Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning OCT 1, 2013 , and ending SEP 30, 2014

Name of foundation
SPAULDING FAMILY FOUNDATION
C/O CHARLES SPAULDING III

A Employer identification number
43-1833001

Number and street (or P O box number if mail is not delivered to street address)
5831 OAKWOOD RD.

Room/suite

B Telephone number
913-362-5326

City or town, state or province, country, and ZIP or foreign postal code
SHAWNEE MISSION, KS 66208

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 2,889,737.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	23.	23.		STATEMENT 1
	4 Dividends and interest from securities	91,060.	85,354.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	47,281.			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		47,281.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total**. Add lines 1 through 11	138,364.	132,658.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 3	70.	70.		0.
	b Accounting fees STMT 4	3,500.	3,500.		0.
	c Other professional fees STMT 5	17,546.	17,546.		0.
	17 Interest				
	18 Taxes STMT 6	6,864.	6,864.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 7	31,852.	31,852.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	59,832.	59,832.		0.
	25 Contributions, gifts, grants paid	95,371.			95,371.
	26 **Total expenses and disbursements.** Add lines 24 and 25	155,203.	59,832.		95,371.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<16,839.>			
	b **Net investment income** (if negative, enter -0-)		72,826.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	207,865.	54,044.	54,044.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	1,860,725.	1,985,471.	2,360,179.
	c Investments - corporate bonds STMT 9	458,543.	470,779.	475,514.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item 1)	2,527,133.	2,510,294.	2,889,737.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	2,527,133.	2,510,294.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances**	2,527,133.	2,510,294.	
	31 **Total liabilities and net assets/fund balances**	2,527,133.	2,510,294.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,527,133.
2 Enter amount from Part I, line 27a	2	<16,839.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	2,510,294.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,510,294.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				47,281.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				47,281.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — 47,281.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 — 3 — N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	115,713.	2,700,471.	.042849
2011	182,163.	2,557,476.	.071228
2010	168,796.	2,553,685.	.066099
2009	176,172.	2,564,236.	.068704
2008	183,888.	2,632,975.	.069840

2	Total of line 1, column (d)	2	.318720
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.063744
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,586,557.
5	Multiply line 4 by line 3	5	164,877.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	728.
7	Add lines 5 and 6	7	165,605.
8	Enter qualifying distributions from Part XII, line 4	8	95,371.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1,457.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	1,457.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,457.
6	Credits/Payments:				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	3,540.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	3,540.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	1.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	2,082.
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 2,082. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ KS			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ CHARLES SPAULDING III Telephone no. ▶913-362-5326
Located at ▶5831 OAKWOOD RD, SHAWNEE MISSION, KS ZIP+4 ▶66208

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No

(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No

				Yes	No
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No
If "Yes," list the years ▶ ______, ______, ______, ______

				Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.
▶ ______, ______, ______, ______

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

				Yes	No
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A — 5b

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? — 6b — No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A — 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHARLES SPAULDING III 5831 OAKWOOD RD SHAWNEE MISSION, KS 66208	PRES/SEC/TREAS 5.00	0.	0.	0.
SUSAN SPAULDING 5831 OAKWOOD RD SHAWNEE MISSION, KS 66208	VICE PRES 10.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,530,454.
b	Average of monthly cash balances	1b	95,492.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	2,625,946.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,625,946.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	39,389.
5	**Net value of noncharitable-use assets**. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,586,557.
6	**Minimum investment return**. Enter 5% of line 5	6	129,328.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	129,328.
2a	Tax on investment income for 2013 from Part VI, line 5 2a 1,457.		
b	Income tax for 2013. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	1,457.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	127,871.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	127,871.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	127,871.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc. purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	95,371.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	95,371.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions**. Subtract line 5 from line 4	6	95,371.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				127,871.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years: _____, _____, _____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008 46,829.				
b From 2009 51,776.				
c From 2010 45,020.				
d From 2011 59,343.				
e From 2012				
f **Total** of lines 3a through e	202,968.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 95,371.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				95,371.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	32,500.			32,500.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	170,468.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	14,329.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	156,139.			
10 Analysis of line 9:				
a Excess from 2009 51,776.				
b Excess from 2010 45,020.				
c Excess from 2011 59,343.				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED SCHEDULE	NONE		CHARITABLE ORGANIZATION	95,371.
Total			▶ 3a	95,371.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					23.
4 Dividends and interest from securities					91,060.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					47,281.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		0.	138,364.

13 Total Add line 12, columns (b), (d), and (e) 13 138,364.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Line	Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ Susan S. Spaulding | 2/16/15 | ▶ Vice-president

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
DOUGLAS C. MILLER	Douglas C. Miller	2/12/15		P00058211
Firm's name ▶ MILLER HAVILAND KETTER PC, PA			Firm's EIN ▶ 48-0958002	
Firm's address ▶ 1901 W. 47TH PLACE, SUITE 204 WESTWOOD, KS 66205			Phone no. (913)432-2727	

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MISSOURI BANK	23.	23.	
TOTAL TO PART I, LINE 3	23.	23.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
AVALON ADVISORS, LP	18,336.	0.	18,336.	12,630.	
BLACKGOLD OPPORTUNITY FUND	39,973.	0.	39,973.	39,973.	
HAWKINS INVESTMENT PART	29,256.	0.	29,256.	29,256.	
TOWLE INVESTMENT	3,495.	0.	3,495.	3,495.	
TO PART I, LINE 4	91,060.	0.	91,060.	85,354.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LAWYER FEES	70.	70.		0.
TO FM 990-PF, PG 1, LN 16A	70.	70.		0.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,500.	3,500.		0.
TO FORM 990-PF, PG 1, LN 16B	3,500.	3,500.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	17,546.	17,546.		0.
TO FORM 990-PF, PG 1, LN 16C	17,546.	17,546.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES	6,864.	6,864.		0.
TO FORM 990-PF, PG 1, LN 18	6,864.	6,864.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS EXPENSES	31,852.	31,852.		0.
TO FORM 990-PF, PG 1, LN 23	31,852.	31,852.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCK	1,985,471.	2,360,179.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,985,471.	2,360,179.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BONDS	470,779.	475,514.
TOTAL TO FORM 990-PF, PART II, LINE 10C	470,779.	475,514.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AVALON EQUITY BALANCED			
b	AVALON EQUITY BALANCED			
c	AVALON FIXED INCOME			
d	AVALON FIXED INCOME			
e	TOWLE			
f	TOWLE			
g	AVALON HAWKINS			
h	AVALON HAWKINS			
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				4,700.
b				30,475.
c				<549.>
d				<540.>
e				<1,039.>
f				37,798.
g				<44,609.>
h				21,045.
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				4,700.
b				30,475.
c				<549.>
d				<540.>
e				<1,039.>
f				37,798.
g				<44,609.>
h				21,045.
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	47,281.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

323591
05-01-13

Spaulding Family Foundation
Charitable Contribuitons Listing
For the Fiscal Year Ended September 30, 2013

Date	Description	Amount
10/25/2013	Relcuss - Kirkwood	$ 2,500.00
11/5/2013	St. Lukes Hospic House	$ 100.00
11/5/2013	CTLC	$ 1,000 00
11/11/2013	TMC	$ 10,000 00
11/11/2013	7th Church	$ 4,500.00
11/1/2013	CUR	$ 120.00
11/16/2014	Prir Club	$ 135.00
11/17/2014	CT Foundation	$ 250.00
11/17/2014	The Principin	$ 5,000.00
11/18/2013	Red Cross	$ 1,500.00
11/22/2013	KC Hospice	$ 50 00
11/23/2013	AIU	$ 5,000.00
1/5/2014	Powell Gardens - year end	$ 1,000 00
1/5/2014	Kemper Meseum	$ 1,000.00
1/5/2014	Harrison - Jewell	$ 1,000.00
1/15/2014	Eve's Camp Land	$ 200.00
1/5/2014	Childrens TLC	$ 200 00
	Nelson Atkins	$ 100.00
1/12/2014	KC Symphony - Crosby	$ 100.00
2/11/2014	Helsin for James Hiller	$ 50.00
2/17/2014	Turman Library	$ 3,000.00
2/17/2014	LHL - Paul Bartlett	$ 500 00
3/9/2014	Grace Hospice - Dorothy Perdutor	$ 50.00
3/15/2014	The Nature Galley Foundation	$ 3,000.00
3/25/2014	Tom S. Watson Suppoter Foundation	$ 4,000 00
3/25/2015	Oreila Park Church	$ 100.00
4/1/2014	St. Pauls	$ 750.00
4/1/2014	Great Plains SPCA	$ 50 00
4/13/2014	FOF Chamber Music	$ 300.00
4/20/2014	CTLC	$ 1,400.00
4/20/2014	7th Church	$ 4,500.00
4/28/2014	Powell Gardens	$ 5,000.00
5/10/2014	The Jewel Ball	$ 1,500.00
5/10/2014	W&M	$ 3,000.00
5/10/2014	SOPP - Powell	$ 1,000.00
5/14/2014	Slaiem Fn Paibu	$ 50.00
5/14/2014	The Hature Crsurang	$ 1,000.00
5/22/2014	Junior League	$ 1,091.00
5/1/2014	Kappa Holiday Home Hour	$ 250.00
6/1/2014	Sassy	$ 500.00
6/10/2014	Powell Gardens	$ 1,000.00
6/19/2014	St. Paul's Ann	$ 2,500.00

6/23/2014	Principal fon Oetter	$	1,000.00
6/23/2014	DAR Project General	$	350.00
6/23/2014	Suffulk Harnsemel HS	$	100.00
6/23/2014	CT Foundation	$	100.00
6/24/2014	Friends of the Measum	$	25.00
6/24/2014	7th Church	$	5,000.00
8/3/2014	Sympthoy Society	$	1,500.00
8/6/2014	CTLC	$	1,000.00
8/6/2014	Cleveline Clenic	$	100.00
8/7/2014	Childrens TLC	$	300.00
8/11/2014	Morning Star Missionary Baptisit Church	$	100.00
8/15/2014	Red Cross	$	5,000 00
8/30/2014	Nelson Gallery F	$	500 00
9/3/2014	KCPT	$	200.00
9/7/2014	LHL	$	5,000.00
	St. Paul Counts Guild	$	500.00
	St. Paul Counts Guild	$	250.00
9/28/2014	Truman Library Institute	$	1,000.00
9/28/2014	7th Chruch of CS	$	5,000.00

AVALON
ADVISORS, LLC

AVALON ADVISORS, LLC
2929 ALLEN PARKWAY, SUITE 3000
HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT
ACCOUNT #16H46065
was 00256204
From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
03-20-2013	10-15-2013	305	FHLMC REMIC SERIES 4173 2 500% Due 07-15-42	305 67	0 00	304 91	(0 76)	
09-09-2009	10-15-2013	297	FHLMC REMIC SERIES 2586 4 500% Due 03-15-18	312 73	0 00	297 31		(15 42)
10-07-2009	10-16-2013	279	GNMA REMIC TRUST 2003-42 4 000% Due 01-16-30	285 99	0 00	278 67		(7 32)
08-07-2013	10-25-2013	161	FNMA PASS-THRU INT 15 YEAR 4 000% Due 02-01-26	171 98	0 00	161 35	(10 63)	
10-27-2009	10-25-2013	988	FNMA 2006-M3 5 095% Due 08-25-16	1,036 91	0 00	987 53		(49 38)
03-01-2011	10-25-2013	316	FNR 2011-5 UE 2 500% Due 02-25-21	317 92	0 00	316 44		(1 48)
02-08-2013	10-25-2013	123	FNMA REMIC TRUST 2011-48 2 000% Due 06-25-26	131 22	0 00	123 28	(7 94)	
03-14-2012	10-25-2013	271	FNMA REMIC TRUST 2010-54 3 000% Due 04-25-40	276 49	0 00	270 65		(5 84)
03-26-2013	10-25-2013	151	FNMA PASS-THRU INT 15 YEAR 3 500% Due 03-01-26	161 18	0 00	150 72	(10 46)	
05-13-2004	10-25-2013	1	AMRESCO RESIDENTIAL SECS 97-2 7 175% Due 06-25-27	1 12	0 00	1 11		(0 01)
03-20-2013	10-25-2013	145	FNMA REMIC TRUST 2013-14 1 750% Due 03-25-43	146 74	0 00	145 44	(1 30)	

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ACCOUNT #16H46065
was 00256204
From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
03-20-2013	11-15-2013	89	FHLMC REMIC SERIES 4173 2 500% Due 07-15-42	89 03	0 00	88 81	(0 22)	
09-09-2009	11-15-2013	250	FHLMC REMIC SERIES 2586 4 500% Due 03-15-18	263 23	0 00	250 25		(12 98)
10-07-2009	11-18-2013	262	GNMA REMIC TRUST 2003-42 4 000% Due 01-16-30	268 49	0 00	261 62		(6 87)
05-13-2004	11-25-2013	1	AMRESCO RESIDENTIAL SECS 97-2 7 175% Due 06-25-27	0 91	0 00	0 90		(0 01)
03-26-2013	11-25-2013	221	FNMA PASS-THRU INT 15 YEAR 3 500% Due 03-01-26	236 67	0 00	221 32	(15 35)	
08-07-2013	11-25-2013	178	FNMA PASS-THRU INT 15 YEAR 4 000% Due 02-01-26	189 81	0 00	178 08	(11 73)	
10-27-2009	11-25-2013	35	FNMA 2006-M3 5 095% Due 08-25-16	36 33	0 00	34 60		(1 73)
03-01-2011	11-25-2013	557	FNR 2011-5 UE 2 500% Due 02-25-21	559 58	0 00	556 97		(2 61)
02-08-2013	11-25-2013	100	FNMA REMIC TRUST 2011-48 2 000% Due 06-25-26	106 33	0 00	99 90	(6 43)	
03-14-2012	11-25-2013	591	FNMA REMIC TRUST 2010-54 3 000% Due 04-25-40	604 25	0 00	591 50		(12 75)
03-20-2013	11-25-2013	96	FNMA REMIC TRUST 2013-14 1 750% Due 03-25-43	97 32	0 00	96 46	(0 86)	

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SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT
ACCOUNT #16H46065
was 00256204
From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
03-20-2013	12-16-2013	69	FHLMC REMIC SERIES 4173 2 500% Due 07-15-42	69 33	0 00	69 16	(0 17)	
09-09-2009	12-16-2013	248	FHLMC REMIC SERIES 2586 4 500% Due 03-15-18	261 15	0 00	248 27		(12 88)
10-07-2009	12-16-2013	149	GNMA REMIC TRUST 2003-42 4 000% Due 01-16-30	153 12	0 00	149 20		(3 92)
03-20-2013	12-26-2013	106	FNMA REMIC TRUST 2013-14 1 750% Due 03-25-43	106 82	0 00	105 88	(0 94)	
08-07-2013	12-26-2013	365	FNMA PASS-THRU INT 15 YEAR 4 000% Due 02-01-26	388 78	0 00	364 76	(24 02)	
10-27-2009	12-26-2013	38	FNMA 2006-M3 5 095% Due 08-25-16	40 21	0 00	38 30		(1 91)
03-01-2011	12-26-2013	541	FNR 2011-5 UE 2 500% Due 02-25-21	543 62	0 00	541 08		(2 54)
02-08-2013	12-26-2013	180	FNMA REMIC TRUST 2011-48 2 000% Due 06-25-26	191 20	0 00	179 64	(11 56)	
05-13-2004	12-26-2013	3	AMRESCO RESIDENTIAL SECS 97-2 7 175% Due 06-25-27	2 90	0 00	2 87		(0 03)
03-26-2013	12-26-2013	151	FNMA PASS-THRU INT 15 YEAR 3 500% Due 03-01-26	161 95	0 00	151 44	(10 51)	
03-14-2012	12-26-2013	204	FNMA REMIC TRUST 2010-54 3 000% Due 04-25-40	208 80	0 00	204 39		(4 41)

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REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT
ACCOUNT #16H46065
was 00256204
From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
03-20-2013	01-15-2014	83	FHLMC REMIC SERIES 4173 2 500% Due 07-15-42	83 44	0 00	83 23	(0 21)	
09-09-2009	01-15-2014	210	FHLMC REMIC SERIES 2586 4 500% Due 03-15-18	221 15	0 00	210 24		(10 91)
10-07-2009	01-16-2014	188	GNMA REMIC TRUST 2003-42 4 000% Due 01-16-30	192 57	0 00	187 64		(4 93)
05-13-2004	01-27-2014	1	AMRESCO RESIDENTIAL SECS 97-2 7 175% Due 06-25-27	0 99	0 00	0 98		(0 01)
08-07-2013	01-27-2014	149	FNMA PASS-THRU INT 15 YEAR 4 000% Due 02-01-26	158 70	0 00	148 89	(9 81)	
10-27-2009	01-27-2014	35	FNMA 2006-M3 5 095% Due 08-25-16	36 74	0 00	34 99		(1 75)
03-01-2011	01-27-2014	296	FNR 2011-5 UE 2 500% Due 02-25-21	297 61	0 00	296 22		(1 39)
02-08-2013	01-27-2014	297	FNMA REMIC TRUST 2011-48 2 000% Due 06-25-26	316 15	0 00	297 03	(19 12)	
03-14-2012	01-27-2014	373	FNMA REMIC TRUST 2010-54 3 000% Due 04-25-40	380 61	0 00	372 58		(8 03)
03-26-2013	01-27-2014	82	FNMA PASS-THRU INT 15 YEAR 3 500% Due 03-01-26	87 80	0 00	82 10	(5 70)	
03-20-2013	01-27-2014	96	FNMA REMIC TRUST 2013-14 1 750% Due 03-25-43	96 41	0 00	95 56	(0 85)	

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HOUSTON, TEXAS 77019
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Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT
ACCOUNT #16H46065
was 00256204
From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
09-09-2009	02-18-2014	212	FHLMC REMIC SERIES 2586 4 500% Due 03-15-18	222 93	0 00	211 94		(10 99)
10-07-2009	02-18-2014	224	GNMA REMIC TRUST 2003-42 4 000% Due 01-16-30	229 44	0 00	223 57		(5 87)
05-13-2004	02-25-2014	3	AMRESCO RESIDENTIAL SECS 97-2 7 175% Due 06-25-27	3 11	0 00	3 08		(0 03)
03-20-2013	02-25-2014	137	FNMA REMIC TRUST 2013-14 1 750% Due 03-25-43	138 32	0 00	137 10	(1 22)	
08-07-2013	02-25-2014	154	FNMA PASS-THRU INT 15 YEAR 4 000% Due 02-01-26	164 42	0 00	154 26	(10 16)	
10-27-2009	02-25-2014	223	FNMA 2006-M3 5 095% Due 08-25-16	233 90	0 00	222 76		(11 14)
03-01-2011	02-25-2014	381	FNR 2011-5 UE 2 500% Due 02-25-21	383 16	0 00	381 37		(1 79)
02-08-2013	02-25-2014	115	FNMA REMIC TRUST 2011-48 2 000% Due 06-25-26	122 01	0 00	114 63		(7 38)
03-14-2012	02-25-2014	140	FNMA REMIC TRUST 2010-54 3 000% Due 04-25-40	142 97	0 00	139 95		(3 02)
03-26-2013	02-25-2014	232	FNMA PASS-THRU INT 15 YEAR 3 500% Due 03-01-26	248 43	0 00	232 31	(16 12)	
08-07-2013	03-14-2014	13,505	FNMA PASS-THRU INT 15 YEAR 4 000% Due 02-01-26	14,394 96	0 00	14,294 72	(100 24)	

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PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT
ACCOUNT #16H46065
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From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
03-26-2013	03-14-2014	11,505	FNMA PASS-THRU INT 15 YEAR 3 500% Due 03-01-26	12,303 36	0 00	12,051 69	(251 67)	
09-09-2009	03-17-2014	264	FHLMC REMIC SERIES 2586 4 500% Due 03-15-18	278 22	0 00	264 50		(13 72)
03-20-2013	03-17-2014	28	FHLMC REMIC SERIES 4173 2 500% Due 07-15-42	28 51	0 00	28 44	(0 07)	
10-07-2009	03-17-2014	180	GNMA REMIC TRUST 2003-42 4 000% Due 01-16-30	184 45	0 00	179 73		(4 72)
05-13-2004	03-25-2014	1	AMRESCO RESIDENTIAL SECS 97-2 7 175% Due 06-25-27	1 12	0 00	1 11		(0 01)
03-20-2013	03-25-2014	161	FNMA REMIC TRUST 2013-14 1 750% Due 03-25-43	162 25	0 00	160 82		(1 43)
08-07-2013	03-25-2014	168	FNMA PASS-THRU INT 15 YEAR 4 000% Due 02-01-26	179 38	0 00	168 29	(11 09)	
10-27-2009	03-25-2014	45	FNMA 2006-M3 5 095% Due 08-25-16	47 58	0 00	45 31		(2 27)
03-01-2011	03-25-2014	441	FNR 2011-5 UE 2 500% Due 02-25-21	443 42	0 00	441 35		(2 07)
02-08-2013	03-25-2014	210	FNMA REMIC TRUST 2011-48 2 000% Due 06-25-26	223 12	0 00	209 63		(13 49)
03-14-2012	03-25-2014	39	FNMA REMIC TRUST 2010-54 3 000% Due 04-25-40	39 47	0 00	38 64		(0 83)

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HOUSTON TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT
ACCOUNT #16H46065
was 00256204

From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
03-26-2013	03-25-2014	148	FNMA PASS-THRU INT 15 YEAR 3 500% Due 03-01-26	158 15	0 00	147 89	(10 26)	
09-09-2009	04-15-2014	201	FHLMC REMIC SERIES 2586 4 500% Due 03-15-18	211 77	0 00	201 33		(10 44)
10-07-2009	04-16-2014	209	GNMA REMIC TRUST 2003-42 4 000% Due 01-16-30	214 36	0 00	208 88		(5 48)
03-20-2013	04-25-2014	141	FNMA REMIC TRUST 2013-14 1 750% Due 03-25-43	142 61	0 00	141 35		(1 26)
10-27-2009	04-25-2014	35	FNMA 2006-M3 5 095% Due 08-25-16	36 79	0 00	35 04		(1 75)
03-01-2011	04-25-2014	558	FNR 2011-5 UE 2 500% Due 02-25-21	560 61	0 00	557 99		(2 62)
02-08-2013	04-25-2014	110	FNMA REMIC TRUST 2011-48 2 000% Due 06-25-26	116 86	0 00	109 79		(7 07)
03-14-2012	04-25-2014	134	FNMA REMIC TRUST 2010-54 3 000% Due 04-25-40	137 26	0 00	134 36		(2 90)
05-13-2004	04-25-2014	0	AMRESCO RESIDENTIAL SECS 97-2 7 175% Due 06-25-27	0 37	0 00	0 37		0 00
03-20-2013	05-15-2014	144	FHLMC REMIC SERIES 4173 2 500% Due 07-15-42	144 58	0 00	144 22		(0 36)
09-09-2009	05-15-2014	202	FHLMC REMIC SERIES 2586 4 500% Due 03-15-18	212 59	0 00	202 11		(10 48)

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HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT
ACCOUNT #16H46065
was 00256204

From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
10-07-2009	05-16-2014	194	GNMA REMIC TRUST 2003-42 4 000% Due 01-16-30	198 83	0 00	193 74		(5 09)
03-01-2011	05-27-2014	450	FNR 2011-5 UE 2 500% Due 02-25-21	452 17	0 00	450 06		(2 11)
02-08-2013	05-27-2014	257	FNMA REMIC TRUST 2011-48 2 000% Due 06-25-26	274 01	0 00	257 44		(16 57)
03-14-2012	05-27-2014	45	FNMA REMIC TRUST 2010-54 3 000% Due 04-25-40	46 17	0 00	45 20		(0 97)
05-13-2004	05-27-2014	0	AMRESCO RESIDENTIAL SECS 97-2 7 175% Due 06-25-27	0 39	0 00	0 39		0 00
03-20-2013	05-27-2014	100	FNMA REMIC TRUST 2013-14 1 750% Due 03-25-43	101 21	0 00	100 32		(0 89)
10-27-2009	05-27-2014	39	FNMA 2006-M3 5 095% Due 08-25-16	40 66	0 00	38 72		(1 94)
03-20-2013	06-16-2014	19	FHLMC REMIC SERIES 4173 2 500% Due 07-15-42	18 89	0 00	18 84		(0 05)
09-09-2009	06-16-2014	287	FHLMC REMIC SERIES 2586 4 500% Due 03-15-18	301 97	0 00	287 08		(14 89)
10-07-2009	06-16-2014	260	GNMA REMIC TRUST 2003-42 4 000% Due 01-16-30	266 70	0 00	259 88		(6 82)
05-13-2004	06-25-2014	0	AMRESCO RESIDENTIAL SECS 97-2 7 175% Due 06-25-27	0 36	0 00	0 36		0 00

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AVALON ADVISORS, LLC
2929 ALLEN PARKWAY, SUITE 3000
HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT
ACCOUNT #16H46065
was 00256204

From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
03-20-2013	06-25-2014	93	FNMA REMIC TRUST 2013-14 1 750% Due 03-25-43	94 12	0 00	93 29		(0 83)
10-27-2009	06-25-2014	35	FNMA 2006-M3 5 095% Due 08-25-16	37 21	0 00	35 44		(1 77)
03-01-2011	06-25-2014	428	FNR 2011-5 UE 2 500% Due 02-25-21	430 05	0 00	428 04		(2 01)
02-08-2013	06-25-2014	251	FNMA REMIC TRUST 2011-48 2 000% Due 06-25-26	267 36	0 00	251 19		(16 17)
03-14-2012	06-25-2014	141	FNMA REMIC TRUST 2010-54 3 000% Due 04-25-40	143 72	0 00	140 69		(3 03)
03-20-2013	07-15-2014	96	FHLMC REMIC SERIES 4173 2 500% Due 07-15-42	96 47	0 00	96 23		(0 24)
09-09-2009	07-15-2014	200	FHLMC REMIC SERIES 2586 4 500% Due 03-15-18	210 21	0 00	199 84		(10 37)
10-07-2009	07-16-2014	132	GNMA REMIC TRUST 2003-42 4 000% Due 01-16-30	135 22	0 00	131 76		(3 46)
03-01-2011	07-25-2014	677	FNR 2011-5 UE 2 500% Due 02-25-21	680 50	0 00	677 33		(3 17)
02-08-2013	07-25-2014	293	FNMA REMIC TRUST 2011-48 2 000% Due 06-25-26	311 88	0 00	293 02		(18 86)
03-14-2012	07-25-2014	390	FNMA REMIC TRUST 2010-54 3 000% Due 04-25-40	398 39	0 00	389 98		(8 41)

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HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT
ACCOUNT #16H46065
was 00256204

From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
05-13-2004	07-25-2014	0	AMRESCO RESIDENTIAL SECS 97-2 7 175% Due 06-25-27	0 36	0 00	0 36		0 00
03-20-2013	07-25-2014	168	FNMA REMIC TRUST 2013-14 1 750% Due 03-25-43	169 29	0 00	167 80		(1 49)
10-27-2009	07-25-2014	906	FNMA 2006-M3 5 095% Due 08-25-16	951 10	0 00	905 81		(45 29)
03-20-2013	08-15-2014	185	FHLMC REMIC SERIES 4173 2 500% Due 07-15-42	185 42	0 00	184 96		(0 46)
09-09-2009	08-15-2014	210	FHLMC REMIC SERIES 2586 4 500% Due 03-15-18	220 38	0 00	209 51		(10 87)
10-07-2009	08-18-2014	139	GNMA REMIC TRUST 2003-42 4 000% Due 01-16-30	142 93	0 00	139 27		(3 66)
03-14-2012	08-25-2014	120	FNMA REMIC TRUST 2010-54 3 000% Due 04-25-40	123 07	0 00	120 47		(2 60)
05-13-2004	08-25-2014	0	AMRESCO RESIDENTIAL SECS 97-2 7 175% Due 06-25-27	0 36	0 00	0 36		0 00
03-20-2013	08-25-2014	281	FNMA REMIC TRUST 2013-14 1 750% Due 03-25-43	283 19	0 00	280 69		(2 50)
10-27-2009	08-25-2014	201	FNMA 2006-M3 5 095% Due 08-25-16	211 40	0 00	201 33		(10 07)
03-01-2011	08-25-2014	331	FNR 2011-5 UE 2 500% Due 02-25-21	332 46	0 00	330 91		(1 55)

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2929 ALLEN PARKWAY, SUITE 3000
HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT
ACCOUNT #16H46065
was 00256204

From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
02-08-2013	08-25-2014	111	FNMA REMIC TRUST 2011-48 2 000% Due 06-25-26	117 69	0 00	110 57		(7 12)
09-09-2009	09-15-2014	184	FHLMC REMIC SERIES 2586 4 500% Due 03-15-18	193 41	0 00	183 87		(9 54)
10-07-2009	09-16-2014	207	GNMA REMIC TRUST 2003-42 4 000% Due 01-16-30	212 64	0 00	207 20		(5 44)
05-13-2004	09-25-2014	0	AMRESCO RESIDENTIAL SECS 97-2 7 175% Due 06-25-27	0 36	0 00	0 36		0 00
03-20-2013	09-25-2014	250	FNMA REMIC TRUST 2013-14 1 750% Due 03-25-43	251 85	0 00	249 63		(2 22)
10-27-2009	09-25-2014	34	FNMA 2006-M3 5 095% Due 08-25-16	36 05	0 00	34 33		(1 72)
03-01-2011	09-25-2014	350	FNR 2011-5 UE 2 500% Due 02-25-21	351 98	0 00	350 34		(1 64)
02-08-2013	09-25-2014	352	FNMA REMIC TRUST 2011-48 2 000% Due 06-25-26	374 57	0 00	351 92		(22 65)
03-14-2012	09-25-2014	136	FNMA REMIC TRUST 2010-54 3 000% Due 04-25-40	139 12	0 00	136 18		(2 94)
TOTAL GAINS							0 00	0 00
TOTAL LOSSES							(549 38)	(539 65)
				50,514.54	0.00	49,425.51	(549.38)	(539.65)
TOTAL REALIZED GAIN/LOSS			(1,089 03)				8610	8610
							C-57	C-58

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2929 ALLEN PARKWAY, SUITE 3000
HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FDN - EQUITY BALANCED ACCOUNT
ACCOUNT #16H46066
SPAULF_RH_FDTN

From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
09-12-2012	10-11-2013	57	GAP INC CMN	2,027 39		2,084 80		57 41
12-06-2012	11-07-2013	144	HERTZ GLOBAL HOLDINGS INC CMN	2,306 16		3,064 49	758 33	
08-28-2012	11-08-2013	10	CST BRANDS INC CMN	242 25		320 43		78 18
11-08-2012	11-08-2013	36	NEWS CORPORATION CMN SERIES CLASS A	422 06		628 73	206 67	
01-10-2013	11-08-2013	186	FORD MOTOR COMPANY CMN	2 564 66		3,117 00	552 34	
10-08-2013	11-08-2013	50	FORD MOTOR COMPANY CMN	826 75		837 91	11 16	
10-11-2013	11-08-2013	57	FORD MOTOR COMPANY CMN	970 04		955 21	(14 83)	
11-28-2012	11-08-2013	74	CARMAX INC CMN	2,625 08		3,512 98	887 90	
11-15-2012	01-15-2014	65	STARBUCKS CORP CMN	3,160 81		4,937 06		1,776 25
08-29-2012	01-17-2014	83	GENERAL MILLS INC CMN	3,293 65		4,012 37		718 72
11-08-2012	01-30-2014	104	KROGER COMPANY CMN	2,566 08		3,794 98		1,228 90
12-19-2012	02-14-2014	22	CONOCOPHILLIPS CMN	1,308 13		1,432 70		124 57
09-10-2012	02-14-2014	11	CISCO SYSTEMS INC CMN	211 37		247 54		36 17
12-14-2012	02-14-2014	72	CISCO SYSTEMS INC CMN	1,434 96		1,620 28		185 32
11-07-2012	02-18-2014	27	GOLDMAN SACHS GROUP INC (THE) CMN	3,246 45		4,448 78		1,202 33
12-19-2012	02-18-2014	7	GOLDMAN SACHS GROUP INC (THE) CMN	894 91		1,153 39		258 48
10-04-2011	02-19-2014	44	Consumer Staples Sector ETF SPDR	1,273 95		1,824 88		550 93
10-25-2012	02-19-2014	27	CITIGROUP INC CMN	1,011 23		1,316 91		305 68
11-06-2012	02-19-2014	39	CITIGROUP INC CMN	1,496 93		1,902 20		405 27
11-14-2012	02-21-2014	68	TJX COMPANIES INC (NEW) CMN	2,854 84		4,099 85		1,245 01
11-07-2012	04-09-2014	159	YAHOO INC CMN	2,776 82		5,457 63		2,680 81

AVALON ADVISORS, LLC
2929 ALLEN PARKWAY, SUITE 3000
HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FDN - EQUITY BALANCED ACCOUNT
ACCOUNT #16H46066
SPAULF_RH_FDTN
From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
09-11-2012	04-23-2014	169	BANK OF AMERICA CORP CMN	1,490 02		2,748 16		1,258 14
11-06-2012	04-23-2014	51	BANK OF AMERICA CORP CMN	506 66		829 33		322 67
01-17-2013	04-23-2014	171	FIFTH THIRD BANCORP CMN	2,775 33		3,592 25		816 92
10-11-2013	04-24-2014	58	TIME WARNER INC CMN	3,947 51		3,824 23	(123 28)	
09-11-2012	04-28-2014	4	AMERICAN INTL GROUP INC CMN	130 70		207 03		76 33
02-14-2014	04-28-2014	1	ALASKA AIR GROUP INC COM	81 19		92 36	11 17	
10-11-2013	04-28-2014	4	APPLIED MATERIALS INC CMN	72 28		73 97	1 69	
12-07-2012	04-28-2014	1	ASHLAND INC CMN	76 23		95 41		19 18
11-06-2012	04-28-2014	14	BANK OF AMERICA CORP CMN	139 08		208 17		69 09
01-11-2012	04-28-2014	1	BERKSHIRE HATHAWAY INC CLASS B	77 58		126 95		49 37
11-06-2012	04-28-2014	2	CITIGROUP INC CMN	76 77		94 24		17 47
01-04-2013	04-28-2014	1	CONTINENTAL RESOURCES INC CMN	77 22		130 86		53 64
02-15-2012	04-28-2014	4	COMCAST CORPORATION CMN CLASS A VOTING	115 37		204 05		88 68
11-13-2012	04-28-2014	2	CAPITAL ONE FINANCIAL CORP CMN	115 63		145 71		30 08
12-14-2012	04-28-2014	2	CISCO SYSTEMS INC CMN	39 86		45 55		5 69
02-08-2012	04-28-2014	3	CVS CAREMARK CORPORATION CMN	131 71		219 98		88 27
09-14-2011	04-28-2014	1	CHEVRON CORPORATION CMN	96 58		125 06		28 48

AVALON ADVISORS, LLC
2929 ALLEN PARKWAY, SUITE 3000
HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FDN - EQUITY BALANCED ACCOUNT
ACCOUNT #16H46066
SPAULF_RH_FDTN
From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
10-03-2013	04-28-2014	1	WALT DISNEY COMPANY (THE) CMN	64 17		77 15	12 98	
11-07-2012	04-28-2014	1	EASTMAN CHEM CO CMN	60 14		84 21		24 07
01-11-2012	04-28-2014	2	EOG RESOURCES INC CMN	104 08		193 93		89 85
01-30-2014	04-28-2014	2	FACEBOOK INC CL A	122 46		111 75	(10 71)	
08-21-2012	04-28-2014	8	GENERAL ELECTRIC CO CMN	167 95		213 11		45 16
10-04-2011	04-28-2014	2	HOME DEPOT INC CMN	63 13		158 87		95 74
11-15-2012	04-28-2014	1	HOLLYFRONTIER CORP CMN	41 95		52 10		10 15
07-25-2012	04-28-2014	1	HONEYWELL INTL INC CMN	57 22		91 66		34 44
10-04-2012	04-28-2014	1	INTL BUSINESS MACHINES CORP CMN	210 67		192 64		(18 03)
11-06-2012	04-28-2014	4	INTEL CORPORATION CMN	87 51		104 81		17 30
12-20-2012	04-28-2014	3	INTL PAPER CO CMN	118 89		138 62		19 73
08-30-2012	04-28-2014	2	ILLINOIS TOOL WORKS CMN	117 85		167 75		49 90
02-03-2014	04-28-2014	24	JETBLUE AIRWAYS CORPORATIONCMN	207 65		183 83	(23 82)	
10-04-2011	04-28-2014	4	JPMORGAN CHASE & CO CMN	115 52		220 11		104 59
10-04-2011	04-28-2014	2	COCA-COLA COMPANY (THE) CMN	64 07		82 13		18 06
10-11-2013	04-28-2014	4	SOUTHWEST AIRLINES CO CMN	60 83		94 61	33 78	
12-11-2012	04-28-2014	2	LYONDELLBASELL INDUSTRIES N V CMN CLASS A	108 35		181 03		72 68

AVALON ADVISORS, LLC
2929 ALLEN PARKWAY, SUITE 3000
HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FDN - EQUITY BALANCED ACCOUNT
ACCOUNT #16H46066
SPAULF_RH_FDTN
From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
12-20-2012	04-28-2014	5	MONDELEZ INTERNATIONAL INC CMN	130 15		178 52		48 37
10-04-2011	04-28-2014	1	MICROSOFT CORPORATION CMN	24 68		40 74		16 06
02-08-2012	04-28-2014	2	NATIONAL OILWELL VARCO INC COMMON STOCK	164 35		154 53		(9 82)
01-04-2013	04-28-2014	3	OASIS PETROLEM INC CMN	99 28		134 27		34 99
12-14-2012	04-28-2014	2	ORACLE CORPORATION CMN	64 04		80 02		15 98
11-06-2012	04-28-2014	2	PEPSICO INC CMN	139 01		173 37		34 36
10-25-2012	04-28-2014	2	PROCTER & GAMBLE COMPANY (THE) CMN	140 50		165 43		24 93
11-07-2012	04-28-2014	2	PHILLIPS 66 CMN	99 20		166 75		67 55
11-15-2012	04-28-2014	2	QUALCOMM INC CMN	122 28		155 11		32 83
07-25-2012	04-28-2014	15	REGIONS FINANCIAL CORPORATION CMN	103 17		150 37		47 20
10-03-2013	04-28-2014	1	SCHLUMBERGER LTD CMN	89 63		100 61	10 98	
09-05-2012	04-28-2014	3	SUNTRUST BANKS INC $1 00 PAR CMN	76 32		113 36		37 04
11-06-2012	04-28-2014	2	AT&T INC CMN	69 51		69 78		0 27
12-31-2012	04-28-2014	1	THERMO FISHER SCIENTIFIC INC CMN	63 77		112 87		49 10
01-09-2012	04-28-2014	1	UNION PACIFIC CORP CMN	109 25		187 42		78 17
10-04-2011	04-28-2014	4	US BANCORP CMN	88 57		159 99		71 41
10-04-2011	04-28-2014	1	UNITED TECHNOLOGIES CORP CMN	67 88		116 89		49 01
10-04-2011	04-28-2014	1	VISA INC CMN CLASS A	82 98		200 51		117 53

AVALON ADVISORS, LLC
2929 ALLEN PARKWAY, SUITE 3000
HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FDN - EQUITY BALANCED ACCOUNT
ACCOUNT #16H46066
SPAULF_RH_FDTN

From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
08-28-2012	04-28-2014	3	VALERO ENERGY CORPORATION CMN	85 21		172 19		86 98
10-03-2013	04-28-2014	2	VERIZON COMMUNICATIONS INC CMN	94 04		92 77	(1 27)	
12-19-2012	04-28-2014	3	WALGREEN CO CMN	113 09		201 05		87 96
02-10-2012	04-28-2014	6	WELLS FARGO & CO (NEW) CMN	181 65		292 91		111 26
08-06-2013	04-28-2014	16	WEATHERFORD INTERNATIONAL PLC	231 05		330 15	99 10	
12-12-2012	04-28-2014	2	WESTLAKE CHEMICAL CORPORATION CMN	77 15		127 35		50 20
10-04-2011	04-28-2014	1	WALMART STORES INC CMN	51 92		79 62		27 70
12-24-2003	04-28-2014	1	EXXON MOBIL CORPORATION CMN	39 96		100 39		60 43
01-21-2010	04-28-2014	3	EXXON MOBIL CORPORATION CMN	201 11		301 16		100 05
11-08-2012	04-28-2014	80	MASTERCARD INCORPORATED CMN CLASS A	3,704 60		5,680 85		1,976 25
11-08-2012	04-28-2014	144	TWENTY-FIRST CENTURY FOX INC CMN CLASS	3,130 71		4,584 86		1,454 15
02-08-2012	05-02-2014	20	NATIONAL OILWELL VARCO INC COMMON STOCK	1,643 48		1,594 79		(48 69)
09-11-2012	05-02-2014	27	NATIONAL OILWELL VARCO INC COMMON STOCK	2,220 17		2,152 97		(67 20)
01-30-2014	05-16-2014	95	FACEBOOK INC CL A	5,816 61		5,486 11	(330 50)	

AVALON ADVISORS, LLC
2929 ALLEN PARKWAY, SUITE 3000
HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FDN - EQUITY BALANCED ACCOUNT
ACCOUNT #16H46066
SPAULF_RH_FDTN
From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
07-25-2012	05-16-2014	447	REGIONS FINANCIAL CORPORATION CMN	3,074 55		4,405 00		1,330 45
09-05-2012	05-16-2014	95	SUNTRUST BANKS INC $1 00 PAR CMN	2,416 94		3,516 31		1,099 37
12-20-2012	06-20-2014	65	INTL PAPER CO CMN	2,576 02		3,185 80		609 78
10-11-2013	06-20-2014	133	SOUTHWEST AIRLINES CO CMN	2,022 66		3,618 07	1,595 41	
01-18-2012	09-02-2014	17	APPLE INC CMN	1,038 10		1,754 45		716 35
09-11-2012	09-02-2014	5	AMERICAN INTL GROUP INC CMN	163 38		278 77		115 39
09-11-2012	09-02-2014	3	AMERICAN INTL GROUP INC CMN	97 50		167 26		69 76
02-14-2014	09-02-2014	7	ALASKA AIR GROUP INC COM	284 16		336 41	52 25	
10-11-2013	09-02-2014	18	APPLIED MATERIALS INC CMN	325 27		411 92	86 65	
06-13-2014	09-02-2014	3	AMERIPRISE FINL INC COM	348 41		382 40	33 99	
10-03-2013	09-02-2014	1	AMAZON COM INC CMN	316 44		341 61	25 17	
12-07-2012	09-02-2014	2	ASHLAND INC CMN	152 46		215 97		63 51
11-06-2012	09-02-2014	22	BANK OF AMERICA CORP CMN	218 56		356 17		137 61
02-03-2014	09-02-2014	1	BLACKROCK INC CL A	287 98		328 21	40 23	
01-11-2012	09-02-2014	4	BERKSHIRE HATHAWAY INC CLASS B	310 32		550 74		240 42
11-06-2012	09-02-2014	6	CITIGROUP INC CMN	230 30		311 06		80 76
01-04-2013	09-02-2014	3	CONTINENTAL RESOURCES INC CMN	231 65		472 99		241 34
02-15-2012	09-02-2014	6	COMCAST CORPORATION CMN CLASS A VOTING	173 05		327 50		154 45

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AVALON ADVISORS, LLC
2929 ALLEN PARKWAY, SUITE 3000
HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FDN - EQUITY BALANCED ACCOUNT
ACCOUNT #16H46066
SPAULF_RH_FDTN
From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
11-13-2012	09-02-2014	5	CAPITAL ONE FINANCIAL CORP CMN	289 07		412 67		123 60
12-19-2012	09-02-2014	3	CONOCOPHILLIPS CMN	178 38		239 90		61 52
12-14-2012	09-02-2014	23	CISCO SYSTEMS INC CMN	458 39		570 27		111 88
02-08-2012	09-02-2014	7	CVS CAREMARK CORPORATION CMN	307 32		556 69		249 37
10-04-2011	09-02-2014	5	CHEVRON CORPORATION CMN	440 09		636 78		196 69
10-03-2013	09-02-2014	5	WALT DISNEY COMPANY (THE) CMN	320 84		452 83	131 99	
11-07-2012	09-02-2014	3	EASTMAN CHEM CO CMN	180 41		248 98		68 57
01-11-2012	09-02-2014	3	EOG RESOURCES INC CMN	156 11		322 73		166 62
05-20-2014	09-02-2014	30	FORD MOTOR COMPANY CMN	477 17		526 33	49 16	
06-20-2014	09-02-2014	2	FEDEX CORP COM	295 99		300 41	4 42	
06-11-2014	09-02-2014	11	GANNETT INC COM	322 19		374 93	52 74	
08-21-2012	09-02-2014	17	GENERAL ELECTRIC CO CMN	356 88		438 51		81 63
06-05-2014	09-02-2014	13	GENERAL MOTORS CORP COM	475 57		451 94	(23 63)	
08-31-2012	09-02-2014	1	GOOGLE INC CLASS C	342 12		576 27		234 15
08-31-2012	09-02-2014	1	GOOGLE INC CMN CLASS A	343 22		587 78		244 56
06-13-2014	09-02-2014	2	GOLDMAN SACHS GROUP INC (THE) CMN	332 20		359 23	27 03	
06-20-2014	09-02-2014	10	GOODYEAR TIRE & RUBBER CO	275 52		257 24	(18 28)	
10-04-2011	09-02-2014	3	HOME DEPOT INC CMN	94 70		273 14		178 44
11-15-2012	09-02-2014	10	HOLLYFRONTIER CORP CMN	419 45		496 88		77 43

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2929 ALLEN PARKWAY, SUITE 3000
HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FDN - EQUITY BALANCED ACCOUNT
ACCOUNT #16H46066
SPAULF_RH_FDTN
From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
07-25-2012	09-02-2014	5	HONEYWELL INTL INC CMN	286 10		476 73		190 63
06-17-2014	09-02-2014	7	BLOCK H&R INC	234 05		234 81	0 76	
10-04-2012	09-02-2014	3	INTL BUSINESS MACHINES CORP CMN	632 02		574 36		(57 66)
11-06-2012	09-02-2014	20	INTEL CORPORATION CMN	437 55		689 38		251 83
08-30-2012	09-02-2014	5	ILLINOIS TOOL WORKS CMN	294 61		441 49		146 88
02-03-2014	09-02-2014	57	JETBLUE AIRWAYS CORPORATIONCMN	493 16		717 90	224 74	
06-13-2014	09-02-2014	7	JOHNSON CONTROLS INC	347 16		340 26	(6 90)	
10-04-2011	09-02-2014	8	JPMORGAN CHASE & CO CMN	231 03		476 46		245 43
10-04-2011	09-02-2014	9	COCA-COLA COMPANY (THE) CMN	288 31		374 26		85 94
10-11-2013	09-02-2014	13	SOUTHWEST AIRLINES CO CMN	197 70		426 65	228 95	
12-11-2012	09-02-2014	4	LYONDELLBASELL INDUSTRIES N V CMN CLASS A	216 69		457 22		240 53
12-20-2012	09-02-2014	10	MONDELEZ INTERNATIONAL INC CMN	260 30		356 64		96 34
10-04-2011	09-02-2014	23	MICROSOFT CORPORATION CMN	567 57		1,033 71		466 14
01-04-2013	09-02-2014	8	OASIS PETROLEM INC CMN	264 74		382 47		117 73
12-14-2012	09-02-2014	10	ORACLE CORPORATION CMN	320 21		415 74		95 53
12-19-2012	09-02-2014	5	ORACLE CORPORATION CMN	169 91		207 87		37 96

AVALON ADVISORS, LLC
2929 ALLEN PARKWAY, SUITE 3000
HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FDN - EQUITY BALANCED ACCOUNT
ACCOUNT #16H46066
SPAULF_RH_FDTN
From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
11-06-2012	09-02-2014	3	PEPSICO INC CMN	208 51		277 25		68 74
10-25-2012	09-02-2014	6	PROCTER & GAMBLE COMPANY (THE) CMN	421 49		497 02		75 53
11-07-2012	09-02-2014	5	PHILLIPS 66 CMN	248 01		433 09		185 08
11-15-2012	09-02-2014	3	QUALCOMM INC CMN	183 42		224 89		41 47
11-28-2012	09-02-2014	4	QUALCOMM INC CMN	248 69		299 85		51 16
10-03-2013	09-02-2014	6	SCHLUMBERGER LTD CMN	537 76		647 80	110 04	
11-06-2012	09-02-2014	7	AT&T INC CMN	243 30		243 42		0 12
12-31-2012	09-02-2014	4	THERMO FISHER SCIENTIFIC INC CMN	255 07		488 94		233 87
06-11-2014	09-02-2014	7	UNILEVER N V NY SHS (NEW)ADR	304 29		291 86	(12 43)	
01-09-2012	09-02-2014	5	UNION PACIFIC CORP CMN	273 14		530 32		257 18
10-04-2011	09-02-2014	5	US BANCORP CMN	110 72		210 82		100 10
02-10-2012	09-02-2014	4	US BANCORP CMN	116 27		168 66		52 39
10-04-2011	09-02-2014	5	UNITED TECHNOLOGIES CORP CMN	339 38		544 28		204 90
10-04-2011	09-02-2014	1	VISA INC CMN CLASS A	82 98		214 49		131 51
08-28-2012	09-02-2014	7	VALERO ENERGY CORPORATION CMN	198 82		373 30		174 48
10-03-2013	09-02-2014	6	VERIZON COMMUNICATIONS INC CMN	282 12		297 68	15 56	
12-19-2012	09-02-2014	7	WALGREEN CO CMN	263 87		420 69		156 82
02-10-2012	09-02-2014	16	WELLS FARGO & CO (NEW) CMN	484 41		822 62		338 21
08-06-2013	09-02-2014	21	WEATHERFORD INTERNATIONAL PLC	303 25		493 48		190 23
12-12-2012	09-02-2014	4	WESTLAKE CHEMICAL CORPORATION CMN	154 31		392 75		238 44

AVALON ADVISORS, LLC
2929 ALLEN PARKWAY, SUITE 3000
HOUSTON TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FDN - EQUITY BALANCED ACCOUNT
ACCOUNT #16H46066
SPAULF_RH_FDTN
From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
10-04-2011	09-02-2014	2	WALMART STORES INC CMN	103 84		151 28		47 44
10-04-2011	09-02-2014	11	Consumer Staples Sector ETF SPDR	318 49		495 70		177 21
01-21-2010	09-02-2014	8	EXXON MOBIL CORPORATION CMN	536 30		786 06		249 76
02-05-2010	09-02-2014	1	EXXON MOBIL CORPORATION CMN	64 22		98 26		34 04
TOTAL GAINS							5,265 20	30,676 84
TOTAL LOSSES							(565 66)	(201 41)
				100,419.08	**0.00**	**135,594.06**	**4,699.55**	**30,475.43**
TOTAL REALIZED GAIN/LOSS			35,174 98				**8310**	**8310**
							C-55	C-56

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2929 ALLEN PARKWAY, SUITE 3000
HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION - TOWLE ACCOUNT
ACCOUNT #420-08378
From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
07-25-2013	10-01-2013	50	STAR BULK CARR CORP COM	0 00		524 01	524 01	
01-24-2012	10-08-2013	10	DOLE FOOD CO INC NEW COM	90 01		135 54		45 53
01-25-2012	10-08-2013	10	DOLE FOOD CO INC NEW COM	89 97		135 54		45 57
05-30-2013	10-08-2013	190	DOLE FOOD CO INC NEW COM	1,871 86		2,575 21	703 35	
04-11-2012	10-15-2013	40	FERRO CORP COM	206 65		409 58		202 93
04-11-2012	10-16-2013	60	FERRO CORP COM	309 97		610 40		300 43
05-16-2012	10-16-2013	40	FERRO CORP COM	193 49		406 93		213 44
05-16-2012	10-17-2013	100	FERRO CORP COM	483 72		1,015 99		532 27
05-16-2012	10-18-2013	30	FERRO CORP COM	145 12		305 17		160 05
05-17-2012	10-18-2013	100	FERRO CORP COM	472 07		1,017 22		545 15
05-21-2012	10-18-2013	60	FERRO CORP COM	259 64		610 33		350 69
05-22-2012	10-18-2013	70	FERRO CORP COM	311 63		712 06		400 43
05-23-2012	10-18-2013	20	FERRO CORP COM	87 68		203 44		115 76
05-23-2012	10-21-2013	40	FERRO CORP COM	175 37		415 49		240 12
05-23-2012	10-22-2013	60	FERRO CORP COM	263 05		625 59		362 54
08-04-2011	10-23-2013	40	CELESTICA INC SUB VTG SHS ***	331 36		450 46		119 10
08-05-2011	10-23-2013	90	CELESTICA INC SUB VTG SHS ***	723 29		1,013 54		290 25
07-12-2012	10-23-2013	40	CELESTICA INC SUB VTG SHS ***	288 16		450 46		162 30
07-17-2012	10-23-2013	80	CELESTICA INC SUB VTG SHS ***	578 38		900 93		322 55
10-02-2012	10-23-2013	10	CELESTICA INC SUB VTG SHS ***	71 30		112 62		41 32
10-03-2012	10-23-2013	20	CELESTICA INC SUB VTG SHS ***	142 60		225 23		82 63
10-08-2012	10-23-2013	20	CELESTICA INC SUB VTG SHS ***	142 51		225 23		82 72

AVALON ADVISORS, LLC
2929 ALLEN PARKWAY, SUITE 3000
HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION - TOWLE ACCOUNT

ACCOUNT #420-08378

From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
10-09-2012	10-23-2013	130	CELESTICA INC SUB VTG SHS ***	921 87		1,464 01		542 14
05-23-2012	10-23-2013	10	FERRO CORP COM	43 84		103 89		60 05
07-26-2012	10-23-2013	20	FERRO CORP COM	55 68		207 79		152 11
07-26-2012	10-24-2013	350	FERRO CORP COM	974 36		4,045 19		3,070 83
06-10-2011	11-13-2013	40	NAVISTAR INTL CORP NEW COM	2,181 20		1,596 77		(584 43)
06-10-2011	11-14-2013	10	NAVISTAR INTL CORP NEW COM	545 30		399 19		(146 11)
06-10-2011	11-15-2013	50	NAVISTAR INTL CORP NEW COM	2,726 50		1,995 96		(730 54)
08-29-2013	12-16-2013	70	TOWER GROUP INTL LTD	987 72		282 11	(705 61)	
09-05-2013	12-16-2013	20	TOWER GROUP INTL LTD	278 61		80 60	(198 01)	
09-05-2013	12-17-2013	30	TOWER GROUP INTL LTD	417 92		122 13	(295 79)	
09-05-2013	12-26-2013	70	TOWER GROUP INTL LTD	975 15		195 35	(779 80)	
05-30-2012	01-10-2014	170	PATTERSON UTI ENERGY INC	2,647 88		4,335 82		1,687 94
05-30-2012	01-13-2014	40	PATTERSON UTI ENERGY INC	623 03		1,018 38		395 35
05-30-2012	01-15-2014	20	PATTERSON UTI ENERGY INC	311 52		509 19		197 67
06-21-2012	01-21-2014	100	PATTERSON UTI ENERGY INC	1,382 12		2,540 37		1,158 25
08-19-2011	01-29-2014	220	MERITOR INC COM	1,648 15		2,315 30		667 15
08-19-2011	01-30-2014	60	MERITOR INC COM	449 50		633 06		183 56
08-31-2011	01-30-2014	140	MERITOR INC COM	1,195 28		1,477 14		281 86
06-10-2011	02-12-2014	100	PHH CORP COM NEW	1,973 00		2,497 52		524 52
01-24-2014	02-25-2014	670	HERCULES OFFSHORE INC COM	3,283 27		3,179 43	(103 84)	
01-24-2014	02-26-2014	230	HERCULES OFFSHORE INC COM	1,127 09		1,091 45	(35 64)	
01-28-2014	02-26-2014	50	HERCULES OFFSHORE INC COM	252 00		237 27	(14 73)	

AVALON ADVISORS, LLC
2929 ALLEN PARKWAY, SUITE 3000
HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION - TOWLE ACCOUNT

ACCOUNT #420-08378

From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
01-29-2014	02-26-2014	400	HERCULES OFFSHORE INC COM	2,015 92		1,898 17	(117 75)	
02-03-2014	02-26-2014	10	HERCULES OFFSHORE INC COM	49 70		47 45	(2 25)	
02-03-2014	02-28-2014	460	HERCULES OFFSHORE INC COM	2,286 06		2,180 64	(105 42)	
02-06-2014	02-28-2014	440	HERCULES OFFSHORE INC COM	2,060 34		2,085 82	25 48	
11-19-2012	03-12-2014	10	ARCBEST CORPORATION	71 20		367 44		296 24
11-19-2012	03-13-2014	40	ARCBEST CORPORATION	284 79		1,468 77		1,183 98
11-19-2012	03-18-2014	40	ARCBEST CORPORATION	284 79		1,461 82		1,177 03
11-20-2012	03-18-2014	80	ARCBEST CORPORATION	568 42		2,923 64		2,355 22
06-10-2011	04-07-2014	20	AMERISERV FINL INCCMN	42 80		77 49		34 69
06-10-2011	04-09-2014	270	JONES GROUP INC COM	2,762 10		4,050 00		1,287 90
06-13-2011	04-09-2014	70	JONES GROUP INC COM	720 86		1,050 00		329 14
06-15-2011	04-09-2014	50	JONES GROUP INC COM	517 78		750 00		232 22
01-09-2012	04-09-2014	150	JONES GROUP INC COM	1,358 76		2,250 00		891 24
08-02-2013	05-06-2014	10	SWIFT ENERGY CO COM	120 80		125 45	4 65	
10-09-2012	05-12-2014	20	COMMERCIAL VEH GROUP COM	143 70		192 25		48 54
10-09-2012	05-13-2014	60	COMMERCIAL VEH GROUP COM	431 11		575 16		144 04
10-10-2012	05-13-2014	50	COMMERCIAL VEH GROUP COM	357 71		479 29		121 58
10-11-2012	05-13-2014	20	COMMERCIAL VEH GROUP COM	143 30		191 72		48 42
10-12-2012	05-13-2014	20	COMMERCIAL VEH GROUP COM	142 33		191 72		49 39
10-15-2012	05-13-2014	10	COMMERCIAL VEH GROUP COM	70 92		95 86		24 93
10-15-2012	05-16-2014	10	COMMERCIAL VEH GROUP COM	70 92		96 07		25 14

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2929 ALLEN PARKWAY, SUITE 3000
HOUSTON, TEXAS 77019
PH 713-238-2050

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION - TOWLE ACCOUNT

ACCOUNT #420-08378

From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
10-16-2012	05-16-2014	60	COMMERCIAL VEH GROUP COM	429 26		576 44		147 18
10-18-2012	05-16-2014	20	COMMERCIAL VEH GROUP COM	150 77		192 15		41 38
10-19-2012	05-19-2014	20	COMMERCIAL VEH GROUP COM	147 87		191 35		43 48
10-22-2012	05-19-2014	20	COMMERCIAL VEH GROUP COM	148 19		191 36		43 17
10-22-2012	05-27-2014	10	COMMERCIAL VEH GROUP COM	74 10		95 71		21 61
10-23-2012	05-27-2014	20	COMMERCIAL VEH GROUP COM	147 94		191 42		43 48
10-24-2012	05-27-2014	10	COMMERCIAL VEH GROUP COM	74 26		95 71		21 45
11-05-2012	05-27-2014	30	COMMERCIAL VEH GROUP COM	220 09		287 12		67 03
11-06-2012	05-28-2014	40	COMMERCIAL VEH GROUP COM	293 54		382 79		89 25
11-07-2012	05-28-2014	10	COMMERCIAL VEH GROUP COM	72 58		95 70		23 12
11-20-2012	05-30-2014	20	ARCBEST CORPORATION	142 11		870 79		728 68
11-21-2012	05-30-2014	10	ARCBEST CORPORATION	72 27		435 39		363 12
11-23-2012	05-30-2014	40	ARCBEST CORPORATION	299 54		1,741 58		1,442 04
11-07-2012	06-04-2014	20	COMMERCIAL VEH GROUP COM	145 16		191 30		46 13
11-27-2012	06-05-2014	20	ARCBEST CORPORATION	168 28		860 78		692 50
11-30-2012	06-05-2014	20	ARCBEST CORPORATION	168 33		860 78		692 45
11-07-2012	06-05-2014	10	COMMERCIAL VEH GROUP COM	72 58		96 15		23 57
11-08-2012	06-05-2014	40	COMMERCIAL VEH GROUP COM	285 21		384 61		99 40
11-09-2012	06-05-2014	20	COMMERCIAL VEH GROUP COM	143 59		192 31		48 72

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Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION - TOWLE ACCOUNT

ACCOUNT #420-08378

From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
11-09-2012	06-06-2014	10	COMMERCIAL VEH GROUP COM	71 80		97 76		25 96
11-30-2012	06-10-2014	40	ARCBEST CORPORATION	336 65		1,769 38		1,432 73
12-04-2012	06-10-2014	10	ARCBEST CORPORATION	83 84		442 35		358 51
11-12-2012	06-10-2014	20	COMMERCIAL VEH GROUP COM	145 48		193 14		47 66
12-06-2012	06-11-2014	40	ARCBEST CORPORATION	340 28		1,748 97		1,408 69
12-07-2012	06-11-2014	10	ARCBEST CORPORATION	85 20		437 24		352 04
12-11-2012	06-11-2014	10	ARCBEST CORPORATION	90 46		437 25		346 78
11-12-2012	06-11-2014	10	COMMERCIAL VEH GROUP COM	72 74		95 94		23 20
11-12-2012	06-16-2014	20	COMMERCIAL VEH GROUP COM	145 48		191 47		45 99
11-13-2012	06-16-2014	30	COMMERCIAL VEH GROUP COM	214 12		287 20		73 07
11-13-2012	06-16-2014	10	COMMERCIAL VEH GROUP COM	71 37		95 73		24 35
11-14-2012	06-16-2014	20	COMMERCIAL VEH GROUP COM	139 85		191 47		51 62
11-14-2012	06-17-2014	10	COMMERCIAL VEH GROUP COM	69 92		96 28		26 36
11-14-2012	06-17-2014	20	COMMERCIAL VEH GROUP COM	139 85		192 55		52 70
11-15-2012	06-17-2014	40	COMMERCIAL VEH GROUP COM	274 72		385 10		110 38
01-23-2013	06-18-2014	20	COMMERCIAL VEH GROUP COM	144 99		191 81		46 82
01-23-2013	06-18-2014	20	COMMERCIAL VEH GROUP COM	144 99		191 80		46 81
08-02-2013	06-19-2014	160	SWIFT ENERGY CO COM	1,932 75		1,994 73	61 98	
12-11-2012	07-01-2014	10	ARCBEST CORPORATION	90 46		449 44		358 97
12-12-2012	07-03-2014	10	ARCBEST CORPORATION	90 36		438 16		347 80
12-12-2012	07-16-2014	10	ARCBEST CORPORATION	90 36		421 18		330 82

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REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION - TOWLE ACCOUNT
ACCOUNT #420-08378
From 10-01-13 Through 09-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
01-23-2013	07-16-2014	20	COMMERCIAL VEH GROUP COM	144 99		213 44		68 45
01-23-2013	07-16-2014	30	COMMERCIAL VEH GROUP COM	217 49		320 15		102 66
12-12-2012	07-17-2014	20	ARCBEST CORPORATION	180 73		842 08		661 35
12-12-2012	07-18-2014	20	ARCBEST CORPORATION	180 73		845 69		664 96
12-14-2012	07-18-2014	10	ARCBEST CORPORATION	90 20		422 85		332 65
12-14-2012	07-22-2014	40	ARCBEST CORPORATION	360 81		1,695 90		1,335 09
12-14-2012	07-23-2014	10	ARCBEST CORPORATION	90 20		429 71		339 51
12-17-2012	07-23-2014	10	ARCBEST CORPORATION	90 30		429 71		339 41
12-21-2012	07-23-2014	10	ARCBEST CORPORATION	90 11		429 72		339 61
06-10-2011	09-05-2014	340	CHIQUITA BRANDS INTL COM	4,229 60		4,654 86		425 26
05-30-2012	09-18-2014	100	STEEL DYNAMICS INC COM	1,057 82		2,503 38		1,445 56
05-31-2012	09-18-2014	10	STEEL DYNAMICS INC COM	104 50		250 34		145 84
05-31-2012	09-22-2014	80	STEEL DYNAMICS INC COM	835 98		1,918 35		1,082 37
TOTAL GAINS							1,319 47	39,258 67
TOTAL LOSSES							(2,358 84)	(1,461 08)
				61,448.01	**0.00**	**99,206.22**	**(1,039.37)**	**37,797.59**
TOTAL REALIZED GAIN/LOSS			36,758 21				**8610**	**8610**
							C-59	C-60