



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 10-01-2013 , and ending 09-30-2014

| | | | |
|---|--|--|--|
| Name of foundation ALEXANDER EASTMAN FOUNDATION | | A Employer identification number 02-0222124 | |
| Number and street (or P O box number if mail is not delivered to street address) C/O MIKE BUCKLEY 83 CONLEYS GROVE ROAD | | Room/suite | |
| City or town, state or province, country, and ZIP or foreign postal code DERRY, NH 03038 | | B Telephone number (see instructions) (603) 622-8881 | |
| G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input checked="" type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div> | | C If exemption application is pending, check here <input type="checkbox"/> | |
| H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> | |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 11,925,361 | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> | |
| J Accounting method <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.) | | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> | |
| <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual | | | |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc , received (attach schedule) | 79,791 | | | |
| | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 41 | 41 | | |
| | 4 Dividends and interest from securities. | 211,502 | 211,502 | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) _____ | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 404,328 | | | |
| | b Gross sales price for all assets on line 6a 739,613 | | | | |
| | 7 Capital gain net income (from Part IV, line 2) . . . | | 404,328 | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| Operating and Administrative Expenses | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | | | | |
| | 12 Total. Add lines 1 through 11 | 695,662 | 615,871 | | |
| | 13 Compensation of officers, directors, trustees, etc | 0 | 0 | | 0 |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) | 13,418 | 804 | | 12,614 |
| | c Other professional fees (attach schedule) | 87,463 | 40,000 | | 47,463 |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) | 11,480 | 0 | | 0 |
| | 19 Depreciation (attach schedule) and depletion . . . | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | 3,255 | 0 | | 3,255 |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) | 2,936 | 0 | | 2,936 |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 118,552 | 40,804 | | 66,268 |
| | 25 Contributions, gifts, grants paid | 533,603 | | | 525,314 |
| | 26 Total expenses and disbursements. Add lines 24 and 25 | 652,155 | 40,804 | | 591,582 |
| | 27 Subtract line 26 from line 12 | | | | |
| | a Excess of revenue over expenses and disbursements | 43,507 | | | |
| | b Net investment income (if negative, enter -0-) | | 575,067 | | |
| | c Adjusted net income (if negative, enter -0-) | | | | |

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | Beginning of year | End of year | |
|-----------------------------|--|---|-------------------|----------------|-----------------------|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash—non-interest-bearing | 77,096 | 120,950 | 120,950 |
| | 2 | Savings and temporary cash investments | | | |
| | 3 | Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 4 | Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ | 9,138 | 0 | 0 |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | 2,058 | | |
| | 10a | Investments—U S and state government obligations (attach schedule) | | | |
| | b | Investments—corporate stock (attach schedule) | | | |
| | c | Investments—corporate bonds (attach schedule). | | | |
| | 11 | Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | 11,734,188 | 11,803,611 | 11,803,611 |
| | 14 | Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____ | | | |
| 15 | Other assets (describe ▶ _____) | 0 | 800 | 800 | |
| 16 | Total assets (to be completed by all filers—see the instructions Also, see page 1, item I) | 11,822,480 | 11,925,361 | 11,925,361 | |
| Liabilities | 17 | Accounts payable and accrued expenses | 6,315 | | |
| | 18 | Grants payable | 149,814 | 158,107 | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ _____) | 5,981 | 0 | |
| | 23 | Total liabilities (add lines 17 through 22) | 162,110 | 158,107 | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | | |
| | 24 | Unrestricted | 6,046,428 | 5,999,043 | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | 5,613,942 | 5,768,211 | |
| | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31. | | | | |
| | 27 | Capital stock, trust principal, or current funds | | | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | Total net assets or fund balances (see page 17 of the instructions) | 11,660,370 | 11,767,254 | |
| | 31 | Total liabilities and net assets/fund balances (see page 17 of the instructions) | 11,822,480 | 11,925,361 | |

| Part III Analysis of Changes in Net Assets or Fund Balances | | |
|---|--|------------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) | 11,660,370 |
| 2 | Enter amount from Part I, line 27a | 43,507 |
| 3 | Other increases not included in line 2 (itemize) ▶ _____ | 63,377 |
| 4 | Add lines 1, 2, and 3 | 11,767,254 |
| 5 | Decreases not included in line 2 (itemize) ▶ _____ | 0 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 11,767,254 |

Part IV Capital Gains and Losses for Tax on Investment Income

| | | | | |
|---|---------------------------|--|--------------------------------------|----------------------------------|
| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 1a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|-----------------------------|--|---|--|
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | | |
|---|--------------------------------------|---|--|
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | | | |
|---|--|---|---|---------|
| 2 | Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 404,328 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | | 3 | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

| | | | |
|--|--|--|---|
| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
| 2012 | 605,060 | 10,364,270 | 0 058379 |
| 2011 | 624,858 | 9,755,900 | 0 064049 |
| 2010 | 551,129 | 9,554,445 | 0 057683 |
| 2009 | 584,062 | 9,579,380 | 0 060971 |
| 2008 | 544,353 | 8,424,935 | 0 064612 |

| | | | |
|---|---|---|------------|
| 2 | Total of line 1, column (d). | 2 | 0 305694 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0 061139 |
| 4 | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. | 4 | 10,532,506 |
| 5 | Multiply line 4 by line 3. | 5 | 643,947 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b). | 6 | 5,751 |
| 7 | Add lines 5 and 6. | 7 | 649,698 |
| 8 | Enter qualifying distributions from Part XII, line 4. | 8 | 591,582 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

| Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions) | | | |
|---|---|----|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 | | 1 | 11,501 |
| Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | | | |
| c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 2 | 0 |
| 3 Add lines 1 and 2. | | 3 | 11,501 |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 4 | 0 |
| 5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- | | 5 | 11,501 |
| 6 Credits/Payments | | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 | 6a | 12,280 |
| b | Exempt foreign organizations—tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | |
| d | Backup withholding erroneously withheld | 6d | |
| 7 Total credits and payments Add lines 6a through 6d. | | 7 | 12,280 |
| 8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached | | 8 | |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | | 9 | |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. | | 10 | 779 |
| 11 Enter the amount of line 10 to be Credited to 2014 estimated tax 779 Refunded | | 11 | 0 |

| Part VII-A Statements Regarding Activities | | | |
|--|--|----|-----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | 1a | No |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | | 1b | No |
| If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | | |
| c Did the foundation file Form 1120-POL for this year?. | | 1c | No |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0 (2) On foundation managers \$ 0 | | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0 | | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? | | 2 | No |
| If "Yes," attach a detailed description of the activities. | | | |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | 3 | No |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?. | | 4a | No |
| b If "Yes," has it filed a tax return on Form 990-T for this year?. | | 4b | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? | | 5 | No |
| If "Yes," attach the statement required by General Instruction T. | | | |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either | | | |
| • By language in the governing instrument, or | | | |
| • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | | 6 | Yes |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. | | 7 | Yes |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) | | | |
| NH | | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . | | 8b | Yes |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? | | | |
| If "Yes," complete Part XIV | | 9 | No |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. | | 10 | No |

Part VII-A

Statements Regarding Activities *(continued)*

| | | | | | | |
|---|--|----|-----|----|--|--|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). | 11 | | No | | |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | No | | |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | | | |
| Website address ▶ <u>www.alexandereastman.org</u> | | | | | | |
| 14 | The books are in care of ▶ <u>MIKE BUCKLEY</u> Telephone no ▶ <u>(888) 228-1821</u> Located at ▶ <u>83 CONLEYS GROVE ROAD DERRY NH</u> ZIP +4 ▶ <u>03038</u> | | | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <table><tr><td>15</td><td></td></tr></table> | 15 | | | | |
| 15 | | | | | | |
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | Yes | No | | |
| See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶ | | | | | | |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

| | | | | |
|---|---|----|-----|----|
| File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | | | Yes | No |
| 1a | During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/> | 1b | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?. | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>). | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | | No |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

YesNo

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

YesNo

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

YesNo

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

YesNo

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

YesNo

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

YesNo

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

YesNo

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

YesNo

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------|---|---|---|---------------------------------------|
| See Additional Data Table | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000.

0

Form 990-PF (2013)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

| 3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE". | | |
|--|---------------------|------------------|
| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services. | | 0 |

Part IX-A

Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B

Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 | |
| | |
| | |
| 2 | |
| | |
| | |
| All other program-related investments. See page 24 of the instructions. | |
| 3 | |
| | |
| | |
| Total. Add lines 1 through 3. | 0 |

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|--|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities. | 1a | 10,593,875 |
| b | Average of monthly cash balances. | 1b | 99,024 |
| c | Fair market value of all other assets (see instructions). | 1c | 0 |
| d | Total (add lines 1a, b, and c). | 1d | 10,692,899 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | 3 | 10,692,899 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 160,393 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 10,532,506 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 526,625 |

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|----|---|----|---------|
| 1 | Minimum investment return from Part X, line 6. | 1 | 526,625 |
| 2a | Tax on investment income for 2013 from Part VI, line 5. | 2a | 11,501 |
| b | Income tax for 2013 (This does not include the tax from Part VI). | 2b | |
| c | Add lines 2a and 2b. | 2c | 11,501 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1. | 3 | 515,124 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | 0 |
| 5 | Add lines 3 and 4. | 5 | 515,124 |
| 6 | Deduction from distributable amount (see instructions). | 6 | 0 |
| 7 | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. | 7 | 515,124 |

Part XII

Qualifying Distributions (see instructions)

| | | | |
|--|--|----|---------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. | 1a | 591,582 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required). | 3a | |
| b | Cash distribution test (attach the required schedule). | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 591,582 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). | 5 | 0 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 591,582 |
| Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years | | | |

Part XIII

Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 515,124 |
| 2 Undistributed income, if any, as of the end of 2013 | | | | |
| a Enter amount for 2012 only. | | | 0 | |
| b Total for prior years 20____, 20____, 20____ | | 0 | | |
| 3 Excess distributions carryover, if any, to 2013 | | | | |
| a From 2008. | 130,470 | | | |
| b From 2009. | 112,143 | | | |
| c From 2010. | 93,159 | | | |
| d From 2011. | 144,637 | | | |
| e From 2012. | 99,125 | | | |
| f Total of lines 3a through e. | 579,534 | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 591,582 | | | | |
| a Applied to 2012, but not more than line 2a | | | 0 | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | 0 | | |
| c Treated as distributions out of corpus (Election required—see instructions). | 0 | | | |
| d Applied to 2013 distributable amount. | | | | 515,124 |
| e Remaining amount distributed out of corpus | 76,458 | | | |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0 | | | 0 |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 655,992 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b. | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | 0 | | |
| d Subtract line 6c from line 6b Taxable amount—see instructions | | 0 | | |
| e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions | | | 0 | |
| f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). | 0 | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . . | 130,470 | | | |
| 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a | 525,522 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2009. . . . | 112,143 | | | |
| b Excess from 2010. . . . | 93,159 | | | |
| c Excess from 2011. . . . | 144,637 | | | |
| d Excess from 2012. . . . | 99,125 | | | |
| e Excess from 2013. . . . | 76,458 | | | |

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

| | | | | | | |
|----|--|----------|---------------|----------|----------|-----------|
| 2a | Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed. | Tax year | Prior 3 years | | | (e) Total |
| | | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | |
| | | | | | | |
| b | 85% of line 2a | | | | | |
| c | Qualifying distributions from Part XII, line 4 for each year listed. | | | | | |
| d | Amounts included in line 2c not used directly for active conduct of exempt activities. | | | | | |
| e | Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c. | | | | | |
| 3 | Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a | "Assets" alternative test—enter | | | | | |
| | (1) Value of all assets | | | | | |
| | (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b | "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | | | |
| c | "Support" alternative test—enter | | | | | |
| | (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). | | | | | |
| | (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | | |
| | (3) Largest amount of support from an exempt organization | | | | | |
| | (4) Gross investment income | | | | | |

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

MIKE BUCKLEY SEE ATTACHED
83 CONLEYS GROVE ROAD
DERRY, NH 03038
(888) 228-1821

b

The form in which applications should be submitted and information and materials they should include

SEE ATTACHED

c

Any submission deadlines

SEE ATTACHED

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|--|---------|
| Name and address (home or business) | | | | |
| a Paid during the year See Additional Data TableSee Additional Data Table | | | | |
| Total | | | 3a | 525,314 |
| b Approved for future payment GREATER DERRY COMMUNITY HEALTH SERVICES 28 SOUTH MAIN STREET DERRY,NH 03038 | | ORG | TO PROVIDE ACCESS TO HEALTH CARE NETWORK FOR LOW-INCOME OR UNINSURED | 102,500 |
| GREATER DERRY ORAL HEALTH COLLABORATIVE 28 SOUTH MAIN STREET DERRY,NH 03038 | | ORG | TO PROVIDE SUPPORT FOR THE CHILDREN'S DENTAL NETWORK | 55,604 |
| | | | | |
| Total | | | 3b | 158,104 |

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|---|--|--------------------------------------|----------------------------------|
| Vanguard 500 | P | 2007-09-30 | 2014-01-22 |
| Vanguard 500 | P | 2007-09-30 | 2014-04-28 |
| Vanguard 500 | P | 2007-09-30 | 2014-07-28 |
| Harbor Funds | P | 2007-09-30 | 2014-01-21 |
| Harbor Funds | P | 2007-09-30 | 2014-07-28 |
| Managers Systematic | P | 2007-09-30 | 2014-01-21 |
| Managers Times Square | P | 2007-09-30 | 2014-03-31 |
| Thornburg Funds | P | 2007-09-30 | 2014-07-28 |
| Capital Gains Dividends | P | | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 99,980 | | 44,029 | 55,951 |
| 99,980 | | 43,679 | 56,301 |
| 99,980 | | 41,589 | 58,391 |
| 59,980 | | 28,594 | 31,386 |
| 74,980 | | 34,698 | 40,282 |
| 49,980 | | 38,997 | 10,983 |
| 50,000 | | 35,510 | 14,490 |
| 74,980 | | 68,189 | 6,791 |
| 129,753 | | | 129,753 |


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 55,951 |
| | | | 56,301 |
| | | | 58,391 |
| | | | 31,386 |
| | | | 40,282 |
| | | | 10,983 |
| | | | 14,490 |
| | | | 6,791 |
| | | | 129,753 |

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---------------------------------------|
| Earle Rosse | Chair 2 50 | 0 | 0 | 0 |
| 9 Anderson Lane Londonderry, NH 03053 | | | | |
| Dr Wayne White | Vice Chair 1 50 | 0 | 0 | 0 |
| 63 North Road Sandown, NH 03873 | | | | |
| Mike Buckley | Treasurer 2 50 | 0 | 0 | 0 |
| 83 Conleys Grove Road Derry, NH 03038 | | | | |
| Larry VanDeventer | Clerk 1 50 | 0 | 0 | 0 |
| PO Box 24 Windham, NH 03087 | | | | |
| Sharyn Findlay | Trustee 1 50 | 0 | 0 | 0 |
| 1 Gertrude Road Windham, NH 03087 | | | | |
| Robert McDonald | Trustee 1 50 | 0 | 0 | 0 |
| 49 Forest Street Londonderry, NH 03053 | | | | |
| Katherine Boutin | Trustee 1 50 | 0 | 0 | 0 |
| 348 Meadow Fox Lane Chester, NH 03036 | | | | |
| Angela Kouroyen | Trustee 1 50 | 0 | 0 | 0 |
| 7 Debbie Terrace Derry, NH 03038 | | | | |
| Angela Lonng | Trustee 1 50 | 0 | 0 | 0 |
| 74 Jennifer Drive Chester, NH 03036 | | | | |
| Jen Hamilton | Trustee 1 50 | 0 | 0 | 0 |
| 37 Meadow Brook Crossing Sandown, NH 03873 | | | | |
| Dr John Lombardi | Trustee 1 50 | 0 | 0 | 0 |
| 127 Riverwalk Way Manchester, NH 03053 | | | | |
| John Vaccarezza | Trustee 1 50 | 0 | 0 | 0 |
| 83 Royal Range Road Sandown, NH 03873 | | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| COPPER CANNON CAMP 124 GALE RIVER ROAD FRANCONIA,NH 03580 | | ORG | SCHOLARSHIPS FOR 8 LOW-INCOME DERRY AREA CAMPERS | 7,600 |
| CHILD AND FAMILY SERVICES 103 NO STATE ST CONCORD,NH 03301 | | ORG | TO PROVIDE PROGRAMS FOR THE CHALLENGES OF EVERY AGE | 9,960 |
| CHILDREN'S DYSLEXIA CENTER OF NASHUA 200 MAIN ST NASHUA,NH 02064 | | ORG | TO HELP CHILDREN AND THEIR FAMILIES OVERCOME THE OBSTACLES OF DYSLEXIA | 4,000 |
| COMMUNITY CAREGIVERS OF GREATER DERRY 58 EAST BROADWAY DERRY,NH 03038 | | ORG | TO PROVIDE SUPPORT AND ASSISTANCE TO HELP PEOPLE MAINTAIN INDEPENDENCE IN THEIR HOME ENVIRONMENT | 17,500 |
| DANIEL WEBSTER COUNCIL 571 HOLD AVE MANCHESTER,NH 03109 | | ORG | TO PREPARE YOUNG PEOPLE TO MAKE ETHICAL CHOICES OVER THEIR LIFETIMES | 1,500 |
| THE DERRY BULLY PREVENTION PROJECT PO BOX 1017 DERRY,NH 03038 | | ORG | TO PROVIDE EDUCATION ABOUT THE IMPACT OF BULLYING ON BOTH VICTIMS AND PERPETRATORS | 11,664 |
| GATEWAYS COMMUNITY SERVICE 144 CANAL STREET NASHUA,NH 03064 | | ORG | TO SUPPORT THE PARTNERS IN HEALTH FLEX FUNDS PROGRAM | 10,000 |
| GENESIS SULLIVAN AND MANCHESTER COM 975 CEDAR STREET MANCHESTER,NH 03103 | | IND | SCHOLARSHIP | 2,500 |
| GREATER DERRY COMMUNITY HEALTH SERVICES 28 SOUTH MAIN STREET DERRY,NH 03038 | | ORG | TO PROVIDE ACCESS TO HEALTH CARE NETWORK FOR LOW-INCOME OR UNINSURED | 239,500 |
| GREATER DERRY ORAL HEALTH COLLABORATIVE 28 SOUTH MAIN STREET DERRY,NH 03038 | | ORG | TO PROVIDE SUPPORT FOR THE CHILDREN'S DENTAL NETWORK | 110,090 |
| LOUISE CHEVALIER AND SALTER SCHOOL 950 KINGS HWY NEW BEDFORD,MA 02745 | | IND | SCHOLARSHIP | 2,500 |
| LAURA RUTHS-SMITH AND WESTERN GOVER 3 SYCAMORE RD MILFORD,NH 03055 | | IND | SCHOLARSHIP | 2,500 |
| MEAGHAN LAMOTHE AND UNIVERSITY OF N 19 PUTNAM ROAD HUDSON,NH 03051 | | IND | SCHOLARSHIP | 2,500 |
| NH CATHOLIC CHARITIES 215 MYRTLE STREET MANCHESTER,NH 03104 | | ORG | TO SUPPORT COST OF STAFF TO REJUVENATE AND STABILIZE THE LONDON DERRY MEALS ON WHEELS SITE | 25,000 |
| PLANNED PARENTHOOD OF NEW ENGLAND 14 MANNING STREET DERRY,NH 03038 | | ORG | TO SUPPORT AFFORDABLE REPRODUCTIVE AND SEXUAL HEALTH | 25,000 |
| Total  3a | | | | 525,314 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|--|---------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| ROCKINGHAM NUTRITION & MEAL ON WHEELS 106 NORTH ROAD BRENTWOOD,NH 03833 | | ORG | TO SUPPORT COST OF FOOD PURCHASED BY NH FOOD BANK AND FOOD PANTRIES | 21,000 |
| SHIRLEY DAWSON AND FRANKLIN PIERCE 34 ELM STREET PLAISTOW,NH 03865 | | IND | SCHOLARSHIP | 2,500 |
| SONSHINE SOUP KITCHEN 4 CRYSTAL AVENUE DERRY,NH 03038 | | ORG | TO SUPPORT THE ADDITION OF HEALTHIER, FRESH FOODS TO THE SOUP KITCHEN MENU | 5,000 |
| THE UPPER ROOM EDUCATION FOR PARENTING PO BOX 1017 DERRY,NH 03038 | | ORG | TO SUPPORT COSTS OF GREATER DERRY FAMILY OUTREACH PROGRAM | 25,000 |
| Total ▶ 3a | | | | 525,314 |

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

| | |
|--|--|
| Name of the organization ALEXANDER EASTMAN FOUNDATION | Employer identification number 02-0222124 |
|--|--|

Organization type (check one)

| | |
|--------------------|---|
| Filers of: | Section: |
| Form 990 or 990-EZ | <input type="checkbox"/> 501(c)() (enter number) organization |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation |
| | <input type="checkbox"/> 527 political organization |
| Form 990-PF | <input checked="" type="checkbox"/> 501(c)(3) exempt private foundation |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | <input type="checkbox"/> 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

| Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed | | | |
|--|--|----------------------------|---|
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| <u>1</u> | LEON P WIDGER TRUST C/O BANK OF AMERICA NASHUA, NH 03064 | \$ 43,244 | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| <u>2</u> | HARRISON ALEXANDER TRUST 83 CONLEYS GROVE ROAD DERRY, NH 03038 | \$ 31,843 | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |

| | |
|---|---|
| Name of organization ALEXANDER EASTMAN FOUNDATION | Employer identification number 02-0222124 |
|---|---|

| Part II | Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed | | |
|---------------------|---|--|----------------------|
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| _____ | _____ _____ _____ _____ | \$ _____ | _____ |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| _____ | _____ _____ _____ _____ | \$ _____ | _____ |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| _____ | _____ _____ _____ _____ | \$ _____ | _____ |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| _____ | _____ _____ _____ _____ | \$ _____ | _____ |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| _____ | _____ _____ _____ _____ | \$ _____ | _____ |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| _____ | _____ _____ _____ _____ | \$ _____ | _____ |

| | |
|---|---|
| Name of organization ALEXANDER EASTMAN FOUNDATION | Employer identification number 02-0222124 |
|---|---|

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------------|---------------------------------------|-------------------------------------|--|
| — | <div></div> <div></div> <div></div> | <div></div> <div></div> <div></div> | <div></div> <div></div> <div></div> |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| <div></div> <div></div> | | <div></div> <div></div> <div></div> | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------------|---------------------------------------|-------------------------------------|--|
| — | <div></div> <div></div> <div></div> | <div></div> <div></div> <div></div> | <div></div> <div></div> <div></div> |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| <div></div> <div></div> | | <div></div> <div></div> <div></div> | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------------|---------------------------------------|-------------------------------------|--|
| — | <div></div> <div></div> <div></div> | <div></div> <div></div> <div></div> | <div></div> <div></div> <div></div> |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| <div></div> <div></div> | | <div></div> <div></div> <div></div> | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------------|---------------------------------------|-------------------------------------|--|
| — | <div></div> <div></div> <div></div> | <div></div> <div></div> <div></div> | <div></div> <div></div> <div></div> |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| <div></div> <div></div> | | <div></div> <div></div> <div></div> | |

TY 2013 Accounting Fees Schedule**Name:** ALEXANDER EASTMAN FOUNDATION**EIN:** 02-0222124

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---------------------|--------|--------------------------|------------------------|---|
| ACCOUNTING SERVICES | 3,218 | 804 | | 2,414 |
| AUDIT EXPENSE | 10,200 | 0 | | 10,200 |

TY 2013 Investments - Other Schedule

Name: ALEXANDER EASTMAN FOUNDATION

EIN: 02-0222124

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|-------------------------------|--------------------------|------------|----------------------------------|
| MUTUAL FUNDS | FMV | 10,629,570 | 10,629,570 |
| BENEFICIAL INTEREST IN TRUSTS | FMV | 1,174,041 | 1,174,041 |

TY 2013 Other Assets Schedule

Name: ALEXANDER EASTMAN FOUNDATION

EIN: 02-0222124

| Description | Beginning of Year - Book Value | End of Year - Book Value | End of Year - Fair Market Value |
|---------------------|-----------------------------------|-----------------------------|------------------------------------|
| PREPAID FEDERAL TAX | 0 | 800 | 800 |

TY 2013 Other Expenses Schedule

Name: ALEXANDER EASTMAN FOUNDATION

EIN: 02-0222124

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|----------------|-----------------------------------|--------------------------|---------------------|--|
| INSURANCE | 822 | 0 | | 822 |
| MEMBERSHIP | 725 | 0 | | 725 |
| OFFICE EXPENSE | 1,389 | 0 | | 1,389 |

TY 2013 Other Increases Schedule

Name: ALEXANDER EASTMAN FOUNDATION

EIN: 02-0222124

| Description | Amount |
|--------------------------------|--------|
| UNREALIZED GAIN ON INVESTMENTS | 63,377 |

TY 2013 Other Liabilities Schedule

Name: ALEXANDER EASTMAN FOUNDATION

EIN: 02-0222124

| Description | Beginning of Year - Book Value | End of Year - Book Value |
|---------------------|--------------------------------|--------------------------|
| ACCRUED FEDERAL TAX | 5,981 | 0 |

TY 2013 Other Professional Fees Schedule**Name:** ALEXANDER EASTMAN FOUNDATION**EIN:** 02-0222124

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-------------------------------|--------|--------------------------|------------------------|---|
| ADMINISTRATIVE SERVICES | 36,634 | 0 | | 36,634 |
| INVESTMENT MANAGEMENT FEES | 40,000 | 40,000 | | 0 |
| GRANT ADMINISTRATION | 10,829 | 0 | | 10,829 |

TY 2013 Taxes Schedule

Name: ALEXANDER EASTMAN FOUNDATION

EIN: 02-0222124

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|--------------------|--------|--------------------------|------------------------|---|
| FEDERAL EXCISE TAX | 11,480 | 0 | | 0 |

Audit - Grant program application information

ELIGIBILITY

Nonprofit 501(c) 3 organizations with public charity status and public agencies serving the greater Derry area are eligible to apply. Although renewable commitments to important programs are made over several years, the Foundation does not provide ongoing general operating support or underwrite programs indefinitely. The Foundation will not replace public or other funding available to support basic services. Grants are made neither to individuals nor to qualifying organizations to support the cost of services to particular individuals, except through the Alexander Eastman Scholarship Program.

CRITERIA

Clear, thorough and concise answers to the questions in the application will aid the Foundation in evaluating the quality of all proposals. Proposals will also be evaluated in terms of fiscal soundness of the project and applicant organization.

WHEN TO APPLY

Applications *received* by April 1 and October 1 will be considered at the May and November meetings of the AEF Board of Trustees.

HOW TO APPLY

Please go to <https://alexandereastman.egrant.net> to begin your application.

Tips

- You will need to use Internet Explorer as your browser for the on-line application.
- You will be able to save your work as you proceed, coming back later to complete.
- The application contains character limits. You may wish to draft responses in a word processing program where you can count characters and then paste them in.
- You will be asked to upload supplementary documents, such as budgets. You can upload any standard format (Word, Excel, PDF, etc.).

No paper or emailed applications will be accepted. For questions regarding the application process or your proposal content and strategy, please contact Amy Lockwood at alockwood@alexandereastman.org or 1-888-228-1821x80. If you have never applied to the foundation before, we encourage you to call before completing your application.

AEF - Scholarship Application Information

FIELD OF INTEREST

The Alexander Eastman Foundation Health Professions Scholarship Program is for students pursuing post-secondary education or training in a health care field. Consideration for scholarships is based on merit and need. The program is designed to provide scholarship grants from \$500 to \$2,500 to health care workers who seek to upgrade their professional skills to

- Utilize new equipment and medical techniques
- Improve knowledge in a specific health care area
- Add to professional qualifications to ensure job security or career advancement

ELIGIBILITY

Eligible applicants to the program must

- Currently practice health-care skills or work in health-service organization in Derry, Londonderry, Windham, Sandown, East Hampstead, Hampstead, or Chester
- OR**
- Be a resident of Derry, Londonderry, Windham, Sandown, East Hampstead, Hampstead, or Chester AND currently practice health care skills or work in health-service organizations in one of the following towns: Derry, Londonderry, Windham, Sandown, East Hampstead, Hampstead, Chester, Atkinson, Auburn, Salem, or Pelham
 - Be entering or enrolled in a full-time, part-time or short-term program of study in a health field including, but not limited to: medicine, dentistry, nursing, medical laboratory, radiology, pharmacology, rehabilitation therapy, nutrition, health care administration, or home health care
 - Be an independent student (over age 24 or have others dependent on you)
 - Understand and agree to adhere to the above mentioned residency/employment guidelines for at least 18 months after receipt of the award

HOW TO APPLY

Please go to <https://alexandereastman.egrant.net> to begin your application

Tips

- You will need to use Internet Explorer as your browser for the on-line application
- You will need to register as a first time user
- You will be able to save your work as you proceed, coming back later to complete
- The application contains character limits. You may wish to draft responses in a word processing program where you can count characters and then paste them in.
- You will be asked to upload supplementary documents, such as a resume. You can upload any standard format (Word, Excel, PDF, etc.)

Paper versions of the application are still accepted and may be downloaded here. To submit a paper application, either scan and email the completed application and attachments to bmcnamara@alexandereastman.org or mail it to

*Betsy McNamara
Alexander Eastman Foundation
75 South Main St, Unit 7 PMB 250
Concord, NH 03301*

Mailed applications must be received by the deadline. For questions regarding the application or application process, please contact Betsy McNamara at bmcnamara@alexandereastman.org or 603-219-0699.

Applications will be due in June and grant decisions will be made in August