Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning 10/1/2013 **, and ending** 9/30/2014

Name of foundation The Wasserstrom Foundation			**A Employer identification number** 31-6041116
Number and street (or P O box number if mail is not delivered to street address) 477 S FRONT ST	Room/suite		**B** Telephone number (see instructions) 614-228-6525
City or town COLUMBUS	State OH	ZIP code 43215	
Foreign country name	Foreign province/state/county	Foreign postal code	**C** If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 4,926,821

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) ______________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	319,500			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	109	109		
	4	Dividends and interest from securities	141,524	141,524		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	11,295			
	b	Gross sales price for all assets on line 6a 270,791				
	7	Capital gain net income (from Part IV, line 2)		11,295		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	472,428	152,928	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	1,652	83		1,569
	c	Other professional fees (attach schedule)	24,965			
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,473	1,473		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	1,394	1,394		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	29,484	2,950	0	1,569
	25	Contributions, gifts, grants paid	229,100			229,100
	26	**Total expenses and disbursements.** Add lines 24 and 25	258,584	2,950	0	230,669
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	213,844			
	b	**Net investment income** (if negative, enter -0-)		149,978		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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For Paperwork Reduction Act Notice, see instructions.

HTA

Part II		**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	5,898	72,174	72,174
	2	Savings and temporary cash investments	1,808,940	1,936,823	2,302,403
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less· allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,007,657	989,618	1,672,603
	c	Investments—corporate bonds (attach schedule)	822,934	860,658	879,641
	11	Investments—land, buildings, and equipment basis ▶			
		Less· accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	3,645,429	3,859,273	4,926,821
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☒ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	3,645,429	3,859,273	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	3,645,429	3,859,273	
	31	**Total liabilities and net assets/fund balances** (see instructions)	3,645,429	3,859,273	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,645,429
2	Enter amount from Part I, line 27a	2	213,844
3	Other increases not included in line 2 (itemize) ▶ Gain on Securities	3	
4	Add lines 1, 2, and 3	4	3,859,273
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,859,273

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached stmt #6			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	270,791		259,496	11,295
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				11,295
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	11,295
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	403,369	3,599,911	0 112050
2011	267,988	3,446,962	0 077746
2010	232,383	3,646,160	0 063734
2009	137,641	3,275,354	0 042023
2008	151,966	2,787,627	0 054514

2	**Total** of line 1, column (d)	2	0 350067
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 070013
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	3,556,552
5	Multiply line 4 by line 3	5	249,005
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,500
7	Add lines 5 and 6	7	250,505
8	Enter qualifying distributions from Part XII, line 4	8	230,669

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	3,000
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	3,000
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	3,000
6	Credits/Payments.		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	0
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	1
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	3,001
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	0
11	Enter the amount of line 10 to be. **Credited to 2014 estimated tax** ▶ | **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______________ **(2)** On foundation managers ▶ $ ______________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ www wasserstrom com

14 The books are in care of ▶ The Wasserstrom Company Telephone no ▶ 614-228-6525

Located at ▶ 477 South Front Street Columbus OH ZIP+4 ▶ 43215

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		5b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*		6b		X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☐ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Rodney Wasserstrom 477 South Front Street Columbus, OH 43215	Trustee 00			
Alan Wasserstrom 477 South Front Street Columbus, OH 43215	Trustee 00			

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE	00	0		
NONE	00	0		
	00	0		
	00	0		
	00	0		
Total number of other employees paid over $50,000 ▶				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes.		
a	Average monthly fair market value of securities	1a	3,390,937
b	Average of monthly cash balances	1b	219,776
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	3,610,713
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,610,713
4	Cash deemed held for charitable activities Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	54,161
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,556,552
6	**Minimum investment return.** Enter 5% of line 5	6	177,828

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	177,828
2a	Tax on investment income for 2013 from Part VI, line 5	2a	3,000		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	3,000
3	Distributable amount before adjustments Subtract line 2c from line 1			3	174,828
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	174,828
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	174,828

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	230,669
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	230,669
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	230,669

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

				(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1		Distributable amount for 2013 from Part XI, line 7					174,828
2		Undistributed income, if any, as of the end of 2013·					
	a	Enter amount for 2012 only				0	
	b	Total for prior years 20____, 20____, 20____					
3		Excess distributions carryover, if any, to 2013					
	a	From 2008	14,506				
	b	From 2009					
	c	From 2010	52,545				
	d	From 2011	98,700				
	e	From 2012	226,321				
	f	**Total** of lines 3a through e		392,072			
4		Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 230,669					
	a	Applied to 2012, but not more than line 2a					
	b	Applied to undistributed income of prior years (Election required—see instructions)					
	c	Treated as distributions out of corpus (Election required—see instructions)					
	d	Applied to 2013 distributable amount					174,828
	e	Remaining amount distributed out of corpus		55,841			
5		Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6		**Enter the net total of each column as indicated below:**					
	a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		447,913			
	b	Prior years' undistributed income. Subtract line 4b from line 2b			0		
	c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
	d	Subtract line 6c from line 6b Taxable amount—see instructions					
	e	Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				0	
	f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					0
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8		Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		14,506			
9		**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		433,407			
10		Analysis of line 9					
	a	Excess from 2009					
	b	Excess from 2010	52,545				
	c	Excess from 2011	98,700				
	d	Excess from 2012	226,321				
	e	Excess from 2013	55,841				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Rodney Wasserstrom 477 South Front Street Columbus, OH 43215 614-228-6525

b The form in which applications should be submitted and information and materials they should include

REQUEST LETTER ON LETTERHEAD PAPER

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
see attached statement #4				229,100
Total			▶ **3a**	229,100
b *Approved for future payment*				
see attached statement #5				283,500
Total			▶ **3b**	283,500

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments . .					
3 Interest on savings and temporary cash investments			14	109	
4 Dividends and interest from securities .			14	141,524	
5 Net rental income or (loss) from real estate.					
a Debt-financed property . .					
b Not debt-financed property .					
6 Net rental income or (loss) from personal property					
7 Other investment income . . .					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events . .					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		141,633	0

13 **Total.** Add line 12, columns (b), (d), and (e) . 13 141,633

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		
	(2) Other assets	1a(2)		
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		
	(3) Rental of facilities, equipment, or other assets	1b(3)		
	(4) Reimbursement arrangements	1b(4)		
	(5) Loans or loan guarantees	1b(5)		
	(6) Performance of services or membership or fundraising solicitations	1b(6)		
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ 11-27-15 ▶ TRUSTEE

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶

Firm's EIN ▶

Firm's address ▶

Phone no

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.**

OMB No 1545-0047

2013

Name of the organization	**Employer identification number**
The Wasserstrom Foundation	31-6041116

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
The Wasserstrom Foundation	31-6041116

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	Foreign State or Province Foreign Country.	$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	Foreign State or Province Foreign Country	$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	Foreign State or Province Foreign Country	$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	Foreign State or Province Foreign Country	$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	Foreign State or Province Foreign Country	$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	Foreign State or Province Foreign Country	$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	**Employer identification number**
The Wasserstrom Foundation	31-6041116

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
		$	

Name of organization	**Employer identification number**
The Wasserstrom Foundation	31-6041116

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $ 0

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
For Prov Country	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
For Prov Country	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
For Prov Country	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
For. Prov Country	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	
Short Term CG Distributions	

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Capital Gains/Losses	0	0	0
Other sales	270,791	259,496	11,295

	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	X	See Attached stmt #6													0
2									270,791	259,496					11,295
3															0

Part I, Line 16b (990-PF) - Accounting Fees

		1,652	83	0	1,569
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	The Wasserstrom Company	1,652	83		1,569

Part I, Line 16c (990-PF) - Other Professional Fees

		24,965	0	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	Fort Washington Investment Advisors	24,965			

Part I, Line 18 (990-PF) - Taxes

		1,473	1,473	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Real estate tax not included in line 20				
2	Tax on investment income				
3	Income tax	1,473	1,473		

Part I, Line 23 (990-PF) - Other Expenses

		1,394	1,394	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Bank Fees	637	637		
2	Tax Software	751	751		
3	Postage	6	6		

Part II, Line 10a (990-PF) - Investments - U.S. and State Government Obligations

	Federal		0	0	0	0	
	State/Local		0	0	0	0	

	Description	Num Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year	State/Local Obligation
1	FNMA 5 25%						
2	FHLMC 5 125%						

Part II, Line 10b (990-PF) - Investments - Corporate Stock

			1,007,657	989,618	1,451,217	1,672,603
	Description	Num Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
1	SEE ATTACHED SCHEDULE		1,007,657	989,618	1,451,217	1,672,603

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

				822,934	860,658	845,032	879,641
	Description	Interest Rate	Maturity Date	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
1	AMERITECH CAP FDG CORP						
2	SHERWIN WILLIAMS						
3	STATE OF ISRAEL BONDS			165,000	165,000	165,000	165,000
4	See Attached Statement			657,934	695,658	680,032	714,641

Current Holdings

	Quantity	Cost	Current Price	Total Value	% of Portfolio	Cur. Yield	Est. Annual Income	Unrealized Gain/(Loss)
Cash & Equivalents								
Cash & Equivalents								
FEDERATED CAPITAL RESERVES	263,889	$263,889	$1 00	$263,889	5.6	0 0	$0	$0
Total Cash & Equivalents		$263,889		$263,889	5 6	0 0	$0	$0
Total Cash & Equivalents		**$263,889**		**$263,889**	**5.6**	**0.0**	**$0**	**$0**
Fixed Income								
Investment Grade								
BANK OF AMERICA CORP 5%, 05/13/2021	40,000	$39,841	$109 82	$43,926	0 9	4 6	$2,000	$4,085
BARRICK GOLD CORP 7%, 04/01/2019	35,000	$37,726	$116 14	$40,648	0 9	6 0	$2,433	$2,922
CISCO SYS INC 4 5%, 01/15/2020	40,000	$39,761	$109 78	$43,914	0.9	4 1	$1,780	$4,153
COMCAST CORP 3 1%, 07/15/2022	45,000	$45,975	$100 60	$45,269	1 0	3 1	$1,406	($706)
GENERAL ELEC CAP CORP 4 7%, 10/17/2021	40,000	$43,848	$110 15	$44,059	0 9	4 2	$1,860	$211
GLAXOSMITHKLINE CAP INC 5 7%, 05/15/2018	35,000	$36,375	$113 20	$39,621	0 8	5 0	$1,978	$3,246
GOLDMAN SACHS GROUP INC 5 3%, 07/27/2021	45,000	$49,794	$110 86	$49,887	1 1	4 7	$2,363	$93
KINDER MORGAN ENER PART 3 5%, 09/01/2023	50,000	$48,264	$94 96	$47,481	1 0	3 7	$1,750	($784)
KRAFT FOODS INC 4 1%, 02/09/2016	40,000	$40,100	$104 34	$41,736	0 9	4.0	$1,650	$1,636
NEVADA POWER CO 6 5%, 05/15/2018	40,000	$41,986	$116 05	$46,419	1 0	5.6	$2,600	$4,433
ORACLE CORP 5%, 07/08/2019	35,000	$38,803	$112 22	$39,277	0 8	4 5	$1,750	$474
ORANGE SA 2 8%, 02/06/2019	30,000	$29,990	$101 19	$30,358	0 6	2.7	$825	$368
PIMCO LOW DURATION FUND III	12,704	$121,732	$9 83	$124,876	2 7	1 2	$1,458	$3,144
SIMON PROPERTY GROUP LP 4 2%, 02/01/2015	40,000	$40,119	$100 29	$40,117	0 9	4 2	$1,680	($2)
TEMPLETON GLOBAL BOND FUND	11,186	$147,562	$13 22	$147,873	3 2	2 9	$4,330	$311
TIME WARNER CABLE 3 5%, 02/01/2015	40,000	$40,119	$101 00	$40,401	0 9	3 5	$1,400	$282
VANGUARD FIXED INCOME SHORT TERM	16,161	$163,754	$10 71	$173,088	3 7	1 9	$3,322	$9,334
VANGUARD INTRM TRM BD IDX - IV	12,615	$120,708	$11 39	$143,681	3 1	2 7	$3,920	$22,973
VERIZON COMMUNICATIONS 5 2%, 09/15/2023	40,000	$39,894	$110 74	$44,295	0 9	4 7	$2,060	$4,401
VIACOM INC 6 3%, 04/30/2016	35,000	$36,002	$108 22	$37,877	0 8	5 8	$2,188	$1,875
VIRGINIA ELEC & PWR CO 5%, 06/30/2019	35,000	$35,594	$112 44	$39,354	0 8	4.4	$1,750	$3,761

Current Holdings

	Quantity	Cost	Current Price	Total Value	% of Portfolio	Cur. Yield	Est. Annual Income	Unrealized Gain/(Loss)
Total Investment Grade		$1,237,947		$1,304,158	27 8	3 4	$44,500	$66,211
High Yield								
VANGUARD HIGH YIELD CORP BOND FD	27,127	$151,533	$5 99	$162,489	3 5	5 5	$8,945	$10,956
Total High Yield		$151,533		$162,489	3 5	5 5	$8,945	$10,956
Preferred Stocks								
MORGAN STANLEY CAP TR III	2,000	$50,250	$25 31	$50,620	1 1	6 2	$2,954	$370
Total Preferred Stocks		$50,250		$50,620	1 1	6 2	$2,954	$370
Total Fixed Income		**$1,439,731**		**$1,517,268**	**32.4**	**3.7**	**$56,398**	**$77,537**
Equity								
Large Cap United States								
Consumer Discretionary								
HOME DEPOT INC	600	$17,871	$91 74	$55,044	1 2	2 0	$1,128	$37,173
MCDONALDS CORP	300	$6,220	$94 81	$28,443	0 6	3 4	$972	$22,223
TJX COS	800	$16,364	$59 17	$47,336	1 0	1 2	$560	$30,972
Total Consumer Discretionary		$40,455		$130,823	2 8		$2,660	$90,368
Consumer Staples								
CVS HEALTH CORP	600	$23,270	$79 59	$47,754	1 0	1 4	$660	$24,484
KRAFT FOODS GROUP INC	233	$7,370	$56 40	$13,141	0 3	3 7	$489	$5,771
MONDELEZ INTERNATIONAL INC	1,200	$26,741	$34.27	$41,118	0.9	1.8	$720	$14,377
PROCTER & GAMBLE	500	$27,657	$83 74	$41,870	0 9	3 1	$1,287	$14,213
SYSCO CORP	1,000	$33,573	$37 95	$37,950	0 8	3.1	$1,160	$4,377
WAL-MART STORES	400	$18,765	$76.47	$30,588	0.7	2 5	$768	$11,823
Total Consumer Staples		$137,376		$212,421	4.5		$5,085	$75,045
Energy								
CHEVRON CORPORATION	250	$9,885	$119.32	$29,830	0 6	3 6	$1,070	$19,945

Current Holdings

	Quantity	Cost	Current Price	Total Value	% of Portfolio	Cur. Yield	Est. Annual Income	Unrealized Gain/(Loss)
CONOCOPHILLIPS	400	$13,323	$76 52	$30,608	0 7	3.8	$1,168	$17,285
PHILLIPS 66	150	$2,282	$81 31	$12,197	0 3	2 5	$300	$9,914
SCHLUMBERGER	300	$22,105	$101.69	$30,507	0 7	1 6	$480	$8,402
WILLIAMS COS	800	$26,389	$55 35	$44,280	0 9	4 0	$1,792	$17,891
Total Energy		$73,985		$147,422	3 1		$4,810	$73,437
Financials								
ALLSTATE CORP	600	$31,966	$61 37	$36,822	0 8	1 8	$672	$4,856
BANK NEW YORK MELLON CORP	566	$13,442	$38 73	$21,921	0 5	1 8	$385	$8,479
BANK OF AMERICA CORP	671	$28,252	$17 05	$11,441	0 2	1.2	$134	($16,811)
JP MORGAN CHASE & CO	500	$18,865	$60 24	$30,120	0 6	2 7	$800	$11,255
US BANCORP	500	$10,134	$41 83	$20,915	0 4	2 3	$490	$10,781
Total Financials		$102,659		$121,219	2 6		$2,481	$18,560
Health Care								
CELGENE CORP	800	$21,110	$94 78	$75,824	1 6	0 0	$0	$54,714
JOHNSON & JOHNSON	400	$22,453	$106 59	$42,636	0 9	2 6	$1,120	$20,183
MEDTRONIC	400	$18,966	$61 95	$24,780	0 5	2 0	$488	$5,814
MERCK & COMPANY, INC	600	$18,883	$59 28	$35,568	0 8	3 0	$1,056	$16,685
Total Health Care		$81,411		$178,808	3 8		$2,664	$97,397
Industrials								
3M COMPANY	300	$21,667	$141 68	$42,504	0 9	2 4	$1,026	$20,837
GENERAL ELECTRIC	800	$29,293	$25 62	$20,496	0 4	3 4	$704	($8,797)
ILL TOOL WORKS	400	$17,458	$84 42	$33,768	0.7	2 3	$776	$16,310
NORFOLK SOUTHRN	400	$17,592	$111 60	$44,640	1 0	2 0	$912	$27,048
UNITED TECHNOLGIES CORP	300	$18,650	$105 60	$31,680	0 7	2 2	$708	$13,030
Total Industrials		$104,659		$173,088	3.7		$4,126	$68,429
Information Technology								
APPLE INC	350	$16,167	$100.75	$35,263	0 8	1.9	$658	$19,095
CISCO SYSTEMS	950	$16,910	$25 17	$23,912	0.5	3 0	$722	$7,001

Current Holdings

	Quantity	Cost	Current Price	Total Value	% of Portfolio	Cur. Yield	Est. Annual Income	Unrealized Gain/(Loss)
EBAY INC	700	$36,567	$56 63	$39,641	0 8	0 0	$0	$3,074
EMC CORP MASS	1,200	$16,131	$29 26	$35,112	0 7	1 6	$552	$18,981
HEWLETT-PACKARD	700	$14,421	$35 47	$24,829	0 5	1 8	$448	$10,408
IBM	200	$16,963	$189 83	$37,966	0 8	2 3	$880	$21,003
INTEL CORPORATION	1,200	$25,957	$34 82	$41,784	0 9	2 6	$1,080	$15,827
MICROSOFT CORP	800	$22,079	$46 36	$37,088	0 8	2 4	$896	$15,009
QUALCOMM INC	300	$18,514	$74 77	$22,431	0 5	2 2	$504	$3,917
TEXAS INSTRUMENTS INC	600	$18,769	$47 69	$28,614	0 6	2 9	$816	$9,845
Total Information Technology		$202,479		$326,639	7 0		$6,556	$124,160
Materials								
DUPONT	400	$19,233	$71.76	$28,704	0.6	2 6	$752	$9,471
Total Materials		$19,233		$28,704	0 6		$752	$9,471
Telecommunication Services								
AT&T INC	900	$22,331	$35 24	$31,716	0 7	5 2	$1,656	$9,385
COMCAST A SPL	1,050	$19,785	$53.50	$56,175	1 2	1 7	$945	$36,390
VERIZON COMMUNICATIONS	700	$26,091	$49 99	$34,993	0 7	4 4	$1,540	$8,902
Total Telecommunication Services		$68,208		$122,884	2 6		$4,141	$54,676
Utilities								
DUKE ENERGY CORP	408	$16,159	$74.77	$30,506	0 7	4 3	$1,297	$14,347
PPL CORPORATION	800	$21,528	$32 84	$26,272	0 6	4 5	$1,192	$4,744
SPECTRA ENERGY CORP	312	$5,429	$39 26	$12,249	0 3	3 4	$418	$6,821
Total Utilities		$43,116		$69,027	1 5		$2,907	$25,911
Mutual Fund and Others								
Growth								
ISHARES RUSSELL 1000 GRW	1,800	$90,140	$91 63	$164,934	3 5	1 2	$1,980	$74,794
Total Mutual Fund and Others		$90,140		$164,934	3 5		$1,980	$74,794

Current Holdings

	Quantity	Cost	Current Price	Total Value	% of Portfolio	Cur. Yield	Est. Annual Income	Unrealized Gain/(Loss)
Total Large Cap United States		$963,721		$1,675,969	35 7	2 3	$38,162	$712,248
Small Cap United States								
Mutual Fund and Others								
Core								
ISHARES RUSSELL 2000	1,400	$78,978	$109 35	$153,090	3 3	1 6	$2,413	$74,112
Growth								
BARON GROWTH FUND	1,567	$76,351	$70 46	$110,383	2 4	0 1	$113	$34,031
Value								
ROYCE TOTAL RETURN FUND	10,646	$120,069	$15 74	$167,564	3 6	0 7	$1,205	$47,495
Total Mutual Fund and Others		$275,398		$431,036	9 2		$3,732	$155,638
Total Small Cap United States		$275,398		$431,036	9 2	0 9	$3,732	$155,638
International Developed								
Consumer Staples								
DIAGEO PLC	150	$7,993	$115 40	$17,310	0 4	3 7	$647	$9,318
Total Consumer Staples		$7,993		$17,310	0 4		$647	$9,318
Energy								
ROYAL DUTCH SHELL - B	300	$12,628	$79 12	$23,736	0 5	4 8	$1,128	$11,108
Total Energy		$12,628		$23,736	0 5		$1,128	$11,108
Health Care								
NOVARTIS AG-ADR	400	$23,038	$94 13	$37,652	0 8	1 9	$707	$14,614
TEVA PHARMACEUTICAL ADR	600	$21,916	$53 75	$32,250	0 7	2 2	$720	$10,334
Total Health Care		$44,954		$69,902	1 5		$1,427	$24,948
Mutual Fund and Others								
Core								
HARBOR INTL FD	2,203	$108,907	$68 97	$151,962	3 2	2.1	$3,160	$43,055

Current Holdings

	Quantity	Cost	Current Price	Total Value	% of Portfolio	Cur. Yield	Est. Annual Income	Unrealized Gain/(Loss)
ISHARES TR MSCI EAFE IDX	1,350	$67,533	$64 12	$86,562	1 8	5 0	$4,350	$19,029
VANGUARD TOTAL INTERNATIONAL STOCK INDEX	3,829	$52,421	$16 36	$62,636	1 3	4 4	$2,764	$10,215
Growth								
HARDING LOEVNER INTL EQ INST	6,158	$100,519	$17 99	$110,782	2 4	0 7	$830	$10,264
Total Mutual Fund and Others		$329,379		$411,942	8.8		$11,105	$82,563
Total International Developed		$394,954		$522,890	11 1	2 7	$14,307	$127,936
International Emerging								
Mutual Fund and Others								
Core								
ISHARES TR MSCI EMERG MKT	1,500	$61,175	$41 56	$62,340	1 3	1 5	$943	$1,165
Value								
ACADIAN EMERGING MARKETS PORTFOLIO	3,264	$60,833	$19 04	$62,147	1 3	1 0	$641	$1,314
Total Mutual Fund and Others		$122,008		$124,487	2 7		$1,583	$2,479
Total International Emerging		$122,008		$124,487	2 7	1 3	$1,583	$2,479
Total Equity		**$1,756,080**		**$2,754,382**	**58.7**	**2.1**	**$57,785**	**$998,301**
Alternative Investments								
Master Limited Partnerships								
MAINGATE MLP FUND	5,597	$75,020	$14 32	$80,149	1 7	4 3	$3,463	$5,129
Total Master Limited Partnerships		$75,020		$80,149	1 7	4 3	$3,463	$5,129
Other								
TOUCHSTONE ARBITRAGE FUND Y	7,463	$75,098	$9 91	$73,958	1 6			($1,139)
Total Other		$75,098		$73,958	1.6	0 0	$0	($1,139)

Current Holdings

	Quantity	Cost	Current Price	Total Value	% of Portfolio	Cur. Yield	Est. Annual Income	Unrealized Gain/(Loss)
Total Alternative Investments		$150,118		$154,108	3.3	2.2	$3,463	$3,990
Total Portfolio		$3,609,818		$4,689,646	100.0	2.5	$117,646	$1,079,828
Accrued Income				$13,691				
Total Portfolio with Accrued Income				$4,703,336				

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount												
Long Term CG Distributions	0												
Short Term CG Distributions	0				270,791	0	0	259,496	11,295	0	0	0	11,295
Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
1 See Attached stmt #6					270,791			259,496	11,295	0	0	0	11,295

Part VII-A, Lines 1a and 1b (990-PF) - Political Participation

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☐ Yes ☒ No

1b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? ☐ Yes ☒ No

If "Yes" to 1a or 1b, please describe the activities

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

										0	0	0
	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	Rodney Wasserstrom		477 South Front Street	Columbus	OH	43215		Trustee	0 00			
2	Alan Wasserstrom		477 South Front Street	Columbus	OH	43215		Trustee	0 00			

PART XV - LINE 3B
EI #31-6041116

STATEMENT 5
WASSERSTROM FOUNDATION
FUTURE FINANCIAL COMMITMENTS
09/30/14

2015 Commitment

Nationwide Children's Hospital	$75,000	09/27/15 Pmt #5
Ohio State Medical Center	$100,000	09/27/15 Pmt #5
Bexley Community Foundation	$5,000	12/01/14
Columbus Jewish Federation	$95,000	09/01/15
United Way Alan	$2,500	06/15/15
United Way Rodney	$6,000	06/15/15
	$283,500	

2016 Commitment

Nationwide Children's Hospital	$100,000	09/27/16 Pmt #6
Ohio State Medical Center	$100,000	09/27/16 Pmt #6
United Way Alan	$2,500	06/15/16
United Way Rodney	$6,000	06/15/16
Columbus Jewish Federation	$95,000	09/01/16
	$303,500	

2017 Commitment

Nationwide Children's Hospital	$100,000	09/27/17 Pmt #7 Last payment 500K
Ohio State Medical Center	$100,000	09/27/17 Pmt #7
Columbus Jewish Federation	$95,000	09/01/17
United Way Alan	$2,500	06/15/17
United Way Rodney	$6,000	06/15/17
	$295,000	

2018 Commitment

Ohio State Medical Center	$100,000	09/27/18 Pmt #8
Columbus Jewis Federation	$95,000	09/01/18
United Way Alan	$2,500	06/15/18
United Way Rodney	$6,000	06/15/18
	$203,500	

2019 Commitment

Ohio State Medical Center	$150,000	09/27/19 Pmt #9
	$150,000	

2020 Commitment

Ohio State Medical Center	$150,000	09/27/20 Pmt #10 Last pmt 1M pledge
	$150,000	

PART XV Line 3A
EIN#31-604116

STATEMENT #4

THE WASSERSTROM FOUNDATION CONTRIBUTIONS

FYE 9-30-2014

DATE	CKECK#	PAID TO	AMOUNT	
10/03/13	3821	Ohio foundation Independ College	$500 00	Education
12/17/13	3524	United Way	$200 00	Quadra Tech
12/17/13	3525	United Way	$1,500 00	NWS
12/17/13	3526	United Way	$800 00	Amtekco
12/17/13	3527	United Way	$1,500 00	TWC
12/19/13	3528	Bexley Community Foundation	$5,000 00	Community
12/19/13	3529	Jewish Community Center	$5,000 00	Community
01/16/14	3533	National Hemophilia foundation	$100 00	Research
03/25/14	3536	Easter Seals	$1,000 00	Research
03/25/14	3537	Lifetown & Friendship circle	$5,000 00	Education
04/08/14	3538	St Jude's childrens Research Hospital	$2,500 00	Research
04/08/14	3539	The City of Bexley	$2,500 00	Community Enhancement
04/24/14	3541	Columbus Jewish Foundation	$5,000 00	Mentoring
07/21/14	3545	Columbus Jewish Federation	$10,000 00	Education
08/28/14	3546	United Way	$6,000 00	R Wasserstrom Donation
08/28/14	3547	United Way	$2,500 00	A Wasserstrom Donation
09/11/14	3548	Congregation Tifereth Israel	$5,000 00	Education
09/11/14	3549	Nationwide Children's Hospital	$75,000 00	Expansion
09/11/14	3550	Ohio State Medical Center	$100,000 00	Expansion
			$229,100 00	

PART IV - LINE 1
E. I. #31-6041116

STATEMENT #6

THE WASSERSTROM FOUNDATION
FORM 990-PF 09-30-14

FORT WASHINGTON INVESTMENTS

DATE	SECURITY	QUANTITY	COST	PROCEEDS	GAIN/(LOSS)
02/28/14	Gain on Sale of Thermo	40,000	39,935 19	39,977 80	42 61
02/28/14	Cost Basis diff Thermo				(1 79)
02/28/14	Loss on Sale of GE	40,000	45,310.22	44,003 40	(1306 82)
02/28/14	Cost Basis diff GE				(570 78)
04/30/14	Gain on sale of Goldman	45,000	44,997 01	45,440 85	444 75
04/30/14	Cost Basis diff Goldman				(0 91)
04/30/14	Loss on sale of Citicorp	3,000	76,590 00	75,000 00	(1590 00)
05/31/14	Gain of Sale Johnson & Johnson	100	6,099 84	10,068 27	3968 43
05/31/14	Gain of Sale McDonalds	100	2,421 00	10,262 91	7841 91
08/31/14	Gain on sale Columbus Southern	40,000	41,807 00	46,038 20	4231 20
08/31/14	Cost Basis diff Columbus Southern				(1763 60)
	TOTAL GAIN/(LOSS)		257,160 26	270,791 43	11,295 00

THE WASSERSTROM FOUNDATION
FORM 990-PF 9-30-2014

STATEMENT #1

Page1-Part1
Line 1 CONTRIBUTIONS, GIFTS, ETC RECEIVED

A)	AMTEKCO INDUSTRIES 1675 S HIGH STREET COLUMBUS, OHIO 43207 RECEIVED December 2013	$ 25,000 00
B)	THE WASSERSTROM COMPANY 477 S FRONT STREET COLUMBUS, OH 43215 Received December 2013	$ 75,000 00
C)	BRUCE WASSERSTROM 1675 S HIGH STREET COLUMBUS, OH 43207 RECEIVED January, 2014	$ 750 00
E)	N WASSERSTROM & SONS 2300 LOCKBOURNE ROAD COLUMBUS, OH 43207 RECEIVED December 2013	$ 150,000 00
F)	JAMES WASSERSTROM 2300 LOCKBOURNE ROAD COLUMBUS, OH 43207 RECEIVED DECEMBER 2013	$ 250 00
G)	ERIC WASSERSTROM 2300 LOCKBOURNE ROAD COLUMBUS, OHIO 43207	$ -
H)	QUADRA-TECH 864 JENKINS AVE COLUMBUS, OHIO 43207 RECEIVED December 2013	$ 60,000 00
I)	RODNEY WASSERSTROM 386 N DREXEL AVE COLUMBUS, OHIO 43207 RECEIVED, December 2013	$ 6,000 00
J)	ALAN WASSERSTROM 2300 LOCKBOURNE ROAD COLUMBUS, OH 43207 RECEIVED July 2014	$ 2,500.00
	TOTAL	**$ 319,500.00**