Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning OCTOBER 1, 2013, and ending SEPTEMBER 30 2014

Name of foundation: THE WALLINGFORD HISTORICAL SOCIETY, INC

A Employer identification number: 06-6035100

Number and street (or P O box number if mail is not delivered to street address): P.O. BOX 73 | Room/suite

B Telephone number (see instructions): 203.269.9988

City or town, state or province, country, and ZIP or foreign postal code: WALLINGFORD, CT 06492

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 1,255,620

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) ________ (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	13567			
	2	Check ▶ ☒ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	24	24	24	
	4	Dividends and interest from securities	13388	13388	13388	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances 291				
	b	Less: Cost of goods sold 146				
	c	Gross profit or (loss) (attach schedule)	145			
	11	Other income (attach schedule)	83			
	12	**Total.** Add lines 1 through 11	27,207	13,412	15,327	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	(10)	(10)	(10)	
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy	13293			13293
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	8810	6133	6133	2677
	24	**Total operating and administrative expenses.** Add lines 13 through 23	22093	6123	6123	15970
	25	Contributions, gifts, grants paid	-			
	26	**Total expenses and disbursements.** Add lines 24 and 25	22093	6123	6123	15970
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	5114			
	b	**Net investment income** (if negative, enter -0-)		7289		
	c	**Adjusted net income** (if negative, enter -0-)			9204	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	1769	1605	1605
	2 Savings and temporary cash investments	42872	49693	49693
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use	6369	6123	10000
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	784,085	783,589	828,722
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶	12,397	12,397	200,000
	15 Other assets (describe ▶ FINE ARTS)			105,000
	16 **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	847,502	853,407	1,200,620
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	847,502	853,407	
	31 **Total liabilities and net assets/fund balances** (see instructions)	847,502	853,407	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	847,502
2	Enter amount from Part I, line 27a	2	5,114
3	Other increases not included in line 2 (itemize) ▶ SHORT-TERM CAP.GAINS	3	1,837
4	Add lines 1, 2, and 3	4	854,448
5	Decreases not included in line 2 (itemize) ▶ LONG-TERM CAP LOSS + ADJ	5	1,041
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	853,407

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c	SEE ATTACHED SCHEDULE			
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	(435)
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	1832

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	15567	804 422	.01935
2011	17047	803 828	.02121
2010	19 854	797 715	.02489
2009	13 647	815 457	.01674
2008	20,456	875 088	.02338

2	Total of line 1, column (d)	2	.10557
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.02111
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	839,175
5	Multiply line 4 by line 3	5	17,715
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	73
7	Add lines 5 and 6	7	17,788
8	Enter qualifying distributions from Part XII, line 4	8	15,970

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	145
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	—
3	Add lines 1 and 2	3	145
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	—
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	145
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	200
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	55
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ **Refunded** ▶	11	55

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9	X	
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		X
	Website address ▶ NA			
14	The books are in care of ▶ NORMA G. BEAUMONT Telephone no. ▶ 203 269 9980 Located at ▶ 25 MAPLEWOOD AVE, WALLINGFORD, CT ZIP+4 ▶ 06492-3227			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		NA
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		NA
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☑ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THE SCHEDULE OF				
OFFICERS+DIRECTORS IS ATTACHED.				
NO OFFICER OR DIRECTOR				
RECEIVED ANY COMPENSATION.				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 MAINTENANCE + NORMAL OPERATING	
2 EXPENSES IN CONNECTION WITH THE SAMUEL PARSONS HOUSE MUSEUM	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	803,984
b	Average of monthly cash balances	1b	47,970
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	851,954
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	—
3	Subtract line 2 from line 1d	3	851,954
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	12,779
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	839,175
6	**Minimum investment return.** Enter 5% of line 5	6	41,959

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	41,959
2a	Tax on investment income for 2013 from Part VI, line 5	2a	145		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	145
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	41,814
4	Recoveries of amounts treated as qualifying distributions			4	—
5	Add lines 3 and 4			5	41,814
6	Deduction from distributable amount (see instructions)			6	—
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	41,814

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	15,970
b	Program-related investments—total from Part IX-B	1b	—
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	—
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	—
b	Cash distribution test (attach the required schedule)	3b	—
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	15,970
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	—
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	15,970

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only				
b Total for prior years: 20___,20___,20___				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f **Total** of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

NA

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . ▶ NOVEMBER 1981

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	9204	11880	0	0	21084
b 85% of line 2a	7823	10098	0	0	17921
c Qualifying distributions from Part XII, line 4 for each year listed	15970	15562	17047	19854	68433
d Amounts included in line 2c not used directly for active conduct of exempt activities	—	—	—	—	—
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	15970	15562	17074	19854	68433
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter. (1) Value of all assets	1,255,620	1,119,777	1,179,902	1,205,021	4,760,320
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)	365,000	365,000	365,000	365,000	1,460,000
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	27,974	26,814	26,794	26,591	108,173
c "Support" alternative test—enter. (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)	13567	9565	12168	12125	47425
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)	13567	9565	12168	12125	47425
(3) Largest amount of support from an exempt organization	—	—	—	—	—
(4) Gross investment income	13412	20962	8295	111,996	154,665

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

NONE

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NA				
Total ▶ 3a				
b *Approved for future payment*				
NA				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 ______

(See worksheet in line 13 instructions to verify calculations.)

NA

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NA

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		
	(2) Other assets	1a(2)		
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		
	(3) Rental of facilities, equipment, or other assets	1b(3)		
	(4) Reimbursement arrangements	1b(4)		
	(5) Loans or loan guarantees	1b(5)		
	(6) Performance of services or membership or fundraising solicitations	1b(6)		
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		

N/A

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: ROBERT N BEAUMONT Date: 5-15-2014 Title: 1ST VICE PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no.	

Form 990PF

THE WALLINGFORD HISTORICAL SOCIETY, INC.

ID #: 06-6035188
Year Ending 9-30-2014

PART I, Line 10, Profit on Items for Resale:

	Sales	Cost	Profit
"Images of America – Wallingford" (Book)	$160	$65	$95
Wallingford Tea	2	1	1
Glass Medallion	88	62	25
Note Paper	9	5	4
Wallingford Coffee	3.50	2.50	1
Reminiscences of My Mother	3	2	1
Post Cards (sets of 4)	25.50	8.50	17
	$291	$146	$145

PART 1, Line 11, Other Income

Arcadia Publishing – Royalties from the sale of "Images of America – Wallingford"	$ 83

PART 1, Line 18, Taxes:

2013 Taxes on Net Investment Income	$271
2012/13 Overpayment of Net Investment Income + Interest	(408)
Taxes paid on CLB Dividends to the Netherlands	127
NET Taxes Paid during 2013	$(10)

PART I, Line 23, Other Expenses:

Meeting Expenses		$1834
Secretary of the State – Annual Filing Fee		50
Postage & Supplies		660
Post Office Box Rental		78
Purchase of Checks		27
Bank Service Charge		28
Investment Expense:		
Safe Deposit Box Rental	33	
Portfolio Management Fee	6000	
Fidelity Bond for Treasurer	100	
		6133
Total Other Expenses		$8810

The Wallingford Historical Society, Inc.

ID #: 60-6035188

Year Ending 9-30-2014

Part IV, Line 1 Capital Gains and Losses for Tax on Investment Income

Issue	Symbol	# of shs	Purch Date	Cost Basis	Sale Date	Sale Price	Long Term Gain/Loss	Short Term Gain/Loss
Coca Cola Company	KO	1000	7/16/13	$40,236	10/11/13	$37,666		-$2,570
Coca Cola Company	KO	500	7/29/13	$20,188	10/11/13	$18,833		-$1,355
Kinder Morgan Energy LP	KMP	10	7/15/13	$877	10/11/13	$782		-$95
JP Morgan Chase & Co	JPM	300	8/13/13	$16,150	10/18/13	$16,155		$5
JP Morgan Chase & Co	JPM	150	8/27/13	$7,663	10/18/13	$8,080		$417
Cameron Intl Corp	CAM	1000	7/29/13	$57,157	10/24/13	$54,082		-$3,075
JP Morgan Chase & Co	JPM	150	8/27/13	$7,663	11/7/13	$7,771		$108
JP Morgan Chase & Co	JPM	400	9/30/13	$20,744	11/7/13	$20,722		-$22
Mistras Group, Inc	MG	2000	10/11/13	$36,913	11/7/13	$36,024		-$889
Nike , Inc Cl B	NKE	500	10/11/13	$36,648	11/7/13	$37,991		$1,343
Chevron Corporation	CVX	300	7/29/13	$66,848	12/20/13	$66,294		-$554
Kinder Morgan Energy LP	KMP	190	7/29/13	$16,671	12/20/13	$14,851		-$1,820
Philip Morris Intl Inc	PM	300	7/16/13	$27,149	1/16/14	$24,959		-$2,190
Philip Morris Intl Inc	PM	300	7/18/13	$21,992	1/16/14	$20,811		-$1,181
Union Pacific Corp	UNP	100	7/30/13	$15,884	1/16/14	$16,767		$883
Philip Morris Intl Inc	PM	200	7/18/13	$17,594	1/23/14	$16,635		-$959
Union Pacific Corp	UNP	100	7/30/13	$15,884	1/23/14	$17,307		$1,423
Union Pacific Corp	UNP	200	9/30/13	$31,324	1/23/14	$34,605		$3,281
Union Pacific Corp	UNP	200	10/10/13	$30,821	1/23/14	$34,598		$3,777
Philip Morris Intl Inc	PM	50	7/18/13	$4,398	1/28/14	$4,038		-$360
Philip Morris Intl Inc	PM	100	9/30/13	$8,697	1/28/14	$8,076		-$621
Philip Morris Intl Inc	PM	100	9/30/13	$8,697	1/30/14	$7,953		-$744
Chevron Corporation	CVX	200	8/2/13	$24,722	1/30/14	$23,123		-$1,599
Chevron Corporation	CVX	100	8/12/13	$12,120	1/30/14	$11,559		-$561
Chevron Corporation	CVX	100	10/9/13	$11,658	1/30/14	$11,572		-$86

The Wallingford Historical Society, Inc.

ID #: 60-6035188

Year Ending 9-30-2014

Part IV, Line 1 Capital Gains and Losses for Tax on Investment Income

Issue	Symbol	# of shs	Purch Date	Cost Basis	Sale Date	Sale Price	Long Term Gain/Loss	Short Term Gain/Loss
Chevron Corporation	CVX	150	10/11/13	$17,631	1/30/14	$17,358		-$273
ConocoPhillips	COP	400	7/29/13	$26,024	1/30/14	$26,233		$209
ConocoPhillips	COP	400	11/7/13	$29,208	1/30/14	$26,233		-$2,975
ConocoPhillips	COP	100	12/20/13	$6,955	1/30/14	$6,558		-$397
Philip Morris Intl Inc	PM	200	10/11/13	$16,988	1/30/14	$15,906		-$1,082
Chevron Corporation	CVX	50	10/11/13	$5,877	1/31/14	$5,598		-$279
Chevron Corporation	CVX	200	1/16/14	$23,733	1/31/14	$22,392		-$1,341
ConocoPhillips	COP	300	12/20/13	$20,865	1/31/14	$19,465		-$1,400
ConocoPhillips	COP	300	12/20/13	$20,864	2/4/14	$19,151		-$1,713
Intel Corp	INTC	500	8/1/13	$11,567	2/4/14	$11,842		$275
CVS Caremark Corp	CVS	600	1/16/14	$40,837	2/5/14	$39,045		-$1,792
CVS Caremark Corp	CVS	200	1/23/14	$13,688	2/5/14	$13,015		-$673
CVS Caremark Corp	CVS	500	1/30/14	$33,849	2/5/14	$32,537		-$1,312
EOG Resources Inc	EOG	5	10/9/13	$864	2/5/14	$831		-$33
Intel Corp	INTC	300	8/1/13	$6,940	2/5/14	$7,081		$141
Intel Corp	INTC	700	8/1/13	$16,194	2/13/14	$17,267		$1,073
Kinder Morgan Energy LP	KMP	100	7/15/13	$8,774	3/28/14	$7,339		-$1,435
Precision Castparts, Inc	PCP	200	1/23/14	$51,952	3/28/14	$49,347		-$2,605
McDonalds Corp	MCD	400	1/23/14	$38,048	3/31/14	$39,208		$1,160
McDonalds Corp	MCD	100	1/27/14	$9,423	3/31/14	$9,802		$379
McDonalds Corp	MCD	200	2/5/14	$18,704	3/31/14	$19,603		$899
Boeing Co	BA	200	1/30/14	$25,452	4/4/14	$25,725		$273
Kinder Morgan Energy LP	KMP	300	7/16/13	$25,842	4/4/14	$22,873		-$2,969

The Wallingford Historical Society, Inc.

ID #: 60-6035188

Year Ending 9-30-2014

Part IV, Line 1 Capital Gains and Losses for Tax on Investment Income

Issue	Symbol	# of shs	Purch Date	Cost Basis	Sale Date	Sale Price	Long Term Gain/Loss	Short Term Gain/Loss
Kinder Morgan Energy LP	KMP	100	7/29/13	$8,353	4/4/14	$7,687		-$666
Precision Castparts, Inc	PCP	100	2/4/14	$24,720	4/4/14	$25,795		$1,075
Precision Castparts, Inc	PCP	200	2/5/14	$49,456	4/4/14	$51,589		$2,133
Boeing Co	BA	100	1/30/14	$12,726	4/16/14	$12,533		-$193
Boeing Co	BA	100	1/31/14	$12,434	4/16/14	$12,533		$99
Kinder Morgan Energy LP	KMP	300	7/29/13	$25,062	4/16/14	$23,442		-$1,620
Boeing Co	BA	100	1/31/14	$12,434	4/23/14	$13,003		$569
Boeing Co	BA	200	2/4/14	$24,563	4/23/14	$26,007		$1,444
Boeing Co	BA	200	2/5/14	$23,831	4/23/14	$26,007		$2,176
Google Inc Class A VTG	GOOGL	140	4/4/14	$79,404	6/30/14	$81,971		$2,567
Google Inc Class A VTG	GOOGL	60	4/23/14	$32,312	6/30/14	$35,130		$2,818
Honeywell International	HON	300	10/10/13	$24,879	6/30/14	$27,867		$2,988
Honeywell International	HON	200	10/11/13	$17,306	6/30/14	$18,576		$1,270
Honeywell International	HON	300	10/18/13	$25,333	6/30/14	$27,864		$2,531
Honeywell International	HON	100	2/4/14	$8,885	6/30/14	$9,288		$403
Starbucks Corp	SBUX	500	3/31/14	$36,941	6/30/14	$38,717		$1,776
Starbucks Corp	SBUX	700	4/4/14	$51,194	6/30/14	$54,204		$3,010
Starbucks Corp	SBUX	300	4/16/14	$20,956	6/30/14	$23,230		$2,274
WABTEC	WAB	800	3/28/14	$61,987	6/30/14	$65,787		$3,800
WABTEC	WAB	400	4/4/14	$31,103	6/30/14	$32,894		$1,791
WABTEC	WAB	400	4/16/14	$28,605	6/30/14	$32,894		$4,289

The Wallingford Historical Society, Inc.

ID #: 60-6035188

Year Ending 9-30-2014

Part IV, Line 1 Capital Gains and Losses for Tax on Investment Income

Issue	Symbol	# of shs	Purch Date	Cost Basis	Sale Date	Sale Price	Long Term Gain/Loss	Short Term Gain/Loss
Core Laboratories NV F	CLB	200	7/29/13	$29,434	8/1/14	$29,093	-$341	
Core Laboratories NV F	CLB	200	1/30/14	$35,113	8/4/14	$29,246		-$5,867
Core Laboratories NV F	CLB	200	5/20/14	$32,192	8/4/14	$29,249		-$2,943
Core Laboratories NV F	CLB	200	7/30/14	$29,824	8/4/14	$29,245		-$579
Core Laboratories NV F	CLB	100	7/29/13	$14,717	8/4/14	$14,623	-$94	
Total Short-Term Cost Basis				$1,738,590				
Total Short-Term Proceeds						$1,740,421		
Total Long-Term Cost Basis				$44,151				
Total Long-Term Proceeds						$43,716		
Total **LONG**-Term Losses							-$435	
Total **SHORT**-Term ~~Losses~~ GAINS								$1,832

Wallingford Historical Society, Inc.

INVESTMENT PORTFOLIO

Value as of September 30, 2014

ISSUE		Symbol	Number of Shares	Share Price	Market Value		Book Value
Continental Resources		CLR	2000	$66.48	$132,960		$111,652
Devon Energy CP NEW		DVN	1200	$68.18	$81,816		$92,189
EOG Resources Inc		EOG	1340	$99.02	$132,687		$114,822
Helmerich & Payne, Inc		HP	500	$97.87	$48,935		$52,753
Hess Corporation		HES	1000	$94.32	$94,320		$99,995
Lockheed Martin Corp		LMT	600	$182.78	$109,668		$100,370
Trinity Industries, Inc.		TRN	2400	$46.72	$112,128		$105,055
Union Pacific Corp		UNP	1000	$108.42	$108,420		$98,965
Schwab Adv Cash Reserve		SWQXX			$7,788		$7,788
	TOTAL				**$828,722**		**$783,589**

The Wallingford Historical Society, Inc.
Officers & Directors 2013-2014

1. Full Legal NAME: Raymond Chappell — Tel. #: 203-265-0313
   Title: President
   Residence Addr: 31 Parkview Road
   Wallingford, CT 06492

2. Full Legal NAME: Robert N. Beaumont — Tel. #: 203-269-9988
   Title: 1st Vice President
   Residence Addr: 25 Maplewood Avenue
   Wallingford, CT 06492

3. Full Legal NAME: Amy Humphries — Tel. #: 203-265-4943
   Title: 2nd Vice President
   Residence Addr: 17 Mellor Drive
   Wallingford, CT 06492

4. Full Legal NAME: Barbara Sibley — Tel. #: 203-265-1123
   Title: 3rd Vice President
   Residence Addr: 3 Eaton Trail
   Wallingford, CT 06492

5. Full Legal NAME: Patricia Chappell — Tel. #: 203-265-0313
   Title: Recording Secretary
   Residence Addr. 31 Parkview Road
   Wallingford, CT 06492

6. Full Legal NAME: Laura Bertekap — Tel: #: 203-269-3241
   Title: Corresponding Secretary
   Residence Addr: 7 Vumbaco Drive
   Wallingford, CT 06492

7. Full Legal NAME: Deborah Foley — Tel. #: 203-269-2710
   Title: Financial Secretary
   Residence Addr: 987 Yale Avenue
   Wallingford, CT 06492

8. Full Legal NAME. Noma G. Beaumont — Tel. #: 203-269-9988
   Title: Treasurer
   Residence Addr: 25 Maplewood Avenue
   Wallingford, CT 06492

9. Full Legal NAME: Robert Page — Tel. #: 203-265-2394
   Title: Director
   Residence Addr: 54 Sunrise Circle
   Wallingford, CT 06492

The Wallingford Historical Society, Inc.
Officers & Directors 2013-2014

10. Full Legal NAME: Shirley Lagerstrom — Tel. # 203-265-1284
Title: Director
Residence Addr: 55 Grieb Road
Wallingford, CT 06492

11. Full Legal NAME: Joyce Kowalczyk — Tel. #. 203-269-2793
Title. Director
Residence Addr: 3 Pilgrim Harbor
Wallingford, CT 06492

12. Full Legal NAME: William E. Austin — Tel. #: 203-294-1160
Title: Director
Residence Addr: 30 Northford Road
Wallingford, CT 06492

13. Full Legal NAME: James Leigh Barnes — Tel #: 203-265-2231
Title: Director
Residence Addr: 340 Barnes Road
Wallingford, CT 06492

14. Full Legal NAME: Jane Reed — Tel. #: 203-679-0575
Title: Director
Residence Addr: 4 Reskin Drive
Wallingford, CT 06492

15. Full Legal NAME: Doris Hall — Tel. #: 203-284-3354
Title: Director
Residence Addr: 96 Putter Drive
Wallingford, CT 06492

16. Full Legal NAME: Margaret Brown — Tel #: 203-265-6884
Title: Director
Residence Addr: 29 Pierson Drive
Wallingford, CT 06492

17. Full Legal NAME: Robert Bertakap — Tel. #: 203-269-3241
Title: Director
Residence Addr: 7 Vumbaco Drive
Wallingford, CT 06492