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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

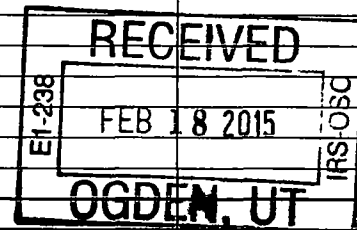
For calendar year 2013 or tax year beginning

10/01, 2013, and ending

09/30, 2014

Name of foundation THE RICHARD HOGAN & CARRON SHERRY FOUNDATION, INC.		A Employer identification number 13-3860420
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) (212) 389-5848
C/O KELLOGG GROUP-48 WALL ST-30TH FL		
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10005		C If exemption application is pending, check here ☐
G Check all that apply:	☐ Initial return ☐ Final return ☒ Address change	☐ Initial return of a former public charity ☐ Amended return ☐ Name change
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation		D 1 Foreign organizations, check here ☐
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		2 Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 3,617,606.	J Accounting method: ☒ Cash ☐ Accrual	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
	☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
(Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	268,792.	ATCH 1		
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	17.	17.		
	4 Dividends and interest from securities	11,288.	11,288.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	272,482.			
	b Gross sales price for all assets on line 6a	2,907,006.			
	7 Capital gain net income (from Part IV, line 2)		485,652.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	552,579.	496,957.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 2	5,000.	2,500.		2,500.
	c Other professional fees (attach schedule)	7,666.	7,666.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 4	397.	7.		390.
	24 Total operating and administrative expenses. Add lines 13 through 23	13,063.	10,173.		2,890.
	25 Contributions, gifts, grants paid	116,268.			116,268.
26 Total expenses and disbursements. Add lines 24 and 25	129,331.	10,173.	0	119,158.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	423,248.				
b Net investment income (if negative, enter -0-)		486,784.			
c Adjusted net income (if negative, enter -0-)					



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		1,054,699.	3,103,356.	3,103,356.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U.S. and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule)		2,122,442.		
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶				
	12	Investments - mortgage loans				
	13	Investments - other (attach schedule) ATCH 5			497,033.	514,250.
	14	Land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		3,177,141.	3,600,389.	3,617,606.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, . . . ▶ ☒ check here and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		3,499,541.	3,768,333.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		-322,400.	-167,944.	
	30	Total net assets or fund balances (see instructions)		3,177,141.	3,600,389.	
31	Total liabilities and net assets/fund balances (see instructions)		3,177,141.	3,600,389.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,177,141.
2	Enter amount from Part I, line 27a	2	423,248.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,600,389.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,600,389.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	485,652.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	185,548.	3,354,302.	0.055316
2011	218,168.	3,380,537.	0.064536
2010	130,900.	3,659,491.	0.035770
2009	192,907.	3,587,777.	0.053768
2008	152,483.	3,262,005.	0.046745
2 Total of line 1, column (d)			2 0.256135
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.051227
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 3,477,349.
5 Multiply line 4 by line 3			5 178,134.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 4,868.
7 Add lines 5 and 6			7 183,002.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 119,158.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	9,736.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	9,736.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	9,736.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	3,033.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,033.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		0
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		6,703.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of RICHARD HOGAN Telephone no 212-389-5848 Located at C/O KELLOGG GROUP-48 WALL ST-30TH FL NEW YORK, NY ZIP+4 10005			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here ☐	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? ☐	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) ☐	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) ☐	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? ☐	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐ **5b****c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 6		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NOT APPLICABLE

2

3

4

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NOT APPLICABLE

2

All other program-related investments. See instructions.

3 NONE

Total. Add lines 1 through 3

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	184,021.
b	Average of monthly cash balances	1b	2,643,697.
c	Fair market value of all other assets (see instructions)	1c	702,586.
d	Total (add lines 1a, b, and c)	1d	3,530,304.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,530,304.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	52,955.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	3,477,349.
6	Minimum investment return. Enter 5% of line 5	6	173,867.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	173,867.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	9,736.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	9,736.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	164,131.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	164,131.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	164,131.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	119,158.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	119,158.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	119,158.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Page **9****Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				164,131.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			85,352.	
b Total for prior years 20 <u>11</u> , 20 <u>10</u> , 20 <u>09</u>				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>119,158.</u>				
a Applied to 2012, but not more than line 2a			85,352.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				33,806.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				130,325.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Form **990-PF** (2013)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(1)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

C Qualifying distributions from Part XII, line 4 for each year listed .

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(1) Value of all assets

(2) Value of assets qualifying
under section
4942(f)(3)(B)(i)

b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

C "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).

(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (1)(3)(B)(iii)

(3) Largest amount of support from an exempt organization. . . .

(4) Gross investment income .

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

RICHARD HOGAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ATCH 7

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ATCH 8				
Total			3a	116,268.
b Approved for future payment				
NONE				
Total			3b	0

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

[illegible]

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- | | | Yes | No |
|----------|--|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here   

Signature of preparer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	JEFF REYNOLDS, CPA	<i>Jeff Reynolds CPA</i>	2/6/2015		P01473337
	Firm's name ▶ JEFF REYNOLDS, CPA	Firm's EIN ▶ 86-1082790			
	Firm's address ▶ KELLOGG GROUP 48 WALL ST.-30TH FL. NEW YORK, NY 10005	Phone no 212-389-5848			

Schedule of Contributors

OMB No 1545-0047

2013

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

Name of the organization

THE RICHARD HOGAN & CARRON SHERRY
FOUNDATION, INC.

Employer identification number

13-3860420

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE RICHARD HOGAN & CARRON SHERRY
FOUNDATION, INC.

Employer identification number
13-3860420

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RICHARD HOGAN-3,187 SHS EMERGE ENERGY C/O KELLOGG GROUP-48 WALL ST-30TH FL NEW YORK, NY 10005	\$ 268,792.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer Identification number	13-3860420
---------------------------------------	------------

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	3,187 SHARES OF EMERGE ENERGY SERVICES	\$ 268,792.	05/08/2014
- - - -	- - - -	\$ -	-
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
- - - -	- - - -	\$ -	-
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
- - - -	- - - -	\$ -	-
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
- - - -	- - - -	\$ -	-
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
- - - -	- - - -	\$ -	-
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
- - - -	- - - -	\$ -	-
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
- - - -	- - - -	\$ -	-

Name of organization THE RICHARD HOGAN & CARRON SHERRY
FOUNDATION, INC.

Employer identification number
13-3860420

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.

For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

THE RICHARD HOGAN & CARRON SHERRY FOUNDATION, INC.
CHARITABLE CONTRIBUTIONS-CASH
EIN:13-3860420

OCTOBER 1, 2013 THROUGH SEPTEMBER 30, 2014

DATE	CHARITABLE ORGANIZATION	AMOUNT
10/09/13	U.S. SKI AND SNOWBOARD TEAM	10,000.00
10/16/13	THE EPISCOPAL SCHOOL	700.00
10/28/13	NIGHTINGALE BAMFORD SCHOOL	800.00
11/16/13	NEW YORK PUBLIC THEATRE	2,500.00
01/16/14	SBT CHARITABLE FUND	4,000.00
01/18/14	WINDHAM SKI TA	3,000.00
02/13/14	SBT CHARITABLE FUND	250.00
03/19/14	PECONIC LAND TRUST	2,000.00
03/30/14	HAMPTON VOLUNTEER FIRE DEPARTMENT	100.00
04/04/14	VILLANOVA UNIVERSITY ENDOWMENT	5,000.00
04/14/14	GIRL SCOUTS OF GREATER NEW YORK	250.00
04/16/14	MYSTIC SEAPORT MUSEUM	375.00
04/18/14	PECONIC LAND TRUST	2,492.25
04/22/14	GIRL SCOUTS OF GREATER NEW YORK	750.00
04/25/14	AGRICULTURE STEWARDSHIP ASSOCIATION	6,500.00
04/29/14	SYLVESTOR MANOR EDUCATIONAL FARM	2,000.00
04/29/14	THE FAMILY INTERVENTION TEAM FOUNDATION	500.00
04/29/14	NIGHTINGALE BAMFORD SCHOOL	141.00
05/15/14	PECONIC LAND TRUST	506.25
06/03/14	SOUTHAMPTON FRESHAIR FUND	900.00
06/04/14	AMERICAN FRIENDS OF HOPE, INC.	200.00
06/10/14	PECONIC LAND TRUST	705.00
06/19/14	AGRICULTURE STEWARDSHIP ASSOCIATION	100.00
07/01/14	STONY BROOK MARINE SCIENCE CENTER	500.00
07/07/14	GARY KLINSKY COMMUNITY CENTER	10,000.00
07/07/14	SBT CHARITABLE FUND	3,500.00
07/07/14	ROBINHOOD FOUNDATION	5,000.00
07/08/14	PECONIC LAND TRUST	15,000.00
07/23/14	PECONIC LAND TRUST	3,887.50
07/31/14	SYLVESTOR MANOR EDUCATIONAL FARM	100.00
08/20/14	PECONIC LAND TRUST	6,914.25
09/07/14	U.S. SKI AND SNOWBOARD TEAM	10,000.00
09/15/14	PECONIC LAND TRUST	7,596.75
09/25/14	BRAZELTON FOUNDATION	5,000.00
09/25/14	CATALOG FOR GIVING	2,500.00
09/25/14	THE EPISCOPAL SCHOOL	2,500.00
	Total Charitable Contributions-Cash	<u>116,268.00</u>

THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE ORGANIZATION IN CARRYING OUT ITS EXEMPT FUNCTION.

Name(s) shown on return. (Name and SSN or taxpayer identification no. not required if shown on other side.)

THE RICHARD HOGAN & CARRON SHERRY

Social security number or taxpayer identification number

13-3860420

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	3,187 SHS EMERGE ENERG SERVICES	VARIOUS	05/09/2014	270,646.	55,622.			215,024.
	SEE ATTACHED SCHEDULE #2	VARIOUS	VARIOUS	1,209,463.	1,064,427.			145,036.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				1,480,109.	1120049.			360,060.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See **Column (g)** in the separate instructions for how to figure the amount of the adjustment.

THE RICHARD HOGAN & CARRON SHERRY FOUNDATION INC.									
EIN:13-3860420									
FYE 9/30/14									
REALIZED CAPITAL GAIN/LOSS									
CHARLES SCHWAB ACCOUNT 8156-8153									
SECURITY	ACQUIRED	SOLD	Quantity	Proceeds	Cost Basis	Gain/Loss			
APOLLO EDUCATION CL A	8/28/2013	12/4/2013	208	5,452.21	3,868.78	1,583.43			
APOLLO EDUCATION CL A	5/24/2013	12/4/2013	318	8,335.58	6,680.73	1,654.85			
ARROW ELECTRONICS INC	10/23/2013	12/4/2013	151	7,704.60	7,367.85	336.75			
ASBURY AUTOMOTIVE GROUP	5/3/2013	12/4/2013	62	3,186.19	2,560.10	626.09			
ASBURY AUTOMOTIVE GROUP	5/24/2013	12/4/2013	32	1,644.49	1,332.94	311.55			
ASBURY AUTOMOTIVE GROUP	5/1/2013	12/4/2013	34	1,747.27	1,344.38	402.89			
ASBURY AUTOMOTIVE GROUP	5/28/2013	12/4/2013	26	1,336.14	1,114.56	221.58			
ASBURY AUTOMOTIVE GROUP	5/2/2013	12/4/2013	15	770.85	617.56	153.29			
ASSURANT INC	6/21/2013	12/4/2013	31	1,994.09	1,567.47	426.62			
ASSURANT INC	11/21/2013	12/4/2013	40	2,573.02	2,525.83	47.19			
ASSURANT INC	11/20/2013	12/4/2013	32	2,058.41	1,997.52	60.89			
ASSURANT INC	6/24/2013	12/4/2013	94	6,046.59	4,672.04	1,374.55			
AUTONATION INC	10/23/2013	12/4/2013	120	6,005.71	5,838.00	167.71			
AUTOZONE INC	5/1/2013	12/4/2013	14	6,369.67	5,777.25	592.42			
AUTOZONE INC	5/24/2013	12/4/2013	1	454.98	429.94	25.04			
AVNET INC	10/23/2013	12/4/2013	176	6,952.43	7,294.40	(341.97)			
BENCHMARK ELECTRONICS	7/25/2013	12/4/2013	62	1,379.96	1,372.91	7.05			
BENCHMARK ELECTRONICS	7/26/2013	12/4/2013	97	2,158.96	2,163.80	(4.84)			
BOEING CO	8/28/2013	12/4/2013	51	6,682.13	5,292.07	1,390.06			
BRIDGEPOINT EDUCATION	8/29/2013	12/4/2013	77	1,408.26	1,297.76	110.50			
BRIDGEPOINT EDUCATION	8/28/2013	12/4/2013	43	786.43	717.94	68.49			
BRIGHT HORIZON FAM SOLUT	5/29/2013	10/24/2013	35	1,261.80	1,236.50	25.30			
BRIGHT HORIZON FAM SOLUT	5/28/2013	10/23/2013	8	289.23	296.49	(7.26)			
BRIGHT HORIZON FAM SOLUT	5/29/2013	10/23/2013	41	1,482.33	1,448.48	33.85			
BRIGHT HORIZON FAM SOLUT	5/24/2013	10/23/2013	13	470.00	464.07	5.93			
C S X CORP	2/27/2013	10/23/2013	7	183.08	174.78	8.30			
C S X CORP	1/11/2012	10/23/2013	35	915.39	835.41	79.98			
C S X CORP	12/21/2011	10/23/2013	226	5,910.82	4,738.72	1,172.10			
C S X CORP	5/24/2013	10/23/2013	17	444.62	447.59	(2.97)			
C V S CAREMARK CORP	2/26/2013	12/4/2013	112	7,392.68	5,679.61	1,713.07			
C V S CAREMARK CORP	2/27/2013	12/4/2013	7	462.04	371.80	90.24			
C V S CAREMARK CORP	3/27/2013	12/4/2013	55	3,630.33	3,039.46	590.87			
C V S CAREMARK CORP	5/24/2013	12/4/2013	23	1,518.14	1,372.14	146.00			
C V S CAREMARK CORP	7/25/2012	12/4/2013	150	9,900.91	6,700.46	3,200.45			

THE RICHARD HOGAN & CARRON SHERRY FOUNDATION INC.									
EIN:13-3860420									
FYE 9/30/14									
REALIZED CAPITAL GAIN/LOSS									
CHARLES SCHWAB ACCOUNT 8156-8153									
SECURITY	ACQUIRED	SOLD	Quantity	Proceeds	Cost Basis	Gain/Loss			
CANADIAN PAC RAILWAY F	7/26/2012	12/4/2013	32	4,858.32	2,576.77	2,281.55			
CANADIAN PAC RAILWAY F	7/27/2012	12/4/2013	31	4,706.50	2,560.37	2,146.13			
CANADIAN PAC RAILWAY F	2/27/2013	12/4/2013	1	151.82	136.41	15.41			
CANADIAN PAC RAILWAY F	5/24/2013	12/4/2013	6	910.93	808.24	102.69			
CAPELLA EDUCATION CO	5/28/2013	12/4/2013	44	2,838.97	1,986.32	852.65			
CAPELLA EDUCATION CO	5/29/2013	12/4/2013	25	1,613.06	1,114.08	498.98			
CAPELLA EDUCATION CO	5/24/2013	12/4/2013	7	451.65	322.17	129.48			
CAPITAL ONE FINANCIAL CP	9/25/2013	12/4/2013	40	2,881.82	2,788.64	93.18			
CAPITAL ONE FINANCIAL CP	3/27/2013	12/4/2013	28	2,017.28	1,547.97	469.31			
CAPITAL ONE FINANCIAL CP	4/25/2012	12/4/2013	131	9,437.98	7,188.72	2,249.26			
CAPITAL ONE FINANCIAL CP	2/27/2013	12/4/2013	4	288.18	221.04	67.14			
CAPITAL ONE FINANCIAL CP	5/24/2013	12/4/2013	11	792.50	685.67	106.83			
CAPITAL ONE FINANCIAL CP	12/13/2011	12/4/2013	5	360.23	227.40	132.83			
CAPITAL ONE FINANCIAL CP	1/11/2012	12/4/2013	10	720.46	492.39	228.07			
CARDINAL HEALTH INC	7/25/2013	12/4/2013	100	6,320.60	4,976.99	1,343.61			
CARDINAL HEALTH INC	7/25/2013	12/4/2013	4	252.83	199.08	53.75			
CARDINAL HEALTH INC	7/25/2013	12/4/2013	100	6,320.61	4,976.99	1,343.62			
CARMAX INC	5/1/2013	12/4/2013	46	2,320.65	2,096.55	224.10			
CARMAX INC	5/1/2013	12/4/2013	49	2,471.99	2,233.29	238.70			
CARMAX INC	5/1/2013	12/4/2013	51	2,572.89	2,403.64	169.25			
CBOE HOLDINGS INC	5/24/2013	12/4/2013	12	603.91	501.40	102.51			
CBOE HOLDINGS INC	2/26/2013	12/4/2013	152	7,649.58	5,431.03	2,218.55			
CBOE HOLDINGS INC	2/27/2013	12/4/2013	5	251.63	195.06	56.57			
CIGNA CORP	6/21/2013	12/4/2013	68	5,820.05	4,837.79	982.26			
COGNIZANT TECH SOL CL A	5/24/2013	12/4/2013	3	278.90	206.17	72.73			
COGNIZANT TECH SOL CL A	8/29/2012	12/4/2013	39	3,625.68	2,531.74	1,093.94			
COMPUTER SCIENCES CORP	6/21/2013	12/4/2013	109	5,814.38	4,825.32	989.06			
CONVERGYS CORP	12/22/2011	12/4/2013	93	1,878.82	1,201.62	677.20			
CONVERGYS CORP	12/23/2011	12/4/2013	36	727.28	480.93	246.35			
CONVERGYS CORP	2/27/2013	12/4/2013	4	80.81	81.62	(0.81)			
CONVERGYS CORP	1/11/2012	12/4/2013	13	262.63	181.13	81.50			
COPA HOLDINGS SA F	11/28/2012	12/4/2013	52	7,779.47	4,868.04	2,911.43			
COPA HOLDINGS SA F	2/27/2013	12/4/2013	2	299.21	226.49	72.72			
COPA HOLDINGS SA F	5/24/2013	12/4/2013	4	598.42	547.78	50.64			

THE RICHARD HOGAN & CARRON SHERRY FOUNDATION INC.									
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SECURITY	ACQUIRED	SOLD	Quantity	Proceeds	Cost Basis	Gain/Loss			
EZCORP INC CL A NON VTG	2/27/2013	12/4/2013	2	22.23	56.95	(34.72)			
FISERV INC WITH STOCK SP LIT SHARES	12/13/2011	12/4/2013	24	2,601.77	1,403.68	1,198.09			
FISERV INC WITH STOCK SP LIT SHARES	1/11/2012	12/4/2013	5	542.03	312.68	229.35			
FISERV INC WITH STOCK SP LIT SHARES	5/24/2013	12/4/2013	3	325.22	279.30	45.92			
FISERV INC WITH STOCK SP LIT SHARES	2/27/2013	12/4/2013	1	108.41	96.36	12.05			
FISERV INC WITH STOCK SP LIT SHARES	10/27/2011	12/4/2013	19	2,059.73	1,153.50	906.23			
FLEETCOR TECHNOLOGIES	6/21/2013	12/4/2013	39	4,731.85	3,275.58	1,456.27			
FLEXTRONICS INTL LTD F	7/25/2013	12/4/2013	624	4,571.75	5,111.89	(540.14)			
GARTNER INC	5/24/2013	12/4/2013	4	256.74	240.76	15.98			
GARTNER INC	2/27/2013	12/4/2013	1	64.19	64.42	(0.23)			
GARTNER INC	8/30/2012	12/4/2013	50	3,209.31	2,487.46	721.85			
GENWORTH FINANCIAL INC	11/21/2013	12/4/2013	230	3,508.19	3,512.49	(4.30)			
GENWORTH FINANCIAL INC	11/20/2013	12/4/2013	116	1,769.35	1,747.43	21.92			
GENWORTH FINANCIAL INC	6/21/2013	12/4/2013	574	8,755.23	6,259.20	2,496.03			
GLOBAL PAYMENTS INC	5/24/2013	12/4/2013	4	248.09	203.17	44.92			
GLOBAL PAYMENTS INC	2/27/2013	12/4/2013	1	62.03	63.88	(1.85)			
GLOBAL PAYMENTS INC	10/27/2011	12/4/2013	24	1,488.62	1,126.43	362.19			
GLOBAL PAYMENTS INC	12/13/2011	12/4/2013	24	1,488.62	1,081.20	407.42			
GLOBAL PAYMENTS INC	1/11/2012	12/4/2013	5	310.13	256.31	53.82			
HARTFORD FINL SVCS GRP	6/21/2013	12/4/2013	321	11,364.84	9,482.06	1,882.78			
HCA HOLDINGS INC	2/27/2013	11/21/2013	4	176.59	161.61	14.98			
HCA HOLDINGS INC	12/19/2012	11/21/2013	82	3,620.13	2,611.90	1,008.23			
HCA HOLDINGS INC	5/24/2013	11/21/2013	12	529.78	466.27	63.51			
HCA HOLDINGS INC	12/19/2012	11/20/2013	81	3,572.84	2,580.04	992.80			
HEALTHSOUTH CORP NEW	12/19/2012	11/21/2013	32	1,090.25	700.81	389.44			
HEALTHSOUTH CORP NEW	2/27/2013	11/21/2013	3	102.21	87.44	14.77			
HEALTHSOUTH CORP NEW	5/24/2013	11/21/2013	12	408.84	377.05	31.79			
HEALTHSOUTH CORP NEW	12/20/2012	11/21/2013	26	885.83	587.83	298.00			
HEALTHSOUTH CORP NEW	12/19/2012	11/20/2013	59	2,008.33	1,292.13	716.20			
HENRY JACK & ASSOC INC	1/11/2012	12/4/2013	7	394.85	244.90	149.95			
HENRY JACK & ASSOC INC	5/24/2013	12/4/2013	5	282.03	247.85	34.18			
HENRY JACK & ASSOC INC	2/27/2013	12/4/2013	1	56.41	58.93	(2.52)			
HENRY JACK & ASSOC INC	12/13/2011	12/4/2013	33	1,861.42	1,104.36	757.06			
HENRY JACK & ASSOC INC	10/28/2011	12/4/2013	33	1,861.42	1,107.20	754.22			

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SECURITY	ACQUIRED	SOLD	Quantity	Proceeds	Cost Basis	Gain/Loss			
HUMANA INC	5/24/2013	12/4/2013	4	411.43	331.21	80.22			
HUMANA INC	1/11/2012	12/4/2013	12	1,234.29	1,137.36	96.93			
HUMANA INC	2/27/2013	12/4/2013	2	205.72	152.82	52.90			
HUMANA INC	12/13/2011	12/4/2013	50	5,142.88	4,356.13	786.75			
I T T EDUC SVCS INC	8/28/2013	12/4/2013	16	592.15	470.89	121.26			
I T T EDUC SVCS INC	8/28/2013	12/4/2013	2	74.02	58.86	15.16			
I T T EDUC SVCS INC	10/23/2013	12/4/2013	100	3,702.19	3,591.88	110.31			
I T T EDUC SVCS INC	8/29/2013	12/4/2013	98	3,626.88	2,906.09	720.79			
INGRAM MICRO INC CL A	10/24/2013	12/4/2013	99	2,276.29	2,339.18	(62.89)			
INGRAM MICRO INC CL A	10/23/2013	12/4/2013	132	3,035.06	3,145.51	(110.45)			
INSIGHT ENTERPRISES INC	10/24/2013	12/4/2013	132	3,228.57	2,820.37	408.20			
INSIGHT ENTERPRISES INC	10/23/2013	12/4/2013	41	1,002.81	879.17	123.64			
INTERACTIVE BROKERS CL A	2/26/2013	12/4/2013	90	2,204.22	1,331.37	872.85			
INTERACTIVE BROKERS CL A	5/24/2013	12/4/2013	26	636.78	420.52	216.26			
INTERACTIVE BROKERS CL A	2/27/2013	12/4/2013	190	4,653.35	2,805.57	1,847.78			
INTERPUBLIC GROUP OF COS	5/24/2013	10/24/2013	75	1,170.99	1,091.65	79.34			
INTERPUBLIC GROUP OF COS	2/27/2013	10/24/2013	25	390.33	331.07	59.26			
INTERPUBLIC GROUP OF COS	2/26/2013	10/24/2013	344	5,370.97	4,291.85	1,079.12			
INTERPUBLIC GROUP OF COS	12/19/2012	10/24/2013	17	265.43	192.72	72.71			
INTERPUBLIC GROUP OF COS	12/19/2012	10/23/2013	535	8,209.67	6,065.00	2,144.67			
INTL BUSINESS MACHINES	2/27/2013	12/4/2013	1	175.27	216.21	(40.94)			
INTL BUSINESS MACHINES	5/24/2013	12/4/2013	2	350.54	426.75	(76.21)			
INTL BUSINESS MACHINES	8/29/2012	12/4/2013	38	6,660.28	7,437.74	(777.46)			
ISHARES IBOX INVESTMENT GRADE CORPORATE BC	6/22/2010	12/4/2013	18	2,042.31	1,929.25	113.06			
ISHARES IBOX INVESTMENT GRADE CORPORATE BC	6/22/2010	12/4/2013	100	11,346.87	10,718.05	628.82			
ISHARES IBOX INVESTMENT GRADE CORPORATE BC	11/8/2012	12/4/2013	104	11,800.02	12,690.26	(890.24)			
ISHARES MSCI ALL COUNTRY ASIA EX JAPAN ETF	12/11/2012	12/4/2013	15	900.19	902.01	(1.82)			
ISHARES MSCI ALL COUNTRY ASIA EX JAPAN ETF	8/21/2012	12/4/2013	85	5,101.09	4,632.22	468.87			
ISHARES MSCI ALL COUNTRY ASIA EX JAPAN ETF	8/21/2012	12/4/2013	100	6,001.28	5,449.68	551.60			
ISHARES MSCI ALL COUNTRY ASIA EX JAPAN ETF	2/27/2013	12/4/2013	33	1,980.10	1,987.65	(7.55)			
ISHARES MSCI ALL COUNTRY ASIA EX JAPAN ETF	12/11/2012	12/4/2013	24	1,440.07	1,443.21	(3.14)			
ISHARES MSCI ALL COUNTRY ASIA EX JAPAN ETF	8/21/2012	12/4/2013	100	6,001.28	5,449.68	551.60			
ISHARES MSCI ALL COUNTRY ASIA EX JAPAN ETF	2/27/2013	12/4/2013	76	4,560.21	4,577.63	(17.42)			
ISHARES MSCI ALL COUNTRY ASIA EX JAPAN ETF	2/27/2013	12/4/2013	121	7,259.14	7,288.06	(28.92)			

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SECURITY	ACQUIRED	SOLD	Quantity	Proceeds	Cost Basis	Gain/Loss			
ISHARES MSCI S F ETF SOUTH AFRICA	8/21/2012	12/4/2013	38	2,279.22	2,539.42	(260.20)			
ISHARES MSCI S F ETF SOUTH AFRICA	2/27/2013	12/4/2013	28	1,679.42	1,850.03	(170.61)			
ISHARES MSCI S F ETF SOUTH AFRICA	8/21/2012	12/4/2013	3	180.17	200.48	(20.31)			
ISHARES TR BOND 3-7 YEAR TREAS BOND ETF	6/11/2013	12/4/2013	53	6,423.39	6,478.90	(55.51)			
ISHARES TR BOND 3-7 YEAR TREAS BOND ETF	5/15/2013	12/4/2013	90	10,907.65	11,109.54	(201.89)			
ISHARES TR BOND 3-7 YEAR TREAS BOND ETF	12/4/2012	12/4/2013	35	4,241.86	4,339.85	(97.99)			
ISHARES TR BOND 3-7 YEAR TREAS BOND ETF	7/9/2013	12/4/2013	72	8,726.12	8,674.12	52.00			
ISHARES TR BOND INTERMEDIATE CREDIT BOND ETF	12/12/2011	12/4/2013	99	10,695.42	10,528.59	166.83			
ISHARES TR BOND INTERMEDIATE CREDIT BOND ETF	4/11/2012	12/4/2013	116	12,532.00	12,645.75	(113.75)			
ISHARES TR LATIN AMER LATIN AMERICA 40 ETF	2/27/2013	12/4/2013	81	2,950.12	3,513.46	(563.34)			
ISHARES TR LATIN AMER LATIN AMERICA 40 ETF	8/21/2012	12/4/2013	113	4,115.60	4,896.78	(781.18)			
ISHARES TR TIPS BOND ETF	1/8/2013	11/20/2013	45	4,997.55	5,456.75	(459.20)			
ISHARES TREASURY ETF INTERNATIONAL TREASURY	8/8/2013	12/4/2013	132	13,199.86	13,224.98	(25.12)			
ISHARES TREASURY ETF INTERNATIONAL TREASURY	8/9/2013	12/4/2013	90	8,999.90	9,034.42	(34.52)			
ISHARES TRUST ETF JP MORGAN USD EMERGING MA	3/14/2013	12/4/2013	27	2,880.28	2,983.33	(103.05)			
ISHARES TRUST ETF JP MORGAN USD EMERGING MA	9/2/2012	12/4/2013	1	106.68	110.49	(3.81)			
ISHARES TRUST ETF JP MORGAN USD EMERGING MA	9/19/2013	12/4/2013	24	2,560.24	2,651.85	(91.61)			
ISHARES TRUST MBS ETF	8/3/2012	12/4/2013	4	419.11	434.60	(15.49)			
ISHARES TRUST MBS ETF	1/8/2013	12/4/2013	96	10,058.69	10,430.33	(371.64)			
ISHARES TRUST MBS ETF	8/3/2012	12/4/2013	7	733.49	760.54	(27.05)			
ISHARES TRUST MBS ETF	7/10/2012	12/4/2013	93	9,744.91	10,104.38	(359.47)			
ISHARES TRUST MBS ETF	8/3/2012	12/4/2013	100	10,477.50	10,864.93	(387.43)			
ISHARES TRUST MBS ETF	8/3/2012	12/4/2013	70	7,334.25	7,605.45	(271.20)			
JABIL CIRCUIT INC	7/25/2013	12/4/2013	152	3,031.31	3,436.58	(405.27)			
JABIL CIRCUIT INC	7/26/2013	12/4/2013	148	2,951.54	3,346.15	(394.61)			
L-3 COMMUNICATIONS HLDGS	8/28/2013	12/4/2013	43	4,337.67	3,935.08	402.59			
LEXMARK INTL INC CL A CLASS A	9/26/2013	12/4/2013	170	6,100.30	5,764.25	336.05			
LEXMARK INTL INC CL A CLASS A	9/25/2013	12/4/2013	58	2,081.28	1,971.11	110.17			
LITHIA MOTORS INC CL A	5/1/2013	12/4/2013	51	3,392.04	2,457.19	934.85			
LITHIA MOTORS INC CL A	5/24/2013	12/4/2013	12	798.31	643.08	155.23			
LITHIA MOTORS INC CL A	5/1/2013	12/4/2013	2	133.05	96.36	36.69			
LITHIA MOTORS INC CL A	5/2/2013	12/4/2013	37	2,461.44	1,848.17	613.27			
LITHIA MOTORS INC CL A	5/28/2013	12/4/2013	49	3,259.75	2,628.59	631.16			
LOCKHEED MARTIN CORP	8/28/2013	12/4/2013	48	6,522.78	5,948.92	573.86			

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SECURITY	ACQUIRED	SOLD	Quantity	Proceeds	Cost Basis	Gain/Loss			
MAGELLAN HEALTH SVCS NEW	11/28/2012	12/4/2013	23	1,388.70	1,158.60	230.10			
MAGELLAN HEALTH SVCS NEW	11/29/2012	12/4/2013	51	3,079.30	2,666.94	412.36			
MAGELLAN HEALTH SVCS NEW	2/27/2013	12/4/2013	2	120.76	117.84	2.92			
MAGELLAN HEALTH SVCS NEW	5/24/2013	12/4/2013	5	301.89	283.86	18.03			
MARKET VECTORS ETF TRUST RUSSIA ETF	8/21/2012	12/4/2013	29	798.92	843.93	(45.01)			
MARKET VECTORS ETF TRUST RUSSIA ETF	9/17/2012	12/4/2013	29	798.92	843.93	(45.01)			
MARKET VECTORS ETF TRUST RUSSIA ETF	2/27/2013	12/4/2013	41	1,129.52	1,193.13	(63.61)			
MASTERCARD INC	12/13/2011	12/4/2013	4	2,986.25	1,494.64	1,491.61			
MASTERCARD INC	5/24/2013	12/4/2013	2	1,493.12	1,155.80	337.32			
MASTERCARD INC	4/25/2012	12/4/2013	7	5,225.93	3,117.56	2,108.37			
MASTERCARD INC	1/11/2012	12/4/2013	1	746.56	355.51	391.05			
MASTERCARD INC	9/25/2013	12/4/2013	3	2,239.69	2,035.95	203.74			
MCKESSON CORPORATION	11/21/2013	12/4/2013	13	2,113.29	2,101.16	12.13			
MCKESSON CORPORATION	11/20/2013	12/4/2013	11	1,788.18	1,759.42	28.76			
MCKESSON CORPORATION	8/28/2013	12/4/2013	10	1,625.62	1,229.94	395.68			
MCKESSON CORPORATION	7/25/2013	12/4/2013	86	13,980.30	10,182.58	3,797.72			
MOLINA HEALTHCARE INC	9/25/2013	12/4/2013	99	3,325.31	3,520.28	(194.97)			
MOODYS CORP	2/27/2013	12/4/2013	5	362.70	255.92	106.78			
MOODYS CORP	2/26/2013	12/4/2013	90	6,528.12	4,266.67	2,261.45			
MOODYS CORP	5/24/2013	12/4/2013	14	1,015.57	955.39	60.18			
MOODYS CORP	2/26/2013	12/4/2013	81	5,875.79	3,840.01	2,035.78			
MOOG INCORPORATED CL A	8/29/2013	12/4/2013	19	1,266.22	999.57	266.65			
MOOG INCORPORATED CL A	8/28/2013	12/4/2013	19	1,266.23	998.13	268.10			
NEW ORIENTAL ED ADR F SP ONSDRED ADR 1 ADR R	5/24/2013	12/4/2013	140	4,193.59	2,856.13	1,337.46			
NEW ORIENTAL ED ADR F SP ONSDRED ADR 1 ADR R	5/29/2013	12/4/2013	75	2,246.56	1,652.93	593.63			
NEW ORIENTAL ED ADR F SP ONSDRED ADR 1 ADR R	5/28/2013	12/4/2013	113	3,384.83	2,417.87	966.96			
NORFOLK SOUTHERN CORP	1/11/2012	10/23/2013	3	255.29	237.37	17.92			
NORFOLK SOUTHERN CORP	2/27/2013	10/23/2013	3	255.29	233.26	22.03			
NORFOLK SOUTHERN CORP	5/24/2013	10/23/2013	6	510.57	479.19	31.38			
NORFOLK SOUTHERN CORP	9/25/2012	10/23/2013	113	9,615.80	7,404.47	2,211.33			
NORTHROP GRUMMAN CORP	8/28/2013	12/4/2013	63	6,924.14	5,892.64	1,031.50			
O REILLY AUTOMOTIVE NEW	5/1/2013	12/4/2013	55	6,639.45	5,949.26	690.19			
O REILLY AUTOMOTIVE NEW	5/24/2013	12/4/2013	34	4,104.39	3,784.15	320.24			
PATTERSON COMPANIES	11/20/2013	12/4/2013	40	1,629.90	1,724.12	(94.22)			

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PATTERSON COMPANIES	11/21/2013	12/4/2013	48	1,955.89	2,023.79	(67.90)			
PENSKE AUTOMOTIVE GROUP	5/1/2013	12/4/2013	30	1,333.70	919.57	414.13			
PENSKE AUTOMOTIVE GROUP	5/24/2013	12/4/2013	14	622.39	464.41	157.98			
PENSKE AUTOMOTIVE GROUP	5/2/2013	12/4/2013	21	933.59	656.45	277.14			
PENSKE AUTOMOTIVE GROUP	5/3/2013	12/4/2013	93	4,134.46	2,978.53	1,155.93			
PHARMERICA CORPORATION	8/28/2013	12/4/2013	54	1,099.28	666.39	432.89			
PHARMERICA CORPORATION	8/29/2013	12/4/2013	110	2,239.28	1,361.00	878.28			
PORTFOLIO RECOVERY ASSOC	5/24/2013	12/4/2013	6	320.28	259.35	60.93			
PORTFOLIO RECOVERY ASSOC	3/27/2013	12/4/2013	21	1,120.97	907.72	213.25			
PORTFOLIO RECOVERY ASSOC	3/27/2013	12/4/2013	3	160.14	129.67	30.47			
PORTFOLIO RECOVERY ASSOC	3/28/2013	12/4/2013	45	2,402.09	1,945.12	456.97			
POWERSHARES ETF SENIOR LOAN PORTFOLIO	10/5/2012	12/4/2013	230	5,708.73	5,760.78	(52.05)			
POWERSHARES EXCH TRAD FD BUILD AMERICA BON	11/20/2013	12/4/2013	171	4,674.41	4,699.48	(25.07)			
POWERSHARES EXCH TRAD FD BUILD AMERICA BON	1/8/2013	12/4/2013	151	4,127.70	4,560.69	(432.99)			
PROSHARES SHORT DOW 30	5/14/2013	12/4/2013	130	3,554.68	3,809.43	(254.75)			
PROSHARES SHORT DOW 30	6/21/2013	12/4/2013	1,018	27,835.92	30,449.94	(2,614.02)			
PROSHS SHORT RUSSELL2000 SHORT RUSSELL 2000	10/31/2013	12/4/2013	1,300	22,888.52	23,314.12	(425.60)			
PROSHS SHORT RUSSELL2000 SHORT RUSSELL 2000	VARIOUS	12/4/2013	287	5,053.08	6,044.97	(991.89)			
PROSHS SHORT RUSSELL2000 SHORT RUSSELL 2000	VARIOUS	11/22/2013	1,354	23,679.31	28,518.79	(4,839.48)			
QLOGIC CORP	10/23/2013	12/4/2013	322	3,739.38	3,630.51	108.87			
RAYTHEON COMPANY NEW	8/28/2013	12/4/2013	69	5,899.49	5,246.08	653.41			
RYANAIR HLDGS PLC ADR F SPONSORED ADR 1 ADR	2/27/2013	12/4/2013	5	230.51	209.42	21.09			
RYANAIR HLDGS PLC ADR F SPONSORED ADR 1 ADR	5/24/2013	12/4/2013	14	645.44	687.08	(41.64)			
RYANAIR HLDGS PLC ADR F SPONSORED ADR 1 ADR	12/20/2012	12/4/2013	78	3,596.03	2,824.15	771.88			
RYANAIR HLDGS PLC ADR F SPONSORED ADR 1 ADR	12/19/2012	12/4/2013	3	138.31	107.62	30.69			
RYANAIR HLDGS PLC ADR F SPONSORED ADR 1 ADR	11/29/2012	12/4/2013	5	230.51	175.76	54.75			
RYANAIR HLDGS PLC ADR F SPONSORED ADR 1 ADR	12/19/2012	12/4/2013	30	1,383.09	1,076.19	306.90			
SANDISK CORP	5/24/2013	12/4/2013	115	7,730.65	6,649.53	1,081.12			
SANMINA CORPORATION	7/26/2013	12/4/2013	142	2,106.95	2,342.56	(235.61)			
SANMINA CORPORATION	7/25/2013	12/4/2013	71	1,053.48	1,169.61	(116.13)			
SAPIENT CORPORATION	2/27/2013	12/4/2013	6	91.43	82.50	8.93			
SAPIENT CORPORATION	8/30/2012	12/4/2013	22	335.24	221.30	113.94			
SAPIENT CORPORATION	8/31/2012	12/4/2013	78	1,188.59	790.88	397.71			
SAPIENT CORPORATION	8/31/2012	12/4/2013	76	1,158.12	770.61	387.51			

THE RICHARD HOGAN & CARRON SHERRY FOUNDATION INC.									
EIN:13-3860420									
FYE 9/30/14									
REALIZED CAPITAL GAIN/LOSS									
CHARLES SCHWAB ACCOUNT 8156-8153									
SECURITY	ACQUIRED	SOLD	Quantity	Proceeds	Cost Basis	Gain/Loss			
SAPIENT CORPORATION	8/31/2012	12/4/2013	76	1,158.10	770.60	387.50			
SAPIENT CORPORATION	5/24/2013	12/4/2013	18	274.29	225.95	48.34			
SCHEIN HENRY INC	11/21/2013	12/4/2013	18	2,040.97	2,073.93	(32.96)			
SCHEIN HENRY INC	8/28/2013	12/4/2013	32	3,628.41	3,288.12	340.29			
SCHEIN HENRY INC	11/20/2013	12/4/2013	15	1,700.82	1,715.23	(14.41)			
SCHEIN HENRY INC	7/25/2013	12/4/2013	33	3,741.80	3,419.19	322.61			
SEAGATE TECHNOLOGY PLC F	5/24/2013	12/4/2013	154	7,723.57	6,615.29	1,108.28			
SLM CORPORATION	5/24/2013	12/4/2013	25	657.95	581.62	76.33			
SLM CORPORATION	4/25/2012	12/4/2013	214	5,632.03	3,204.71	2,427.32			
SLM CORPORATION	2/27/2013	12/4/2013	5	131.59	109.64	21.95			
SLM CORPORATION	3/27/2013	12/4/2013	151	3,974.00	3,076.93	897.07			
SOUTHWEST AIRLINES CO	2/27/2013	12/4/2013	15	267.86	190.61	77.25			
SOUTHWEST AIRLINES CO	5/24/2013	12/4/2013	33	589.30	483.70	105.60			
SOUTHWEST AIRLINES CO	11/28/2012	12/4/2013	100	1,785.73	944.06	841.67			
SOUTHWEST AIRLINES CO	11/28/2012	12/4/2013	135	2,410.75	1,274.48	1,136.27			
SOUTHWEST AIRLINES CO	11/28/2012	12/4/2013	100	1,785.73	944.06	841.67			
SOUTHWEST AIRLINES CO	11/28/2012	12/4/2013	100	1,785.73	944.06	841.67			
SOUTHWEST AIRLINES CO	11/28/2012	12/4/2013	100	1,785.53	944.06	841.47			
SPDR BARCLAYS ETF 1-3 YE AR GLOBAL TREASURY E	2/12/2013	12/4/2013	89	3,178.32	3,250.81	(72.49)			
SPDR BARCLAYS ETF 1-3 YEAR GLOBAL TREASURY E	5/15/2013	12/4/2013	95	3,392.58	3,326.15	66.43			
SPDR BARCLAYS ETF GLOBAL TREASURY EX-U.S. CA	9/30/2012	12/4/2013	145	8,372.74	8,625.09	(252.35)			
SPDR BARCLAYS ETF GLOBAL TREASURY EX-U.S. CA	10/5/2012	12/4/2013	235	13,569.61	13,978.59	(408.98)			
SPDR BARCLAYS ETF GLOBAL TREASURY EX-U.S. CA	6/12/2013	12/4/2013	79	4,561.70	4,699.18	(137.48)			
SPDR BARCLAYS ETF GLOBAL TREASURY EX-U.S. CA	6/11/2013	12/4/2013	31	1,790.03	1,843.98	(53.95)			
SPDR BARCLAYS ETF GLOBAL TREASURY EX-U.S. CA	9/30/2012	12/4/2013	20	1,154.78	1,189.67	(34.89)			
SPDR BARCLAYS ETF GLOBAL TREASURY EX-U.S. CA	9/17/2013	12/4/2013	118	6,813.66	7,019.04	(205.38)			
SPDR BARCLAYS ETF GLOBAL TREASURY EX-U.S. CA	7/9/2013	12/4/2013	135	7,795.31	8,030.25	(234.94)			
SPDR BARCLAYS ETF GLOBAL TREASURY EX-U.S. CA	6/13/2013	12/4/2013	57	3,291.35	3,390.55	(99.20)			
SPDR GOLD TRUST SPDR GOLD SHARES	10/11/2012	10/31/2013	10	1,274.18	1,715.36	(441.18)			
SPDR GOLD TRUST SPDR GOLD SHARES	10/11/2012	12/4/2013	91	10,925.42	15,609.81	(4,684.39)			
SPDR GOLD TRUST SPDR GOLD SHARES	8/1/2012	10/31/2013	91	11,595.05	14,126.78	(2,531.73)			
SPDR S&P EMERGING EUROPE	9/17/2012	12/4/2013	25	987.28	1,037.28	(50.00)			
SPDR S&P EMERGING EUROPE	8/21/2012	12/4/2013	28	1,105.76	1,161.76	(56.00)			
STRAYER EDUCATION INC	9/25/2013	12/4/2013	3	107.29	139.12	(31.83)			

THE RICHARD HOGAN & CARRON SHERRY FOUNDATION INC.									
EIN:13-3860420									
FYE 9/30/14									
REALIZED CAPITAL GAIN/LOSS									
CHARLES SCHWAB ACCOUNT 8156-8153									
SECURITY	ACQUIRED	SOLD	Quantity	Proceeds	Cost Basis	Gain/Loss			
STRAYER EDUCATION INC	9/26/2013	12/4/2013	81	2,896.87	3,348.09	(451.22)			
SYNAPTICS INC	5/24/2013	12/4/2013	41	2,001.72	1,732.38	269.34			
SYNAPTICS INC	5/28/2013	12/4/2013	117	5,712.24	4,931.47	780.77			
SYNNEX CORPORATION	10/24/2013	12/4/2013	81	5,429.46	5,005.25	424.21			
SYNNEX CORPORATION	10/23/2013	12/4/2013	9	603.27	564.62	38.65			
TE CONNECTIVITY LTD F	7/25/2013	12/4/2013	165	8,725.18	8,406.98	318.20			
TOTAL SYSTEMS SERVICES	2/27/2013	12/4/2013	2	60.55	62.25	(1.70)			
TOTAL SYSTEMS SERVICES	12/13/2011	12/4/2013	50	1,513.78	998.76	515.02			
TOTAL SYSTEMS SERVICES	5/24/2013	12/4/2013	6	181.66	157.13	24.53			
TOTAL SYSTEMS SERVICES	10/28/2011	12/4/2013	36	1,089.92	734.09	355.83			
TOTAL SYSTEMS SERVICES	1/11/2012	12/4/2013	9	272.48	197.65	74.83			
TRIUMPH GROUP INC NEW	8/28/2013	12/4/2013	53	3,862.15	3,915.64	(53.49)			
U S AIRWAYS GROUP INC	5/24/2013	12/4/2013	35	768.91	652.26	116.65			
U S AIRWAYS GROUP INC	11/28/2012	12/4/2013	100	2,196.98	1,291.50	905.48			
U S AIRWAYS GROUP INC	12/19/2012	12/4/2013	254	5,580.09	3,422.13	2,157.96			
U S AIRWAYS GROUP INC	11/28/2012	12/4/2013	7	153.78	90.40	63.38			
U S AIRWAYS GROUP INC	2/27/2013	12/4/2013	15	329.53	215.62	113.91			
UNION PACIFIC CORP	5/24/2013	10/23/2013	5	771.97	795.09	(23.12)			
UNION PACIFIC CORP	12/21/2011	10/23/2013	6	926.37	607.37	319.00			
UNION PACIFIC CORP	2/27/2013	10/23/2013	2	308.79	288.56	20.23			
UNION PACIFIC CORP	4/25/2012	10/23/2013	64	9,881.26	7,274.12	2,607.14			
UNION PACIFIC CORP	1/11/2012	10/23/2013	6	926.37	683.69	242.68			
UNITEDHEALTH GROUP INC	1/11/2012	12/4/2013	17	1,243.45	913.18	330.27			
UNITEDHEALTH GROUP INC	2/27/2013	12/4/2013	3	219.43	176.26	43.17			
UNITEDHEALTH GROUP INC	12/13/2011	12/4/2013	24	1,755.46	1,168.07	587.39			
UNITEDHEALTH GROUP INC	5/24/2013	12/4/2013	11	804.58	700.46	104.12			
UNITEDHEALTH GROUP INC	12/13/2011	12/4/2013	38	2,779.43	1,849.45	929.98			
UNITEDHEALTH GROUP INC	2/25/2011	12/4/2013	62	4,534.87	2,653.41	1,881.46			
UNIVERSAL HLTH SVCS CL B	2/27/2013	11/21/2013	3	238.95	188.30	50.65			
UNIVERSAL HLTH SVCS CL B	5/24/2013	11/21/2013	8	637.19	546.89	90.30			
UNIVERSAL HLTH SVCS CL B	12/19/2012	11/21/2013	54	4,301.03	2,659.22	1,641.81			
UNIVERSAL HLTH SVCS CL B	12/19/2012	11/20/2013	53	4,204.45	2,609.98	1,594.47			
VISA INC CL A CLASS A	12/13/2011	12/4/2013	13	2,624.68	1,265.59	1,359.09			
VISA INC CL A CLASS A	4/25/2012	12/4/2013	30	6,056.95	3,671.23	2,385.72			

THE RICHARD HOGAN & CARRON SHERRY FOUNDATION INC.									
EIN:13-3860420									
FYE 9/30/14									
REALIZED CAPITAL GAIN/LOSS									
CHARLES SCHWAB ACCOUNT 8156-8153									
SECURITY	ACQUIRED	SOLD	Quantity	Proceeds	Cost Basis	Gain/Loss			
VISA INC CL A CLASS A	1/11/2012	12/4/2013	3	605.70	311.54	294.16			
VISA INC CL A CLASS A	2/27/2013	12/4/2013	1	201.90	174.11	27.79			
VISA INC CL A CLASS A	9/25/2013	12/4/2013	11	2,220.87	2,123.21	97.66			
VISA INC CL A CLASS A	5/24/2013	12/4/2013	2	403.80	375.17	28.63			
WALGREEN COMPANY	3/27/2013	12/4/2013	63	3,627.64	2,998.25	629.39			
WALGREEN COMPANY	7/25/2012	12/4/2013	20	1,151.63	691.16	460.47			
WALGREEN COMPANY	5/24/2013	12/4/2013	32	1,842.62	1,624.92	217.70			
WALGREEN COMPANY	2/27/2013	12/4/2013	10	575.82	425.50	150.32			
WALGREEN COMPANY	2/26/2013	12/4/2013	139	8,003.85	5,678.44	2,325.41			
WALGREEN COMPANY	7/26/2012	12/4/2013	176	10,134.37	6,175.07	3,959.30			
WELLCARE HEALTH PLANS	12/14/2011	12/4/2013	19	1,400.72	1,006.34	394.38			
WELLCARE HEALTH PLANS	12/13/2011	12/4/2013	26	1,916.78	1,377.09	539.69			
WELLCARE HEALTH PLANS	1/11/2012	12/4/2013	7	516.06	370.76	145.30			
WELLCARE HEALTH PLANS	12/16/2011	12/4/2013	7	516.06	370.76	145.30			
WELLCARE HEALTH PLANS	2/27/2013	12/4/2013	2	147.44	105.93	41.51			
WELLCARE HEALTH PLANS	5/24/2013	12/4/2013	4	294.88	211.85	83.03			
WELLPOINT INC	9/25/2013	12/4/2013	15	1,363.63	1,270.68	92.95			
WELLPOINT INC	12/13/2011	12/4/2013	58	5,272.73	3,819.59	1,453.14			
WELLPOINT INC	12/2/2010	12/4/2013	2	181.82	114.98	66.84			
WELLPOINT INC	5/24/2013	12/4/2013	6	545.46	476.35	69.11			
WELLPOINT INC	2/27/2013	12/4/2013	2	181.82	139.54	42.28			
WELLPOINT INC	1/11/2012	12/4/2013	14	1,272.73	1,029.92	242.81			
WESTERN DIGITAL CORP	5/24/2013	12/4/2013	100	7,760.30	6,233.92	1,526.38			
WESTERN DIGITAL CORP	5/24/2013	12/4/2013	7	543.20	436.37	106.83			
WESTERN UNION COMPANY	10/27/2011	12/4/2013	67	1,113.40	1,198.98	(85.58)			
WESTERN UNION COMPANY	12/13/2011	12/4/2013	78	1,296.19	1,383.51	(87.32)			
WESTERN UNION COMPANY	1/11/2012	12/4/2013	15	249.27	295.40	(46.13)			
WESTERN UNION COMPANY	2/27/2013	12/4/2013	4	66.47	71.60	(5.13)			
WESTERN UNION COMPANY	5/24/2013	12/4/2013	12	199.41	212.23	(12.82)			
TOTAL REALIZED GAIN/LOSS				1,209,462.81	1,064,426.88	145,035.93			

THE RICHARD HOGAN & CARRON SHERRY

13-3860420

FORM 990PF, PART I - CONTRIBUTIONS, GIFTS AND GRANTS RECEIVED

ATTACHMENT 1

NAME AND ADDRESS

DATE

DIRECT
PUBLIC
SUPPORT

RICHARD HOGAN-3,187 SHS EMERGE ENERGY
C/O KELLOGG GROUP-48 WALL ST-30TH FL
NEW YORK, NY 10005

05/08/2014

268,792.

NO GOODS OR SERVICES WERE PROVIDED IN
CONSIDERATION FOR THE STOCK CONTRIBUTED.

TOTAL CONTRIBUTION AMOUNTS

268,792.

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT MANAGEMENT FEES	7,666.	7,666.
TOTALS	<u>7,666.</u>	<u>7,666.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
PUBLICATION FEE	140.		140.
FILING FEE	250.		250.
INVESTMENT FEES	7.	7.	
TOTALS	397.	7.	390.

ATTACHMENT 5FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
482 SHS EGSSHARES ETF-BEYOND BR	10,427.	10,720.
290 SHS EGSSHARES ETF-EMERGING	6,847.	7,607.
198 SHS ISHARES ETF	10,029.	10,144.
1,764 SHS ISHARES GOLD TRUST	21,549.	20,639.
751 SHS ISHARES MSCI GERMANY	22,072.	20,803.
968 SHS ISHARES MSCI HONG KONG	21,895.	19,679.
1,016 SHS ISHARES MSCI UTD KIN	19,815.	19,690.
146 SHS ISHARES TREASURY BOND	14,974.	15,129.
230 SHS ISHARES TR RUSSELL2000	24,959.	25,151.
626 SHS POWERSHARES S&P ETF500	19,835.	21,891.
805 SHS POWERSHARES DB COMMDTY	20,020.	18,692.
743 SHS POWERSHARES QQQ TRUST	64,770.	73,401.
228 SHS SPDR S&P 500 ETF TR	39,706.	44,921.
621 SHS VANGUARD DIV APPRECIAT	45,533.	47,786.
319 SHS VANGUARD FTSE EMERGING	11,714.	13,305.
640 SHS VANGUARD FTSE ETF DEV.	24,826.	25,440.
558 SHS VANGUARD INTL EQTY ETF	31,073.	30,383.
422 SHS VANGUARD REIT	30,618.	30,321.
273 SHS VANGUARD TOTAL STK MKT	24,752.	27,639.
214 SHS WISDOMTREE ASIA ETF	10,046.	10,270.
942 SHS WISDOMTREE INDIA EARN.	21,573.	20,639.
TOTALS	<u>497,033.</u>	<u>514,250.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 6

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
RICHARD HOGAN C/O KELLOGG GRP-48 WALL ST.30TH FL NEW YORK, NY 10005	PRESIDENT/TREASURER/DIRECTOR	0	0	0
CARRON SHERRY C/O KELLOGG GRP-48 WALL ST.30TH FL NEW YORK, NY 10005	VICE PRES/SECRETARY/DIRECTOR	0	0	0
MAUREEN SHERRY C/O KELLOGG GRP-48 WALL ST.30TH FL NEW YORK, NY 10005	DIRECTOR	0	0	0
NANCY OETINGER C/O KELLOGG GRP-48 WALL ST.30TH FL NEW YORK, NY 10005	DIRECTOR	0	0	0
GRAND TOTALS		<u>0</u>	<u>0</u>	<u>0</u>

ATTACHMENT 7

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

N/A

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 8

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NAMES: SEE ATTACHED SCHEDULE #1 ADDRESSES: ON FILE	RELATIONSHIP NONE PC	THE PURPOSE OF THE CASH CONTRIBUTIONS IS TO AID EACH DONEE ORGANIZATION IN CARRYING OUT ITS EXEMPT FUNCTION	116,268.
TOTAL CONTRIBUTIONS PAID			116,268.

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
17,258.		601 SHS ISHARES MSCI GERMANY ETF PROPERTY TYPE: SECURITIES 17,663.				02/03/2014 -405.	08/20/2014
21,696.		441 SHS WISDOMTREE JAPAN HEDGED EQUITY PROPERTY TYPE: SECURITIES 19,904.				02/03/2014 1,792.	07/10/2014
20,187.		190 SHS PIMCO ETF 0-5 YEAR HIGH YIELD CO PROPERTY TYPE: SECURITIES 20,126.				02/06/2014 61.	07/10/2014
21,458.		441 SHS WISDOMTREE JAPAN HEDGED EQUITY PROPERTY TYPE: SECURITIES 19,904.				02/03/2014 1,554.	06/06/2014
40,085.		380 SHS ISHARES TREASURY BOND 1-3 YEAR C PROPERTY TYPE: SECURITIES 40,113.				02/06/2014 -28.	06/06/2014
5,091.		105 SHS WISDOMTREE ASIA ETF LOCAL DEBT F PROPERTY TYPE: SECURITIES 4,929.				02/03/2014 162.	04/16/2014
270,646.		3,187 SHS EMERGE ENERGY SERVICES PROPERTY TYPE: SECURITIES 55,622.				VARIOUS 215,024.	05/09/2014
3,483.		129 SHS EGSHARES ETF EMERGING MARKETS PROPERTY TYPE: SECURITIES 3,046.				02/03/2014 437.	04/16/2014
3,486.		85 SHS VANGUARD FTSE EMERGING MARKETS ET PROPERTY TYPE: SECURITIES 3,121.				02/03/2014 365.	04/16/2014
19,911.		281 SHS VANGUARD DIV APPRECIATION PROPERTY TYPE: SECURITIES 19,657.				02/03/2014 254.	02/06/2014
19,595.		179 SHS ISHARES TR RUSSELL 2000 ETF PROPERTY TYPE: SECURITIES 19,424.				02/03/2014 171.	02/06/2014
366,683.		17,600 SHS YORKVILLE HIGH INCOME ETF INF PROPERTY TYPE: SECURITIES 331,447.				VARIOUS 35,236.	VARIOUS

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
367,760.		20,000 SHS YORKVILLE HIGH INCOME ETF PROPERTY TYPE: SECURITIES 342,464.				P	VARIOUS	01/16/2014
							25,296.	
1,209,463.		SEE ATTACHED SCHEDULE #2 PROPERTY TYPE: SECURITIES 1,064,427.				P	VARIOUS	VARIOUS
							145,036.	
520,204.		24,400 SHS YORKVILLE HIGH INCOME ETF INF PROPERTY TYPE: SECURITIES 459,507.				P	VARIOUS	02/13/2014
							60,697.	
TOTAL GAIN(LOSS)							<u>485,652.</u>	