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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 10-01-2013 , and ending 09-30-2014

Name of foundation VAN PELTBASS FOUNDATION		A Employer identification number 22-2188191	
Number and street (or P O box number if mail is not delivered to street address) C/O LBASS 11 EAST 86TH STREET NO 11 A		Room/suite	B Telephone number (see instructions) (917) 488-4092
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10028		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 2,089,801		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	76,811	76,811		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	99,076			
	b Gross sales price for all assets on line 6a 519,378				
	7 Capital gain net income (from Part IV, line 2) . . .		99,076		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	175,887	175,887		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	30,417	15,209		0
	c Other professional fees (attach schedule)	20,262	20,262		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	4,733	1,306		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings	10,165	0		10,165
	22 Printing and publications				
	23 Other expenses (attach schedule)	120	30		0
	24 Total operating and administrative expenses. Add lines 13 through 23	65,697	36,807		10,165
	25 Contributions, gifts, grants paid	134,758			134,758
	26 Total expenses and disbursements. Add lines 24 and 25	200,455	36,807		144,923
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-24,568			
	b Net investment income (if negative, enter -0-)		139,080		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	17,235	8,247	8,247
	2	Savings and temporary cash investments	49,677	41,444	41,444
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	95,677	83,255	81,795
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,692,148	1,697,223	1,958,315
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,854,737	1,830,169	2,089,801	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	1,854,737	1,830,169	
	30	Total net assets or fund balances (see page 17 of the instructions)	1,854,737	1,830,169	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,854,737	1,830,169	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	11,854,737
2	Enter amount from Part I, line 27a	-24,568
3	Other increases not included in line 2 (itemize) ▶ _____	0
4	Add lines 1, 2, and 3	1,830,169
5	Decreases not included in line 2 (itemize) ▶ _____	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	1,830,169

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE ATTACHED SCHEDULE	P		
b	SEE ATTACHED SCHEDULE	P		
c	SEE ATTACHED SCHEDULE	P		
d	SEE ATTACHED SCHEDULE	P		
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 38,227		32,294	5,933
b 116,888		78,626	38,262
c 129,546		128,385	1,161
d 234,717		180,997	53,720
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			5,933
b			38,262
c			1,161
d			53,720
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	99,076
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	82,516	2,024,916	0 040750
2011	43,086	1,999,973	0 021543
2010	41,046	1,842,590	0 022276
2009	281,983	2,000,525	0 140954
2008	3,824,966	4,307,216	0 888037

2 Total of line 1, column (d).	2	1 113560
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 222712
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	2,073,842
5 Multiply line 4 by line 3.	5	461,869
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	1,391
7 Add lines 5 and 6.	7	463,260
8 Enter qualifying distributions from Part XII, line 4.	8	144,923

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)					
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	2,782		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)					
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		2	0		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)					
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)				3	2,782
3 Add lines 1 and 2.				4	0
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	2,782		
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		6a	2,000		
6 Credits/Payments					
a 2013 estimated tax payments and 2012 overpayment credited to 2013					
b Exempt foreign organizations—tax withheld at source					
c Tax paid with application for extension of time to file (Form 8868)					
d Backup withholding erroneously withheld		6b			
		6c			
		6d			
7 Total credits and payments. Add lines 6a through 6d.		7	2,000		
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		8	1		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	783		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10			
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐		11			

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?		4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions): ☐ NJ			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of LAWRENCE BASS Telephone no (917) 488-4092 Located at 11 EAST 86TH STREET APT 11 A NEW YORK NY ZIP+4 10028			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☒

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	2,054,942
b	Average of monthly cash balances.	1b	50,481
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,105,423
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,105,423
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	31,581
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,073,842
6	Minimum investment return. Enter 5% of line 5.	6	103,692

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	103,692
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	2,782
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,782
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	100,910
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	100,910
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	100,910

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	144,923
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	144,923
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	144,923
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				100,910
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.	3,508,978			
b From 2009.	182,149			
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.	3,691,127			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 144,923				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				100,910
e Remaining amount distributed out of corpus	44,013			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,735,140			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	3,508,978			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	226,162			
10 Analysis of line 9				
a Excess from 2009. . . .	182,149			
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .	44,013			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2013)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	134,758
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LAWRENCE D BASS	PRESIDENT 2 00	0	0	0
11 E86TH ST NEW YORK,NY 10028				
SANDRA BASS	SECRETARY & TREASURER 1 00	0	0	0
11 E86TH ST NEW YORK,NY 10028				
MARISA BASS	VICE PRESIDENT 1 00	0	0	0
11 E86TH ST NEW YORK,NY 10028				
AMANDA BASS	DIRECTOR 1 00	0	0	0
11 E86TH ST NEW YORK,NY 10028				
ARNOLD SPIEGEL	DIRECTOR 1 00	0	0	0
1065 PARK AVENUE NEW YORK,NY 10128				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BIG APPLE CIRCUS ONE METROTECH CENTER BROOKLYN,NY 11201	NONE	GENERAL	CHARITABLE	1,000
BOCA HELPING HANDS 1500 NW 1ST COURT BOCA RATON,FL 33432	NONE	GENERAL	CHARITABLE	500
COLUMBIA GRAMMAR & PREPARATORY SCHOOL 5 WEST 93RD STREET NEWYORK,NY 10025	NONE	GENERAL	CHARITABLE	1,000
DEMAREST UNITED METHODIST CHURCH 109 HARDENBURGH AVENUE DEMAREST,MD 21218	NONE	GENERAL	CHARITABLE	10,000
EQUINE ASSISTED THERAPIES OF SOUTH FLORIDA PO BOX 273542 BOCA RATON,FL 33427	NONE	GENERAL	CHARITABLE	500
HILLEL AT JOHNS HOPKINS UNIVERSITY 3109 N CHARLES STREET BALTIMORE,MD 21218	NONE	GENERAL	CHARITABLE	1,301
JEWISH GUILD HEALTHCARE 15 W 65TH STREET NEWYORK,NY 10023	NONE	GENERAL	CHARITABLE	500
JOHN'S HOPKINS UNIVERSITY 3400 NORTH CHARLES STREET BALTIMORE,MD 21218	NONE	GENERAL	BASS FAMILY ENDOWED INTERNATIONAL SCHOLARSHIP FUND	58,727
METROPOLITAN MUSEUM OF ART 1000 FIFTH AVE NEWYORK,NY 10021	NONE	GENERAL	CHARITABLE	200
MT SINAI ADOLESCENT HEALTH CENTER 320 EAST 94TH STREET NEWYORK,NY 10128	NONE	GENERAL	CHARITABLE	500
NATIONAL COUNCIL OF JEWISH WOMEN 75 SOUTH WASHINGTON AVE BERGENFIELD,NJ 07621	NONE	GENERAL	CHARITABLE	550
TEMPLE SHAARAY TEFILA 250 EAST 79TH STREET NEWYORK,NY 10021	NONE	GENERAL	CHARITABLE	3,280
TRUSTEES OF THE UNIVERSITY OF PA 3451 WALNUT STREET PHILADELPHIA,PA 19104	NONE	GENERAL	BASS FAMILY COLLABORATIVE LEARNING FUND	50,250
JEWISH FOUNDATION FOR THE RIGHTEOUS 305 7TH AVE NEWYORK,NY 10001	NONE	GENERAL	CHARITABLE	500
JEWISH BOARD OF FAMILY AND CHILDREN SERVICES 135 W 50TH ST NEWYORK,NY 10020	NONE	GENERAL	CHARITABLE	2,500
Total  3a				134,758

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GEORGE WASHINGTON UNIVERSITY 2121 EYE ST NW WASHINGTON,DC 20052	NONE	GENERAL	CHARITABLE	250
PLANNED PARENTHOOD 434 W 33RD ST NEWYORK,NY 10001	NONE	GENERAL	CHARITABLE	100
THE LUSTGARTEN FOUNDATION 1111 STEWART AVE BETHPAGE,NY 11714	NONE	GENERAL	CHARITABLE	100
AMERICAN JEWISH CONGRESS 260 MADISON AVE NEWYORK,NY 10016	NONE	GENERAL	CHARITABLE	500
THE 22 PROJECT INC 4800 LINTON BLVD SUITE D503 DELRAY BEACH,FL 33445	NONE	GENERAL	CHARITABLE	2,500
Total			3a	134,758

TY 2013 Accounting Fees Schedule

Name: VAN PELTBASS FOUNDATION

EIN: 22-2188191

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	30,417	15,209		0

TY 2013 Investments Government Obligations Schedule

Name: VAN PELTBASS FOUNDATION

EIN: 22-2188191

**US Government Securities - End of
Year Book Value:**

83,255

**US Government Securities - End of
Year Fair Market Value:**

81,795

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2013 Investments - Other Schedule**Name:** VAN PELTBASS FOUNDATION**EIN:** 22-2188191

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
COMMON STOCK	AT COST	758,424	996,187
MUTUAL FUNDS	AT COST	712,026	740,296
EXCHANGE-TRADED & CLOSED-END FUNDS	AT COST	226,773	221,832

TY 2013 Other Expenses Schedule

Name: VAN PELTBASS FOUNDATION

EIN: 22-2188191

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NJ REGISTRATION	25	0		0
BANK & INTEREST CHARGES	95	30		0

TY 2013 Other Professional Fees Schedule

Name: VAN PELTBASS FOUNDATION

EIN: 22-2188191

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	20,262	20,262		0

TY 2013 Taxes Schedule**Name:** VAN PELTBASS FOUNDATION**EIN:** 22-2188191

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	1,306	1,306		0
INVESTMENT INCOME TAX	3,427	0		0

TY 2013 IRS Payment**Name:** VAN PELTBASS FOUNDATION**EIN:** 22-2188191**Routing Transit Number:** 026013673**Bank Account Number:** 7928430383**Type of Account:** Checking**Payment Amount in Dollars and** 783**Cents:****Requested Payment Date:** 2015-02-15**Taxpayer's Daytime Phone** (917) 488-4092
Number:

Acct. 552-127828-487

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Realized Gain/Loss - Summary (10/01/13 - 12/31/13)

As of 12/31/2013

VAN PELT/BASS FOUNDATION
LAWRENCE BASS
11 EAST 86TH STREET,
APT. 11A
NEW YORK NY 10028-0501

Prepared by BTW GROUP

Ph. 212-603-6193

Acct. 552-127828-487

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
Long Term					
FNMA POOL 745275 5.000 2-01-36	31403C6L0	126.080	\$132.80	\$126.08	\$(6.72)
FNMA POOL 889697 6.000 7-01-38	31410KNN0	198.090	214.96	198.09	(16.87)
FNMA POOL AB1389 4 1/2 8-01-40	31416WRK0	118.790	120.74	118.79	(1.95)
FNMA POOL AB4115 4.000 12-01-41	31417ASD2	324.570	343.14	324.57	(18.57)
FNMA POOL AB5670 3 1/2 7-01-42	31417CJQ9	152.870	163.85	152.87	(10.98)
FNMA POOL AB7079 3.000 11-01-42	31417D2M4	55.350	58.33	55.35	(2.98)
SIRIUS XM RADIO INC COM	82967N108	895.000	2,572.90	3,321.37	748.47
AIA GROUP LTD SPON ADR	AAGIY	32.000	442.63	646.38	203.75
APPLE INC	AAPL	7.000	1,922.87	3,689.38	1,766.51
AIR LIQUIDE ADR	AIQYU	26.000	576.73	706.92	130.19
ALGER SMALL CAP GROWTH INST	ALSRX	250.980	7,672.46	8,445.48	773.02
AMERICAN TOWER REIT COM	AMT	14.000	642.53	1,083.58	441.05
AMAZON COM INC	AMZN	4.000	514.17	1,474.17	960.00
ARM HOLDINGS PLC ADS	ARMH	23.000	419.29	1,093.21	673.92
AMERICAN EXPRESS CO	AXP	9.000	416.52	754.81	338.29
BIOGEN IDEC INC	BIIB	2.000	231.99	501.36	269.37
BLACKROCK INC	BLK	3.000	487.80	909.85	422.05
BORG WARNER INC	BWA	7.000	315.00	742.68	427.68
CHUBB CORP	CB	6.000	308.69	575.32	266.63
CBS CORP NEW CL B SHRS	CBS	13.000	446.67	759.96	313.29
CERNER CORP	CERN	10.000	358.12	577.28	219.16
COMCAST CORP CL A SPECIAL NEW	CMCSK	15.000	358.65	699.58	340.93
CRM MIDCAP VALUE INSTL	CRIMX	282.961	7,074.03	10,844.77	3,770.74
SALESFORCE.COM,INC.	CRM	32.000	1,101.03	1,729.91	628.88
CHEVRON CORP	CVX	7.000	518.56	864.41	345.85
DU PONT EI DE NEMOURS & CO	DD	11.000	387.75	676.70	288.95
DOMINION RES INC (NEW)	D	9.000	353.13	603.61	250.48
DOLLAR GEN CORP NEW COM	DG	13.000	624.59	753.20	128.61
DANAHER CORPORATION	DHR	16.000	619.74	1,187.81	568.07
EBAY INC	EBAY	27.000	1,106.40	1,407.88	301.48
ECOLAB INC	ECL	8.000	395.35	859.50	464.15
ENBRIDGE INC	ENB	12.000	281.28	502.91	221.63
FRESENIUS MEDICAL CARE AG&CO	FMS	86.000	2,507.34	2,727.88	220.54

Realized Gain/Loss - Summary
(10/01/13 - 12/31/13)

As of 12/31/2013

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Acct. 552-127828-487

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
FMC TECHNOLOGIES INC	FTI	46.000	\$1,733.03	\$2,373.52	\$640.49
ALLIANZ FX INC SHRS: SERIES C	FXICX	84.000	1,071.84	1,099.56	27.72
GOLDMAN SACHS GRW OPPORT I	GGOIX	376.984	7,931.75	11,799.42	3,867.67
GILEAD SCIENCE	GILD	12.000	413.94	859.78	445.84
GOOGLE INC-CL A	GOOG	2.000	1,118.24	2,063.25	945.01
W W GRAINGER INC	GWV	13.000	2,619.43	3,309.51	690.08
JOHNSON & JOHNSON	JNJ	5.000	321.34	475.99	154.65
JPMORGAN CHASE & CO	JPM	22.000	930.75	1,143.11	212.36
LONZA GROUP AG ZUERICH ADR	LZAGY	60.000	361.74	486.51	124.77
MASTERCARD INC CL A	MA	1.000	425.25	746.82	321.57
MC DONALDS CORP	MCD	6.000	390.29	586.48	196.19
3M COMPANY	MMM	3.000	245.45	390.63	145.18
MONSANTO CO/NEW	MON	9.000	670.12	1,004.65	334.53
MERCK & CO INC NEW COM	MRK	11.000	406.65	534.48	127.83
NEUBERGER BERMAN GENESIS INST	NBGIX	166.974	7,088.05	10,617.28	3,529.23
NEXTERA ENERGY INC COM	NEE	7.000	328.93	607.65	278.72
NESTLE SPON ADR REP REG SHR	NSRGY	7.000	385.00	513.09	128.09
PRICELINE.COM INC NEW	PCLN	1.000	182.13	1,063.17	881.04
PRECISION CASTPARTS CORP	PCP	6.000	981.00	1,521.93	540.93
PHILIP MORRIS INTL INC	PM	7.000	351.81	604.29	252.48
QUALCOMM INC	QCOM	5.000	238.39	341.78	103.39
ROCHE HOLDINGS ADR	RHHBY	7.000	293.72	486.21	192.49
RALPH LAUREN CORP CL A	RL	7.000	967.42	1,161.64	194.22
ROSS STORES INC	ROST	11.000	684.75	886.03	201.28
RAYTHEON CO (NEW)	RTN	7.000	399.21	608.84	209.63
SAP AG	SAP	9.000	438.64	732.22	293.58
SBA COMMUNICATIONS CORP	SBAC	16.000	883.20	1,368.51	485.31
SCHLUMBERGER LTD	SLB	14.000	1,008.70	1,266.63	257.93
SYSMEX CORP UNSPON ADR	SSMXV	14.000	280.15	460.17	180.02
SWATCH GROUP AG ADR THE UNSPON	SWGAY	5.000	97.50	159.74	62.24
TRAVELERS COMPANIES INC COM	TRV	7.000	374.50	623.75	249.25
TAIWAN SMCNDCR MFG CO LTD ADR	TSM	28.000	301.46	475.43	173.97
ULTA SALON COS & FRAGR INC	ULTA	19.000	1,622.36	1,924.04	301.68
UNITEDHEALTH GP INC	UNH	26.000	1,240.12	1,880.93	640.81
UNION PACIFIC CORP	UNP	10.000	707.50	1,503.57	796.07
UNILEVER NV NY SH NEW	UN	54.000	1,670.65	2,097.52	426.87

Realized Gain/Loss - Summary
(10/01/13 - 12/31/13)

As of 12/31/2013

VAN PELT/BASS FOUNDATION
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NEW YORK NY 10028-0501

Prepared by BTW GROUP
Ph. 212-603-6193

Acct. 552-127828-487

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
U S BANCORP COM NEW	USB	14.000	\$345.20	\$543.33	\$198.13
UNITED TECHNOLOGIES CORP	UTX	30.000	2,151.87	3,257.71	1,105.84
VISA INC CL A	V	13.000	1,076.29	2,629.89	1,553.60
VERIZON COMMUNICATIONS	VZ	18.000	505.64	908.98	403.34
WELLS FARGO & CO NEW	WFC	17.000	491.80	750.70	258.90
WPP PLC SPON NEW ADR	WPPGY	7.000	397.38	764.59	367.21
EXXON MOBIL CORP	XOM	12.000	803.26	1,138.54	335.28

Realized Gain/Loss - Detail
(01/01/14 - 09/30/14)

As of 12/08/2014

VAN PELT/BASS FOUNDATION
LAWRENCE BASS
11 EAST 86TH STREET,
APT. 11A
NEW YORK NY 10028-0501

Prepared by BTW GROUP

Ph. 212-603-6193

Acct. 552-127828-487

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Short Term							
CBS OUTDOOR AMERICAS INC.	14987J106	3.000	07/10/14	\$97.63	09/04/14	\$100.49	\$2.86
	14987J106	9.000	07/10/14	292.88	09/10/14	286.16	(6.72)
	14987J106	20.000	07/10/14	656.22	09/04/14	669.93	13.71
	14987J106	29.000	07/10/14	943.73	09/09/14	955.56	11.83
	14987J106	27.000	07/15/14	877.31	09/10/14	858.47	(18.84)
Totals				\$2,867.77		\$2,870.61	\$2.84
FHLMC 30G G08323 5.000 2-01-39	3128MJLD0	2.630	09/18/13	2.85	05/15/14	2.63	(0.22)
	3128MJLD0	2.730	09/18/13	2.96	09/15/14	2.73	(0.23)
	3128MJLD0	2.750	09/18/13	2.98	07/15/14	2.75	(0.23)
	3128MJLD0	2.860	09/18/13	3.10	08/15/14	2.86	(0.24)
	3128MJLD0	3.100	09/18/13	3.36	03/15/14	3.10	(0.26)
	3128MJLD0	3.140	09/18/13	3.40	04/15/14	3.14	(0.26)
	3128MJLD0	3.600	09/18/13	3.90	06/15/14	3.60	(0.30)
	3128MJLD0	4.350	09/18/13	4.71	02/15/14	4.35	(0.36)
	3128MJLD0	4.610	09/18/13	4.99	01/15/14	4.61	(0.38)
Totals				\$32.25		\$29.77	\$(2.48)
FHLMC 30G Q16644 3 1/2 3-01-43	3132J7ZJ4	1.640	09/18/13	1.63	04/15/14	1.64	0.01
	3132J7ZJ4	1.640	09/18/13	1.63	01/15/14	1.64	0.01
	3132J7ZJ4	1.640	09/18/13	1.63	02/15/14	1.64	0.01
	3132J7ZJ4	1.640	09/18/13	1.63	05/15/14	1.64	0.01
	3132J7ZJ4	1.640	09/18/13	1.63	03/15/14	1.64	0.01
	3132J7ZJ4	2.160	09/18/13	2.15	07/15/14	2.16	0.01
	3132J7ZJ4	13.880	09/18/13	13.79	06/15/14	13.88	0.09
	3132J7ZJ4	16.940	09/18/13	16.83	09/15/14	16.94	0.11
	3132J7ZJ4	26.010	09/18/13	25.84	08/15/14	26.01	0.17
Totals				\$66.76		\$67.19	\$0.43
FHLMC 30G Q17665 3.000 4-01-43	3132J86B1	4.320	07/10/13	4.12	01/15/14	4.32	0.20
	3132J86B1	5.870	07/10/13	5.60	02/15/14	5.87	0.27
	3132J86B1	1,000.000	07/10/13	914.51	02/07/14	930.84	16.33
Totals				\$924.23		\$941.03	\$16.80

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Realized Gain/Loss - Detail
(01/01/14 - 09/30/14)

As of 12/08/2014

VAN PELT/BASS FOUNDATION
LAWRENCE BASS
11 EAST 86TH STREET,
APT. 11A
NEW YORK NY 10028-0501

Prepared by BTW GROUP

Ph. 212-603-6193

Acct. 552-127828-487

Description		Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
FNMA POOL AL0160	4 1/2 5-01-41	3138EGFA7	4.810	03/13/13	\$5.19	02/25/14	\$4.81	\$(0.38)
		3138EGFA7	6.540	03/13/13	7.06	01/25/14	6.54	(0.52)
		Totals			\$12.25		\$11.35	\$(0.90)
FNMA POOL AL0935	4.000 5-01-41	3138EHB3	26.660	04/09/14	27.86	06/25/14	26.66	(1.20)
		3138EHB3	29.010	04/09/14	30.32	09/25/14	29.01	(1.31)
		3138EHB3	29.020	04/09/14	30.33	05/25/14	29.02	(1.31)
		3138EHB3	32.040	04/09/14	33.48	07/25/14	32.04	(1.44)
		3138EHB3	40.550	04/09/14	42.38	08/25/14	40.55	(1.83)
		Totals			\$164.37		\$157.28	\$(7.09)
FNMA POOL AL3424	4.000 1-01-43	3138EKY9	3.800	07/10/13	3.93	03/25/14	3.80	(0.13)
		3138EKY9	5.320	07/10/13	5.50	02/25/14	5.32	(0.18)
		3138EKY9	6.910	07/10/13	7.14	04/25/14	6.91	(0.23)
		3138EKY9	9.120	07/10/13	9.42	01/25/14	9.12	(0.30)
		3138EKY9	1,000.000	07/10/13	887.77	04/07/14	898.11	10.34
		Totals			\$913.76		\$923.26	\$9.50
FNMA POOL AQ7081	3 1/2 12-01-42	3138MN2P4	1.760	07/10/13	1.76	06/25/14	1.76	-
		3138MN2P4	1.760	07/10/13	1.76	03/25/14	1.76	-
		3138MN2P4	1.770	07/10/13	1.77	04/25/14	1.77	-
		3138MN2P4	12.620	07/10/13	12.62	01/25/14	12.62	-
		3138MN2P4	13.160	07/10/13	13.16	02/25/14	13.16	-
		3138MN2P4	13.280	07/10/13	13.28	05/25/14	13.28	-
		Totals			\$44.35		\$44.35	\$0.00
FNMA POOL AS0415	4.000 9-01-43	3138W9N97	1.490	09/18/13	1.55	03/25/14	1.49	(0.06)
		3138W9N97	3.300	09/18/13	3.43	02/25/14	3.30	(0.13)
		3138W9N97	3.710	09/18/13	3.86	04/25/14	3.71	(0.15)
		3138W9N97	5.680	09/18/13	5.90	01/25/14	5.68	(0.22)
		3138W9N97	1,000.000	09/18/13	1,003.19	04/07/14	1,008.69	5.50
		Totals			\$1,017.93		\$1,022.87	\$4.94
FNMA POOL AT0181	3.000 3-01-43	3138WMFX4	1.700	12/16/13	1.63	02/25/14	1.70	0.07
		3138WMFX4	14.080	12/16/13	13.47	01/25/14	14.08	0.61
		3138WMFX4	1,000.000	12/16/13	912.98	02/06/14	924.99	12.01
		Totals			\$928.08		\$940.77	\$12.69
FNMA POOL AU5069	4.000 11-01-43	3138X4T34	1.350	02/12/14	1.41	03/25/14	1.35	(0.06)
		3138X4T34	20.070	02/12/14	20.96	04/25/14	20.07	(0.89)

Realized Gain/Loss - Detail
(01/01/14 - 09/30/14)

As of 12/08/2014

VAN PELT/BASS FOUNDATION
LAWRENCE BASS
11 EAST 86TH STREET,
APT. 11A
NEW YORK NY 10028-0501

Prepared by BTW GROUP

Ph. 212-603-6193

Acct. 552-127828-487

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	3138X4T34	1,000.000	02/12/14	\$1,017.45	04/07/14	\$1,018.40	\$0.95
	Totals			\$1,039.82		\$1,039.82	\$0.00
FNMA POOL AU7305	4.000 8-01-43	1.520	08/26/13	1.57	01/25/14	1.52	(0.05)
	3138X7DK6	1.540	08/26/13	1.59	03/25/14	1.54	(0.05)
	3138X7DK6	1.570	08/26/13	1.62	02/25/14	1.57	(0.05)
	3138X7DK6	10.660	08/26/13	11.01	04/25/14	10.66	(0.35)
	3138X7DK6	1,000.000	08/26/13	1,010.62	04/07/14	1,022.69	12.07
	Totals			\$1,026.41		\$1,037.98	\$11.57
FNMA POOL AW7104	4 1/2 7-01-44	1.380	07/18/14	1.49	08/25/14	1.38	(0.11)
	3138XW3N6	1.470	07/18/14	1.59	09/25/14	1.47	(0.12)
	Totals			\$3.08		\$2.85	\$(0.23)
FNMA POOL 745580	5.000 6-01-36	1.880	09/27/13	2.04	03/25/14	1.88	(0.16)
	31403DJZ3	2.070	09/27/13	2.24	07/25/14	2.07	(0.17)
	31403DJZ3	2.250	09/27/13	2.44	04/25/14	2.25	(0.19)
	31403DJZ3	2.370	09/27/13	2.57	05/25/14	2.37	(0.20)
	31403DJZ3	2.430	09/27/13	2.63	02/25/14	2.43	(0.20)
	31403DJZ3	2.510	09/27/13	2.72	06/25/14	2.51	(0.21)
	31403DJZ3	2.730	09/27/13	2.96	09/25/14	2.73	(0.23)
	31403DJZ3	2.830	09/27/13	3.06	08/25/14	2.83	(0.23)
	31403DJZ3	3.060	09/27/13	3.31	01/25/14	3.06	(0.25)
	Totals			\$23.97		\$22.13	\$(1.84)
US TSY BOND TIIB	2 3/8 1-15-25	1,000.000	10/23/13	1,480.42	02/19/14	1,459.33	(21.09)
	912810FR4	1,000.000	10/23/13	1,486.95	01/14/14	1,449.40	(37.55)
	912810FR4	1,000.000	12/30/13	1,424.83	02/19/14	1,459.33	34.50
	912810FR4	1,000.000	02/18/14	1,467.62	02/19/14	1,459.32	(8.30)
	912810FR4	1,000.000	04/22/14	1,502.32	07/09/14	1,519.39	17.07
	Totals			\$7,362.14		\$7,346.77	\$(15.37)
US TSY NOTE	3/4 1-15-17	4,000.000	02/26/14	4,008.84	06/12/14	4,002.19	(6.65)
US TSY NOTE	2.000 11-15-21	1,000.000	05/07/13	1,033.76	02/04/14	979.69	(54.07)
US TSY NOTE	1 1/8 12-31-19	1,000.000	12/10/13	957.85	09/25/14	962.89	5.04
	912828UF5	1,000.000	06/24/14	961.17	09/25/14	962.90	1.73
	Totals			\$1,919.02		\$1,925.79	\$6.77
US TSY NOTE	2.000 2-15-23	1,000.000	03/01/13	1,011.83	02/10/14	953.44	(58.39)
	912828UN8	1,000.000	04/02/13	1,011.21	02/10/14	953.36	(57.85)

Realized Gain/Loss - Detail
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LAWRENCE BASS
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APT. 11A
NEW YORK NY 10028-0501

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Acct. 552-127828-487

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
US TSY NOTE 1.000 5-31-18	912828UN8	3,000.000	04/02/13	\$3,033.63	02/10/14	\$2,860.31	\$(173.32)
	912828UN8	1,000.000	07/09/13	950.51	02/10/14	953.36	2.85
	912828UN8	1,000.000	10/22/13	961.29	02/10/14	953.36	(7.93)
	Totals			\$6,968.47		\$6,673.83	\$(294.64)
ALEXION PHARM INC	912828VE7	4,000.000	02/12/14	3,943.12	09/25/14	3,941.57	(1.55)
	912828VE7	6,000.000	02/12/14	5,914.69	09/09/14	5,907.65	(7.04)
	912828VE7	1,000.000	06/17/14	984.14	09/25/14	985.39	1.25
	Totals			\$10,841.95		\$10,834.61	\$(7.34)
APPLIED MATERIALS INC	ALXN	1.000	04/03/13	99.08	03/21/14	159.29	60.21
	ALXN	5.000	04/03/13	495.38	02/20/14	894.08	398.70
	Totals			\$594.46		\$1,053.37	\$458.91
	AMAT	20.000	09/25/13	357.74	04/11/14	378.41	20.67
BAIDU INC ADS	AMAT	36.000	09/25/13	643.92	08/21/14	790.51	146.59
	Totals			\$1,001.66		\$1,168.92	\$167.26
	BIDU	3.000	06/12/13	293.06	01/14/14	518.34	225.28
	BIDU	24.000	06/12/13	2,344.47	01/14/14	4,146.71	1,802.24
BIOMARIN PHARMAC SE	Totals			\$2,637.53		\$4,665.05	\$2,027.52
	BMRN	14.000	02/25/14	1,148.35	05/27/14	798.36	(349.99)
	BMRN	7.000	02/27/14	584.27	05/27/14	399.18	(185.09)
	BMRN	3.000	03/06/14	236.14	05/27/14	171.08	(65.06)
	BMRN	7.000	03/06/14	550.98	06/09/14	433.03	(117.95)
	BMRN	4.000	03/07/14	307.60	06/09/14	247.45	(60.15)
	BMRN	2.000	03/10/14	153.34	06/09/14	123.72	(29.62)
	BMRN	10.000	03/14/14	777.69	06/09/14	618.61	(159.08)
	BMRN	1.000	04/11/14	60.55	06/09/14	61.86	1.31
	Totals			\$3,818.92		\$2,853.29	\$(965.63)
	CMG	3.000	10/09/13	1,288.53	03/31/14	1,700.74	412.21
	CTRX	24.000	10/29/13	1,199.05	02/27/14	1,095.07	(103.98)
CATAMARAN CORP COM	CTRX	12.000	10/31/13	612.42	02/27/14	547.53	(64.89)
	CTRX	29.000	10/31/13	1,480.00	02/28/14	1,311.99	(168.01)
	Totals			\$3,291.47		\$2,954.59	\$(336.88)
	CTSH	4.000	05/09/13	135.98	04/11/14	191.40	55.42
COGNIZANT TECH SOLUTIONS CL A	DE	2.000	03/08/13	180.72	02/04/14	168.60	(12.12)
	DG	12.000	12/09/13	728.75	07/16/14	664.17	(64.58)
	DOLLAR GEN CORP NEW COM						

Realized Gain/Loss - Detail (01/01/14 - 09/30/14)

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LAWRENCE BASS
11 EAST 86TH STREET,
APT. 11A
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Acct. 552-127828-487

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DG	DG	2.000	04/03/14	\$114.78	07/16/14	\$110.70	\$ (4.08)
DG	DG	11.000	04/03/14	631.27	07/17/14	600.93	(30.34)
DG	DG	13.000	04/10/14	731.30	07/17/14	710.19	(21.11)
DG	DG	16.000	04/11/14	874.98	07/17/14	874.08	(0.90)
DG	DG	6.000	04/28/14	339.10	07/17/14	327.79	(11.31)
DG	DG	12.000	08/18/14	756.50	09/05/14	753.28	(3.22)
DG	DG	6.000	08/19/14	383.37	09/05/14	376.64	(6.73)
DG	DG	21.000	08/19/14	1,341.80	09/08/14	1,316.73	(25.07)
Totals				\$5,901.85		\$5,734.51	\$ (167.34)
EBAY INC	EBAY	6.000	07/09/13	326.67	05/28/14	303.31	(23.36)
ESTEE LAUDER CO INC CL A	EL	5.000	09/03/13	333.15	03/31/14	334.22	1.07
EXPRESS SCRIPTS HLDG CO COM	ESRX	15.000	02/13/14	1,142.90	06/19/14	1,023.49	(119.41)
	ESRX	4.000	02/19/14	306.50	06/19/14	272.93	(33.57)
	ESRX	8.000	02/19/14	612.99	06/20/14	548.07	(64.92)
	ESRX	10.000	02/24/14	749.44	06/20/14	685.08	(64.36)
	ESRX	8.000	03/11/14	632.95	06/20/14	548.07	(84.88)
	ESRX	4.000	04/11/14	286.16	06/20/14	274.03	(12.13)
	ESRX	8.000	04/16/14	585.05	06/20/14	548.07	(36.98)
Totals				\$4,315.99		\$3,899.74	\$ (416.25)
FACEBOOK INC CL-A	FB	18.000	07/25/13	599.89	04/23/14	1,105.89	506.00
FIREYE INC	FEYE	38.000	05/28/14	1,317.54	08/19/14	1,067.61	(249.93)
	FEYE	10.000	05/30/14	324.51	08/19/14	280.95	(43.56)
	FEYE	4.000	06/02/14	129.22	08/19/14	112.38	(16.84)
Totals				\$1,771.27		\$1,460.94	\$ (310.33)
HONG KONG EXCHANGES & CLEARING	HKXCY	17.000	06/14/13	272.97	04/11/14	315.51	42.54
INCYTE CORP	INCY	3.000	01/14/14	185.50	04/17/14	136.18	(49.32)
	INCY	15.000	01/14/14	927.54	04/17/14	680.93	(246.61)
	INCY	7.000	01/17/14	439.83	04/17/14	317.76	(122.07)
	INCY	14.000	01/17/14	879.66	04/21/14	652.38	(227.28)
	INCY	9.000	02/27/14	597.33	04/21/14	419.39	(177.94)
	INCY	3.000	04/11/14	141.00	04/21/14	139.79	(1.21)
Totals				\$3,170.86		\$2,346.43	\$ (824.43)
INTERNATIONAL PAPER CO	IP	5.000	07/17/13	243.43	04/11/14	226.69	(16.74)
ITAU UNIBANCO MULTIPLE ADR	ITUB	0.600	06/12/14	9.12	06/12/14	9.18	0.06

Realized Gain/Loss - Detail (01/01/14 - 09/30/14)

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APT. 11A
NEW YORK NY 10028-0501

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
MICHAEL KORS HOLDINGS LTD	KORS	10.000	01/24/14	\$802.87	05/30/14	\$949.13	\$146.26
KANSAS CY SOUTHN IND NEW	KSU	10.000	10/08/13	1,111.56	01/24/14	1,009.48	(102.08)
	KSU	1.000	10/22/13	120.38	01/24/14	100.95	(19.43)
	KSU	5.000	10/23/13	609.61	01/24/14	504.73	(104.88)
Totals				\$1,841.55		\$1,615.16	\$ (226.39)
LIBERTY GLOBAL PLC CL A NEW	LBTYA	5.000	07/18/13	407.79	02/10/14	410.08	2.29
	LBTYA	16.000	07/18/13	1,304.91	01/31/14	1,280.97	(23.94)
	LBTYA	1.000	07/31/13	81.65	02/18/14	85.63	3.98
	LBTYA	2.000	07/31/13	163.30	02/11/14	166.89	3.59
	LBTYA	11.000	07/31/13	898.17	02/10/14	902.18	4.01
	LBTYA	3.000	08/01/13	244.97	02/26/14	254.40	9.43
	LBTYA	6.000	08/01/13	489.94	02/18/14	513.76	23.82
	LBTYA	6.000	10/01/13	479.68	02/26/14	508.80	29.12
	LBTYA	5.000	11/07/13	400.40	02/26/14	423.99	23.59
Totals				\$4,470.81		\$4,546.70	\$75.89
LINKEDIN CORP-A	LNKD	11.000	09/05/13	2,683.16	04/28/14	1,582.32	(1,100.84)
	LNKD	1.000	12/16/13	226.71	04/28/14	143.85	(82.86)
	LNKD	4.000	01/06/14	818.48	04/28/14	575.39	(243.09)
	LNKD	1.000	04/11/14	167.09	04/28/14	143.85	(23.24)
Totals				\$3,895.44		\$2,445.41	\$ (1,450.03)
LORILLARD INC	LO	15.000	01/30/13	586.80	01/07/14	753.78	166.98
	LO	1.000	03/08/13	38.28	01/07/14	50.25	11.97
Totals				\$625.08		\$804.03	\$178.95
LOWES COMPANIES INC	LOW	16.000	10/16/13	773.07	06/16/14	727.06	(46.01)
	LOW	2.000	10/17/13	97.26	06/18/14	91.40	(5.86)
	LOW	10.000	10/17/13	486.29	06/16/14	454.42	(31.87)
	LOW	16.000	10/18/13	764.02	06/18/14	731.19	(32.83)
	LOW	9.000	10/21/13	431.11	06/18/14	411.29	(19.82)
	LOW	16.000	10/21/13	766.43	06/19/14	732.45	(33.98)
	LOW	12.000	10/28/13	600.13	06/19/14	549.34	(50.79)
	LOW	6.000	11/27/13	286.06	06/19/14	274.67	(11.39)
	LOW	4.000	04/11/14	186.36	06/19/14	183.12	(3.24)
Totals				\$4,390.73		\$4,154.94	\$ (235.79)
MOBILEYE N.V.	MBLY	15.000	08/06/14	548.66	09/25/14	734.67	186.01

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MBLY		16.000	08/06/14	\$585.24	09/25/14	\$790.07	\$204.83
Totals				\$1,133.90		\$1,524.74	\$390.84
MCKESSON CORP		5.000	12/11/13	794.94	01/15/14	832.17	37.23
MCK		6.000	12/11/13	953.93	01/16/14	1,012.05	58.12
MCK		5.000	12/19/13	798.14	01/16/14	843.38	45.24
Totals				\$2,547.01		\$2,687.60	\$140.59
MONDELEZ INTL INC COM		13.000	04/22/13	410.41	04/11/14	449.27	38.86
MGM RESORTS INTERNATIONAL		6.000	05/27/14	152.27	09/17/14	135.92	(16.35)
MGM		24.000	05/27/14	609.09	09/03/14	573.68	(35.41)
MGM		23.000	06/05/14	581.30	09/17/14	521.04	(60.26)
MGM		4.000	07/29/14	109.76	09/17/14	90.62	(19.14)
Totals				\$1,452.42		\$1,321.26	\$(131.16)
MITSUBISHI EST ADR		10.000	10/01/13	296.85	04/11/14	223.89	(72.96)
MONOTARO CO LTD ADR		7.000	11/18/13	157.90	04/11/14	169.18	11.28
MICROSOFT CORP		7.000	07/17/14	313.52	08/01/14	300.30	(13.22)
MOTOROLA SOLUTIONS INC		6.000	10/04/13	363.44	04/11/14	382.19	18.75
MORGAN STANLEY		2.000	05/30/13	51.35	01/10/14	62.63	11.28
MS		20.000	05/31/13	521.73	01/10/14	626.33	104.60
MS		2.000	06/03/13	51.52	01/10/14	62.64	11.12
Totals				\$624.60		\$751.60	\$127.00
NOBLE ENERGY INC		5.000	12/10/13	347.16	07/30/14	334.61	(12.55)
NEWMONT MINING CORP (NEW)		52.000	02/13/13	2,353.13	01/16/14	1,236.27	(1,116.86)
NEM		3.000	03/08/13	118.59	01/16/14	71.32	(47.27)
Totals				\$2,471.72		\$1,307.59	\$(1,164.13)
NIKE INC B		4.000	08/06/13	266.02	04/11/14	289.29	23.27
SERVICENOW INC		15.000	08/26/13	704.72	04/08/14	765.82	61.10
NOW		15.000	08/30/13	702.62	04/08/14	765.82	63.20
NOW		5.000	09/03/13	234.98	04/08/14	255.28	20.30
Totals				\$1,642.32		\$1,786.92	\$144.60
NOVO NORDISK A/S ADR		3.000	06/12/13	100.82	04/11/14	128.12	27.30
NXP SEMICONDUCTORS NV		9.000	01/28/13	274.05	01/10/14	387.47	113.42
NXPI		16.000	01/28/13	487.20	01/03/14	695.87	208.67
NXPI		16.000	02/04/13	483.33	01/10/14	688.84	205.51
NXPI		27.000	02/04/13	815.62	01/14/14	1,186.19	370.57

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	NXPI	4.000	03/08/13	\$125.57	01/14/14	\$175.73	\$50.16
	Totals			\$2,185.77		\$3,134.10	\$948.33
GAZPROM O A O SPON ADR	OGZPY	34.000	03/08/13	303.96	03/07/14	233.75	(70.21)
ORACLE CORP	ORCL	6.000	03/27/14	235.92	05/27/14	250.76	14.84
	ORCL	13.000	03/27/14	511.15	07/11/14	521.97	10.82
	ORCL	20.000	03/27/14	786.39	06/30/14	815.52	29.13
	ORCL	22.000	03/27/14	865.03	05/23/14	924.13	59.10
	ORCL	13.000	03/28/14	513.74	07/16/14	523.51	9.77
	ORCL	15.000	03/28/14	592.77	07/11/14	602.28	9.51
	ORCL	6.000	04/01/14	249.87	07/16/14	241.62	(8.25)
	ORCL	31.000	04/01/14	1,291.00	07/17/14	1,241.83	(49.17)
	ORCL	4.000	04/11/14	158.99	07/17/14	160.24	1.25
	ORCL	18.000	04/29/14	726.41	07/17/14	721.06	(5.35)
	Totals			\$5,931.27		\$6,002.92	\$71.65
PETROLEO BRAS SA ADS	PBR	17.000	03/08/13	299.20	01/14/14	211.62	(87.58)
PUBLIC SERVICE ENTERPRISE GP	PEG	8.000	01/24/13	242.32	01/07/14	252.97	10.65
	PEG	8.000	03/08/13	261.60	01/07/14	252.97	(8.63)
	Totals			\$503.92		\$505.94	\$2.02
PIONEER NATURAL RESOURCES CO	PXD	1.000	02/07/14	183.14	07/09/14	224.77	41.63
	PXD	7.000	02/07/14	1,281.96	08/15/14	1,419.98	138.02
	PXD	5.000	02/11/14	914.59	08/15/14	1,014.27	99.68
	PXD	1.000	04/11/14	182.25	08/15/14	202.85	20.60
	PXD	4.000	04/14/14	750.70	08/15/14	811.43	60.73
	Totals			\$3,312.64		\$3,673.30	\$360.66
QUALCOMM INC	QCOM	1.000	10/29/13	69.12	06/17/14	78.61	9.49
	QCOM	4.000	10/29/13	276.48	06/13/14	315.92	39.44
	QCOM	17.000	10/29/13	1,175.02	06/17/14	1,346.33	171.31
	QCOM	14.000	11/07/13	938.60	06/17/14	1,100.56	161.96
	Totals			\$2,459.22		\$2,841.42	\$382.20
RALPH LAUREN CORP CL A	RL	3.000	07/11/13	548.69	02/06/14	460.31	(88.38)
ROSS STORES INC	ROST	3.000	03/08/13	167.49	02/25/14	207.85	40.36
RANGE RESOURCES CORP	RRC	1.000	06/18/13	79.73	04/24/14	93.66	13.93
	RRC	8.000	06/18/13	637.82	04/11/14	703.40	65.58
	RRC	4.000	06/20/13	311.44	04/24/14	374.62	63.18

Realized Gain/Loss - Detail (01/01/14 - 09/30/14) As of 12/08/2014

VAN PELT/BASS FOUNDATION
LAWRENCE BASS
11 EAST 86TH STREET,
APT. 11A
NEW YORK NY 10028-0501

Prepared by BTW GROUP
Ph. 212-603-6193

Acct. 552-127828-487

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Totals				\$1,028.99		\$1,171.68	\$142.69
SPLUNK INC	SPLK	21.000	01/23/14	\$1,706.53	04/01/14	\$1,558.33	\$ (148.20)
AT&T INC	T	13.000	03/08/13	475.54	02/03/14	415.22	(60.32)
TESLA MOTORS INC	TSLA	8.000	08/04/14	1,899.84	09/16/14	2,057.09	157.25
TWITTER INC	TWTR	2.000	06/19/14	78.04	07/16/14	74.67	(3.37)
	TWTR	26.000	06/19/14	1,014.46	07/17/14	954.08	(60.38)
Totals				\$1,092.50		\$1,028.75	\$ (63.75)
VERIV CORP	VRTV	-	07/01/14	0.00	07/01/14	33.26	33.26
VALEANT PHARMACEUTIC INTL INC	VRX	8.000	04/23/14	1,074.52	06/16/14	945.62	(128.90)
	VRX	4.000	05/09/14	521.17	06/16/14	472.81	(48.36)
Totals				\$1,595.69		\$1,418.43	\$ (177.26)
WYNN RESORTS LTD	WYNN	5.000	08/15/13	690.78	01/27/14	957.01	266.23
	WYNN	1.000	08/21/13	139.83	03/10/14	243.41	103.58
	WYNN	1.000	08/21/13	139.83	04/11/14	208.03	68.20
	WYNN	2.000	08/21/13	279.66	03/07/14	492.40	212.74
	WYNN	3.000	08/21/13	419.49	04/07/14	633.54	214.05
	WYNN	8.000	08/21/13	1,118.65	04/17/14	1,646.77	528.12
	WYNN	2.000	07/30/14	436.80	08/06/14	399.80	(37.00)
	WYNN	2.000	07/30/14	434.79	08/08/14	390.60	(44.19)
	WYNN	3.000	07/30/14	655.20	08/06/14	599.69	(55.51)
	WYNN	6.000	07/30/14	1,310.39	08/07/14	1,171.11	(139.28)
Totals				\$5,625.42		\$6,742.36	\$1,116.94
XINYI GLASS HLDGS LTD UNSPON	XYIGY	-	01/16/14	0.00	01/16/14	311.60	311.60
Long Term							
CSL LTD UNSPON ADR	12637N105	12.000	11/23/10	204.00	04/11/14	384.71	180.71
FNMA POOL AL0160 4 1/2 5-01-41	3138EGFA7	4.470	03/13/13	4.83	03/25/14	4.47	(0.36)
	3138EGFA7	5.350	03/13/13	5.78	06/25/14	5.35	(0.43)
	3138EGFA7	5.450	03/13/13	5.88	04/25/14	5.45	(0.43)
	3138EGFA7	5.510	03/13/13	5.95	05/25/14	5.51	(0.44)
	3138EGFA7	6.600	03/13/13	7.13	08/25/14	6.60	(0.53)
	3138EGFA7	7.150	03/13/13	7.72	07/25/14	7.15	(0.57)
	3138EGFA7	7.390	03/13/13	7.98	09/25/14	7.39	(0.59)

Realized Gain/Loss - Detail (01/01/14 - 09/30/14)

As of 12/08/2014

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LAWRENCE BASS
11 EAST 86TH STREET,
APT. 11A
NEW YORK NY 10028-0501

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Acct. 552-127828-487

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Totals							\$41.92
FNMA POOL AQ7081 3 1/2 12-01-42	3138MN2P4	1.800	07/10/13	\$45.27	07/25/14	\$1.80	\$(3.35)
	3138MN2P4	1.800	07/10/13	1.80	09/25/14	1.80	-
	3138MN2P4	1.840	07/10/13	1.84	08/25/14	1.84	-
Totals							\$5.44
FNMA POOL 745275 5.000 2-01-36	31403C6L0	24.460	12/08/10	\$5.44	03/25/14	24.46	\$(1.30)
	31403C6L0	24.520	12/08/10	25.83	09/25/14	24.52	(1.31)
	31403C6L0	24.720	12/08/10	26.04	08/25/14	24.72	(1.32)
	31403C6L0	24.980	12/08/10	26.31	07/25/14	24.98	(1.33)
	31403C6L0	25.250	12/08/10	26.60	04/25/14	25.25	(1.35)
	31403C6L0	25.560	12/08/10	26.92	05/25/14	25.56	(1.36)
	31403C6L0	26.830	12/08/10	28.26	02/25/14	26.83	(1.43)
	31403C6L0	27.590	12/08/10	29.06	06/25/14	27.59	(1.47)
	31403C6L0	34.520	12/08/10	36.36	01/25/14	34.52	(1.84)
Totals							\$238.43
FNMA POOL 889697 6.000 7-01-38	31410KNN0	24.690	12/08/10	\$251.14	08/25/14	24.69	\$(12.71)
	31410KNN0	25.580	12/08/10	26.79	06/25/14	25.58	(2.10)
	31410KNN0	26.830	12/08/10	27.76	06/25/14	25.58	(2.18)
	31410KNN0	29.130	12/08/10	29.11	05/25/14	26.83	(2.28)
	31410KNN0	31.170	12/08/10	31.61	03/25/14	29.13	(2.48)
	31410KNN0	32.260	12/08/10	33.82	09/25/14	31.17	(2.65)
	31410KNN0	46.330	12/08/10	35.01	02/25/14	32.26	(2.75)
	31410KNN0	52.540	12/08/10	50.28	07/25/14	46.33	(3.95)
	31410KNN0	59.340	12/08/10	57.01	01/25/14	52.54	(4.47)
	31410KNN0		12/08/10	64.39	04/25/14	59.34	(5.05)
Totals							\$327.87
FNMA POOL AB1389 4 1/2 8-01-40	31416WRK0	30.020	02/02/11	\$355.78	03/25/14	30.02	\$(27.91)
	31416WRK0	45.260	02/02/11	30.51	04/25/14	45.26	(0.49)
	31416WRK0	46.080	02/02/11	46.00	04/25/14	45.26	(0.74)
	31416WRK0	55.060	02/02/11	46.83	01/25/14	46.08	(0.75)
	31416WRK0	62.660	02/02/11	55.96	02/25/14	55.06	(0.90)
	31416WRK0	63.070	02/02/11	63.68	07/25/14	62.66	(1.02)
	31416WRK0	80.350	02/02/11	64.10	08/25/14	63.07	(1.03)
	31416WRK0	84.940	02/02/11	81.66	06/25/14	80.35	(1.31)
	31416WRK0	86.680	02/02/11	86.33	09/25/14	84.94	(1.39)
	31416WRK0		02/02/11	88.10	05/25/14	86.68	(1.42)

Realized Gain/Loss - Detail
(01/01/14 - 09/30/14)

As of 12/08/2014

VAN PELT/BASS FOUNDATION
LAWRENCE BASS
11 EAST 86TH STREET,
APT. 11A
NEW YORK NY 10028-0501

Prepared by BTW GROUP

Ph. 212-603-6193

Acct. 552-127828-487

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Totals				\$563.17		\$554.12	\$ (9.05)
FNMA POOL AB4115 4.000 12-01-41	31417ASD2	35.660	04/09/12	\$37.70	02/25/14	\$35.66	\$ (2.04)
	31417ASD2	39.490	04/09/12	41.75	06/25/14	39.49	(2.26)
	31417ASD2	63.690	04/09/12	67.33	09/25/14	63.69	(3.64)
	31417ASD2	81.530	04/09/12	86.19	01/25/14	81.53	(4.66)
	31417ASD2	86.070	04/09/12	90.99	07/25/14	86.07	(4.92)
	31417ASD2	90.320	04/09/12	95.49	05/25/14	90.32	(5.17)
	31417ASD2	108.950	04/09/12	115.18	04/25/14	108.95	(6.23)
	31417ASD2	125.880	04/09/12	133.08	08/25/14	125.88	(7.20)
	31417ASD2	130.090	04/09/12	137.53	03/25/14	130.09	(7.44)
	Totals			\$805.24		\$761.68	\$ (43.56)
FNMA POOL AB5670 3 1/2 7-01-42	31417CJQ9	13.230	10/05/12	14.18	04/25/14	13.23	(0.95)
	31417CJQ9	13.550	10/05/12	14.52	03/25/14	13.55	(0.97)
	31417CJQ9	32.170	10/05/12	34.48	02/25/14	32.17	(2.31)
	31417CJQ9	32.790	10/05/12	35.15	05/25/14	32.79	(2.36)
	31417CJQ9	39.920	10/05/12	42.79	06/25/14	39.92	(2.87)
	31417CJQ9	47.010	10/05/12	50.39	09/25/14	47.01	(3.38)
	31417CJQ9	47.200	10/05/12	50.59	07/25/14	47.20	(3.39)
	31417CJQ9	50.150	10/05/12	53.75	01/25/14	50.15	(3.60)
	31417CJQ9	84.810	10/05/12	90.91	08/25/14	84.81	(6.10)
	Totals			\$386.76		\$360.83	\$ (25.93)
FNMA POOL AB7079 3.000 11-01-42	31417D2M4	11.510	11/07/12	12.13	01/25/14	11.51	(0.62)
	31417D2M4	25.630	11/07/12	27.01	02/25/14	25.63	(1.38)
	31417D2M4	5,000.000	11/07/12	4,961.81	02/07/14	4,579.68	(382.13)
	Totals			\$5,000.95		\$4,616.82	\$ (384.13)
US TSY NOTE TIIN 1/8 7-15-22	912828TE0	1,000.000	07/31/12	1,126.25	07/08/14	1,034.66	(91.59)
	912828TE0	1,000.000	10/23/12	1,129.42	07/08/14	1,034.66	(94.76)
	912828TE0	5,000.000	11/28/12	5,657.03	07/08/14	5,173.31	(483.72)
	912828TE0	2,000.000	12/05/12	2,279.92	07/08/14	2,069.32	(210.60)
Totals				\$10,192.62		\$9,311.95	\$ (880.67)
US TSY NOTE 3/4 10-31-17	912828TW0	2,000.000	12/13/12	2,006.26	01/28/14	1,972.73	(33.53)
	912828TW0	8,000.000	12/13/12	8,023.80	04/08/14	7,881.87	(141.93)
Totals				\$10,030.06		\$9,854.60	\$ (175.46)
US TSY NOTE 1 1/8 12-31-19	912828UF5	3,000.000	01/09/13	2,973.05	09/25/14	2,888.67	(84.38)

Realized Gain/Loss - Detail
(01/01/14 - 09/30/14)

As of 12/08/2014

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LAWRENCE BASS
11 EAST 86TH STREET,
APT. 11A
NEW YORK NY 10028-0501

Prepared by BTW GROUP

Ph. 212-603-6193

Acct. 552-127828-487

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
APPLE INC	912828UF5	4,000.000	01/09/13	\$3,964.06	08/19/14	\$3,880.16	\$(83.90)
	912828UF5	1,000.000	05/14/13	992.58	09/25/14	962.89	(29.69)
	912828UF5	1,000.000	09/10/13	937.73	09/25/14	962.89	25.16
Totals				\$8,867.42		\$8,694.61	\$(172.81)
APPLE INC	AAPL	6.000	05/09/12	490.44	09/10/14	602.07	111.63
	AAPL	8.000	05/09/12	653.91	09/04/14	792.43	138.52
Totals				\$1,144.35		\$1,394.50	\$250.15
ABBVIE INC COM	ABBV	2.000	03/09/10	57.07	04/11/14	93.11	36.04
	ABBOTT LABORATORIES	1.000	04/02/13	36.02	04/11/14	36.85	0.83
	ACE LTD	4.000	10/07/11	245.43	04/11/14	395.91	150.48
	AIR LIQUIDE ADR	0.200	11/23/10	4.03	07/14/14	5.37	1.34
	AIQUY	2.000	11/23/10	44.36	04/11/14	53.83	9.47
	AIQUY	2.000	11/23/10	44.36	04/11/14	53.83	9.47
Totals				\$48.39		\$59.20	\$10.81
AMGEN INC	AMGN	6.000	01/29/13	516.84	02/27/14	736.04	219.20
	AMGN	7.000	01/29/13	602.98	05/12/14	783.96	180.98
	AMGN	10.000	01/29/13	861.40	02/20/14	1,236.08	374.68
	AMGN	1.000	02/04/13	86.00	05/30/14	115.42	29.42
	AMGN	1.000	02/04/13	86.00	05/12/14	111.99	25.99
	AMGN	4.000	02/06/13	347.10	05/30/14	461.70	114.60
Totals				\$2,500.32		\$3,445.19	\$944.87
AMERICAN TOWER REIT COM	AMT	13.000	08/12/10	596.64	09/02/14	1,281.85	685.21
	AMZN	2.000	03/09/10	257.08	02/03/14	699.70	442.62
	AMZN	2.000	03/09/10	257.08	02/12/14	696.69	439.61
	AMZN	4.000	03/09/10	514.17	01/31/14	1,460.30	946.13
	AMZN	4.000	03/09/10	514.17	04/25/14	1,226.50	712.33
	AMZN	1.000	06/04/10	123.51	04/28/14	291.98	168.47
	AMZN	2.000	06/04/10	247.03	04/25/14	613.25	366.22
	AMZN	2.000	06/08/10	238.98	04/28/14	583.97	344.99
	AMZN	2.000	09/06/11	427.68	04/28/14	583.97	156.29
	AMZN	1.000	01/30/13	273.20	04/28/14	291.98	18.78
ARM HOLDINGS PLC ADS	ARMH	2.000	11/23/10	36.46	04/11/14	95.98	59.52
	ATLAS COPCO AS A ADR A NEW	15.000	11/23/10	323.40	04/11/14	432.59	109.19
	ATLKY	15.000	11/23/10	323.40	04/11/14	432.59	109.19
Totals				\$3,399.30		\$7,084.22	\$3,684.92

Realized Gain/Loss - Detail
(01/01/14 - 09/30/14)

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
AMERICAN EXPRESS CO ALLIANZ SE ADS BAYERISCHE MOTOREN WERKE AG BANCO BILBAO VIZ ARG SA ADS BUNGE LTD	AXP	1.000	12/15/10	\$46.28	04/11/14	\$84.94	\$38.66
	AZSEY	35.000	03/09/10	417.20	04/11/14	575.73	158.53
	BAMXY	8.000	02/13/13	259.06	04/11/14	330.47	71.41
	BBVA	48.000	02/22/13	478.40	04/11/14	580.30	101.90
	BG	3.000	11/23/10	181.95	04/11/14	237.56	55.61
	BG	15.000	11/23/10	909.75	07/14/14	1,106.98	197.23
Totals				\$1,091.70		\$1,344.54	\$252.84
BHP BILLITON LTD	BHP	14.000	03/09/10	1,101.49	08/28/14	952.07	(149.42)
	BHP	22.000	03/09/10	1,730.91	01/16/14	1,466.25	(264.66)
Totals				\$2,832.40		\$2,418.32	\$(414.08)
BLACKROCK HI YIELD BD PTF INST BIOGEN IDEC INC	BHYIX	52.821	12/31/10	404.61	04/11/14	439.47	34.86
	BIIB	2.000	01/11/12	231.99	04/07/14	590.81	358.82
	BIIB	5.000	01/11/12	579.98	03/27/14	1,527.94	947.96
	BIIB	2.000	01/12/12	232.11	04/09/14	577.35	345.24
	BIIB	2.000	01/12/12	232.11	04/07/14	590.81	358.70
	BIIB	2.000	12/04/12	303.99	04/09/14	577.35	273.36
	BIIB	2.000	12/04/12	303.99	04/14/14	557.35	253.36
Totals				\$1,884.17		\$4,421.61	\$2,537.44
BLACKROCK INC BRISTOL MYERS SQUIBB CO	BLK	3.000	05/23/12	487.80	09/17/14	988.15	500.35
	BMY	4.000	03/09/10	100.74	01/27/14	199.68	98.94
	BMY	4.000	03/09/10	100.75	01/27/14	199.68	98.93
	BMY	10.000	03/09/10	251.86	01/27/14	499.21	247.35
	BMY	38.000	03/09/10	957.08	01/28/14	1,918.40	961.32
Totals				\$1,410.43		\$2,816.97	\$1,406.54
BG GROUP PLC	BRGYI	5.000	06/17/10	83.75	04/11/14	92.10	8.35
	BRGYI	18.000	06/18/10	296.18	04/11/14	331.55	35.37
Totals				\$379.93		\$423.65	\$43.72
ANHEUSER BUSCH INBEV SA SPON BORG WARNER INC	BUD	3.000	05/04/12	221.55	04/11/14	317.30	95.75
	BWA	7.000	08/11/10	157.50	07/31/14	434.27	276.77
	BWA	11.000	08/11/10	247.50	03/05/14	676.69	429.19
	BWA	12.000	08/11/10	270.00	03/06/14	741.41	471.41
	BWA	1.000	01/28/11	34.50	07/31/14	62.04	27.54
Totals				\$709.50		\$1,914.41	\$1,204.91
CBS CORP NEW CL B SHRS	CBS	2.000	04/27/12	68.72	07/07/14	128.00	59.28

Realized Gain/Loss - Detail (01/01/14 - 09/30/14)

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
CBS		21.000	04/27/12	\$721.54	06/25/14	\$1,306.26	\$584.72
CBS		3.000	05/15/12	95.72	07/09/14	189.02	93.30
CBS		13.000	05/15/12	414.80	07/07/14	831.97	417.17
CBS		24.000	06/25/12	750.00	07/09/14	1,512.13	762.13
CBS		14.000	01/30/13	590.38	07/10/14	870.29	279.91
CBS		4.000	03/08/13	181.06	07/10/14	248.65	67.59
Totals				\$2,822.22		\$5,086.32	\$2,264.10
CITIGROUP INC NEW	C	9.000	03/12/13	426.34	04/11/14	411.47	(14.87)
CELGENE CORP	CELG	6.000	09/21/11	390.00	03/21/14	863.35	473.35
	CELG	4.000	09/22/11	251.84	03/21/14	575.56	323.72
	CELG	10.000	09/22/11	314.80	09/10/14	940.21	625.41
Totals				\$956.64		\$2,379.12	\$1,422.48
COCHLEAR LTD UNSPON ADR	CHEOY	71.000	11/23/10	2,623.45	09/24/14	2,105.82	(517.63)
	CHEOY	2.000	11/29/10	79.10	09/24/14	59.32	(19.78)
	CHEOY	5.000	11/30/10	192.75	09/24/14	148.30	(44.45)
	CHEOY	6.000	09/06/11	229.08	09/24/14	177.96	(51.12)
	CHEOY	1.000	08/24/12	35.22	09/24/14	29.66	(5.56)
	CHEOY	10.000	02/07/13	354.10	09/24/14	296.59	(57.51)
	CHEOY	8.000	03/08/13	289.52	09/24/14	237.27	(52.25)
Totals				\$3,803.22		\$3,054.92	\$(748.30)
CANADIAN NATL RAILWAY CO	CNI	2.000	02/02/11	69.26	04/11/14	109.58	40.32
	CNI	6.000	02/02/11	207.80	04/11/14	328.73	120.93
Totals				\$277.06		\$438.31	\$161.25
CONOCOPHILLIPS	COP	5.000	03/09/10	196.07	04/11/14	351.29	155.22
COSTCO WHOLESALE CORP NEW	COST	5.000	03/09/10	300.75	09/26/14	623.55	322.80
SALESFORCE.COM,INC.	CRM	6.000	03/30/11	197.00	04/29/14	292.15	95.15
	CRM	6.000	03/30/11	197.00	04/11/14	324.57	127.57
	CRM	8.000	03/31/11	264.74	04/29/14	389.54	124.80
	CRM	4.000	04/12/11	132.79	04/29/14	194.77	61.98
	CRM	8.000	08/29/11	250.93	04/29/14	389.53	138.60
	CRM	8.000	08/29/11	250.93	05/13/14	418.37	167.44
	CRM	4.000	09/06/11	119.32	05/13/14	209.19	89.87
	CRM	2.000	10/06/11	60.00	05/13/14	104.59	44.59
Totals				\$1,472.71		\$2,322.71	\$850.00

Realized Gain/Loss - Detail
(01/01/14 - 09/30/14)

As of 12/08/2014

VAN PELT/BASS FOUNDATION
LAWRENCE BASS
11 EAST 86TH STREET,
APT. 11A
NEW YORK NY 10028-0501

Prepared by BTW GROUP

Ph. 212-603-6193

Acct. 552-127828-487

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
COGNIZANT TECH SOLUTIONS CL A	CTSH	13.000	05/09/13	\$441.92	09/11/14	\$585.82	\$143.90
CVS CAREMARK CORP	CVS	6.000	02/24/12	264.00	04/11/14	435.41	171.41
	CVS	3.000	03/01/12	135.00	04/11/14	217.70	82.70
	CVS	1.000	03/02/12	45.00	04/11/14	72.57	27.57
Totals				\$444.00		\$725.68	\$281.68
CHEVRON CORP	CVX	1.000	03/09/10	74.08	04/11/14	116.79	42.71
DELTA AIR LINES INC NEW	DAL	5.000	01/24/13	69.55	06/16/14	192.93	123.38
	DAL	6.000	01/24/13	83.46	04/11/14	195.70	112.24
	DAL	6.000	03/08/13	96.80	06/16/14	231.51	134.71
	DAL	3.000	04/15/13	45.90	06/16/14	115.76	69.86
Totals				\$295.71		\$735.90	\$440.19
DASSAULT SYSTEMS SA ADS	DASTY	5.000	11/23/10	362.00	04/11/14	583.73	221.73
DBS GROUP HOLDINGS LTD SP	DBSDY	7.000	03/09/10	283.50	04/11/14	368.54	85.04
DU PONT EI DE NEMOURS & CO	DD	2.000	03/09/10	70.50	04/11/14	132.09	61.59
DEERE & CO	DE	20.000	03/09/10	1,183.70	02/04/14	1,685.96	502.26
	DE	14.000	06/01/10	818.65	02/04/14	1,180.17	361.52
	DE	9.000	06/04/10	511.03	02/04/14	758.68	247.65
	DE	9.000	06/09/10	509.96	02/04/14	758.68	248.72
	DE	2.000	11/24/10	152.74	02/04/14	168.60	15.86
	DE	2.000	09/06/11	152.50	02/04/14	168.60	16.10
	DE	9.000	01/30/13	854.91	02/04/14	758.68	(96.23)
Totals				\$4,183.49		\$5,479.37	\$1,295.88
DIAGEO PLC SPON ADR NEW	DEO	4.000	03/09/10	261.79	04/11/14	500.06	238.27
	DEO	21.000	03/09/10	1,374.39	08/28/14	2,507.26	1,132.87
Totals				\$1,636.18		\$3,007.32	\$1,371.14
DOLLAR GEN CORP NEW COM	DG	2.000	06/04/12	96.09	01/22/14	116.14	20.05
	DG	10.000	06/06/12	481.27	05/30/14	532.56	51.29
	DG	10.000	06/06/12	481.27	02/13/14	562.89	81.62
	DG	14.000	06/06/12	673.78	01/22/14	812.95	139.17
	DG	10.000	07/31/12	509.42	05/30/14	532.56	23.14
	DG	2.000	08/24/12	99.22	07/09/14	115.16	15.94
	DG	10.000	10/31/12	482.59	07/09/14	575.82	93.23
	DG	9.000	01/30/13	420.93	07/09/14	518.24	97.31
	DG	2.000	02/28/13	93.21	07/09/14	115.16	21.95

Realized Gain/Loss - Detail (01/01/14 - 09/30/14)

As of 12/08/2014

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LAWRENCE BASS
11 EAST 86TH STREET,
APT. 11A
NEW YORK NY 10028-0501

Acct. 552-127828-487

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
DG		14.000	02/28/13	\$652.50	07/10/14	\$802.96	\$150.46
DG		2.000	03/08/13	95.62	07/10/14	114.71	19.09
DG		3.000	03/08/13	143.42	07/16/14	166.04	22.62
Totals				\$4,229.32		\$4,965.19	\$735.87
DANAHER CORPORATION							
DHR		1.000	03/09/10	38.73	04/11/14	73.57	34.84
DHR		5.000	03/09/10	193.67	09/03/14	382.95	189.28
DHR		8.000	03/09/10	309.87	09/04/14	614.02	304.15
DHR		9.000	03/09/10	348.60	08/01/14	658.61	310.01
DHR		11.000	03/09/10	426.07	08/13/14	821.92	395.85
DHR		13.000	03/09/10	503.54	07/30/14	959.24	455.70
DHR		21.000	03/09/10	813.40	07/18/14	1,579.62	766.22
DHR		2.000	06/04/10	78.83	09/04/14	153.50	74.67
DHR		7.000	06/04/10	275.89	09/05/14	537.46	261.57
Totals				\$2,988.60		\$5,780.89	\$2,792.29
WALT DISNEY CO HLDG CO	DIS	6.000	01/23/13	323.12	04/11/14	464.56	141.44
DOW CHEMICAL CO	DOW	6.000	06/06/11	211.25	04/11/14	284.99	73.74
DUKE ENERGY CORP NEW	DUK	3.000	02/13/13	207.17	04/11/14	214.58	7.41
EBAY INC	EBAY	7.000	04/19/12	286.65	01/06/14	362.43	75.78
	EBAY	13.000	04/20/12	529.69	01/06/14	673.09	143.40
	EBAY	2.000	05/30/12	79.46	01/06/14	103.56	24.10
	EBAY	5.000	05/30/12	198.65	01/13/14	262.71	64.06
	EBAY	2.000	10/15/12	94.80	05/27/14	103.52	8.72
	EBAY	10.000	10/15/12	474.01	01/13/14	525.42	51.41
	EBAY	14.000	10/15/12	663.61	01/23/14	768.25	104.64
	EBAY	12.000	01/30/13	670.80	05/27/14	621.13	(49.67)
	EBAY	10.000	02/07/13	559.40	05/28/14	505.52	(53.88)
	EBAY	18.000	04/26/13	950.39	05/28/14	909.94	(40.45)
Totals				\$4,507.46		\$4,835.57	\$328.11
ESTEE LAUDER CO INC CL A	EL	3.000	04/26/10	105.78	03/05/14	207.32	101.54
	EL	7.000	05/14/10	214.46	03/25/14	472.21	257.75
	EL	13.000	05/14/10	398.28	03/05/14	898.40	500.12
	EL	4.000	06/04/10	114.25	03/26/14	270.37	156.12
	EL	4.000	06/04/10	114.25	03/27/14	269.79	155.54
	EL	2.000	11/24/10	76.02	03/27/14	134.89	58.87

Realized Gain/Loss - Detail (01/01/14 - 09/30/14)

As of 12/08/2014

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LAWRENCE BASS
11 EAST 86TH STREET,
APT. 11A
NEW YORK NY 10028-0501

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Acct. 552-127828-487

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
EL		2.000	09/06/11	\$94.51	03/27/14	\$134.89	\$40.38
EL		3.000	12/04/12	176.97	03/27/14	202.34	25.37
EL		7.000	12/04/12	412.93	03/28/14	466.48	53.55
EL		1.000	01/30/13	63.10	03/28/14	66.64	3.54
EL		4.000	01/30/13	252.40	03/31/14	267.37	14.97
EL		3.000	03/08/13	197.77	03/31/14	200.53	2.76
Totals				\$2,220.72		\$3,591.23	\$1,370.51
ENBRIDGE INC	ENB	45.000	03/09/10	1,054.80	09/09/14	2,279.85	1,225.05
FANUC CORPORATION UNSP ADR	FANUY	16.000	11/23/10	377.76	04/11/14	465.58	87.82
FACEBOOK INC CL-A	FB	12.000	07/25/13	399.93	08/21/14	896.26	496.33
	FB	16.000	07/25/13	533.24	09/11/14	1,245.66	712.42
Totals				\$933.17		\$2,141.92	\$1,208.75
FIFTH 3RD BANCORP OHIO	FTB	14.000	12/24/12	212.55	04/11/14	301.41	88.86
FRESENIUS MEDICAL CARE AG&CO	FMS	4.000	11/23/10	116.62	04/11/14	136.84	20.22
	FMS	6.000	11/24/10	176.52	04/11/14	205.26	28.74
	FMS	2.000	11/29/10	58.24	04/11/14	68.41	10.17
Totals				\$351.38		\$410.51	\$59.13
TWENTY-FIRST CENTURY FOX CL A	FOXA	27.000	01/30/13	669.39	07/18/14	889.73	220.34
	FOXA	33.000	01/30/13	818.14	07/16/14	1,115.44	297.30
	FOXA	8.000	02/04/13	198.18	07/24/14	263.61	65.43
	FOXA	9.000	02/04/13	222.96	07/18/14	296.58	73.62
	FOXA	14.000	02/27/13	357.02	07/24/14	461.31	104.29
	FOXA	19.000	02/27/13	484.53	07/31/14	610.53	126.00
	FOXA	7.000	03/08/13	186.06	07/31/14	224.93	38.87
	FOXA	6.000	04/15/13	163.88	07/31/14	192.80	28.92
Totals				\$3,100.16		\$4,054.93	\$954.77
ALLIANZ FX INC SHRS: SERIES C	FXICX	11.000	01/07/11	140.36	01/15/14	133.98	(6.38)
	FXICX	69.000	03/01/11	890.10	01/15/14	840.42	(49.68)
	FXICX	80.000	03/01/11	1,032.00	02/04/14	972.80	(59.20)
	FXICX	130.000	03/01/11	1,677.00	06/17/14	1,600.30	(76.70)
Totals				\$3,739.46		\$3,547.50	\$(191.96)
ALLIANZ FIX INC SHRS: SERIES M	FXIMX	129.000	02/23/11	1,328.70	01/27/14	1,375.14	46.44
GENERAL ELECTRIC CO	GE	7.000	03/09/10	114.72	04/11/14	178.49	63.77
GILEAD SCIENCE	GILD	1.000	10/02/12	34.50	03/21/14	71.90	37.40

Realized Gain/Loss - Detail
(01/01/14 - 09/30/14)

As of 12/08/2014

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LAWRENCE BASS
11 EAST 86TH STREET,
APT. 11A
NEW YORK NY 10028-0501

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Acct. 552-127828-487

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	GILD	3.000	10/03/12	\$104.73	04/11/14	\$203.96	\$99.23
	GILD	5.000	10/03/12	174.55	03/21/14	359.51	184.96
	GILD	14.000	10/03/12	490.00	03/21/14	1,006.61	516.61
	GILD	22.000	10/10/12	751.71	04/11/14	1,495.68	743.97
	GILD	7.000	10/15/12	237.21	04/11/14	475.89	238.68
Totals				\$1,792.70		\$3,613.55	\$1,820.85
GENERAL MILLS INC	GIS	4.000	09/22/11	157.97	04/11/14	204.07	46.10
	GIS	22.000	09/22/11	868.85	08/26/14	1,165.93	297.08
Totals				\$1,026.82		\$1,370.00	\$343.18
HONEYWELL INTERNATIONAL INC	HON	3.000	04/07/11	176.38	04/11/14	272.57	96.19
INTL BUSINESS MACHINES CORP	IBM	2.000	07/23/12	381.22	04/11/14	389.99	8.77
ICICI BANK LTD	IBN	11.000	11/23/10	558.43	04/11/14	473.86	(84.57)
ITAU UNIBANCO MULTIPLE ADR	ITUB	24.000	11/23/10	512.24	04/11/14	369.59	(142.65)
JOHNSON CONTROLS INCORPORATED	JCI	2.000	03/15/11	79.13	04/11/14	92.21	13.08
JGC CORP UNSPONSORED ADR	JGCCY	7.000	05/13/11	351.39	04/11/14	463.17	111.78
JOHNSON & JOHNSON	JNJ	2.000	03/09/10	128.54	04/11/14	192.61	64.07
JPMORGAN CHASE & CO	JPM	10.000	03/09/10	423.07	04/16/14	550.75	127.68
	JPM	2.000	06/04/10	76.74	04/16/14	110.14	33.40
	JPM	7.000	06/04/10	268.58	04/21/14	385.88	117.30
	JPM	7.000	06/04/10	268.58	04/16/14	385.52	116.94
	JPM	20.000	06/04/10	767.38	04/17/14	1,101.11	333.73
	JPM	21.000	06/09/10	791.73	04/21/14	1,157.64	365.91
	JPM	2.000	11/24/10	76.14	04/21/14	110.25	34.11
Totals				\$2,672.22		\$3,801.29	\$1,129.07
KIMBERLY CLARK CORP	KMB	5.000	03/09/10	300.44	04/11/14	554.73	254.29
	KMB	17.000	03/09/10	1,021.49	09/18/14	1,813.11	791.62
	KMB	5.000	06/04/10	302.35	09/18/14	533.27	230.92
	KMB	1.000	11/24/10	61.17	09/18/14	106.65	45.48
	KMB	3.000	11/24/10	183.51	09/19/14	320.83	137.32
	KMB	4.000	01/30/13	353.20	09/19/14	427.77	74.57
	KMB	4.000	03/08/13	377.28	09/19/14	427.77	50.49
Totals				\$2,599.44		\$4,184.13	\$1,584.69
KINDER MORGAN INCORP	KMI	12.000	02/06/13	446.88	04/11/14	392.39	(54.49)
	KMI	51.000	02/06/13	1,899.23	05/06/14	1,657.88	(241.35)

Realized Gain/Loss - Detail (01/01/14 - 09/30/14) As of 12/08/2014

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APT. 11A
NEW YORK NY 10028-0501

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
KMI		6.000	03/08/13	\$224.50	05/06/14	\$195.04	\$(29.46)
Totals				\$2,570.61		\$2,245.31	\$(325.30)
COCA COLA CO		2.000	03/09/10	54.04	04/11/14	77.69	23.65
KO		24.000	03/09/10	648.46	08/25/14	992.09	343.63
KO		48.000	03/09/10	1,296.92	03/18/14	1,839.38	542.46
Totals				\$1,999.42		\$2,909.16	\$909.74
LORILLARD INC		57.000	03/09/10	1,425.57	01/07/14	2,864.37	1,438.80
LO		9.000	06/04/10	217.52	01/07/14	452.27	234.75
LO		6.000	11/24/10	168.16	01/07/14	301.51	133.35
LO		3.000	09/06/11	108.24	01/07/14	150.76	42.52
Totals				\$1,919.49		\$3,768.91	\$1,849.42
L OREAL CO ADR		14.000	11/23/10	315.70	04/11/14	454.28	138.58
LVMH MOET HENNESSY LOUIS VUITT		2.000	11/23/10	63.00	04/11/14	76.01	13.01
MASTERCARD INC CL A		1.000	08/01/12	42.53	04/11/14	70.27	27.74
MONSANTO CO/NEW		2.000	07/07/11	148.91	04/11/14	223.46	74.55
MON		2.000	07/14/11	149.91	07/23/14	233.86	83.95
MON		9.000	07/14/11	674.58	07/15/14	1,085.24	410.66
MON		1.000	07/15/11	74.23	07/30/14	114.56	40.33
MON		6.000	07/15/11	445.37	07/23/14	701.56	256.19
MON		1.000	07/28/11	74.75	07/30/14	114.56	39.81
MON		2.000	07/28/11	149.50	07/31/14	227.45	77.95
MON		6.000	07/28/11	448.50	09/02/14	689.29	240.79
MON		3.000	08/29/11	207.71	09/02/14	344.64	136.93
MON		3.000	08/29/11	207.71	09/26/14	337.41	129.70
Totals				\$2,581.17		\$4,072.03	\$1,490.86
MARATHON PETROLEUM CORP		2.000	03/09/10	49.75	04/11/14	168.67	118.92
MARATHON OIL CO		9.000	03/09/10	165.49	04/11/14	317.96	152.47
MICROSOFT CORP		7.000	01/06/12	195.86	08/01/14	300.30	104.44
MSFT		19.000	01/06/12	531.62	08/01/14	815.10	283.48
MSFT		25.000	01/09/12	694.25	08/01/14	1,072.51	378.26
Totals				\$1,421.73		\$2,187.91	\$766.18
MEADWESTVACO CORP		9.000	03/09/10	200.10	04/11/14	340.46	140.36
NOBLE ENERGY INC		6.000	05/08/13	350.56	07/30/14	401.52	50.96
NBL		8.000	05/08/13	467.41	05/14/14	568.73	101.32

Realized Gain/Loss - Detail (01/01/14 - 09/30/14)

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
NOKIAN TYRES OYJ UNSPON ADR	NBL	8.000	05/09/13	\$466.50	07/30/14	\$535.36	\$68.86
	NBL	14.000	05/15/13	824.06	07/30/14	936.89	112.83
	NBL	18.000	05/17/13	1,083.32	07/30/14	1,204.57	121.25
Totals				\$3,191.85		\$3,647.07	\$455.22
	NKRKY	111.000	03/12/12	2,575.48	03/17/14	2,147.93	(427.55)
	NKRKY	13.000	05/18/12	277.55	03/17/14	251.56	(25.99)
	NKRKY	47.000	06/28/12	834.61	03/17/14	909.48	74.87
	NKRKY	22.000	03/08/13	504.70	03/17/14	425.72	(78.98)
Totals				\$4,192.34		\$3,734.69	\$(457.65)
NORTHROP GRUMMAN CP(HLDG CO)	NOC	2.000	03/09/10	115.39	04/11/14	234.13	118.74
NESTLE SPON ADR REP REG SHR	NSRGY	5.000	11/23/10	275.00	04/11/14	384.59	109.59
NORTHEAST UTILITIES	NU	7.000	03/09/10	185.33	04/11/14	318.07	132.74
GAZPROM O A O SPON ADR	OGZPY	119.000	11/23/10	1,271.51	03/07/14	818.12	(453.39)
	OGZPY	2.000	09/06/11	22.96	03/07/14	13.75	(9.21)
	OGZPY	13.000	04/10/12	150.93	03/07/14	89.37	(61.56)
	OGZPY	22.000	06/28/12	196.90	03/07/14	151.25	(45.65)
	OGZPY	149.000	02/05/13	1,398.07	03/07/14	1,024.37	(373.70)
Totals				\$3,040.37		\$2,096.86	\$(943.51)
OCCIDENTAL PETROLEUM CORP DE	OXY	4.000	11/12/10	349.74	04/11/14	377.71	27.97
PETROLEO BRAS SA ADS	PBR	130.000	10/04/12	2,971.01	01/14/14	1,618.30	(1,352.71)
PRICELINE.COM INC NEW	PCLN	2.000	07/01/10	364.25	02/19/14	2,604.73	2,240.48
PUBLIC SERVICE ENTERPRISE GP	PEG	44.000	03/09/10	1,344.99	01/07/14	1,391.34	46.35
	PEG	7.000	06/04/10	219.06	01/07/14	221.35	2.29
	PEG	6.000	11/24/10	185.70	01/07/14	189.73	4.03
Totals				\$1,749.75		\$1,802.42	\$52.67
PFIZER INC	PFE	5.000	03/09/10	86.30	04/11/14	152.49	66.19
PROCTER & GAMBLE	PG	7.000	03/09/10	443.36	04/11/14	567.19	123.83
PHILIP MORRIS INTL INC	PM	12.000	03/09/10	603.10	08/25/14	1,020.52	417.42
	PM	39.000	03/09/10	1,960.07	01/07/14	3,305.61	1,345.54
Totals				\$2,563.17		\$4,326.13	\$1,762.96
PRUDENTIAL FINANCIAL INC	PRU	2.000	06/10/10	113.99	04/11/14	156.95	42.96
	PRU	14.000	06/10/10	797.94	08/29/14	1,257.20	459.26
Totals				\$911.93		\$1,414.15	\$502.22
PHILLIPS 66 COM	PSX	3.000	03/09/10	69.93	04/11/14	229.88	159.95

Realized Gain/Loss - Detail
(01/01/14 - 09/30/14)

As of 12/08/2014

VAN PELT/BASS FOUNDATION
LAWRENCE BASS
11 EAST 86TH STREET,
APT. 11A
NEW YORK NY 10028-0501

Prepared by BTW GROUP

Ph. 212-603-6193

Acct. 552-127828-487

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
PRAXAIR INC	PX	2.000	03/09/10	\$158.41	04/11/14	\$255.37	\$96.96
QUALCOMM INC	QCOM	1.000	01/04/13	63.40	04/11/14	78.49	15.09
	QCOM	13.000	01/04/13	824.20	06/06/14	1,044.54	220.34
	QCOM	5.000	01/24/13	322.00	06/13/14	394.91	72.91
	QCOM	8.000	01/24/13	515.20	06/06/14	642.80	127.60
	QCOM	5.000	03/08/13	336.65	06/13/14	394.91	58.26
Totals				\$2,061.45		\$2,555.65	\$494.20
ROCHE HOLDINGS ADR	RHHBY	4.000	03/09/10	83.92	04/11/14	141.55	57.63
RALPH LAUREN CORP CL A	RL	1.000	09/22/11	143.97	02/05/14	150.65	6.68
	RL	3.000	09/07/12	482.48	02/05/14	451.95	(30.53)
	RL	5.000	09/11/12	774.93	02/05/14	753.25	(21.68)
	RL	1.000	10/12/12	155.74	02/06/14	153.44	(2.30)
	RL	4.000	12/07/12	616.00	02/06/14	613.75	(2.25)
	RL	4.000	01/30/13	671.40	02/06/14	613.75	(57.65)
Totals				\$2,844.52		\$2,736.79	\$(107.73)
ROSS STORES INC	ROST	1.000	06/29/12	62.25	02/05/14	65.36	3.11
	ROST	12.000	08/24/12	830.32	02/05/14	784.34	(45.98)
	ROST	3.000	10/16/12	189.02	02/05/14	196.08	7.06
	ROST	7.000	10/16/12	441.06	02/20/14	473.34	32.28
	ROST	8.000	10/26/12	487.72	02/20/14	540.96	53.24
	ROST	4.000	11/16/12	214.27	02/20/14	270.48	56.21
	ROST	6.000	11/16/12	321.40	02/25/14	415.69	94.29
	ROST	8.000	01/30/13	478.72	02/25/14	554.26	75.54
Totals				\$3,024.76		\$3,300.51	\$275.75
RANGE RESOURCES CORP	RRC	3.000	06/20/13	233.58	07/22/14	228.78	(4.80)
	RRC	9.000	06/21/13	695.59	07/22/14	686.33	(9.26)
	RRC	9.000	06/25/13	716.25	07/22/14	686.33	(29.92)
	RRC	10.000	07/09/13	801.57	07/22/14	762.59	(38.98)
	RRC	7.000	07/16/13	537.33	07/22/14	533.81	(3.52)
Totals				\$2,984.32		\$2,897.84	\$(86.48)
RAYTHEON CO (NEW)	RTN	2.000	03/09/10	114.06	04/11/14	193.41	79.35
SAP AG	SAP	4.000	11/23/10	194.95	04/11/14	315.99	121.04
	SAP	35.000	11/23/10	1,705.81	05/15/14	2,650.49	944.68
Totals				\$1,900.76		\$2,966.48	\$1,065.72

Realized Gain/Loss - Detail (01/01/14 - 09/30/14)

As of 12/08/2014

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LAWRENCE BASS
11 EAST 86TH STREET,
APT. 11A
NEW YORK NY 10028-0501

Acct. 552-127828-487

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
SBA COMMUNICATIONS CORP	SBAC	2.000	06/25/12	\$110.40	09/11/14	\$226.43	\$116.03
	SBAC	8.000	06/26/12	448.57	09/11/14	905.74	457.17
	Totals			\$558.97		\$1,132.17	\$573.20
SCHNEIDER ELEC SA UNSP ADR	SBGSY	33.000	11/23/10	475.20	04/11/14	583.06	107.86
STARBUCKS CORP WASHINGTON	SBUX	2.000	06/23/11	74.40	08/14/14	152.64	78.24
	SBUX	12.000	06/23/11	446.42	07/28/14	939.42	493.00
	SBUX	6.000	07/13/11	237.72	08/14/14	457.93	220.21
	SBUX	9.000	07/13/11	356.58	09/03/14	692.09	335.51
	SBUX	1.000	07/15/11	39.46	09/03/14	76.90	37.44
	Totals			\$1,154.58		\$2,318.98	\$1,164.40
SCHLUMBERGER LTD	SLB	1.000	05/10/12	70.69	08/19/14	109.36	38.67
	SLB	1.000	05/10/12	70.69	08/19/14	109.36	38.67
	SLB	1.000	05/10/12	70.69	05/15/14	99.55	28.86
	SLB	1.000	05/10/12	70.69	03/17/14	89.71	19.02
	SLB	2.000	05/10/12	141.37	08/19/14	218.71	77.34
	SLB	2.000	05/10/12	141.37	08/19/14	218.71	77.34
	SLB	3.000	05/10/12	212.06	05/15/14	298.64	86.58
	SLB	3.000	05/10/12	212.06	03/17/14	269.13	57.07
	SLB	6.000	05/10/12	424.13	03/17/14	538.27	114.14
	Totals			\$1,413.75		\$1,951.44	\$537.69
SONOVA HLDG AG UNSP ADR	SONVY	3.000	08/12/11	46.78	04/11/14	85.01	38.23
SEMPRA ENERGY	SRE	4.000	03/09/10	202.31	04/11/14	386.43	184.12
SASOL LTD SPON ADR	SSL	2.000	11/23/10	91.16	04/11/14	109.31	18.15
SVENSKA HANDELSBANKEN AB ADR	SVNLY	13.000	07/23/12	211.61	04/11/14	316.15	104.54
SWATCH GROUP AG ADR THE UNSPON AT&T INC	SWGAY	7.000	11/23/10	136.50	04/11/14	216.57	80.07
	T	76.000	03/09/10	1,945.37	02/03/14	2,427.48	482.11
	T	18.000	06/04/10	434.52	02/03/14	574.93	140.41
	T	5.000	11/24/10	140.58	02/03/14	159.70	19.12
	T	1.000	11/29/10	27.77	02/03/14	31.94	4.17
	T	13.000	01/24/13	439.92	02/03/14	415.23	(24.69)
	Totals			\$2,988.16		\$3,609.28	\$621.12
TORONTO DOM BK NEW	TD	8.000	07/26/11	336.34	04/11/14	372.23	35.89
TURKIYE GARANTI BANKASI A S	TKGBY	3.000	11/24/10	17.40	04/11/14	10.97	(6.43)
TOTAL S A SPON ADR	TOT	9.000	03/09/10	519.01	04/11/14	594.25	75.24

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(01/01/14 - 09/30/14)

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
TRAVELERS COMPANIES INC COM	TRV	1.000	03/09/10	\$53.50	04/11/14	\$84.99	\$31.49
TESCO PLC SPONSORED ADR	TSCDY	9.000	11/23/10	180.81	04/11/14	127.88	(52.93)
	TSCDY	97.000	11/23/10	1,948.73	08/07/14	1,189.41	(759.32)
	TSCDY	7.000	11/29/10	139.93	08/07/14	85.83	(54.10)
	TSCDY	8.000	09/06/11	145.52	08/07/14	98.10	(47.42)
	TSCDY	59.000	04/09/12	922.76	08/07/14	723.46	(199.30)
	TSCDY	25.000	03/08/13	426.20	08/07/14	306.55	(119.65)
Totals				\$3,763.95		\$2,531.23	\$(1,232.72)
TAIWAN SMCNDCTR MFG CO LTD ADR	TSM	11.000	11/23/10	118.43	04/11/14	218.56	100.13
ULTA SALON COS & FRAGR INC	ULTA	5.000	04/24/12	435.74	09/17/14	591.50	155.76
UNILEVER PLC (NEW) ADS	UL	4.000	11/23/10	116.52	04/11/14	176.47	59.95
UNICHARM CORP UNSPON ADR	UNICY	51.000	11/23/10	415.65	04/11/14	550.27	134.62
UNION PACIFIC CORP	UNP	5.000	03/09/10	353.75	04/11/14	914.26	560.51
	UNP	8.000	03/09/10	283.00	09/16/14	862.48	579.48
	UNP	16.000	03/09/10	566.00	09/16/14	1,708.97	1,142.97
Totals				\$1,202.75		\$3,485.71	\$2,282.96
UNILEVER NV NY SH NEW	UN	39.000	03/09/10	1,206.58	01/15/14	1,498.21	291.63
	UN	16.000	06/04/10	435.04	01/15/14	614.65	179.61
	UN	1.000	11/24/10	29.83	01/15/14	38.42	8.59
Totals				\$1,671.45		\$2,151.28	\$479.83
UNITED PARCEL SERVICE INC CL-B	UPS	3.000	03/19/13	256.59	04/11/14	289.16	32.57
UNITED TECHNOLOGIES CORP	UTX	1.000	03/09/10	71.73	07/11/14	114.19	42.46
	UTX	1.000	03/09/10	71.73	07/17/14	113.66	41.93
	UTX	2.000	03/09/10	143.46	07/11/14	228.38	84.92
	UTX	2.000	03/09/10	143.46	07/17/14	227.32	83.86
	UTX	3.000	03/09/10	215.19	07/17/14	340.97	125.78
	UTX	6.000	03/09/10	430.37	07/21/14	678.78	248.41
	UTX	7.000	03/09/10	502.10	07/11/14	799.32	297.22
	UTX	10.000	03/09/10	717.28	07/18/14	1,132.77	415.49
	UTX	12.000	03/09/10	860.74	04/02/14	1,436.08	575.34
	UTX	2.000	03/08/13	184.00	07/17/14	227.31	43.31
Totals				\$3,340.06		\$5,298.78	\$1,958.72
V F CORPORATION	VFC	9.000	03/09/10	179.07	04/11/14	522.79	343.72
	VFC	41.000	03/09/10	815.76	08/25/14	2,634.17	1,818.41

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VERIZON COMMUNICATIONS	Totals			\$994.83		\$3,156.96	\$2,162.13
	VZ	3.000	03/09/10	\$84.27	04/11/14	\$142.16	\$57.89
	VZ	36.000	03/09/10	1,011.27	03/07/14	1,692.67	681.40
WELLS FARGO & CO NEW WAL MART STORES INC WPP PLC SPON NEW ADR WEYERHAEUSER CO	Totals			\$1,095.54		\$1,834.83	\$739.29
	WFC	2.000	03/09/10	57.86	04/11/14	94.89	37.03
	WMT	4.000	03/09/10	215.99	04/11/14	306.87	90.88
	WPPGY	3.000	11/23/10	170.30	04/11/14	305.30	135.00
	WY	8.000	03/09/10	348.52	04/11/14	224.95	(123.57)
	WY	18.000	03/09/10	784.18	09/16/14	595.84	(188.34)
	WY	6.000	06/04/10	243.88	09/16/14	198.61	(45.27)
	WY	33.000	07/22/10	512.81	09/16/14	1,092.37	579.56
EXXON MOBIL CORP XINYI GLASS HLDGS LTD UNSPON ZOETIS INC CLASS-A	Totals			\$1,889.39		\$2,111.77	\$222.38
	XOM	1.000	03/09/10	66.94	04/11/14	96.89	29.95
	XYIGY	9.000	07/10/12	101.39	04/11/14	147.59	46.20
	ZTS	13.000	04/09/13	434.97	05/30/14	400.26	(34.71)
	ZTS	20.000	04/09/13	669.18	04/11/14	571.93	(97.25)
	ZTS	5.000	04/16/13	165.65	05/30/14	153.95	(11.70)
	ZTS	12.000	04/16/13	397.56	08/04/14	394.82	(2.74)
	ZTS	8.000	04/19/13	256.77	08/04/14	263.21	6.44
Totals				\$1,924.13		\$1,784.17	\$(139.96)