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Form **990-PF**

Return of Private Foundation

OMB No 1545-0052

or Section 4947(a)(1) Trust Treated as Private Foundation

2013

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Department of the Treasury
Internal Revenue Service

For calendar year 2013, or tax year beginning 10-01-2013, and ending 09-30-2014

Name of foundation PEALE FOUNDATION INC CO ELIZABETH ALLEN		A Employer identification number 14-1746478						
Number and street (or P O box number if mail is not delivered to street address) Room/suite 665 OLD QUAKER HILL ROAD		B Telephone number (see instructions) (914) 855-3559						
City or town, state or province, country, and ZIP or foreign postal code PAWLING, NY 12564		C If exemption application is pending, check here <input type="checkbox"/>						
G Check all that apply: <table border="0"> <tr> <td><input type="checkbox"/> Initial return</td> <td><input type="checkbox"/> Initial return of a former public charity</td> </tr> <tr> <td><input type="checkbox"/> Final return</td> <td><input type="checkbox"/> Amended return</td> </tr> <tr> <td><input type="checkbox"/> Address change</td> <td><input type="checkbox"/> Name change</td> </tr> </table>		<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity							
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return							
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H Check type of organization: <table border="0"> <tr> <td><input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation</td> <td><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust</td> <td><input type="checkbox"/> Other taxable private foundation</td> </tr> </table>		<input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation	<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust	<input type="checkbox"/> Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>			
<input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation	<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust	<input type="checkbox"/> Other taxable private foundation						
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 7,313,254	J Accounting method: <table border="0"> <tr> <td><input type="checkbox"/> Cash</td> <td><input checked="" type="checkbox"/> Accrual</td> </tr> <tr> <td colspan="2"><input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)</td> </tr> </table>	<input type="checkbox"/> Cash	<input checked="" type="checkbox"/> Accrual	<input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>		
<input type="checkbox"/> Cash	<input checked="" type="checkbox"/> Accrual							
<input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)								

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	16,771	16,771		
	4 Dividends and interest from securities	125,715	125,715		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	291,265			
	b Gross sales price for all assets on line 6a 1,118,198				
	7 Capital gain net income (from Part IV, line 2)		291,265		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	103,645	103,645			
12 Total. Add lines 1 through 11	537,396	537,396			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	45,000	11,250		33,750
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	5,705	5,705		
	b Accounting fees (attach schedule)	9,600	7,200		2,400
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	935	935		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	153,674	115,837		37,837
	24 Total operating and administrative expenses. Add lines 13 through 23	214,914	140,927		73,987
	25 Contributions, gifts, grants paid	410,084			410,084
26 Total expenses and disbursements. Add lines 24 and 25	624,998	140,927		484,071	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-87,602				
b Net investment income (if negative, enter -0-)		396,469			
c Adjusted net income (if negative, enter -0-)					

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Part II Balance Sheets		Beginning of year			End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	95,546	202,650	202,650		
	2	Savings and temporary cash investments	129,999	44,402	44,402		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)	5,606,760	6,036,298	6,036,298		
	c	Investments—corporate bonds (attach schedule)	326,966	321,305	321,305		
	11	Investments—land, buildings, and equipment basis ▶ _____ 85,000 Less accumulated depreciation (attach schedule) ▶ _____	85,000	85,000	300,000		
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)					
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
15	Other assets (describe ▶ _____)	256,353	229,486	408,599			
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	6,500,624	6,919,141	7,313,254			
Liabilities	17	Accounts payable and accrued expenses	10,600	11,825			
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)					
23	Total liabilities (add lines 17 through 22)	10,600	11,825				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/>						
	and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted	6,490,024	6,907,316			
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/>						
	and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds					
28	Paid-in or capital surplus, or land, bldg, and equipment fund						
29	Retained earnings, accumulated income, endowment, or other funds						
30	Total net assets or fund balances (see page 17 of the instructions)	6,490,024	6,907,316				
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	6,500,624	6,919,141				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,490,024
2	Enter amount from Part I, line 27a	2	-87,602
3	Other increases not included in line 2 (itemize) ▶ _____	3	515,279
4	Add lines 1, 2, and 3	4	6,917,701
5	Decreases not included in line 2 (itemize) ▶ _____	5	10,385
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	6,907,316

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	291,265
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	422,293	6,635,770	0.06364
2011	411,794	6,313,721	0.06522
2010	583,955	6,598,352	0.08850
2009	359,675	6,090,390	0.05906
2008	378,710	4,822,487	0.07853

2 Total of line 1, column (d).	2	0.35495
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.07099
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	7,315,763
5 Multiply line 4 by line 3.	5	519,339
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	3,965
7 Add lines 5 and 6.	7	523,304
8 Enter qualifying distributions from Part XII, line 4.	8	484,071

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	7,929
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	7,929
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	7,929
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	8,760
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	8,760
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	8
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	823
11	Enter the amount of line 10 to be Credited to 2014 estimated tax 823 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	No
c Did the foundation file Form 1120-POL for this year?.	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b	No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) DE, NY _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of PEALE FOUNDATION INC Telephone no (914) 855-3559 Located at 665 OLD QUAKER HILL ROAD PAWLING NY PAWLING NY ZIP +4 12564			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country N/A	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 20___, 20___, 20___, 20___			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20___, 20___, 20___, 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945–5(d).</p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" to 6b, file Form 8870.</p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	5b	No
6b	6b	No
7b	7b	No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARGARET P EVERETT 36 COZIER HILL ROAD SHERMAN, CT 06784	PRES/DIRECTOR 5 00	15,000		
JOHN S PEALE 250 Pantops Mountain Rd CHARLOTTESVILLE, VA 22911	V PRES/DIRECTOR 5 00	15,000		
ELIZABETH PEALE ALLEN 665 OLD QUAKER HILL ROAD PAWLING, NY 12564	SEC/TREA/DIRECT 5 00	15,000		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. **▶**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	

Total. Add lines 1 through 3. **▶**

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	6,522,773
b	Average of monthly cash balances.	1b	195,800
c	Fair market value of all other assets (see instructions).	1c	708,598
d	Total (add lines 1a, b, and c).	1d	7,427,171
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	7,427,171
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	111,408
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	7,315,763
6	Minimum investment return. Enter 5% of line 5.	6	365,788

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	365,788
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	7,929
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	7,929
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	357,859
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	357,859
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	357,859

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	484,071
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	484,071
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	484,071

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				357,859
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2013				
a From 2008.	139,230			
b From 2009.	359,675			
c From 2010.	262,123			
d From 2011.	100,695			
e From 2012.	99,264			
f Total of lines 3a through e.	960,987			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>484,071</u>				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				357,859
e Remaining amount distributed out of corpus	126,212			
5 Excess distributions carryover applied to 2013 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,087,199			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).	139,230			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	947,969			
10 Analysis of line 9				
a Excess from 2009.	359,675			
b Excess from 2010.	262,123			
c Excess from 2011.	100,695			
d Excess from 2012.	99,264			
e Excess from 2013.	126,212			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ELIZABETH PEALE ALLEN
665 QUAKER HILL ROAD
PAWLING, NY 12564
(914) 855-3559

b The form in which applications should be submitted and information and materials they should include

MUST BE IN WRITING, NO PRESCRIBED FORMAT

c Any submission deadlines

none

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

none

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total				▶ 3a 410,084
b <i>Approved for future payment</i>				
Total				▶ 3b

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of
(1) Cash.
(2) Other assets.
b Other transactions
(1) Sales of assets to a noncharitable exempt organization.
(2) Purchases of assets from a noncharitable exempt organization.
(3) Rental of facilities, equipment, or other assets.
(4) Reimbursement arrangements.
(5) Loans or loan guarantees.
(6) Performance of services or membership or fundraising solicitations.
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Table with 4 columns: (a) Line No, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? [] Yes [x] No

b If "Yes," complete the following schedule
Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here
Signature of officer or trustee: *****
Date: 2015-02-25
Title: *****

May the IRS discuss this return with the preparer shown below (see instr.)? [x] Yes [] No

Paid Preparer Use Only
Table with 5 columns: Print/Type preparer's name, Preparer's Signature, Date, Check if self-employed, PTIN.
Firm's name: Sperduto Spector & Co CPAs PC
Firm's address: 15 Chester Avenue White Plains, NY 10601
Firm's EIN:
Phone no: (914) 448-3050

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JP MORGAN ACCT #2513 S/T - SEE ATTACHED	P	2013-10-01	2014-01-31
JP MORGAN ACCT #2513 L/T - SEE ATTACHED	P	2012-10-01	2014-09-30
JP MORGAN - #1218 S/T - SEE ATTACHED	P	2013-10-01	2014-01-31
JP MORGAN ACCT #1218 L/T - SEE ATTACHED	P	2012-10-01	2014-09-30
JP MORGAN - #3743 S/T SEE ATTACHED	P	2013-10-01	2014-01-31
JP MORGAN - #3743 L/T SEE ATTACHED	P	2012-10-01	2014-09-30
FIDELITY L/T #601853 - SEE ATTACHED	P	2012-10-01	2014-09-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,448		18,965	1,483
62,035		53,753	8,282
265,951		244,040	21,911
170,862		156,043	14,819
6,575		7,975	-1,400
85,635		57,242	28,393
506,692		288,915	217,777

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,483
			8,282
			21,911
			14,819
			-1,400
			28,393
			217,777

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BLANTON PEALE INSTITUTE 3 WEST 29TH STREET NEWYORK,NY 10001	NONE	CHAR	RELIGIOUS/EDUCA	87,000
PITTSBURGH EXPERIMENT 1802 INVESTMENT BLVD PITTSBURGH,PA 15222	NONE	CHAR	RELIGIOUS	35,000
MARBLE COLLEGIATE CHURCH ONE WEST 29TH STREET NEWYORK,NY 10001	NONE	CHAR	RELIGIOUS	25,000
LUMONOS FKA FAITH AT WORK 150 S WASHINGTON ST204 FALLS CHURCH,VA 22046	NONE	CHAR	RELIGIOUS	15,000
LAKESIDE PRESBYTERIAN CHURCH 2690 DIXIE HIGHWAY LAKESIDE PARK,KY 41014	NONE	CHAR	RELIGIOUS	2,000
STONY BROOK SCHOOL CHAPMAN PARKWAY STONYBROOK,NY 11790	NONE	CHAR	EDUCATIONAL	12,000
THE TUTWILER CLINIC 205 ALMA STREET TUTWILER MS,MS 38963	NONE	CHAR	HEALTH	500
TRINITY PAWLING SCHOOL 700 ROUTE 22 PAWLING,NY 12564	NONE	CHAR	EDUCATIONAL	10,000
WAINWRIGHT HOUSE 260 STUYVESANT AVENUE RYE,NY 10580	NONE	CHAR	EDUC & RELIGIOUS	300
UPPER VALLEY HAVEN 713 HARTFORD AVENUE WHITE RIVER JUNCTION,VT 05001	NONE	CHAR	EDUCATIONAL	2,000
CHRIST CHURCH ON QUAKER HILL 17 CHURCH ROAD PAWLING,NY 12564	NONE	CHAR	RELIGIOUS	51,200
AMERICARES 88 HAMILTON AVENUE STAMFORD CT,CT 06902	NONE	CHAR	DISASTER RELIEF/GLOBAL HEALTH	25,000
GUIDEPOSTS A CHURCH CORPORATION 39 SEMINARY HILL ROAD CARMEL,NY 10512	NONE	CHAR	RELIGIOUS	77,584
ANDREW K DYWER FOUNDATION 756 GUARDHILL ROAD BEDFORD,NY 10506	NONE	CHAR	EDUCATIONAL	500
OLD NORTH CHURCH IN MARBLEHEAD 35 WASHINGTON ST MARBLEHEAD,MA 01945	NONE	CHAR	RELIGIOUS	2,000
Total				410,084

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GREENFIELD HILL CONGREGATIONAL CHUR 1045 OLD ACADEMY ROAD FAIRFIELD,CT 06824	NONE	CHAR	RELIGIOUS	1,000
AMERICAN BIBLE SOCIETY 1865 BROADWAY NEW YORK,NY 10023	NONE	CHAR	RELIGIOUS	40,000
CROSS CULTURAL THRESHOLDS 43 WINDING RIDGE ROAD WHITE PLAINS,NY 10603	NONE	CHAR	EDUCATIONAL	20,000
WASHINGTON LATIN PUBLIC CHARTER SCH 4715 16TH STREET NW WASHINGTON,DC 20011	NONE	CHAR	EDUCATIONAL	2,000
Stepping Stones 62 OAK ROAD KATONAH,NY 10536	NONE	CHAR	HEALTH	1,000
FABRETTO 325 Commerce Street Alexandria,VA 22314	NONE	CHAR	Educational	1,000
Total				410,084

TY 2013 Accounting Fees Schedule

Name: PEALE FOUNDATION INC
 CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 13000170

Software Version: 2013v4.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	9,600	7,200	0	2,400

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Amortization Schedule

Name: PEALE FOUNDATION INC
CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 13000170

Software Version: 2013v4.0

Description of Amortized Expenses	Date Acquired, Completed, or Expended	Amount Amortized	Deduction for Prior Years	Amortization Method	Current Year Amortization	Net Investment Income	Adjusted Net Income	Total Amount of Amortization
COPYRIGHT	2010-09-01	333,594	82,840	12	26,867			109,707

TY 2013 Investments - Land Schedule

Name: PEALE FOUNDATION INC
 CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 13000170

Software Version: 2013v4.0

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Land	85,000		85,000	300,000

TY 2013 Legal Fees Schedule

Name: PEALE FOUNDATION INC
 CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 13000170

Software Version: 2013v4.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Legal fees	5,705	5,705	0	0

TY 2013 Other Assets Schedule

Name: PEALE FOUNDATION INC
 CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 13000170

Software Version: 2013v4.0

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INTEREST	5,599	5,599	5,599
Net Intangible Assets	250,754	223,887	403,000

TY 2013 Other Decreases Schedule

Name: PEALE FOUNDATION INC
 CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 13000170

Software Version: 2013v4.0

Description	Amount
Federal excise taxes	10,365
Penalties	20

TY 2013 Other Expenses Schedule

Name: PEALE FOUNDATION INC
CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 13000170

Software Version: 2013v4.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Amortization	26,867	26,867		
BANK CHARGES	302	76		226
FILING FEES	250	250		
INVESTMENT MGMT FEES	60,357	60,357		
MEETING EXPENSE	50,148	12,537		37,611
OFFICE	15,750	15,750		

TY 2013 Other Income Schedule

Name: PEALE FOUNDATION INC

CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 13000170

Software Version: 2013v4.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ROYALTY INCOME	103,645	103,645	

TY 2013 Taxes Schedule

Name: PEALE FOUNDATION INC
 CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 13000170

Software Version: 2013v4.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX ON DIVIDENDS	935	935		

EIN: 14-1746478

Peale Foundation
 Form 990 PF (2013) attachment
 Part IV Capital Gains and Losses Support
 Year-ending 9/30/2014

Account Name	Account Number	Description CUSIP	Ticker	Date acquired	Date Sold	Quantity	Market		Short Term		Long Term		Total Realized Gain Loss USD
							Proceeds USD	Cost Basis USD	Realized Gain Loss USD	Realized Gain Loss USD			
Fidelity Account	...601853	McDonald		Various	10/17/2013	400.00	37,898.67	23,424.00		14,474.67		23,474.00	
Fidelity Account	...601853	McDonald		Various	1/2/2014	100.00	9,684.66	5,612.32		4,072.34		5,612.32	
Fidelity Account	...601853	EMC Corp Mass		Various	2/4/2014	2,500.00	61,425.48	63,669.90		(2,244.42)		63,669.90	
Fidelity Account	...601853	McDonald		Various	6/17/2014	200.00	19,982.60	11,224.65		8,757.95		11,224.65	
Fidelity Account	...601853	JPMorgan		Various	7/17/2014	500.00	28,214.07	25,030.00		3,184.07		25,030.00	
Fidelity Account	...601853	McDonald		Various	7/17/2014	300.00	30,114.59	16,836.97		13,277.62		16,836.97	
Fidelity Account	...601853	Alcoa Inc		Various	8/25/2014	5,000.00	83,044.21	42,910.00		40,134.21		42,910.00	
Fidelity Account	...601853	Alcoa Inc		Various	9/12/2014	4,000.00	68,517.73	53,178.20		15,339.53		53,178.20	
Fidelity Account	...601853	Apple Inc		Various	9/12/2014	450.00	44,581.56	15,336.46		29,245.10		15,336.46	
Fidelity Account	...601853	Carpenter		Various	9/12/2014	500.00	26,531.06	9,214.35		17,316.71		9,214.35	
Fidelity Account	...601853	Church &		Various	9/12/2014	400.00	27,575.44	8,554.16		19,021.28		8,554.16	
Fidelity Account	...601853	Exxon		Various	9/12/2014	500.00	48,793.47	7,508.67		41,284.80		7,508.67	
Fidelity Account	...601853	Oracle		Various	9/12/2014	500.00	20,328.50	6,415.00		13,913.50		6,415.00	
Fidelity Account Total								505,692.04	288,914.68	0.00	217,777.36	288,914.68	

Peale Foundation EIN: 14-1746478
 Form 990 PF (2013) attachment
 Part IV Capital Gains and Losses Support
 Year ending 9/30/2014

Account Name	Account Number	Description	CUSIP	Ticker	Date acquired	Date Sold	Quantity	Market Proceeds USD	Cost Basis USD	Short Term Realized Gain Loss USD (2)	Long Term Realized Gain Loss USD	Total Realized Gain Loss USD	ST/LT Code	
JPMS MANAGED	1218	***CIMATRON LTD-ORD		CIMT	Various	Various	2,800	17,479.26	18,673.69	(1,194.43)	0.00	(1,194.43)	1	
JPMS MANAGED	1218	***GIANT INTERACTIVE GROUP INC ADR	375E+08		Various	Various	2,450	29,061.50	19,550.76	9,510.74	0.00	9,510.74	1	
JPMS MANAGED	1218	***TELUS CORPORATION		TU	Various	Various	620	20,352.32	18,971.13	1,381.19	0.00	1,381.19	1	
JPMS MANAGED	1218	***TOTAL S A 1 ADR REPRESENTING 1 ORD SHS		TOT	Various	Various	300	17,513.68	17,497.93	15.76	0.00	15.76	1	
JPMS MANAGED	1218	CRACKER BARREL OLD COUNTRY STORE INC		CBRL	Various	Various	314	31,418.03	30,458.00	960.03	0.00	960.03	1	
JPMS MANAGED	1218	ELI LILLY & CO		LLY	Various	Various	370	21,857.67	17,649.00	4,208.67	0.00	4,208.67	1	
JPMS MANAGED	1218	FEDERATED INVESTORS INC (PA) CL B		FB	Various	Various	600	17,211.03	13,955.50	3,255.53	0.00	3,255.53	1	
JPMS MANAGED	1218	FREEPORT MCMORAN INC		FCX	Various	Various	1,750	58,016.74	90,757.50	0.00	(32,740.76)	(32,740.76)	2	
JPMS MANAGED	1218	INTEL CORP		INTC	Various	Various	790	24,513.15	18,770.22	0.00	5,742.93	5,742.93	2	
JPMS MANAGED	1218	JPMORGAN CHASE & CO		JPM	Various	Various	1,000	59,140.69	28,333.33	0.00	30,807.36	30,807.36	2	
JPMS MANAGED	1218	NATIONAL HEALTH INVESTORS INC		NHI	Various	Various	350	20,723.67	21,787.50	(1,063.83)	0.00	(1,063.83)	1	
JPMS MANAGED	1218	PDL BIOPHARMA INC		PDLI	Various	Various	2,674	25,434.02	21,851.93	3,582.09	0.00	3,582.09	1	
JPMS MANAGED	1218	PETMED EXPRESS INC		PETS	Various	Various	1,000	16,399.71	13,120.40	3,279.31	0.00	3,279.31	1	
JPMS MANAGED	1218	PETROLOGISTICS LP UNIT LTD PARTNERSHIP INT	71672U101		Various	Various	1,462	17,646.17	19,424.62	(1,778.45)	0.00	(1,778.45)	1	
JPMS MANAGED	1218	PFIZER INC		PFE	Various	Various	1,000	29,191.35	18,182.00	0.00	11,009.35	11,009.35	2	
JPMS MANAGED	1218	ZOETIS INC CL A		ZTS	Various	Various	1,000	30,854.16	31,100.00	(245.84)	0.00	(245.84)	1	
Total ...1218								436,813.16	400,083.51	21,910.77	14,818.88	36,729.65		
								13,940	265,951.23	244,040.46	21,910.77	0.00	21,910.77	
								4,540	170,861.93	156,043.05	0.00	14,818.88	14,818.88	
								18,480	436,813.16	400,083.51	21,910.77	14,818.88	36,729.65	

1 = Short Term
 2 = Long Term

Peale Foundation
 Form 990 PF (2013) attachment
 Part IV Capital Gains and Losses Support
 Year-ending 9/30/2014
 EIN: 14-1746478

Account Number	Account Description	CUSIP	Ticker	Date acquired	Date Sold	Quantity	Market		Cost Basis USD	Short Term		Long Term		Total Realized Gain/Loss USD	ST/LT Code
							Proceeds USD	Loss USD (2)		Realized Gain	Loss USD				
JPMS MANAGED	***RITCHIE BROS AUCTIONEERS INC		RBA	Various	Various	30	682.48	717.53	0.00	0.00	35.05	500.48	(35.05)	2	
JPMS MANAGED	AIR METHODS CORP NEW		AIRM	Various	Various	25	1,446.85	946.37	0.00	0.00	500.48	500.48	500.48	2	
JPMS MANAGED	AKAMAI TECHNOLOGIES INC		AKAM	Various	Various	5	307.39	183.44	0.00	0.00	123.95	123.95	123.95	2	
JPMS MANAGED	AMERICAN CAMPUS COMMUNITIES INC		ACC	Various	Various	20	796.58	881.65	0.00	0.00	(85.07)	(85.07)	(85.07)	2	
JPMS MANAGED	ANSYS INC		ANSS	Various	Various	10	808.88	656.30	0.00	0.00	152.58	152.58	152.58	2	
JPMS MANAGED	APTARGROUP INC		ATR	Various	Various	20	1,280.63	1,089.15	0.00	0.00	181.48	181.48	181.48	2	
JPMS MANAGED	ARTISAN PARTNERS ASSET MANAGEMENT INC		APAM	Various	Various	15	804.73	855.75	(51.02)	0.00	0.00	(51.02)	(51.02)	1	
JPMS MANAGED	BLACKBAUD INC		BLKB	Various	Various	15	603.13	500.53	0.00	0.00	102.60	102.60	102.60	2	
JPMS MANAGED	CAROTRONICS INC		CATM	Various	Various	15	517.34	394.78	0.00	0.00	122.56	122.56	122.56	2	
JPMS MANAGED	CEPHEID		CPHD	Various	Various	20	854.58	812.88	41.70	0.00	41.70	41.70	41.70	1	
JPMS MANAGED	CHEFS WAREHOUSE INC		CHEF	Various	Various	30	542.68	642.02	(99.34)	0.00	0.00	(99.34)	(99.34)	1	
JPMS MANAGED	CLECO CORP HLDGS NEW COM		CNL	Various	Various	20	1,134.17	786.24	0.00	0.00	337.93	337.93	337.93	2	
JPMS MANAGED	COMPASS MINERALS INTL INC		CMP	Various	Various	5	449.89	357.79	0.00	0.00	92.10	92.10	92.10	2	
JPMS MANAGED	CORPORATE EXECUTIVE BOARD COMPANY		CEB	Various	Various	5	331.04	225.28	0.00	0.00	105.76	105.76	105.76	2	
JPMS MANAGED	COSTAR GROUP INC		CSGP	Various	Various	10	1,531.25	693.90	0.00	0.00	837.35	837.35	837.35	2	
JPMS MANAGED	CUBIST PHARMACEUTICALS INC		CBST	Various	Various	10	676.88	434.36	0.00	0.00	242.52	242.52	242.52	2	
JPMS MANAGED	DEVRY EDUCATION GROUP INC		DV	Various	Various	20	870.98	624.67	0.00	0.00	246.31	246.31	246.31	2	
JPMS MANAGED	DONALDSON CO INC		DCI	Various	Various	30	1,768.78	1,075.91	0.00	0.00	192.87	192.87	192.87	2	
JPMS MANAGED	DORMAN PRODUCTS INC		DDRM	Various	Various	10	435.99	541.02	(105.03)	0.00	0.00	(105.03)	(105.03)	1	
JPMS MANAGED	DRIL-QUIP INC		DRQ	Various	Various	10	936.27	655.22	0.00	0.00	281.05	281.05	281.05	2	
JPMS MANAGED	EXPONENT INC		EXPO	Various	Various	5	372.09	280.20	0.00	0.00	81.89	81.89	81.89	2	
JPMS MANAGED	FS NETWORKS INC		FFIV	Various	Various	5	622.98	670.50	0.00	0.00	(47.52)	(47.52)	(47.52)	2	
JPMS MANAGED	FIRST REPUBLIC BANK SAN FRANCISCO CALIF		FRC	Various	Various	35	1,861.41	1,152.54	0.00	0.00	708.87	708.87	708.87	2	
JPMS MANAGED	GARTNER INC		IT	Various	Various	25	683.23	855.83	0.00	0.00	618.35	618.35	618.35	2	
JPMS MANAGED	GLACIER BANCORP INC-NEW		GBCI	Various	Various	25	683.23	377.55	0.00	0.00	305.68	305.68	305.68	2	
JPMS MANAGED	GREENHILL & CO INC		GHL	Various	Various	10	490.45	444.20	0.00	0.00	46.25	46.25	46.25	2	
JPMS MANAGED	GROUP 1 AUTOMOTIVE INC		GPI	Various	Various	5	385.57	285.79	0.00	0.00	99.78	99.78	99.78	2	
JPMS MANAGED	GUILEPORT ENERGY CORP COM NEW		GPOR	Various	Various	120	7,328.28	3,176.67	0.00	0.00	4,151.61	4,151.61	4,151.61	2	
JPMS MANAGED	HARMAN INTERNATIONAL INDUSTRIES INC		HAR	Various	Various	10	1,139.57	570.50	0.00	0.00	569.07	569.07	569.07	2	
JPMS MANAGED	HEARTLAND EXPRESS INC		HTLD	Various	Various	35	837.88	476.18	0.00	0.00	361.70	361.70	361.70	2	
JPMS MANAGED	HIBBETT SPORTS INC		HIBB	Various	Various	10	429.79	551.49	0.00	0.00	(121.70)	(121.70)	(121.70)	2	
JPMS MANAGED	HITITE MICROWAVE CORP	43365Y104	HMSY	Various	Various	90	1,918.63	2,846.60	0.00	0.00	(927.97)	(927.97)	(927.97)	2	
JPMS MANAGED	HMS HOLDINGS CORP		IBKC	Various	Various	5	337.39	269.79	0.00	0.00	67.60	67.60	67.60	2	
JPMS MANAGED	IBERIABANK CORP		ICBI	Various	Various	5	310.14	247.63	0.00	0.00	62.51	62.51	62.51	2	
JPMS MANAGED	ICU MEDICAL INC		ICUK	Various	Various	60	751.92	2,196.32	(1,444.40)	0.00	0.00	(1,444.40)	(1,444.40)	1	
JPMS MANAGED	INFLOX INC		IEX	Various	Various	15	803.23	688.83	0.00	0.00	134.40	134.40	134.40	2	
JPMS MANAGED	JACOBS ENGINEERING GROUP INC		JEC	Various	Various	15	803.23	688.83	0.00	0.00	134.40	134.40	134.40	2	
JPMS MANAGED	KAPSTONE PAPER AND PACKAGING CORPORATION		KS	Various	Various	25	791.23	652.65	1.38	0.00	138.58	138.58	138.58	1	
JPMS MANAGED	KIRBY CORP		KEX	Various	Various	15	1,797.40	989.09	0.00	0.00	808.32	808.32	808.32	2	
JPMS MANAGED	LIFE TIME FITNESS INC		LTM	Various	Various	55	2,773.96	2,754.59	0.00	0.00	19.37	19.37	19.37	2	
JPMS MANAGED	LINCOLN ELEC HOLDINGS INC		LECO	Various	Various	10	713.48	450.40	0.00	0.00	263.08	263.08	263.08	2	
JPMS MANAGED	MANNING & NAPIER INC CL A		MN	Various	Various	70	1,186.22	1,028.82	0.00	0.00	157.40	157.40	157.40	2	

Peale Foundation
 Form 990 PF (2013) attachment
 Part IV Capital Gains and Losses Support
 Year ending 9/30/2014

EIN: 14-1746478

Account Name	Account Number	Description	CUSIP	Ticker	Date acquired	Date Sold	Quantity	Market Proceeds USD	Cost Basis USD	Short Term Realized Gain Loss USD (2)	Long Term Realized Gain Loss USD	Total Realized Gain Loss USD	ST/AT Code
JPMS MANAGED	3743	MARKETREX HOLDINGS INC		MKTX	Various	Various	10	592.99	367.57	0.00	225.42	225.42	2
JPMS MANAGED	3743	MEDNAX INC		MD	Various	Various	30	1,692.95	1,120.59	0.00	572.35	572.35	2
JPMS MANAGED	3743	MICROCHIP TECHNOLOGY INC		MCHP	Various	Various	10	488.58	346.09	0.00	142.49	142.49	2
JPMS MANAGED	3743	MICROS SYSTEMS INC			Various	Various	20	1,355.34	1,111.69	0.00	243.65	243.65	2
JPMS MANAGED	3743	MID AMERICA APARTMENT COMMUNITIES INC	594901100	MAA	Various	Various	10	727.28	666.00	0.00	61.28	61.28	2
JPMS MANAGED	3743	MIDDLEBY CORP		MIDD	Various	Various	18	2,796.39	1,148.86	0.00	1,647.53	1,647.53	2
JPMS MANAGED	3743	NATIONAL HEALTH INVESTORS INC		NHI	Various	Various	10	634.08	485.27	0.00	148.81	148.81	2
JPMS MANAGED	3743	NATURAL GROCERS BY VITAMIN COTTAGE INC		NGVC	Various	Various	5	92.02	90.23	0.00	1.79	1.79	2
JPMS MANAGED	3743	NEWELL RUBBERMAID INC		NWL	Various	Various	30	1,030.17	535.70	0.00	494.47	494.47	2
JPMS MANAGED	3743	NORDSON CORP		NDSN	Various	Various	10	807.91	725.11	82.80	0.00	82.80	1
JPMS MANAGED	3743	PIER 1 IMPORTS INC		PIR	Various	Various	20	304.59	452.82	0.00	(148.23)	(148.23)	2
JPMS MANAGED	3743	POWER INTEGRATIONS INC		POWI	Various	Various	10	591.28	371.90	0.00	219.38	219.38	2
JPMS MANAGED	3743	PRICESMART INC		PSMT	Various	Various	10	893.28	782.89	0.00	110.39	110.39	2
JPMS MANAGED	3743	PROASSURANCE CORP		PRA	Various	Various	20	912.37	885.85	0.00	26.52	26.52	2
JPMS MANAGED	3743	PROTO LABS INC COM		PRLB	Various	Various	5	392.09	211.68	0.00	180.41	180.41	2
JPMS MANAGED	3743	QEP RESOURCES INC		QEP	Various	Various	20	653.84	600.75	0.00	53.09	53.09	2
JPMS MANAGED	3743	QUESTAR CORP		STR	Various	Various	30	698.68	581.96	0.00	116.72	116.72	2
JPMS MANAGED	3743	RBC BEARINGS INC		ROLL	Various	Various	5	308.04	233.80	0.00	74.24	74.24	2
JPMS MANAGED	3743	RESMED INC		RMD	Various	Various	40	2,071.15	1,245.09	0.00	826.06	826.06	2
JPMS MANAGED	3743	ROSETTA RESOURCES INC		ROSE	Various	Various	10	468.48	501.72	(33.24)	0.00	(33.24)	1
JPMS MANAGED	3743	RPM INTERNATIONAL INC		RPM	Various	Various	30	1,386.86	787.38	0.00	599.48	599.48	2
JPMS MANAGED	3743	RYLAND GROUP INC		RYL	Various	Various	20	726.58	393.17	0.00	333.41	333.41	2
JPMS MANAGED	3743	SIGNATURE BANK		SBNY	Various	Various	25	3,019.13	1,577.75	0.00	1,441.38	1,441.38	2
JPMS MANAGED	3743	SOLERA HOLDINGS INC		SLH	Various	Various	10	599.68	463.25	0.00	136.43	136.43	2
JPMS MANAGED	3743	SOURCEFIRE INC	83616T108		Various	Various	40	3,040.00	1,921.26	0.00	1,118.74	1,118.74	2
JPMS MANAGED	3743	SS&C TECHNOLOGIES HOLDINGS INC		SSNC	Various	Various	15	680.46	640.67	39.79	0.00	39.79	1
JPMS MANAGED	3743	STEPAN CO		SCL	Various	Various	5	237.84	243.15	0.00	(5.31)	(5.31)	2
JPMS MANAGED	3743	STERIS CORP		STE	Various	Various	10	565.98	348.74	0.00	217.24	217.24	2
JPMS MANAGED	3743	SUPERIOR ENERGY SERVICES INC		SPN	Various	Various	25	843.28	672.24	0.00	171.04	171.04	2
JPMS MANAGED	3743	SVB FINANCIAL GROUP		SIVB	Various	Various	15	1,679.03	966.06	0.00	712.97	712.97	2
JPMS MANAGED	3743	TORO CO		TTC	Various	Various	15	507.17	536.35	0.00	370.82	370.82	2
JPMS MANAGED	3743	TRACTOR SUPPLY CO		TSCO	Various	Various	30	1,977.71	1,368.55	0.00	609.16	609.16	2
JPMS MANAGED	3743	TUPPERWARE BRANDS CORPORATION		TUP	Various	Various	5	372.80	315.99	0.00	56.81	56.81	2
JPMS MANAGED	3743	TYLER TECHNOLOGIES INC		TYL	Various	Various	35	3,441.43	1,405.12	0.00	2,036.31	2,036.31	2
JPMS MANAGED	3743	UMPOJA LOGS CORP		UMFQ	Various	Various	15	261.74	205.16	0.00	56.58	56.58	2
JPMS MANAGED	3743	URBAN OUTITTERS INC		URBN	Various	Various	15	585.13	554.31	0.00	30.82	30.82	2
JPMS MANAGED	3743	WABTEC CORP		WAB	Various	Various	25	1,992.02	933.53	0.00	1,058.49	1,058.49	2
JPMS MANAGED	3743	WADDELL & REED FINANCIAL INC CL A		WDR	Various	Various	75	4,768.87	2,437.12	0.00	2,336.75	2,336.75	2
JPMS MANAGED	3743	WATERS CORP		WAT	Various	Various	5	511.33	462.21	0.00	49.12	49.12	2
JPMS MANAGED	3743	WEST PHARMACEUTICAL SVCS INC COM		WST	Various	Various	10	436.65	406.60	30.05	0.00	30.05	1
JPMS MANAGED	3743	ZEBRA TECHNOLOGIES CORP-CL A		ZBRA	Various	Various	30	2,706.33	1,246.80	0.00	959.53	959.53	2
Total	3743							92,209.46	65,216.93	(1,400.11)	28,392.64	26,992.53	

Peale Foundation EIN: 14-174647B
 Form 990 PF (2013) attachment
 Part IV Capital Gains and Losses Support
 Year-ending 9/30/2014

Account Name	Account Number	Description	CUSIP	Ticker	Date acquired	Date Sold	Quantity	Market Proceeds		Cost Basis USD	Short Term Realized Gain		Long Term Realized Gain		Total Realized ST/LT	
								USD	USD		Loss USD (2)	Gain USD	Loss USD	Gain USD	Gain Loss USD	Code
					1 = Short Term		205	6,574.63	7,974.74		(1,400.11)	0.00	0.00	(1,400.11)		
					2 = Long Term		1,543	85,634.83	57,242.19		0.00	28,392.64	28,392.64	28,392.64		
							1,748	92,209.46	65,216.93		(1,400.11)	28,392.64	28,392.64	26,992.53		