



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning OCT 1, 2013, and ending SEP 30, 2014

Name of foundation

A Employer identification number

NORMAN MILLER FAMILY FOUNDATION

39-6098847

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

421 S. MILITARY AVE.

103

B Telephone number

920-494-2327

City or town, state or province, country, and ZIP or foreign postal code

GREEN BAY, WI 54303

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☒ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method:

☒ Cash☐ Accrual

(from Part II, col (c), line 16)

☐ Other (specify)

\$ 954,423. (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I

Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1	Contributions, gifts, grants, etc., received	0.		N/A	
2	Check ☒ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	17,156.	17,156.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	38,721.			
b	Gross sales price for all assets on line 6a	383,380.			
7	Capital gain net income (from Part IV, line 2)		38,721.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	55,877.	55,877.		
13	Compensation of officers, directors, trustees, etc	32,381.	32,381.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees STMT 2	1,050.	1,050.		0.
c	Other professional fees STMT 3	13,435.	13,435.		0.
17	Interest				
18	Taxes STMT 4	12.	12.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 5	28.	28.		0.
24	Total operating and administrative expenses Add lines 13 through 23	46,906.	46,906.		0.
25	Contributions, gifts, grants paid	60,000.			60,000.
26	Total expenses and disbursements Add lines 24 and 25	106,906.	46,906.		60,000.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-51,029.			
b	Net investment income (if negative enter -0-)		8,971.		
c	Adjusted net income (if negative enter -0-)			N/A	

323501
10-10-13

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2013)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	26,142.	31,299.	31,299.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	815,953.	759,767.	923,124.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		842,095.	791,066.	954,423.
17 Accounts payable and accrued expenses				
18 Grants payable				
Liabilities	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	842,095.	791,066.	
	30 Total net assets or fund balances	842,095.	791,066.	
	31 Total liabilities and net assets/fund balances	842,095.	791,066.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	842,095.
2 Enter amount from Part I, line 27a	2	-51,029.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	791,066.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	791,066.

Form 990-PF (2013)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 383,380.		344,659.	38,721.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			38,721.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	38,721.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	62,830.	981,607.	.064007
2011	43,500.	915,441.	.047518
2010	48,900.	925,506.	.052836
2009	83,633.	1,000,942.	.083554
2008	57,028.	1,109,369.	.051406

2 Total of line 1, column (d)	2	.299321
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.059864
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	980,339.
5 Multiply line 4 by line 3	5	58,687.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	90.
7 Add lines 5 and 6	7	58,777.
8 Enter qualifying distributions from Part XII, line 4	8	60,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	90.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	90.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	90.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 1,110.	7	1,110.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c	9	
d Backup withholding erroneously withheld	6d	10	1,020.
7 Total credits and payments. Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☒ 1,020. Refunded ☐			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ WI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► <u>NONE</u>				
14	The books are in care of ► <u>SHIRLYN MILLER, TREASURER</u>	Telephone no. ►	<u>920-494-2327</u>	
	Located at ► <u>421 S. MILITARY AVENUE, GREEN BAY, WI</u>	ZIP+4 ►	<u>54303</u>	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No	
	If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		32,381.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2013)

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	

Part IX-B**Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	

All other program-related investments. See instructions.

3		

Total. Add lines 1 through 3

0.

Form 990-PF (2013)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	976,923.
b	Average of monthly cash balances	1b	18,345.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	995,268.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	995,268.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	14,929.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	980,339.
6	Minimum investment return. Enter 5% of line 5	6	49,017.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	49,017.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	90.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	90.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	48,927.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	48,927.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	48,927.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	60,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	60,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	90.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	59,910.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				48,927.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010	2,968.			
d From 2011				
e From 2012	14,490.			
f Total of lines 3a through e	17,458.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$	60,000.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				48,927.
e Remaining amount distributed out of corpus	11,073.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	28,531.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	28,531.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010	2,968.			
c Excess from 2011				
d Excess from 2012	14,490.			
e Excess from 2013	11,073.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV		Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)
----------------	--	--

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 8

b. The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED	NONE		SEE ATTACHED	60,000.
Total	▶ 3a			60,000.
b Approved for future payment				
NONE				
Total	▶ 3b			0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	17,156.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	38,721.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		55,877.		0.
13 Total Add line 12, columns (b), (d), and (e)					13	55,877.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

NORMAN MILLER FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WELLS FARGO CAPITAL GAINS - SEE ATTACHED	P	VARIOUS	VARIOUS
b	AMOUNT PAID TO CLOSE OPTION CONTRACTS	P	VARIOUS	VARIOUS
c	AMOUNT RECEIVED FROM SALE & EXPIRATION OF OPTION	P	VARIOUS	VARIOUS
d	COST BASIS ADJUSTMENTS	P	VARIOUS	VARIOUS
e	CAPITAL GAINS DIVIDENDS			
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 365,842.		327,167.	38,675.
b		17,041.	-17,041.
c 12,648.			12,648.
d		451.	-451.
e 4,890.			4,890.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			38,675.
b			-17,041.
c			12,648.
d			-451.
e			4,890.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	38,721.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
VARIOUS SECURITIES	22,046.	4,890.	17,156.	17,156.	
TO PART I, LINE 4	22,046.	4,890.	17,156.	17,156.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,050.	1,050.		0.
TO FORM 990-PF, PG 1, LN 16B	1,050.	1,050.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	13,435.	13,435.		0.
TO FORM 990-PF, PG 1, LN 16C	13,435.	13,435.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	12.	12.		0.
TO FORM 990-PF, PG 1, LN 18	12.	12.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
-------------	----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	18.	18.		0.
DUES & SUBSCRIPTIONS	10.	10.		0.
TO FORM 990-PF, PG 1, LN 23	28.	28.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	759,767.	923,124.
TOTAL TO FORM 990-PF, PART II, LINE 10B	759,767.	923,124.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 7

 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
IDA LYN CULLEN 534 LINDEN AVENUE PITTSBURGH, PA 15208	PRESIDENT / EXECUTIVE DIRE 15.00	32,381.	0.	0.
WILLIAM MILLER 1421 BROOKLYN AVENUE ANN ARBOR, MI 48104	VICE PRESIDENT 0.00	0.	0.	0.
SUSAN NUETZEL 61 VILLA COUBLEY ST. LOUIS, MO 63131	SECRETARY 0.00	0.	0.	0.
SHIRLYN MILLER P.O. BOX 3458 GREEN BAY, WI 54303	TREASURER 0.00	0.	0.	0.
ARLIE NUETZEL 3338 N. HAMILTON, APT. 1 CHICAGO, IL 60618	BD DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		32,381.	0.	0.

FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT	8
	PART XV, LINES 2A THROUGH 2D		

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

SHIRLYN MILLER
421 S. MILITARY AVENUE
GREEN BAY, WI 54303

TELEPHONE NUMBER

920-494-2327

FORM AND CONTENT OF APPLICATIONS

LETTER REQUESTING FUNDS. LETTER SHOULD INCLUDE ORGANIZATION'S TAX EXEMPT STATUS AND REASON FOR REQUEST.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

NORMAN MILLER FAMILY FOUNDATION INC.

FYE 9/30/2014

FORM 990-PF, PART XV

SCHEDULE OF GRANT AND CONTRIBUTIONS PAID DURING THE PERIOD

RECIPIENT	RELATIONSHIP	PURPOSE OF CONTRIBUTION	AMOUNT
American Jewish Joint Distribution Comm	None	General	\$ 5,000
711 Third Ave		Rick Hodes	\$ 2,000
New York, NY 10017-4014			
ASPIRO	None	General	\$ 2,000
1673 Dousman Street			
Green Bay, WI 54303			
Big Brothers Big Sisters of NE WI	None	General	\$ 1,000
1345 W. Mason St.			
Green Bay, WI 54303			
Boys & Girls Club of Green Bay	None	General	\$ 1,000
311 S. Oneida St			
Green Bay, WI 54303			
Brown County United Way	None	General	\$ 2,000
PO Box 1593			
Green Bay, WI 54305-1593			
CASA of Brown County, Inc.	None	General	\$ 1,500
414 E. Walnut St., Suite 281			
Green Bay, WI 54301			
Cerebral Palsy Inc.	None	General	\$ 500
PO Box 1326			
Green Bay, WI 54305-1326			
Clarity Care	None	Medical	\$ 1,000
424 Washington Ave.			
Oshkosh, WI 54901-5044			
Friends of IDF	None	General	\$ 1,000
1430 Broadway, Suite 1301			\$ 1,900
New York, NY 10018			
Freedom House	None	General	\$ 2,000
2997 St. Anthony Dr.			\$ 1,000
Green Bay, WI 54311			
Green Bay Botanical Garden	None	General	\$ 500
2600 Larsen Rd			
Green Bay, WI 54303			

NORMAN MILLER FAMILY FOUNDATION INC.

FYE 9/30/2014

FORM 990-PF, PART XV

SCHEDULE OF GRANT AND CONTRIBUTIONS PAID DURING THE PERIOD

RECIPIENT	RELATIONSHIP	PURPOSE OF CONTRIBUTION	AMOUNT
GGBCF-New Leaf Foods PO Box 1562 Green Bay, WI 54305	None	Capital Bldg	\$ 5,000
Hadassah 50 West 58 Street New York City, NY 100192500	None	Medical	\$ 1,000
Heritage Hill Foundation, Inc. 2640 So. Webster Ave Green Bay, WI 54301	None	Ft. Howard Guardhouse	\$ 500
Hillel Arthur and Rochelle Belfer Building 800 Eighth Street, N. W. Washington, DC 20001	None	Education	\$ 1,000
Howe Community Resource Center 526 S. Monroe Ave Green Bay, WI 54301	Non	General	\$ 3,000
IHN@Alpha House 4290 Jackson Road Ann Arbor, MI 48103	None	Homelessness	\$ 1,500
Jewish National Fund PO Box 5609 Hicksville, NY 11802-5609	None	General	\$ 3,000
JOSHUA 130 St. Matthew St Green Bay, WI 54301	None	General	\$ 1,000
Literacy Green Bay 424 S. Monroe Avenue Green Bay, WI 54301-4054	None	General	\$ 1,000
Lung Cancer Research Fdn 155 East 55th St, Suite 6H New York, NY 10022	None	Medical	\$ 3,500
Mazon PO Box 96119 Washington, DC 20090-6119	None	General	\$ 2,000

NORMAN MILLER FAMILY FOUNDATION INC.

FYE 9/30/2014

FORM 990-PF, PART XV

SCHEDULE OF GRANT AND CONTRIBUTIONS PAID DURING THE PERIOD

RECIPIENT	RELATIONSHIP	PURPOSE OF CONTRIBUTION	AMOUNT
Mediation Center of Greater GB, Inc. PO Box 752 Green Bay, WI 54305-0752	None	General	\$ 1,500
NWTC Educational Foundation PO Box 19042 Green Bay, WI 54307-9042	None	Scholarships	\$ 5,000
New Community Shelter, Inc. 301 Mather Street Green Bay, WI 54303	None	General	\$ 2,000
New Israel Fund 330 Seventh Ave, 11th Floor New York, NY 10001	None	General	\$ 2,000
New Zoo 4378 Reforestation Rd Green Bay, WI 54313	None	General	\$ 1,000
Eric Nuetzel Scholarship Fund St. Louis Psychoanalytic Institute 8820 Laude Road St. Louis, MO 63124	None	Scholarship	\$ 1,000
Paul's Pantry Inc 1529 Leo Frigo Way Green Bay, WI 54302	None	Fund drive	\$ 500
Planned Parenthood of Western Penn 933 Liberty Avenue Pittsburgh, PA 15222	None	Education	\$ 1,500
Salvation Army 626 Union Court Green Bay, WI 54303	None	General	\$ 500
United States Holocaust Memorial Museum PO Box 7023 Albert Lea, MN 56007-8023	None	Membership	\$ 100
			<u><u>\$ 60,000</u></u>



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

REALIZED GAIN/LOSS DETAIL

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
03/12/14	12.000	ACCENTURE PLC 12.0000 UNITS ACQUIRED ON 09/05/12	- \$739.20 739.20	\$1,004.98	\$0.00	\$265.78
03/12/14	6.000	ALEXION PHARMACEUTICALS INC 4.0000 UNITS ACQUIRED ON 11/21/12 2.0000 UNITS ACQUIRED ON 06/13/13	-559.46 373.72 185.74	1,004.38	149.05	295.87
11/08/13	4.000	AMAZON.COM INC COM 4.0000 UNITS ACQUIRED ON 08/20/09	-332.36 332.36	1,430.67	0.00	1,098.31
06/12/14	1.000	AMAZON.COM INC COM 1.0000 UNITS ACQUIRED ON 07/30/10	-118.40 118.40	329.77	0.00	211.37
11/08/13	5.000	APPLE INC 5.0000 UNITS ACQUIRED ON 01/09/09	-466.30 466.30	2,626.90	0.00	2,160.60
04/30/14	1.000	APPLE INC 1.0000 UNITS ACQUIRED ON 04/08/09	-115.30 115.30	569.03	0.00	453.73
03/10/14	802.559	AQR MANAGED FUTURES STR-I #15213 802.5590 UNITS ACQUIRED ON 07/22/13	-8,105.85 8,105.85	8,154.00	48.15	0.00
08/29/14	98.232	AQR MANAGED FUTURES STR-I #15213 98.2320 UNITS ACQUIRED ON 07/22/13	-992.14 992.14	1,000.00	0.00	7.86
09/22/14	838.367	AQR MANAGED FUTURES STR-I #15213 838.3670 UNITS ACQUIRED ON 07/22/13	-8,467.51 8,467.51	8,811.24	0.00	343.73
04/28/14	458.295	ASG GLOBAL ALTERNATIVES-Y #1993 458.2950 UNITS ACQUIRED ON 07/22/13	-5,293.31 5,293.31	5,000.00	-293.31	0.00
09/22/14	816.214	ASG GLOBAL ALTERNATIVES-Y #1993 816.2140 UNITS ACQUIRED ON 07/22/13	-9,427.27 9,427.27	9,313.00	0.00	-114.27
03/12/14	22.000	CATAMARAN CORP 22.0000 UNITS ACQUIRED ON 02/28/12	-786.50 786.50	973.70	0.00	187.20
03/12/14	16.000	CELANESE CORP 16.0000 UNITS ACQUIRED ON 07/07/11	-892.64 892.64	863.50	0.00	-29.14
09/24/14	33.000	CELANESE CORP 13.0000 UNITS ACQUIRED ON 07/07/11 20.0000 UNITS ACQUIRED ON 03/29/12	-1,640.05 725.27 914.78	2,031.10	0.00	391.05
12/12/13	6.000	CELGENE CORP COM 1.0000 UNITS ACQUIRED ON 03/29/12 5.0000 UNITS ACQUIRED ON 04/08/09	-282.46 77.66 204.80	1,036.06	0.00	753.60

128 of 137



003196

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
06/12/14	3.000	CELGENE CORP COM 3.0000 UNITS ACQUIRED ON 04/08/09	-122.88 122.88	485.50	0.00	362.62
11/08/13	22.000	CERNER CORP COM 22.0000 UNITS ACQUIRED ON 08/29/12	-805.06 805.06	1,226.47	0.00	421.41
03/12/14	2.000	CHIPOTLE MEXICAN GRILL INC 2.0000 UNITS ACQUIRED ON 08/26/10	-299.24 299.24	1,183.51	0.00	884.27
09/24/14	1.000	CHIPOTLE MEXICAN GRILL INC 1.0000 UNITS ACQUIRED ON 08/26/10	-149.62 149.62	665.59	0.00	515.97
11/08/13	51.000	CITRIX SYS INC COM 25.0000 UNITS ACQUIRED ON 01/09/09 1.0000 UNITS ACQUIRED ON 03/29/12 20.0000 UNITS ACQUIRED ON 06/13/13 5.0000 UNITS ACQUIRED ON 01/09/09	-2,013.49 581.25 78.79 1,237.20 116.25	2,912.04	-95.22	993.77
11/08/13	33.000	COCA COLA CO 33.0000 UNITS ACQUIRED ON 09/12/11	-1,140.98 1,140.98	1,295.22	0.00	154.24
03/12/14	10.000	CONCHO RESOURCES INC 10.0000 UNITS ACQUIRED ON 11/18/10	-778.30 778.30	1,212.38	0.00	434.08
12/12/13	24.000	COSTCO WHOLESALE CORP 24.0000 UNITS ACQUIRED ON 09/12/11	-1,886.40 1,886.40	2,919.06	0.00	1,032.66
11/06/13	7,309.817	CREDIT SUISSE COMM RET ST-I #2156 1,714.6400 UNITS ACQUIRED ON 10/28/11 3,297.9980 UNITS ACQUIRED ON 12/06/11 726,7620 UNITS ACQUIRED ON 04/02/12 911,3920 UNITS ACQUIRED ON 02/21/13 659,0250 UNITS ACQUIRED ON 05/13/13	-61,322.40 15,037.40 28,000.00 6,083.00 7,200.00 5,002.00	51,753.50	-1,083.44	-8,485.46
03/12/14	15.000	DANAHER CORP 15.0000 UNITS ACQUIRED ON 04/08/09	-396.30 396.30	1,158.28	0.00	761.98
09/24/14	13.000	DANAHER CORP 12.0000 UNITS ACQUIRED ON 03/29/12 1.0000 UNITS ACQUIRED ON 04/08/09	-687.86 661.44 26.42	1,033.08	0.00	345.22
03/12/14	6.000	DIAGEO PLC - ADR 6.0000 UNITS ACQUIRED ON 04/08/09	-278.58 278.58	741.94	0.00	463.36
07/08/14	13.000	DIAGEO PLC - ADR 13.0000 UNITS ACQUIRED ON 04/08/09	-603.59 603.59	1,688.27	0.00	1,084.68

129 of 137

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
03/10/14	49,495	DIAMOND HILL LONG-SHORT FD CLI #11 49,495 UNITS ACQUIRED ON 11/05/13	- 1,088.39 1,088.39	1,128.00	39.61	0.00
06/10/14	30,444	DIAMOND HILL LONG-SHORT FD CLI #11 30,444 UNITS ACQUIRED ON 11/05/13	- 669.46 669.46	720.00	50.54	0.00
03/12/14	9,000	ECOLAB INC 9,000 UNITS ACQUIRED ON 01/09/09	- 307.98 307.98	986.56	0.00	678.58
11/08/13	20,000	ESTEE LAUDER COMPANIES INC 20,000 UNITS ACQUIRED ON 07/07/11	- 1,060.50 1,060.50	1,396.97	0.00	336.47
03/12/14	13,000	ESTEE LAUDER COMPANIES INC 13,000 UNITS ACQUIRED ON 07/07/11	- 689.33 689.33	910.89	0.00	221.56
04/30/14	100,000	ETRACS ALERIAN MLP UBS AG LDN BR ETN 100,000 UNITS ACQUIRED ON 03/07/14	- 2,911.99 2,911.99	3,002.94	90.95	0.00
06/12/14	94,000	ETRACS ALERIAN MLP UBS AG LDN BR ETN 94,000 UNITS ACQUIRED ON 03/07/14	- 2,737.27 2,737.27	2,983.49	246.22	0.00
04/30/14	19,000	FASTENAL CO 19,000 UNITS ACQUIRED ON 06/13/13	- 918.84 918.84	931.16	12.32	0.00
03/12/14	28,000	FORTUNE BRANDS HOME & SECURITY 28,000 UNITS ACQUIRED ON 02/22/13	- 948.70 948.70	1,302.26	0.00	353.56
09/24/14	11,000	GILEAD SCIENCES INC 9,000 UNITS ACQUIRED ON 08/21/13 2,000 UNITS ACQUIRED ON 06/13/13	- 636.58 533.15 103.43	1,173.56	0.00	536.98
11/08/13	2,000	GOOGLE INC 2,000 UNITS ACQUIRED ON 02/23/11	- 1,211.78 1,211.78	2,042.30	0.00	830.52
04/30/14	7,000	GOOGLE INC 6,000 UNITS ACQUIRED ON 02/23/11 1,000 UNITS ACQUIRED ON 06/05/13	- 2,251.57 1,820.58 430.99	3,671.34	93.49	1,326.28
12/12/13	13,000	HOME DEPOT INC 13,000 UNITS ACQUIRED ON 02/22/13	- 851.89 851.89	1,037.38	185.49	0.00
06/12/14	4,000	HOME DEPOT INC 4,000 UNITS ACQUIRED ON 02/22/13	- 262.12 262.12	323.67	0.00	61.55
04/28/14	86,306	HSBC FRONTIER MARKETS-I 86,306 UNITS ACQUIRED ON 07/22/13	- 1,128.88 1,128.88	1,292.00	163.12	0.00
12/12/13	6,000	INTERCONTINENTAL EXCHANGE GROUP INC 6,000 UNITS ACQUIRED ON 01/09/09	- 387.12 387.12	1,307.55	0.00	920.43

130 of 137



003198

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
11/08/13	19.000	INTUIT COM 19.0000 UNITS ACQUIRED ON 07/07/11	-994.27 994.27	1,352.58	0.00	358.31
04/30/14	12.000	INTUIT COM 5.0000 UNITS ACQUIRED ON 03/29/12 7.0000 UNITS ACQUIRED ON 09/05/12	-708.06 298.70 409.36	904.77	0.00	196.71
03/12/14	2.000	INTUITIVE SURGICAL INC 2.0000 UNITS ACQUIRED ON 06/13/12	-1,056.76 1,056.76	884.20	0.00	-172.56
06/03/14	4.000	INTUITIVE SURGICAL INC 2.0000 UNITS ACQUIRED ON 06/13/12 2.0000 UNITS ACQUIRED ON 11/21/12	-2,125.42 1,056.76 1,068.66	1,502.48	0.00	-622.94
11/08/13	23.000	ISHARES CORE S&P SMALL-CAP E 23.0000 UNITS ACQUIRED ON 10/19/11	-1,457.48 1,457.48	2,378.84	0.00	921.36
03/12/14	40.000	ISHARES CORE S&P SMALL-CAP E 40.0000 UNITS ACQUIRED ON 07/17/13	-3,851.72 3,851.72	4,472.32	620.60	0.00
04/30/14	4.000	ISHARES CORE S&P SMALL-CAP E 4.0000 UNITS ACQUIRED ON 07/17/13	-385.17 385.17	426.23	41.06	0.00
06/12/14	25.000	ISHARES CORE S&P SMALL-CAP E 25.0000 UNITS ACQUIRED ON 10/19/11	-1,584.22 1,584.22	2,788.43	0.00	1,204.21
03/12/14	24.000	ISHARES S&P MID-CAP 400 GROWTH 24.0000 UNITS ACQUIRED ON 02/19/13	-2,997.65 2,997.65	3,724.98	0.00	727.33
04/30/14	66.000	ISHARES S&P MID-CAP 400 GROWTH 16.0000 UNITS ACQUIRED ON 02/19/13 50.0000 UNITS ACQUIRED ON 10/19/11	-6,825.63 1,998.43 4,827.20	9,749.96	0.00	2,924.33
06/12/14	10.000	ISHARES S&P MID-CAP 400 GROWTH 10.0000 UNITS ACQUIRED ON 10/19/11	-965.44 965.44	1,560.66	0.00	595.22
03/12/14	15.000	ISHARES S&P MID-CAP 400 VALUE 15.0000 UNITS ACQUIRED ON 10/19/11	-1,074.56 1,074.56	1,805.07	0.00	730.51
06/12/14	13.000	ISHARES S&P MID-CAP 400 VALUE 13.0000 UNITS ACQUIRED ON 10/19/11	-931.28 931.28	1,633.41	0.00	702.13
03/12/14	15.000	ISHARES S&P 500 GROWTH ETF 15.0000 UNITS ACQUIRED ON 11/05/13	-1,404.30 1,404.30	1,520.37	116.07	0.00
06/12/14	10.000	ISHARES S&P 500 GROWTH ETF 10.0000 UNITS ACQUIRED ON 11/05/13	-936.20 936.20	1,050.67	114.47	0.00

131 of 137

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
09/24/14	12.000	ISHARES S&P 500 GROWTH ETF 12.0000 UNITS ACQUIRED ON 11/05/13	- 1,123.44 1,123.44	1,307.37	183.93	0.00
03/12/14	14.000	JAZZ PHARMACEUTICALS PLC 14.0000 UNITS ACQUIRED ON 06/13/13	- 974.27 974.27	2,157.36	1,183.09	0.00
11/08/13	15.000	LAS VEGAS SANDS CORP 15.0000 UNITS ACQUIRED ON 09/12/11	- 705.90 705.90	1,038.28	0.00	332.38
07/03/14	330.139	MERGER FD SH BEN INT #260 183.4680 UNITS ACQUIRED ON 07/07/11 146.6710 UNITS ACQUIRED ON 03/13/14	- 5,331.02 2,981.35 2,349.67	5,444.00	68.94	44.04
09/22/14	896.418	MERGER FUND-INST #301 160.6240 UNITS ACQUIRED ON 04/02/12 552.8110 UNITS ACQUIRED ON 03/13/14 16.6340 UNITS ACQUIRED ON 05/13/13 166.3490 UNITS ACQUIRED ON 02/21/13	- 14,258.52 2,538.00 8,845.33 265.00 2,610.19	14,764.00	259.46	246.02
04/30/14	2.000	MICROSOFT CORP 2.0000 UNITS ACQUIRED ON 04/08/09	- 38.04 38.04	79.87	0.00	41.83
09/24/14	39.000	MICROSOFT CORP 39.0000 UNITS ACQUIRED ON 01/09/09	- 789.36 789.36	1,832.95	0.00	1,043.59
03/12/14	25.000	MONDELEZ INTERNATIONAL INC 25.0000 UNITS ACQUIRED ON 11/21/12	- 635.66 635.66	872.23	0.00	236.57
11/08/13	10.000	MONSANTO CO NEW 10.0000 UNITS ACQUIRED ON 10/26/11	- 743.30 743.30	1,035.48	0.00	292.18
06/12/14	4.000	MONSANTO CO NEW 4.0000 UNITS ACQUIRED ON 06/05/13	- 396.32 396.32	486.30	0.00	89.98
04/30/14	7.000	MONSTER BEVERAGE CORP 6.0000 UNITS ACQUIRED ON 05/10/13 1.0000 UNITS ACQUIRED ON 04/01/13	- 372.84 325.07 47.77	457.85	67.37	17.64
04/30/14	46.000	ORACLE CORPORATION 46.0000 UNITS ACQUIRED ON 12/14/10	- 1,404.35 1,404.35	1,815.11	0.00	410.76
03/12/14	8.000	POLARIS INDS INC COM 8.0000 UNITS ACQUIRED ON 09/05/12	- 605.15 605.15	1,098.86	0.00	493.71
03/12/14	876.000	POWERSHARES DB COMMODITY INDEX 876.0000 UNITS ACQUIRED ON 11/05/13	- 22,180.23 22,180.23	22,985.92	805.69	0.00
04/22/14	903.000	POWERSHARES DB COMMODITY INDEX 903.0000 UNITS ACQUIRED ON 11/05/13	- 22,863.87 22,863.87	23,966.43	1,102.56	0.00
						132 of 137



003200

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
11/08/13	7.000	PRECISION CASTPARTS CORP 5.0000 UNITS ACQUIRED ON 07/30/10 2.0000 UNITS ACQUIRED ON 07/30/10	-856.38 611.70 244.68	1,751.43	0.00	895.05
04/30/14	2.000	PRECISION CASTPARTS CORP 2.0000 UNITS ACQUIRED ON 07/30/10	-244.68 244.68	501.30	0.00	256.62
09/24/14	7.000	PRECISION CASTPARTS CORP 7.0000 UNITS ACQUIRED ON 07/30/10	-856.38 856.38	1,723.50	0.00	867.12
11/08/13	1.000	PRICELINE COM INC 1.0000 UNITS ACQUIRED ON 06/02/11	-508.90 508.90	1,067.93	0.00	559.03
12/12/13	33.000	QUALCOMM INC 33.0000 UNITS ACQUIRED ON 02/28/12	-2,064.15 2,064.15	2,426.44	0.00	362.29
06/12/14	6.000	QUALCOMM INC 1.0000 UNITS ACQUIRED ON 02/28/12 5.0000 UNITS ACQUIRED ON 04/29/11	-363.39 62.55 300.84	481.24	0.00	117.85
09/24/14	33.000	QUALCOMM INC 26.0000 UNITS ACQUIRED ON 04/29/11 7.0000 UNITS ACQUIRED ON 05/12/11	-1,963.03 1,564.38 398.65	2,497.71	0.00	534.68
11/08/13	16.000	RALPH LAUREN CORP 6.0000 UNITS ACQUIRED ON 02/28/12 10.0000 UNITS ACQUIRED ON 07/23/12	-2,519.04 1,043.14 1,475.90	2,726.83	0.00	207.79
06/10/14	128.638	ROBECO BP LNG/SHRT RES-INS 128.6380 UNITS ACQUIRED ON 03/13/14	-1,858.82 1,858.82	1,927.00	68.18	0.00
09/22/14	301.972	ROBECO BP LNG/SHRT RES-INS 267.0230 UNITS ACQUIRED ON 07/02/14 34.9490 UNITS ACQUIRED ON 03/13/14	-4,505.01 4,000.00 505.01	4,593.00	87.99	0.00
03/12/14	15.000	SANDISK CORP COM 15.0000 UNITS ACQUIRED ON 02/28/12	-744.96 744.96	1,113.43	0.00	368.47
04/30/14	9.000	SANDISK CORP COM 9.0000 UNITS ACQUIRED ON 02/28/12	-446.98 446.98	760.21	0.00	313.23
11/08/13	11.000	SCHLUMBERGER LTD 11.0000 UNITS ACQUIRED ON 04/08/09	-470.91 470.91	1,020.89	0.00	549.98
06/12/14	40.000	SPDR DJ WILSHIRE INTERNATIONAL REAL 34.0000 UNITS ACQUIRED ON 03/07/14 6.0000 UNITS ACQUIRED ON 07/17/13	-1,666.87 1,419.50 247.37	1,787.96	121.09	0.00

133 of 137

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
09/24/14	55.000	SPDR DJ WILSHIRE INTERNATIONAL REAL 55 0000 UNITS ACQUIRED ON 07/17/13	- 2,267.58 2,267.58	2,338.54	0.00	70.96
03/12/14	14.000	STARBUCKS CORP COM 14.0000 UNITS ACQUIRED ON 02/22/13	- 755.53 755.53	1,020.58	0.00	265.05
11/08/13	12.000	STERICYCLE INC COM 12.0000 UNITS ACQUIRED ON 07/30/10	- 754.91 754.91	1,387.42	0.00	632.51
06/12/14	3.000	STERICYCLE INC COM 3 0000 UNITS ACQUIRED ON 03/29/12	- 252.69 252.69	348.59	0.00	95.90
03/12/14	22.000	TEAM HEALTH HOLDINGS INC 22.0000 UNITS ACQUIRED ON 04/01/13	- 795.38 795.38	1,014.40	219.02	0.00
06/10/14	85.535	TEMPLETON EMER MKT S/C-ADV #626 85.5350 UNITS ACQUIRED ON 03/07/14	- 989.64 989.64	1,088.00	98.36	0.00
07/03/14	140.078	TEMPLETON EMER MKT S/C-ADV #626 140.0780 UNITS ACQUIRED ON 03/07/14	- 1,620.70 1,620.70	1,800.00	179.30	0.00
11/08/13	51.000	TERADATA CORP 11.0000 UNITS ACQUIRED ON 11/21/12 25.0000 UNITS ACQUIRED ON 06/13/13 15.0000 UNITS ACQUIRED ON 08/21/13	- 2,995.88 673.85 1,421.75 900.28	2,193.55	- 802.33	0.00
11/08/13	19.000	THE DIRECTV GROUP HOLDINGS CL A COM 19 0000 UNITS ACQUIRED ON 04/01/13	- 1,077.21 1,077.21	1,196.21	119.00	0.00
06/03/14	46.000	THE DIRECTV GROUP HOLDINGS CL A COM 31 0000 UNITS ACQUIRED ON 04/01/13 15.0000 UNITS ACQUIRED ON 06/13/13	- 2,668.98 1,757.54 911.44	3,779.28	320.93	789.37
11/08/13	23.000	TRACTOR SUPPLY CO COM 23.0000 UNITS ACQUIRED ON 01/09/09	- 189.46 189.46	1,616.18	0.00	1,426.72
06/12/14	7.000	TRACTOR SUPPLY CO COM 7 0000 UNITS ACQUIRED ON 01/09/09	- 57.66 57.66	464.57	0.00	406.91
11/08/13	9.000	UNION PACIFIC CORP 9 0000 UNITS ACQUIRED ON 04/08/09	- 388.98 388.98	1,376.97	0.00	987.99
03/12/14	7.000	UNION PACIFIC CORP 1.0000 UNITS ACQUIRED ON 07/30/10 6.0000 UNITS ACQUIRED ON 04/08/09	- 334.25 74.93 259.32	1,311.29	0.00	977.04
04/30/14	3.000	UNION PACIFIC CORP 3.0000 UNITS ACQUIRED ON 11/18/10	- 275.84 275.84	562.54	0.00	286.70

134 of 137

THE PRIVATE BANK



003202

Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600



REALIZED GAIN/LOSS DETAIL (continued)

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
11/08/13	172.000	VANGUARD FTSE DEVELOPED ETF 172.0000 UNITS ACQUIRED ON 02/19/13	-6,319.44 6,319.44	6,948.67	629.23	0.00
06/03/14	137.000	VANGUARD FTSE DEVELOPED ETF 137.0000 UNITS ACQUIRED ON 05/08/13	-5,349.23 5,349.23	5,836.08	0.00	486.85
07/08/14	72.000	VANGUARD FTSE DEVELOPED ETF 72.0000 UNITS ACQUIRED ON 05/08/13	-2,811.28 2,811.28	3,092.33	0.00	281.05
09/24/14	32.000	VANGUARD FTSE DEVELOPED ETF 32.0000 UNITS ACQUIRED ON 05/08/13	-1,249.46 1,249.46	1,315.49	0.00	66.03
11/08/13	56.000	VANGUARD FTSE EMERGING MARKETS ETF 56.0000 UNITS ACQUIRED ON 06/29/11	-2,691.41 2,691.41	2,324.51	0.00	-366.90
03/12/14	238.000	VANGUARD FTSE EMERGING MARKETS ETF 238.0000 UNITS ACQUIRED ON 10/19/11	-9,370.16 9,370.16	9,227.49	0.00	-142.67
04/30/14	182.000	VANGUARD FTSE EMERGING MARKETS ETF 182.0000 UNITS ACQUIRED ON 10/19/11	-7,165.41 7,165.41	7,332.63	0.00	167.22
06/03/14	108.000	VANGUARD FTSE EMERGING MARKETS ETF 108.0000 UNITS ACQUIRED ON 06/29/11	-5,190.59 5,190.59	4,616.91	0.00	-573.68
07/08/14	391.000	VANGUARD FTSE EMERGING MARKETS ETF 177.0000 UNITS ACQUIRED ON 06/29/11 214.0000 UNITS ACQUIRED ON 10/19/11	-16,932.06 8,506.79 8,425.27	17,070.72	0.00	138.66
09/24/14	26.000	VANGUARD FTSE EMERGING MARKETS ETF 9.0000 UNITS ACQUIRED ON 06/29/11 17.0000 UNITS ACQUIRED ON 06/29/11	-1,249.59 432.55 817.04	1,142.93	0.00	-106.66
04/30/14	22.000	VANGUARD REIT VIPER 22.0000 UNITS ACQUIRED ON 07/17/13	-1,576.49 1,576.49	1,582.20	5.71	0.00
06/12/14	19.000	VANGUARD REIT VIPER 11.0000 UNITS ACQUIRED ON 07/17/13 8.0000 UNITS ACQUIRED ON 03/07/14	-1,350.01 788.25 561.76	1,434.65	84.64	0.00
09/24/14	22.000	VANGUARD REIT VIPER 22.0000 UNITS ACQUIRED ON 03/07/14	-1,544.84 1,544.84	1,620.92	76.08	0.00
09/24/14	37.000	WHITEWAVE FOODS CO 23.0000 UNITS ACQUIRED ON 12/09/13 14.0000 UNITS ACQUIRED ON 08/21/13	-790.38 516.81 273.57	1,354.54	325.20	238.96
11/08/13	22.000	WHOLE FOODS MKT INC 22.0000 UNITS ACQUIRED ON 11/30/11	-752.81 752.81	1,387.73	0.00	634.92

135 of 137

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014
Account Number 73156600

REALIZED GAIN/LOSS DETAIL *(continued)*

DATE SOLD	QUANTITY	ASSET DESCRIPTION	COST BASIS	PROCEEDS	SHORT TERM REALIZED GAIN/LOSS	LONG TERM REALIZED GAIN/LOSS
06/03/14	51.000	WHOLE FOODS MKT INC 38.0000 UNITS ACQUIRED ON 11/30/11 13.0000 UNITS ACQUIRED ON 05/18/12	-1,843.68 1,300.31 543.37	1,946.63	0.00	102.95
Total This Period			-\$327,167.31	\$365,841.64	\$5,702.61	\$32,971.72

Gain/Loss information is un-audited and should not be used for tax preparation, estate and/or retirement planning purposes.



003204



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements (continued)					
PAID TO/FOR - NORMAN MILLER FAMILY FOUNDATION INC (continued)					
08/28/14		PAID TO MANAGEMENT ENTERPRISES INC DIST TO/FOR BENE/CLIENT ACH	- 2,698.42		
		TRANSFER FROM MILLER, NORMAN FAMILY FDN			
09/29/14		PAID TO MANAGEMENT ENTERPRISES INC DIST TO/FOR BENE/CLIENT ACH	- 2,698.42		
		TRANSFER FROM MILLER, NORMAN FAMILY FDN			
Total Paid To/For - NORMAN MILLER FAMILY FOUNDATION INC			- \$94,381.04	\$0.00	\$0.00
Total Paid To/For			- \$94,381.04	\$0.00	\$0.00
ASSET RELATED - ACCENTURE PLC					
11/15/13		PAID TO TAX WITHHOLDING FOREIGN TAX SYMBOL: ACN CUSIP: G1151C101 20.0% W/H ON 37 SHRS		- \$6.88	
05/15/14		PAID TO TAX WITHHOLDING FOREIGN TAX SYMBOL: ACN CUSIP: G1151C101 20.0% W/H ON 25 SHRS		- 4.65	
Total Asset Related - ACCENTURE PLC			\$0.00	- \$11.53	\$0.00
ASSET RELATED - CALL WRITE IJJ @ 116.00 12/21/13					
12/04/13		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.3	- \$30.83		
Total Asset Related - CALL WRITE IJJ @ 116.00 12/21/13			- \$30.83	\$0.00	\$0.00
					76 of 137



003144

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE IJJ @ 124.00 06/18/14	6/21/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.65	-\$165.83		
Total Asset Related - CALL WRITE IJJ @ 124.00	6/21/14		-\$165.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJK @ 141.00 11/14/13	11/16/13	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 3.6	-\$721.66		
Total Asset Related - CALL WRITE IJK @ 141.00	11/16/13		-\$721.66	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJK @ 148.00 12/12/13	12/21/13	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.25	-\$51.66		
Total Asset Related - CALL WRITE IJK @ 148.00	12/21/13		-\$51.66	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJK @ 149.00 01/17/14	1/18/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2.05	-\$411.67		
Total Asset Related - CALL WRITE IJK @ 149.00	1/18/14		-\$411.67	\$0.00	\$0.00

77 of 137

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE IJK @ 150.00	3/22/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 4.61	-\$923.67		
02/25/14					
Total Asset Related - CALL WRITE IJK @ 150.00	3/22/14		-\$923.67	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJK @ 155.00					
02/07/14	2/22/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1	-\$21.67		
Total Asset Related - CALL WRITE IJK @ 155.00	2/22/14		-\$21.67	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJK @ 155.00					
06/23/14	6/21/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.55	-\$311.67		
Total Asset Related - CALL WRITE IJK @ 155.00	6/21/14		-\$311.67	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJK @ 160.00					
04/28/14	6/21/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.23	-\$47.67		
Total Asset Related - CALL WRITE IJK @ 160.00	6/21/14		-\$47.67	\$0.00	\$0.00

78 of 137

THE PRIVATE BANK



003146



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements (continued)					
ASSET RELATED - CALL WRITE IJR @ 107.00	12/21/13	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.2832	- \$58.30		
12/12/13					
Total Asset Related - CALL WRITE UR @ 107.00	12/21/13		- \$58.30	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJR @ 108.00					
02/25/14	3/22/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2.37	- \$713.51		
Total Asset Related - CALL WRITE UR @ 108.00	3/22/14		- \$713.51	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJR @ 109.00					
01/15/14	1/18/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.2	- \$20.83		
Total Asset Related - CALL WRITE UR @ 109.00	1/18/14		- \$20.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJR @ 109.00					
06/10/14	6/21/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 3.0434	- \$915.53		
Total Asset Related - CALL WRITE UR @ 109.00	6/21/14		- \$915.53	\$0.00	\$0.00

79 of 137

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements (continued)					
ASSET RELATED - CALL WRITE IJR @ 110.00	2/22/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1	-\$10.83		
02/04/14					
Total Asset Related - CALL WRITE IJR @ 110.00	2/22/14		-\$10.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJR @ 111.00					
02/04/14	2/22/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.091	-\$9.94		
Total Asset Related - CALL WRITE IJR @ 111.00	2/22/14		-\$9.94	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJR @ 113.00					
07/11/14	7/19/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1	-\$32.51		
Total Asset Related - CALL WRITE IJR @ 113.00	7/19/14		-\$32.51	\$0.00	\$0.00
ASSET RELATED - CALL WRITE IJR @ 113.00					
07/30/14	8/16/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.12	-\$38.51		
Total Asset Related - CALL WRITE IJR @ 113.00	8/16/14		-\$38.51	\$0.00	\$0.00



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600



TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE MSFT @ 37.00	12/21/13	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.269	-\$127.73		
11/07/13					
Total Asset Related - CALL WRITE MSFT @ 37.00	12/21/13		-\$127.73	\$0.00	\$0.00
ASSET RELATED - CALL WRITE MSFT @ 38.00	2/22/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1008	-\$10.93		
02/06/14					
Total Asset Related - CALL WRITE MSFT @ 38.00	2/22/14		-\$10.93	\$0.00	\$0.00
ASSET RELATED - CALL WRITE MSFT @ 38.00	3/22/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.54	-\$54.83		
03/12/14					
Total Asset Related - CALL WRITE MSFT @ 38.00	3/22/14		-\$54.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE MSFT @ 38.00	12/21/13	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.2	-\$20.83		
12/17/13					
Total Asset Related - CALL WRITE MSFT @ 38.00	12/21/13		-\$20.83	\$0.00	\$0.00

81 of 137

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements (continued)					
ASSET RELATED - CALL WRITE MSFT @ 39.00	4/19/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.7294	-\$173.77		
03/24/14					
Total Asset Related - CALL WRITE MSFT @ 39.00 4/19/14					
			-\$173.77	\$0.00	\$0.00
ASSET RELATED - CALL WRITE MSFT @ 39.00 12/21/13					
11/14/13		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.2834	-\$29.17		
Total Asset Related - CALL WRITE MSFT @ 39.00 12/21/13					
			-\$29.17	\$0.00	\$0.00
ASSET RELATED - CALL WRITE MSFT @ 41.00 4/19/14					
04/11/14		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.08	-\$8.83		
Total Asset Related - CALL WRITE MSFT @ 41.00 4/19/14					
			-\$8.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE MSFT @ 42.00 5/17/14					
05/02/14		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.06	-\$6.83		
Total Asset Related - CALL WRITE MSFT @ 42.00 5/17/14					
			-\$6.83	\$0.00	\$0.00

82 of 137

THE PRIVATE BANK



003150



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements (continued)					
ASSET RELATED - CALL WRITE MSFT @ 42.00 07/18/14	7/19/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2.6145	-\$262.29		
Total Asset Related - CALL WRITE MSFT @ 42.00	7/19/14		-\$262.29	\$0.00	\$0.00
ASSET RELATED - CALL WRITE MSFT @ 45.00 09/18/14	10/18/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.8	-\$180.84		
Total Asset Related - CALL WRITE MSFT @ 45.00	10/18/14		-\$180.84	\$0.00	\$0.00
ASSET RELATED - CALL WRITE MSFT @ 47.00 08/04/14	9/20/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.18	-\$18.83		
Total Asset Related - CALL WRITE MSFT @ 47.00	9/20/14		-\$18.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE ORCL @ 34.00 10/24/13	11/16/13	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.09	-\$9.83		
Total Asset Related - CALL WRITE ORCL @ 34.00	11/16/13		-\$9.83	\$0.00	\$0.00



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014
Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE ORCL @ 34.00					
11/19/13		11/22/13 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.0	- \$100.83		
Total Asset Related - CALL WRITE ORCL @ 34.00	11/22/13		- \$100.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE ORCL @ 36.00					
12/13/13		12/21/13 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.14	- \$14.83		
Total Asset Related - CALL WRITE ORCL @ 36.00	12/21/13		- \$14.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE ORCL @ 39.00					
01/28/14		2/22/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.08	- \$8.83		
Total Asset Related - CALL WRITE ORCL @ 39.00	2/22/14		- \$8.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE ORCL @ 41.00					
03/24/14		4/19/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.05	- \$5.83		
Total Asset Related - CALL WRITE ORCL @ 41.00	4/19/14		- \$5.83	\$0.00	\$0.00





Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
04/29/14	ASSET RELATED - CALL WRITE ORCL @ 42.00	6/21/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.54	-\$54.84		
	Total Asset Related - CALL WRITE ORCL @ 42.00	6/21/14	-\$54.84	\$0.00	\$0.00
11/05/13	ASSET RELATED - CALL WRITE QCOM @ 70.00	11/16/13 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.33	-\$133.83		
	Total Asset Related - CALL WRITE QCOM @ 70.00	11/16/13	-\$133.83	\$0.00	\$0.00
11/18/13	ASSET RELATED - CALL WRITE QCOM @ 70.00	12/06/13 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2.37	-\$237.83		
	Total Asset Related - CALL WRITE QCOM @ 70.00	12/06/13	-\$237.83	\$0.00	\$0.00
11/08/13	ASSET RELATED - CALL WRITE QCOM @ 72.00	11/29/13 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.19	-\$19.83		
	Total Asset Related - CALL WRITE QCOM @ 72.00	11/29/13	-\$19.83	\$0.00	\$0.00



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements (continued)					
ASSET RELATED - CALL WRITE QCOM @ 73.00	12/13/13	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.4	- \$140.83		
Total Asset Related - CALL WRITE QCOM @ 73.00			- \$140.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE QCOM @ 74.00	12/13/13	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.19	- \$19.83		
Total Asset Related - CALL WRITE QCOM @ 74.00			- \$19.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 66.00	1/18/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.75	- \$151.67		
Total Asset Related - CALL WRITE VNQ @ 66.00			- \$151.67	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 67.00	2/22/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.639	- \$234.46		
Total Asset Related - CALL WRITE VNQ @ 67.00			- \$234.46	\$0.00	\$0.00





Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE VNQ @ 67.00 12/13/13		12/21/13 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1	- \$10.83		
Total Asset Related - CALL WRITE VNQ @ 67.00	12/21/13		- \$10.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 68.00 12/13/13		1/18/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.15	- \$31.66		
Total Asset Related - CALL WRITE VNQ @ 68.00	1/18/14		- \$31.66	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 68.00 02/14/14		3/22/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.75	- \$175.83		
Total Asset Related - CALL WRITE VNQ @ 68.00	3/22/14		- \$175.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 68.00 12/02/13		12/21/13 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.25	- \$25.83		
Total Asset Related - CALL WRITE VNQ @ 68.00	12/21/13		- \$25.83	\$0.00	\$0.00

87 of 137

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014
Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
03/05/14	ASSET RELATED - CALL WRITE VNQ @ 69.00	3/22/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2.8	-\$561.67		
	Total Asset Related - CALL WRITE VNQ @ 69.00	3/22/14	-\$561.67	\$0.00	\$0.00
11/25/13	ASSET RELATED - CALL WRITE VNQ @ 69.00	12/21/13 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.15	-\$31.66		
	Total Asset Related - CALL WRITE VNQ @ 69.00	12/21/13	-\$31.66	\$0.00	\$0.00
10/28/13	ASSET RELATED - CALL WRITE VNQ @ 70.00	12/21/13 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2.05	-\$411.66		
	Total Asset Related - CALL WRITE VNQ @ 70.00	12/21/13	-\$411.66	\$0.00	\$0.00
04/21/14	ASSET RELATED - CALL WRITE VNQ @ 71.00	4/19/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.63	-\$63.83		
	Total Asset Related - CALL WRITE VNQ @ 71.00	4/19/14	-\$63.83	\$0.00	\$0.00





Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE VNQ @ 72.00 11/01/13		11/16/13 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1	- \$10.83		
Total Asset Related - CALL WRITE VNQ @ 72.00	11/16/13		- \$10.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 72.00 11/12/13		12/21/13 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1	- \$10.83		
Total Asset Related - CALL WRITE VNQ @ 72.00	12/21/13		- \$10.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 73.00 05/09/14		5/17/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.3153	- \$132.36		
Total Asset Related - CALL WRITE VNQ @ 73.00	5/17/14		- \$132.36	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VNQ @ 73.00 11/06/13		12/21/13 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.2	- \$20.83		
Total Asset Related - CALL WRITE VNQ @ 73.00	12/21/13		- \$20.83	\$0.00	\$0.00

89 of 137

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
06/09/14	ASSET RELATED - CALL WRITE VNQ @ 74.00	6/21/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 2.296	- \$460.89		
	Total Asset Related - CALL WRITE VNQ @ 74.00	6/21/14	- \$460.89	\$0.00	\$0.00
06/23/14	ASSET RELATED - CALL WRITE VNQ @ 75.00	6/21/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.5493	- \$55.77		
	Total Asset Related - CALL WRITE VNQ @ 75.00	6/21/14	- \$55.77	\$0.00	\$0.00
06/24/14	ASSET RELATED - CALL WRITE VNQ @ 75.00	7/19/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.65	- \$197.51		
	Total Asset Related - CALL WRITE VNQ @ 75.00	7/19/14	- \$197.51	\$0.00	\$0.00
09/15/14	ASSET RELATED - CALL WRITE VNQ @ 77.00	9/20/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.05	- \$5.83		
	Total Asset Related - CALL WRITE VNQ @ 77.00	9/20/14	- \$5.83	\$0.00	\$0.00

90 of 137

THE PRIVATE BANK



003156



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements (continued)					
ASSET RELATED - CALL WRITE VWO @ 40.00 03/18/14	3/22/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.0198	-\$33.93		
Total Asset Related - CALL WRITE VWO @ 40.00	3/22/14		-\$33.93	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 40.00 04/16/14	4/19/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.54	-\$658.07		
Total Asset Related - CALL WRITE VWO @ 40.00	4/19/14		-\$658.07	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 40.00 03/31/14	5/17/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.4491	-\$1,749.04		
Total Asset Related - CALL WRITE VWO @ 40.00	5/17/14		-\$1,749.04	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 41.00 01/27/14	2/07/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.05	-\$46.71		
Total Asset Related - CALL WRITE VWO @ 41.00	2/07/14		-\$46.71	\$0.00	\$0.00



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014
Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE VWO @ 41.00					
01/27/14		2/22/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1	- \$10.83		
01/28/14		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1	- 54.19		
Total Asset Related - CALL WRITE VWO @ 41.00	2/22/14		- \$65.02	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 42.00					
12/24/13		1/03/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.05	- \$52.48		
Total Asset Related - CALL WRITE VWO @ 42.00	1/03/14		- \$52.48	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 42.00					
01/03/14		1/18/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1	- \$86.71		
Total Asset Related - CALL WRITE VWO @ 42.00	1/18/14		- \$86.71	\$0.00	\$0.00





Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
01/10/14	ASSET RELATED - CALL WRITE VWO @ 42.00	2/22/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.15	- \$142.55		
	Total Asset Related - CALL WRITE VWO @ 42.00	2/22/14	- \$142.55	\$0.00	\$0.00
05/15/14	ASSET RELATED - CALL WRITE VWO @ 42.00	5/17/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.5313	- \$647.63		
	Total Asset Related - CALL WRITE VWO @ 42.00	5/17/14	- \$647.63	\$0.00	\$0.00
06/09/14	ASSET RELATED - CALL WRITE VWO @ 42.00	6/21/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.5915	- \$1,919.92		
	Total Asset Related - CALL WRITE VWO @ 42.00	6/21/14	- \$1,919.92	\$0.00	\$0.00
11/19/13	ASSET RELATED - CALL WRITE VWO @ 42.00	12/21/13 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.25	- \$1,258.35		
	Total Asset Related - CALL WRITE VWO @ 42.00	12/21/13	- \$1,258.35	\$0.00	\$0.00

93 of 137

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements (continued)					
ASSET RELATED - CALL WRITE VWO @ 43.00 11/19/13	1/18/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.0	-\$100.83		
Total Asset Related - CALL WRITE VWO @ 43.00	1/18/14		-\$100.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 43.00 11/08/13	11/29/13	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1495	-\$157.81		
Total Asset Related - CALL WRITE VWO @ 43.00	11/29/13		-\$157.81	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 44.00 12/13/13	1/18/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.15	-\$110.84		
Total Asset Related - CALL WRITE VWO @ 44.00	1/18/14		-\$110.84	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 44.00 07/21/14	7/19/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.35	-\$430.07		
Total Asset Related - CALL WRITE VWO @ 44.00	7/19/14		-\$430.07	\$0.00	\$0.00

94 of 137

THE PRIVATE BANK



003162



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements (continued)					
ASSET RELATED - CALL WRITE VWO @ 44.00					
11/08/13		12/21/13 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.15	- \$31.66		
11/21/13		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.15	- 174.18		
Total Asset Related - CALL WRITE VWO @ 44.00	12/21/13		- \$205.84	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 45.00					
08/08/14		8/16/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.05	- \$70.05		
Total Asset Related - CALL WRITE VWO @ 45.00	8/16/14		- \$70.05	\$0.00	\$0.00
ASSET RELATED - CALL WRITE VWO @ 45.50					
09/02/14		8/29/14 PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.1	- \$130.05		
Total Asset Related - CALL WRITE VWO @ 45.50	8/29/14		- \$130.05	\$0.00	\$0.00



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CALL WRITE WWAV @ 25.00	1/18/14				
12/24/13		PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.05	- \$5.83		
Total Asset Related - CALL WRITE WWAV @ 25.00					
	1/18/14		- \$5.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE WWAV @ 30.00					
05/29/14	6/21/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.8	- \$180.83		
Total Asset Related - CALL WRITE WWAV @ 30.00					
	6/21/14		- \$180.83	\$0.00	\$0.00
ASSET RELATED - CALL WRITE WWAV @ 35.00					
09/10/14	9/20/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 1.9463	- \$195.47		
Total Asset Related - CALL WRITE WWAV @ 35.00					
	9/20/14		- \$195.47	\$0.00	\$0.00
ASSET RELATED - CALL WRITE WWAV @ 40.00					
09/18/14	10/18/14	PAID TO UBS CALL WRITE OPTION BUY TO CLOSE WITH UBS @ 0.7	- \$70.84		
Total Asset Related - CALL WRITE WWAV @ 40.00					
	10/18/14		- \$70.84	\$0.00	\$0.00



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600



TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Disbursements <i>(continued)</i>					
ASSET RELATED - CHICAGO BRIDGE & IRON COMPANY N.V.					
12/31/13		PAID TO TAX WITHHOLDING FOREIGN TAX SYMBOL: CBI CUSIP: 167250109 15.0% W/H ON 26 SHRS		- \$0.20	
03/31/14		PAID TO TAX WITHHOLDING FOREIGN TAX SYMBOL: CBI CUSIP: 167250109 15.0% W/H ON 26 SHRS		- 0.27	
06/30/14		PAID TO TAX WITHHOLDING FOREIGN TAX SYMBOL: CBI CUSIP: 167250109 15.0% W/H ON 32 SHRS		- 0.34	
09/30/14		PAID TO TAX WITHHOLDING FOREIGN TAX SYMBOL: CBI CUSIP: 167250109 15.0% W/H ON 32 SHRS		- 0.34	
Total Asset Related - CHICAGO BRIDGE & IRON COMPANY N.V.			\$0.00	-\$1.15	\$0.00
Total Asset Related			- \$17,041.13	-\$12.68	\$0.00
Total Cash Disbursements			-\$111,422.17	-\$12.68	\$0.00



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Received (continued)					
09/12/14	764.769	RECEIVED 764.769 SHARES OF MERGER FUND-INST #301 CUSIP: 589509207 VALUE: \$12,649.27 share class exchange from 589509108			12,000.00
09/12/14	16.634	RECEIVED 16.634 SHARES OF MERGER FUND-INST #301 CUSIP: 589509207 VALUE: \$275.13 share class exchange from 589509108			265.00
Total Other Assets Received					
		Value: \$19,888.73	\$0.00	\$0.00	\$12,290.50
Total Assets Received					
		Value: \$19,888.73	\$0.00	\$0.00	\$12,290.50
Assets Disbursed					
12/04/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE IJJ @ 116.00 12/21/13 VALUE: \$-30.00 BUY TO CLOSE WITH UBS @ 0.3			\$79.17
05/20/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE IJJ @ 123.00 5/17/14 VALUE: \$-15.00 OPTION EXPIRED			84.17
06/18/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE IJJ @ 124.00 6/21/14 VALUE: \$-165.00 BUY TO CLOSE WITH UBS @ 1.65			49.41

110 of 137

THE PRIVATE BANK



003178



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed (continued)					
OTHER ASSETS DELIVERED (continued)					
11/14/13	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE IJK @ 141.00 11/16/13 VALUE: \$-720.00 BUY TO CLOSE WITH UBS @ 3.6			258.33
12/12/13	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE IJK @ 148.00 12/21/13 VALUE: \$-50.00 BUY TO CLOSE WITH UBS @ 0.25			188.34
01/17/14	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE IJK @ 149.00 1/18/14 VALUE: \$-410.00 BUY TO CLOSE WITH UBS @ 2.05			138.34
02/25/14	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE IJK @ 150.00 3/22/14 VALUE: \$-922.00 BUY TO CLOSE WITH UBS @ 4.61			218.33
02/07/14	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE IJK @ 155.00 2/22/14 VALUE: \$-20.00 BUY TO CLOSE WITH UBS @ 0.1			128.33
06/23/14	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE IJK @ 155.00 6/21/14 VALUE: \$-310.00 BUY TO CLOSE WITH UBS @ 1.55			176.86
03/25/14	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE IJK @ 157.00 3/22/14 VALUE: \$-30.00 OPTION EXPIRED			122.33
07/22/14	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE IJK @ 158.00 7/19/14 VALUE: \$-10.00 OPTION EXPIRED			128.33

111 of 137

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed (continued)					
OTHER ASSETS DELIVERED (continued)					
04/28/14	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE IJK @ 160.00 6/21/14 VALUE: \$-46.00 BUY TO CLOSE WITH UBS @ 0.23			258.32
12/12/13	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE IJR @ 107.00 12/21/13 VALUE: \$-60.00 BUY TO CLOSE WITH UBS @ 0.2832			158.34
02/25/14	- 300.000	DISTRIBUTED -300 UNITS OF CALL WRITE IJR @ 108.00 3/22/14 VALUE: \$-711.00 BUY TO CLOSE WITH UBS @ 2.37			147.49
01/15/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE IJR @ 109.00 1/18/14 VALUE: \$-20.00 BUY TO CLOSE WITH UBS @ 0.2			59.17
06/10/14	- 300.000	DISTRIBUTED -300 UNITS OF CALL WRITE IJR @ 109.00 6/21/14 VALUE: \$-915.00 BUY TO CLOSE WITH UBS @ 3.0434			162.49
02/04/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE IJR @ 110.00 2/22/14 VALUE: \$-10.00 BUY TO CLOSE WITH UBS @ 0.1			84.16
02/04/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE IJR @ 111.00 2/22/14 VALUE: \$-10.00 BUY TO CLOSE WITH UBS @ 0.091			54.17
04/22/14	- 300.000	DISTRIBUTED -300 UNITS OF CALL WRITE IJR @ 112.00 4/19/14 VALUE: \$-75.00 OPTION EXPIRED			288.48

112 of 137

THE PRIVATE BANK



003180



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed <i>(continued)</i>					
OTHER ASSETS DELIVERED <i>(continued)</i>					
07/11/14	- 300.000	DISTRIBUTED -300 UNITS OF CALL WRITE IJR @ 113.00 7/19/14 VALUE: \$-30.00 BUY TO CLOSE WITH UBS @ 0.1			312.69
07/30/14	- 300.000	DISTRIBUTED -300 UNITS OF CALL WRITE IJR @ 113.00 8/16/14 VALUE: \$-36.00 BUY TO CLOSE WITH UBS @ 0.12			193.96
09/23/14	- 300.000	DISTRIBUTED -300 UNITS OF CALL WRITE IJR @ 113.00 9/20/14 VALUE: \$-45.00 OPTION EXPIRED			213.49
11/07/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE MSFT @ 37.00 12/21/13 VALUE: \$-163.00 BUY TO CLOSE WITH UBS @ 1.269			39.17
12/17/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE MSFT @ 38.00 12/21/13 VALUE: \$-16.00 BUY TO CLOSE WITH UBS @ 0.2			51.17
02/06/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE MSFT @ 38.00 2/22/14 VALUE: \$-9.00 BUY TO CLOSE WITH UBS @ 0.1008			60.50
03/12/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE MSFT @ 38.00 3/22/14 VALUE: \$-41.00 BUY TO CLOSE WITH UBS @ 0.54			35.45
11/14/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE MSFT @ 39.00 12/21/13 VALUE: \$-54.00 BUY TO CLOSE WITH UBS @ 0.2834			44.17

113 of 137

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed (continued)					
OTHER ASSETS DELIVERED (continued)					
03/24/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE MSFT @ 39.00 4/19/14 VALUE: \$-155.00 BUY TO CLOSE WITH UBS @ 1.7294			51.17
04/11/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE MSFT @ 41.00 4/19/14 VALUE: \$-8.00 BUY TO CLOSE WITH UBS @ 0.08			55.11
05/02/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE MSFT @ 42.00 5/17/14 VALUE: \$-6.00 BUY TO CLOSE WITH UBS @ 0.06			24.17
07/18/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE MSFT @ 42.00 7/19/14 VALUE: \$-253.00 BUY TO CLOSE WITH UBS @ 2.6145			51.17
09/18/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE MSFT @ 45.00 10/18/14 VALUE: \$-180.00 BUY TO CLOSE WITH UBS @ 1.8			66.17
08/04/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE MSFT @ 47.00 9/20/14 VALUE: \$-18.00 BUY TO CLOSE WITH UBS @ 0.18			52.80
10/24/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE ORCL @ 34.00 11/16/13 VALUE: \$-10.00 BUY TO CLOSE WITH UBS @ 0.09			27.17
11/19/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE ORCL @ 34.00 11/22/13 VALUE: \$-103.00 BUY TO CLOSE WITH UBS @ 1.0			23.91



003182



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed <i>(continued)</i>					
OTHER ASSETS DELIVERED <i>(continued)</i>					
12/13/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE ORCL @ 36.00 12/21/13 VALUE: \$-11.00 BUY TO CLOSE WITH UBS @ 0.14			46.25
01/28/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE ORCL @ 39.00 2/22/14 VALUE: \$-8.00 BUY TO CLOSE WITH UBS @ 0.08			35.17
03/24/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE ORCL @ 41.00 4/19/14 VALUE: \$-6.00 BUY TO CLOSE WITH UBS @ 0.05			36.17
04/29/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE ORCL @ 42.00 6/21/14 VALUE: \$-56.00 BUY TO CLOSE WITH UBS @ 0.54			51.78
11/05/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE QCOM @ 70.00 11/16/13 VALUE: \$-139.00 BUY TO CLOSE WITH UBS @ 1.33			66.82
11/18/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE QCOM @ 70.00 12/06/13 VALUE: \$-251.00 BUY TO CLOSE WITH UBS @ 2.37			56.17
11/08/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE QCOM @ 72.00 11/29/13 VALUE: \$-13.00 BUY TO CLOSE WITH UBS @ 0.19			94.17
12/02/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE QCOM @ 73.00 12/13/13 VALUE: \$-132.00 BUY TO CLOSE WITH UBS @ 1.4			35.17

115 of 137

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed <i>(continued)</i>					
OTHER ASSETS DELIVERED <i>(continued)</i>					
11/22/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE QCOM @ 74.00 12/13/13 VALUE: \$-17.00 BUY TO CLOSE WITH UBS @ 0.19			58.17
01/17/14	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 66.00 1/18/14 VALUE: \$-150.00 BUY TO CLOSE WITH UBS @ 0.75			68.34
12/13/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE VNQ @ 67.00 12/21/13 VALUE: \$-10.00 BUY TO CLOSE WITH UBS @ 0.1			24.17
02/10/14	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 67.00 2/22/14 VALUE: \$-208.00 BUY TO CLOSE WITH UBS @ 1.1639			78.33
12/02/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE VNQ @ 68.00 12/21/13 VALUE: \$-25.00 BUY TO CLOSE WITH UBS @ 0.25			69.17
12/13/13	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 68.00 1/18/14 VALUE: \$-30.00 BUY TO CLOSE WITH UBS @ 0.15			82.84
02/14/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE VNQ @ 68.00 3/22/14 VALUE: \$-175.00 BUY TO CLOSE WITH UBS @ 1.75			44.17
11/25/13	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 69.00 12/21/13 VALUE: \$-30.00 BUY TO CLOSE WITH UBS @ 0.15			73.34





Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed (continued)					
OTHER ASSETS DELIVERED (continued)					
03/05/14	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 69.00 3/22/14 VALUE: \$-560.00 BUY TO CLOSE WITH UBS @ 2.8			113.70
10/28/13	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 70.00 12/21/13 VALUE: \$-420.00 BUY TO CLOSE WITH UBS @ 2.05			138.34
04/21/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE VNQ @ 71.00 4/19/14 VALUE: \$-63.00 BUY TO CLOSE WITH UBS @ 0.63			54.17
11/01/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE VNQ @ 72.00 11/16/13 VALUE: \$-10.00 BUY TO CLOSE WITH UBS @ 0.1			29.17
11/12/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE VNQ @ 72.00 12/21/13 VALUE: \$-5.00 BUY TO CLOSE WITH UBS @ 0.1			29.26
11/06/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE VNQ @ 73.00 12/21/13 VALUE: \$-20.00 BUY TO CLOSE WITH UBS @ 0.2			59.17
05/09/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE VNQ @ 73.00 5/17/14 VALUE: \$-132.00 BUY TO CLOSE WITH UBS @ 1.3153			27.17
06/09/14	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE VNQ @ 74.00 6/21/14 VALUE: \$-460.00 BUY TO CLOSE WITH UBS @ 2.296			128.33

117 of 137

THE PRIVATE BANK



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed <i>(continued)</i>					
OTHER ASSETS DELIVERED <i>(continued)</i>					
06/23/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE VWQ @ 75.00 6/21/14 VALUE: \$-55.00 BUY TO CLOSE WITH UBS @ 0.5493			54.40
06/24/14	- 300.000	DISTRIBUTED -300 UNITS OF CALL WRITE VWQ @ 75.00 7/19/14 VALUE: \$-195.00 BUY TO CLOSE WITH UBS @ 0.65			102.49
09/15/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE VWQ @ 77.00 9/20/14 VALUE: \$-5.00 BUY TO CLOSE WITH UBS @ 0.05			59.17
03/18/14	- 1,200.000	DISTRIBUTED -1,200 UNITS OF CALL WRITE VWQ @ 40.00 3/22/14 VALUE: \$-60.00 BUY TO CLOSE WITH UBS @ 0.0198			470.02
03/31/14	- 1,200.000	DISTRIBUTED -1,200 UNITS OF CALL WRITE VWQ @ 40.00 5/17/14 VALUE: \$-1,740.00 BUY TO CLOSE WITH UBS @ 1.4491			651.22
04/16/14	- 1,200.000	DISTRIBUTED -1,200 UNITS OF CALL WRITE VWQ @ 40.00 4/19/14 VALUE: \$-720.00 BUY TO CLOSE WITH UBS @ 0.54			649.93
01/27/14	- 800.000	DISTRIBUTED -800 UNITS OF CALL WRITE VWQ @ 41.00 2/07/14 VALUE: \$-40.00 BUY TO CLOSE WITH UBS @ 0.05			193.29
01/27/14	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE VWQ @ 41.00 2/22/14 VALUE: \$-10.00 BUY TO CLOSE WITH UBS @ 0.1			39.16





Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed (continued)					
OTHER ASSETS DELIVERED (continued)					
01/28/14	- 500.000	DISTRIBUTED -500 UNITS OF CALL WRITE WVO @ 41.00 2/22/14 VALUE: \$-50.00 BUY TO CLOSE WITH UBS @ 0.1			195.81
11/19/13	- 1,000.000	DISTRIBUTED -1,000 UNITS OF CALL WRITE WVO @ 42.00 12/21/13 VALUE: \$-950.00 BUY TO CLOSE WITH UBS @ 1.25			323.84
12/24/13	- 900.000	DISTRIBUTED -900 UNITS OF CALL WRITE WVO @ 42.00 1/03/14 VALUE: \$-45.00 BUY TO CLOSE WITH UBS @ 0.05			217.49
01/03/14	- 800.000	DISTRIBUTED -800 UNITS OF CALL WRITE WVO @ 42.00 1/18/14 VALUE: \$-80.00 BUY TO CLOSE WITH UBS @ 0.1			233.32
01/10/14	- 900.000	DISTRIBUTED -900 UNITS OF CALL WRITE WVO @ 42.00 2/22/14 VALUE: \$-135.00 BUY TO CLOSE WITH UBS @ 0.15			352.48
05/15/14	- 1,200.000	DISTRIBUTED -1,200 UNITS OF CALL WRITE WVO @ 42.00 5/17/14 VALUE: \$-636.00 BUY TO CLOSE WITH UBS @ 0.5313			349.92
06/09/14	- 1,200.000	DISTRIBUTED -1,200 UNITS OF CALL WRITE WVO @ 42.00 6/21/14 VALUE: \$-1,788.00 BUY TO CLOSE WITH UBS @ 1.5915			891.06
11/08/13	- 1,000.000	DISTRIBUTED -1,000 UNITS OF CALL WRITE WVO @ 43.00 11/29/13 VALUE: \$-150.00 BUY TO CLOSE WITH UBS @ 0.1495			292.73



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed <i>(continued)</i>					
OTHER ASSETS DELIVERED <i>(continued)</i>					
11/19/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE VWO @ 43.00 1/18/14 VALUE: \$-100.00 BUY TO CLOSE WITH UBS @ 1.0			49.17
11/08/13	- 200.000	DISTRIBUTED -200 UNITS OF CALL WRITE VWO @ 44.00 12/21/13 VALUE: \$-30.00 BUY TO CLOSE WITH UBS @ 0.15			78.34
11/21/13	- 1,100.000	DISTRIBUTED -1,100 UNITS OF CALL WRITE VWO @ 44.00 12/21/13 VALUE: \$-121.00 BUY TO CLOSE WITH UBS @ 0.15			320.81
12/13/13	- 700.000	DISTRIBUTED -700 UNITS OF CALL WRITE VWO @ 44.00 1/18/14 VALUE: \$-77.00 BUY TO CLOSE WITH UBS @ 0.15			239.16
07/21/14	- 1,200.000	DISTRIBUTED -1,200 UNITS OF CALL WRITE VWO @ 44.00 7/19/14 VALUE: \$-240.00 BUY TO CLOSE WITH UBS @ 0.35			378.70
08/08/14	- 1,200.000	DISTRIBUTED -1,200 UNITS OF CALL WRITE VWO @ 45.00 8/16/14 VALUE: \$-60.00 BUY TO CLOSE WITH UBS @ 0.05			403.12
09/02/14	- 1,200.000	DISTRIBUTED -1,200 UNITS OF CALL WRITE VWO @ 45.50 8/29/14 VALUE: \$-120.00 BUY TO CLOSE WITH UBS @ 0.1			349.92
12/24/13	- 100.000	DISTRIBUTED -100 UNITS OF CALL WRITE WVAV @ 25.00 1/18/14 VALUE: \$-5.00 BUY TO CLOSE WITH UBS @ 0.05			29.17

120 of 137

THE PRIVATE BANK



003188



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014
Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Assets Disbursed (continued)					
OTHER ASSETS DELIVERED (continued)					
05/29/14	- 100 000	DISTRIBUTED - 100 UNITS OF CALL WRITE WWAV @ 30.00 6/21/14 VALUE: \$-175.00 BUY TO CLOSE WITH UBS @ 1.8			39.17
09/10/14	- 100.000	DISTRIBUTED - 100 UNITS OF CALL WRITE WWAV @ 35.00 9/20/14 VALUE \$-177.00 BUY TO CLOSE WITH UBS @ 1.9463			54.17
09/18/14	- 100 000	DISTRIBUTED - 100 UNITS OF CALL WRITE WWAV @ 40.00 10/18/14 VALUE: \$-70.00 BUY TO CLOSE WITH UBS @ 0.7			43.80
11/13/13	20.000	NAME CHANGE 20 SHARES OF INTERCONTINENTALEXCHANGE INC NAME CHANGED TO INTERCONTINENTALEXCHANGE GROUP INC EFFECTIVE DATE 11/13/13 CUSIP: 45865V100 VALUE: \$3,956.00			12,648.23
09/12/14	1,493.032	DISTRIBUTED 1,493.032 SHARES OF MERGER FD 5H BEN INT #260 CUSIP 589509108 VALUE: \$24,724.61 share class exchange to 589509207			- 1,290.40
		Value: \$12,259.61	\$0.00	\$0.00	- \$12,290.50
		Value: \$12,259.61	\$0.00	\$0.00	- \$12,290.50



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Sweep Activity					
09/30/14	199,270.710	SUMMARY OF PURCHASE TRANSACTIONS	- \$186,766.52	- \$12,504.19	\$199,270.71
09/30/14	195,038.230	SUMMARY OF SALES TRANSACTIONS	185,489.23	9,549.00	- 195,038.23
Total Cash Sweep Activity			- \$1,277.29	- \$2,955.19	\$4,232.48
Miscellaneous					
COST BASIS ADJUSTMENTS					
04/09/14		SPIN OFF FEDERAL COST BASIS OF GOOGLE INC ADJUSTED BY 429.61 - USD OLD: 860.60 USD/NEW: 430.99 USD SYMBOL: GOOGL CUSIP: 38259P508			- \$429.61
04/09/14		SPIN OFF FEDERAL COST BASIS OF GOOGLE INC ADJUSTED BY 1,814.76- USD OLD: 3,635.34 USD/NEW: 1,820.58 USD SYMBOL: GOOGL CUSIP: 38259P508			- 1,814.76
04/09/14		SPIN OFF FEDERAL COST BASIS OF GOOGLE INC-CL C ADJUSTED BY 429.61 USD OLD: 0.00 USD/NEW: 429.61 USD SYMBOL: GOOG CUSIP: 38259P706			429.61
04/09/14		SPIN OFF FEDERAL COST BASIS OF GOOGLE INC-CL C ADJUSTED BY 1,814.76 USD OLD: 0.00 USD/NEW: 1,814.76 USD SYMBOL: GOOG CUSIP: 38259P706			1,814.76
08/19/14		FED BASIS OF LAS VEGAS SANDS CORP ADJUSTED BY 9.87- USD OLD: 705.90 USD/NEW: 696.03 USD SYMBOL: LVS CUSIP: 517834107 2013 RETURN OF CAPITAL			- 9.87
08/19/14		FED BASIS OF LAS VEGAS SANDS CORP ADJUSTED BY 1973- USD OLD: 1,525.28 USD/NEW: 1,505.55 USD SYMBOL: LVS CUSIP: 517834107 2013 RETURN OF CAPITAL			- 19.73

122 of 137

THE PRIVATE BANK



003190



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL *(continued)*

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Miscellaneous <i>(continued)</i>					
COST BASIS ADJUSTMENTS <i>(continued)</i>					
08/19/14		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 75.20- USD OLD: 3,103.54 USD/NEW: 3,028.34 USD CUSIP: 922908553 2013 RETURN OF CAPITAL			- 75.20
08/19/14		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 40.94- USD OLD: 1,689.70 USD/NEW: 1,648.76 USD CUSIP: 922908553 2013 RETURN OF CAPITAL			- 40.94
08/19/14		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 1.67- USD OLD 66.24 USD/NEW: 64.57 USD CUSIP: 922908553 2013 RETURN OF CAPITAL			- 1.67
08/19/14		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 86.90- USD OLD: 3,444.42 USD/NEW: 3,357.52 USD CUSIP: 922908553 2013 RETURN OF CAPITAL			- 86.90
08/19/14		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 83.56- USD OLD: 3,311.95 USD/NEW: 3,228.39 USD CUSIP: 922908553 2013 RETURN OF CAPITAL			- 83.56
08/19/14		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 2.51- USD OLD: 99.36 USD/NEW: 96.85 USD CUSIP: 922908553 2013 RETURN OF CAPITAL			- 2.51



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
08/19/14		Miscellaneous (continued) COST BASIS ADJUSTMENTS (continued)			
08/19/14		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 36.77- USD OLD: 3,061.52 USD/NEW: 3,024.75 USD CUSIP: 922908553 2013 RETURN OF CAPITAL			- 36.77
08/19/14		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 83.56- USD OLD: 3,448.37 USD/NEW: 3,364.81 USD CUSIP: 922908553 2013 RETURN OF CAPITAL			- 83.56
08/19/14		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 3.34- USD OLD: 132.48 USD/NEW: 129.14 USD CUSIP: 922908553 2013 RETURN OF CAPITAL			- 3.34
08/19/14		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 5.85- USD OLD: 231.83 USD/NEW: 225.98 USD CUSIP: 922908553 2013 RETURN OF CAPITAL			- 5.85
08/19/14		FED BASIS OF VANGUARD REIT VIPER ADJUSTED BY 0.84- USD OLD: 33.12 USD/NEW: 32.28 USD CUSIP: 922908553 2013 RETURN OF CAPITAL			- 0.84
		Total Cost Basis Adjustments	\$0.00	\$0.00	- \$450.74



003192



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
01/28/14		WHOLE FOODS MKT INC DIVIDEND ON 51,000 SHARES AT \$0.1200 PER SHARE SYMBOL: WFM CUSIP: 966837106		6.12	
04/22/14		WHOLE FOODS MKT INC DIVIDEND ON 51,000 SHARES AT \$0.1200 PER SHARE SYMBOL: WFM CUSIP: 966837106		6.12	
				\$17,154.86	\$0.00
				\$17,156.46	\$0.00
				\$17,156.46	\$0.00

Total Taxable Dividends

Total Taxable

Total Taxable & Tax Exempt

Cash Receipts

MISCELLANEOUS

12/24/13

AQR MANAGED FUTURES STR-I #15213
LONG TERM CAPITAL GAIN
DIVIDEND ON 1,739.158 SHARES
AT \$0.09617 PER SHARE
CUSIP: 00203H859

\$167.26

12/24/13

AQR MANAGED FUTURES STR-I #15213
SHORT TERM CAPITAL GAIN
DIVIDEND ON 1,739.158 SHARES
AT \$0.01122 PER SHARE
CUSIP: 00203H859

19.51





Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Receipts (continued)					
MISCELLANEOUS (continued)					
12/31/13		ASG GLOBAL ALTERNATIVES-Y #1993 LONG TERM CAPITAL GAIN DIVIDEND ON 3,991,441 SHARES AT \$0.3330 PER SHARE CUSIP: 63872T885	1,329.15		
12/31/13		ASG GLOBAL ALTERNATIVES-Y #1993 SHORT TERM CAPITAL GAIN DIVIDEND ON 3,994,740 SHARES AT \$0.3460 PER SHARE CUSIP: 63872T885	1,382.18		
04/10/14		ASG GLOBAL ALTERNATIVES-Y #1993 LONG TERM CAPITAL GAIN DIVIDEND ON 3,998,515 SHARES AT \$0.2020 PER SHARE CUSIP: 63872T885	807.70		
04/10/14		ASG GLOBAL ALTERNATIVES-Y #1993 SHORT TERM CAPITAL GAIN DIVIDEND ON 3,994,667 SHARES AT \$0.1500 PER SHARE CUSIP: 63872T885	599.20		
12/26/13		HSBC FRONTIER MARKETS-I LONG TERM CAPITAL GAIN DIVIDEND ON 766,437 SHARES AT \$0.361176 PER SHARE SYMBOL: HSFIX CUSIP: 40428X222	276.82		
12/26/13		HSBC FRONTIER MARKETS-I SHORT TERM CAPITAL GAIN DIVIDEND ON 766,437 SHARES AT \$0.039874 PER SHARE SYMBOL: HSFIX CUSIP: 40428X222	30.56		



Account Statement For:
MILLER, NORMAN FAMILY FDN INC. - IMA

Period Covered: October 1, 2013 - September 30, 2014

Account Number 73156600

TRANSACTION DETAIL (continued)

DATE	QUANTITY	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST BASIS
Cash Receipts (continued)					
MISCELLANEOUS (continued)					
12/31/13		MERGER FD SH BEN INT #260 SHORT TERM CAPITAL GAIN DIVIDEND ON 1,124,357 SHARES AT \$0.07947 PER SHARE CUSIP: 589509108	89.35		
12/20/13		ROBECO BP LNG/SHRT RES-INS LONG TERM CAPITAL GAIN DIVIDEND ON 3,427,091 SHARES AT \$0.0550 PER SHARE CUSIP: 74925K581	188.49		
Total Miscellaneous			\$4,890.22	\$0.00	\$0.00
Total Cash Receipts			\$4,890.22	\$17,156.46	\$0.00

Cash Disbursements

PAID TO/FOR - NORMAN MILLER FAMILY FOUNDATION INC

10/28/13		PAID TO MANAGEMENT ENTERPRISES INC DIST TO/FOR BENE/CLIENT ACH TRANSFER FROM MILLER, NORMAN FAMILY FDN	- \$2,698.42		
11/29/13		PAID TO MANAGEMENT ENTERPRISES INC DIST TO/FOR BENE/CLIENT ACH TRANSFER FROM MILLER, NORMAN FAMILY FDN	- 2,698.42		
12/30/13		PAID TO MANAGEMENT ENTERPRISES INC DIST TO/FOR BENE/CLIENT ACH TRANSFER FROM MILLER, NORMAN FAMILY FDN	- 2,698.42		
01/28/14		PAID TO MANAGEMENT ENTERPRISES INC DIST TO/FOR BENE/CLIENT ACH TRANSFER FROM MILLER, NORMAN FAMILY FDN	- 2,698.42		

