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Return of Private Foundation

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
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2013

Open to Public Inspection

For calendar year 2013 or tax year beginning **OCT 1, 2013**, and ending **SEP 30, 2014**

Name of foundation
DANA BROWN CHARITABLE TRUST FOUNDATION
LELA RICE, TRUSTEE

Number and street (or P.O. box number if mail is not delivered to street address)
P.O. BOX 3688

City or town, state or province, country, and ZIP or foreign postal code
BALLWIN, MO 63022-3688

A Employer identification number
43-6331557

B Telephone number
(636) 227-9940

C If exemption application is pending, check here

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

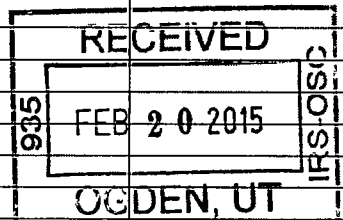
G Check all that apply:
 Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)
\$ 593,364. (Part I, column (d) must be on cash basis.)

J Accounting method: Cash Accrual
 Other (specify) _____

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		6.	6.		STATEMENT 1
4 Dividends and interest from securities		15,340.	15,340.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		19,806.			
b Gross sales price for all assets on line 6a		192,942.			
7 Capital gain net income (from Part IV, line 2)			19,806.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		35,152.	35,152.		
13 Compensation of officers, directors, trustees, etc		16,857.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		STMT 3 3,320.	3,320.		0.
c Other professional fees		STMT 4 8,626.	8,626.		0.
17 Interest					
18 Taxes		STMT 5 140.	140.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		STMT 6 1,166.	1,166.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		30,109.	13,252.		0.
25 Contributions, gifts, grants paid		28,398.			28,398.
26 Total expenses and disbursements Add lines 24 and 25		58,507.	13,252.		28,398.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<23,355.>			
b Net investment income (if negative, enter -0-)			21,900.		
c Adjusted net income (if negative, enter -0-)				N/A	



SCANNED FEB 24 2015

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Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	31,093.	33,031.	33,031.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	516,403.	491,110.	560,333.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	547,496.	524,141.	593,364.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ _____)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	547,496.	524,141.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.		
30 Total net assets or fund balances	547,496.	524,141.		
31 Total liabilities and net assets/fund balances	547,496.	524,141.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	547,496.
2 Enter amount from Part I, line 27a	2	<23,355.>
3 Other increases not included in line 2 (itemize) ▶ _____	3	0.
4 Add lines 1, 2, and 3	4	524,141.
5 Decreases not included in line 2 (itemize) ▶ _____	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	524,141.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 192,942.		173,136.	19,806.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			19,806.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	19,806.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	28,436.	567,495.	.050108
2011	30,530.	568,712.	.053683
2010	28,439.	632,370.	.044972
2009	27,237.	606,066.	.044941
2008	36,749.	545,182.	.067407

2 Total of line 1, column (d)	2	.261111
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.052222
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	606,823.
5 Multiply line 4 by line 3	5	31,690.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	219.
7 Add lines 5 and 6	7	31,909.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.	8	28,398.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	438.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	438.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-	5	438.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,079.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	1,079.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	641.
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> 641. Refunded <input type="checkbox"/> 0.	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	X		
14	The books are in care of ▶ <u>LELA RICE, TRUSTEE</u> Telephone no. ▶ <u>(636) 227-9940</u> Located at ▶ <u>P.O. BOX 3688, BALLWIN, MO</u> ZIP+4 ▶ <u>63022-3688</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶		Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u>N/A</u> ▶ <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____, _____, _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) <u>N/A</u>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870. 6b X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No
 N/A 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LELA RICE 619 TWIGWOOD DRIVE BALLWIN, MO 63021	TRUSTEE 1.00	8,428.	0.	0.
AUGUST C. LAMARCA 414 NORTH BALLAS ROAD CREVE COEUR, MO 63141	TRUSTEE 1.00	8,429.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	582,040.
b	Average of monthly cash balances	1b	34,024.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	616,064.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	616,064.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,241.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	606,823.
6	Minimum investment return. Enter 5% of line 5	6	30,341.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	30,341.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	438.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	438.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	29,903.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	29,903.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	29,903.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	28,398.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	28,398.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	28,398.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				29,903.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			28,334.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 28,398.				
a Applied to 2012, but not more than line 2a			28,334.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				64.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				29,839.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**
 a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

DANA BROWN CHARITABLE TRUST FOUNDATION

Form 990-PF (2013)

LELA RICE, TRUSTEE

43-6331557 Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
KETC / CHANNEL 9 3655 OLIVE STREET ST. LOUIS, MO 63108	PUBLIC CHARITY	NOT RELATED	PUBLIC TELEVISION	5,680.
SALVATION ARMY 1130 HAMPTON ST. LOUIS, MO 63139	PUBLIC CHARITY	NOT RELATED	CARE OF INDIGENTS	5,680.
ST. LOUIS CHILDREN'S HOSPITAL ONE CHILDREN'S PLACE ST. LOUIS, MO 63110	PUBLIC CHARITY	NOT RELATED	MEDICAL CARE FOR CHILDREN	5,680.
ST. LOUIS ZOO 1 GOVERNMENT DRIVE ST. LOUIS, MO 63110	PUBLIC CHARITY	NOT RELATED	SUPPORT FOR PUBLIC ZOO	5,679.
YMCA OF GREATER ST. LOUIS 1528 LOCUST STREET ST. LOUIS, MO 63103	PUBLIC CHARITY	NOT RELATED	YMCA	5,679.
Total				▶ 3a 28,398.
b Approved for future payment				
NONE				
Total				▶ 3b 0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MCKESSON CORPORATION	P	04/09/13	10/28/13
b MERRILL LYNCH SEE ATTACHED	P		
c MERRILL LYNCH SEE ATTACHED	P		
d EATON CORP	P	04/09/13	01/13/14
e AMERICA MOVIL SAB DE CV	P	04/09/13	04/22/14
f BRISTOL-MYERS SQUIBB	P	04/09/13	06/20/14
g CONAGRA FOODS INC	P	04/09/13	06/20/14
h MERRILL LYNCH SEE ATTACHED	P		
i MERRILL LYNCH SEE ATTACHED	P		
j MERRILL LYNCH SEE ATTACHED	P		
k CHEVRON CORP	P	04/09/13	09/10/14
l MERRILL LYNCH BASIS ADJUSTMENT			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 10,371.		7,272.	3,099.
b 31,485.		30,216.	1,269.
c 19,169.		18,837.	332.
d 12,055.		9,598.	2,457.
e 7,573.		8,294.	<721.>
f 8,786.		7,516.	1,270.
g 8,531.		10,176.	<1,645.>
h 26,658.		21,140.	5,518.
i 46,083.		38,668.	7,415.
j 11,589.		11,127.	462.
k 10,642.		10,207.	435.
l		85.	<85.>
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			3,099.
b			1,269.
c			332.
d			2,457.
e			<721.>
f			1,270.
g			<1,645.>
h			5,518.
i			7,415.
j			462.
k			435.
l			<85.>
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	19,806.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

2ND ACCOUNT

Account Number: 788-19436

24-Hour Assistance: (800) MERRILL

ACCOUNT INVESTMENT OBJECTIVE

August 30, 2014 - September 30, 2014

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR CMA FOR TRUST BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A	1,704	1,966	05	0.09	2,186
TOTAL ML Bank Deposit Program	1,704			0.09	2,186

YOUR CMA FOR TRUST ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est Annual Yield%
CASH	30.35	30.35		30.35		
+ML BANK DEPOSIT PROGRAM	2,186.00	2,186.00	1.0000	2,186.00	1	05
+FDIC INSURED NOT SIPC COVERED						
TOTAL		2,216.35		2,216.35	1	05

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Annual Income	Estimated Current Yield%
ACE LIMITED	ACE	04/09/13	51	90.5800	4,619.58	104.8700	5,348.37	728.79		133	2.47
AMER EXPRESS COMPANY	AXP	04/09/13	118	65.1900	7,692.42	87.5400	10,329.72	2,637.30		123	1.18
AMERICAN WTR WKS CO INC NEW	AWK	04/09/13	169	41.6872	7,045.15	48.2300	8,150.87	1,105.72		210	2.57



Account Number: 788-19436

2ND ACCOUNT

YOUR CMA FOR TRUST ASSETS

August 30, 2014 - September 30, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
AUTOMATIC DATA PROC	ADP	05/10/13 12/19/13	85 39 124	70.0669 79.7251	5,955.69 3,109.28 9,064.97	83.0800 83.0800	7,061.80 3,240.12 10,301.92	1,106.11 130.84 1,236.95	164 75 239	2.31 2.31 2.31
Subtotal										
CARDINAL HEALTH INC OHIO	CAH	10/28/13	131	55.7964	7,309.34	74.9200	9,814.52	2,505.18	180	1.82
CATERPILLAR INC DEL	CAT	04/09/13	82	86.0100	7,052.82	99.0300	8,120.46	1,067.64	230	2.82
CITIGROUP INC COM NEW	C	04/09/13	121	43.8358	5,304.14	51.8200	6,270.22	966.08	5	.07
COMCAST CORP NEW CL A	CMCSA	04/09/13	149	41.2650	6,148.49	53.7800	8,013.22	1,864.73	135	1.67
COSTCO WHOLESALE CRP DEL	COST	04/09/13	75	105.9400	7,945.50	125.3200	9,399.00	1,453.50	107	1.13
CUMMINS INC COM	CMI	12/19/13	53	137.6032	7,292.97	131.9800	6,994.94	(298.03)	166	2.36
CVS HEALTH CORP	CVS	04/09/13	150	56.6156	8,492.34	79.5900	11,938.50	3,446.16	165	1.38
DISNEY (WALT) CO COM STK	DIS	08/14/14	90	88.3067	7,947.61	89.0300	8,012.70	65.09	78	.96
DOMINION RES INC NEW VA	D	06/28/13	110	56.8899	6,257.89	69.0900	7,599.90	1,342.01	264	3.47
FEDEX CORP DELAWARE COM	FDX	04/09/13	65	95.3500	6,197.75	161.4500	10,494.25	4,296.50	52	4.9
HESS CORP	HES	08/09/13	118	74.6928	8,813.76	94.3200	11,129.76	2,316.00	118	1.06
HEWLETT PACKARD CO DEL	HPQ	12/19/13	278	28.0856	7,807.80	35.4700	9,860.66	2,052.86	178	1.80
HOME DEPOT INC	HD	04/09/13	82	71.2459	5,842.17	91.7400	7,522.68	1,680.51	155	2.04
HONEYWELL INTL INC DEL	HON	04/09/13	109	73.5300	8,014.77	93.1200	10,150.08	2,135.31	197	1.93
MONDELEZ INTERNATIONAL INC	MDLZ	06/27/14	284	37.3300	10,601.72	34.2650	9,731.26	(870.46)	171	1.75
MONSANTO CO NEW DEL COM	MON	04/09/13	43	105.0551	4,517.37	112.5100	4,837.93	320.56	85	1.74
NIKE INC CL B	NKE	04/09/13	79	59.8700	4,729.73	89.2000	7,046.80	2,317.07	76	1.07
ORACLE CORP \$0.01 DEL	ORCL	04/09/13	286	33.0456	9,451.07	38.2800	10,948.08	1,497.01	138	1.25
PRICE T ROWE GROUP INC	TROW	04/09/13	80	74.4612	5,956.90	78.4000	6,272.00	315.10	141	2.24
SCHLUMBERGER LTD	SLB	04/09/13	82	75.4300	6,185.26	101.6900	8,338.58	2,153.32	132	1.57



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2ND ACCOUNT

Account Number: 788-19436

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

August 30, 2014 - September 30, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
ST JUDE MEDICAL INC	STJ	07/14	153	70.5173	10,789.16	60.1300	9,199.89	(1,589.27)	166	1.79
THERMO FISHER SCIENTIFIC INC	TMO	04/13	105	78.4400	8,236.20	121.7000	12,778.50	4,542.30	63	4.9
UNITED TECHS CORP COM	UTX	04/13	68	94.5257	6,427.75	105.6000	7,180.80	753.05	161	2.23
VALERO ENERGY CORP NEW	VLO	04/13	166	39.1090	6,492.11	46.2700	7,680.82	1,188.71	183	2.37
		05/10/13	32	37.9203	1,213.45	46.2700	1,480.64	267.19	36	2.37
Subtotal			198	7.70556	7,705.56		9,161.46	1,455.90	219	2.37
VERIZON COMMUNICATNS COM	VZ	04/14	118	48.1767	5,684.36	49.9900	5,898.82	213.96	260	4.40
VISA INC CL A SHRS	V	04/13	36	164.6877	5,928.76	213.3700	7,681.32	1,752.56	58	7.4
WELLS FARGO & CO NEW DEL	WFC	04/13	151	37.5000	5,662.50	51.8700	7,832.37	2,169.87	212	2.69
TOTAL					220,726.31		266,359.58	45,633.27	4,617	1.73

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	222,942.66	268,575.93	45,633.27	4,618	1.72	

CASH < 2,216.35 >
YOUR CMA FOR TRUST TRANSACTIONS 220,726.31

DIVIDENDS/INTEREST INCOME TRANSACTIONS		Date	Transaction Type	Quantity	Description	Income	Year To Date
09/30	Bank Interest				BANK DEPOSIT INTEREST	.09	
09/02	Subtotal (Taxable Interest)					.09	80
	* Dividend				AMERICAN WTR WKS CO INC NEW HOLDING 199.0000 PAY DATE 09/02/2014	61.69	
09/02	* Dividend				CUMMINS INC COM HOLDING 53 0000	41.34	

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LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

ACCOUNT INVESTMENT OBJECTIVE

August 30, 2014 - September 30, 2014

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s)

YOUR CMA FOR TRUST BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A	4,149	4,007	05	0.17	3,753
Bank of America CA, N.A.	1	1	05	0.00	1
TOTAL ML Bank Deposit Program	4,150			0.17	3,754

YOUR CMA FOR TRUST ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	297.59	297.59		297.59		
+ML BANK DEPOSIT PROGRAM	3,754.00	3,754.00	1.0000	3,754.00	2	05
+FDIC INSURED NOT SIPC COVERED						
TOTAL		4,051.59		4,051.59	2	05

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Yield%
ABBVIE INC SHS	ABBV	04/09/13	225	42.6049	9,586.12	57.7600	12,996.00	3,409.88	378	2.90
ALTRIA GROUP INC	MO	04/09/13	159	35.4754	5,640.59	45.9400	7,304.46	1,663.87	331	4.52
AMN ELEC POWER CO	AEP	11/12/13	166	47.4777	7,881.30	52.2100	8,666.86	785.56	332	3.83

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Account Number: 788-11869

August 30, 2014 - September 30, 2014

YOUR CMA FOR TRUST ASSETS

LELA G RICE TTEE

EQUITIES (continued)	Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
ANHEUSER-BUSCH INBEV ADR		BUD	06/20/14	102	116.2354	11,856.02	110.8500	11,306.70	(549.32)	237	2.09
AT&T INC		T	04/09/13	223	37.7899	8,427.15	35.2400	7,858.52	(568.63)	411	5.22
AUTOMATIC DATA PROC		ADP	11/19/13	138	79.9571	11,034.09	83.0800	11,465.04	430.95	265	2.31
BCE INC		BCE	04/09/13	184	45.8000	8,427.20	42.7600	7,867.84	(559.36)	417	5.29
BP PLC		BP	09/10/14	259	45.9500	11,901.05	43.9500	11,383.05	(518.00)	607	5.32
DIGITAL RLTY TR INC		DLR	04/09/13	144	70.4153	10,139.81	62.3800	8,982.72	(1,157.09)	479	5.32
			06/07/13	26	60.0000	1,560.00	62.3800	1,621.88	61.88	87	5.32
			11/01/13	58	47.9039	2,778.43	62.3800	3,618.04	839.61	193	5.32
				228		14,478.24		14,222.64	(255.60)	759	5.32
Subtotal						4,724.43	71.7600	6,888.96	2,164.53	181	2.61
DU PONT DE NEMOURS		DD	04/09/13	96	49.2128	4,724.43	71.7600	6,888.96	2,164.53	181	2.61
ELI LILLY & CO		LLY	06/20/14	145	61.7999	8,960.99	64.8500	9,403.25	442.26	285	3.02
GENERAL ELECTRIC		GE	04/09/13	440	23.0598	10,146.35	25.6200	11,272.80	1,126.45	388	3.43
GLAXOSMITHKLINE PLC ADR		GSK	11/01/13	203	52.6700	10,692.01	45.9700	9,331.91	(1,360.10)	539	5.77
INTEL CORP		INTC	04/09/13	477	21.7559	10,377.61	34.8200	16,609.14	6,231.53	430	2.58
JPMORGAN CHASE & CO		JPM	04/09/13	146	48.7100	7,111.66	60.2400	8,795.04	1,683.38	234	2.65
KIMBERLY CLARK		KMB	04/09/13	113	99.2900	11,219.77	107.5700	12,155.41	935.64	380	3.12
KRAFT FOODS GROUP INC SHS		KRFT	04/09/13	141	50.7357	7,153.74	56.4000	7,952.40	798.66	297	3.72
LOCKHEED MARTIN CORP		LMT	01/13/14	72	153.7416	11,069.40	182.7800	13,160.16	2,090.76	432	3.28
MCDONALDS CORP COM		MCD	04/09/13	111	101.1126	11,223.50	94.8100	10,523.91	(699.59)	378	3.58
NEXTERA ENERGY INC SHS		NEE	04/09/13	92	79.2356	7,289.68	93.8800	8,636.96	1,347.28	267	3.08
			07/01/13	36	81.4969	2,933.89	93.8800	3,379.68	445.79	105	3.08
				128		10,223.57		12,016.64	1,793.07	372	3.08
Subtotal						11,293.53	96.1500	11,057.25	(236.28)	332	2.99
OCCIDENTAL PETE CORP CAL		OXY	12/31/10	115	98.2046	11,293.53	96.1500	11,057.25	(236.28)	332	2.99
PACCAR INC		PCAR	04/09/13	187	49.0154	9,165.88	56.8750	10,635.63	1,469.75	165	1.54



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LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

August 30, 2014 - September 30, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Annual Income	Current Yield%
PHILIP MORRIS INTL INC	PM	04/09/13	59	94.5300	5,577.27	83.4000	4,920.60	(656.67)	236	479	4.79
PPL CORPORATION	PPL	07/01/13	259	30.0971	7,795.17	32.8400	8,505.56	710.39	386	453	4.53
SPECTRA ENERGY CORP	SE	04/09/13	302	30.4553	9,197.53	39.2600	11,856.52	2,658.99	405	341	3.41
THOMSON REUTERS CORP	TRI	04/09/13	154	32.2281	4,963.13	36.4100	5,607.14	644.01	204	362	3.62
TORONTO DOMINION BANK	TD	04/09/13	194	39.8811	7,736.95	49.3900	9,581.66	1,844.71	336	350	3.50
VENTAS INC REIT	VTR	04/09/13	149	75.5042	11,250.14	61.9500	9,230.55	(2,019.59)	433	468	4.68
VERIZON COMMUNICATNS COM	VZ	04/09/13	228	49.4257	11,269.06	49.9900	11,397.72	128.66	502	440	4.40
TOTAL					270,383.45		293,973.36	23,589.91	10,652	362	

LONG PORTFOLIO

Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
274,435.04	298,024.95	23,589.91	10,653	357	
TOTAL			10,653	357	

CASH < 4051.59 >
270,383.45

YOUR CMA FOR TRUST TRANSACTIONS

Date	Transaction Type	Quantity	Description	Income	Year To Date Income
09/30	Bank Interest		BANK DEPOSIT INTEREST	17	17
09/02	* Dividend		INTEL CORP HOLDING 477.0000 PAY DATE 09/01/2014	.17	1.80
09/05	* Dividend		PACCAR INC HOLDING 187.0000 PAY DATE 09/05/2014	41.14	
09/09	* Dividend		SPECTRA ENERGY CORP	101.17	
			Investments		
			Page 3 of 6		
			Page 6 of 6		
			\$220,726.31		
			\$270,383.45		
			491,109.76		

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LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

November 01, 2013 - November: 29 2013

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
<i>Subtotal (Long-Term)</i>							
ABBVIE INC SHS	29.0000	04/09/13	11/01/13	1,422.50	1,235.55	186.95	(26,854.51)
MERCK AND CO INC SHS	103.0000	04/09/13	11/01/13	4,623.58	4,696.36	(72.78)	
MERCK AND CO INC SHS	126.0000	07/01/13	11/01/13	5,656.05	5,867.43	(211.38)	
MICROSOFT CORP	329.0000	04/09/13	11/19/13	12,144.27	9,764.03	2,380.24	
PG&E CORP	189.0000	07/01/13	11/12/13	7,638.91	8,652.46	(1,013.55)	
<i>Subtotal (Short-Term)</i>							
TOTAL				31,485.31	30,215.83	1,269.48	(22,163.03)

* - Excludes transactions for which we have insufficient data

YOUR CMA FOR TRUST MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
11/01	ML BANK DEPOSIT PROGRAM		123.00	11/15	ML BANK DEPOSIT PROGRAM	243.00	
11/04	ML BANK DEPOSIT PROGRAM		285.00	11/18	ML BANK DEPOSIT PROGRAM		102.00
11/06	ML BANK DEPOSIT PROGRAM	1,768.00		11/25	ML BANK DEPOSIT PROGRAM		1,177.00
NET TOTAL						324.00	

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2ND ACCOUNT

Account Number: 788-19436

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

November 30, 2013 - December 31, 2013

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
		REQUEST, ML ACTED AS AGENT						
		CUS NO 913017109 SEC NO 77504 PRINCIPAL 2732.22						
		TRN FEE 0 05						
		<i>Subtotal (Sales)</i>				19,169.43		
		TOTAL				20,931.36	19,169.43	

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
ACCENTURE PLC SHS	109 0000	04/23/13	12/19/13	8 680 62	8 313 16	367 46	
INTL BUSINESS MACHINES	43 0000	04/23/13	12/19/13	7 756 64	8 150 54	(403 90)	
UNITED TECHS CORP COM	25 0000	04/09/13	12/19/13	2 732 17	2 363 15	369 02	
<i>Subtotal (Short-Term)</i>						332 58	16,663.55
TOTAL				19,169.43	18,836.85	332.58	16,663.55

* - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2013 tax return. These reportable transactions will appear on your January statement.

YOUR CMA FOR TRUST MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
12/02	ML BANK DEPOSIT PROGRAM		29 00	12/19	ML BANK DEPOSIT PROGRAM		51 00
12/03	ML BANK DEPOSIT PROGRAM		110 00	12/20	ML BANK DEPOSIT PROGRAM		39 00
12/04	ML BANK DEPOSIT PROGRAM		17 00	12/23	ML BANK DEPOSIT PROGRAM		74 00
12/11	ML BANK DEPOSIT PROGRAM		162 00	12/24	ML BANK DEPOSIT PROGRAM	1,762 00	



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2ND ACCOUNT Account Number: 788-19436

YOUR CMA FOR TRUST TRANSACTIONS

July 01, 2014 - July 31, 2014

**SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT**

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
		CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON						
		REQUEST ML ACTED AS AGENT						
		CUS NO G2554F113 SEC NO 161E6 PRINCIPAL 15880 32						
		TRN FEE 0.35						
		Subtotal (Sales)				26,657.85		
		TOTAL				26,657.85		

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
COVIDIEN PLC SHS NEW	152 0000	04/09/13	07/07/14	13,714.51	9,342.99	4,371.52	
COVIDIEN PLC SHS NEW	12 0000	04/09/13	07/07/14	1,082.73	693.27	389.46	
COVIDIEN PLC SHS NEW	12 0000	07/03/13	07/07/14	1,082.73	692.34	390.39	
DIAGEO PLC SPSD ADR NEW	86 0000	04/09/13	06/27/14	10,777.88	10,411.16	366.72	
Subtotal (Long-Term)						5,518.09	4,796.17
TOTAL				26,657.85	21,139.76	5,518.09	4,796.17

* - Excludes transactions for which we have insufficient data

† This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring

(A) If the gain/loss displays as N/C, this transaction has been identified as a "Wash Sale" based on IRS regulations and the loss has been added to the cost basis of the related purchase

(B) If the gain/loss is calculated, the cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and your gain or loss will be inclusive of this amount

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
07/08	Journal Entry			1,185.43	
	Subtotal (Other Debits/Credits)		PIA ADVISORY FEE	1,185.43	

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Account Number: 788-19436

YOUR CMA FOR TRUST TRANSACTIONS

August 01, 2014 - August 29, 2014

**SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT**

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
		REQUEST WE MAKE A MKT IN ISSUE						
		ML ACTED AS AGENT CUS NO 949746101 SEC NO 9D8G5						
		PRINCIPAL 1518 00 TRN FEE O 03						
		Subtotal (Sales)				57,671.83		
		TOTAL				9,448.82		57,671.83

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
AMER EXPRESS COMPANY	23 0000	04/09/13	08/18/14	2,003.72	1,499.37	504.35	
AMERICAN WTR WKS CO INC	30 0000	04/09/13	08/18/14	1,485.57	1,250.62	234.95	
AUTOMATIC DATA PROC	24 0000	05/10/13	08/18/14	1,998.20	1,681.61	316.59	
COMCAST CORP NEW CL A	27 0000	04/09/13	08/18/14	1,472.28	1,114.15	358.13	
CVS CAREMARK CORP	25 0000	04/09/13	08/18/14	1,975.46	1,415.39	560.07	
COSTCO WHOLESale CRP DEL	17 0000	04/09/13	08/18/14	2,031.80	1,800.98	230.82	
CATERPILLAR INC DEL	14 0000	04/09/13	08/18/14	1,498.53	1,204.14	294.39	
CITIGROUP INC COM NEW	30 0000	04/09/13	08/18/14	1,485.57	1,315.07	170.50	
DOMINION RES INC NEW VA	22 0000	06/28/13	08/18/14	1,509.39	1,251.58	257.81	
FEDEX CORP DELAWARE COM	10 0000	04/09/13	08/18/14	1,501.97	953.50	548.47	
HONEYWELL INTL INC DEL	21 0000	04/09/13	08/18/14	1,998.32	1,544.13	454.19	
HESS CORP	21 0000	08/09/13	08/18/14	2,047.45	1,568.55	478.90	
HOME DEPOT INC	18 0000	04/09/13	08/18/14	1,507.29	1,282.43	224.86	
MONSANTO CO NEW DEL COM	9 0000	04/09/13	08/18/14	1,075.39	945.49	129.90	
MCDONALDS CORP COM	82 0000	04/09/13	08/14/14	7,671.68	8,285.28	(613.60)	
NIKE INC CL B	13 0000	04/09/13	08/18/14	1,021.91	778.31	243.60	
ORACLE CORP \$0 01 DEL	49 0000	04/09/13	08/18/14	1,989.36	1,619.24	370.12	
PRICE T ROWE GROUP INC	19 0000	04/09/13	08/18/14	1,493.94	1,414.76	79.18	

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2ND ACCOUNT

Account Number: 788-19436

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

August 01, 2014 - August 29, 2014

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
SCHLUMBERGER LTD	13 0000	04/09/13	08/18/14	1,404 10	980 59	423.51	
THERMO FISHER SCIENTIFIC	16 0000	04/09/13	08/18/14	1 953 72	1 255 04	698 68	
UNITED TECHS CORP COM	13 0000	04/09/13	08/18/14	1 404 36	1 228 84	175 52	
VALERO ENERGY CORP NEW	28 0000	04/09/13	08/18/14	1 495 73	1 095 05	400 68	
VISA INC CL A SHRS	7 0000	04/09/13	08/18/14	1 499 37	1 152 82	346 55	
ACE LIMITED	10 0000	04/09/13	08/18/14	1 039 88	905 80	134 08	
WELLS FARGO & CO NEW DEL	30 0000	04/09/13	08/18/14	1 517 97	1 125 00	392 97	
Subtotal (Long-Term)				✓ 46082.96	38667.74	7,415 22	12,211.39
CARDINAL HEALTH INC OHIO	21 0000	10/28/13	08/18/14	1 510 50	1 171 73	338 77	
CUMMINS INC COM	11 0000	12/19/13	08/18/14	1 557 57	1 513 63	43 94	
DISNEY (WALT) CO COM STK	17 0000	08/14/14	08/18/14	1 528 44	1 501 21	27 23	
HEWLETT PACKARD CO DEL	43 0000	12/19/13	08/18/14	1 515 29	1 207 68	307 61	
MONDELEZ INTERNATIONAL	55 0000	06/27/14	08/18/14	1 979 41	2 053 15	(73 74)	
ST JUDE MEDICAL INC	31 0000	07/07/14	08/18/14	1 988 30	2 186 04	(197 74)	
VERIZON COMMUNICATNS COM	31 0000	04/22/14	08/18/14	1 509 36	1 493 48	15 88	
Subtotal (Short-Term)				✓ 11588.87	11126.92	461 95	
TOTAL				57,671.83	49,794.66	7,877.17	12,673.34

* - Excludes transactions for which we have insufficient data

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
08/21	Wire Transfer		WIRE TRF OUTP44233016078	50 000 00	
	Subtotal (Electronic Transfers)			50,000 00	
	NET TOTAL			50,000.00	

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA	3.	3.	
MERRILL LYNCH	3.	3.	
TOTAL TO PART I, LINE 3	6.	6.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH - DIVIDENDS	15,340.	0.	15,340.	15,340.	
TO PART I, LINE 4	15,340.	0.	15,340.	15,340.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND TAX SERVICES	3,320.	3,320.		0.
TO FORM 990-PF, PG 1, LN 16B	3,320.	3,320.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERAGE FEES	8,626.	8,626.		0.
TO FORM 990-PF, PG 1, LN 16C	8,626.	8,626.		0.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	140.	140.		0.
TO FORM 990-PF, PG 1, LN 18	140.	140.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS EXPENSE	1,166.	1,166.		0.
TO FORM 990-PF, PG 1, LN 23	1,166.	1,166.		0.

FORM 990-PF	CORPORATE STOCK		STATEMENT 7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
MERRILL LYNCH - EQUITIES (SEE ATTACHED)	491,110.	560,333.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	491,110.	560,333.	