOX 1765 JOPLIN, MO 64802	NONE	PUBLIC	EXEMPT PURPOSE	5,000
CROSSLINES CHURCHES OF JOPLIN 131 S HIGH JOPLIN, MO 64801	NONE	PUBLIC	EXEMPT PURPOSE	2,000
PRO MUSICA 211 S MAIN ST STE 318 JOPLIN, MO 64801	NONE	PUBLIC	EXEMPT PURPOSE	2,000
COMMUNITY HEALTH CLINIC OF JOPLIN 701 S JOPLIN AVENUE JOPLIN, MO 64801	NONE	PUBLIC	EXEMPT PURPOSE	3,000
CHILDREN'S CENTER OF SW MISSOURI 921 EAST 34TH STREET SUITE A JOPLIN, MO 64804	NONE	PUBLIC	EXEMPT PURPOSE	1,500
ST PHILIPS EPISCOPAL CHURCH 706 BYERS AVE JOPLIN, MO 64801	NONE	PUBLIC	EXEMPT PURPOSE	2,000
CEREBRAL PALSY OF TRI COUNTY 1401 W AUSTIN WEBB CITY, MO 64870	NONE	PUBLIC	EXEMPT PURPOSE	1,500
BOYS AND GIRLS CLUBS OF JOPLIN MO PO BOX 819 JOPLIN, MO 648020819	NONE	PUBLIC	EXEMPT PURPOSE	1,000
Total . . . . ▶ **3a**				66,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
JOPLIN LITTLE THEATER INC PO BOX 374 JOPLIN,MO 648020374	NONE	PUBLIC	EXEMPT PURPOSE	1,500
GEORGE A SPIVA CENTER FOR THE ARTS 222 WEST 3RD JOPLIN,MO 64801	NONE	PUBLIC	EXEMPT PURPOSE	10,000
AMERICAN RED CROSS SOUTHWEST MISSOURI CHAPTER 410 JACKSON JOPLIN,MO 64801	NONE	PUBLIC	EXEMPT PURPOSE	2,000
OSAGE PRAIRIE YMCA 500 W HIGHLAND NEVADA,MO 64772	NONE	PUBLIC	EXEMPT PURPOSE	1,500
ST LAURENCE EPISCOPAL CHURCH PO BOX 361 CONIFER,CO 80433	NONE	PUBLIC	EXEMPT PURPOSE	2,000
TRINITY LUTHERAN CHURCH OF NEVADA 1630 NORTH ASH STREET NEVADA,MO 64772	NONE	PUBLIC	EXEMPT PURPOSE	2,000
VERNON COUNTY CANCER RELIEF FUND 1901 S SPRING NEVADA,MO 64772	NONE	PUBLIC	EXEMPT PURPOSE	3,000
MOUNTAIN RESOURCE CENTER PO BOX 425 CONIFER,CO 80433	NONE	PUBLIC	EXEMPT PURPOSE	2,000
THOMAS JEFFERSON INDEPENDENT DAY SCHOOL 3401 E NEWMAN RD JOPLIN,MO 64801	NONE	PUBLIC	EXEMPT PURPOSE	2,000
CHILDRENS CENTER OF SW MISSOURI 921 EAST 34TH STREET SUITE A JOPLIN,MO 64804	NONE	PUBLIC	EXEMPT PURPOSE	1,000
CENTAUR RISING 12889 S PARKER AVENUE PINE,CO 80470	NONE	PUBLIC	EXEMPT PURPOSE	1,000
Total . . . . ▶ **3a**				66,000

TY 2013 Accounting Fees Schedule

Name: JOY SPIVA CRAGIN CHARITABLE TRUST

EIN: 43-6365083

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	750			750

TY 2013 Investments Corporate Bonds Schedule

Name: JOY SPIVA CRAGIN CHARITABLE TRUST

EIN: 43-6365083

Name of Bond	End of Year Book Value	End of Year Fair Market Value
HONEYWELL INTL 4.250% 3/1/13		
NIKE INC MTN 4.70% 10/1/13		
CONOCOPHILLIPS 4.750% 2/1/14		
BANK OF AMERICA 3.70% 9/1/15	25,336	25,670
DOUBLELINE TOTAL RET BD I	64,395	64,292
ISHARES S P U S PREFERRED STOC	12,702	12,725
LOOMIS SAYLES GLBL BONDS INST	19,052	18,515
NUVEEN INTERMEDIATE GOVT BD FD	22,660	22,634
NUVEEN HIGH INCOME BOND FD CL	12,304	11,906
NUVEEN INFLATION PRO SEC CL I	18,157	18,108
TCW EMERGING MARKETS INCOME FU	17,967	17,866
DRIEHAUS SELECT CREDIT FUND	10,906	10,607
LOOMIS SAYLES STRATEGIC ALPHA	10,522	10,597

TY 2013 Investments Corporate Stock Schedule

Name: JOY SPIVA CRAGIN CHARITABLE TRUST

EIN: 43-6365083

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GENERAL ELEC CO	1,837	1,922
3M CO	671	1,133
APACHE CORP		
EXXON MOBIL CORP	912	846
MASTERCARD INC	1,280	1,996
TARGET CORP		
PROCTER & GAMBLE CO	816	2,094
ABBOTT LABS		
JOHNSON & JOHNSON		
GOLDMAN SACHS GROUP INC		
VERIZON COMMUNICATIONS		
QUALCOMM INC	1,207	1,122
ALTRIA GROUP INC		
CISCO SYS INC	1,143	1,183
INTEL CORP	1,002	1,462
NIKE INC	528	1,427
ORACLE CORPORATION		
DRIEHAUS SELECT CREDIT FUND		
GENERAL MILLS INC	745	1,110
JP MORGAN CHASE & CO	850	1,988
OCCIDENTAL PETROLEUM CORP	718	673
SCHLUMBERGER LTD		
NEXTERA ENERGY INC		
PFIZER INC	1,244	2,099
IPATH DOW JONES UBS COMMOD		
NEUBERGER BERMAN GENESIS INSTL		
ROWE T PRICE MIDCAP VALUE FD		
SCOUT INTERNATIONAL FUND		
PIMCO TOTAL RETURN FUND INST		
DR PEPPER SNAPPLE GROUP		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ACE LTD	1,779	1,783
CHECK POINT SOFTWARE TECH LTD		
HIGHLAND LONG SHORT EQUITY Z	10,804	10,652
ISHARES MSCI EMERGING MKTS ETF		
ISHARES S&P PREF STK INDX FD		
NUVEEN REAL ESTATE SECURIT	12,284	14,387
ROWE T PRICE MID CAP GROWTH FD		
SPDR DJ WILSHIRE INTERNATIONAL	13,367	15,280
DRIEHAUS ACTIVE INCOME FUND		
ISHARES BARCLAYS TIPS BOND ETF		
NUVEEN SHORT TERM BOND FUND		
ALLERGAN INC	1,360	1,426
CATERPILLAR INC		
DOLLAR TREE INC	766	785
MACYS INC	886	873
PERRIGO CO	1,256	1,202
PYXIS LONG SHORT EQUITY Z		
T ROWE PRICE SC STOCK		
DOUBLELINE TOTAL RET BD 1		
ABERDEEN FDS EMRGN MKT INSTL		
COSTCO WHSL CORP	578	627
E M C CORPORATION		
IBM		
LULULEMON ATHLETICA	1,126	672
MICROSOFT	711	788
ROBECO BOSTON PRTNERS LS RSRCH	8,642	9,396
STARBUCKS	1,565	1,585
T ROWE PRICE MID CAP GRW FD 64	12,764	14,877
T ROWE PRICE MID CAP VALUE FD	10,786	15,011
UNITED HEALTH GROUP	1,493	1,725

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WHOLE FOODS MKT	1,109	762
APPLE	3,227	3,526
CERNER CORP	827	953
INV BAL RISK COMM STR Y		
NUVEEN HIGH INC BOND FD CL 1		
OPPENHEIMER SR FLOAT RATE Y		
AGILENT TECHNOLOGIES INC	696	684
ALEXLON PHARMACEUTICALS INC	983	995
AMAZON COM INC	1,309	1,290
AMERICAN EXPRESS CO	1,434	1,313
AMERIPRISE FINL INC	1,787	1,851
AMPHENOL CORP CL A	1,274	1,398
ANADARKO PETROLEUM CORP	970	913
BANK OF AMERICA CORP	1,388	1,466
BIOGEN IDEC INC	1,109	1,323
BOEING CO	1,148	1,146
BORG WARNER INC	803	631
BRISTOL MYERS SQUIBB CO	1,109	1,177
C M S ENERGY CORP	928	919
CA INC	756	726
CALPINE CORP	752	716
CAPITAL ONE FINANCIAL CORP	887	979
CARMAX INC	632	557
CBS CORP CLASS B NON VOTING	1,028	856
CENTURYLINK INC	753	859
CHEVRON CORPORATION	1,713	1,551
CHIPOTLE MEXICAN GRILL	677	667
CITIGROUP INC	1,539	1,658
CUMMINS INC	1,125	1,056
DELTA AIR LINES INC	1,072	1,012

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DIRECTV	1,197	1,211
DISCOVERY COMMUNICATIONS C	336	336
DISCOVERY COMMUNICATIONS INC C	345	340
DISNEY WALT CO	1,464	1,692
DOW CHEM CO	1,362	1,521
ECOLAB INC	1,219	1,263
EMERSON ELEC CO	856	814
EXELON CORPORATION	690	682
FACEBOOK INC A	1,148	1,344
FIFTH THIRD BANCORP	665	621
FMC TECHNOLOGIES INC	849	869
GILEAD SCIENCES INC	1,536	2,023
GLENMADE SC EQUITY PORT ADV	6,626	6,357
GOOGLE INC CL A	1,653	1,765
GOOGLE INC CL C	1,737	1,732
GRAINGER W W INC	502	503
HALIBURTON CO	1,980	1,935
HASBRO INC	1,002	1,045
HEWLETT PACKARD CO	852	887
HOME DEPOT INC	1,125	1,284
HUNT J B TRANS SVCS INC	664	666
JARDEN CORP	834	842
KANSAS CITY SOUTHERN	648	727
LAUDER ESTEE COS INC CL A	1,555	1,569
LINCOLN NATL CORP IND	1,106	1,286
MCKEESON CORPORATION	1,656	1,947
MERK AND CO INC	1,550	1,660
MORGAN DEMPSEY SMALL MICRO CAP	5,277	4,823
MOSAIC CO	543	489
MOTOROLA SOLUTIONS INC	872	823

Name of Stock	End of Year Book Value	End of Year Fair Market Value
OCEANEERING INTERNATIONAL INC	619	521
P G E CORP	605	586
P N C FINANCIAL SERVICES GROUP	1,611	1,540
P P G INDS INC	1,044	984
PHILIP MORRIS INTL	849	834
PIONEER NAT RES CO	2,702	2,758
POLARIS INDS INC	658	749
PRAXAIR INC	1,025	1,032
PRECISION CASTPARTS CORP	1,270	1,184
PRICELINE COM INC	2,297	2,317
PRUDENTIAL FINANCIAL INC	813	791
RALPH LAUREN CORP	1,244	1,318
REPUBLIC SVCS INC	645	663
SALESFORCE COM INC	1,006	978
STAPLES INC	544	545
STATE STR CORP	1,297	1,399
TRACTOR SUPPLY CO	612	615
UNITED TECHNOLOGIES CORP	2,523	2,323
UNUM GROUP	1,084	1,066
VISA INC	1,607	1,707
WALGREEN CO	1,617	1,482
WELLS FARGO CO	1,266	1,245
WILLIAMS COS INC	1,385	1,328
WORKDAY INC	636	578
WYNN RESORTS LTD	1,192	1,122
ARM HLDGS PLC A D R	654	612
CAMBIAR INTL EQUITY FUND INS	10,785	10,656
CARNIVAL CORP	642	683
COVIDIEN PLC COM	1,276	1,211
EATON CORP PLC	701	570

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INGERSOLL RAND PLC	692	620
LAUDUS INTERNATIONAL MARKETMAS	50,378	48,856
T ROWE PRICE INTL GR&INC FD	49,000	47,490
CAUSEWAY EMERGING MARKETS INST	10,502	10,974
OPPENHEIMER DEVELOPING MARKETS	35,850	36,881
BLACKROCK EMERGING MKTS L S EQ	3,607	3,752
NEUBERGER BERMAN LONG SH INS	10,429	10,669
AMERICAN TOWER CORP	1,155	1,217
BOSTON PPTYS INC	1,172	1,158
GOLDMAN SACHS COMM STRAT INS	25,915	23,008

TY 2013 Other Decreases Schedule

Name: JOY SPIVA CRAGIN CHARITABLE TRUST

EIN: 43-6365083

Description	Amount
COST ADJ	278

TY 2013 Taxes Schedule

Name: JOY SPIVA CRAGIN CHARITABLE TRUST

EIN: 43-6365083

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL ESTIMATES - PRINCIPAL	1,538	0		0
FOREIGN TAXES ON QUALIFIED FOR	303	303		0
FOREIGN TAXES ON NONQUALIFIED	33	33		0