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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 10-01-2013 , and ending 09-30-2014

Name of foundation
EARLE BROWN MUSIC FOUNDATION

A Employer identification number
13-3921924

Number and street (or P O box number if mail is not delivered to street address)
C/O MAC CORKINDALE3960 MERRICK RD

Room/suite

B Telephone number (see instructions)

City or town, state or province, country, and ZIP or foreign postal code
SEAFORD, NY 11783

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 631,922

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	100,057			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities.	17,755	17,755	17,755	
	5a	Gross rents				
	b	Net rental income or (loss) ______				
	6a	Net gain or (loss) from sale of assets not on line 10	71,396			
	b	Gross sales price for all assets on line 6a 806,337				
	7	Capital gain net income (from Part IV, line 2) . . .		158,250		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	5,289			
	12	**Total.** Add lines 1 through 11	194,497	176,005	17,755	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	10,250	2,565	2,565	7,685
	c	Other professional fees (attach schedule)	101,220			101,220
	17	Interest	3	3	3	
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion . . .				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	14,854			14,854
	24	**Total operating and administrative expenses.** Add lines 13 through 23	126,327	2,568	2,568	123,759
	25	Contributions, gifts, grants paid	0			0
	26	**Total expenses and disbursements.** Add lines 24 and 25	126,327	2,568	2,568	123,759
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	68,170			
	b	**Net investment income** (if negative, enter -0-)		173,437		
	c	**Adjusted net income** (if negative, enter -0-) . . .			15,187	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	3,361	9,425	9,593
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	515,054	577,159	622,329
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ 9,678 Less accumulated depreciation (attach schedule) ▶ 9,678			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	518,415	586,584	631,922
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)	4	3	
	23	**Total liabilities** (add lines 17 through 22)	4	3	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	518,411	586,581	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	518,411	586,581	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	518,415	586,584	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	518,411
2	Enter amount from Part I, line 27a	2	68,170
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	586,581
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	586,581

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE ATTACHED DETAIL	P	2013-10-01	2014-09-30
b	SEE ATTACHED DETAIL	P	2010-10-01	2014-09-30
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	265,599		269,923	-4,324
b	540,738		378,164	162,574
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-4,324
b				162,574
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	158,250
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-4,324

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012			
2011			
2010			
2009			
2008			

2	**Total** of line 1, column (d).	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	
5	Multiply line 4 by line 3.	5	
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	
7	Add lines 5 and 6.	7	
8	Enter qualifying distributions from Part XII, line 4.	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	3,469
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	3,469
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	3,469
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 1,431		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 5,600		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	7,031
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	3,562
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 3,562 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9	Yes	
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10	Yes	

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶BRUCE MAC CORKINDALE CPA PC Telephone no ▶(516) 783-1794

Located at ▶3960 MERRICK RD SEAFORD NY ZIP+4 ▶11783

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		**No**
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☑ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		**No**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
BRUCE MAC CORKINDALE 3960 MERRICK ROAD SEAFORD, NY 11783	Co-TRUSTEE 0 00	0		
Marybeth Sollins 43 Brevoort Lane RYE, NY 10580	CO-TRUSTEE 0 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Thomas Fichter - Performing Arts Co 135 Eastern Parkway Brooklyn, NY 11238	Perf Arts Consultan	67,965
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 Preserve, promote, underwrite and disseminate the music and aesthetic concepts of the American composer, Earle Brown, as well as other composers Promote the performance of experimental and innovative musical arts, in order to enhance the public enjoyment and appreciation of musical works now in existence, as well as musical works being composed	123,759
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	665,668
b	Average of monthly cash balances	1b	17,472
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	683,140
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	683,140
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	10,247
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	672,893
6	**Minimum investment return.** Enter 5% of line 5	6	33,645

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☑ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a		
b	Income tax for 2013 (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	123,759
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	123,759
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	123,759

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20___ , 20___ , 20___				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ ______				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶ 1998-08-10

b Check box to indicate whether the organization is a private operating foundation described in section ☑ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	15,187	16,255	16,360	31,083	78,885
b 85% of line 2a	12,909	13,817	13,906	26,421	67,053
c Qualifying distributions from Part XII, line 4 for each year listed	123,759	174,624	118,422	145,282	562,087
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	123,759	174,624	118,422	145,282	562,087
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	22,430	20,350	20,503	20,722	84,005
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

Marybeth Sollins

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Total . . . . ▶ 3a				
b Approved for future payment				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	17,755	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	71,396	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a Royalty Income			15	5,289	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				94,440	

13 Total. Add line 12, columns (b), (d), and (e) 13 94,440

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2015-03-04	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
Bruce Mac Corkindale				P00418764

Firm's name ▶	Wagner & Zwerman LLP	Firm's EIN ▶
Firm's address ▶	450 Wireless Boulevard Hauppauge, NY 11788	Phone no (516)783-1794

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491063000025

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Name of the organization	**Employer identification number**
EARLE BROWN MUSIC FOUNDATION	13-3921924

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
EARLE BROWN MUSIC FOUNDATION

Employer identification number
13-3921924

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Tamoanchan Investments LP c/o Ivy Mgt 3960 Merrick Rd Seaford, NY 11783	$ 100,057	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
EARLE BROWN MUSIC FOUNDATION

Employer identification number
13-3921924

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	Publicly Traded Securities - See attached list	$ 100,057	2013-12-27

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
EARLE BROWN MUSIC FOUNDATION

Employer identification number
13-3921924

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

TY 2013 Accounting Fees Schedule

Name: EARLE BROWN MUSIC FOUNDATION

EIN: 13-3921924

Software ID: 13000170

Software Version: 2013v4.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting Fees	10,250	2,565	2,565	7,685

TY 2013 Contractor Compensation Explanation

Name: EARLE BROWN MUSIC FOUNDATION
EIN: 13-3921924
Software ID: 13000170
Software Version: 2013v4.0

Contractor	Explanation
Thomas Fichter - Performing Arts Co	Performing Arts Consultant

TY 2013 Investments Corporate Stock Schedule

Name: EARLE BROWN MUSIC FOUNDATION

EIN: 13-3921924

Software ID: 13000170

Software Version: 2013v4.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Mutual Funds	577,159	622,329

TY 2013 Land, Etc. Schedule

Name: EARLE BROWN MUSIC FOUNDATION

EIN: 13-3921924

Software ID: 13000170

Software Version: 2013v4.0

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Furniture and Fixtures	899	899		
Machinery and Equipment	4,279	4,279		
Miscellaneous	4,500	4,500		

TY 2013 Other Expenses Schedule

Name: EARLE BROWN MUSIC FOUNDATION

EIN: 13-3921924

Software ID: 13000170

Software Version: 2013v4.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	35			35
CONCERT EXPENSES	11,791			11,791
Dues and memberships	213			213
FILING FEES	100			100
INSURANCE	1,354			1,354
internet service	76			76
Miscellaneous expenses	643			643
Public Notice AD	45			45
Supplies	597			597

TY 2013 Other Income Schedule

Name: EARLE BROWN MUSIC FOUNDATION

EIN: 13-3921924

Software ID: 13000170

Software Version: 2013v4.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Royalty Income	5,289		

TY 2013 Other Liabilities Schedule

Name: EARLE BROWN MUSIC FOUNDATION

EIN: 13-3921924

Software ID: 13000170

Software Version: 2013v4.0

Description	Beginning of Year - Book Value	End of Year - Book Value
Rounding	4	3

TY 2013 Other Professional Fees Schedule

Name: EARLE BROWN MUSIC FOUNDATION

EIN: 13-3921924

Software ID: 13000170

Software Version: 2013v4.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES	101,220	0	0	101,220

TY 2013 Substantial Contributors Schedule

Name: EARLE BROWN MUSIC FOUNDATION

EIN: 13-3921924

Software ID: 13000170

Software Version: 2013v4.0

Name	Address
TAMOANCHAN INVESTEMENTS LP	C/O IVY MGT 3960 MERRICK RD SEAFORD, NY 11783

Earle Brown Music Foundation
Schedule of Donated Secutiries
For the Year Ended 9/30/2014

EIN # 13-3921924

Ticker	Cusip	Security Name	Qty	Purchase Date	Unit Cost	Donor Tax Basis	Price	Market Value Date of Donation
AMZN	23135106	AMAZON.COM INC	21	1/8/2009	57.07	1,199	399.20	8,383
HIMX	43289P106	HIMAX TECHNOLOGIES INC ADR	1394	11/12/2012	1.96	2,735	13.55	18,889
SBUX	8.55E+08	STARBUCKS CORP	71	3/12/2009	11.74	834	78.57	5,578
HOG	4.13E+08	HARLEY-DAVIDSON INC	69	3/12/2009	10.87	750	69.22	4,776
PNR	6169Q108	PENTAIR LTD	13	3/12/2009	15.93	207	76.55	995
AMG	8252108	AFFILIATED MANAGERS GROUP	23	12/8/2008	34.75	799	214.01	4,922
TWC	88732J207	TIME WARNER CABLE INC	1	5/14/2009	31.01	31	134.04	134
TJX	8.73E+08	TJX COS INC	219	12/8/2008	10.27	2,250	63.03	13,804
AAPL	37833100	APPLE INC	75	1/27/2009	94.72	7,104	567.67	42,575
		TOTAL DONATED STOCK				**$ 15,908.70**		**$ 100,056.79**

EARLE BROWN MUSIC FOUNDATION
INVESTMENT HOLDINGS AT 9/30/2014

EIN # 13-3921924
Attachment Form 990PF
Page 2, Line 1 and Line 10

Quantity	Security	Tax Cost 9/30/2014	Book Value 9/30/2014	MV Price	Market Value 9/30/14
	Cash - Non-interest bearing				
8,285	Cash - USD	8,285	8,785	1 00	8,785
640 00	Cash - Euro	640	640	1 26	808
	Total Cash to Page 2, Line 1, column b		**9,425**		
	Total Cash to Page 2, Line 1, column c				**9,593**
	Investments				
1521 2770	Blackrock Commodity Strategies Fund	14,315	14,315	9 11	13,859
5634 3550	Blackrock Strategic Income Oppt	56,874	56,874	10 28	57,921
833 6040	Dodge & Cox Intl Stock Fd	28,700	28,700	45 18	37,662
156 4630	Dodge & Cox Stock Fd	16,256	16,374	179 89	28,146
2300 0830	Edgewood Growth fund Institutional	31,288	31,291	20 37	46,853
1536 7980	Parametric Tax-Managed	77,755	77,755	51 29	78,822
4572 5500	Parametric Commodity strategy	36,090	36,090	7 35	33,608
542 6890	Harbor International Fund	38,542	38,542	68 97	37,429
12723 7680	Lord Abbett Short Duration Income	58,001	58,001	4 50	57,257
7098 6170	Lord Abbett Bond Debenture Fund	57,919	57,919	8 09	57,428
3988 3000	Vaughan Nelson Value Opportunity	85,192	85,192	21 62	86,227
992 1140	Voya Real Estate Fund Class A	11,307	11,307	18 06	17,918
1247 2400	Westcore International Small Cap Fund	25,035	25,035	19 08	23,797
229 0000	Ishares TR core S&P 500 ETF	39,764	39,764	198 26	45,402
	Tax Cost Basis	**585,963**			
	Investments - Book Value to Page 2 Line 10, column b		**577,159**		
	Investments - Market Value to Page 2 Line 10, column c				**622,329**

EARLE BROWN MUSIC FOUNDATION
Capital Gains and Losses - Tax and Book Basis
For the Year Ended September 30, 2014

12/08/2008	06/23/2014	AMG	AFFILIATED MANAGERS GROUP INC COM	23 00	799	4,922	4,650	3,850	(273)
01/08/2009	06/23/2014	AMZN	AMAZON COM INC	21 00	1,199	8,383	6,833	5,634	(1,550)
01/27/2009	06/23/2014	AAPL	APPLE INC COM	100 00	1,353	8,110	9,081	7,728	971
01/27/2009	06/23/2014	AAPL	APPLE INC COM	100 00	1,353	8,110	9,081	7,728	971
01/27/2009	06/23/2014	AAPL	APPLE INC COM	325 00	4,398	26,358	29,512	25,114	3,155
03/12/2009	06/23/2014	HOG	HARLEY DAVIDSON INC COM	69 00	750	4,776	4,953	4,203	177
03/12/2009	06/23/2014	SBUX	STARBUCKS CORP COM	71 00	834	5,578	5,438	4,604	(141)
05/14/2009	06/23/2014	TWC	TIME WARNER CABLE INC COM	1 00	31	134	135	104	1
12/08/2008	06/23/2014	TJX	TJX COMPANIES INC (NEW)	219 00	2,250	13,804	12,009	9,760	(1,794)
12/17/2009	10/11/2013	ARTIX	ARTISAN INTERNATIONAL FUND	16 818	341	357	481	140	124
12/15/2011	10/11/2013	ARTIX	ARTISAN INTERNATIONAL FUND	21 035	400	447	602	202	155
12/04/2009	10/11/2013	ARTIX	ARTISAN INTERNATIONAL FUND	1,195 851	25,425	25,418	34,235	8,810	8,817
12/22/2010	10/11/2013	DODFX	DODGE & COX INTERNATIONAL FUND	12 904	456	456	531	75	75
12/04/2009	10/11/2013	DODFX	DODGE & COX INTERNATIONAL FUND	237 663	7,716	7,716	9,789	2,073	2,073
12/04/2009	10/11/2013	DODGX	DODGE & COX STOCK FUND	133 365	12,700	12,813	20,458	7,758	7,644
12/04/2009	10/11/2013	EAASX	EATON VANCE ATLANTA CAPITAL SMID-CAP FUND CLASS A	2,221 674	24,838	24,849	47,677	22,839	22,828
07/17/2012	10/11/2013	EGFIX	EDGEWOOD GROWTH FUND INSTITUTIONAL CLASS	975 864	12,534	12,535	16,360	3,826	3,825
07/17/2012	10/01/2013	EGFIX	EDGEWOOD GROWTH FUND INSTITUTIONAL CLASS	1,180 147	15,157	15,159	19,847	4,690	4,688
11/12/2012	06/23/2014	HIMX	HIMAX TECHNOLOGIES INC SPONS ADR	600 00	1,176	8,130	4,144	2,968	(3,986)
11/12/2012	06/23/2014	HIMX	HIMAX TECHNOLOGIES INC SPONS ADR	794 00	1,556	10,759	5,486	3,930	(5,273)
12/04/2009	10/11/2013	CLARX	ING REAL ESTATE FUND CLASS A	316 598	3,346	3,403	5,245	1,898	1,842
10/26/2010	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	15 05	216	217	227	12	10
11/23/2010	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	15 661	221	225	236	15	11
01/25/2011	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	15 473	222	223	233	11	11
12/09/2010	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	23 285	327	335	351	24	16
01/24/2012	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	25 089	357	361	379	22	17
09/20/2011	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	29 15	415	420	440	25	20
06/25/2012	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	30 361	436	437	458	22	21
10/25/2011	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	30 791	438	443	465	26	21
08/23/2011	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	31 828	458	458	480	22	22
05/24/2012	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	32 419	461	467	489	29	22
11/22/2011	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	34 954	484	503	527	44	24
12/14/2011	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	93 143	1,273	1,341	1,406	132	64
02/15/2011	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	2,282 882	32,874	32,868	34,449	1,575	1,580
10/21/2010	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	3,556 485	51,000	51,206	53,667	2,667	2,462
10/24/2012	06/23/2014	PNR	PENTAIR PLC SHS ISIN#IE00BLS09M33	13 00	531	995	971	440	(24)
07/31/2013	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	0 428	5	5	5	0	(0)
07/31/2013	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	2 607	28	29	28	0	(1)
01/31/2013	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	3 464	39	39	37	(1)	(1)
09/30/2013	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	13 306	144	144	144	0	0
09/30/2013	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	15 659	169	169	170	0	0
07/31/2013	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	16 314	176	184	177	1	(7)
Various	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	17 633	196	198	191	(5)	(8)
Various	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	18 557	200	209	201	1	(8)
Various	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	18 128	203	204	196	(7)	(8)

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Various	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	19 654	219	219	213	(6)	(6)
Various	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	20 752	230	233	224	(6)	(9)
12/09/2009	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	30 376	331	342	329	(1)	(12)
12/30/2011	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	33 363	363	375	362	(1)	(14)
02/01/2010	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	33 537	368	377	364	(4)	(14)
10/31/2011	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	35 587	388	400	386	(2)	(14)
10/04/2011	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	36 18	390	407	392	2	(15)
01/05/2010	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	36 239	391	408	393	2	(15)
01/31/2011	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	36 188	393	407	392	(0)	(15)
11/30/2011	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	36 981	399	416	401	2	(15)
02/28/2011	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	37 492	408	422	407	(1)	(15)
03/31/2011	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	39 026	425	439	423	(1)	(16)
01/04/2011	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	43 657	474	491	473	(0)	(18)
12/28/2011	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	67 886	735	764	736	1	(28)
12/09/2009	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	109 20	1,189	1,228	1,184	(5)	(44)
12/08/2010	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	202 899	2,191	2,283	2,200	9	(82)
12/08/2010	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	443 741	4,792	4,992	4,812	20	(180)
12/04/2009	10/18/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	1,011 96	11,092	11,384	10,975	(117)	(409)
12/04/2009	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	2,882 18	31,592	32,423	31,255	(337)	(1,168)
12/04/2009	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	5,177 903	56,756	58,249	56,014	(742)	(2,235)
12/18/2009	10/11/2013	POSKX	PRIMECAP ODYSSEY STOCK FUND	30 258	381	384	601	220	216
12/04/2009	10/11/2013	POSKX	PRIMECAP ODYSSEY STOCK FUND	2,335 706	29,485	29,666	46,372	16,887	16,706
12/20/2011	10/11/2013	TASCX	THIRD AVENUE SMALL CAP VALUE FUND	4 703	93	83	130	37	47
12/20/2011	10/11/2013	TASCX	THIRD AVENUE SMALL CAP VALUE FUND	4 725	93	83	130	37	47
12/22/2009	10/11/2013	TASCX	THIRD AVENUE SMALL CAP VALUE FUND	10 565	191	186	291	100	105
12/21/2010	10/11/2013	TASCX	THIRD AVENUE SMALL CAP VALUE FUND	14 156	295	250	390	95	140
12/04/2009	10/11/2013	TASCX	THIRD AVENUE SMALL CAP VALUE FUND	1,394 311	25,015	24,581	38,413	13,398	13,832
			Capital Gains - Long Term		**378,164**	**465,392**	**540,738**	**162,573**	**75,345**
07/31/2014	08/15/2014	BSIIX	BLACKROCK STRATEGIC INCOME OPPT PORTFOLIO FUND CL I	0 128	1	1	1	(0)	(0)
07/31/2014	08/15/2014	BSIIX	BLACKROCK STRATEGIC INCOME OPPT PORTFOLIO FUND CL I	2 688	28	28	28	(0)	(0)
10/11/2013	11/05/2013	BSIIX	BLACKROCK STRATEGIC INCOME OPPT PORTFOLIO FUND CL I	5 205	52	52	52	0	0
10/31/2013	11/05/2013	BSIIX	BLACKROCK STRATEGIC INCOME OPPT PORTFOLIO FUND CL I	5 205	53	53	52	(0)	(0)
07/18/2014	08/15/2014	BSIIX	BLACKROCK STRATEGIC INCOME OPPT PORTFOLIO FUND CL I	11 50	118	118	118	(0)	(0)
07/31/2014	08/15/2014	BSIIX	BLACKROCK STRATEGIC INCOME OPPT PORTFOLIO FUND CL I	11 50	119	119	118	(1)	(1)
05/30/2014	07/08/2014	BSIIX	BLACKROCK STRATEGIC INCOME OPPT PORTFOLIO FUND CL I	14 075	146	146	146	0	0
06/30/2014	07/08/2014	BSIIX	BLACKROCK STRATEGIC INCOME OPPT PORTFOLIO FUND CL I	14 188	147	147	147	(0)	(0)
05/06/2014	08/15/2014	BSIIX	BLACKROCK STRATEGIC INCOME OPPT PORTFOLIO FUND CL I	419 92	4,337	4,337	4,319	(17)	(17)
05/06/2014	07/08/2014	BSIIX	BLACKROCK STRATEGIC INCOME OPPT PORTFOLIO FUND CL I	550 329	5,684	5,684	5,693	9	9
10/11/2013	11/05/2013	BSIIX	BLACKROCK STRATEGIC INCOME OPPT PORTFOLIO FUND CL I	980 67	9,849	9,849	9,880	32	32
12/20/2012	10/11/2013	DODFX	DODGE & COX INTERNATIONAL FUND	20 751	717	717	855	138	138
06/23/2014	08/15/2014	DODFX	DODGE & COX INTERNATIONAL FUND	30 561	1,433	1,433	1,375	(58)	(58)
09/26/2013	10/11/2013	DODGX	DODGE & COX STOCK FUND	0 851	129	129	131	1	1
06/26/2013	10/11/2013	DODGX	DODGE & COX STOCK FUND	1 218	170	170	187	17	17
06/23/2014	08/05/2014	DODGX	DODGE & COX STOCK FUND	11 358	2,044	2,044	1,975	(69)	(69)

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12/31/2012	10/01/2013	EGFIX	EDGEWOOD GROWTH FUND INSTITUTIONAL CLASS	8 207	111	111	138	27	27
06/23/2014	08/05/2014	EGFIX	EDGEWOOD GROWTH FUND INSTITUTIONAL CLASS	126 199	2,532	2,532	2,485	(47)	(47)
04/30/2014	05/06/2014	FFRHX	FIDELITY FLOATING RATE HIGH INCOME FUND	0 667	7	7	7	(0)	(0)
04/30/2014	05/06/2014	FFRHX	FIDELITY FLOATING RATE HIGH INCOME FUND	3 817	38	38	38	(0)	(0)
11/29/2013	05/06/2014	FFRHX	FIDELITY FLOATING RATE HIGH INCOME FUND	3 817	38	38	38	(0)	(0)
10/31/2013	11/05/2013	FFRHX	FIDELITY FLOATING RATE HIGH INCOME FUND	8 536	84	84	84	0	0
10/11/2013	11/05/2013	FFRHX	FIDELITY FLOATING RATE HIGH INCOME FUND	8 536	85	85	84	(0)	(0)
04/30/2014	05/06/2014	FFRHX	FIDELITY FLOATING RATE HIGH INCOME FUND	8 536	87	87	85	(2)	(2)
11/29/2013	05/06/2014	FFRHX	FIDELITY FLOATING RATE HIGH INCOME FUND	8 536	85	85	85	0	0
02/28/2014	05/06/2014	FFRHX	FIDELITY FLOATING RATE HIGH INCOME FUND	11 603	116	116	116	(0)	(0)
12/31/2013	05/06/2014	FFRHX	FIDELITY FLOATING RATE HIGH INCOME FUND	12 618	126	126	126	(0)	(0)
03/31/2014	05/06/2014	FFRHX	FIDELITY FLOATING RATE HIGH INCOME FUND	13 232	132	132	132	(0)	(0)
01/31/2014	05/06/2014	FFRHX	FIDELITY FLOATING RATE HIGH INCOME FUND	13 491	135	135	134	(0)	(0)
12/09/2013	05/06/2014	FFRHX	FIDELITY FLOATING RATE HIGH INCOME FUND	16 874	168	168	168	0	0
10/11/2013	11/05/2013	FFRHX	FIDELITY FLOATING RATE HIGH INCOME FUND	983 929	9,783	9,783	9,717	(66)	(66)
10/11/2013	05/06/2014	FFRHX	FIDELITY FLOATING RATE HIGH INCOME FUND	4,651 398	46,247	46,247	46,313	66	66
10/02/2013	10/11/2013	CLARX	ING REAL ESTATE FUND CLASS A	1 734	29	29	29	(0)	(0)
10/02/2013	10/11/2013	CLARX	ING REAL ESTATE FUND CLASS A	5 898	101	101	98	(3)	(3)
04/02/2013	10/11/2013	CLARX	ING REAL ESTATE FUND CLASS A	5 898	98	98	98	0	0
07/02/2013	10/11/2013	CLARX	ING REAL ESTATE FUND CLASS A	7 018	118	118	116	(2)	(2)
06/23/2014	08/05/2014	IVV	ISHARES TR CORE S&P 500 ETF	16 00	3,170	3,170	3,096	(74)	(74)
01/29/2013	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	20 883	319	301	315	(4)	14
09/26/2013	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	21 165	326	305	319	(7)	15
06/26/2013	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	22 863	336	329	345	9	16
04/26/2013	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	22 494	340	316	339	(0)	24
02/26/2013	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	23 381	353	337	353	0	16
03/27/2013	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	23 194	353	334	350	(3)	16
07/29/2013	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	24 058	361	346	363	2	17
08/28/2013	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	26 529	390	382	400	10	18
05/29/2013	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	26 205	404	377	395	(9)	18
12/18/2012	10/11/2013	LSBRX	LOOMIS SAYLES BOND FUND RETAIL CLASS	81 258	1,220	1,170	1,226	6	56
10/11/2013	11/05/2013	LBNYX	LORD ABBETT BOND-DEBENTURE FUND CLASS I	16 732	137	137	138	1	1
10/31/2013	11/05/2013	LBNYX	LORD ABBETT BOND-DEBENTURE FUND CLASS I	16 732	138	138	138	(0)	(0)
02/28/2014	07/08/2014	LBNYX	LORD ABBETT BOND-DEBENTURE FUND CLASS I	25 07	208	208	208	1	1
11/29/2013	07/08/2014	LBNYX	LORD ABBETT BOND-DEBENTURE FUND CLASS I	26 143	216	216	217	2	2
05/30/2014	07/08/2014	LBNYX	LORD ABBETT BOND-DEBENTURE FUND CLASS I	32 001	266	266	266	0	0
06/30/2014	07/08/2014	LBNYX	LORD ABBETT BOND-DEBENTURE FUND CLASS I	35 291	294	294	293	(0)	(0)
05/06/2014	08/15/2014	LBNYX	LORD ABBETT BOND-DEBENTURE FUND CLASS I	486 027	4,005	4,005	3,985	(20)	(20)
05/06/2014	07/08/2014	LBNYX	LORD ABBETT BOND-DEBENTURE FUND CLASS I	841 879	6,942	6,942	7,000	57	57
10/11/2013	11/05/2013	LBNYX	LORD ABBETT BOND-DEBENTURE FUND CLASS I	1,180 128	9,609	9,609	9,710	101	101
11/29/2013	07/08/2014	LLDYX	LORD ABBETT SHORT DURATION INCOME FUND CLASS I	12 322	56	56	56	(0)	(0)
06/30/2014	08/15/2014	LLDYX	LORD ABBETT SHORT DURATION INCOME FUND CLASS I	12 322	55	55	56	0	0
06/30/2014	08/15/2014	LLDYX	LORD ABBETT SHORT DURATION INCOME FUND CLASS I	14 916	67	67	68	0	0
10/11/2013	11/05/2013	LLDYX	LORD ABBETT SHORT DURATION INCOME FUND CLASS I	20 674	94	94	94	(0)	(0)
10/31/2013	11/05/2013	LLDYX	LORD ABBETT SHORT DURATION INCOME FUND CLASS I	20 674	94	94	94	(0)	(0)
11/29/2013	07/08/2014	LLDYX	LORD ABBETT SHORT DURATION INCOME FUND CLASS I	20 674	94	94	94	0	0

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06/30/2014	08/15/2014	LLDYX	LORD ABBETT SHORT DURATION INCOME FUND CLASS I	20 674	94	94	94	(0)	(0)
10/11/2013	08/15/2014	LLDYX	LORD ABBETT SHORT DURATION INCOME FUND CLASS I	1,053 41	4,805	4,805	4,768	(37)	(37)
10/11/2013	07/08/2014	LLDYX	LORD ABBETT SHORT DURATION INCOME FUND CLASS I	1,065 905	4,860	4,860	4,835	(25)	(25)
10/11/2013	11/05/2013	LLDYX	LORD ABBETT SHORT DURATION INCOME FUND CLASS I	2,146 836	9,792	9,792	9,796	4	4
12/16/2013	07/08/2014	NWKDX	NATIONWIDE GENEVA SMALL CAP GROWTH FD INSTL SERVICE	3 525	152	152	148	(5)	(5)
12/16/2013	07/08/2014	NWKDX	NATIONWIDE GENEVA SMALL CAP GROWTH FD INSTL SERVICE	21 543	930	930	902	(29)	(29)
06/23/2014	07/08/2014	NWKDX	NATIONWIDE GENEVA SMALL CAP GROWTH FD INSTL SERVICE	348 995	15,485	15,485	14,605	(879)	(879)
10/11/2013	07/08/2014	NWKDX	NATIONWIDE GENEVA SMALL CAP GROWTH FD INSTL SERVICE	775 097	33,324	33,324	32,438	(886)	(886)
06/23/2014	08/15/2014	EIPCX	PARAMETRIC COMMODITY STRATEGY FD INSTITUTIONAL CL	141 753	1,165	1,165	1,085	(80)	(80)
12/11/2013	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	4 509	48	48	49	0	0
12/31/2013	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	5 736	61	61	62	1	1
01/31/2014	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	5 748	62	62	62	0	0
02/28/2014	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	6 268	68	68	68	(0)	(0)
03/31/2014	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	7 365	79	79	80	0	0
11/29/2013	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	7 807	85	85	85	(0)	(0)
04/30/2014	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	7 772	88	88	84	(4)	(4)
12/31/2012	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	11 357	128	128	123	(5)	(5)
01/31/2013	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	11 604	130	131	126	(4)	(5)
12/31/2012	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	13 663	154	154	148	(6)	(6)
06/28/2013	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	15 32	165	172	166	1	(6)
06/28/2013	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	15 372	165	173	167	1	(6)
02/28/2013	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	15 46	174	174	167	(7)	(7)
05/31/2013	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	21 648	240	244	234	(5)	(9)
05/31/2013	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	21 721	240	244	235	(5)	(9)
03/28/2013	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	21 666	244	244	234	(10)	(9)
03/28/2013	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	21 739	245	245	235	(10)	(9)
12/11/2013	05/06/2014	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	23 591	254	254	256	2	2
04/30/2013	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	25 467	289	286	276	(13)	(11)
04/30/2013	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	25 552	290	287	276	(13)	(11)
12/12/2012	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	103 599	1,177	1,165	1,121	(56)	(45)
12/27/2012	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	107 77	1,211	1,212	1,166	(46)	(47)
12/12/2012	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	141 143	1,603	1,588	1,527	(77)	(61)
Various	10/11/2013	PTTRX	PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	5,809 38	65,287	65,343	62,845	(2,442)	(2,498)
12/18/2012	10/11/2013	TASCX	THIRD AVENUE SMALL CAP VALUE FUND	8 568	191	151	236	45	85
12/18/2012	10/11/2013	TASCX	THIRD AVENUE SMALL CAP VALUE FUND	13 677	306	241	377	71	136
12/18/2012	10/11/2013	TASCX	THIRD AVENUE SMALL CAP VALUE FUND	23 69	529	418	653	123	235
07/08/2014	08/05/2014	VNVYX	VAUGHAN NELSON VALUE OPPORTUNITY FUND CLASS Y	31 90	735	735	680	(55)	(55)
06/23/2014	08/05/2014	VNVYX	VAUGHAN NELSON VALUE OPPORTUNITY FUND CLASS Y	319 388	6,805	6,805	6,805	0	0
07/02/2014	08/15/2014	CLARX	VOYA REAL ESTATE FUND CLASS A	6 443	121	121	123	2	2
06/23/2014	08/15/2014	CLARX	VOYA REAL ESTATE FUND CLASS A	88 045	1,655	1,655	1,677	22	22
06/23/2014	08/15/2014	WTIFX	WESTCORE INTERNATIONAL SMALL- CAP FUND	73 314	1,495	1,495	1,500	5	5
			Capital Gains - Short Term		**$ 269,923**	**$ 269,549**	**$ 265,599**	**$ (4,324)**	**$ (3,950)**
			Totals		**$ 648,087**	**$ 734,941**	**$ 806,337**	**$ 158,250**	**$ 71,395**
			Net Gain from sale of assets -Book Basis - Line 6a						**$ 71,395**

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Net Gain from sale of assets - Tax Basis - Line 6b	$ 158,250