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Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning OCT 1, 2013, and ending SEP 30, 2014

Name of foundation
NORMAN RAAB FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)
PO BOX 31086

City or town, state or province, country, and ZIP or foreign postal code
SEATTLE, WA 98103

A Employer identification number
23-7006390

B Telephone number
(206) 632-1014

C If exemption application is pending, check here ☐

D-1 Foreign organizations, check here ☐

D-2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply:
☐ Initial return
☐ Final return
☒ Address change
☐ Initial return of a former public charity
☐ Amended return
☐ Name change

H Check type of organization:
☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust
☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)
\$ 21,852,348.

J Accounting method:
☒ Cash
☐ Accrual
☐ Other (specify) _____

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		84.	84.		STATEMENT 1
4 Dividends and interest from securities		499,112.	499,112.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		501,480.			
b Gross sales price for all assets on line 6a		3,924,428.			
7 Capital gain net income (from Part IV, line 2)			501,480.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		1,000,676.	1,000,676.		
13 Compensation of officers, directors, trustees, etc		58,099.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		4,500.	0.		0.
c Other professional fees STMT 4		203,148.	203,148.		0.
17 Interest					
18 Taxes STMT 5		29,648.	0.		0.
19 Depreciation and depletion					
20 Occupancy		12,408.	0.		0.
21 Travel, conferences, and meetings		16,794.	0.		0.
22 Printing and publications					
23 Other expenses STMT 6		30,261.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		354,858.	203,148.		0.
25 Contributions, gifts, grants paid		999,165.			999,165.
26 Total expenses and disbursements. Add lines 24 and 25		1,354,023.	203,148.		999,165.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-353,347.			
b Net investment income (if negative, enter -0-)			797,528.		
c Adjusted net income (if negative, enter -0-)				N/A	

323501
10-10-13

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2013)

SCANNED FEB 24 2015

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1,352,621.	977,011.	977,011.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 9	9,567,698.	9,426,380.	16,222,570.
	c Investments - corporate bonds STMT 10	4,403,968.	4,455,551.	4,652,767.
	11 Investments - land, buildings, and equipment basis ▶			
Liabilities	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	15,324,287.	14,858,942.	21,852,348.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted and complete lines 24 through 26 and lines 30 and 31.			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	15,324,287.	14,858,942.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	15,324,287.	14,858,942.	
	31 Total liabilities and net assets/fund balances	15,324,287.	14,858,942.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,324,287.
2 Enter amount from Part I, line 27a	2	-353,347.
3 Other increases not included in line 2 (itemize) ▶ TAX-EXEMPT INTEREST	3	12,903.
4 Add lines 1, 2, and 3	4	14,983,843.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	124,901.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	14,858,942.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FIRST MANHATTAN CO. #0524	P	VARIOUS	12/31/13
b FIRST MANHATTAN CO. #0524	P	VARIOUS	12/31/13
c FIRST MANHATTAN CO. #0516	P	VARIOUS	12/31/13
d FIRST MANHATTAN CO. #0516	P	VARIOUS	12/31/13
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,371,924.		1,433,967.	-62,043.
b 2,406,992.		1,838,606.	568,386.
c 8,101.		8,964.	-863.
d 137,411.		141,411.	-4,000.
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			-62,043.
b			568,386.
c			-863.
d			-4,000.
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	501,480.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,210,979.	20,280,909.	.059710
2011	824,863.	18,920,687.	.043596
2010	935,325.	18,298,518.	.051115
2009	715,434.	17,256,381.	.041459
2008	263,471.	15,272,516.	.017251

2 Total of line 1, column (d)	2	.213131
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.042626
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	21,615,456.
5 Multiply line 4 by line 3	5	921,380.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	7,975.
7 Add lines 5 and 6	7	929,355.
8 Enter qualifying distributions from Part XII, line 4	8	999,165.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	7,975.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	7,975.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	7,975.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	9,700.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	9,700.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,725.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☒ 1,725. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>PA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>HTTP://RAABFOUNDATION.ORG/</u>	13	X	
14	The books are in care of ► <u>SARA RAAB MCINERNY</u> Telephone no. ► <u>(206) 632-1014</u> Located at ► <u>P.O. BOX 31086, SEATTLE, WA</u> ZIP+4 ► <u>98103</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		58,099.	10,178.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	20,705,864.
b	Average of monthly cash balances	1b	1,238,761.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	21,944,625.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	21,944,625.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	329,169.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	21,615,456.
6	Minimum investment return. Enter 5% of line 5	6	1,080,773.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,080,773.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	7,975.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	7,975.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,072,798.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,072,798.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,072,798.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	999,165.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	999,165.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	7,975.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	991,190.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,072,798.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				110,319.
f Total of lines 3a through e	110,319.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 999,165.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				999,165.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	73,633.			73,633.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	36,686.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	36,686.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				36,686.
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
- b** 85% of line 2a
- c** Qualifying distributions from Part XII, line 4 for each year listed
- d** Amounts included in line 2c not used directly for active conduct of exempt activities
- e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:
(1) Value of all assets

(1) Value of all assets

(2) Value of assets qualifying under section 4942(i)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV	Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)
----------------	--

- ## 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 12

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 13

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
GRANT APPLICATION PROGRAM GRANTS- SEE ATTACHED				880,250.
TRUSTEE DISCRETIONARY AND MATCHING GRANTS- SEE ATTACHED				83,915.
PHILANTHROPY TODAY GRANTS- SEE ATTACHED				35,000.
Total			▶ 3a	999,165.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		Related or exempt function income
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	84.		
4 Dividends and interest from securities			14	499,112.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	501,480.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		1,000,676.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	1,000,676.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

(1) Cash

(2) Other assets

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment, or other assets

(4) Reimbursement arrangements

(5) Loans or loan guarantees

(6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2.10.2015
Date

EXECUTIVE DIRECTOR

May the IRS discuss this return with the preparer shown below (see instr 1)?

☒ Yes ☐ No**Paid
Preparer
Use Only**

Print/Type preparer's name

STEPHEN A. BLEYER

Firm's name ► **MARCUM LLP**

Firm's address ► 3 BALA PLAZA EAST, # 700
BALA CYNWYD, PA 19004-3492

Prepared's signature

Date _____

Check ☐ self-employed

PTIN

P00130063

Firm's EIN ▶	11-1986323
--------------	------------

Phone no. **484-270-2620**

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BUCKS COUNTY BANK	84.	84.	
TOTAL TO PART I, LINE 3	84.	84.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FIRST MANHATTAN - BOND AMORTIZATION	-43,473.	0.	-43,473.	-43,473.	
FIRST MANHATTAN - DIVIDENDS	345,672.	0.	345,672.	345,672.	
FIRST MANHATTAN - INTEREST	228,656.	0.	228,656.	228,656.	
FIRST MANHATTAN - OTHER MARKET PREMIUMS AND	-31,743.	0.	-31,743.	-31,743.	
TO PART I, LINE 4	499,112.	0.	499,112.	499,112.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,500.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	4,500.	0.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	203,148.	203,148.		0.
TO FORM 990-PF, PG 1, LN 16C	203,148.	203,148.		0.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAX	12,805.	0.		0.
FOREIGN TAX	12,224.	0.		0.
2013 ESTIMATED TAX	4,619.	0.		0.
TO FORM 990-PF, PG 1, LN 18	29,648.	0.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE	4,175.	0.		0.
POSTAGE	458.	0.		0.
MISCELLANEOUS	1,470.	0.		0.
BANK CHARGES	170.	0.		0.
MEDICAL INSURANCE	10,178.	0.		0.
OFFICE SUPPLIES	551.	0.		0.
SUBSCRIPTION	574.	0.		0.
TELEPHONE	1,066.	0.		0.
WEB DESIGN	9,814.	0.		0.
MEALS AT 50%	1,805.	0.		0.
TO FORM 990-PF, PG 1, LN 23	30,261.	0.		0.

FOOTNOTES

STATEMENT 7

STATEMENT ATTACHMENT TO PART XV

PURPOSE OF GRANT OR CONTRIBUTION
ALL CONTRIBUTIONS WERE MADE TO SUPPORT COMMUNITY,
EDUCATIONAL, OR MEDICAL PURPOSES.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
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DESCRIPTION	AMOUNT
BEGINNING OF YEAR BOOK BASIS ADJUSTMENT	124,901.
TOTAL TO FORM 990-PF, PART III, LINE 5	124,901.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	9,426,380.	16,222,570.
TOTAL TO FORM 990-PF, PART II, LINE 10B	9,426,380.	16,222,570.

FORM 990-PF	CORPORATE BONDS	STATEMENT	10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	4,455,551.	4,652,767.
TOTAL TO FORM 990-PF, PART II, LINE 10C	4,455,551.	4,652,767.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
SARA RAAB MCINERNY P.O. BOX 31086 SEATTLE, WA 98103	EXECUTIVE DIRECTOR 30.00	41,599.	10,178.	0.
STEPHEN RAAB P.O. BOX 657 HOLICONG, PA 18928	CHAIR 25.00	2,500.	0.	0.
WHITNEY RAAB P.O. BOX 31086 SEATTLE, WA 98103	VICE CHAIR 5.00	2,500.	0.	0.
MARIE BRICKLEY-RAAB P.O. BOX 657 HOLICONG, PA 18928	TRUSTEE 5.00	2,500.	0.	0.
STEPHEN A. BLEYER P.O. BOX 31086 SEATTLE, WA 98103	TRUSTEE 5.00	2,000.	0.	0.
ISABEL RAAB P.O. BOX 31086 SEATTLE, WA 98103	TRUSTEE 5.00	2,500.	0.	0.
EMILY RAAB P.O. BOX 31086 SEATTLE, WA 98103	TRUSTEE 5.00	0.	0.	0.
JENNIE RAAB BARNES P.O. BOX 31086 SEATTLE, WA 98103	TRUSTEE 5.00	2,000.	0.	0.
TRYG MCINERNY P.O. BOX 31086 SEATTLE, WA 98103	TRUSTEE 5.00	2,500.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		58,099.	10,178.	0.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 12

NAME OF MANAGER

SARA RAAB MCINERNY
STEPHEN RAAB
WHITNEY RAAB

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 13

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ALL GRANT APPLICATIONS MUST BE SUBMITTED THROUGH OUR ONLINE GRANT SYSTEM
ACCESSED THROUGH OUR WEBSITE AT RAABFOUNDATION.ORG.
PAPER APPLICATIONS WILL NOT BE CONSIDERED.

EMAIL ADDRESS

INFO@RAABFOUNDATION.ORG

FORM AND CONTENT OF APPLICATIONS

APPLICATIONS MUST BE SUBMITTED ELECTRONICALLY AT RAABFOUNDATION.ORG. THE
APPLICATION IS A TWO STEP PROCESS: ONCE THE LETTER OF INQUIRY IS APPROVED A
FULL GRANT APPLICATION IS SUBMITTED. THE AMOUNT REQUESTED, DESCRIPTION OF
THE ORGANIZATION AND PROPOSED GRANT, BUDGET INFORMATION, AND BOARD OF
DIRECTOR INFORMATION ARE REQUIRED. SEE RAABFOUNDATION.ORG FOR SPECIFIC
INFORMATION. WE ASK GRANTEEES AND APPLICANTS TO LIMIT PAPER CORRESPONDENCE.

ANY SUBMISSION DEADLINES

SEE RAABFOUNDATION.ORG FOR APPLICATION DEADLINES.

RESTRICTIONS AND LIMITATIONS ON AWARDS

CONTRIBUTIONS ARE MADE ONLY TO PUBLIC CHARITIES

**Raab Family Foundation
Capital Gain/Loss Summary
September 30, 2014**

		Proceeds	Costs	Gain/Loss
#0524	ST	999,945.78	999,664.00	281.78
		30,113.38	35,213.78	(5,100.40)
		341,864.64	399,089.47	(57,224.83)
		<u>1,371,923.80</u>	<u>1,433,967.25</u>	<u>(62,043.45)</u>
	LT	360,517.23	367,046.80	(6,529.57)
		355,418.81	172,984.71	182,434.10
		98,972.09	47,833.29	51,138.80
		553,315.37	432,286.00	121,029.37
		134,616.98	57,384.78	77,232.20
		158,501.19	96,496.00	62,005.19
		113,252.12	58,743.80	54,508.32
		114,156.01	58,743.80	55,412.21
		191,522.27	245,800.00	(54,277.73)
		9,085.34	27,350.00	(18,264.66)
		33,021.08	43,731.25	(10,710.17)
		105,667.46	139,940.00	(34,272.54)
		78,858.80	45,003.00	33,855.80
		100,087.45	45,262.50	54,824.95
		<u>2,406,992.20</u>	<u>1,838,605.93</u>	<u>568,386.27</u>
	Sub-total			
#0516	ST	3,100.70	3,785.72	(685.02)
		<u>5,000.00</u>	<u>5,177.94</u>	<u>(177.94)</u>
	Sub-total	8,100.70	8,963.66	(862.96)
	LT	5,000.00	5,062.08	(62.08)
		5,000.00	5,147.08	(147.08)
		5,000.00	5,131.44	(131.44)
		20,000.00	20,651.00	(651.00)
		5,000.00	5,146.98	(146.98)
		35,000.00	36,173.05	(1,173.05)
		1,413.00	1,774.91	(361.91)
		1,481.85	1,815.57	(333.72)
		2,010.29	2,159.08	(148.79)
		11,487.38	12,332.86	(845.48)
		47.14	50.44	(3.30)
		6,053.10	6,528.85	(475.75)
		22,851.72	21,169.59	1,682.13
		4,200.58	3,877.03	323.55
		6,280.00	7,093.99	(813.99)
		6,586.00	7,296.99	(710.99)
		<u>137,411.06</u>	<u>141,410.94</u>	<u>(3,999.88)</u>
	Sub-total			
Grand Total		3,924,427.76	3,422,947.78	501,479.98

HOLDINGS | TRANSACTIONS | SECURITY TRANSACTIONS | CASH TRANSACTIONS | HOLDINGS BY TAX LOT | REALIZED G/L | REPORTS

REALIZED G/L

THE NORMAN RAAB FOUNDATION

Account # 37Z500524

View All Realized G/L Between

Print Export

Date Closed From: 10/1/13 Date Closed To: 9/30/14 Search

BUY DATE	SELL DATE	QTY	SECURITY	COST BASIS	PROCEEDS	GAIN/LOSS	TERM
03/05/14	06/17/14	1,000,000.00	UNITED STATES TREAS T-BILL AUG 21 14	\$999,664.00	\$999,945.78	\$281.78	Short Term
11/21/13	04/04/14	1,500.00	SYMANTEC CORP	\$35,213.78	\$30,113.38	-\$5,100.40	Short Term
11/21/13	04/30/14	17,000.00	SYMANTEC CORP	\$399,089.47	\$341,864.64	-\$57,224.83	Short Term
02/01/13	07/28/14	6,000.00	TARGET CORPORATION	\$367,046.80	\$360,517.23	-\$6,529.57	Long Term
12/28/11	12/10/13	10,000.00	SUNTRUST BANKS INC	\$172,984.71	\$355,418.81	\$182,434.10	Long Term
11/17/11	07/30/14	1,200.00	SCRIPPS NETWORKS	\$47,833.29	\$98,972.09	\$51,138.80	Long Term
04/15/11	11/21/13	15,000.00	AMEREN CORPORATION	\$432,286.00	\$553,315.37	\$121,029.37	Long Term
06/23/10	11/21/13	1,919.00	PENTAIR LTD	\$57,384.78	\$134,616.98	\$77,232.20	Long Term
02/23/10	07/08/14	6,000.00	GENERAL ELECTRIC CO	\$96,496.00	\$158,501.19	\$62,005.19	Long Term
01/07/09	11/25/13	3,000.00	MICROSOFT CORP	\$58,743.80	\$113,252.12	\$54,508.32	Long Term
01/07/09	03/05/14	3,000.00	MICROSOFT CORP	\$58,743.80	\$114,156.01	\$55,412.21	Long Term
03/14/08	07/08/14	7,250.00	GENERAL ELECTRIC CO	\$245,800.00	\$191,522.27	-\$54,277.73	Long Term
07/09/05	11/21/13	1,000.00	ALCOA INC	\$27,350.00	\$9,085.34	-\$18,264.66	Long Term
07/09/05	07/08/14	1,250.00	GENERAL ELECTRIC CO	\$43,731.25	\$33,021.08	-\$10,710.17	Long Term
07/09/05	07/08/14	4,000.00	GENERAL ELECTRIC CO	\$139,940.00	\$105,667.46	-\$34,272.54	Long Term
07/09/05	11/25/13	600.00	3M COMPANY	\$45,003.00	\$78,858.80	\$33,855.80	Long Term
07/09/05	11/25/13	1,500.00	CVS HEALTH CORP	\$45,262.50	\$100,087.45	\$54,824.95	Long Term

CSV

REALIZED G/L

THE NORMAN BAAR FOUNDATION BOND ACCOUNT

Account # 372500516

View All Realized Closed Between ▾

Print Export

Date Closed From: 10/01/13

Date Closed To: 09/30/14

Search

DATE	LOT	QUANTITY	DESCRIPTION	UNIT COST	MARKET PRICE	REALIZED G/L	TERMINAL
10/01/13	01/21/14	3,100.70	FEDERAL EXPRESS PASS 7.650 JUL 15 24	\$3,785.72	\$3,100.70	-\$685.02	Short Term
04/04/13	09/22/14	5,000.00	MAINE ST HSG AUTH MT 4.850 NOV 15 36	\$5,062.08	\$5,000.00	-\$62.08	Long Term
04/04/13	02/03/14	5,000.00	NORTH CAROLINA HSG F 5.250 JAN 01 39	\$5,177.94	\$5,000.00	-\$177.94	Short Term
01/25/13	06/02/14	5,000.00	OHIO HSG FIN AGY MTG 4.625 SEP 01 27	\$5,147.08	\$5,000.00	-\$147.08	Long Term
01/25/13	09/02/14	5,000.00	OHIO HSG FIN AGY MTG 4.625 SEP 01 27	\$5,131.44	\$5,000.00	-\$131.44	Long Term
01/24/13	03/03/14	20,000.00	OHIO HSG FIN AGY MTG 4.625 SEP 01 27	\$20,651.00	\$20,000.00	-\$651.00	Long Term
01/24/13	06/02/14	5,000.00	OHIO HSG FIN AGY MTG 4.625 SEP 01 27	\$5,146.98	\$5,000.00	-\$146.98	Long Term
11/19/12	12/02/13	35,000.00	OHIO HSG FIN AGY MTG 4.625 SEP 01 27	\$36,173.05	\$35,000.00	-\$1,173.05	Long Term
10/14/11	01/03/14	1,413.00	PROC&GAMBL PFTSHR TR 9.360 JAN 01 21	\$1,774.91	\$1,413.00	-\$361.91	Long Term
10/14/11	07/03/14	1,481.85	PROC&GAMBL PFTSHR TR 9.360 JAN 01 21	\$1,815.57	\$1,481.85	-\$333.72	Long Term
09/20/11	04/02/14	2,010.29	BNSF RAILWAY CO 5.342 APR 01 24	\$2,159.08	\$2,010.29	-\$148.79	Long Term
09/07/11	04/02/14	11,487.38	BNSF RAILWAY CO 5.342 APR 01 24	\$12,332.86	\$11,487.38	-\$845.48	Long Term
09/01/11	10/01/13	47.14	BNSF RAILWAY CO 2007 5.996 APR 01 24	\$50.44	\$47.14	-\$3.30	Long Term
09/01/11	04/02/14	6,053.10	BNSF RAILWAY CO 2007 5.996 APR 01 24	\$6,528.85	\$6,053.10	-\$475.75	Long Term
04/21/09	01/03/14	22,851.72	UNION PACIFIC RR CO 4.698 JAN 02 24	\$21,169.59	\$22,851.72	\$1,682.13	Long Term
04/21/09	07/03/14	4,200.58	UNION PACIFIC RR CO 4.698 JAN 02 24	\$3,877.03	\$4,200.58	\$323.55	Long Term
08/16/06	01/03/14	6,280.00	PROC&GAMBL PFTSHR TR 9.360 JAN 01 21	\$7,093.99	\$6,280.00	-\$813.99	Long Term
08/16/06	07/03/14	6,586.00	PROC&GAMBL PFTSHR TR 9.360 JAN 01 21	\$7,296.99	\$6,586.00	-\$710.99	Long Term

CSV

**Norman Raab Foundation
Contribution Summary
September 30, 2014**

Grant Application Program Grants

Name and Address	Relationship	Foundation Status	Purpose of Contribution	Amount
826 Seattle 8414 Greenwood Ave N Seattle WA 98103	None	501 (c)(3) organization	Education Project: Support	12,500
A Woman's Place PO Box 299 Doylestown PA 18901	None	501 (c)(3) organization	Human Services: General Operating Support	10,000
Ann Silverman Community Health Clinic 595 West State Street Doylestown PA 18901	None	501 (c)(3) organization	Health and Research: Project Support	25,000
Big Brothers Big Sisters of Bucks County 2875 York Road Jamison PA 18929	None	501 (c)(3) organization	Education: Project Support	10,000
Bowman's Hill Wildflower Preserve P.O. Box 685 New Hope PA 18938	None	501 (c)(3) organization	Environment: Project Support	15,000
Brady Campaign and Center to Prevent Gun Violence 840 First Street NE Washington DC 20002	None	501 (c)(3) organization	Advocacy: Project Support	50,000
Bucks County Audubon Society 2877 Creamery Road New Hope PA 18938	None	501 (c)(3) organization	Education: Project Support	10,000
Bucks County Historical Society 84 South Pine Street Doylestown PA 18901	None	501 (c)(3) organization	Education: Project Support	5,000

Bucks County Historical Society 84 South Pine Street Doylestown PA 18901	None	501 (c)(3) organization	Arts and Culture: General Operating Support	250
Bucks County SPCA PO Box 277 Lahaska PA 18931	None	501 (c)(3) organization	Advocacy: Project Support	10,000
California Pacific Medical Center Foundation 2015 Steiner Street San Francisco CA 94115	None	501 (c)(3) organization	Health and Research: Project Support	50,000
Camping Unlimited for the Developmentally Disabled 4610 Whitesands Court El Sobrante CA 94803	None	501 (c)(3) organization	Human Services: General Operating Support	10,000
Central Bucks Ambulance and Rescue Unit 455 East Street Doylestown PA 18901	None	501 (c)(3) organization	Health and Research: Capital Fund Support	37,500
Chandler Hall Health Services 99 Barclay Street Newtown PA 18940	None	501 (c)(3) organization	Education: General Operating Support	5,000
CHOICE - Concern for Health Options: Information, Care, and Education 1500 Walnut Street Suite 200 Philadelphia PA 19102	None	501 (c)(3) organization	Human Services: Project Support	5,000
Compass Housing Alliance 77 S. Washington St. Seattle WA 98104	None	501 (c)(3) organization	Human Services: Project Support	10,000
Doctors Without Borders USA 333 7th Avenue New York NY 10001-5004	None	501 (c)(3) organization	Health and Research: Project Support	30,000
Doylestown Hospital 595 W. State Street Doylestown PA 18901	None	501 (c)(3) organization	Health and Research: Project Support	15,000

EarthCorps 6310 NE 74th Street, Suite 201E Seattle WA 98115	None	501 (c)(3) organization	Environment: Project Support	17,500
Educational Fund to Stop Gun Violence 805 15th Street NW, Suite 700 Washington DC 20005	None	501 (c)(3) organization	Advocacy: Project Support	10,000
Facing History and Ourselves 16 Hurd Road Brookline MA 02445	None	501 (c)(3) organization	Education: Project Support	50,000
Greyhound Friends for Life 364 Coventry Road Kensington CA 94707	None	501 (c)(3) organization	Advocacy: Project Support	5,000
Healing Consciousness Foundation 45 Second Street Pike Southampton PA 18966	None	501 (c)(3) organization	Health and Research: Project Support	10,000
Hospice by the Bay 17 E. Sir Francis Drake Blvd. Larkspur CA 94939	None	501 (c)(3) organization	Health and Research: Project Support	10,000
Hunger Intervention Program 3841 NE 123rd Street Seattle WA 98125	None	501 (c)(3) organization	Human Services: Project Support	7,500
Jewish Family and Children's Service (JFCS) of Greater Philadelphia 2100 Arch Street 5th Floor Philadelphia PA 19103	None	501 (c)(3) organization	Human Services: Project Support	25,000
Jewish Family and Children's Services - SF 2150 Post St. San Francisco CA 94115	None	501 (c)(3) organization	Human Services: Project Support	25,000
Johns Hopkins Bloomberg School of Public Health 615 N. Wolfe Street Office of External Affairs Baltimore MD 21205	None	501 (c)(3) organization	Health and Research: Project Support	50,000

Johns Hopkins Wilmer Eye Institute Wilmer 112 600 North Wolfe Street Baltimore MD 21287-9015	None	501 (c)(3) organization	Health and Research: Capital Fund Support	25,000
Laurel House P.O. Box 764 Norristown PA 19404	None	501 (c)(3) organization	Human Services: Project Support	7,500
Magee Rehab Hospital Foundation 1513 Race Street Philadelphia PA 19102	None	501 (c)(3) organization	Health and Research: Project Support	15,000
Marin Humane Society 171 Bel Marin Keys Boulevard Novato CA 94949	None	501 (c)(3) organization	Human Services: Project Support	10,000
Marin Senior Coordinating Council 930 Tamalpais Avenue San Rafael CA 94901	None	501 (c)(3) organization	Human Services: Project Support	10,000
National Museum of American Jewish History 101 South Independence Mall East Philadelphia PA 19106	None	501 (c)(3) organization	Arts and Culture: Project Support	10,000
Network of Victim Assistance 2370 York Road Suite B-1 Jamison PA 18929	None	501 (c)(3) organization	Human Services: Project Support	60,000
Philadelphia Children's Alliance 300 E. Hunting Park Avenue Philadelphia PA 19124	None	501 (c)(3) organization	Human Services: General Operating Support	10,000
Philadelphia Youth Orchestra PO Box 41810 Philadelphia PA 19101-1810	None	501 (c)(3) organization	Arts and Culture: General Operating Support	10,000
Seattle Children's Hospital Foundation P.O. Box 5371 M/S S200 Seattle WA 98115	None	501 (c)(3) organization	Health and Research: Project Support	10,000

SF-Marin Food Bank 900 Pennsylvania Ave. San Francisco CA 94109	None	501 (c)(3) organization	Human Services: Project Support	10,000
Solid Ground 1501 N 45th Street Seattle WA 98103-6708	None	501 (c)(3) organization	Human Services: Project Support	20,000
The Food Trust 1617 John F. Kennedy Blvd. Suite 900 Philadelphia PA 19103	None	501 (c)(3) organization	Human Services: Project Support	20,000
The Seattle Public Library Foundation 1000 Fourth Avenue Seattle WA 98104	None	501 (c)(3) organization	Education: Project Support	20,000
Thomas Jefferson University 1020 Walnut Street 5th Floor Philadelphia PA 19107	None	501 (c)(3) organization	Health and Research: Project Support	25,000
US Holocaust Memorial Museum 100 Raoul Wallenberg Place, SW Washington DC 20024	None	501 (c)(3) organization	Education: Project Support	50,000
Vita Education Services 8 East Court St. 2nd floor Doylestown PA 18901	None	501 (c)(3) organization	Education: Project Support	10,000
Wellspring Family Services 1900 Rainier Avenue South Seattle WA 98144	None	501 (c)(3) organization	Human Services: Project Support	17,500
Woodland Park Zoo 601 N 59th Street Seattle WA 98103	None	501 (c)(3) organization	Education: Project Support	20,000
Total Grant Application Program Grants				880,250

Trustee Discretionary and Matching Grants

Name and Address	Relationship	Foundation Status	Purpose of Contribution	Amount
ADHA Institute for Oral Health 444 North Michigan Ave, Suite 3400 Chicago IL 60611	None	501 (c)(3) organization	Health and Research: Discretionary Grant	500
Adopt-a-Native-Elder Program P.O. Box 3401 Park City UT 84060	None	501 (c)(3) organization	Human Services: Discretionary Grant	2,500
Alex's Lemonade Stand Foundation 333 E Lancaster Ave. Suite 414 Wynnewood PA 19096	None	501 (c)(3) organization	Health and Research: Discretionary Grant	1,250
Ballard Food Bank 5130 Leary Ave NW Seattle WA 98107	None	501 (c)(3) organization	Human Services: Discretionary Grant	500
Bowman's Hill Wildflower Preserve P.O. Box 685 New Hope PA 18938	None	501 (c)(3) organization	Environment: Discretionary Grant	500
Bowman's Hill Wildflower Preserve P.O. Box 685 New Hope PA 18938	None	501 (c)(3) organization	Environment: Discretionary Grant	4,000
Breathing Room Foundation 600 West Avenue P.O. Box 287 Jenkintown PA 19046	None	501 (c)(3) organization	Human Services: Discretionary Grant	1,500
Bryn Mawr Film Institute 824 W Lancaster Avenue Bryn Mawr PA 19010	None	501 (c)(3) organization	Arts and Culture: Discretionary Grant	1,250
Bucks County Audubon Society 2877 Creamery Road New Hope PA 18938	None	501 (c)(3) organization	Environment: Discretionary Grant	1,500
California Academy of Science 55 Music Concourse Dr. Golden Gate Park San Francisco CA 94118	None	501 (c)(3) organization	Arts and Culture: Discretionary Grant	1,000

Champions of Caring P.O. Box 568 Bala Cynwyd PA 19004	None	501 (c)(3) organization	Education: Discretionary Grant	2,500
Classical 98.1 10 Harrison Street Suite 100 Seattle WA 98109	None	501 (c)(3) organization	Arts and Culture: Matching Grant	120
Compassion & Choices of WA PO Box 61369 Seattle WA 98141-6369	None	501 (c)(3) organization	Health and Research: Discretionary Grant	2,000
County Theater 20 East State Street Doylestown PA 18901	None	501 (c)(3) organization	Arts and Culture: Discretionary Grant	500
Earthjustice 50 California Street, Suite 500 San Francisco CA 94111	None	501 (c)(3) organization	Environment: Discretionary Grant	3,000
Farms For Life P.O. Box 267 Woodinville WA 98072	None	501 (c)(3) organization	Environment: Discretionary Grant	2,000
Fisherman's Mark 89 N. Main Street Lambertville NJ 08530	None	501 (c)(3) organization	Human Services: Discretionary Grant	2,000
Johns Hopkins University Department of Athletics Blue Jays Unlimited 3400 N. Charles Street Baltimore MD 21218	None	501 (c)(3) organization	Education: Matching Grant	1,000
Johns Hopkins University Department of Athletics Blue Jays Unlimited 3400 N. Charles Street Baltimore MD 21218	None	501 (c)(3) organization	Education: Matching Grant	1,000
Johns Hopkins University Department of Athletics Blue Jays Unlimited 3400 N. Charles Street Baltimore MD 21218	None	501 (c)(3) organization	Education: Discretionary Grant	1,000

Johns Hopkins Wilmer Eye Institute Wilmer 112 600 North Wolfe Street Baltimore MD 21287-9015	None	501 (c)(3) organization	Health and Research: Discretionary Grant	1,000
KUOW Puget Sound Public Radio P.O. Box 84148 Seattle WA 98124-5448	None	501 (c)(3) organization	Arts and Culture: Discretionary Grant	2,000
Legal Momentum 5 Hanover Square, Ste 1502 New York NY 10004	None	501 (c)(3) organization	Advocacy: Discretionary Grant	2,500
Marfa Public Radio Corporation PO Box 238 Marfa TX 79843	None	501 (c)(3) organization	Arts and Culture: Matching Grant	120
Marin Stables and Trails P.O. Box 314 Fairfax CA 94978-0314	None	501 (c)(3) organization	Environment: Discretionary Grant	10,000
MCPHS University Forsyth School of Dental Hygiene 179 Longwood Ave Boston MA 02115	None	501 (c)(3) organization	Education: Discretionary Grant	2,000
National Arbor Day Foundation 211 NORTH 12TH STREET Lincoln NE 68508	None	501 (c)(3) organization	Environment: Matching Grant	100
National Arbor Day Foundation 211 NORTH 12TH STREET Lincoln NE 68508	None	501 (c)(3) organization	Environment: Discretionary Grant	2,500
National Museum of American Jewish History 101 South Independence Mall East Philadelphia PA 19106	None	501 (c)(3) organization	Education: Discretionary Grant	5,000
Nutrition Science Initiative 6020 Cornerstone Court W Suite 240 San Diego CA 92121	None	501 (c)(3) organization	Health and Research: Matching Grant	1,000

Open Door Animal Sanctuary 6065 Duda Road P.O. Box 870 House Springs MO 63051	None	501 (c)(3) organization	Environment: Discretionary Grant	500
Penn-Jersey Educational Radio P.O. Box 191 Sergeantsville NJ 08557	None	501 (c)(3) organization	Arts and Culture: Discretionary Grant	500
Pennsylvania Innocence Project Temple University Beasley School of Law 1719 North Broad Street Philadelphia PA 19122	None	501 (c)(3) organization	Advocacy: Discretionary Grant	2,500
Puget Soundkeeper Alliance 5305 Shilshole Avenue NW Suite 150 Seattle WA 98107	None	501 (c)(3) organization	Environment: Discretionary Grant	2,500
San Francisco Public Health Foundation - PHC Project Homeless Connect 25 Van Ness Avenue, Suite 340 San Francisco CA 94102	None	501 (c)(3) organization	Human Services: Discretionary Grant	2,000
San Francisco SPCA 201 Alabama Street San Francisco CA 94103	None	501 (c)(3) organization	Environment: Discretionary Grant	2,000
Seattle Aquarium 1483 Alaskan Way, Pier 59 Seattle WA 98101	None	501 (c)(3) organization	Environment: Discretionary Grant	1,000
Solebury Township Historical Society PO Box 525 Solebury PA 18963	None	501 (c)(3) organization	Arts and Culture: Discretionary Grant	500
Soulumination 1113 NW 52nd Street Unit A Seattle WA 98107	None	501 (c)(3) organization	Human Services: Discretionary Grant	500
St. Jude Children's Research Hospital 262 Danny Thomas Place Memphis TN 38105	None	501 (c)(3) organization	Health and Research: Discretionary Grant	5,000

Tabby's Place 1100 Highway 202 Ringoes NJ 08552	None	501 (c)(3) organization	Environment: Discretionary Grant	2,000
The Baileys Crossroads Rotary Club Foundation PO Box 1510 Falls Church VA 22041	None	501 (c)(3) organization	Human Services: Matching Grant	100
The John F Kennedy Center for the Performing Arts 2700 F Street NW Washington DC 20566	None	501 (c)(3) organization	Arts and Culture: Matching Grant	300
The Smithsonian Associates PO Box 23293 Washington DC 20026-3293	None	501 (c)(3) organization	Arts and Culture: Matching Grant	175
Washington C.A.S.H (Community Alliance for Self-Help) 2100 24th Avenue South Suite 380 Seattle WA 98144	None	501 (c)(3) organization	Human Services: Discretionary Grant	2,500
Westside Baby 10032 15th Ave. SW Seattle WA 98146	None	501 (c)(3) organization	Human Services: Discretionary Grant	3,000
Wolf Haven International 3111 Offut Lake Rd SE Tenino WA 98589	None	501 (c)(3) organization	Environment: Discretionary Grant	500
Wrapping Presence / DPC P.O. Box 236 Solebury PA 18963	None	501 (c)(3) organization	Human Services: Discretionary Grant	2,500
Total Trustee Discretionary and Matching Grants				83,915

Philanthropy Today Grants

Name and Address	Relationship	Foundation Status	Purpose of Contribution	Amount
A Woman's Place PO Box 299 Doylestown PA 18901	None	501 (c)(3) organization	Education: Philanthropy Grant Today	1,500
Bensalem Education Foundation 3000 Donallen Drive Bensalem PA 19020	None	501 (c)(3) organization	Education: Philanthropy Grant Today	1,668
Big Brothers Big Sisters of Bucks County 2875 York Road Jamison PA 18929	None	501 (c)(3) organization	Education: Philanthropy Grant Today	1,000
Bucks County Opportunity Council 1282 Almshouse Rd Doylestown PA 18901	None	501 (c)(3) organization	Education: Philanthropy Grant Today	2,500
Cops n' Kids Children's Literacy Program PO Box 1906 Bethlehem PA 18016-1906	None	501 (c)(3) organization	Education: Philanthropy Grant Today	500
Crossroads Pregnancy Care 256 Trumbauersville Rd Quakertown PA 18951	None	501 (c)(3) organization	Education: Philanthropy Grant Today	1,500
Financial Literacy Fund of TruMark Financial - Philadelphia Foundation P.O. Box 753 Langhorne PA 19047	None	501 (c)(3) organization	Education: Philanthropy Grant Today	2,000
Friends of the Delaware Canal 145 S. Main Street New Hope PA 18938	None	501 (c)(3) organization	Education: Philanthropy Grant Today	1,250
Jonathan D. Krist Foundation 10 Old Mill Road New Hope PA 18938	None	501 (c)(3) organization	Education: Philanthropy Grant Today	1,250
Just for the Kids Education Foundation P.O. Box 573 Bensalem PA 19020	None	501 (c)(3) organization	Education: Philanthropy Grant Today	1,666

Last Chance Ranch 9 Beck Road Quakertown PA 18951	None	501 (c)(3) organization	Education: Philanthropy Grant	Today	2,000
Network of Victim Assistance 2370 York Road Jamison PA 18929	None	501 (c)(3) organization	Education: Philanthropy Grant	Today	2,500
Network of Victim Assistance 2370 York Road Jamison PA 18929	None	501 (c)(3) organization	Education: Philanthropy Grant	Today	1,500
Palisades Community Foundation PO Box 521 Ottsville PA 18942	None	501 (c)(3) organization	Education: Philanthropy Grant	Today	2,500
Quakertown Food Pantry PO Box 278 Quakertown PA 18951	None	501 (c)(3) organization	Education: Philanthropy Grant	Today	500
Snipes Farm and Education Center 890 West Bridge Street Morrisville PA 19067	None	501 (c)(3) organization	Education: Philanthropy Grant	Today	2,500
Susan G. Komen of Philadelphia 125 S. 9th Street Philadelphia PA 19107	None	501 (c)(3) organization	Education: Philanthropy Grant	Today	1,000
TODAY, Inc. 1990 Woodbourne Road Langhorne PA 19047	None	501 (c)(3) organization	Education: Philanthropy Grant	Today	2,500
TODAY, Inc. 1990 Woodbourne Road Langhorne PA 19047	None	501 (c)(3) organization	Education: Philanthropy Grant	Today	1,666
Valley Youth House 800 N. York Road Warminster PA 18974	None	501 (c)(3) organization	Education: Philanthropy Grant	Today	1,000

Will to Live Foundation
 300 Brogdon Rd
 Suwanee GA 30024

None	501 (c)(3) organization	Education: Philanthropy Grant	Today	2,500
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Total Philanthropy Today Grants				35,000
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Grants Grand Total				999,165
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