



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Private Foundation**  
 or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2013 or tax year beginning **OCT 1, 2013**, and ending **SEP 30, 2014**

Name of foundation  
**LINDENBAUM FAMILY CHARITABLE TRUST**

Number and street (or P O box number if mail is not delivered to street address) Room/suite  
**C/O LINDA LINDENBAUM, 998 FIFTH AVE 7/8E**

City or town, state or province, country, and ZIP or foreign postal code  
**NEW YORK, NY 10028**

G Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 739,282.** (Part I, column (d) must be on cash basis.)  
 J Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_

A Employer identification number  
**13-6929327**

B Telephone number  
**(646) 213-5100**

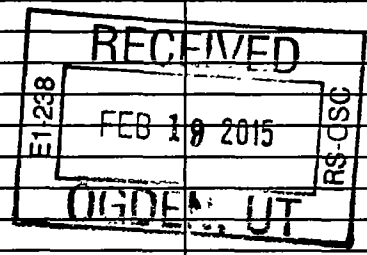
C If exemption application is pending, check here

D 1. Foreign organizations, check here   
 2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	324,567.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	2,787.	2,787.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	15,571.			
	b Gross sales price for all assets on line 6a	240,999.			
	7 Capital gain net income (from Part IV, line 2)		15,571.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	360.	0.		STATEMENT 2	
12 Total. Add lines 1 through 11	343,285.	18,358.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 3 4,000.	2,000.		2,000.
	c Other professional fees	STMT 4 4,279.	4,279.		0.
	17 Interest				
	18 Taxes	STMT 5 1,048.	48.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	STMT 6 240.	0.		240.
	24 Total operating and administrative expenses. Add lines 13 through 23	9,567.	6,327.		2,240.
	25 Contributions, gifts, grants paid	457,164.			457,164.
26 Total expenses and disbursements. Add lines 24 and 25	466,731.	6,327.		459,404.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-123,446.				
b Net investment income (if negative, enter -0-)		12,031.			
c Adjusted net income (if negative, enter -0-)			N/A		



SCANNED FEB 24 2015

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	120,263.	15,522.	15,522.
	2 Savings and temporary cash investments			
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock	STMT 7 201,770.	183,035.	208,176.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis			
Less: accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis				
Less: accumulated depreciation				
15 Other assets (describe)	STATEMENT 8 )	366,015.	366,015.	515,584.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		688,048.	564,572.	739,282.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe)			
23 Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	960,000.	960,000.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	-271,952.	-395,428.		
30 Total net assets or fund balances	688,048.	564,572.		
31 Total liabilities and net assets/fund balances	688,048.	564,572.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	688,048.
2 Enter amount from Part I, line 27a	2	-123,446.
3 Other increases not included in line 2 (itemize)	3	0.
4 Add lines 1, 2, and 3	4	564,602.
5 Decreases not included in line 2 (itemize) TIMING DIFFERENCES	5	30.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	564,572.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b	<b>SEE ATTACHED STATEMENT</b>		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	240,999.	225,428.	15,571.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			15,571.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	15,571.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	465,693.	628,565.	.740883
2011	559,289.	978,546.	.571551
2010	563,936.	1,120,576.	.503255
2009	495,187.	1,251,199.	.395770
2008	596,021.	1,226,443.	.485975

2 Total of line 1, column (d)	2	2.697434
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.539487
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	840,689.
5 Multiply line 4 by line 3	5	453,541.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	120.
7 Add lines 5 and 6	7	453,661.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	459,404.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	120.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	120.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	120.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	281.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	281.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	161.
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax <input checked="" type="checkbox"/> 161.   Refunded <input type="checkbox"/>	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
1b		X
1c		X
d		
e		
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

*Note: Lines 4a, 4b, and 5 are marked with "N/A" in the original document.*

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of LINDA LINDENBAUM Telephone no. 646-213-5100 Located at 998 FIFTH AVE, NEW YORK, NY ZIP+4 10028
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here  N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LINDA LINDENBAUM 998 FIFTH AVENUE NEW YORK, NY 10028	TRUSTEE	1.00	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3 <span style="float: right;">▶</span>	0.

**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	220,344.
b	Average of monthly cash balances	1b	134,471.
c	Fair market value of all other assets	1c	498,676.
d	Total (add lines 1a, b, and c)	1d	853,491.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	853,491.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	12,802.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	840,689.
6	Minimum investment return. Enter 5% of line 5	6	42,034.

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	42,034.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	120.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	120.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	41,914.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	41,914.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	41,914.

**Part XII**

**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	459,404.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	459,404.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	120.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	459,284.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				41,914.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	534,699.			
b From 2009	433,704.			
c From 2010	509,599.			
d From 2011	511,051.			
e From 2012	436,785.			
f Total of lines 3a through e	2,425,838.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 459,404.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				41,914.
e Remaining amount distributed out of corpus	417,490.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	2,843,328.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	534,699.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	2,308,629.			
10 Analysis of line 9:				
a Excess from 2009	433,704.			
b Excess from 2010	509,599.			
c Excess from 2011	511,051.			
d Excess from 2012	436,785.			
e Excess from 2013	417,490.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

**1** a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line d from line c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**  
**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**LINDA LINDENBAUM**  
**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
 Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:  
**N/A**

**b** The form in which applications should be submitted and information and materials they should include:  
**N/A**

**c** Any submission deadlines:  
**N/A**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:  
**N/A**

**Part XV** Supplementary Information (continued)

**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>  SEE ATTACHED SCHEDULE N/A N/A N/A	UNRELATED		GENERAL PURPOSE	457,164.
<b>Total</b>			▶ 3a	457,164.
b <i>Approved for future payment</i>  NONE				
<b>Total</b>			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include: 1 Program service revenue (a-f), 2 Membership dues and assessments, 3 Interest on savings and temporary cash investments, 4 Dividends and interest from securities (14, 2,787), 5 Net rental income or (loss) from real estate (a-b), 6 Net rental income or (loss) from personal property, 7 Other investment income (01, 360), 8 Gain or (loss) from sales of assets other than inventory (18, 15,571), 9 Net income or (loss) from special events, 10 Gross profit or (loss) from sales of inventory, 11 Other revenue (a-e), 12 Subtotal (0, 18,718, 0), 13 Total (13, 18,718).

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). Row 1 contains 'N/A'.



**Schedule B**

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2013**

Name of the organization

Employer identification number

LINDENBAUM FAMILY CHARITABLE TRUST

13-6929327

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

 501(c)( ) (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule** For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.**Special Rules** For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II. For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III. For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ \_\_\_\_\_**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization <b>LINDENBAUM FAMILY CHARITABLE TRUST</b>	Employer identification number <b>13-6929327</b>
---	---

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	LINDENBAUM GST CHARITABLE TRUST C/O JOEL EHRENKRANZ, EHRENKRANZ & EHRENKRANZ, 375 PARK AVENUE  NEW YORK, NY 10152	\$ 324,567.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

**LINDENBAUM FAMILY CHARITABLE TRUST**

**13-6929327**

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization  <b>LINDENBAUM FAMILY CHARITABLE TRUST</b>	Employer identification number  <b>13-6929327</b>
---	---

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
NEUBERGER BERMAN #555-05048	2,787.	0.	2,787.	2,787.	
TO PART I, LINE 4	2,787.	0.	2,787.	2,787.	

## FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
FEDERAL TAX REFUND	360.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	360.	0.	

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,000.	2,000.		2,000.
TO FORM 990-PF, PG 1, LN 16B	4,000.	2,000.		2,000.

## FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NEUBERGER BERMAN #555-05048 - INVESTMENT ADVISORY FEE	4,279.	4,279.		0.
TO FORM 990-PF, PG 1, LN 16C	4,279.	4,279.		0.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NEUBERGER BERMAN #555-05048 - FOREIGN TAXES PAID	48.	48.		0.
FEDERAL TAXES	1,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,048.	48.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NY STATE FILING FEES	100.	0.		100.
NYLJ AD	140.	0.		140.
TO FORM 990-PF, PG 1, LN 23	240.	0.		240.

FORM 990-PF	CORPORATE STOCK		STATEMENT 7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
70 SHS AMERICAN EXPRESS COMP	6,071.	6,128.	
50 SHS ANHEUSER-BUSCH INBEV SA	0.	0.	
150 SHS ANTERO RESOURCES CORPORATION	8,070.	8,234.	
50 SHS APACHE CORP	4,973.	4,694.	
63 SHS APPLE INC	3,823.	6,347.	
227 SHS ARIAD PHARMACEUTICALS INC - DEL	0.	0.	
55 SHS ASML HOLDING N V	4,821.	5,435.	
150 SHS BRISTOL MYERS SQUIBB CO	7,327.	7,677.	
51 SHS C R BARD INC	0.	0.	
225 SHS CABOT OIL & GAS CORP	7,775.	7,355.	
275 SHS CENOVUS ENERGY INC	0.	0.	
57 SHS CHURCH & DWIGHT CO INC	3,646.	3,999.	
65 SHS CME GROUP INC	4,824.	5,197.	
35 SHS COOPER COMPANIES INC	4,265.	5,451.	
100 SHS COSTCO WHOLESALE CORP	11,367.	12,532.	
120 SHS DANAHER CORP	8,512.	9,118.	
121 SHS DOVER CORP	8,352.	9,720.	

2 SHS DUNKIN BRANDS GROUP INC	0.	0.
3 SHS EMC CORP-MASS	0.	0.
30 SHS EOG RES INCE	2,135.	2,971.
100 SHS ESTEE LAUDER COMPANIES	6,538.	7,472.
127 SHS EXPRESS SCRIPTS HOLDING	0.	0.
60 SHS FISERV INC	2,723.	3,878.
152 SHS FMC TECHNOLOGIES INC	4,560.	4,616.
30 GENERAC HOLDINGS INC	1,224.	1,216.
26 SHS INT'L BUSINESS MACHINE	0.	0.
55 SHS INTUIT INC	3,548.	4,821.
80 SHS JPMORGAN CHASE & CO	4,285.	4,819.
85 JOHNSON & JOHNSON	7,655.	9,060.
102 SHS L BRANDS INC	0.	0.
115 SHS LENNAR CORP CL A	4,237.	4,465.
76 SHS LUXOTTICA GROUP SPA	0.	0.
56 SHS M&T BANK CORP	0.	0.
80 SHS MOODYS CORP	6,109.	7,560.
45 SHS NESTLE	3,032.	3,313.
51 SHS NOVARTIS AG AMERICAN	0.	0.
52 SHS OCCIDENTAL PETE CORP	0.	0.
2 SHS ORACLE CORP	0.	0.
202 SHS PFIZER INC	0.	0.
35 SHS PIONEER NATURAL RESOURCES COMPANY	6,436.	6,894.
350 PITNEY BOWES INC	7,809.	8,747.
27 SHS PRAXAIR INC	0.	0.
25 SHS PRECISION CASTPARTS CORP	6,210.	5,922.
30 SHS RANGE RESOURCES CORP	2,317.	2,034.
20 ROPER INDUSTRIES INC	2,488.	2,926.
135 RPM INTERNATIONAL INC	6,047.	6,180.
100 SHS SANDISK CORP	6,711.	9,795.
55 SHS SCHLUMBERGER LTD	4,220.	5,593.
152 SHS SIRONA DENTAL SYSTEMS	0.	0.
1 SHS SOTHEBYS	0.	0.
140 SHS TOLL BROTHERS INCE	4,432.	4,362.
1 SHS TYCO INTERNATIONAL LTD	0.	0.
41 SHS WALT DISNEY CO	2,741.	3,650.
1 SHS WHITEWAVE FOODS COMPANY (THE) COM CL A	0.	0.
165 SHS WHITEWAVE FOODS COMPANY	3,752.	5,995.
<b>TOTAL TO FORM 990-PF, PART II, LINE 10B</b>	<b>183,035.</b>	<b>208,176.</b>

FORM 990-PF	OTHER ASSETS		STATEMENT 8
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
INSTITUTIONAL DIVERSIFIED ARBITRAGE STRATEGIES	366,015.	366,015.	515,584.
TO FORM 990-PF, PART II, LINE 15	366,015.	366,015.	515,584.

LINDENBAUM FAMILY CHARITABLE TRUST

**Part IV** Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE NEUBERGER BERMAN #555-05048 STMT ATTACHED	P		
b	SEE NEUBERGER BERMAN #555-05048 STMT ATTACHED	P		
c	SEE NEUBERGER BERMAN #555-05048 STMT ATTACHED	P		
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	215,932.		204,573.	11,359.
b	25,052.		20,855.	4,197.
c	15.			15.
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			11,359.
b			4,197.
c			15.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	15,571.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Lindenbaum Family Charitable Trust  
 FOR FISCAL YEAR: 10/1/2013 - 9/30/2014  
 PART XV 3a: GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

<u>DATE</u>	<u>CK#</u>	<u>RECIPIENT</u>	<u>AMOUNT</u>
10/1/2013	1760	Transport Group, Inc.	\$680.00
10/7/2013	1761	Lincoln Center Theater	\$1,124.00
10/10/2013	1762	Museum of Arts & Design	\$1,000.00
10/10/2013	1763	American Friends of the Israel Museum	\$8,500.00
10/21/2013	1764	American Friends of the Israel Museum	\$2,000.00
10/23/2013	1765	Guild Hall of East Hampton	\$20,000 00
10/23/2013	1766	The Metropolitan Museum of Art	\$6,640 00
10/30/2013	1767	Alvin Ailey Dance Foundation, Inc.	\$4,000.00
11/4/2013	1768	Sweetwater Spectrum	\$10,000.00
12/10/2013	1769	Roundtable Cultural Seminars	\$1,062.50
2/21/2014	1770	Guild Hall of East Hampton	\$500.00
2/21/2014	1771	American Friends of the Israel Museum	\$50,000.00
2/24/2014	1772	UJA-Federation of New York	\$15,000.00
2/28/2014	1773	Harvard University	\$50,000.00
3/10/2014	1774	American Friends of the Israel Museum	\$1,000.00
1/21/2014	1775	Henry Street Settlement	\$310.00
1/21/2014	1776	Museum of Modern Art	\$2,541.00
2/14/2014	1778	American Friends of the Israel Museum	\$2,000.00
2/19/2014	1780	Henry Street Settlement	\$310.00
2/21/2014	1781	Guild Hall of East Hampton	\$750.00
2/21/2014	1782	ASPCA	\$4,550 00
2/24/2014	1783	Guild Hall of East Hampton	\$2,325.00
2/28/2014	1784	American Friends of the Israel Museum	\$1,800.00
3/10/2014	1785	Educational Alliance	\$20,000.00
3/11/2014	1786	American Friends of the Israel Museum	\$1,700.00
3/24/2014	1787	Alvin Ailey Dance Foundation, Inc.	\$10,000.00
3/26/2014	1788	The Metropolitan Museum of Art	\$79,568.00
4/7/2014	1789	Albert Einstein College of Medicine	\$1,000.00
4/10/2014	1790	The Metropolitan Museum of Art	\$6,000 00
4/10/2014	1791	Solomon R. Guggenheim Foundation	\$6,944.25
5/7/2014	1792	Alvin Ailey Dance Foundation, Inc.	\$35,000.00
5/8/2014	1793	Guild Hall of East Hampton	\$4,680 00
5/21/2014	1794	Central Synagogue	\$12,570.00
5/27/2014	1795	Riverdale Country School	\$15,000.00
5/27/2014	1796	Guild Hall of East Hampton	\$4,400 00
5/28/2014	1797	Guild Hall of East Hampton	\$3,950 00
5/28/2014	1798	Riverdale Country School	\$3,075.00
6/4/2014	1799	Roundtable Cultural Seminars	\$850.00
6/19/2014	1801	Central Synagogue	\$100.00
6/25/2014	1802	American Friends of the Israel Museum	\$5,000.00
7/8/2014	1803	American Friends of the Israel Museum	\$5,000.00
7/9/2014	1804	The Metropolitan Museum of Art	\$2,850 00
7/28/2014	1805	Alvin Ailey Dance Foundation, Inc.	\$15,000 00
7/28/2014	1807	Solomon R. Guggenheim Foundation	\$1,034 00
7/30/2014	1808	New York Philharmonic	\$5,000.00
8/12/2014	1809	Guild Hall of East Hampton	\$1,750 00
8/12/2014	1810	Guild Hall of East Hampton	\$2,500.00
9/8/2014	1811	Breast Cancer Research Foundation	\$1,600.00
9/22/2014	1812	Central Synagogue	\$18,000.00
9/22/2014	1813	American Friends of the Israel Museum	\$8,500.00
<b>TOTAL CHARITABLE DISBURSEMENTS</b>			<b><u>\$457,163.75</u></b>

**Capital Gains**

Internal Use Only. Not for Public Distribution.

LINDENBAUM FAMILY CHARITABLE TRUST  
998 FIFTH AVENUE  
NEW YORK NY 10028

Capital Gains Summary From 09/30/2013 To 09/30/2014		Totals
Description		
Short Term Gain/Loss		11,359.35
Long Term Gain/Loss		4,213.02
Total Short Sale Gain/Loss		0.00
Discount Income		0.00
Total Capital Gains/Losses		15,572.37
Adjusted Basis		225,427.17
Proceeds		240,999.54
Total Curr Gain/Loss		0.00

**EQUITIES - COVERED**

Purchase Date	Close Date	No. of Shares	Orig Face	Symbol	Description	Unit Cost	Sale Price	Total Cost	Net Amount	Ind	ST Gain/Loss	LT Gain/Loss	Book Cost	Disc Inc	Curr Gain/Loss
12/31/2012	10/09/2013	2 00	0 00	ARIA	ARIAD PHARMACEUTI	19 390	5 361	38 78	10 72	ST	-28.06	0 00	38.78	0.00	0 00
07/12/2013	10/09/2013	225 00	0 00	ARIA	CALS INC-DEL ARIAD PHARMACEUTI	20 010	5 361	4,502.25	1,206.24	ST	-3,296.01	0 00	4,502.25	0.00	0 00
08/12/2013	10/30/2013	75 00	0 00	CVE	CALS INC-DEL ***CENOVUS ENERGY INC	28 446	29 580	2,133.44	2,218.46	ST	85.02	0 00	2,133.44	0.00	0 00
07/12/2013	10/30/2013	200 00	0 00	CVE	***CENOVUS ENERGY INC	30 995	29 580	6,199.06	5,915.89	ST	-283.17	0 00	6,199.06	0.00	0 00
07/12/2013	10/31/2013	25 00	0 00	NSRGY	***NESTLE SA-SPONSOR ED ADR	67 370	72 310	1,684.25	1,807.71	ST	123.46	0 00	1,684.25	0.00	0 00
07/12/2013	10/31/2013	11 00	0 00	BCR	REPSTG REGD ORD (SF 10 PAR)	111 018	136 180	1,221.19	1,497.95	ST	276.76	0 00	1,221.19	0.00	0 00
07/12/2013	10/31/2013	25 00	0 00	FISV	C R BARD INC FISERV INC	90 770	104 600	2,269.25	2,614.95	ST	345.70	0 00	2,269.25	0.00	0 00

07/12/2013	11/11/2013	18.00	0.00	SIRO	67 194	71 172	1,209.50	1,281.07	ST	71.57	0.00	1,209.50	0.00	0.00
					SIRONA DENTAL SYSTEMS INC									
07/12/2013	11/12/2013	30.00	0.00	SIRO	67 194	70 801	2,015.83	2,123.99	ST	108.16	0.00	2,015.83	0.00	0.00
					SIRONA DENTAL SYSTEMS INC									
07/12/2013	11/13/2013	25.00	0.00	SIRO	67 194	71 120	1,679.86	1,777.96	ST	98.10	0.00	1,679.86	0.00	0.00
					SIRONA DENTAL SYSTEMS INC									
07/12/2013	12/11/2013	20.00	0.00	BUD	91 513	101 505	1,830.26	2,030.06	ST	199.80	0.00	1,830.26	0.00	0.00
					***ANHEUSER-BUSCH INBEV SA SPONSORED ADR									
07/12/2013	12/11/2013	36.00	0.00	LUX	52 143	49 013	1,877.13	1,764.43	ST	-112.70	0.00	1,877.13	0.00	0.00
					***LUXOTTICA GROUP SPA SPONSORED ADR									
07/12/2013	12/11/2013	30.00	0.00	NSRGY	67 370	73 117	2,021.10	2,193.47	ST	172.37	0.00	2,021.10	0.00	0.00
					***NESTLE SA-SPONSOR ED ADR									
07/12/2013	12/11/2013	20.00	0.00	NVS	73 470	77 715	1,469.40	1,554.28	ST	84.88	0.00	1,469.40	0.00	0.00
					***NOVARTIS AG-SPONSOR ED ADR									
08/12/2013	12/11/2013	25.00	0.00	SLB	80 163	86 459	2,004.08	2,161.45	ST	157.37	0.00	2,004.08	0.00	0.00
					***SCHLUMBE RGER LTD									
07/12/2013	12/11/2013	20.00	0.00	SLB	76 730	86 459	1,534.60	1,729.15	ST	194.55	0.00	1,534.60	0.00	0.00
					***SCHLUMBE RGER LTD									
10/10/2013	12/11/2013	5.00	0.00	AR	51.973	56 442	259.87	282.20	ST	22.33	0.00	259.87	0.00	0.00
					ANTERO RESOURCES CORPORATIO N									
10/23/2013	12/11/2013	25.00	0.00	AR	53 505	56 442	1,337.63	1,411.03	ST	73.40	0.00	1,337.63	0.00	0.00
					ANTERO RESOURCES CORPORATIO N									
07/12/2013	12/11/2013	10.00	0.00	AAPL	424 790	564 627	4,247.90	5,646.17	ST	1,398.27	0.00	4,247.90	0.00	0.00
					APPLE INC									
07/12/2013	12/11/2013	45.00	0.00	BMY	45 610	50 666	2,052.45	2,279.93	ST	227.48	0.00	2,052.45	0.00	0.00
					BRISTOL MYERS									
07/12/2013	12/11/2013	15.00	0.00	BCR	111 018	135 558	1,665.27	2,033.32	ST	368.05	0.00	1,665.27	0.00	0.00
					SQUIBB CO C R BARD INC									
07/12/2013	12/11/2013	43.00	0.00	CHD	63 960	66 064	2,750.28	2,840.69	ST	90.41	0.00	2,750.28	0.00	0.00
					CHURCH & DWIGHT CO INC									
07/12/2013	12/11/2013	30.00	0.00	DHR	68 027	74 185	2,040.81	2,225.50	ST	184.69	0.00	2,040.81	0.00	0.00
					DANAHER CORP									
07/12/2013	12/11/2013	9.00	0.00	DOV	80 870	89 698	727.83	807.26	ST	79.43	0.00	727.83	0.00	0.00
					DOVER CORP									

10/17/2013	12/11/2013	25 00	0 00	DOV	DOVER CORP	86 416	89 698	2,160 41	2,242 40	ST	81 99	0 00	2,160 41	0 00
10/22/2013	12/11/2013	128 00	0 00	EMC	EMC	24 069	23 479	3,080 78	3,005 25	ST	-75 53	0 00	3,080 78	0 00
07/12/2013	12/11/2013	10 00	0 00	EOG	CORP-MASS EOG RESOURCES INC	142 350	157 085	1,423 50	1,570 82	ST	147 32	0 00	1,423 50	0 00
11/07/2013	12/11/2013	5 00	0 00	EOG	EOG RESOURCES INC	171 583	157 085	857 91	785 41	ST	-72 50	0 00	857 91	0 00
07/12/2013	12/11/2013	35 00	0 00	EL	ESTEE LAUDER COMPANIES CL A	68 540	73 934	2,398 90	2,587 65	ST	188 75	0 00	2,398 90	0 00
07/12/2013	12/11/2013	55 00	0 00	ESRX	EXPRESS SCRIPTS HLDG CO COM	64 800	67 347	3,564 00	3,704 03	ST	140 03	0 00	3,564 00	0 00
07/12/2013	12/11/2013	20 00	0 00	FISV	FISERV INC	90 770	110 693	1,815 40	2,213 82	ST	398 42	0 00	1,815 40	0 00
08/08/2013	12/11/2013	67 00	0 00	FTI	FMC TECHNOLOGIE S INC	53 881	50 675	3,610 05	3,395 14	ST	-214 91	0 00	3,610 05	0 00
07/12/2013	12/11/2013	65 00	0 00	GNRC	GENERAC HOLDINGS INC	40 787	51 657	2,651 18	3,370 66	ST	719 48	0 00	2,651 18	0 00
07/12/2013	12/11/2013	11 00	0 00	IBM	INTERNATION AL BUSINESS MACHINES CORP	192 380	176 026	2,116 18	1,936 25	ST	-179 93	0 00	2,116 18	0 00
07/12/2013	12/11/2013	45 00	0 00	INTU	INTUIT INC	64 501	74 864	2,902 57	3,368 82	ST	466 25	0 00	2,902 57	0 00
07/12/2013	12/11/2013	60 00	0 00	JPM	J P MORGAN CHASE & CO	55 213	56 120	3,312 78	3,367 14	ST	54 36	0 00	3,312 78	0 00
07/12/2013	12/11/2013	47 00	0 00	LB	L BRANDS INC	51 758	61 314	2,432 64	2,881 72	ST	449 08	0 00	2,432 64	0 00
07/12/2013	12/11/2013	86 00	0 00	LEN	LENNAR CORP CL A	37 038	35 043	3,185 26	3,013 64	ST	-171 62	0 00	3,185 26	0 00
07/12/2013	12/11/2013	26 00	0 00	MTB	M & T BANK CORP	117 690	113 958	3,059 94	2,962 86	ST	-97 08	0 00	3,059 94	0 00
07/12/2013	12/11/2013	30 00	0 00	MCO	MOODYS CORP	61 187	72 589	1,835 62	2,177 63	ST	342 01	0 00	1,835 62	0 00
07/12/2013	12/11/2013	20 00	0 00	OXY	OCCIDENTAL PETE CORP	89 640	91 914	1,792 80	1,838 24	ST	45 44	0 00	1,792 80	0 00
07/12/2013	12/11/2013	85 00	0 00	PFE	PFIZER INC	28 644	30 800	2,434 77	2,617 96	ST	183 19	0 00	2,434 77	0 00
07/12/2013	12/11/2013	10 00	0 00	PX	PRAXAIR INC	117 160	124 386	1,171 60	1,243 83	ST	72 23	0 00	1,171 60	0 00
07/12/2013	12/11/2013	20 00	0 00	RRC	RANGE RESOURCES CORP	77 220	80 005	1,544 40	1,600 06	ST	55 66	0 00	1,544 40	0 00
11/14/2013	12/11/2013	10 00	0 00	ROP	ROPER INDUSTRIES INC NEW	126 596	129 496	1,265 97	1,294 93	ST	28 96	0 00	1,265 97	0 00
10/28/2013	12/11/2013	5 00	0 00	ROP	ROPER INDUSTRIES INC NEW	124 383	129 496	621 92	647 47	ST	25 55	0 00	621 92	0 00

08/08/2013	12/11/2013	45 00	0 00	SNDK	57 876	67 714	2,604.43	3,047.07	ST	442.64	0 00	2,604.43	0 00	0 00
				SANDISK CORP										
07/12/2013	12/11/2013	37 00	0 00	SIRO	67 194	66 998	2,486.19	2,478.87	ST	-7.32	0 00	2,486.19	0 00	0 00
				SIRONA DENTAL SYSTEMS INC										
08/08/2013	12/11/2013	110 00	0 00	TOL	31 659	32 755	3,482.53	3,603.00	ST	120.47	0 00	3,482.53	0 00	0 00
				TOLL BROTHERS INC										
07/12/2013	12/11/2013	34 00	0 00	DIS	66 840	70 798	2,272.56	2,407.09	ST	134.53	0 00	2,272.56	0 00	0 30
				WALT DISNEY CO HOLDING CO										
06/06/2013	12/11/2013	1 00	0 00	WWAV	17 291	22 741	17.29	22.73	ST	5.44	0 00	17.29	0 00	0 00
				WHITEWAVE FOODS CO COM.CLA										
07/12/2013	01/07/2014	30 00	0 00	NVS	73 470	79 597	2,204.10	2,387.85	ST	183.75	0 00	2,204.10	0 00	0 00
				***NOVARTIS AG-SPONSOR ED ADR										
07/12/2013	01/23/2014	14 00	0 00	IBM	192 380	182 385	2,693.32	2,553.35	ST	-139.97	0 00	2,693.32	0 00	0 00
				INTERNATION AL BUSINESS MACHINES CORP										
10/22/2013	01/30/2014	122 00	0 00	EMC	24 069	24 606	2,936.37	3,001.92	ST	65.55	0 00	2,936.37	0 00	0 00
				EMC CORP-MASS										
10/22/2013	01/31/2014	25 00	0 00	EMC	24 069	24 260	601.72	606.48	ST	4.76	0 00	601.72	0 00	0 00
				EMC CORP-MASS										
07/12/2013	02/10/2014	39 00	0 00	LUX	52 143	53 280	2,033.56	2,077.87	ST	44.31	0 00	2,033.56	0 00	0 00
				***LUXOTTICA GROUP SPA SPONSORED ADR										
07/12/2013	02/27/2014	15 00	0 00	LB	51 758	56 031	776.38	840.45	ST	64.07	0 00	776.38	0 00	0 00
				L BRANDS INC										
07/12/2013	02/28/2014	40 00	0 00	LB	51 758	56 397	2,070.33	2,255.84	ST	185.51	0 00	2,070.33	0 00	0 00
				L BRANDS INC										
02/04/2014	03/04/2014	20 00	0 00	KN	27 369	31,025	547.38	620.48	ST	73.10	0 00	547.38	0 00	0 00
				KNOWLES CORPORATIO N										
02/04/2014	03/06/2014	5 00	0 00	KN	27 369	30 293	136.85	151.46	ST	14.61	0 00	136.85	0 00	0 00
				KNOWLES CORPORATIO N										
07/12/2013	03/06/2014	20 00	0 00	KN	27 305	30 293	546.09	605.84	ST	59.75	0 00	546.09	0 00	0 00
				KNOWLES CORPORATIO N										
02/03/2014	03/06/2014	25 00	0 00	KN	27 318	30 293	682.95	757.30	ST	74.35	0 00	682.95	0 00	0 00
				KNOWLES CORPORATIO N										
07/12/2013	04/10/2014	30 00	0 00	BUD	91 513	106 968	2,745.39	3,208.95	ST	463.56	0 00	2,745.39	0 00	0 00
				***ANHEUSER- BUSCH INBEV SA SPONSORED ADR										
01/31/2014	04/10/2014	24 00	0 00	BCR	129 271	140 651	3,102.51	3,375.54	ST	273.03	0 00	3,102.51	0 00	0 00
				C R BARD INC										

07/12/2013	04/10/2014	30.00	0.00	OXY	89.640	94.663	2,689.20	2,840.42	ST	151.22	0.00	2,689.20	0.00	0.00
				OCCIDENTAL PETE CORP										
07/12/2013	04/11/2014	15.00	0.00	PX	117.160	127.414	1,757.40	1,911.16	ST	153.76	0.00	1,757.40	0.00	0.00
				PRAXAIR INC										
01/21/2014	04/14/2014	65.00	0.00	JNJ	93.261	96.593	6,061.94	6,278.42	ST	216.48	0.00	6,061.94	0.00	0.00
				JOHNSON & JOHNSON										
03/05/2014	05/29/2014	100.00	0.00	COG	34.554	36.190	3,455.43	3,618.92	ST	163.49	0.00	3,455.43	0.00	0.00
				CABOT OIL & GAS CORP										
07/12/2013	05/29/2014	40.00	0.00	EL	68.540	75.960	2,741.60	3,038.33	ST	296.73	0.00	2,741.60	0.00	0.00
				ESTEE LAUDER COMPANIES										
01/23/2014	05/29/2014	50.00	0.00	SNDK	71.190	96.070	3,559.50	4,803.39	ST	1,243.89	0.00	3,559.50	0.00	0.00
				CL A SANDISK CORP										
04/10/2014	06/04/2014	100.00	0.00	COH	50.139	39.032	5,013.92	3,903.06	ST	-1,110.86	0.00	5,013.92	0.00	0.00
				COACH INC										
01/31/2014	06/10/2014	13.00	0.00	BCR	129.271	142.265	1,680.53	1,849.39	ST	168.86	0.00	1,680.53	0.00	0.00
				C R BARD INC										
01/31/2014	06/10/2014	9.00	0.00	BCR	129.271	142.265	1,163.44	1,280.35	ST	116.91	0.00	1,163.44	0.00	0.00
				C R BARD INC										
01/31/2014	06/10/2014	2.00	0.00	BCR	129.271	142.265	258.55	284.52	ST	25.97	0.00	258.55	0.00	0.00
				C R BARD INC										
01/31/2014	06/10/2014	1.00	0.00	BCR	129.271	142.265	129.27	142.25	ST	12.98	0.00	129.27	0.00	0.00
				C R BARD INC										
01/31/2014	06/11/2014	1.00	0.00	BCR	129.271	138.539	129.27	138.54	ST	9.27	0.00	129.27	0.00	0.00
				C R BARD INC										
07/12/2013	06/11/2014	24.00	0.00	BCR	111.018	138.539	2,664.42	3,324.85	ST	660.43	0.00	2,664.42	0.00	0.00
				C R BARD INC										
02/05/2014	06/19/2014	50.00	0.00	EL	65.379	76.408	3,268.94	3,820.32	ST	551.38	0.00	3,268.94	0.00	0.00
				ESTEE LAUDER COMPANIES										
01/14/2014	07/23/2014	50.00	0.00	CME	74.906	74.760	3,745.30	3,737.91	ST	-7.39	0.00	3,745.30	0.00	0.00
				CL A CME GROUP INC										
04/07/2014	07/23/2014	150.00	0.00	DNKN	47.033	43.865	7,054.88	6,579.63	ST	-475.25	0.00	7,054.88	0.00	0.00
				DUNKIN BRANDS GROUP INC										
09/18/2013	07/23/2014	50.00	0.00	ESRX	63.421	65.563	3,171.07	3,278.09	ST	107.02	0.00	3,171.07	0.00	0.00
				EXPRESS SCRIPTS HLDG CO COM										
09/16/2013	07/23/2014	5.00	0.00	MTB	112.376	122.246	561.88	611.22	ST	49.34	0.00	561.88	0.00	0.00
				M & T BANK CORP										
03/13/2014	07/23/2014	100.00	0.00	PFE	31.755	30.426	3,175.53	3,042.51	ST	-133.02	0.00	3,175.53	0.00	0.00
				PFIZER INC										
01/13/2014	07/24/2014	20.00	0.00	AR	54.581	59.550	1,091.63	1,190.97	ST	99.34	0.00	1,091.63	0.00	0.00
				ANTERO RESOURCES CORPORATIO N										
03/13/2014	07/28/2014	75.00	0.00	PFE	31.755	30.026	2,381.65	2,251.92	ST	-129.73	0.00	2,381.65	0.00	0.00
				PFIZER INC										
03/13/2014	07/29/2014	75.00	0.00	PFE	31.755	29.891	2,381.65	2,241.74	ST	-139.91	0.00	2,381.65	0.00	0.00
				PFIZER INC										
01/27/2014	08/04/2014	25.00	0.00	COO	123.010	159.146	3,075.26	3,976.54	ST	903.28	0.00	3,075.26	0.00	0.00
				COOPER COMPANIES INC OLD (THE)										
01/06/2014	08/04/2014	15.00	0.00	COO	121.867	159.146	1,828.00	2,387.13	ST	559.13	0.00	1,828.00	0.00	0.00
				COOPER COMPANIES INC OLD (THE)										

02/05/2014	08/07/2014	210 00	0 00	WWAV	WHITEWAVE FOODS CO COM CLA	22,736	32,818	4,774.62	6,891.52	ST	2,116.90	0 00	4,774.62	0 00	0.00
04/29/2014	09/11/2014	10 00	0 00	PCP	PRECISION CASTPARTS CORP	252,944	241,540	2,529.44	2,415.34	ST	-114.10	0 00	2,529.44	0 00	0.00
09/19/2013	09/11/2014	25 00	0 00	SIRO	SIRONA DENTAL SYSTEMS INC	66,763	80,440	1,669.08	2,010.95	ST	341.87	0 00	1,669.08	0 00	0.00
02/24/2014	09/24/2014	35 00	0 00	CME	CME GROUP INC	74,531	81,111	2,608.59	2,838.80	ST	230.21	0 00	2,608.59	0 00	0.00
ST - TERM TOTAL		3,815.00						204,572.54	215,931.89	ST	11,359.35	0 00	204,572.54	0 00	0.00
05/14/2012	10/29/2013	1 00	0 00	BID	SOTHEBYS HOLDINGS INC-CLA LTD VTG	31,915	52,972	31.92	52.96	LT	0.00	21.04	31.92	0 00	0.00
10/08/2012	10/31/2013	2 00	0 00	NSRGY	***NESTLE SA-SPONSOR ED ADR	65,127	72,310	130.25	144.62	LT	0.00	14.37	130.25	0 00	0.00
10/08/2012	10/31/2013	2 00	0 00	FISV	REPSTG REGD ORD (SF 10 PAR)	74,688	104,600	149.38	209.20	LT	0.00	59.82	149.38	0 00	0.00
10/08/2012	11/11/2013	2 00	0 00	SIRO	SIRONA DENTAL SYSTEMS INC	57,883	71,172	115.77	142.34	LT	0.00	26.57	115.77	0 00	0.00
10/08/2012	12/11/2013	1 00	0 00	NVS	***NOVARTIS AG-SPONSOR ED ADR	62,849	77,715	62.85	77.71	LT	0.00	14.86	62.85	0 00	0.00
10/08/2012	12/11/2013	1 00	0 00	SLB	***SCHLUMBE RGER LTD	71,675	86,459	71.68	86.46	LT	0.00	14.78	71.68	0 00	0.00
10/08/2012	12/11/2013	1 00	0 00	TYC	***TYCO INTERNATION ALLTD	28,170	37,206	28.17	37.20	LT	0.00	9.03	28.17	0 00	0.00
10/08/2012	12/11/2013	1 00	0 00	AXP	AMERICAN EXPRESS COMPANY	58,780	83,950	58.78	83.94	LT	0.00	25.16	58.78	0 00	0.00
10/08/2012	12/11/2013	2 00	0 00	BMY	BRISTOL MYERS SQUIBB CO	33,555	50,666	67.11	101.33	LT	0.00	34.22	67.11	0 00	0.00
01/19/2012	12/11/2013	2 00	0 00	COG	CABOT OIL & GAS CORP	15,731	35,772	31.46	71.53	LT	0.00	40.07	31.46	0 00	0.00
10/31/2012	12/11/2013	2 00	0 00	CHD	CHURCH & DWMIGHT CO INC	50,149	66,064	100.30	132.13	LT	0.00	31.83	100.30	0 00	0.00
10/08/2012	12/11/2013	1 00	0 00	DOV	DOVER CORP	57,946	89,698	57.95	89.70	LT	0.00	31.75	57.95	0 00	0.00
08/06/2012	12/11/2013	2 00	0 00	DNKN	DUNKIN BRANDS GROUP INC	29,854	46,519	59.71	93.03	LT	0.00	33.32	59.71	0 00	0.00

07/23/2012	12/11/2013	2.00	0.00	EL	52,244	73,934	104.49	147.87	LT	0.00	43.38	104.49	0.00	0.00
ESTEE LAUDER COMPANIES														
CL A														
11/06/2012	12/11/2013	2.00	0.00	ESRX	54,981	67,347	109.96	134.69	LT	0.00	24.73	109.96	0.00	0.00
EXPRESS SCRIPTS HLDG														
CO COM														
10/08/2012	12/11/2013	1.00	0.00	OXY	84,180	91,914	84.18	91.91	LT	0.00	7.73	84.18	0.00	0.00
PETE CORP														
10/11/2011	12/11/2013	1.00	0.00	OXY	81,700	91,914	81.70	91.91	LT	0.00	10.21	81.70	0.00	0.00
PETE CORP														
10/08/2012	12/11/2013	2.00	0.00	ORCL	31,163	34,548	62.33	69.09	LT	0.00	6.76	62.33	0.00	0.00
ORACLE CORP														
10/08/2012	12/11/2013	2.00	0.00	PFE	25,420	30,800	50.84	61.60	LT	0.00	10.76	50.84	0.00	0.00
PFIZER INC														
10/01/2012	12/11/2013	2.00	0.00	PX	104,291	124,386	208.58	248.77	LT	0.00	40.19	208.58	0.00	0.00
PRAXAIR INC														
07/27/2012	12/11/2013	1.00	0.00	PCP	157,921	252,395	157.92	252.39	LT	0.00	94.47	157.92	0.00	0.00
PRECISION CASTPARTS														
CORP														
10/11/2011	12/11/2013	1.00	0.00	DIS	32,954	70,798	32.95	70.80	LT	0.00	37.85	32.95	0.00	0.00
WALT DISNEY CO HOLDING CO														
10/11/2011	01/23/2014	1.00	0.00	IBM	184,980	182,385	184.98	182.38	LT	0.00	-2.60	184.98	0.00	0.00
INTERNATION AL BUSINESS MACHINES														
CORP														
10/08/2012	01/30/2014	3.00	0.00	EMC	27,123	24,606	81.37	73.82	LT	0.00	-7.55	81.37	0.00	0.00
EMC CORP-MASS														
10/08/2012	02/10/2014	1.00	0.00	LUX	36,811	53,280	36.81	53.28	LT	0.00	16.47	36.81	0.00	0.00
***LUXOTTICA GROUP SPA														
SPONSORED														
ADR														
04/09/2013	04/10/2014	1.00	0.00	BCR	101,489	140,651	101.49	140.65	LT	0.00	39.16	101.49	0.00	0.00
C R BARD INC														
07/12/2013	07/23/2014	20.00	0.00	ESRX	64,800	65,563	1,296.00	1,311.23	LT	0.00	15.23	1,296.00	0.00	0.00
EXPRESS SCRIPTS HLDG														
CO COM														
06/03/2013	07/23/2014	1.00	0.00	MTB	105,036	122,246	105.04	122.24	LT	0.00	17.20	105.04	0.00	0.00
M & T BANK														
CORP														
07/12/2013	07/23/2014	24.00	0.00	MTB	117,690	122,246	2,824.56	2,933.82	LT	0.00	109.26	2,824.56	0.00	0.00
M & T BANK														
CORP														
07/12/2013	07/24/2014	15.00	0.00	MCO	61,187	90,820	917.81	1,362.26	LT	0.00	444.45	917.81	0.00	0.00
MOODYS														
CORP														
07/12/2013	07/29/2014	115.00	0.00	PFE	28,644	29,891	3,294.09	3,437.33	LT	0.00	143.24	3,294.09	0.00	0.00
PFIZER INC														
07/12/2013	09/11/2014	30.00	0.00	BMY	45,610	50,550	1,368.30	1,516.46	LT	0.00	148.16	1,368.30	0.00	0.00
BRISTOL MYERS														
SQUIBB CO														
07/12/2013	09/11/2014	20.00	0.00	DOV	67,551	87,010	1,351.01	1,740.16	LT	0.00	389.15	1,351.01	0.00	0.00
DOVER CORP														
07/12/2013	09/11/2014	20.00	0.00	MCO	61,187	95,790	1,223.75	1,915.75	LT	0.00	692.00	1,223.75	0.00	0.00
MOODYS														
CORP														
08/08/2013	09/11/2014	30.00	0.00	SNDK	57,876	99,000	1,736.29	2,969.93	LT	0.00	1,233.64	1,736.29	0.00	0.00
SANDISK CORP														

Purchase Date	Close Date	No. of Shares	Orig Face	Symbol	Description	Unit Cost	Sale Price	Total Cost	Net Amount	Ind	ST Gain/Loss	LT Gain/Loss	Book Cost	Disc Inc	Curr Gain/Loss
07/12/2013	09/11/2014	15.00	0.00	SIRO	SIRONA DENTAL SYSTEMS INC	67.194	80.440	1,007.92	1,206.57	LT	0.00	198.65	1,007.92	0.00	0.00
07/12/2013	09/24/2014	40.00	0.00	GNRC	GENERAC HOLDINGS INC	40.787	42.651	1,631.50	1,705.99	LT	0.00	74.49	1,631.50	0.00	0.00
07/12/2013	09/25/2014	15.00	0.00	GNRC	GENERAC HOLDINGS INC	40.787	42.255	611.81	633.81	LT	0.00	22.00	611.81	0.00	0.00
07/12/2013	09/26/2014	30.00	0.00	GNRC	GENERAC HOLDINGS INC	40.787	41.848	1,223.62	1,255.42	LT	0.00	31.80	1,223.62	0.00	0.00
LT - TERM TOTAL SECTION TOTAL		415.00 4,230.00						20,854.63 225,427.17	25,052.28 240,984.17	LT	0.00 11,359.35	4,197.65	20,854.63 225,427.17	0.00 0.00	0.00

**CASH IN LIEU - NONCOVERED**

Purchase Date	Close Date	No. of Shares	Orig Face	Symbol	Description	Unit Cost	Sale Price	Total Cost	Net Amount	Ind	ST Gain/Loss	LT Gain/Loss	Book Cost	Disc Inc	Curr Gain/Loss
CASH IN LIEU - NONCOVERED		15.37		KN	KNOWLES CORPORATIO N			0.00	15.37	LT	0.00	15.37	0.00	0.00	0.00
LT - TERM TOTAL SECTION TOTAL		15.37						0.00	15.37	LT	0.00	15.37	0.00	0.00	0.00

\*\*\* This report is intended to be an internal worksheet and should not be relied upon for accuracy, unless it confirms with the official records of the firm