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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 10-01-2013 , and ending 09-30-2014

Name of foundation
Colonna Family Foundation

% ROBERT J COLONNA

Number and street (or P O box number if mail is not delivered to street address)
790 Holiday Drive
Suite

Room/suite

City or town, state or province, country, and ZIP or foreign postal code
Pittsburgh, PA 15220

A Employer identification number
25-1481851

B Telephone number (see instructions)
(412) 937-9300

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,005,921

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	1,600			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	18,294	18,294		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	163,586			
	b	Gross sales price for all assets on line 6a 692,977				
	7	Capital gain net income (from Part IV, line 2)		163,586		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	60	60		
	12	**Total.** Add lines 1 through 11	183,540	181,940		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	3,944	3,944	0	0
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	750	477		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications	86	86		
	23	Other expenses (attach schedule)	13,866	13,866		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	18,646	18,373	0	0
	25	Contributions, gifts, grants paid	42,763			42,763
	26	**Total expenses and disbursements.** Add lines 24 and 25	61,409	18,373	0	42,763
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	122,131			
	b	**Net investment income** (if negative, enter -0-)		163,567		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

	Line	Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing	32,199	15,653	15,653
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	796,299	933,497	988,789
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	0	1,479	1,479
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	828,498	950,629	1,005,921
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	828,498	950,629	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	828,498	950,629	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	828,498	950,629	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	828,498
2	Enter amount from Part I, line 27a	2	122,131
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	950,629
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	950,629

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	BNY MELLON SHORT TERM - SEE ATTACHED	P	2013-08-13	2014-03-28
b	BNY MELLON LONG TERM - SEE ATTACHED	P	2010-05-17	2014-03-28
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	71,127		66,971	4,156
b	605,936		462,420	143,516
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				4,156
b				143,516
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	163,586
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	30,830	930,067	0 033148
2011	47,899	903,437	0 053019
2010	37,927	926,804	0 040922
2009	24,186	889,699	0 027184
2008	83,572	793,956	0 10526

2	**Total** of line 1, column (d).	2	0 259533
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 051907
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,012,678
5	Multiply line 4 by line 3.	5	52,565
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,636
7	Add lines 5 and 6.	7	54,201
8	Enter qualifying distributions from Part XII, line 4.	8	42,763

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	3,271
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	3,271
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	3,271
6	Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 4,200		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	4,200
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	6
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	923
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 923 **Refunded** ▶		11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ________			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶n/a

14 The books are in care of ▶ROBERT J COLONNA Telephone no ▶(412) 937-7677

Located at ▶790 HOLIDAY DRIVE Pittsburgh PA ZIP+4 ▶15220

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		**Yes**	**No**
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Robert J Colonna 790 Holiday Drive Pittsburgh, PA 15220	President 0 5	0	0	0
Richard C Colonna 790 Holiday Drive Pittsburgh, PA 15220	Vice President 0	0	0	0
Annie Colonna 790 Holiday Drive Pittsburgh, PA 15220	Secretary 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	0
b	Average of monthly cash balances	1b	179,573
c	Fair market value of all other assets (see instructions)	1c	848,526
d	**Total** (add lines 1a, b, and c)	1d	1,028,099
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,028,099
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	15,421
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,012,678
6	**Minimum investment return.** Enter 5% of line 5	6	50,634

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	50,634
2a	Tax on investment income for 2013 from Part VI, line 5	2a	3,271		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	3,271
3	Distributable amount before adjustments Subtract line 2c from line 1			3	47,363
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	47,363
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	47,363

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	42,763
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	42,763
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	42,763

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					47,363
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only.					
b Total for prior years 2011 , 2010 , 2009					
3 Excess distributions carryover, if any, to 2013					
a From 2008.	9,001				
b From 2009.					
c From 2010.					
d From 2011.	3,139				
e From 2012.					
f Total of lines 3a through e.		12,140			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 42,763					
a Applied to 2012, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2013 distributable amount.					42,763
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		4,600			4,600
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		7,540			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .		4,401			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a		3,139			
10 Analysis of line 9					
a Excess from 2009. . . .					
b Excess from 2010. . . .					
c Excess from 2011. . . .	3,139				
d Excess from 2012. . . .					
e Excess from 2013. . . .					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

ROBERT J COLONNA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Robert J Colonna
790 Holiday Drive
Pittsburgh, PA 15220
(412) 937-9300

b The form in which applications should be submitted and information and materials they should include

LETTER DESCRIBING ORGANIZATION AND SPECIFICS OF NEED

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				42,763
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	18,294	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	60	
8 Gain or (loss) from sales of assets other than inventory			18	163,586	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				181,940	

13 **Total.** Add line 12, columns (b), (d), and (e). **13** 181,940

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2015-05-15 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
David Bluemling				P00347531
Firm's name ▶ BKD LLP			Firm's EIN ▶	
Firm's address ▶ 3605 McKnight East Drive Pittsburgh, PA 152376400			Phone no (412) 937-7684	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NAMI SouthWestern PA 105 Braunlich Dr Ste 200 Pittsburgh, PA 15237			Annual Donation	1,000
Boy Scouts of America 1275 Bedford Ave Pittsburgh, PA 152193645			Annual Donation	50
American Red Cross American Red Cross Disaster Relief PO Box 37243 Washington, DC 20013			Annual Donation	2,955
Little Sisters of Jesus and Mary Little Sisters of Jesus Joseph Hous PO Box 1755 Salisbury, MD 21802			Annual Donation	100
Nativity Episcopal Church 33 Alice Street Pittsburgh, PA 15205			Annual Donation	1,500
St Issac Jogues Catholic Church 9215 Old Hartford Road Baltimore, MD 21234			Annual Donation	800
Church of the Nativity 33 Alice Street Pittsburgh, PA 15205			Annual Donation	10,000
Lehigh LaCrosse Partnership Coach Kevin Cassese Lehigh Universi 641 Taylor Street Bethlehem, PA 18015			Annual Donation	2,500
Girl Scouts of America - Troup 50179 30 Isabella Street Pittsburgh, PA 15212			Annual Donation	100
Urban League of Pittsburgh 610 Wood Street Pittsburgh, PA 152222222			Annual Donation	200
United Way of Allegheny County One Smithfield Street PO Box 735 Pittsburgh, PA 152300735			Annual Donation	958
Pittsburgh Opera 2425 Liberty Avenue Pittsburgh, PA 15222			Annual Donation	300
McDonough School PO Box 380 Owings Mills, MD 211170380			Annual Donation	13,250
Winchester Thurston School 555 Morewood Avenue Pittsburgh, PA 15213			Annual Donation	9,050
Total . . . . ▶ **3a**				42,763

TY 2013 Accounting Fees Schedule

Name: Colonna Family Foundation

EIN: 25-1481851

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	3,944	3,944		

TY 2013 Depreciation Schedule

Name: Colonna Family Foundation

EIN: 25-1481851

TY 2013 Investments - Land Schedule

Name: Colonna Family Foundation

EIN: 25-1481851

TY 2013 Investments - Other Schedule

Name: Colonna Family Foundation

EIN: 25-1481851

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
1690.849 BNY MELLON ST US GOVT	AT COST	20,670	20,155
3185.748 BNY MELLON INTERMEDIA	AT COST	39,505	40,363
4321.007 BNY MELLON BOND FUND	AT COST	56,572	55,655
5660.31 DREYFUS YIELD ENHANCEM	AT COST	70,923	71,320
17544.512 BNY MELLON TAX-SENSI	AT COST	283,694	295,099
2120.233 THE BNY MELLON MID CA	AT COST	24,750	31,867
5141.971 BNY MELLON SMALL/MID	AT COST	79,678	83,146
8742.342 DREYFUS DIVERSIFIED I	AT COST	70,189	102,111
8894.134 BNY MELLON EMERGING M	AT COST	89,943	89,831
740.64 DREYFUS DIVERSIFIED EME	AT COST	15,198	15,702
14555.084 DREYFUS BNY MELLON F	AT COST	182,375	183,540
292.897 ADVANTAGE GLOBAL ALPHA	AT COST		
5804.567 BNY MELLON FOCUSED EQ	AT COST		
1476.354 BNY MELLON SMALL/MID	AT COST		
1337.518 DREYFUS INFLATION ADJ	AT COST		
495.382 DREYFUS OPPORTUNISTIC	AT COST		
710.282 DREYFUS SELECT MANAGER	AT COST		
5902.84 DREYFUS US EQUITY FUND	AT COST		
2095.394 ASG GLOBAL ALTERNATIV	AT COST		
2566.427 DREYFUS HIGH YIELD FU	AT COST		
10265.781 DREYFUS LARGE CAP EQ	AT COST		
703.88 DREYFUS SELECT MANAGERS	AT COST		
1100.284 ASG MANAGED FUTURES S	AT COST		
1313.789 TCW EMERGING MARKETS	AT COST		
2608.359 BNY CORPORATE BOND	AT COST		
BNY MELLON INCOME STOCK FUND	AT COST		

TY 2013 Land, Etc. Schedule

Name: Colonna Family Foundation

EIN: 25-1481851

TY 2013 Other Assets Schedule

Name: Colonna Family Foundation

EIN: 25-1481851

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDEND RECEIVABLE	0	1,479	1,479

TY 2013 Other Expenses Schedule

Name: Colonna Family Foundation

EIN: 25-1481851

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MELLON TRUSTEE FEES	13,553	13,553		
BANK FEES	180	180		
MISCELLANEOUS EXPENSE	133	133		

TY 2013 Other Income Schedule

Name: Colonna Family Foundation

EIN: 25-1481851

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Mellon Trust Other Income	60	60	

TY 2013 Taxes Schedule

Name: Colonna Family Foundation

EIN: 25-1481851

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
IRS PAYMENTS	273			
FOREIGN TAX	477	477		

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Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)
COLONNA FAMILY FDN
Trust Year 1/1/2014 - 9/30/2014

Account Id: 10260442256

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
007565302	ADVANTAGE GLOBAL ALPHA-R								
	Sold	03/28/14	292.897	4,247.01					
	Acq	05/17/10	292.897	4,247.01	3,005 12	3,005.12	1,241.89	1,241.89	L
			Total for 3/28/2014		3,005 12	3,005.12	1,241.89	1,241 89	
05569M301	BNY MELLON INCOME STK FD CL M								
	Sold	03/28/14	5747.126	53,448.27					
	Acq	08/13/13	5747.126	53,448.27	50,000 00	50,000 00	3,448.27	3,448 27	S
			Total for 3/28/2014		50,000 00	50,000 00	3,448 27	3 448 27	
05569M368	BNY MELLON CORP BOND-M								
	Sold	03/28/14	2608.359	33,308.75					
	Acq	09/11/12	2608.359	33,308.75	33,700 00	33,700 00	-391.25	-391 25	L
			Total for 3/28/2014		33,700 00	33,700 00	-391 25	-391 25	
05569M475	BNY MELLON FOCUSED EQUITY OPP-M								
	Sold	03/28/14	6257.62	103,000.43					
	Acq	05/18/10	3751 178	61,744 39	39,800 00	39,800 00	21,944.39	21,944 39	L
	Acq	12/11/13	453 053	7,457 25	7,090 27	7,090 27	366.98	366 98	S
	Acq	05/14/10	2053 389	33 798 78	22,197 14	22,197 14	11,601.64	11,601.64	L
			Total for 3/28/2014		69,087.41	69,087 41	33,913 02	33,913 02	
05569M509	BNY MELLON MID CAP STK FD CL M								
	Sold	04/01/14	2269 275	34,129 90					
	Acq	12/07/06	507 741	7,636 43	6,717 41	6,717 41	919 02	919 02	L
	Acq	12/16/13	206 007	3,098 35	2,884 10	2,884 10	214.25	214 25	S
	Acq	12/10/04	92 513	1,391 40	1,199 89	1,199 89	191 51	191 51	L
	Acq	12/06/07	1463 014	22,003 73	18,419 35	18,419 35	3,584 38	3,584 38	L
			Total for 4/1/2014		29,220 75	29,220 75	4,909 15	4,909 15	
05569M780	BNY MELLON S/T US GVT SECS FD CL M								
	Sold	04/01/14	753 14	9,015 08					
	Acq	05/18/10	753 14	9,015 08	9,323 87	9,323.87	-308 79	-308 79	L
			Total for 4/1/2014		9,323 87	9,323 87	-308 79	-308 79	
05569M830	BNY MELLON BOND FD CL M								
	Sold	04/01/14	1779 298	22,846 18					
	Acq	12/17/12	48.213	619 05	652 80	652 80	-33 75	-33 75	L
	Acq	05/18/10	1731 085	22,227 13	22,677 21	22,677 21	-450.08	-450 08	L
			Total for 4/1/2014		23,330 01	23,330 01	-483 83	-483 83	
261967830	DREYFUS INFLATION ADJUSTED SECS FD								
	Sold	03/28/14	1337 518	16 932 98					
	Acq	12/23/10	3 936	49 83	50 34	50 34	-0 51	-0 51	L
	Acq	05/18/10	1284.418	16,260 73	16,479 08	16,479 08	-218 35	-218 35	L
	Acq	12/20/12	49 164	622 42	681 42	681 42	-59 00	-59 00	L
			Total for 3/28/2014		17,210 84	17,210 84	-277 86	-277 86	

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)

Account Id: 10260442256

COLONNA FAMILY FDN

Trust Year 1/1/2014 - 9/30/2014

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
261980759	DREYFUS PREM LT TRM HI YLD-R								
Sold		03/28/14	2566.427	17,528.70					
Acq		09/11/12	655 488	4,476.98	4,300 00	4,300.00	176.98	176.98	L
Acq		05/18/10	1910.939	13,051.72	12,249 12	12,249.12	802.60	802.60	L
			Total for 3/28/2014		16,549 12	16,549.12	979.58	979 58	
261986541	DREYFUS PR LRGE CAP EQ FD CL I								
Sold		03/28/14	10265.781	161,070.10					
Acq		03/14/11	10265.781	161,070.10	116,311 30	116,311.30	44,758.80	44,758.80	L
			Total for 3/28/2014		116,311 30	116,311.30	44,758.80	44,758.80	
26200C403	DREYFUS GROWTH & VALUE FDS INC								
Sold		03/28/14	560.313	19,896.71					
Acq		12/11/13	64.931	2,305.70	2,181 66	2,181.66	124.04	124.04	S
Acq		12/08/11	59 686	2,119.45	1,315 46	1,315.46	803.99	803.99	L
Acq		03/10/11	42.139	1,496.36	1,300 00	1,300.00	196.36	196.36	L
Acq		12/14/10	6 46	229.39	190 45	190.45	38.94	38.94	L
Acq		05/14/10	387 097	13,745.81	10,200 00	10,200.00	3,545.81	3,545.81	L
			Total for 3/28/2014		15,187 57	15,187.57	4,709 14	4,709 14	
63872T729	ASG MANAGED FUTURES STRAT-Y								
Sold		03/28/14	1100 305	10,981.04					
Acq		12/24/12	6 698	66 85	60 48	60.48	6.37	6.37	L
Acq		12/27/13	0.021	0 21	22	.22	-0.01	-0.01	S
Acq		09/12/12	1093.586	10,913 98	10,400 00	10,400.00	513.98	513.98	L
			Total for 3/28/2014		10,460 70	10,460.70	520.34	520.34	
63872T885	ASG GLOBAL ALTERNATIVES FUND-Y								
Sold		03/28/14	2220 4	25,001 70					
Acq		12/27/13	125.006	1,407 57	1,425 07	1,425.07	-17.50	-17.50	S
Acq		04/09/13	42 985	484 01	469 39	469.39	14.62	14.62	S
Acq		04/12/11	11 536	129 90	125 74	125.74	4.16	4.16	L
Acq		03/10/11	2031 394	22,873 49	22,000 00	22,000.00	873 49	873.49	L
Acq		09/11/12	9.479	106 73	100 00	100.00	6.73	6.73	L
			Total for 3/28/2014		24,120 20	24,120.20	881.50	881.50	
86271F578	DREYFUS SELECT MGRS S/C GROWTH FD-I								
Sold		03/28/14	740 522	18,942 55					
Acq		12/04/13	36 642	937 30	928 13	928.13	9.17	9.17	S
Acq		03/14/11	703 88	18,005.25	12 078 59	12,078 59	5,926 66	5,926.66	L
			Total for 3/28/2014		13,006 72	13,006.72	5,935.83	5,935.83	
86271F677	DREYFUS SELECT MANAGERS S/C VL-I								
Sold		03/28/14	771 302	19,189 99					
Acq		12/31/10	32 148	799 84	643 61	643 61	156 23	156.23	L
Acq		12/31/13	60 856	1,514 10	1 511 05	1,511 05	3.05	3.05	S
Acq		03/20/13	0 293	7 29	6 38	6 38	0 91	0.91	L
Acq		12/31/12	34 405	856 00	668 83	668 83	187 17	187.17	L
Acq		05/14/10	552 546	13,747 34	10 200 00	10,200 00	3,547 34	3,547.34	L

BNY / Mellon

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)
COLONNA FAMILY FDN
Trust Year 1/1/2014 - 9/30/2014

Account Id: 10260442256

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
86271F677	DREYFUS SELECT MANAGERS S/C VL-I								
Sold		03/28/14	771.302	19,189.99					
Acq		03/19/14	0.164	4 08	4 17	4.17	-0.09	-0.09	S
Acq		03/10/11	30.65	762.57	632 31	632.31	130.26	130.26	L
Acq		12/30/11	60.19	1,497.53	1,045.49	1,045 49	452.04	452.04	L
Acq		03/28/12	0.05	1 24	.99	.99	0.25	0.25	L *
			Total for 3/28/2014		14,712.83	14,712.83	4,477.16	4,477.16	
86271F727	DREYFUS U S EQUITY FUND-I								
Sold		03/28/14	5926.783	116,342.75					
Acq		12/31/13	23.943	470.00	476 95	476 95	-6.95	-6 95	S
Acq		05/18/10	3654.005	71,728 12	44,250.00	44,250 00	27,478.12	27,478.12	L
Acq		05/14/10	2248.835	44,144 63	27,435.79	27,435 79	16,708.84	16,708.84	L
			Total for 3/28/2014		72,162.74	72,162.74	44,180 01	44,180.01	
87234N765	TCW EMERGING MARKETS INCOME FUND-I								
Sold		03/28/14	1313.789	11,180 34					
Acq		09/11/12	1313.789	11,180 34	12,001.52	12,001.52	-821.18	-821 18	L
			Total for 3/28/2014		12,001.52	12,001.52	-821.18	-821.18	
			Total for Account: 10260442256		529,390 70	529,390.70	147,671.78	147,671.78	

Total Proceeds:

677 062 48

	Fed	State
S/T Gain/Loss	4,155 83	4,155 83
L/T Gain/Loss	143,515 95	143,515 95