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Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
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**Open to Public Inspection**

For calendar year 2013 or tax year beginning 10/01, 2013, and ending 09/30, 2014

Name of foundation **THE SEEJA FOUNDATION**  
C/O JUDITH S. PECK

Number and street (or P O box number if mail is not delivered to street address) **1 WEST 72ND STREET # 57**  
Room/suite

City or town, state or province, country, and ZIP or foreign postal code **NEW YORK, NY 10023**

**G** Check all that apply:  Initial return,  Final return,  Address change,  Initial return of a former public charity,  Amended return,  Name change

**H** Check type of organization:  Section 501(c)(3) exempt private foundation,  Section 4947(a)(1) nonexempt charitable trust,  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 216,366.** **J** Accounting method:  Cash,  Accrual,  Other (specify)

**A** Employer identification number **13-3064158**

**B** Telephone number (see instructions) **(201) 348-1200**

**C** If exemption application is pending, check here

**D** 1. Foreign organizations, check here   
2. Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule) . . . . .		21,100.	ATCH 1		
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B . . . . .					
3 Interest on savings and temporary cash investments . . . . .		457.	457.	457.	ATCH 2
4 Dividends and interest from securities . . . . .					
5a Gross rents . . . . .					
b Net rental income or (loss) . . . . .					
6a Net gain or (loss) from sale of assets not on line 10 . . . . .		38,409.			
b Gross sales price for all assets on line 6a <u>241,209.</u>					
7 Capital gain net income (from Part IV, line 2) . . . . .			38,409.		
8 Net short-term capital gain . . . . .					
9 Income modifications . . . . .					
10 a Gross sales less returns and allowances . . . . .					
b Less Cost of goods sold . . . . .					
c Gross profit or (loss) (attach schedule) . . . . .					
11 Other income (attach schedule) . . . . .					
12 <b>Total.</b> Add lines 1 through 11 . . . . .		59,966.	38,866.	457.	
13 Compensation of officers, directors, trustees, etc . . . . .					
14 Other employee salaries and wages . . . . .					
15 Pension plans, employee benefits . . . . .					
16a Legal fees (attach schedule) . . . . .					
b Accounting fees (attach schedule) . . . . .					
c Other professional fees (attach schedule) . . . . .					
17 Interest . . . . .					
18 Taxes (attach schedule) (see instructions) <u>ATCH 3</u> . . . . .		339.	239.		100.
19 Depreciation (attach schedule) and depletion . . . . .					
20 Occupancy . . . . .					
21 Travel, conferences, and meetings . . . . .					
22 Printing and publications . . . . .					
23 Other expenses (attach schedule) <u>ATCH 4</u> . . . . .		1,164.	7.	7.	1,157.
24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .		1,503.	246.	7.	1,257.
25 Contributions, gifts, grants paid . . . . .		84,355.			84,355.
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25 . . . . .		85,858.	246.	7.	85,612.
27 Subtract line 26 from line 12 . . . . .					
a Excess of revenue over expenses and disbursements . . . . .		-25,892.			
b <b>Net investment income</b> (if negative, enter -0-) . . . . .			38,620.		
c <b>Adjusted net income</b> (if negative, enter -0-) . . . . .				450.	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing . . . . .	25,052.	300.	300.	
	2	Savings and temporary cash investments . . . . .	587.	3,417.	3,417.	
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10 a	Investments - U S and state government obligations (attach schedule) . . . . .				
	b	Investments - corporate stock (attach schedule) ATCH 5 . . . . .	164,306.	160,336.	212,649.	
	c	Investments - corporate bonds (attach schedule) . . . . .				
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶ (attach schedule)					
12	Investments - mortgage loans . . . . .					
13	Investments - other (attach schedule) . . . . .					
14	Land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶ (attach schedule)					
15	Other assets (describe ▶) . . . . .					
16	<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	189,945.	164,053.	216,366.		
Liabilities	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶) . . . . .				
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds . . . . .	208,494.	208,494.		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
29	Retained earnings, accumulated income, endowment, or other funds . . . . .	-18,549.	-44,441.			
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	189,945.	164,053.			
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	189,945.	164,053.			

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	189,945.
2	Enter amount from Part I, line 27a . . . . .	2	-25,892.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3 . . . . .	4	164,053.
5	Decreases not included in line 2 (itemize) ▶	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	164,053.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	38,409.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	112,224.	215,668.	0.520355
2011	101,083.	194,572.	0.519515
2010	148,484.	239,005.	0.621259
2009	145,804.	262,695.	0.555032
2008	113,631.	234,846.	0.483853
2 Total of line 1, column (d)			2.700014
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.540003
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			231,487.
5 Multiply line 4 by line 3			125,004.
6 Enter 1% of net investment income (1% of Part I, line 27b)			386.
7 Add lines 5 and 6			125,390.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			85,612.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, subtitle A tax, and total tax due. Values include 772.00 and 0.

Part VII-A Statements Regarding Activities

Table with 10 rows of activity statements and a Yes/No column. Questions cover political activities, tax on political expenditures, and substantial contributors. Includes 'X' marks in the No column for several items.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . . 11 X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . . 12 X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . . 13 X
Website address N/A
14 The books are in care of JUDITH S. PECK Telephone no. 201-348-1200
Located at 1 WEST 72ND STREET, NEW YORK, NY ZIP+4 10023
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . 15
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . 16 Yes No X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . Yes X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . Yes X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . Yes X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . Yes X No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . Yes X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . Yes X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . 1b
Organizations relying on a current notice regarding disaster assistance check here . . . . .
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . . 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . . Yes X No
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . . 2b X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . Yes X No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) . . . . . 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . . 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? . . . . . 4b X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 6		0	0	0

**2** Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
Total number of others receiving over \$50,000 for professional services . . . . .		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses
1 N/A
2
3
4

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount
1 NONE
2
All other program-related investments See instructions 3 NONE
Total. Add lines 1 through 3 . . . . .

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	226,269.
b	Average of monthly cash balances	1b	8,743.
c	Fair market value of all other assets (see instructions)	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	235,012.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	235,012.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	3,525.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	231,487.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	11,574.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	11,574.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	772.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	772.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	10,802.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	10,802.
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	10,802.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	85,612.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	85,612.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	6	85,612.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7 . . . . .				10,802.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only . . . . .				
b Total for prior years 20 11 ,20 10 ,20 09				
3 Excess distributions carryover, if any, to 2013				
a From 2008 . . . . .	101,957.			
b From 2009 . . . . .	133,421.			
c From 2010 . . . . .	137,160.			
d From 2011 . . . . .	91,682.			
e From 2012 . . . . .	101,919.			
f Total of lines 3a through e . . . . .	566,139.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 85,612.				
a Applied to 2012, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see instructions) . . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2013 distributable amount . . . . .				10,802.
e Remaining amount distributed out of corpus . . . . .	74,810.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	640,949.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .	101,957.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	538,992.			
10 Analysis of line 9				
a Excess from 2009 . . . . .	133,421.			
b Excess from 2010 . . . . .	137,160.			
c Excess from 2011 . . . . .	91,682.			
d Excess from 2012 . . . . .	101,919.			
e Excess from 2013 . . . . .	74,810.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Table with columns: Tax year (a) 2013, Prior 3 years (b) 2012, (c) 2011, (d) 2010, (e) Total. Rows include 85% of line 2a, Qualifying distributions from Part XII, line 4 for each year listed, Amounts included in line 2c not used directly for active conduct of exempt activities, Qualifying distributions made directly for active conduct of exempt activities, Complete 3a, b, or c for the alternative test relied upon, 'Assets' alternative test, 'Endowment' alternative test, 'Support' alternative test.

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

JUDITH S. PECK

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>  ATCH 7				
<b>Total</b> ..... ▶ 3a				84,355.
b <i>Approved for future payment</i>				
<b>Total</b> ..... ▶ 3b				



Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of. (1) Cash (2) Other assets b Other transactions (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. All entries are N/A.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. All entries are blank.

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. Signature of officer or trustee, Date 11/24/14, Title PRESIDENT. May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

Paid Preparer Use Only section containing fields for Print/Type preparer's name, Preparer's signature, Date, Check self-employed if PTIN, Firm's name, Firm's address, Firm's EIN, and Phone no.

# Schedule of Contributors

2013

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

THE SEEJA FOUNDATION  
C/O JUDITH S. PECK

Employer identification number

13-3064158

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization <b>THE SEEJA FOUNDATION</b> C/O JUDITH S. PECK	Employer identification number 13-3064158
--	--

**Part I** Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JUDITH STERN PECK ----- 1 WEST 72ND STREET, #57 ----- NEW YORK, NY 10023 -----	\$ 21,100.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization THE SEEJA FOUNDATION  
C/O JUDITH S. PECK

Employer identification number  
13-3064158

**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
----- ----- -----	----- ----- -----	\$ -----	-----
----- ----- -----	----- ----- -----	\$ -----	-----
----- ----- -----	----- ----- -----	\$ -----	-----
----- ----- -----	----- ----- -----	\$ -----	-----
----- ----- -----	----- ----- -----	\$ -----	-----
----- ----- -----	----- ----- -----	\$ -----	-----
----- ----- -----	----- ----- -----	\$ -----	-----
----- ----- -----	----- ----- -----	\$ -----	-----
----- ----- -----	----- ----- -----	\$ -----	-----

Name of organization <b>THE SEEJA FOUNDATION</b> C/O JUDITH S. PECK	Employer identification number 13-3064158
--	--

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry  
 For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once See instructions) ▶ \$ \_\_\_\_\_  
 Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	

THE SEEJA FOUNDATION

FORM 990PF, PART I - CONTRIBUTIONS, GIFTS AND GRANTS RECEIVED

ATTACHMENT 1

DIRECT  
PUBLIC  
SUPPORT

NAME AND ADDRESS

DATE

JUDITH STERN PECK  
1 WEST 72ND STREET, #57  
NEW YORK, NY 10023

VAR

21,100.

TOTAL CONTRIBUTION AMOUNTS

21,100.

ATTACHMENT 2

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
INTEREST INCOME	457.	457.	457.
TOTAL	<u>457.</u>	<u>457.</u>	<u>457.</u>

ATTACHMENT 3

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
NY CHARITIES BUREAU FILING FEE	100.		100.
FEDERAL EXCISE TAX	239.	239.	
TOTALS	<u>339.</u>	<u>239.</u>	<u>100.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
NY LAW JOURNAL ADVERTISEMENT	135.			135.
DUES & SUBSCRIPTIONS	77.			77.
AGENCY PROCESSING FEE	7.	7.	7.	
MISCELLANEOUS	945.			945.
TOTALS	<u>1,164.</u>	<u>7.</u>	<u>7.</u>	<u>1,157.</u>

ATTACHMENT 5

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
INVESTMENT SECURITIES - <i>SEE ATTACHED FOR DETAIL</i>	160,336.	212,649.
TOTALS	<u>160,336.</u>	<u>212,649.</u>

**SEEJA Foundation # 13-3064158**  
**Form 990-PF Page 2, Part II, Line 10(b) - Security Positions at 9/30/2014**

Security Name	Symbol	Date Acquired	# of Shares	Cost Basis	FMV Price	FMV @ 9/30/2014
Amazon com Inc	AMZN	1/3/2013	17	4,508	322.44	5,481.00
Alnylam Pharmaceuticals Inc	ALNY	1/28/2013	80	2,038	78.10	6,248.00
Acadia Pharmaceuticals	ACAD	1/29/2014	160	3,835	24.76	3,962.00
Amber Road Inc	AMBR	3/25/2014	150	2,395	17.34	2,601.00
Acadia Healthcare	ACHC	5/16/2012	100	1,622	48.50	4,850.00
Acadia Healthcare	ACHC	6/11/2014	12	528	48.50	582.00
Acadia Healthcare	ACHC	6/12/2014	15	685	48.50	728.00
Athlon Energy Inc	ATHL	8/1/2014	30	1,388	58.23	1,747.00
Alibaba Group Holding Ltd	BABA	9/18/2014	25	1,700	88.85	2,221.00
Alibaba Group Holding Ltd	BABA	9/19/2014	45	4,254	88.85	3,998.00
Apple Inc.	AAPL	9/11/2014	51	5,206	100.75	5,138.00
Baidu Inc	BIDU	7/26/2013	27	3,503	218.23	5,892.00
WTS Citigroup Inc	CWSA	1/26/2011	800	816	0.95	760.00
Cornerstone Ondemand Inc	CSOD	6/18/2012	55	1,240	34.41	1,893.00
Cornerstone Ondemand Inc	CSOD	5/27/2014	20	874	34.41	688.00
Cornerstone Ondemand Inc.	CSOD	6/12/2014	5	205	34.41	172.00
Citigroup Inc	C	10/16/2012	25	945	51.82	1,296.00
Citigroup Inc	C	12/18/2012	40	1,587	51.82	2,073.00
Citigroup Inc	C	1/3/2013	15	634	51.82	777.00
Citigroup Inc	C	1/9/2013	5	217	51.82	259.00
Ceasarstone Sdot-Yam Ltd	CSTE	5/30/2014	87	3,959	51.68	4,496.00
First RepublicBank San	FRC	11/26/2012	70	2,425	49.38	3,457.00
Facebook Inc	FB	7/30/2014	27	2,061	79.04	2,134.00
Facebook Inc	FB	8/26/2014	1	77	79.04	79.00
Five Below Inc	FIVE	8/11/2014	45	1,811	39.61	1,782.00
Google Inc	GOOG	10/14/2011	5	3,078	577.36	2,887.00
Stock Split 2 1	GOOG		5		588.41	2,942.00
Google Inc	GOOG	12/3/2013	2	2,147	577.36	1,155.00
Stock Split 2 1	GOOG		2		588.41	1,177.00
Google Inc	GOOG	4/9/2014	2	1,134	577.36	1,155.00
Google Inc	GOOG	4/9/2014	2	1,137	588.41	1,177.00
Google Inc	GOOG	5/9/2014	2	1,056	577.36	1,155.00
Google Inc	GOOG	5/9/2014	2	1,071	588.41	1,177.00
ICICI Bank	IBN	4/9/2014	70	3,161	49.10	3,437.00
HDFC BK Ltd	HDB	4/10/2014	80	3,364	46.58	3,726.00
Homeaway Inc Com	AWAY	12/12/2013	11	407	35.50	391.00
Homeaway Inc. Com	AWAY	1/8/2014	55	2,338	35.50	1,953.00
Healthequity Inc	HQY	7/31/2014	36	504	18.31	659.00
JP Morgan Chase WTS	JPMWS	12/11/2009	195	2,251	20.11	3,921.00
JP Morgan Chase WTS	JPMWS	9/16/2010	135	1,882	20.11	2,715.00
JD.COM Inc	JD	5/22/2014	35	665	25.82	904.00
JD COM Inc	JD	5/22/2014	160	3,549	25.82	4,131.00
Kate Spade & Co F/K/A Fifth & Pacific C FNP		12/2/2011	75	637	26.23	1,967.00
Larsen & Tourbo		6/3/2014	145	4,147	23.60	3,422.00
Linkedin Corporation	LNKD	5/18/2011	21	945	207.79	4,364.00
Linkedin Corporation	LNKD	4/16/2014	8	1,400	207.79	1,662.00
LGI Homes	LGIH	11/7/2013	2	22	18.36	37.00
LGI Homes	LGIH	11/14/2013	270	3,663	18.36	4,957.00
Mastercard Inc.	MA	9/18/2014	32	2,529	73.92	2,365.00

Security Name	Symbol	Date Acquired	# of Shares	Cost Basis	FMV Price	FMV @ 9/30/2014
Marketo Inc	MKTO	8/13/2013	60	2,379	32.30	1,938.00
Marketo Inc	MKTO	9/13/2013	73	2,592	32.30	2,358.00
Marketo Inc	MKTO	4/9/2014	10	337	32.30	323.00
Netsuite Inc	N	11/17/2011	38	1,607	89.54	3,403.00
Nokia Corporation	NOK	7/23/2014	215	1,682	8.46	1,819.00
Pacira Pharmaceuticals	PCRX	3/11/2013	63	1,790	96.92	6,106.00
Qunar Cayman Islands	QUNR	11/4/2013	80	2,205	27.65	2,212.00
Salesforce Com Inc	CRM	9/11/2009	10	576	57.53	575.00
Stock Split 4 1		4/23/2013	29		57.53	1,668.00
Signature Bank	SBNY	1/3/2013	62	4,562	112.06	6,948.00
Servicenow Inc.	NOW	2/20/2013	85	2,862	58.78	4,996.00
Splunk Inc	SPLK	4/18/2012	20	340	55.36	1,107.00
Splunk Inc	SPLK	4/19/2012	50	1,687	55.36	2,768.00
Splunk Inc	SPLK	9/5/2014	25	1,475	55.36	1,384.00
Shutterstock Inc	SSTK	6/12/2014	52	4,287	71.38	3,712.00
Solarcity Corporation	SCTY	11/14/2013	55	3,124	59.60	3,278.00
Sherwin Williams Co	SHW	5/19/2014	19	3,902	218.99	4,161.00
Tableau Software Inc	DATA	5/17/2013	25	775	72.65	1,816.00
Tableau Software Inc.	DATA	5/17/2013	44	2,198	72.65	3,197.00
Tableau Software Inc	DATA	5/27/2014	25	1,583	72.65	1,816.00
Tableau Software Inc.	DATA	8/20/2014	5	314	72.65	363.00
Twitter Inc	TWTR	7/2/2014	50	2,154	51.58	2,579.00
T2 Biosystems Inc	TTOO	8/7/2014	111	1,221	18.09	2,008.00
Ultimate Software Group, Inc	ULTI	12/21/2011	26	1,734	141.51	3,679.00
Ultimate Software Group, Inc	ULTI	6/12/2014	14	1,862	141.51	1,981.00
Veeva Systems Inc.	VEEV	9/5/2014	60	1,844	28.17	1,690.00
Workday Inc.	WDAY	10/12/2012	10	280	82.50	825.00
Workday Inc	WDAY	10/12/2012	48	2,351	82.50	3,960.00
Workday Inc	WDAY	5/29/2014	25	2,108	82.50	2,063.00
Zoes Kitchen Inc.	ZOES	4/11/2014	8	120	30.76	246.00
Zoes Kitchen Inc	ZOES	8/14/2014	30	948	30.76	923.00
Zoes Kitchen Inc.	ZOES	8/14/2014	98	2,965	30.76	3,014.00
Yum Brands	YUM	6/4/2014	40	3,199	71.98	2,879.00
Zendesk Inc	ZEN	5/15/2014	193	1,737	21.59	4,167.00
Zillow Inc	Z	1/7/2014	24	2,204	115.99	2,784.00
Zillow Inc	Z	7/30/2014	1	151	115.99	116.00
Yelp	YELP	7/12/2013	68	2,739	68.25	4,641.00
YY Inc	YY	8/20/2014	32	2,845	74.89	2,396.00
Totals				<u>160,335.84</u>		<u>212,649.00</u>

THE SEEJA FOUNDATION

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 6

TITLE AND AVERAGE HOURS PER  
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

JUDITH S. PECK  
1 WEST 72ND STREET  
NEW YORK, NY 10023  
  
PRESIDENT  
  
AS NEEDED

EDWARD J. STERN  
291 CENTRAL PARK WEST, PH  
NEW YORK, NY 10024  
  
VICE-PRESIDENT  
  
AS NEEDED

EMANUEL T. STERN  
1 WEST 67TH STREET, APT. 909  
NEW YORK, NY 10023  
  
TREASURER  
  
AS NEEDED

ANDREA C. STERN  
151 CENTRAL PARK WEST, APT. 3C  
NEW YORK, NY 10023  
  
SECRETARY  
  
AS NEEDED

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 7

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR  
AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UJA FEDERATION OF NEW YORK 130 EAST 59TH STREET NEW YORK, NY 10022	NONE PC	GENERAL CHARITABLE	25,000.
THE ROOSEVELT INSTITUTE 570 LEXINGTON AVENUE, 5TH FLOOR NEW YORK, NY 10022	NONE PC	GENERAL CHARITABLE	2,400.
AMERICAN FRIENDS OF ISRAEL PHILHARMONIC ORCHESTRA 122 EAST 22ND STREET, SUITE 4507 NEW YORK, NY 10168	NONE PC	GENERAL CHARITABLE	1,300.
THE NEW YORK STEM CELL INSTITUTE 1995 BROADWAY, SUITE 600 NEW YORK, NY 10023	NONE PC	GENERAL CHARITABLE	500.
PARK AVENUE ARMORY 643 PARK AVENUE NEW YORK, NY 10065	NONE PC	GENERAL CHARITABLE	1,000.
ISRAEL POLICY FORUM 140 W 57TH STREET, SUITE 6C NEW YORK, NY 10019	NONE PC	GENERAL CHARITABLE	5,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 7 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
JEWISH ORTHODOX FEMINIST ALLIANCE 520 8TH AVENUE, 4TH FLOOR NEW YORK, NY 10018	NONE PC		GENERAL CHARITABLE	1,800.
MOVING TRADITIONS THE PAVILLION 261 OLD YORK ROAD, SUITE 734 JENKINTOWN, PA 19046	NONE PC		GENERAL CHARITABLE	2,500.
ACKERMAN INSTITUTE FOR THE FAMILY 936 BROADWAY, 2ND FLOOR NEW YORK, NY 10010	NONE PC		GENERAL CHARITABLE	9,805.
ADVANCING WOMEN PROFESSIONALS 520 8TH AVENUE, 4TH FLOOR NEW YORK, NY 10018	NONE PC		GENERAL CHARITABLE	10,000.
CONGREGATION B'NAI JESHURUN 2109 BROADWAY, SUITE 203 NEW YORK, NY 10023	NONE PC		GENERAL CHARITABLE	5,000.
AMERICAN ASSOCIATES OF THE NATIONAL THEATER 214 WEST 29TH STREET, SUITE 701 NEW YORK, NY 10001	NONE PC		GENERAL CHARITABLE	5,000.

FORM 990FF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 7 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
FRIENDS OF REUT 9100 WILLSHIRE BOULEVARD, SUITE 400W BEVERLY HILLS, CA 90212	NONE PC		GENERAL CHARITABLE	2,500.
JCRC	NONE PC		GENERAL CHARITABLE	500.
PADEIA US INC 1350 AVENUE OF THE AMERICAS, SUITE 1200 NEW YORK, NY 10019	NONE PC		GENERAL CHARITABLE	2,500.
EDUCATIONAL ALLIANCE 197 EAST BROADWAY NEW YORK, NY 10002	NONE PC		GENERAL CHARITABLE	775.
ORCHESTRA OF ST. LUKES 450 WEST 37TH STREET, SUITE 502 NEW YORK, NY 10018	NONE PC		GENERAL CHARITABLE	4,775
JEWISH HOME AND HOSPITAL	NONE PC		GENERAL CHARITABLE	2,500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 7 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
RANDALLS ISLAND	NONE PC	GENERAL CHARITABLE	500.
THE BRONX MUSEUM OF THE ARTS 1040 GRAND CONCOURSE BRONX, NY 10456	NONE PC	GENERAL CHARITABLE	500
THE METROPOLITAN OPERA NEW YORK, NY	NONE PC	GENERAL CHARITABLE	500.

TOTAL CONTRIBUTIONS PAID

84,355.

**Realized Capital Gains & Losses**  
For the Year End September 30, 2014

Shares Sold	Security Description	Purchase Date	Trade Date	Sales Proceeds	Cost Basis	STCG	LTCG	LT Proceeds	LT Cost	LT Gain (Loss)	ST Proceeds	ST Cost	ST Gain (Loss)
40	The Shop Holdings Inc	12/13/2012	10/1/2013	1,134.09	600.00	534.09	-	-	-	-	1,134.09	600.00	534.09
45	The Shop Holdings Inc	12/20/2012	10/1/2013	1,275.85	767.29	508.56	-	-	-	-	1,275.85	767.29	508.56
20	Solarcity Corporation	7/8/2013	10/8/2013	690.34	787.25	(96.91)	-	-	-	-	690.34	787.25	(96.91)
85	Solarcity Corporation	6/28/2013	10/8/2013	2,933.96	3,269.54	(335.58)	-	-	-	-	2,933.96	3,269.54	(335.58)
10	Solarcity Corporation	7/1/2013	10/8/2013	345.17	408.08	(62.91)	-	-	-	-	345.17	408.08	(62.91)
4	Linkedin Corporation	2/10/2012	10/8/2013	949.37	350.44	-	498.93	849.37	350.44	498.93	-	-	-
7	Netsuite Inc	12/2/2011	10/25/2013	745.69	302.69	-	436.07	745.69	302.69	436.07	-	-	-
2	Netsuite Inc	12/2/2011	10/25/2013	206.87	85.48	-	121.39	206.87	85.48	121.39	-	-	-
21	Netsuite Inc	12/2/2011	10/25/2013	2,172.20	928.18	-	1,244.02	2,172.20	928.18	1,244.02	-	-	-
				<b>Oct Realized Gains</b>		<b>547.28</b>	<b>2,300.41</b>						
100	Trpadvisor Inc	11/12/2012	10/30/2013	7,849.21	3,594.09	4,255.12	-	-	-	-	7,849.21	3,594.09	4,255.12
15	Trpadvisor Inc	2/14/2013	10/30/2013	1,177.38	673.19	504.19	-	-	-	-	1,177.38	673.19	504.19
40	Firm & Pacific Co Inc	11/18/2011	11/7/2013	1,154.34	330.60	-	823.74	1,154.34	330.60	823.74	-	-	-
50	Whole Foods Market Inc	6/4/2012	11/7/2013	2,338.70	2,205.28	-	1,133.42	2,338.70	2,205.28	1,133.42	-	-	-
6	Linkedin Corporation	2/10/2012	11/12/2013	1,235.41	525.66	-	709.75	1,235.41	525.66	709.75	-	-	-
29	Linkedin Corporation	5/18/2011	11/12/2013	5,971.18	1,305.00	-	4,666.18	5,971.18	1,305.00	4,666.18	-	-	-
60	Burger King Worldwide	2/19/2013	11/14/2013	1,197.33	1,082.03	-	115.30	-	-	-	1,197.33	1,082.03	115.30
21	Credicorp	3/15/2013	11/14/2013	2,690.59	3,402.84	(712.25)	-	-	-	-	2,690.59	3,402.84	(712.25)
145	Foundation Medicine Inc	9/25/2013	11/14/2013	3,037.56	4,776.38	(1,738.82)	-	-	-	-	3,037.56	4,776.38	(1,738.82)
7	Zuby	11/15/2013	11/19/2013	247.57	154.00	93.57	-	-	-	-	247.57	154.00	93.57
				<b>Nov Realized Gains</b>		<b>2,517.11</b>	<b>6,833.09</b>						
45	Cogroup Inc	3/13/2012	12/2/2013	2,326.40	1,601.16	-	725.24	2,326.40	1,601.16	725.24	-	-	-
55	Cogroup Inc	10/16/2012	12/2/2013	2,843.37	2,078.46	-	764.92	2,843.37	2,078.46	764.92	-	-	-
300	JP Morgan Chase WTS	12/11/2009	12/2/2013	5,426.92	3,462.76	1,964.16	-	5,426.92	3,462.76	1,964.16	-	-	-
5	Copa Holdings SA	1/12/2011	12/3/2013	723.44	349.82	373.62	-	723.44	349.82	373.62	-	-	-
25	Copa Holdings SA	5/30/2013	12/3/2013	3,617.25	3,336.42	280.83	-	-	-	-	3,617.25	3,336.42	280.83
13	CVENT Inc	8/9/2013	12/5/2013	407.32	273.00	134.32	-	-	-	-	407.32	273.00	134.32
102	CVENT Inc	8/14/2013	12/5/2013	3,195.93	3,308.67	(112.73)	-	-	-	-	3,195.93	3,308.67	(112.73)
30	CVENT Inc	11/13/2013	12/5/2013	939.88	1,048.50	(108.52)	-	-	-	-	939.88	1,048.50	(108.52)
10	Marketo Inc	11/13/2013	12/13/2013	318.66	318.66	-	(77.78)	-	-	-	318.66	318.66	(77.78)
10	Marketo Inc	8/14/2013	12/13/2013	318.67	400.03	(81.36)	-	-	-	-	318.67	400.03	(81.36)
25	Yelo	7/12/2013	12/13/2013	1,561.43	1,007.11	554.32	-	-	-	-	1,561.43	1,007.11	554.32
3	Nimble Storage	12/13/2013	12/18/2013	106.12	63.00	43.12	-	-	-	-	106.12	63.00	43.12
20	American International Group	9/11/2012	12/17/2013	977.66	650.00	-	327.66	977.66	650.00	327.66	-	-	-
40	American International Group	9/11/2012	12/17/2013	1,955.30	1,332.06	623.24	-	-	-	-	1,955.30	1,332.06	623.24
30	Acadia Healthcare	5/18/2012	12/23/2013	1,389.61	486.45	-	903.16	1,389.61	486.45	903.16	-	-	-
100	Firm & Pacific Co Inc	11/18/2011	12/23/2013	3,165.36	826.50	-	2,338.86	3,165.36	826.50	2,338.86	-	-	-
5	LGI Homes	11/17/2013	12/23/2013	90.57	55.00	35.57	-	-	-	-	90.57	55.00	35.57
270	LGI Homes	11/12/2013	12/23/2013	4,890.89	3,533.01	1,357.88	-	-	-	-	4,890.89	3,533.01	1,357.88
35	ServiceNow Inc	3/5/2013	12/23/2013	1,881.68	1,237.33	644.33	-	-	-	-	1,881.68	1,237.33	644.33
265	Supervu Inc	5/6/2013	12/23/2013	1,817.86	1,743.46	74.40	-	-	-	-	1,817.86	1,743.46	74.40
				<b>Dec Realized Gains</b>		<b>3,367.62</b>	<b>7,397.61</b>						
20	Five Below Inc	7/18/2012	12/27/2013	844.08	557.81	-	286.27	844.08	557.81	286.27	-	-	-
20	Five Below Inc	8/5/2012	12/27/2013	584.09	584.61	-	179.48	584.09	584.61	179.48	-	-	-
55	American International Group	9/11/2012	1/8/2014	2,776.00	1,787.50	988.50	-	2,776.00	1,787.50	988.50	-	-	-
22	Container Store Group Inc	11/11/2013	1/8/2014	845.42	396.00	449.42	-	-	-	-	845.42	396.00	449.42
235	Responsys Inc	8/5/2013	1/8/2014	6,248.11	3,457.42	2,790.69	-	-	-	-	6,248.11	3,457.42	2,790.69
25	Five Below Inc	7/19/2012	1/8/2014	912.17	425.00	-	487.17	912.17	425.00	487.17	-	-	-
50	Five Below Inc	7/19/2012	1/8/2014	1,824.34	1,394.51	429.83	-	1,824.34	1,394.51	429.83	-	-	-
38	Mocheal Kors	9/25/2012	1/14/2014	2,865.23	2,014.00	851.23	-	2,865.23	2,014.00	851.23	-	-	-
32	Mocheal Kors	9/25/2012	1/18/2014	1,696.00	1,186.00	510.00	-	1,696.00	1,186.00	510.00	-	-	-
				<b>Jan Realized Gains</b>		<b>3,240.11</b>	<b>3,899.99</b>						
30	Yahoo Inc	9/5/2013	1/29/2014	1,055.55	862.87	192.68	-	-	-	-	1,055.55	862.87	192.68
80	Yahoo Inc	12/3/2013	1/29/2014	2,805.82	2,982.79	(176.97)	-	-	-	-	2,805.82	2,982.79	(176.97)
55	Truea Inc	1/16/2014	2/14/2014	1,729.61	2,183.34	(453.73)	-	-	-	-	1,729.61	2,183.34	(453.73)
				<b>Feb Realized Gains</b>		<b>(438.02)</b>							
85	Monder Spa	12/16/2013	3/10/2014	1,494.40	1,728.63	(234.23)	-	-	-	-	1,494.40	1,728.63	(234.23)
105	Yandex N.V	8/29/2013	3/5/2014	3,515.55	3,401.89	113.66	-	-	-	-	3,515.55	3,401.89	113.66
45	Comstone Odebrand Inc	6/18/2012	3/6/2014	2,565.58	1,014.89	-	1,550.67	2,565.58	1,014.89	1,550.67	-	-	-
13	Coupons.com Inc	3/7/2014	3/12/2014	338.11	208.00	130.11	-	-	-	-	338.11	208.00	130.11
110	Yahoo Inc	9/5/2013	3/12/2014	3,970.56	3,163.84	806.72	-	-	-	-	3,970.56	3,163.84	806.72
105	Invicta Holdings Ltd	1/12/2013	3/12/2014	1,092.95	1,223.32	(130.37)	-	-	-	-	1,092.95	1,223.32	(130.37)
495	EOH Holdings Ltd	12/17/2013	3/18/2014	3,857.70	4,130.43	(272.73)	-	-	-	-	3,857.70	4,130.43	(272.73)
				<b>Mar Realized Gains</b>		<b>413.18</b>	<b>1,650.67</b>						
115	Pandora Media	9/18/2013	3/27/2014	3,393.92	2,994.12	389.80	-	-	-	-	3,393.92	2,994.12	389.80
12	Ultimate Software Group Inc	12/21/2011	3/27/2014	1,599.38	800.16	799.20	-	1,599.38	800.16	799.20	-	-	-
85	Amber Road Inc	3/21/2014	4/2/2014	1,279.41	1,105.00	174.41	-	-	-	-	1,279.41	1,105.00	174.41
65	Amber Road Inc	3/25/2014	4/2/2014	978.38	1,037.88	(59.51)	-	-	-	-	978.38	1,037.88	(59.51)
175	Lifelock Inc	3/12/2013	4/2/2014	3,021.81	2,061.84	959.97	-	3,021.81	2,061.84	959.97	-	-	-
13	Regional Management Corp	12/15/2013	4/2/2014	308.30	403.00	(94.70)	-	-	-	-	308.30	403.00	(94.70)
22	Signature Bank	1/3/2013	4/2/2014	2,791.73	1,618.89	1,172.84	-	2,791.73	1,618.89	1,172.84	-	-	-
35	ServiceNow Inc	2/20/2013	4/2/2014	2,170.80	1,178.33	992.47	-	2,170.80	1,178.33	992.47	-	-	-
1	Amazon.com Inc	1/7/2014	4/4/2014	315.05	404.32	(89.27)	-	-	-	-	315.05	404.32	(89.27)
1	Amazon.com Inc	1/3/2014	4/4/2014	315.39	265.17	50.22	-	-	-	-	315.39	265.17	50.22
11	GrubHub	4/4/2014	4/4/2014	392.63	288.00	104.63	-	-	-	-	392.63	288.00	104.63
107	Guidewire Software Inc	10/23/2013	4/4/2014	4,840.29	5,254.06	(413.77)	-	-	-	-	4,840.29	5,254.06	(413.77)
34	Onwer Inc	4/3/2014	4/4/2014	778.79	648.00	130.79	-	-	-	-	778.79	648.00	130.79
80	Pandora Media	9/18/2013	4/4/2014	2,213.56	2,082.87	130.69	-	-	-	-	2,213.56	2,082.87	130.69
20	Spunk Inc	4/19/2012	4/7/2014	1,212.41	674.87	537.54	-	1,212.41	674.87	537.54	-	-	-
13	Workday Inc	11/4/2014	4/7/2014	1,157.69	1,157.69	-	(189.37)	-	-	-	1,157.69	1,157.69	

Shares Sold	Security Description	Purchase Date	Issue Date	Proceeds	Basis	STCG	LTCG	Proceeds	Cost	(Loss)	Proceeds	Cost	(Loss)	
15	Godpro Inc.	6/26/2014	6/26/2014	452 15	360 00	92 15	-	-	-	-	452 15	360 00	92 15	
1,850	Montse PLC	12/19/2013	7/8/2014	1 166 53	1 518 14	(351 61)	-	-	-	-	1 166 53	1 518 14	(351 61)	
32	Restoration Hardware	8/17/2014	7/17/2014	2 668 64	2 786 23	(119 59)	-	-	-	-	2 668 64	2 786 23	(119 59)	
165	Lifetock Inc	3/12/2013	7/18/2014	2 042 89	1 944 03	-	98 86	2 042 89	1 944 03	98 86	-	-	-	
				<b>July Realized Gains</b>										
						(379 05)	98 86							
54	Acos PLC	3/18/2014	7/30/2014	2 304 92	5 328 94	(3 024 02)	-	-	-	-	2 304 92	5 328 94	(3 024 02)	
30	Arista Networks Inc	6/6/2014	7/30/2014	1 958 97	1 763 85	195 32	-	-	-	-	1 958 97	1 763 85	195 32	
4	Castlelight Health Inc	3/14/2014	8/4/2014	48 62	64 00	(17 38)	-	-	-	-	48 62	64 00	(17 38)	
230	Castlelight Health Inc	4/16/2014	8/4/2014	2,680 58	4 259 75	(1 579 17)	-	-	-	-	2 680 58	4 259 75	(1 579 17)	
13	Mobileye NV	8/1/2014	8/6/2014	489 91	325 00	144 91	-	-	-	-	489 91	325 00	144 91	
6	Arista Networks Inc	6/6/2014	8/11/2014	410 37	258 00	152 37	-	-	-	-	410 37	258 00	152 37	
40	Arista Networks Inc	6/6/2014	8/11/2014	2 735 81	2 351 53	384 28	-	-	-	-	2 735 81	2 351 53	384 28	
2	Copa Holdings SA	11/17/2011	8/11/2014	255 29	127 74	-	127 55	255 29	127 74	127 55	-	-	-	
13	Copa Holdings SA	11/17/2011	8/11/2014	1 659 42	909 54	-	749 88	1 659 42	909 54	749 88	-	-	-	
45	Kate Spade & Co F/K/A Fifth & Pacet	4/9/2014	8/14/2014	1 714 44	1 546 30	168 14	-	-	-	-	1 714 44	1 546 30	168 14	
1	Signature Bank	1/3/2013	8/21/2014	113 83	73 59	-	40 24	113 83	73 59	40 24	-	-	-	
				<b>August Realized Gains</b>										
						(3,575 55)	917 67							
50	58 COM Inc	5/23/2014	9/4/2014	2 042 35	1 968 26	78 09	-	-	-	-	2 042 35	1 968 26	78 09	
18	Copa Holdings SA	11/17/2011	9/5/2014	2 183 23	1 149 64	-	1 033 59	2 183 23	1 149 64	1 033 59	-	-	-	
7	Yelp	7/12/2013	9/5/2014	564 09	281 99	-	282 10	564 09	281 99	282 10	-	-	-	
10	Yelp	6/12/2014	9/5/2014	804 97	690 96	114 01	-	-	-	-	804 97	690 96	114 01	
120	Brookdale SR Living Inc	7/21/2014	9/11/2014	4 020 13	4 358 35	(338 22)	-	-	-	-	4 020 13	4 358 35	(338 22)	
40	Cornerstone OnDemand Inc.	5/27/2014	9/11/2014	1 637 99	1 748 46	(110 47)	-	-	-	-	1 637 99	1 748 46	(110 47)	
45	First RepublicBank San	11/26/2012	9/16/2014	2 158 91	1 558 98	-	599 93	2 158 91	1 558 98	599 93	-	-	-	
60	Homeaway Inc. Com	1/8/2014	9/11/2014	1 948 80	2 550 16	(603 36)	-	-	-	-	1 948 80	2 550 16	(603 36)	
30	Kate Spade & Co F/K/A Fifth & Pacet	11/18/2011	9/11/2014	904 21	247 95	-	656 26	904 21	247 95	656 26	-	-	-	
5	Kate Spade & Co F/K/A Fifth & Pacet	12/2/2011	9/11/2014	150 70	42 44	-	108 26	150 70	42 44	108 26	-	-	-	
7	LinkedIn Corporation	4/16/2014	9/11/2014	1 564 19	1 224 92	339 27	-	-	-	-	1 564 19	1 224 92	339 27	
10	LinkedIn Corporation	4/16/2014	9/16/2014	2 053 99	1 749 88	304 11	-	-	-	-	2 053 99	1 749 88	304 11	
18	Concur Technologies	9/5/2014	9/19/2014	2 290 78	1 979 35	311 43	-	-	-	-	2 290 78	1 979 35	311 43	
				<b>September Realized Gains</b>										
						92 88	2,880 13							
<b>Capital Gains 10/1/2012-9/30/2013 SEE:IA Fd</b>				<b>241 208 76</b>	<b>202,799 88</b>	<b>(2,089 33)</b>	<b>40 498 20</b>	<b>90,143 02</b>	<b>49,644 82</b>	<b>40,498 20</b>	<b>151,065 74</b>	<b>153,155 07</b>	<b>(2,089 33)</b>	
				<b>Total Capital Gains</b>										
							<b>38 408 88</b>							

Bold denotes transactions pending

241,208.76      202,799.88      38,408.88

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
151,066.		SEE STATEMENT ATTACHED 153,155.					VAR -2,089.	VAR
90,143.		SEE STATEMENT ATTACHED 49,645.					VAR 40,498.	VAR
TOTAL GAIN (LOSS) .....							<u>38,409.</u>	