

21-70-001-0185064
Return of Private Foundation

Form 990-PF

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning OCT 1, 2013, and ending SEP 30, 2014

Name of foundation

JACK J SMITH JR CHARITABLE TRUST

A Employer identification number

31-0912146

Number and street (or P O box number if mail is not delivered to street address)

PNC BANK 116 ALLEGHENY CENTER MALL

Room/suite

B Telephone number

412-768-5898

City or town, state or province, country, and ZIP or foreign postal code

PITTSBURGH, PA 15212

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☒ Amended return

☒ Address change

☐ Name change

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

\$ 10,022,013. (Part I, column (d) must be on cash basis.)

J Accounting method:

☒ Cash

☐ Accrual

☐ Other (specify)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

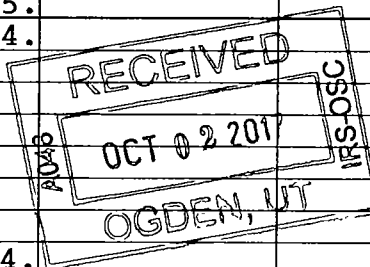
(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received			N/A	
	2	Check ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	29.	29.		STATEMENT 2
	4	Dividends and interest from securities	198,466.	198,470.		STATEMENT 3
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	883,715.			STATEMENT 1
	b	Gross sales price for all assets on line 6a	4,369,968.			
	7	Capital gain net income (from Part IV, line 2)		939,307.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less: Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	1,895.	1,589.		STATEMENT 4
	12	Total. Add lines 1 through 11	1,084,105.	1,139,395.		
	13	Compensation of officers, directors, trustees, etc	88,588.	61,704.		26,884.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees				
	c	Other professional fees				
	17	Interest				
	18	Taxes	39,290.	524.		0.
Total	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses	56,288.	500.		55,788.
	24	Total operating and administrative expenses. Add lines 13 through 23	184,166.	62,728.		82,672.
	25	Contributions, gifts, grants paid	409,800.			409,800.
	26	Total expenses and disbursements. Add lines 24 and 25	593,966.	62,728.		492,472.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	490,139.			
	b	Net investment income (if negative, enter -0-)		1,076,667.		
	c	Adjusted net income (if negative, enter -0-)			N/A	



P 6

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	288,264.	53,223.	53,223.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	3,582,168.	3,941,680.	5,116,696.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 8	4,001,206.	4,366,874.	4,852,094.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	7,871,638.	8,361,777.	10,022,013.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	7,753,741.	8,237,948.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	117,897.	123,829.		
30 Total net assets or fund balances	7,871,638.	8,361,777.		
31 Total liabilities and net assets/fund balances	7,871,638.	8,361,777.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,871,638.
2 Enter amount from Part I, line 27a	2	490,139.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	8,361,777.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,361,777.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SALES OF PUBLICLY TRADED SECURITIES			
b CLASS ACTION SETTLEMENTS	P		
c SECTION 1231 GAIN FROM K-1	P		
d ADJUSTMENT TO PRIOR YEAR SALE			
e CAPITAL GAINS DIVIDENDS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,315,288.		3,430,307.	884,981.
b 161.		25.	136.
c 20.			20.
d		510.	-510.
e 54,680.			54,680.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			884,981.
b			136.
c			20.
d			-510.
e			54,680.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	939,307.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	341,055.	8,782,041.	.038836
2011	442,693.	8,097,456.	.054671
2010	412,942.	8,213,966.	.050273
2009	302,597.	7,412,553.	.040822
2008	523,972.	6,758,721.	.077525

2 Total of line 1, column (d)	2	.262127
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.052425
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	9,876,318.
5 Multiply line 4 by line 3	5	517,766.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,767.
7 Add lines 5 and 6	7	528,533.
8 Enter qualifying distributions from Part XII, line 4	8	492,472.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	21,533.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	21,533.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	21,533.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	21,720.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d. O.R. OVERPAYMENT	7	-557.	21,163.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		370.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) OH		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 9		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>PNCsites.COM/PNCFOUNDATION/CHARITABLE TRUSTS.HTML</u>	X	
14	The books are in care of ► <u>PNC BANK</u> Telephone no. ► <u>412-768-5898</u> Located at ► <u>116 ALLEGHENY CENTER MALL, PITTSBURGH, PA</u> ZIP+4 ► <u>15212</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PNC BANK	CO-TRUSTEE			
620 LIBERTY AVENUE				
PITTSBURGH, PA 15222-2705	13.00	64,952.	0.	0.
KAREN WACHS	CO-TRUSTEE			
8 BAT CAVE LANE				
COVINGTON, KY 41015-9507	4.00	19,486.	0.	0.
GREATER CINCINNATI FOUNDATION	GRANTS MANAGER			
200 WEST FOURTH STREET				
CINCINNATI, OH 45202	1.00	4,150.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
	0.
2	
All other program-related investments. See instructions.	
3 N/A	
	0.
	0.
Total. Add lines 1 through 3	

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	10,027,749.
b	Average of monthly cash balances	1b	-1,030.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	10,026,719.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	10,026,719.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	150,401.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,876,318.
6	Minimum investment return. Enter 5% of line 5	6	493,816.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	493,816.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	21,533.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	21,533.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	472,283.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	472,283.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	472,283.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	492,472.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	492,472.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	492,472.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				472,283.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			409,622.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 492,472.				
a Applied to 2012, but not more than line 2a			409,622.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				82,850.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				389,433.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(i)(3) or ☐ 4942(j)(5)

- [illegible]

1 Information Regarding Foundation Managers:

- NONE

- NONE

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- SEE STATEMENT 10

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALLIANCE FOR LEADERSHIP P O BOX 23272 CINCINNATI, OH 45223	NONE	PC	SUPPORT OF SUSTAINABLE SCHOOLS	5,000.
ANTHONY MUNOZ FOUNDATION 8919 ROSSASH ROAD CINCINNATI, OH 45236	NONE	PC	BENEFIT AND SUPPORT IMPACT FOR ETERNITY CAMP	7,300.
ART OPPORTUNITIES INC 20 EAST CENTRAL PARKWAY CINCINNATI, OH 45202	NONE	PC	SUPPORT OF ARTWORKS HERO DESIGN	10,000.
AUTISM SOCIETY OF GREATER CINCINNATI P O BOX 58385 CINCINNATI, OH 45258	NONE	PC	SUPPORT OF CINTI AUTISM CONNECTION	12,500.
BIG BROTHERS BIG SISTERS 2400 READING ROAD CINCINNATI, OH 45202	NONE	PC	PROVIDE SITE-BASED MENTORING	10,000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				409,800.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	29.		
4 Dividends and interest from securities			14	198,466.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income			14	1,759.		
8 Gain or (loss) from sales of assets other than inventory			18	883,715.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a CLASS ACTION PROCEEDS			14	136.		
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		1,084,105.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	1,084,105.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

ASSISTANT VICE PRESIDENT

Signature of officer or trustee _____ Date _____ Title _____

May the IRS discuss this return with the preparer shown below (see instr.)?
☐ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no.	

Part XV · Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of -recipient	Purpose of grant or contribution	Amount
BRIGHTON CENTER P O BOX 325 NEWPORT, KY 41072	NONE	PC	SUPPORT OF NKY SCHOLAR HOUSE	15,000.
CANCER FAMILY CARE INC 2421 AUBURN AVENUE CINCINNATI, OH 45219	NONE	PC	PROVIDE SUPPORT TO TREEHOUSE CHILDRENS SERVICES	10,000.
CATHOLIC INNER CITY SCHOOLS 100 EAST EIGHTH STREET CINCINNATI, OH 45202	NONE	PC	SUPPORT TO CISE	11,500.
CINCINNATI ARTS AND TECHNOLOGY CENTER 700 WEST PETE ROSE WAY CINCINNATI, OH 45203	NONE	PC	TO SUPPORT ARTS PROGRAMMING AND MENTAL HEALTH	20,000.
CINCINNATI BALLET COMPANY INC 1555 CENTRAL PARKWAY CINCINNATI, OH 45214	NONE	PC	SUPPORT OF CINCYNANCE! EDUCATION/OUTREACH	10,000.
CINCINNATI SYMPHONY ORCHESTRA 1241 ELM STREET CINCINNATI, OH 45202	NONE	PC	SUPPORT OF MUSIC IS THE TICKET PROGRAM	7,500.
CINCINNATI THERAPEUTIC AND HORSEMANSHIP 1342 STATE ROUTE 50 CINCINNATI, OH 45150	NONE	PC	PROVIDE A TRUCK AND HORSE TRAILER	11,000.
CITYLINK CENTER 800 BANK STREET CINCINNATI, OH 45214	NONE	PC	SUPPORT OF FAMILY CENTER PROGRAMS	15,000.
CONTEMPOARY ARTS CENTER 44 EAST SIXTH STREET CINCINNATI, OH 45202	NONE	PC	PROVIDE FUNDING TO SCRIPPS SCHOOL PROGRAM	5,000.
CORRYVILLE SUZUKI PROJECT INC 2166 TRAILWOOD DRIVE CINCINNATI, OH 45230	NONE	PC	SUPPORT OF CSP SCHOLARSHIP PROGRAM	5,000.
Total from continuation sheets				365,000.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CRAYONS TO COMPUTERS 1350 TENNESSEE AVENUE CINCINNATI, OH 45229	NONE	PC	TO FUND SUPPLIES FOR THE 2013-2014 SCHOOL YEAR	5,000.
DOWN SYNDROME ASSOCIATION OF GREATER CINCINNATI 644 LINN STREET #1128 CINCINNATI, OH 45203	NONE	PC	SUPPORT OF PATHWAYS FOR FAMILIES PROGRAM	10,000.
ELEMENTZ 1599 CENTRAL PARKWAY CINCINNATI, OH 45214	NONE	PC	TO BENEFIT AND SUPPORT AFTER SCHOOL PROGRAMS	12,000.
EMANUEL COMMUNITY CENTER 1308 RACE STREET CINCINNATI, OH 45202	NONE	PC	EMANUEL SQUASH	15,000.
FAMILY NURTURING CENTER INC 8275 EWING BLVD FLORENCE, KY 41042	NONE	PC	SUPPORT KIDS ON THE BLOCK PROGRAM	15,000.
FREESTORE FOODBANK 1141 CENTRAL PARKWAY CINCINNATI, OH 45202	NONE	PC	SUPPORT OF POWER PACK PROGRAM	10,000.
GREATER CINCINNATI CENTER FOR ECONOMICS EDUCATION 225 CALHOUN STREET, STE 370 CINCINNATI, OH 45219	NONE	PC	SUPPORT OF MONEY SAVVY KIDS PROGRAM	2,500.
JOY OUTDOOR EDUCATION CENTER FOUNDATION INC 10117 OLD 3-C HIGHWAY CLARKSVILLE, OH 45113	NONE	PC	PROVIDE FUNDING TO UNDERSERVED YOUTH PROGRAM	20,000.
LEGAL AID SOCIETY OF CINCINNATI 215 EACH NINTH STREET CINCINNATI, OH 45202	NONE	PC	SUPPORT OF CHILD HELP : A MEDICAL LEGAL PARTNERSHIP	25,000.
LINDEN GROVE SCHOOL 4122 MYRTLE AVENUE CINCINNATI, OH 45236	NONE	PC	UPKEEP OF THE ARLEENE KELLER PLAYGROUND	10,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NAMI OF HAMILTON COUNTY 4790 RED BANK EXPY, STE 218 CINCINNATI, OH 45227	NONE	PC	SUPPORT PARENTS/TEACHER ALLIES	11,000.
NATIONAL ASSISTANCE LEAGUE 1057 META DRIVE CINCINNATI, OH 45237	NONE	PC	SUPPORT OF OPERATION SCHOOL BELL PROGRAM	10,000.
RESIDENT HOME CORPORATION 3030 WEST FORK ROAD CINCINNATI, OH 45211	NONE	PC	FOSTER CARE RECRUITMENT AND TRAINING	9,000.
SAINT JOSEPH ORPHANAGE 5400 EDALBERT DRIVE CINCINNATI, OH 45239	NONE	PC	TO SUPPORT CLASSROOM TECHNOLOGY UPGRADE	15,000.
SANTA MARIA COMMUNITY SERVICES 617 STEINER STREET CINCINNATI, OH 45204	NONE	PC	SUPPORT OF BUILDING YOUTH ASSETS IN PRICE HILL	20,000.
TEACH FOR AMERICA 1110 MAIN STREET CINCINNATI, OH 45202	NONE	PC	TO SUPPORT EXPANDING ACCESS TO QUALITY EA	12,500.
THE CHILDRENS HOME OF CINCINNATI 5050 MADISON ROAD CINCINNATI, OH 45227	NONE	PC	TEACHING FAMILY MODEL INCENTIVE ACTIVITIES	7,500.
THE CHILDRENS THEATRE 5020 OAKLAWN DRIVE, STE 2000 CINCINNATI, OH 45227	NONE	PC	SCHOOL SPONSOR (UNDERWRITING TICKETS)	2,500.
TRANSITIONS INC 700 FAIRFIELD AVENUE BELLEVUE, KY 41073	NONE	PC	FAMILY HOUSING	15,000.
U.S. CONFERENCE OF CATHOLIC BISHOPS 14 EAST 8TH STREET COVINGTON, KY 41011	NONE	PC	SUPPORT OF FAMILY PROGRAM	5,500.
Total from continuation sheets				

31-0912146

3 Grants and Contributions Paid During the Year (Continuation)**Total from continuation sheets**

FORM 990-PF	GAIN OR (LOSS) FROM SALE OF ASSETS	STATEMENT	1
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(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
SALES OF PUBLICLY TRADED SECURITIES				
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
4,315,288.	3,486,253.	0.	0.	829,035.

CAPITAL GAINS DIVIDENDS FROM PART IV

54,680.

TOTAL TO FORM 990-PF, PART I, LINE 6A

883,715.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MONEY MARKET FUND DIVIDENDS	29.	29.	
TOTAL TO PART I, LINE 3	29.	29.	

FORM 990-PF

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT

3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
TRUST INVESTMENT INCOME	253,146.	54,680.	198,466.	198,466.	
TO PART I, LINE 4	253,146.	54,680.	198,466.	198,466.	

FORM 990-PF

OTHER INCOME

STATEMENT

4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PARTNERSHIP DISTRIBUTIONS	1,759.	0.	
ORDINARY BUSINESS INCOME PER K-1	0.	1,589.	
CLASS ACTION PROCEEDS	136.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,895.	1,589.	

FORM 990-PF

TAXES

STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD AT SOURCE	524.	524.		0.
ESTIMATED EXCISE TAX PAID Y/E 9/30/2014	21,720.	0.		0.
BALANCE OF FEDERAL EXCISE TAX PAID Y/E 9/30/13	17,046.	0.		0.
TO FORM 990-PF, PG 1, LN 18	39,290.	524.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OHIO ATTORNEY GENERAL FILING FEE	200.	0.		200.
ACCOUNT MAINTENANCE FEE	500.	500.		0.
PNC GRANTMAKING FEE	55,588.	0.		55,588.
TO FORM 990-PF, PG 1, LN 23	56,288.	500.		55,788.

FORM 990-PF

CORPORATE STOCK

STATEMENT

7

DESCRIPTIONBOOK VALUEFAIR MARKET
VALUE

CORPORATE STOCKS

3,941,680.

5,116,696.

TOTAL TO FORM 990-PF, PART II, LINE 10B

3,941,680.

5,116,696.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS - FIXED	COST	1,850,921.	1,842,922.
MUTUAL FUNDS - EQUITY	COST	2,454,518.	2,952,193.
LIMITED PARTNERSHIPS	COST	61,435.	56,979.
TOTAL TO FORM 990-PF, PART II, LINE 13		4,366,874.	4,852,094.

FORM 990-PF	EXPLANATION CONCERNING PART VII-A, LINE 8B	STATEMENT	9
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EXPLANATION

THIS TRUST DOES NOT PROVIDE A COPY OF FORM 990-PF TO THE OHIO ATTORNEY GENERAL BECAUSE, IN LIEU THEREOF, IT IS REQUIRED TO FILE AN ON-LINE ANNUAL REPORT WITH THE OHIO ATTORNEY GENERAL'S OFFICE AT WWW.OHIOATTORNEYGENERAL.GOV.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 10

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JACK J SMITH JR CHARITABLE TRUST, C/O PNC ADVISORS
P O BOX 1198
CINCINNATI, OH 45201

TELEPHONE NUMBER

513-651-8463

FORM AND CONTENT OF APPLICATIONS

APPLICATIONS MUST BE SUBMITTED IN ACCORDANCE WITH THE INSTRUCTIONS
CONTAINED IN THE "INFORMATION FOR GRANT APPLICATIONS" USING A GREATER
CINCINNATI COMMON GRANT APPLICATION. THESE DOCUMENTS MAY BE OBTAINED BY
CONTACTING THE FOUNDATION AT THE ADDRESS AND PHONE NUMBER PROVIDED IN PART
XV, LINE 2A, OF THIS FORM.

ANY SUBMISSION DEADLINES

SEE INSTRUCTIONS FOR GRANT APPLICATION

RESTRICTIONS AND LIMITATIONS ON AWARDS

SEE INSTRUCTIONS FOR GRANT APPLICATION

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 10

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