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Form **990-PF**Department of the Treasury
Internal Revenue Service

Change of Accounting Period
Return of Private Foundation
 or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2013

Open to Public Inspection

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 Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning 10/1/2013, and ending 9/1/2014

Name of foundation CAROLINE J S SANDERS TD			A Employer identification number 23-6781822	
Number and street (or P.O. box number if mail is not delivered to street address) Wells Fargo Bank N.A. Trust Tax Dept - 1 W 4th St 4th Floor MAC D4000-041			B Telephone number (see instructions) (888) 730-4933	
City or town Winston Salem	State NC	ZIP code 27101-3818		
Foreign country name			Foreign postal code	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change			C If exemption application is pending, check here <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 827,296		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	14,994	14,495		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	60,676			
	b Gross sales price for all assets on line 6a 539,578				
	7 Capital gain net income (from Part IV, line 2)		60,676		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	75,670	75,171	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	12,610	9,457		3,153
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	764			764
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	434	264		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	2	2		
	24 Total operating and administrative expenses. Add lines 13 through 23	13,810	9,723	0	3,917
	25 Contributions, gifts, grants paid	42,000			42,000
26 Total expenses and disbursements. Add lines 24 and 25	55,810	9,723	0	45,917	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	19,860				
b Net investment income (if negative, enter -0-)		65,448			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2013)

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Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	26,603	27,644	27,644
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)		139,084	149,406
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶			
Liabilities	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	703,719	583,193	650,246
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	730,322	749,921	827,296
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	730,322	749,921	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	730,322	749,921	
	31 Total liabilities and net assets/fund balances (see instructions)	730,322	749,921	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	730,322
2	Enter amount from Part I, line 27a	2	19,860
3	Other increases not included in line 2 (itemize) ▶ MUTUAL FUND TIMING DIFFERENCE	3	128
4	Add lines 1, 2, and 3	4	750,310
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	389
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	749,921

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b See Attached Statement				
c See Attached Statement				
d See Attached Statement				
e See Attached Statement				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a			0	
b			0	
c			0	
d			0	
e			0	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a			0	
b			0	
c			0	
d			0	
e			0	
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	60,676
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	41,281	795,531	0.051891
2011	37,866	772,308	0.049030
2010	26,365	805,736	0.032722
2009	23,250	735,655	0.031604
2008	45,608	640,059	0.071256
2 Total of line 1, column (d)			2 0.236503
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.047301
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 828,577
5 Multiply line 4 by line 3			5 39,193
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 654
7 Add lines 5 and 6			7 39,847
8 Enter qualifying distributions from Part XII, line 4			8 45,917

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	654	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0	
3	Add lines 1 and 2	3	654	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	654	
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	245	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	245	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	409	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0	
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax <input checked="" type="checkbox"/> Refunded <input type="checkbox"/>	11	0	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ WELLS FARGO BANK N.A. Telephone no. ▶ 888-730-4933 Located at ▶ 1 W 4th St 4th Floor MAC D4000-041 Winston Salem NC ZIP+4 ▶ 27101-3818			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank N.A. Trust Tax Dept 1 W 4th St 4th Floor MAC D4000-041 Winston Salem, NC	TRUSTEE 4.00	12,610		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3	▶ 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	810,103
b	Average of monthly cash balances	1b	31,092
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	841,195
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	841,195
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	12,618
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	828,577
6	Minimum investment return. Enter 5% of line 5 MIR Prorated - Short Year	6	38,137

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	38,137
2a	Tax on investment income for 2013 from Part VI, line 5	2a	654
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	654
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	37,483
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	37,483
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	37,483

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	45,917
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	45,917
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	654
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	45,263

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				37,483
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			11,801	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 45,917				
a Applied to 2012, but not more than line 2a			11,801	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				34,116
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				3,367
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
				0
b 85% of line 2a				0
c Qualifying distributions from Part XII, line 4 for each year listed				0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				0
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test—enter:				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Attached Statement				
Total			3a ▶	42,000
b <i>Approved for future payment</i> NONE				
Total			3b ▶	0

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.


(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name JOSEPH J. CASTRIANO	Preparer's signature 	Date 12/15/2014	Check <input checked="checked" type="checkbox"/> if self-employed	PTIN P01251603
Firm's name ▶ PricewaterhouseCoopers, LLP			Firm's EIN ▶ 13-4008324	
Firm's address ▶ 600 GRANT STREET, PITTSBURGH, PA 15219-2777			Phone no. 412-355-6000	

Account: CAROLINE J S SANDERS TD
EIN: 23-6781822

<u>Security Type</u>	<u>CUSIP</u>	<u>Asset Name</u>	<u>FMV</u>	<u>Units</u>	<u>Fed Cost</u>
Invest - Corp Stock	008252108	AFFILIATED MANAGERS GROUP, INC COM	3,005.40	15.00	2,831.75
Invest - Corp Stock	03524A108	ANHEUSER-BUSCH INBEV SPN ADR	3,325.50	30.00	3,069.27
Invest - Corp Stock	037411105	APACHE CORP	2,816.10	30.00	2,485.79
Invest - Corp Stock	037833100	APPLE INC	7,959.25	79.00	5,891.29
Invest - Corp Stock	071813109	BAXTER INTL INC	3,229.65	45.00	3,092.55
Invest - Corp Stock	084670702	BERKSHIRE HATHAWAY INC.	5,525.60	40.00	4,549.20
Invest - Corp Stock	088606108	BHP BILLITON LIMITED - ADR	1,472.00	25.00	1,740.75
Invest - Corp Stock	09247X101	BLACKROCK INC	2,298.24	7.00	2,201.15
Invest - Corp Stock	097023105	BOEING CO	4,458.30	35.00	4,350.78
Invest - Corp Stock	150870103	CELANESE CORP	2,340.80	40.00	2,104.84
Invest - Corp Stock	166764100	CHEVRON CORP	2,386.40	20.00	2,301.20
Invest - Corp Stock	17275R102	CISCO SYSTEMS INC	4,278.90	170.00	3,709.33
Invest - Corp Stock	172967424	CITIGROUP INC.	3,886.50	75.00	3,569.28
Invest - Corp Stock	12572Q105	CME GROUP INC	3,597.97	45.00	3,297.83
Invest - Corp Stock	20030N101	COMCAST CORP CLASS A	4,033.50	75.00	3,799.49
Invest - Corp Stock	231021106	CUMMINS INC.	3,959.40	30.00	4,346.10
Invest - Corp Stock	126650100	CVS HEALTH CORPORATION	3,979.50	50.00	3,600.99
Invest - Corp Stock	25243Q205	DIAGEO PLC - ADR	2,308.00	20.00	2,529.16
Invest - Corp Stock	268648102	E M C CORP MASS	3,072.30	105.00	2,716.16
Invest - Corp Stock	G29183103	EATON CORP PLC	2,534.80	40.00	3,012.39
Invest - Corp Stock	375558103	GILEAD SCIENCES INC	3,193.50	30.00	2,514.30
Invest - Corp Stock	38141G104	GOLDMAN SACHS GROUP INC	2,753.55	15.00	2,451.60
Invest - Corp Stock	38259P706	GOOGLE INC-CL C	2,309.44	4.00	2,432.02
Invest - Corp Stock	404280406	HSBC - ADR	3,561.60	70.00	3,662.63
Invest - Corp Stock	459200101	INTERNATIONAL BUSINESS MACHS CORP	1,898.30	10.00	1,833.50
Invest - Corp Stock	478366107	JOHNSON CONTROLS INC	2,860.00	65.00	3,230.49
Invest - Corp Stock	46625H100	JPMORGAN CHASE & CO	4,518.00	75.00	4,281.29
Invest - Corp Stock	517834107	LAS VEGAS SANDS CORP	3,110.50	50.00	3,146.62
Invest - Corp Stock	58155Q103	MCKESSON CORP	3,893.40	20.00	3,538.00
Invest - Corp Stock	594918104	MICROSOFT CORP	3,013.40	65.00	2,445.94
Invest - Corp Stock	611740101	MONSTER BEVERAGE CORP	2,291.75	25.00	1,785.93
Invest - Corp Stock	637071101	NATIONAL OILWELL INC COM	3,044.00	40.00	2,745.78
Invest - Corp Stock	641069406	NESTLE S.A. REGISTERED SHARES - ADR	2,577.05	35.00	2,629.20
Invest - Corp Stock	68389X105	ORACLE CORPORATION	2,679.60	70.00	2,681.58
Invest - Corp Stock	806857108	SCHLUMBERGER LTD	4,067.60	40.00	3,699.84
Invest - Corp Stock	87612E106	TARGET CORP	4,387.60	70.00	3,977.26
Invest - Corp Stock	883556102	THERMO FISHER SCIENTIFIC INC	3,042.50	25.00	3,090.03
Invest - Corp Stock	872540109	TJX COS INC NEW	2,958.50	50.00	3,050.50
Invest - Corp Stock	89151E109	TOTAL S.A. - ADR	2,900.25	45.00	2,859.91
Invest - Corp Stock	907818108	UNION PACIFIC CORP	4,878.90	45.00	4,016.89
Invest - Corp Stock	911312106	UNITED PARCEL SERVICE-CL B	2,457.25	25.00	2,387.00
Invest - Corp Stock	91324P102	UNITEDHEALTH GROUP INC	4,743.75	55.00	4,140.39
Invest - Corp Stock	902973304	US BANCORP DEL NEW	3,346.40	80.00	3,275.19

Invest - Corp Stock	254687106	WALT DISNEY CO	4,451.50	50.00	4,008.99
		Total - Invest Corp Stock	<u>149,406.45</u>	<u>2,035.00</u>	<u>139,084.18</u>
Invest - Other	00203H859	AQR MANAGED FUTURES STR-I #15213	13,300.67	1,257.15	12,947.06
Invest - Other	04314H204	ARTISAN INTERNATIONAL FUND #661	48,245.89	1,606.06	35,195.20
Invest - Other	04314H600	ARTISAN MID CAP FUND-INS #1333	33,749.84	675.27	27,840.59
Invest - Other	63872T885	ASG GLOBAL ALTERNATIVES-Y #1993	25,420.90	2,269.72	25,973.98
Invest - Other	22544R305	CREDIT SUISSE COMM RET ST-I #2156	32,721.41	4,797.86	36,529.12
Invest - Other	256210105	DODGE & COX INCOME FD COM #147	25,239.68	1,828.96	21,179.38
Invest - Other	256206103	DODGE & COX INT'L STOCK FD #1048	49,033.18	1,085.29	31,355.36
Invest - Other	261980494	DREYFUS EMG MKT DEBT LOC C-I #6083	19,977.98	1,461.45	20,553.09
Invest - Other	261980668	DREYFUS INTERNATL BOND FD CL I #6094	6,311.62	371.93	6,339.00
Invest - Other	262028855	DRIEHAUS ACTIVE INCOME FUND	16,707.35	1,585.14	16,651.51
Invest - Other	315920702	FID ADV EMER MKTS INC- CL I #607	12,361.70	889.33	12,023.75
Invest - Other	339128100	JP MORGAN MID CAP VALUE-I #758	34,150.41	914.34	25,219.42
Invest - Other	4812C0803	JPMORGAN HIGH YIELD FUND SS #3580	14,833.15	1,875.24	15,151.68
Invest - Other	483438305	KALMAR GR W/ VAL SM CAP-INS #4	21,319.27	1,082.75	19,852.50
Invest - Other	589509207	MERGER FUND-INST #301	12,496.77	766.20	12,092.48
Invest - Other	64128R608	NEUBERGER BERMAN LONG SH-INS #1830	16,923.92	1,311.93	17,042.00
Invest - Other	67065Q772	NUVEEN HIGH YIELD MUNI BD-R #1668	14,712.71	866.47	14,258.00
Invest - Other	683974604	OPPENHEIMER DEVELOPING MKT-I #799	82,931.29	2,155.18	76,477.08
Invest - Other	693390882	PIMCO FOREIGN BD FD USD H-INST #103	6,503.94	585.41	5,839.09
Invest - Other	693390700	PIMCO TOTAL RET FD-INST #35	25,317.74	2,329.14	23,617.47
Invest - Other	74255L696	PRINCIPAL GL MULT STRAT-INST #4684	8,322.57	752.49	8,300.00
Invest - Other	74925K581	ROBECO BP LNG/SHRT RES-INS	12,729.60	850.34	11,488.11
Invest - Other	78463X863	SPDR DJ WILSHIRE INTERNATIONAL REAL	31,761.47	767.00	28,820.58
Invest - Other	863137105	STRATTON SM-CAP VALUE FD #37	22,771.45	308.10	23,859.00
Invest - Other	77958B402	T ROWE PRICE INST FLOAT RATE #170	12,595.34	1,242.14	12,793.82
Invest - Other	77957P105	T ROWE PRICE SHORT TERM BD FD #55	16,970.52	3,557.76	17,076.02
Invest - Other	922908553	VANGUARD REIT VIPER	32,835.45	457.00	24,717.85
		Total - Invest Other	<u>650,245.82</u>	<u>37,649.65</u>	<u>583,193.14</u>
Savings & Temp Inv	99999Y944	SECURED MARKET DEPOSIT ACCOUNT	26,658.19	26,658.19	26,658.19
Savings & Temp Inv	99999Y944	SECURED MARKET DEPOSIT ACCOUNT	985.78	985.78	985.78
		Total - Savings & Temp Inv	<u>27,643.97</u>	<u>27,643.97</u>	<u>27,643.97</u>

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

1812 PRODUCTIONS INC

Street

2329 S 3RD ST

City

PHILADELPHIA

State

PA

Zip Code

19148

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,500

Name

AMERICAN RED CROSS

Street

2221 CHESTNUT STREET

City

PHILADELPHIA

State

PA

Zip Code

19103

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,500

Name

BAKER INDUSTRIES

Street

3506 F ST

City

PHILADELPHIA

State

PA

Zip Code

19134

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,500

Name

BOY SCOUTS OF AMERICA

Street

1485 VALLEY FORGE ROAD

City

WAYNE

State

PA

Zip Code

19087

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

5,000

Name

CAMDEN CITY GARDEN CLUB

Street

3 RIVERSIDE DRIVE

City

CAMDEN

State

NJ

Zip Code

08103

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

5,000

Name

INGLIS FOUNDATION

Street

2600 BELMONT AVE

City

PHILADELPHIA

State

PA

Zip Code

19131

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

5,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

TEEN PROGRAMMING AT THE PARKWAY CENTRAL LIBRARY

Street

540 N 13TH ST

City

PHILADELPHIA

State

PA

Zip Code

19123

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

5,000

Name

HELPHOPELIVE INC

Street

100 MATSONFORD ROAD

City

RADNOR

State

PA

Zip Code

19087

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

3,000

Name

NONPROFIT TECHNOLOGY RESOURCES

Street

1524 BRANDYWINE ST

City

PHILADELPHIA

State

PA

Zip Code

19130

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

3,500

Name

PENN HOME RESIDENT MEAL PROGRAM

Street

1401 SUSQUEHANNA AVENUE

City

PHILADELPHIA

State

PA

Zip Code

19125-2825

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

3,000

Name

RESOURCES FOR HUMAN DEVELOPMENT INC

Street

70 COLBY ST

City

MEDFORD

State

MA

Zip Code

02155

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

3,500

Name

SUPPORTIVE WOMEN'S NETWORK

Street

4100 MAIN STREET SUITE 403

City

PHILADELPHIA

State

PA

Zip Code

19127

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,500

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV		Description	CUSIP #	Amount	Long Term CG Distributions		Short Term CG Distributions		Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Totals		Capital Gains/Losses		Net Gain or Loss
					10,158		Other sales										Gross Sales	Cost, Other Basis and Expenses	Capital Gains/Losses		
1	X	AFLAC INC	001055102								2/25/2014		6/10/2014	2,846	2,814					32	60,676
2	X	CREDIT SUISSE COMM RET S	22544R305								8/26/2011		7/8/2014	1,307	1,615					-308	
3	X	CREDIT SUISSE COMM RET S	22544R305								2/14/2012		7/8/2014	1,552	1,723					-171	
4	X	APPLE INC	037833100								2/25/2014		7/8/2014	475	373					102	
5	X	ARTISAN INTERNATIONAL FU	04314H204								10/9/2013		1/21/2014	1,089	1,009					80	
6	X	ARTISAN INTERNATIONAL FU	04314H204								10/9/2013		4/21/2014	1,488	1,491					87	
7	X	ARTISAN MID CAP FUND-INS	04314H600								7/12/2013		4/21/2014	2,644	2,526					118	
8	X	ARTISAN MID CAP FUND-INS	04314H600								1/23/2014		4/21/2014	1,387	1,414					-27	
9	X	ARTISAN MID CAP FUND-INS	04314H600								2/11/2013		4/21/2014	2,373	2,008					365	
10	X	CAPITAL ONE FINANCIAL COR	14040H105								2/25/2014		5/5/2014	3,019	2,840					178	
11	X	COACH INC	189754104								2/25/2014		6/25/2014	1,532	2,174					-642	
12	X	COMCAST CORP CLASS A	2003UN101								2/25/2014		9/10/2014	569	507					62	
13	X	CREDIT SUISSE COMM RET S	22544R305								10/20/2011		7/8/2014	6,895	7,985					-890	
14	X	DIAMOND HILL LONG-SHORT	25264S833								2/24/2014		2/24/2014	12,846	9,344					3,502	
15	X	CREDIT SUISSE COMM RET S	22544R305								8/18/2011		10/9/2013	717	922					-205	
16	X	CREDIT SUISSE COMM RET S	22544R305								8/26/2011		10/9/2013	6,405	6,405					0	
17	X	CREDIT SUISSE COMM RET S	22544R305								8/26/2011		4/21/2014	5,467	6,566					-1,803	
18	X	DIAMOND HILL LONG-SHORT	25264S833								8/10/2012		2/24/2014	4,077	3,237					-1,099	
19	X	WALT DISNEY CO	252687106								2/25/2014		7/8/2014	859	802					57	
20	X	WALT DISNEY CO	252687106								2/25/2014		9/10/2014	448	401					47	
21	X	DODGE & COX INT'L STOCK F	256206103								5/30/2008		1/21/2014	280	290					-10	
22	X	DODGE & COX INT'L STOCK F	256206103								10/9/2013		1/21/2014	972	901					71	
23	X	DODGE & COX INT'L STOCK F	256206103								10/9/2013		4/21/2014	3,558	3,204					354	
24	X	DODGE & COX INCOME FD CC	256210105								2/11/2013		10/9/2013	12,928	12,942					-334	
25	X	DODGE & COX INCOME FD CC	256210105								10/1/2008		10/9/2013	5,747	4,930					817	
26	X	DODGE & COX INCOME FD CC	256210105								10/1/2008		7/8/2014	5,271	4,400					871	
27	X	DODGE & COX INCOME FD CC	256210105								8/26/2011		9/11/2014	1,986	1,656					330	
28	X	DREYFUS EMG MKT DEBT LO	261980494								8/26/2011		10/10/2013	868	927					-59	
29	X	DREYFUS EMG MKT DEBT LO	261980494								8/10/2012		10/10/2013	11,000	11,340					-340	
30	X	DREYFUS EMG MKT DEBT LO	261980494								8/10/2012		4/21/2014	192	201					-9	
31	X	DREYFUS EMG MKT DEBT LO	261980494								12/17/2010		4/21/2014	2,263	2,317					-54	
32	X	DREYFUS EMG MKT DEBT LO	261980494								12/17/2010		7/8/2014	7,784	7,625					159	
33	X	DRIEHAUS ACTIVE INCOME F	262028855								2/11/2013		10/9/2013	4,384	4,397					-13	
34	X	DRIEHAUS ACTIVE INCOME F	262028855								7/12/2013		10/9/2013	1,635	1,638					-3	
35	X	DRIEHAUS ACTIVE INCOME F	262028855								7/12/2013		1/21/2014	4,385	4,356					29	
36	X	DRIEHAUS ACTIVE INCOME F	262028855								7/12/2013		10/9/2013	7,657	7,796					-139	
37	X	EATON VANCE GLOBAL MACR	277923728								3/15/2012		7/8/2014	217	203					14	
38	X	FID ADV EMER MKTS INC-CL	315920702								3/15/2012		9/10/2014	1,620	1,257					363	
39	X	GILEAD SCIENCES INC	375558103								2/25/2014		7/8/2014	2,311	2,440					-128	
40	X	GOOGLE INC	38259P508								10/9/2013		1/21/2014	3,623	3,200					423	
41	X	HARBOR CAPITAL APRTCTN	411511504								2/25/2011		2/24/2014	30,812	20,199					10,613	
42	X	HARBOR CAPITAL APRTCTN	411511504								8/26/2011		2/24/2014	32,370	19,569					12,801	
43	X	HARBOR CAPITAL APRTCTN	411511504								1/19/2012		2/24/2014	2,187	1,517					670	
44	X	HARBOR CAPITAL APRTCTN	411511504								10/9/2013		2/24/2014	1,676	1,433					243	
45	X	ISHARES CORE S & P 500 ETF	464287200								10/9/2013		1/21/2014	1,110	1,000					110	
46	X	ISHARES CORE S & P 500 ETF	464287200								8/8/2012		2/24/2014	7,099	4,459					2,640	
47	X	ISHARES CORE S & P 500 ETF	464287200								8/8/2012		2/24/2014	9,987	6,750					3,237	
48	X	ISHARES CORE S & P 500 ETF	464287200								10/9/2013		2/24/2014	3,549	3,167					382	
49	X	JPMORGAN HIGH YIELD FUND	48120D803								2/24/2014		4/21/2014	19,464	19,488					-24	
50	X	KEELEY SMALL CAP VAL FD C	487300808								10/9/2013		1/21/2014	866	813					73	
51	X	KEELEY SMALL CAP VAL FD C	487300808								12/17/2010		7/8/2014	17,684	11,239					6,445	
52	X	KEELEY SMALL CAP VAL FD C	487300808								5/10/2012		7/8/2014	8	5					3	
53	X	KEELEY SMALL CAP VAL FD C	487300808								10/9/2013		10/9/2013	12,218	13,824					638	
54	X	KEELEY SMALL CAP VAL FD C	487300808								10/9/2013		4/21/2014	1,757	1,977					-1,606	
55	X	LAUDUS MONDRIAN INTL FHI	51855Q655								10/20/2011		4/21/2014	856	919					-220	
56	X	LAUDUS MONDRIAN INTL FHI	51855Q655								7/12/2013		4/21/2014	1,471	1,434					-37	
57	X	LAUDUS MONDRIAN INTL FHI	51855Q655								1/23/2014		1/21/2014	2,426	2,377					49	
58	X	MFS VALUE FUND-CLASS I #8	552983694								10/9/2013		1/21/2014	1,857	1,857					159	
59	X	MFS VALUE FUND-CLASS I #8	552983694								8/26/2011		2/24/2014	14,156	9,087					2,810	
60	X	MFS VALUE FUND-CLASS I #8	552983694								8/26/2011		2/24/2014	14,156	9,087					5,069	
61	X	MFS VALUE FUND-CLASS I #8	552983694								10/9/2013		2/24/2014	14,269	10,374					3,895	
62	X	MFS VALUE FUND-CLASS I #8	552983694								10/9/2013		2/24/2014	4,215	3,869					356	
63	X	MFS VALUE FUND-CLASS I #8	552983694								10/9/2013		10/9/2013	7,038	6,973					65	
64	X	MERGER FD SH BEN INT #260	589509108								10/20/2011		8/14/2014	416	419					11	
65	X	MERGER FD SH BEN INT #260	589509108								10/20/2011		8/14/2014	416	419					-3	
66	X	MERGER FD SH BEN INT #260	589509108								10/20/2011		8/14/2014	416	419					11	
67	X	MERGER FD SH BEN INT #260	589509108								10/20/2011		8/14/2014	416	419					-3	
68	X	MERGER FD SH BEN INT #260	589509108								10/20/2011		8/14/2014	3,957	3,824					133	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV		Description		CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
Long Term CG Distributions		Short Term CG Distributions		Amount	Totals											
				10,158	Capital Gains/Losses											
					Other sales											
					Gross Sales											
					Basis and Expenses											
					Net Gain or Loss											
					60,676											
69	X	MONSTER BEVERAGE CORP		611740101			2/25/2014		9/10/2014	914	714					200
70	X	ASG GLOBAL ALTERNATIVES		638721885			7/12/2013		12/12/2014	3,052	3,070					-18
71	X	ASG GLOBAL ALTERNATIVES		638721885			7/12/2013		8/14/2014	3,884	4,014					-130
72	X	NOW INC/SH		67011P100			2/25/2014		6/10/2014	262	242					20
73	X	NOW INC/SH		67011P100			2/25/2014		6/17/2014	25	23					2
74	X	OPPENHEIMER DEVELOPING		683974505			10/9/2013		4/21/2014	6,755	6,610					145
75	X	OPPENHEIMER DEVELOPING		683974505			10/9/2013		7/8/2014	992	912					80
76	X	OPPENHEIMER DEVELOPING		683974505			1/23/2014		7/8/2014	287	259					28
77	X	PIMCO TOTAL RET FD-INST #		693390700			7/12/2009		10/9/2013	4,085	3,962					133
78	X	PIMCO TOTAL RET FD-INST #		693390700			8/26/2011		10/9/2013	3,546	3,585					-49
79	X	PIMCO TOTAL RET FD-INST #		693390700			9/10/2012		10/9/2013	1,820	1,882					-72
80	X	PIMCO TOTAL RET FD-INST #		693390700			10/31/2008		10/9/2013	8,847	8,291					556
81	X	PIMCO TOTAL RET FD-INST #		693390700			10/31/2008		7/8/2014	4,787	4,441					346
82	X	PIMCO TOTAL RET FD-INST #		693390700			10/31/2008		9/11/2014	1,853	1,724					129
83	X	PIMCO FOREIGN BD FD USD		693390882			5/30/2008		10/9/2013	5,853	5,626					227
84	X	PIMCO FOREIGN BD FD USD		693390882			8/26/2011		10/9/2013	774	779					-5
85	X	PIMCO FOREIGN BD FD USD		693390882			10/20/2011		10/9/2013	1,573	1,582					-9
86	X	PIMCO FOREIGN BD FD USD		693390882			10/20/2011		10/9/2013	2,591	2,368					223
87	X	PIMCO FOREIGN BD FD USD		693390882			1/23/2014		7/8/2014	164	159					5
88	X	ROBECO BP LNG/SHRT RES		74925K581			10/9/2013		12/1/2014	4,906	4,593					313
89	X	ROBECO BP LNG/SHRT RES		74925K581			10/9/2013		4/21/2014	1,463	1,351					112
90	X	ROBECO BP LNG/SHRT RES		74925K581			10/9/2013		7/8/2014	7,764	7,012					752
91	X	RIDGEMOUTH SEIX HY BD-1 #		76628T645			7/12/2013		12/1/2014	5,660	5,789					-108
92	X	RIDGEMOUTH SEIX HY BD-1 #		76628T645			10/9/2013		12/1/2014	1,232	1,251					-19
93	X	RIDGEMOUTH SEIX HY BD-1 #		76628T645			8/26/2011		12/1/2014	12,029	11,431					598
94	X	RIDGEMOUTH SEIX HY BD-1 #		76628T645			8/26/2011		2/24/2014	16,504	15,589					915
95	X	RIDGEMOUTH SEIX HY BD-1 #		76628T645			10/20/2011		2/24/2014	4,834	4,547					287
96	X	T ROWE PRICE SHORT TERM		77957P105			2/11/2013		10/9/2013	16,669	16,843					-174
97	X	T ROWE PRICE SHORT TERM		77957P105			2/14/2012		4/21/2014	6,115	6,179					-64
98	X	T ROWE PRICE SHORT TERM		77957P105			2/11/2013		4/21/2014	112	113					-1
99	X	T ROWE PRICE SHORT TERM		77957P105			2/11/2013		7/8/2014	626	632					-6
100	X	T ROWE PRICE SHORT TERM		77957P105			5/7/2013		7/8/2014	1,554	1,567					-13
101	X	SPDR DJ WILSHIRE INTERNA		78463X863			10/9/2013		4/21/2014	3,382	3,349					33
102	X	3M CO		88579Y101			2/25/2014		7/8/2014	724	664					60
103	X	3M CO		88579Y101			2/25/2014		8/6/2014	2,093	1,893					100
104	X	UNION PACIFIC CORP		907616108			2/25/2014		7/8/2014	500	446					54
105	X	VANGUARD FTSE EMERGING		922042858			8/24/2011		12/1/2014	3,497	3,704					-207
106	X	VANGUARD FTSE EMERGING		922042858			5/8/2012		12/1/2014	1,611	1,686					-75
107	X	VANGUARD FTSE EMERGING		922042858			8/6/2012		12/1/2014	668	701					-33
108	X	VANGUARD FTSE EMERGING		922042858			2/7/2013		12/1/2014	6,915	7,740					-825
109	X	VANGUARD FTSE EMERGING		922042858			7/10/2013		12/1/2014	1,847	1,796					51
110	X	VANGUARD FTSE EMERGING		922042858			10/9/2013		12/1/2014	8,683	9,065					-402
111	X	VANGUARD REIT VIPER		922908553			7/10/2013		4/21/2014	865	837					28
112	X	VANGUARD REIT VIPER		922908553			10/9/2013		4/21/2014	2,738	2,500					238
113	X	VANGUARD FTSE EMERGING		922042858			12/17/2010		12/1/2014	16,462	19,742					-3,280

Part I, Line 16b (990-PF) - Accounting Fees

		764	0	0	764
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	764			764

Part I, Line 18 (990-PF) - Taxes

		434	264	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	264	264		
2	ESTIMATED EXCISE PAYMENTS	170			

Part I, Line 23 (990-PF) - Other Expenses

		2	2	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	ADR FEES	2	2		

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	MUTUAL FUND TIMING DIFFERENCE	1	128
2	Total	2	128

Line 5 - Decreases not included in Part III, Line 2

1	PY RETURN OF CAPITAL ADJUSTMENT	1	337
2	COST BASIS ADJUSTMENT	2	52
3	Total	3	389

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Line	Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/18	Adjusted Basis as of 12/31/18	Excess of FMV Over Adj. Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
1	AFLAC INC	001053102		2/25/2014	6/10/2014	2,848		0	2,814	32	50,518	0	0	50,518
2	CREDIT SUISSE COMM RET ST-I #2156	22544R305		8/28/2011	7/8/2014	1,307		0	1,815	-308		0	0	-308
3	CREDIT SUISSE COMM RET ST-I #2156	22544R305		2/14/2012	7/8/2014	1,552		0	1,729	-171		0	0	-171
4	APPLE INC	037833100		2/25/2014	7/8/2014	475		0	373	102		0	0	102
5	ARTISAN INTERNATIONAL FUND #681	043144204		10/9/2013	12/1/2014	1,089		0	1,009	80		0	0	80
6	ARTISAN INTERNATIONAL FUND #681	043144204		10/9/2013	12/1/2014	1,468		0	1,407	87		0	0	87
7	ARTISAN MID CAP FUND-INS #1333	043144600		7/12/2013	4/21/2014	2,644		0	2,528	118		0	0	118
8	ARTISAN MID CAP FUND-INS #1333	043144600		12/2/2013	4/21/2014	1,387		0	1,414	-27		0	0	-27
9	ARTISAN MID CAP FUND-INS #1333	043144600		2/1/2013	4/21/2014	2,173		0	2,008	385		0	0	385
10	ARTISAN MID CAP FUND-INS #1333	043144600		2/25/2014	5/6/2014	3,019		0	2,840	179		0	0	179
11	COMCAST CORP CLASS A	183754104		2/25/2014	8/25/2014	1,532		0	2,174	-642		0	0	-642
12	CREDIT SUISSE COMM RET ST-I #2156	22544R305		10/20/2011	7/8/2014	8,895		0	507	82		0	0	82
13	DIAMOND HILL LONG-SHORT FD CL I #25264S833	25264S833		10/20/2011	7/8/2014	12,948		0	9,344	3,502		0	0	3,502
14	CREDIT SUISSE COMM RET ST-I #2156	22544R305		8/28/2011	10/9/2013	717		0	922	-205		0	0	-205
15	CREDIT SUISSE COMM RET ST-I #2156	22544R305		8/28/2011	10/9/2013	8,005		0	8,208	-1,803		0	0	-1,803
16	CREDIT SUISSE COMM RET ST-I #2156	22544R305		8/28/2011	4/21/2014	5,467		0	5,668	-1,099		0	0	-1,099
17	CREDIT SUISSE COMM RET ST-I #2156	22544R305		8/28/2011	4/21/2014	4,077		0	3,237	840		0	0	840
18	DIAMOND HILL LONG-SHORT FD CL I #25264S833	25264S833		2/25/2014	7/8/2014	559		0	802	57		0	0	57
19	WALT DISNEY CO	254687106		2/25/2014	8/10/2014	448		0	401	47		0	0	47
20	DODGE & COX INTL STOCK FD #1048	258208103		5/30/2008	12/1/2014	280		0	280	-10		0	0	-10
21	DODGE & COX INTL STOCK FD #1048	258208103		10/9/2013	12/1/2014	972		0	901	71		0	0	71
22	DODGE & COX INTL STOCK FD #1048	258208103		10/9/2013	4/21/2014	3,558		0	3,204	354		0	0	354
23	DODGE & COX INCOME FD COM #147	258201005		2/12/2013	10/9/2013	12,208		0	12,542	-334		0	0	-334
24	DODGE & COX INCOME FD COM #147	258201005		10/12/2008	10/9/2013	5,747		0	4,930	817		0	0	817
25	DODGE & COX INCOME FD COM #147	258201005		10/12/2008	7/8/2014	5,771		0	4,400	871		0	0	871
26	DODGE & COX INCOME FD COM #147	258201005		10/12/2008	9/1/2014	1,586		0	1,559	300		0	0	300
27	DODGE & COX INCOME FD COM #147	258201005		8/28/2011	10/10/2013	868		0	977	-89		0	0	-89
28	DREYFUS ENG MGT DEBT LOC CL #806	281980494		8/10/2012	4/21/2014	11,000		0	11,340	-340		0	0	-340
29	DREYFUS ENG MGT DEBT LOC CL #806	281980494		8/10/2012	4/21/2014	192		0	201	-9		0	0	-9
30	DREYFUS ENG MGT DEBT LOC CL #806	281980494		12/17/2010	7/8/2014	2,263		0	2,317	-54		0	0	-54
31	DREYFUS ENG MGT DEBT LOC CL #806	281980494		12/17/2010	7/8/2014	7,784		0	7,825	-41		0	0	-41
32	DREYFUS ENG MGT DEBT LOC CL #806	281980494		12/17/2010	7/8/2014	4,394		0	4,397	-3		0	0	-3
33	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/12/2013	10/9/2013	1,535		0	1,539	-4		0	0	-4
34	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/12/2013	10/9/2013	4,385		0	4,388	-3		0	0	-3
35	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/12/2013	7/8/2014	4,499		0	4,494	5		0	0	5
36	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/12/2013	7/8/2014	7,557		0	7,785	-132		0	0	-132
37	DREYFUS ENG MGT DEBT LOC CL #806	281980494		10/9/2013	7/8/2014	1,203		0	1,203	0		0	0	0
38	DREYFUS ENG MGT DEBT LOC CL #806	281980494		2/25/2014	8/10/2014	1,500		0	1,250	250		0	0	250
39	DREYFUS ENG MGT DEBT LOC CL #806	281980494		2/25/2014	8/10/2014	2,111		0	2,440	-329		0	0	-329
40	DREYFUS ENG MGT DEBT LOC CL #806	281980494		10/9/2013	12/1/2014	3,233		0	3,200	33		0	0	33
41	DREYFUS ENG MGT DEBT LOC CL #806	281980494		5/25/2011	2/24/2014	30,812		0	20,189	10,623		0	0	10,623
42	DREYFUS ENG MGT DEBT LOC CL #806	281980494		8/28/2011	2/24/2014	32,730		0	19,588	13,142		0	0	13,142
43	DREYFUS ENG MGT DEBT LOC CL #806	281980494		11/19/2012	2/24/2014	2,187		0	1,517	670		0	0	670
44	DREYFUS ENG MGT DEBT LOC CL #806	281980494		10/9/2013	2/24/2014	1,178		0	1,437	-259		0	0	-259
45	DREYFUS ENG MGT DEBT LOC CL #806	281980494		10/9/2013	12/1/2014	1,110		0	1,000	110		0	0	110
46	DREYFUS ENG MGT DEBT LOC CL #806	281980494		8/24/2011	2/24/2014	7,099		0	4,658	2,441		0	0	2,441
47	DREYFUS ENG MGT DEBT LOC CL #806	281980494		8/24/2011	2/24/2014	5,987		0	8,750	-2,763		0	0	-2,763
48	DREYFUS ENG MGT DEBT LOC CL #806	281980494		10/9/2013	2/24/2014	3,498		0	3,181	317		0	0	317
49	DREYFUS ENG MGT DEBT LOC CL #806	281980494		2/24/2014	12/1/2014	19,664		0	19,188	476		0	0	476
50	DREYFUS ENG MGT DEBT LOC CL #806	281980494		10/9/2013	12/1/2014	888		0	813	75		0	0	75
51	DREYFUS ENG MGT DEBT LOC CL #806	281980494		12/17/2010	7/8/2014	17,884		0	11,229	6,655		0	0	6,655
52	DREYFUS ENG MGT DEBT LOC CL #806	281980494		5/10/2013	7/8/2014	8,481		0	5,823	2,658		0	0	2,658
53	DREYFUS ENG MGT DEBT LOC CL #806	281980494		10/9/2013	12/1/2014	12,118		0	13,924	-1,806		0	0	-1,806
54	DREYFUS ENG MGT DEBT LOC CL #806	281980494		10/9/2013	4/21/2014	1,577		0	1,977	-400		0	0	-400
55	DREYFUS ENG MGT DEBT LOC CL #806	281980494		2/14/2012	4/21/2014	559		0	1,010	-451		0	0	-451
56	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/12/2013	4/21/2014	1,421		0	1,434	-13		0	0	-13
57	DREYFUS ENG MGT DEBT LOC CL #806	281980494		12/1/2013	4/21/2014	2,408		0	2,377	31		0	0	31
58	DREYFUS ENG MGT DEBT LOC CL #806	281980494		10/9/2013	4/21/2014	1,457		0	1,457	0		0	0	0
59	DREYFUS ENG MGT DEBT LOC CL #806	281980494		5/25/2011	12/1/2014	10,727		0	1,659	9,068		0	0	9,068
60	DREYFUS ENG MGT DEBT LOC CL #806	281980494		5/25/2011	12/1/2014	14,568		0	9,907	4,661		0	0	4,661
61	DREYFUS ENG MGT DEBT LOC CL #806	281980494		2/24/2014	12/1/2014	14,568		0	10,374	4,194		0	0	4,194
62	DREYFUS ENG MGT DEBT LOC CL #806	281980494		10/9/2013	2/24/2014	4,719		0	3,659	1,060		0	0	1,060
63	DREYFUS ENG MGT DEBT LOC CL #806	281980494		10/9/2013	2/24/2014	7,758		0	8,273	-515		0	0	-515
64	DREYFUS ENG MGT DEBT LOC CL #806	281980494		10/9/2013	10/9/2013	557		0	448	109		0	0	109
65	DREYFUS ENG MGT DEBT LOC CL #806	281980494		10/9/2013	8/14/2014	418		0	324	94		0	0	94
66	DREYFUS ENG MGT DEBT LOC CL #806	281980494		10/9/2013	8/14/2014	3,971		0	3,244	727		0	0	727
67	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727
68	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727
69	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727
70	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727
71	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727
72	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727
73	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727
74	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727
75	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727
76	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727
77	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727
78	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727
79	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727
80	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727
81	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727
82	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727
83	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727
84	DREYFUS ENG MGT DEBT LOC CL #806	281980494		7/8/2014	8/14/2014	3,971		0	3,244	727		0	0	727

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount		Short Term CG Distributions		Amount								
		10.158				0								
		0				0								
Description of Property Sold		CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
85	PIMCO FOREIGN BD FO USD HANST #1	693390882		10/20/2011	10/9/2013	1,573		0	1,562	-9	223	0	0	-9
86	PIMCO FOREIGN BD FO USD HANST #1	693390882		10/1/2008	10/9/2013	2,591		0	2,368	223		0	0	223
87	PIMCO FOREIGN BD FO USD HANST #1	693390882		1/23/2014	7/8/2014	184		0	159	5		0	0	5
88	ROBECO BP LINGSHRT RES INS	74925K581		10/9/2013	7/1/2014	4,906		0	4,593	313		0	0	313
89	ROBECO BP LINGSHRT RES INS	74925K581		10/9/2013	4/21/2014	1,463		0	1,312	151		0	0	151
90	ROBECO BP LINGSHRT RES INS	74925K581		10/9/2013	7/8/2014	7,764		0	7,012	752		0	0	752
91	RIDEGORTH SEX HY BDI #5855	768301645		7/12/2013	12/1/2014	5,660		0	5,769	-109		0	0	-109
92	RIDEGORTH SEX HY BDI #5855	768301645		10/9/2013	12/1/2014	1,232		0	1,251	-19		0	0	-19
93	RIDEGORTH SEX HY BDI #5855	768301645		8/26/2011	12/1/2014	12,029		0	11,431	598		0	0	598
94	RIDEGORTH SEX HY BDI #5855	768301645		8/26/2011	12/24/2014	16,304		0	15,569	735		0	0	735
95	RIDEGORTH SEX HY BDI #5855	768301645		10/20/2011	2/24/2014	4,534		0	4,547	-13		0	0	-13
96	T ROWE PRICE SHORT TERM BD FO #5	77957P105		2/17/2013	10/9/2013	16,689		0	16,843	-154		0	0	-154
97	T ROWE PRICE SHORT TERM BD FO #5	77957P105		2/14/2012	4/21/2014	6,115		0	6,179	-64		0	0	-64
98	T ROWE PRICE SHORT TERM BD FO #5	77957P105		2/17/2013	4/21/2014	112		0	113	-1		0	0	-1
99	T ROWE PRICE SHORT TERM BD FO #5	77957P105		2/17/2013	7/8/2014	628		0	612	16		0	0	16
100	T ROWE PRICE SHORT TERM BD FO #5	77957P105		5/5/2013	7/8/2014	1,554		0	1,567	-13		0	0	-13
101	SPDR DJ WILSHIRE INTERNATIONAL	78463K983		10/9/2013	4/21/2014	3,382		0	3,349	33		0	0	33
102	3M CO	88579Y101		2/25/2014	7/8/2014	724		0	664	60		0	0	60
103	3M CO	88579Y101		2/25/2014	8/6/2014	2,053		0	1,993	60		0	0	60
104	UNION PACIFIC CORP	907818108		8/24/2011	7/8/2014	500		0	448	52		0	0	52
105	VANGUARD FTSE EMERGING MARKET	922042858		9/24/2011	12/1/2014	3,497		0	3,704	-207		0	0	-207
106	VANGUARD FTSE EMERGING MARKET	922042858		5/6/2012	12/1/2014	1,811		0	1,686	125		0	0	125
107	VANGUARD FTSE EMERGING MARKET	922042858		8/9/2012	12/1/2014	668		0	701	-33		0	0	-33
108	VANGUARD FTSE EMERGING MARKET	922042858		2/7/2013	12/1/2014	6,915		0	7,740	-825		0	0	-825
109	VANGUARD FTSE EMERGING MARKET	922042858		7/10/2013	12/1/2014	1,847		0	1,766	81		0	0	81
110	VANGUARD FTSE EMERGING MARKET	922042858		10/9/2013	12/1/2014	8,683		0	9,085	-402		0	0	-402
111	VANGUARD REIT VIPER	922908653		7/10/2013	4/21/2014	965		0	837	128		0	0	128
112	VANGUARD FTSE EMERGING MARKET	922042858		10/9/2013	4/21/2014	2,738		0	2,500	238		0	0	238
113	VANGUARD FTSE EMERGING MARKET	922042858		12/17/2010	12/1/2014	16,462		0	15,742	720		0	0	720

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

		Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	Wells Fargo Bank N.A., Trust Tax D	X	1 W 4th St 4th Floor MAC D4000-041	Winston Salem	NC	27101-3818		TRUSTEE	4 00	12,810		
										12,810	0	0

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return		1	75
2 First quarter estimated tax payment	2/15/2014	2	43
3 Second quarter estimated tax payment	3/15/2014	3	43
4 Third quarter estimated tax payment	6/15/2014	4	42
5 Fourth quarter estimated tax payment	9/15/2014	5	42
6 Other payments		6	
7 Total		7	245

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2012 that remained undistributed at the beginning of the 2013 tax year	1	11,801
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	11,801