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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning 10/1/2013, and ending 9/30/2014

Name of foundation
ANSONIA FOUNDATION ADVISORY A/C

Number and street (or P O box number if mail is not delivered to street address) Room/suite
C/O JEFFREY C STURGESS, 35 ROSEBROOK ROAD

City or town State ZIP code
NEW CANAAN CT 06840-3727

Foreign country name Foreign province/state/county Foreign postal code

A Employer identification number
06-6033969

B Telephone number (see instructions)
(203) 266-2932

G Check all that apply Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 623,630**

J Accounting method Cash Accrual
 Other (specify) _____

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	12,651	12,619		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	35,307			
	b Gross sales price for all assets on line 6a <u>199,131</u>				
	7 Capital gain net income (from Part IV, line 2)		35,307		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	47,958	47,926	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	10,135	6,081		4,054
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,333	253		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	588	12		576
	24 Total operating and administrative expenses. Add lines 13 through 23	12,056	6,346	0	4,630
	25 Contributions, gifts, grants paid	22,050			22,050
26 Total expenses and disbursements. Add lines 24 and 25	34,106	6,346	0	26,680	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	13,852				
b Net investment income (if negative, enter -0-)		41,580			
c Adjusted net income (if negative, enter -0-)			0		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	10,614	11,988	11,988
	2	Savings and temporary cash investments	12,795	17,487	17,487
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
	Less: accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	471,096	477,723	594,155	
14	Land, buildings, and equipment basis ▶				
	Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	494,505	507,198	623,630	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	493,505	507,198	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	493,505	507,198		
31	Total liabilities and net assets/fund balances (see instructions)	493,505	507,198		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	493,505
2	Enter amount from Part I, line 27a	2	13,852
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	507,357
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	159
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	507,198

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b See Attached Statement				
c See Attached Statement				
d See Attached Statement				
e See Attached Statement				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a			0	
b			0	
c			0	
d			0	
e			0	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
a			0	
b			0	
c			0	
d			0	
e			0	
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	35,307
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries				
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))	
2012	28,426	564,963	0.050315	
2011	26,320	539,971	0.048743	
2010	35,322	569,891	0.061980	
2009	30,836	542,288	0.056863	
2008	21,865	498,334	0.043876	
2	Total of line 1, column (d)		2	0.261777
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3	0.052355
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5		4	620,477
5	Multiply line 4 by line 3		5	32,485
6	Enter 1% of net investment income (1% of Part I, line 27b)		6	416
7	Add lines 5 and 6		7	32,901
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions		8	26,680

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
1			832	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		0	
3	Add lines 1 and 2		832	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		832	
6	Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	616	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		616	
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		216	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		0	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>		0	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ BNY MELLON, N A Telephone no ▶ (203) 266-2932 Located at ▶ P.O. BOX 185, PITTSBURGH, PA ZIP+4 ▶ 15230-0185			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20, 20, 20, 20		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20, 20, 20, 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945–5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEFFREY C STURGESS 35 ROSEBROOK ROAD NEW CANAAN, CT 06840	PRESIDENT 1 00	NONE	NONE	NONE

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount	
1 NONE		
2		
All other program-related investments See instructions		
3 NONE		
Total. Add lines 1 through 3		NONE

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	595,118
b	Average of monthly cash balances	1b	34,808
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	629,926
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	629,926
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	9,449
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	620,477
6	Minimum investment return. Enter 5% of line 5	6	31,024

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	31,024
2a	Tax on investment income for 2013 from Part VI, line 5	2a	832
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	832
3	Distributable amount before adjustments Subtract line 2c from line 1	3	30,192
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	30,192
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	30,192

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	26,680
b	Program-related investments—total from Part IX-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	26,680
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	26,680

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				30,192
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			3,529	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2013 from Part XII, line 4 \blacktriangleright \$ <u>26,680</u>				
a Applied to 2012, but not more than line 2a			3,529	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				23,151
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				0
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				7,041
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ANSONIA FOUNDATION, C/O JEFFREY C STURGESS, 35 ROSEBROOK ROAD, NEW CANAAN, CT 06840

b The form in which applications should be submitted and information and materials they should include

WRITTEN LETTER, INCLUDE FULL FINANCIAL PURPOSE OF GIFT

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GRANT NOT TO EXCEED \$5,000 IN A FISCAL YEAR. FAVOR NORTHEAST INSTITUTION

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a <i>Paid during the year</i> See Attached Statement</p>				
Total				▶ 3a 22,050
<p>b <i>Approved for future payment</i> NONE</p>				
Total				▶ 3b NONE

ANSONIA FOUNDATION ADVISORY A/C

06-6033969 Page 1 of 3

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

NEW CANANN TEEN CENTER

Street

71 MAIN ST

City

NEW CANAAN

State

CT

Zip Code

06840

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

1,000

Name

NEW CANAAN NATURE CENTER

Street

144 OENOKE RIDGE

City

NEW CANAAN

State

CT

Zip Code

06840

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

2,500

Name

THE NATURE CONSERVANCY

Street

4245 NORTH FAIRFAX DRIVE, SUITE 100

City

ARLINGTON

State

VA

Zip Code

22203

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

500

Name

VASSAR COLLEGE

Street

124 RAYMOND AVENUE

City

POUGHKEEPSIE

State

NY

Zip Code

12604

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

500

Name

METROPOLITAN MUSEUM OF ART

Street

1000 5TH AVE

City

NEW YORK

State

NY

Zip Code

10028

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

550

Name

PLANNED PARENTHOOD SNE

Street

345 WHITNEY AVENUE

City

NEW HAVEN

State

CT

Zip Code

06511

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

500

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

PLANNED PARENTHOOD

Street

BOX 97166

City

WASHINGTON

State

DC

Zip Code

20090-7166

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

500

Name

NEW CANAAN LIBRARY

Street

151 MAIN STREET

City

NEW CANAAN

State

CT

Zip Code

06840

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

500

Name

TABOR ACADEMY

Street

66 SPRING STREET

City

MARION

State

MA

Zip Code

02738

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

2,500

Name

TRINITY COLLEGE

Street

300 SUMMIT STREET

City

HARTFORD

State

CT

Zip Code

06106

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

10,000

Name

AMERICARES FREE CLINICS

Street

88 HAMILTON AVE

City

STAMFORD

State

CT

Zip Code

06902

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

500

Name

HATHAWAY BROWN SCHOOL

Street

19600 NORTH PARK BLVD

City

SHAKER HEIGHTS

State

OH

Zip Code

44122

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING PURPOSES

Amount

500

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name
YALE CANCER CENTER

Street
BOX 489

City WILTON	State CT	Zip Code 06897	Foreign Country
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Relationship NONE	Foundation Status PC
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Purpose of grant/contribution GENERAL OPERATING PURPOSES	Amount 1,000
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Name
FAIRFIELD COMMUNITY FOUNDATION

Street
383 MAIN STREET

City NORWALK	State CT	Zip Code 06851	Foreign Country
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Relationship NONE	Foundation Status PC
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Purpose of grant/contribution GENERAL OPERATING PURPOSES	Amount 1,000
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Name

Street

City	State	Zip Code	Foreign Country
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Relationship	Foundation Status
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Purpose of grant/contribution	Amount
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Name

Street

City	State	Zip Code	Foreign Country
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Relationship	Foundation Status
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Purpose of grant/contribution	Amount
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Name

Street

City	State	Zip Code	Foreign Country
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Relationship	Foundation Status
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Purpose of grant/contribution	Amount
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Name

Street

City	State	Zip Code	Foreign Country
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Relationship	Foundation Status
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Purpose of grant/contribution	Amount
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Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Line	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
		Long Term CG Distributions	Amount						193,131				163,824		35,307
		Short Term CG Distributions	3,083						0				0		0
1	X	ACTAVIS INC CORP ACTION	00507K103			10/18/2013		10/18/2013	2,880	947					1,933
2	X	ADOBEE SYSTEMS INC	00724F101			3/22/2013		12/16/2013	588	430					158
3	X	ADOBEE SYSTEMS INC	00724F101			10/24/2013		12/16/2013	545	545					0
4	X	ADOBEE SYSTEMS INC	00724F101			2/12/2013		3/20/2014	687	391					296
5	X	ALCATEL-LUCENT-SPONSOR	013904305			10/2/2013		2/6/2014	521	470					51
6	X	ALCATEL-LUCENT-SPONSOR	013904305			10/2/2013		5/16/2014	683	704					-21
7	X	ALCATEL-LUCENT-SPONSOR	013904305			10/2/2013		5/22/2014	677	704					-27
8	X	ALCATEL-LUCENT-SPONSOR	013904305			10/1/2013		8/3/2014	285	282					3
9	X	ALCATEL-LUCENT-SPONSOR	013904305			10/2/2013		8/3/2014	114	117					-3
10	X	ALCATEL-LUCENT-SPONSOR	013904305			10/14/2013		8/3/2014	455	480					-5
11	X	ALLERGAN INC	018490102			12/20/2013		8/4/2014	1,984	1,297					687
12	X	ALLERGAN INC	018490102			12/23/2013		8/4/2014	2,149	1,405					744
13	X	AMGEN INC	031182100			9/16/2013		12/4/2014	589	584					5
14	X	AMGEN INC	031182100			9/16/2013		2/4/2014	1,160	1,168					-8
15	X	APPLE INC	037833100			5/16/2014		9/2/2014	723	587					136
16	X	APPLE INC	037833100			11/26/2013		9/2/2014	207	152					55
17	X	APPLE INC	037833100			11/26/2013		9/4/2014	494	380					114
18	X	APPLE INC	037833100			10/24/2013		9/4/2014	494	379					115
19	X	BNY MELLON SIT US GOV SE	05569M780			7/13/2010		12/2/2014	9,523	9,825					-302
20	X	BNY MELLON SIT US GOV SE	05569M780			8/13/2012		12/2/2014	40,477	41,219					-742
21	X	BNY MELLON EMERGING MK	05569M855			5/7/2013		1/3/2014	10,000	11,218					-1,218
22	X	BEAM INC	073730103			2/5/2013		3/7/2014	831	615					216
23	X	BEAM INC	073730103			4/4/2013		3/7/2014	831	615					216
24	X	BEAM INC	073730103			4/4/2013		4/4/2014	2,497	1,845					652
25	X	BRISTOL-MYERS SQUIBB CO	110122108			5/24/2013		2/4/2014	979	942					37
26	X	BRISTOL-MYERS SQUIBB CO	110122108			5/24/2013		8/19/2014	955	942					13
27	X	BROADCOM CORP CL A	111320107			9/27/2012		10/1/2013	264	349					-85
28	X	BROADCOM CORP CL A	111320107			9/27/2012		10/2/2013	527	697					-170
29	X	BROADCOM CORP CL A	111320107			10/9/2012		10/2/2013	527	659					-132
30	X	BROADCOM CORP CL A	111320107			11/7/2012		10/2/2013	527	616					-89
31	X	BROADCOM CORP CL A	111320107			7/23/2013		10/2/2013	284	320					-56
32	X	CABOT OIL & GAS CORP CL A	127097103			12/16/2013		8/13/2014	1,038	1,072					-34
33	X	CABOT OIL & GAS CORP CL A	127097103			12/16/2013		8/19/2014	693	715					-22
34	X	CABOT OIL & GAS CORP CL A	127097103			3/7/2014		8/16/2014	1,385	1,412					-27
35	X	CITRIX SYS INC	177376100			9/30/2010		10/14/2013	1,757	1,952					-195
36	X	COCA-COLA CO	191216100			10/26/2010		1/13/2014	396	307					89
37	X	COMCAST CORP CL A	20030N101			1/13/2014		1/13/2014	501	530					-29
38	X	COMCAST CORP CL A	20030N101			1/13/2014		8/13/2014	541	530					11
39	X	COMCAST CORP CL A	20030N101			12/16/2013		8/13/2014	541	485					56
40	X	CORNING INC	219350105			1/17/2014		4/8/2014	842	752					90
41	X	CORNING INC	219350105			1/17/2014		4/15/2014	817	752					65
42	X	CORNING INC	219350105			1/17/2014		7/18/2014	433	376					57
43	X	CORNING INC	219350105			1/21/2014		7/18/2014	1,083	937					146
44	X	COSTCO WHSL CORP NEW	22160K105			3/7/2014		6/18/2014	346	342					4
45	X	COSTCO WHSL CORP NEW	22160K105			12/28/2009		6/18/2014	461	242					219
46	X	WALT DISNEY COITHE	254687108			12/28/2009		8/13/2014	1,751	647					1,104
47	X	EXPRESS SCRIPTS HOLDING	302196108			10/26/2011		4/8/2014	3,659	2,332					1,307
48	X	FAMILY DLR STORES INC	307000109			7/11/2012		4/24/2014	1,098	1,323					-237
49	X	FAMILY DLR STORES INC	307000109			7/11/2012		4/25/2014	890	786					104
50	X	F.N.F.V GROUP	31620R402			12/10/2013		7/18/2014	5	5					0
51	X	F.N.F.V GROUP	31620R402			12/10/2013		9/15/2014	153	151					2
52	X	F.N.F.V GROUP	31620R402			12/10/2013		9/15/2014	198	201					-2
53	X	GENERAL ELECTRIC CO	369604103			11/20/1984		8/15/2014	3,325	308					3,017
54	X	GENERAL ELECTRIC CO	369604103			1/28/2013		8/15/2014	1,023	899					124
55	X	GILEAD SCIENCES INC	375558103			10/20/2011		4/8/2014	700	203					497
56	X	GILEAD SCIENCES INC	375558103			2/4/2013		11/4/2013	521	456					65
57	X	GLAXO SMITHKLINE PLC SPQ	37733W105			2/4/2013		12/18/2013	1,527	1,366					161
58	X	GLAXO SMITHKLINE PLC SPQ	37733W105			3/14/2013		12/18/2013	1,018	904					114
59	X	GOOGLE INC - CL A	38259P508			12/12/2013		11/30/2014	1,145	1,074					71
60	X	GOOGLE INC - CL A	38259P508			4/25/2013		12/12/2014	1,155	813					342
61	X	GOOGLE INC - CL A	38259P508			4/19/2013		12/12/2014	1,103	787					316
62	X	GOOGLE INC - CL A	38259P706			4/19/2013		4/10/2014	542	384					148
63	X	HALLIBURTON CO	408216101			1/13/2014		3/7/2014	1,121	1,002					119
64	X	HALLIBURTON CO	408216101			2/4/2014		4/30/2014	650	480					137
65	X	HALLIBURTON CO	408216101			2/9/2010		4/30/2014	830	284					326
66	X	HARLEY DAVIDSON INC	412822108			8/23/2013		8/13/2014	622	598					24
67	X	INTERNATIONAL BUSINESS M	459200101			9/10/2010		10/17/2013	1,568	1,156					412

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss	Totals	
															Capital Gains/Losses	Other sales
														Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
														199,131	163,924	35,307
69	X	K.L.A. - TENCOR CORP	482480100		10/17/2013		1/17/2014	823	838					0	0	-15
70	X	K.L.A. - TENCOR CORP	482480100		10/18/2013		1/17/2014	823	846					0	0	-23
71	X	KNOWLES CORP	49262D109		7/27/2011		3/5/2014	781	523					0	0	238
72	X	LAS VEGAS SANDS CORP	517934107		7/9/2011		1/14/2014	1,988	811					0	0	705
73	X	MARATHON OIL CORP	565849106		12/9/2013		1/14/2014	343	314					0	0	29
74	X	MARATHON OIL CORP	565849106		12/9/2013		1/14/2014	343	365					0	0	-22
75	X	MARATHON OIL CORP	565849106		12/22/2011		3/24/2014	1,370	1,139					0	0	231
76	X	MARATHON OIL CORP	565849106		12/21/2011		3/27/2014	690	556					0	0	134
77	X	MARATHON OIL CORP	565849106		8/15/2012		3/27/2014	1,034	825					0	0	209
78	X	MERCK & CO INC	58933Y105		1/14/2014		4/8/2014	550	525					0	0	25
79	X	METLIFE INC	59156R108		2/20/2013		12/1/2014	528	380					0	0	166
80	X	METLIFE INC	59156R108		1/27/2012		12/1/2014	528	354					0	0	172
81	X	METLIFE INC	59156R108		1/27/2012		12/22/2014	525	354					0	0	171
82	X	METLIFE INC	59156R108		8/12/2011		6/9/2014	1,642	1,017					0	0	625
83	X	METLIFE INC	59156R108		8/12/2011		6/10/2014	2,199	1,387					0	0	842
84	X	MICRON TECHNOLOGY INC	595112103		12/7/2014		9/2/2014	630	492					0	0	138
85	X	MICRON TECHNOLOGY INC	595112103		12/7/2014		9/15/2014	1,208	920					0	0	288
86	X	NATIONAL OILWELL VARCO I	637071101		9/18/2013		6/18/2014	777	821					0	0	66
87	X	NATIONAL OILWELL VARCO I	637071101		2/13/2013		6/18/2014	777	711					0	0	156
88	X	NATIONAL OILWELL VARCO I	637071101		2/13/2013		8/13/2014	822	821					0	0	201
89	X	NOW INC/DE	67011P100		2/13/2013		6/11/2014	253	203					0	0	50
90	X	NOW INC/DE	67011P100		2/20/2013		6/11/2014	84	87					0	0	17
91	X	NOW INC/DE	67011P100		9/18/2013		6/11/2014	67	62					0	0	5
92	X	NOW INC/DE	67011P100		9/18/2013		6/12/2014	17	15					0	0	2
93	X	OCCIDENTAL PETROLEUM CO	674589105		12/12/2012		12/12/2013	912	765					0	0	147
94	X	OCCIDENTAL PETROLEUM CO	674589105		12/12/2012		12/16/2013	1,821	1,593					0	0	286
95	X	OCWEN FINANCIAL CORP	675746309		7/31/2013		8/5/2014	530	954					0	0	-424
96	X	OCWEN FINANCIAL CORP	675746309		7/29/2013		8/6/2014	261	473					0	0	-212
97	X	OCWEN FINANCIAL CORP	675746309		7/30/2013		8/6/2014	261	475					0	0	-214
98	X	OCWEN FINANCIAL CORP	675746309		7/25/2013		8/8/2014	518	922					0	0	-408
99	X	OCWEN FINANCIAL CORP	675746309		2/21/2014		8/8/2014	518	718					0	0	-202
100	X	OCWEN FINANCIAL CORP	675746309		7/22/2013		8/13/2014	674	762					0	0	-88
101	X	PEPSICO INC	713448108		6/4/2013		8/14/2014	918	816					0	0	100
102	X	PFIZER INC	717081103		11/14/2013		6/4/2014	298	319					0	0	-23
103	X	PROCTER & GAMBLE CO	742718109		5/13/2011		5/30/2014	1,612	1,340					0	0	272
104	X	PROCTER & GAMBLE CO	742718109		5/13/2011		6/18/2014	797	870					0	0	127
105	X	PROCTER & GAMBLE CO	742718109		9/29/2011		6/18/2014	797	636					0	0	161
106	X	PROCTER & GAMBLE CO	742718109		8/28/1996		6/18/2014	797	248					0	0	551
107	X	SALESFORCE COM INC	79466L302		3/1/2012		10/24/2013	887	579					0	0	288
108	X	SALESFORCE COM INC	79466L302		9/22/2010		10/24/2013	217	94					0	0	123
109	X	SALESFORCE COM INC	79466L302		9/22/2010		12/7/2014	574	235					0	0	339
110	X	SCHLUMBERGER LTD	806857108		12/9/2013		4/30/2014	914	793					0	0	121
111	X	SEMPRA ENERGY	816851109		12/28/2009		2/4/2014	911	564					0	0	347
112	X	SHIRE PLC	82481R106		3/11/2011		1/5/2013	274	173					0	0	101
113	X	SHIRE PLC	82481R106		3/11/2011		1/4/2014	874	520					0	0	354
114	X	SHIRE PLC	82481R106		3/11/2011		5/21/2014	850	433					0	0	417
115	X	SHIRE PLC	82481R106		3/11/2011		7/18/2014	1,802	608					0	0	1,196
116	X	SOUTHWESTERN ENERGY CO	845467109		8/23/2013		4/9/2014	2,575	830					0	0	1,745
117	X	SOUTHWESTERN ENERGY CO	845467109		8/23/2013		4/9/2014	463	381					0	0	82
118	X	SOUTHWESTERN ENERGY CO	845467109		8/23/2013		4/10/2014	931	782					0	0	159
119	X	SOUTHWESTERN ENERGY CO	845467109		9/23/2013		6/25/2014	457	381					0	0	76
120	X	SOUTHWESTERN ENERGY CO	845467109		9/27/2012		6/25/2014	914	698					0	0	216
121	X	SPLUNK INC	846637104		3/27/2014		4/30/2014	545	731					0	0	-186
122	X	TAIWAN SEMICONDUCTOR M	874039100		7/9/2013		4/18/2014	201	182					0	0	19
123	X	TAIWAN SEMICONDUCTOR M	874039100		6/28/2013		4/18/2014	603	530					0	0	73
124	X	TAIWAN SEMICONDUCTOR M	874039100		6/28/2013		4/23/2014	610	530					0	0	80
125	X	TAIWAN SEMICONDUCTOR M	874039100		6/29/2013		5/2/2014	788	707					0	0	79
126	X	TAIWAN SEMICONDUCTOR M	874039100		7/19/2013		5/2/2014	788	644					0	0	142
127	X	TEVA PHARMACEUTICAL IND	881624209		9/25/2012		1/14/2014	829	820					0	0	9
128	X	TEVA PHARMACEUTICAL IND	881624209		9/29/2012		1/24/2014	882	815					0	0	47
129	X	TEVA PHARMACEUTICAL IND	881624209		9/28/2012		2/4/2014	442	408					0	0	34
130	X	TEVA PHARMACEUTICAL IND	881624209		9/28/2012		6/13/2014	1,020	615					0	0	205
131	X	TEVA PHARMACEUTICAL IND	881624209		9/28/2012		9/2/2014	522	408					0	0	114
132	X	TEVA PHARMACEUTICAL IND	881624209		1/18/2013		9/2/2014	1,045	761					0	0	284
133	X	THE TRAVELERS COMPANIES	89417E109		7/19/2012		12/9/2013	3,538	2,545					0	0	993
134	X	VALERO ENERGY CORP NEW	91913Y100		4/24/2013		12/12/2013	462	384					0	0	78
135	X	VALERO ENERGY CORP NEW	91913Y100		12/28/2009		1/19/2014	1,038	311					0	0	727
136	X	VIAWARE INC-CLASS A	928563402		7/29/2013		11/26/2013	814	828					0	0	-12

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss	Totals	
															Capital Gains/Losses	Other sales
	Long Term CG Distributions	3,083													199,131	35,307
	Short Term CG Distributions														0	0
137	X VMWARE INC-CLASS A	928563402			7/29/2013		1/17/2014	980	819					0	0	181
138	X VMWARE INC-CLASS A	928563402			7/29/2013		1/17/2014	980	828					0	0	154
139	X VMWARE INC-CLASS A	928563402			7/29/2013		2/21/2014	457	298					0	0	161
140	X WELLS FARGO & COMPANY	949746101			7/19/2012		2/21/2014	915	887					0	0	228
141	X WELLS FARGO & COMPANY	949746101			2/28/2010		7/7/2014	1,575	819					0	0	756
142	X YAHOO INC	984332106			3/7/2013		10/24/2013	993	883					0	0	310
143	X YAHOO INC	984332106			2/7/2013		6/16/2014	696	404					0	0	292
144	X YAHOO INC	984332106			11/5/2012		6/16/2014	1,044	521					0	0	523
145	X YAHOO INC	984332106			11/5/2012		6/23/2014	1,343	695					0	0	648
146	X YAHOO INC	984332106			11/5/2012		7/18/2014	1,381	895					0	0	688
147	X ALCATEL-LUCENT-SPONSOR	9EE000600			12/19/2013		12/19/2013	118	0					0	0	118
148	X ACTAVIS PLC	G0083B108			9/30/2013		2/4/2014	1,110	864					0	0	246
149	X ALLEGION PLC	G0176J109			2/18/2012		12/3/2013	988	562					0	0	426
150	X ALLEGION PLC	G0176J109			2/18/2012		12/9/2013	14	8					0	0	6
151	X AON PLC	G0408V102			4/27/2012		1/29/2014	797	517					0	0	280
152	X AON PLC	G0408V102			11/9/2011		1/29/2014	797	490					0	0	307
153	X ACCENTURE PLC-CL A	G1151C101			12/28/2009		10/24/2013	735	415					0	0	320
154	X ACCENTURE PLC-CL A	G1151C101			8/1/2010		3/27/2014	780	400					0	0	380
155	X COVIDIEN PLC	G2554F113			10/28/2010		10/22/2013	641	367					0	0	274
156	X COVIDIEN PLC	G2554F113			7/21/2010		12/20/2013	1,342	716					0	0	626
157	X COVIDIEN PLC	G2554F113			7/21/2010		12/23/2013	1,338	718					0	0	622
158	X AVAGO TECHNOLOGIES LTD	YD486S104			10/14/2013		9/4/2014	868	440					0	0	428

Part I, Line 16c (990-PF) - Other Professional Fees

		10,135	6,081	0	4,054
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	BNY MELLON, N A - AGENT FEE	11,842	7,105		4,737
2	BNY MELLON, N A - FEE REIMBURSEMENT	-1,707	-1,024		-683

Part I, Line 18 (990-PF) - Taxes

		1,333	253	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES WITHHELD	253	253		
2	PRIOR YEAR EXCISE TAXES DUE	464			
3	ESTIMATED EXCISE TAX PAYMENTS	616			

Part I, Line 23 (990-PF) - Other Expenses

		588	12	0	576
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	CORPORATION SERVICE COMPANY FEE	501			501
2	CHECKBOOK MAINTENANCE FEE	75			75
3	ADR FEES	12	12		

Part II, Line 13 (990-PF) - Investments - Other

	Asset Description	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
1			0	0	594,155
2	ACTAVIS PLC		0	2,016	3,378
3	AON CORP		0	1,962	3,507
4	EATON CORP PLC		0	3,368	4,182
5	INGERSOLL-RAND PLC		0	2,797	4,509
6	INVESCO LTD		0	3,708	6,317
7	MALLINCKRODT PLC		0	821	902
8	NABORS INDUSTRIES LTD COM		0	3,160	2,959
9	SEAGATE TECHNOLOGY		0	1,177	1,145
10	TE CONNECTIVITY LTD		0	2,380	2,212
11	AVAGO TECHNOLOGIES LTD		0	2,123	4,350
12	AFLAC INC		0	3,162	2,912
13	AT&T INC		0	1,059	1,410
14	ABBOTT LABORATORIES		0	3,089	3,327
15	ABBVIE INC		0	4,397	4,621
16	ADOBE SYS INC COM		0	2,537	4,151
17	ALEXION PHARMACEUTICALS INC		0	1,411	1,492
18	AMAZON COM INC		0	2,352	3,869
19	AMGEN INC		0	1,519	1,826
20	ANHEUSER BUSCH INBEV SPN ADR		0	2,485	2,550
21	APPLE COMPUTER INC COM		0	4,278	10,780
22	BNY MELLON INCOME STK FD CL M		0	30,000	36,251
23	BNY MELLON MID CAP STK FD CL M		0	43,468	58,026
24	BNY MELLON INTL APPR FD CL M		0	39,363	43,548
25	BNY MELLON ST US GVT SECS FD CL M		0	3,781	3,689
26	BNY MELLON INTERMEDIATE BOND FD C		0	48,107	47,100
27	BNY MELLON EMERGING MKTS FD CL M		0	57,763	58,246
28	BOGEN IDEC INC		0	2,153	2,316
29	BRISTOL MYERS SQUIBB CO COM		0	1,885	2,047
30	CAPITAL ONE FINL CORP COM		0	3,606	4,897
31	CARDINAL HEALTH INC COM		0	2,842	2,997
32	CATERPILLAR INC		0	1,870	3,466
33	CBS OUTDOOR AMERICAS INC		0	2,982	2,695
34	CELANESE CORP DEL		0	2,049	3,511
35	CENTERPOINT ENERGY INC		0	2,772	3,426
36	CHEVRONTXACO CORP		0	3,718	4,892
37	COMCAST CORP NEW CL A		0	2,739	4,302
38	COSTCO WHSL CORP NEW		0	1,571	3,258
39	DEVON ENERGY CORPORATION NEW		0	3,122	2,727
40	DISNEY (WALT) COMPANY HOLDING CO		0	970	2,671
41	DOVER CORPORATION		0	2,579	4,016
42	DREYFUS GLOBAL REAL ES SEC-Y		0	18,000	16,960
43	DREYFUS DIVERSIFIED EM MK-Y		0	10,000	11,140
44	ELECTRONIC ARTS		0	2,264	2,137
45	EXXON MOBIL CORP		0	1,477	3,950
46	FACEBOOK INC		0	3,115	4,742

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
47	FNF GROUP		0	1,747	1,942
48	FREPORT-MCMORAN COPPER & GOLD		0	2,691	2,155
49	GILEAD SCIENCES INC		0	814	4,258
50	GOOGLE INC		0	4,452	5,296
51	GOOGLE INC		0	1,179	1,732
52	HCA HOLDINGS INC		0	2,169	2,821
53	HALLIBURTON COMPANY COM		0	1,472	3,226
54	HARLEY DAVIDSON INC		0	2,933	2,910
55	HOME DEPOT INC USD 0 05		0	1,175	3,670
56	HONEYWELL INTL INC		0	2,386	5,587
57	ILLINOIS TOOL WORKS INC COM		0	3,472	3,377
58	INTEL CORPORATION		0	4,512	4,527
59	INTERCONTINENTALEXCHANGE GRO		0	3,467	5,071
60	J P MORGAN CHASE & CO		0	4,339	5,422
61	JOHNSON & JOHNSON COM		0	1,968	2,132
62	JOHNSON CONTROLS INC		0	2,649	3,080
63	LAS VEGAS SANDS CORP		0	1,723	2,488
64	MEAD JOHNSON NUTRITION CO-A		0	2,758	2,887
65	MERCK & CO INC		0	3,663	4,150
66	MICRON TECHNOLOGY		0	2,016	3,083
67	MONDELEZ INTERNATIONAL INC		0	1,804	3,426
68	MONSTER BEVERAGE CORP		0	3,458	4,584
69	NATIONAL-OILWELL INC		0	1,236	1,522
70	NUCOR CORP		0	2,614	3,257
71	PNC FINANCIAL SERVICES GROUP INC		0	2,169	3,423
72	PVH CORP		0	2,901	2,908
73	PEPSICO INC		0	3,124	4,654
74	PFIZER INC COM		0	859	3,046
75	PHILIP MORRIS INTERNATIONAL		0	2,193	3,336
76	PROCTER & GAMBLE CO COM		0	615	2,094
77	SALESFORCE COM INC		0	1,948	4,142
78	SCHLUMBERGER LIMITED COM		0	1,151	3,152
79	SEMPRA ENERGY		0	1,691	3,161
80	SERVGENOW INC		0	2,696	3,527
81	SOUTHWESTERN ENERGY CO		0	2,092	2,097
82	STATE ST CORP COM		0	3,345	5,889
83	DREYFUS SELECT MGER S/C VL-Y		0	20,006	28,401
84	TCW EMERGING MARKETS INCOME FUNI		0	11,945	10,838
85	THERMO ELECTRON CORP		0	2,540	2,921
86	TIME WARNER INC		0	3,756	3,760
87	UNION PACIFIC CORP		0	1,065	3,036
88	UNITED TECHNOLOGIES CORP		0	3,302	4,752
89	VALERO ENERGY CORP NEW		0	1,801	3,239
90	VERIZON COMMUNICATIONS INC		0	882	1,500
91	WELLS FARGO & CO NEW		0	2,456	4,668
92	YUM BRANDS INC		0	2,492	3,599

471,096

477,723

594,155

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 5 - Decreases not included in Part III, Line 2

1	ROC ON CUSIP 517834107 LAS VEGAS SANDS	1	39
2	ROC ON CUSIP 87234N765 TCW EMERGING	2	55
3	ROC ON CUSIP G29183103 EATON	3	41
4	COST ADJ ON SALE OF CUSIP 111320107 BROADCOM	4	24
5	Total	5	159

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
JEFFREY C STURGESS		35 ROSEBROOK ROAD	NEW CANAAN	CT	06840		PRESIDENT	1 00	NONE	NONE	NONE
1											

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1		1	0
2	2/15/2014	2	154
3	3/15/2014	3	154
4	7/9/2014	4	154
5	10/8/2014	5	154
6		6	
7		7	616

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2012 that remained undistributed at the beginning of the 2013 tax year	1	<u>3,529</u>
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	<u>3,529</u>