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Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury  
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2013, or tax year beginning 9/01, 2013, and ending 8/31, 2014

THE SAMUEL B. & MARGARET C. MOSHER  
FOUNDATION  
P.O. BOX 1079  
SANTA BARBARA, CA 93102

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

H Check type of organization. ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 39,696,740.  
J Accounting method. ☒ Cash ☐ Accrual  
☐ Other (specify) (Part I, column (d) must be on cash basis)

A Employer identification number 95-6037266  
B Telephone number (see the instructions) 805-682-8879  
C If exemption application is pending, check here ☐  
D 1 Foreign organizations, check here ☐  
2 Foreign organizations meeting the 85% test, check here and attach computation ☐  
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐  
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (att sch)				
	2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	698,496.	698,496.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	6,588,605.			
	b Gross sales price for all assets on line 6a 17,300,360.		6,588,605.		
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
See Statement 1	115,095.	115,095.			
12 Total. Add lines 1 through 11	7,402,196.	7,402,196.	0.		
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc.	139,200.	13,920.		125,280.
	14 Other employee salaries and wages	108,000.	10,800.		97,200.
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) See St 2	299.	30.		269.
	b Accounting fees (attach sch) See St 3	33,782.	3,378.		30,404.
	c Other prof fees (attach sch)				
	17 Interest				
	18 Taxes (attach schedule) (see instrs) See Stm 4	29,454.	2,438.		21,938.
	19 Depreciation (attach sch) and depletion	12,180.	12,180.		
	20 Occupancy				
	21 Travel, conferences, and meetings	5,216.	522.		4,694.
	22 Printing and publications				
23 Other expenses (attach schedule)					
See Statement 5	312,192.	225,266.		86,926.	
24 Total operating and administrative expenses. Add lines 13 through 23	640,323.	268,534.		366,711.	
25 Contributions, gifts, grants paid Part XV	1,054,450.			1,054,450.	
26 Total expenses and disbursements. Add lines 24 and 25	1,694,773.	268,534.	0.	1,421,161.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	5,707,423.				
b Net investment income (if negative, enter -0-)		7,133,662.			
c Adjusted net income (if negative, enter -0-)			0.		

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Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
ASSETS	1 Cash — non-interest-bearing	19,770.	37,577.	37,577.
	2 Savings and temporary cash investments	1,490,326.	884,654.	884,654.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	6,169.	148,491.	148,491.
	10a Investments — U S and state government obligations (attach schedule)	553,693.		
	b Investments — corporate stock (attach schedule)	7,420,420.	9,746,657.	12,999,581.
	c Investments — corporate bonds (attach schedule)	18,151,904.	22,536,722.	25,325,625.
LIABILITIES	11 Investments — land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)	236,634.	238,907.	238,907.
	14 Land, buildings, and equipment basis	82,471.		
	Less accumulated depreciation (attach schedule) See Stmt 6	23,244.	67,326.	59,227.
	15 Other assets (describe See Statement 7)	2,509.	2,678.	2,678.
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	27,948,751.	33,654,913.	39,696,740.
FUND BALANCES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe )	1.	1.	
	23 Total liabilities (add lines 17 through 22)	1.	1.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	27,948,750.	33,654,912.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	27,948,750.	33,654,912.	
	31 Total liabilities and net assets/fund balances (see instructions)	27,948,751.	33,654,913.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	27,948,750.
2	Enter amount from Part I, line 27a	2	5,707,423.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	33,656,173.
5	Decreases not included in line 2 (itemize) See Statement 8	5	1,261.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	33,654,912.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a See Statement 9				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>	2	6,588,605.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		3	0.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	2,111,092.	34,695,156.	0.060847
2011	1,633,172.	32,991,596.	0.049503
2010	1,435,790.	34,224,504.	0.041952
2009	1,610,958.	31,508,939.	0.051127
2008	1,564,085.	28,472,336.	0.054933

2 Total of line 1, column (d)	2	0.258362
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.051672
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	37,612,581.
5 Multiply line 4 by line 3	5	1,943,517.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	71,337.
7 Add lines 5 and 6	7	2,014,854.
8 Enter qualifying distributions from Part XII, line 4	8	1,421,161.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)**

<b>1 a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary — see instrs)			
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		<b>1</b>	142,673.
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		<b>2</b>	0.
<b>3</b> Add lines 1 and 2		<b>3</b>	142,673.
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		<b>4</b>	0.
<b>5</b> Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		<b>5</b>	142,673.
<b>6</b> Credits/Payments.			
<b>a</b> 2013 estimated tax pmts and 2012 overpayment credited to 2013	<b>6 a</b> 148,491.		
<b>b</b> Exempt foreign organizations — tax withheld at source	<b>6 b</b>		
<b>c</b> Tax paid with application for extension of time to file (Form 8868)	<b>6 c</b>		
<b>d</b> Backup withholding erroneously withheld	<b>6 d</b>		
<b>7</b> Total credits and payments. Add lines 6a through 6d		<b>7</b>	148,491.
<b>8</b> Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached		<b>8</b>	4.
<b>9</b> Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		<b>9</b>	0.
<b>10</b> Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		<b>10</b>	5,814.
<b>11</b> Enter the amount of line 10 to be Credited to 2014 estimated tax 5,814. Refunded		<b>11</b>	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1 a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
<b>c</b> Did the foundation file Form 1120-POL for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
<b>4 a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
<b>8 a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
<b>b</b> If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
<b>10</b> Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address: <u>N/A</u>	13	X	
14	The books are in care of <u>BURLEIGH SKIDMORE, CPA</u> Telephone no. <u>(805) 682-8879</u> Located at <u>3710 STATE STREET, SUITE D SANTA BARBARA CA</u> ZIP + 4 <u>93105</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	N/A		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country: <u></u>				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1 a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u></u>	1 b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3 b	N/A
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5 a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EDWARD BIRCH P.O. BOX 1079 SANTA BARBARA, CA 93102	President & 15.00	139,200.	0.	0.
ROBERT EMMONS P.O. BOX 1079 SANTA BARBARA, CA 93102	Director 2.00	6,800.	0.	0.
R. BRUCE MCFADDEN P.O. BOX 1079 SANTA BARBARA, CA 93102	Director 2.00	6,800.	0.	0.
DAVID WINTER P.O. BOX 1079 SANTA BARBARA, CA 93102	Director 2.00	6,800.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1 a	37,048,654.
b	Average of monthly cash balances	1 b	1,136,707.
c	Fair market value of all other assets (see instructions)	1 c	
d	<b>Total</b> (add lines 1a, b, and c)	1 d	38,185,361.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	38,185,361.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	572,780.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	37,612,581.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	1,880,629.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,880,629.
2a	Tax on investment income for 2013 from Part VI, line 5	2 a	142,673.
b	Income tax for 2013 (This does not include the tax from Part VI.)	2 b	
c	Add lines 2a and 2b.	2 c	142,673.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,737,956.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,737,956.
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,737,956.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	1,421,161.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,421,161.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	1,421,161.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

BAA

Form 990-PF (2013)

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				
<b>2</b> Undistributed income, if any, as of the end of 2013				1,737,956.
<b>a</b> Enter amount for 2012 only			0.	
<b>b</b> Total for prior years 20 __, 20 __, 20 __		0.		
<b>3</b> Excess distributions carryover, if any, to 2013				
<b>a</b> From 2008				
<b>b</b> From 2009	13,574.			
<b>c</b> From 2010				
<b>d</b> From 2011				
<b>e</b> From 2012	386,490.			
<b>f</b> Total of lines 3a through e	400,064.			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 1,421,161.				
<b>a</b> Applied to 2012, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required – see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required – see instructions)	0.			
<b>d</b> Applied to 2013 distributable amount				
<b>e</b> Remaining amount distributed out of corpus	0.			1,421,161.
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	316,795.			316,795.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	83,269.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
<b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
<b>f</b> Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	83,269.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009				
<b>b</b> Excess from 2010				
<b>c</b> Excess from 2011				
<b>d</b> Excess from 2012	83,269.			
<b>e</b> Excess from 2013				

BAA



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year See Statement 11				
<b>Total</b>			▶ <b>3 a</b>	1,054,450.
<b>b</b> Approved for future payment				
<b>Total</b>			▶ <b>3 b</b>	

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			3	698,496.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					6,588,605.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue.					
a CAPITAL GAIN DISTRIBUTION			3	79,979.	
b K-1 INCOME TCP PINE, LLC			3	10,165.	
c K-1 INCOME TCP PROVO, LLC			3	19,281.	
d OTHER INCOME			3	5,670.	
e					
12 Subtotal. Add columns (b), (d), and (e)				813,591.	6,588,605.
13 Total. Add line 12, columns (b), (d), and (e)				13	7,402,196.

(See worksheet in line 13 instructions to verify calculations )

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



**Federal Statements**  
**THE SAMUEL B. & MARGARET C. MOSHER**  
**FOUNDATION**

**Statement 1**  
**Form 990-PF, Part I, Line 11**  
**Other Income**

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
CAPITAL GAIN DISTRIBUTION	\$ 79,979.	\$ 79,979.	
K-1 INCOME TCP PINE, LLC	10,165.	10,165.	
K-1 INCOME TCP PROVO, LLC	19,281.	19,281.	
OTHER INCOME	5,670.	5,670.	
Total	<u>\$ 115,095.</u>	<u>\$ 115,095.</u>	<u>\$ 0.</u>

**Statement 2**  
**Form 990-PF, Part I, Line 16a**  
**Legal Fees**

	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
LEGAL FEES	\$ 299.	\$ 30.		\$ 269.
Total	<u>\$ 299.</u>	<u>\$ 30.</u>	<u>\$ 0.</u>	<u>\$ 269.</u>

**Statement 3**  
**Form 990-PF, Part I, Line 16b**  
**Accounting Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING	\$ 33,782.	\$ 3,378.		\$ 30,404.
Total	<u>\$ 33,782.</u>	<u>\$ 3,378.</u>	<u>\$ 0.</u>	<u>\$ 30,404.</u>

**Statement 4**  
**Form 990-PF, Part I, Line 18**  
**Taxes**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FEDERAL EXCISE TAX	\$ 5,078.			
FOREIGN TAXES	4,518.	\$ 452.		\$ 4,066.
PAYROLL TAXES	18,695.	1,869.		16,826.
PROPERTY TAXES	727.	73.		654.
TAX & LICENSE	436.	44.		392.
Total	<u>\$ 29,454.</u>	<u>\$ 2,438.</u>	<u>\$ 0.</u>	<u>\$ 21,938.</u>

**Statement 5**  
**Form 990-PF, Part I, Line 23**  
**Other Expenses**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
BOARD FEE	\$ 20,400.	\$ 2,040.		\$ 18,360.
BROKERAGE FEE	215,609.	215,609.		
DUES & SUBSCRIPTION	5,163.	516.		4,647.
INSURANCE	6,927.	693.		6,234.
MEALS & ENTERTAINMENT	5,044.	504.		4,540.
OFFICE EXPENSES	3,006.	301.		2,705.
OFFICE SUPPLIES	744.	74.		670.
PARKING	771.	77.		694.
PAYROLL SERVICE FEE	2,206.	221.		1,985.
POSTAGE	262.	26.		236.
PROFESSIONAL FEE	16,200.	1,620.		14,580.
Rental Expenses	28,644.	2,864.		25,780.
REPAIRS & MAINTENANCE	9.	1.		8.
UTILITIES	1,241.	124.		1,117.
WEBSITE DEVELOPMENT EXP.	5,966.	596.		5,370.
<b>Total</b>	<b>\$ 312,192.</b>	<b>\$ 225,266.</b>	<b>\$ 0.</b>	<b>\$ 86,926.</b>

**Statement 6**  
**Form 990-PF, Part II, Line 14**  
**Land, Buildings, and Equipment**

Category	Basis	Accum. Deprec.	Book Value	Fair Market Value
Furniture and Fixtures	\$ 23,800.	\$ 13,163.	\$ 10,637.	\$ 10,637.
Machinery and Equipment	2,194.	1,610.	584.	584.
Improvements	56,477.	8,471.	48,006.	48,006.
<b>Total</b>	<b>\$ 82,471.</b>	<b>\$ 23,244.</b>	<b>\$ 59,227.</b>	<b>\$ 59,227.</b>

**Statement 7**  
**Form 990-PF, Part II, Line 15**  
**Other Assets**

	Book Value	Fair Market Value
DEPOSIT - INSURANCE	\$ 678.	\$ 678.
FINE ART	2,000.	2,000.
<b>Total</b>	<b>\$ 2,678.</b>	<b>\$ 2,678.</b>

**Statement 8**  
**Form 990-PF, Part III, Line 5**  
**Other Decreases**

K-1 TCP PINE LLC ADJ	\$ 831.
K-1 TCP PROVO LLC ADJ.	430.



**Statement 8 (continued)**  
**Form 990-PF, Part III, Line 5**  
**Other Decreases**

Total \$ 1,261.

**Statement 9**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1	1250 ABBOTT LABS	Purchased	Various	9/03/2013
2	2000 JP MORGAN CHASE	Purchased	Various	9/26/2013
3	2500 ISHARES RUSSELL 2000	Purchased	Various	9/04/2013
4	2250 CONSOLIDATED EDISON	Purchased	Various	9/10/2013
5	500 L3 COMMUNICATIONS	Purchased	Various	9/10/2013
6	1000 INTEL CORP	Purchased	Various	9/10/2013
7	1250 COCA COLA	Purchased	Various	9/17/2013
8	500 HERSHEY CO.	Purchased	Various	9/10/2013
9	500 ORACLE CORP	Purchased	Various	9/12/2013
10	500 GENERAL MILLS	Purchased	Various	9/13/2013
11	750 SVB FINANCIAL GROUP	Purchased	Various	9/17/2013
12	250 TOYOTA MOTOR CORP	Purchased	Various	9/20/2013
13	4449 VANGUARD INVEST GRADE 539	Purchased	Various	9/18/2013
14	2000 VANGUARD 500 INDEX FD 1340	Purchased	Various	10/01/2013
15	1000 CONSOLIDATED EDISON	Purchased	Various	10/01/2013
16	6000 ROYAL DUTCH SHELL PLC	Purchased	Various	10/01/2013
17	6000 GENERAL MILLS	Purchased	Various	10/02/2013
18	500 GENERAL ELECTRIC CO	Purchased	Various	10/02/2013
19	7500 INTEL CORP	Purchased	Various	10/03/2013
20	750 ABBOTT LABS	Purchased	Various	10/18/2013
21	375 TOYOTA MOTOR CORP	Purchased	Various	10/07/2013
22	1500 VERIZON COMMUNICATIONS	Purchased	Various	10/25/2013
23	500 SVB FINANCIAL GROUP	Purchased	Various	10/08/2013
24	5000 LITMAN GREGORY MASTERS 308	Purchased	Various	10/09/2013
25	2500 EMC CORP MASS	Purchased	Various	10/11/2013
26	5550 VANGUARD ST INVESTMENT GRADE 539	Purchased	Various	10/23/2013
27	2000 SOUTHERN CO	Purchased	Various	10/25/2013
28	500 L-3 COMMUNICATIONS HOLDING	Purchased	Various	10/24/2013
29	1000 ORACLE CORP	Purchased	Various	10/25/2013
30	1000 JP MORGAN CHASE	Purchased	Various	10/29/2013
31	125 COGNIZANT TECH SOLUTIONS	Purchased	Various	10/31/2013
32	500 STRYKER CORP	Purchased	Various	10/31/2013
33	5000 GENERAL MILLS	Purchased	Various	11/04/2013
34	6250 INTEL CORP	Purchased	Various	11/04/2013
35	4000 L3 COMMUNICATIONS	Purchased	Various	11/04/2013
36	3000 ROYAL DUTCH SHELL PLC	Purchased	Various	11/04/2013
37	4000 SOUTHERN CO	Purchased	Various	11/04/2013
38	750 TOYOTA MOTOR CORP	Purchased	Various	11/06/2013
39	2500 EMC CORP MASS	Purchased	Various	11/07/2013
40	20000 VANGUARD INVEST GRADE 539	Purchased	Various	11/12/2013
41	2250 ABBOTT LABS	Purchased	Various	11/13/2013
42	1000 ORACLE CORP	Purchased	Various	9/13/2013
43	250 HERSHEY CO.	Purchased	Various	11/22/2013
44	1250 MICROSOFT CORP	Purchased	Various	11/22/2013
45	125 COGNIZANT TECH SOLUTIONS	Purchased	Various	11/29/2013
46	5000 LITMAN GREGORY MASTERS	Purchased	Various	12/02/2013
47	1250 INTEL CORP	Purchased	Various	12/03/2013

**Statement 9 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
48	45000 VANGUARD TOTAL INDEX #113	Purchased	Various	12/04/2013
49	5000 VANGUARD INVEST GRADE 539	Purchased	Various	12/04/2013
50	1250 HERSHEY CO.	Purchased	Various	12/04/2013
51	750 MCDONALD'S CORP	Purchased	Various	12/04/2013
52	1250 SVB FINANCIAL GROUP	Purchased	Various	12/04/2013
53	3875 VERIZON COMMUNICATIONS	Purchased	Various	12/04/2013
54	500 L-3 COMMUNICATIONS HOLDING	Purchased	Various	12/04/2013
55	1000 3M COMPANY	Purchased	Various	12/04/2013
56	3000 ABBOTT LABS	Purchased	Various	12/04/2013
57	1250 EMC CORP MASS	Purchased	Various	12/04/2013
58	2250 ORACLE CORP	Purchased	Various	12/04/2013
59	1000 SOUTHERN CO	Purchased	Various	12/04/2013
60	3750 MICROSOFT CORP	Purchased	Various	12/06/2013
61	125 INTERNATIONAL BUSINESS MACH.	Purchased	Various	12/10/2013
62	1750 COCA COLA	Purchased	Various	12/17/2013
63	1250 ROYAL DUTCH SHELL PLC	Purchased	Various	12/17/2013
64	28842 LANX OFFSHORE PARTNERS (EXCHANGE)	Purchased	Various	2/26/2014
65	15000 SCOUT INTERNATIONAL	Purchased	Various	6/04/2014
66	3375 INTERNATIONAL BUSINESS MACH.	Purchased	Various	6/06/2014
67	8000 ABBVIE INC.	Purchased	Various	6/06/2014
68	3500 OCCIDENTAL PETROLEUM	Purchased	Various	6/06/2014
69	8000 AT & T INC	Purchased	Various	6/06/2014
70	9874 AT & T INC	Purchased	Various	6/06/2014
71	7000 MCDONALD'S CORP	Purchased	Various	6/06/2014
72	2500 L-3 COMMUNICATIONS HOLDING	Purchased	Various	6/06/2014
73	15000 NISOURCE INC.	Purchased	Various	6/06/2014
74	15500 COGNIZANT TECH SOLUTIONS	Purchased	Various	6/06/2014
75	9500 STRYKER CORP	Purchased	Various	6/06/2014
76	2250 ISHARES S&P MIDCAP 400	Purchased	Various	6/06/2014
77	7500 SVB FINANCIAL GROUP	Purchased	Various	6/06/2014
78	2500 ISHARES S & P SMALL 600	Purchased	Various	6/06/2014
79	FED HOME LOAN BANK - MATJRED	Purchased	Various	6/18/2014
80	US TREASURY NOTE	Purchased	Various	2/15/2014

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1	42,968.		27,160.	15,808.				\$ 15,808.
2	103,087.		55,660.	47,427.				47,427.
3	217,870.		186,717.	31,153.				31,153.
4	124,088.		98,794.	25,294.				25,294.
5	45,549.		27,846.	17,703.				17,703.
6	22,669.		16,770.	5,899.				5,899.
7	48,081.		27,937.	20,144.				20,144.
8	45,032.		23,084.	21,948.				21,948.
9	16,360.		5,787.	10,573.				10,573.
10	24,316.		13,110.	11,206.				11,206.
11	63,441.		14,422.	49,019.				49,019.
12	31,557.		13,695.	17,862.				17,862.
13	47,619.		48,027.	-408.				-408.
14	261,610.		230,620.	30,990.				30,990.
15	55,638.		43,908.	11,730.				11,730.
16	400,776.		123,523.	277,253.				277,253.
17	316,903.		170,430.	146,473.				146,473.
18	11,980.		16,261.	-4,281.				-4,281.

**Statement 9 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
19	175,921.		192,030.	-16,109.				\$ -16,109.
20	25,017.		16,296.	8,721.				8,721.
21	47,632.		20,543.	27,089.				27,089.
22	72,361.		46,698.	25,663.				25,663.
23	45,890.		9,615.	36,275.				36,275.
24	100,800.		84,150.	16,650.				16,650.
25	60,621.		35,075.	25,546.				25,546.
26	59,607.		59,385.	222.				222.
27	84,484.		66,758.	17,726.				17,726.
28	47,185.		27,846.	19,339.				19,339.
29	33,001.		12,090.	20,911.				20,911.
30	52,340.		27,830.	24,510.				24,510.
31	10,988.		4,563.	6,425.				6,425.
32	37,194.		22,560.	14,634.				14,634.
33	253,738.		131,100.	122,638.				122,638.
34	151,435.		105,018.	46,417.				46,417.
35	405,067.		202,358.	202,709.				202,709.
36	204,385.		61,761.	142,624.				142,624.
37	166,767.		133,517.	33,250.				33,250.
38	96,761.		41,085.	55,676.				55,676.
39	59,040.		35,075.	23,965.				23,965.
40	214,900.		214,000.	900.				900.
41	85,436.		48,888.	36,548.				36,548.
42	34,519.		12,090.	22,429.				22,429.
43	24,275.		11,542.	12,733.				12,733.
44	45,899.		34,103.	11,796.				11,796.
45	11,598.		4,563.	7,035.				7,035.
46	102,350.		84,150.	18,200.				18,200.
47	29,787.		20,963.	8,824.				8,824.
48	740,258.		908,065.	-167,807.				-167,807.
49	53,750.		53,500.	250.				250.
50	119,477.		57,712.	61,765.				61,765.
51	72,314.		11,976.	60,338.				60,338.
52	126,836.		24,038.	102,798.				102,798.
53	187,074.		120,636.	66,438.				66,438.
54	51,785.		22,743.	29,042.				29,042.
55	131,279.		81,050.	50,229.				50,229.
56	112,665.		65,184.	47,481.				47,481.
57	29,963.		17,538.	12,425.				12,425.
58	95,246.		33,248.	61,998.				61,998.
59	40,794.		33,379.	7,415.				7,415.
60	142,033.		101,550.	40,483.				40,483.
61	22,023.		10,284.	11,739.				11,739.
62	68,679.		39,112.	29,567.				29,567.
63	83,694.		25,734.	57,960.				57,960.
64	288,425.		225,000.	63,425.				63,425.
65	567,600.		524,563.	43,037.				43,037.
66	622,464.		271,245.	351,219.				351,219.
67	434,936.		188,497.	246,439.				246,439.
68	350,631.		339,658.	10,973.				10,973.
69	281,920.		220,468.	61,452.				61,452.
70	347,912.		1.	347,911.				347,911.
71	710,581.		110,864.	599,717.				599,717.

**Statement 9 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
72	304,390.		103,020.	201,370.				\$ 201,370.
73	562,888.		225,363.	337,525.				337,525.
74	748,364.		282,924.	465,440.				465,440.
75	811,832.		374,154.	437,678.				437,678.
76	341,340.		325,973.	15,367.				15,367.
77	799,238.		144,225.	655,013.				655,013.
78	285,594.		281,955.	3,639.				3,639.
79	250,000.		249,615.	385.				385.
80	300,000.		304,078.	-4,078.				-4,078.
Total								<u>\$ 6588605.</u>

**Statement 10**  
**Form 990-PF, Part XV, Line 2a-d**  
**Application Submission Information**

**Name of Grant Program:**

Name: MOSHER FOUNDATION  
 Care Of: EDWARD BIRCH - PRESIDENT/CEO  
 Street Address: P.O. BOX 1079  
 City, State, Zip Code: SANTA BARBARA, CA 93102  
 Telephone: (805) 962-1700  
 E-Mail Address:

**Form and Content:**

OUTLINE OF THE SPECIFIC PURPOSE AND AMOUNT OF GRANT  
 APPLIED FOR.

**Submission Deadlines:**  
**Restrictions on Awards:**

APPLICATIONS ARE ACCEPTED ANY TIME DURING BUSINESS HOURS.  
 GRANTS TO BE USED SPECIFICALLY FOR ORGANIZATIONS WHICH ARE  
 DESCRIBED IN SECTIONS 170(C) 501(C) AND 2522(A) OF THE  
 INTERNAL REVENUE CODE AND WHICH ARE ORGANIZED AND OPERATED  
 FOR CHARITABLE, EDUCATIONAL, HEALTH CARE, INCLUDING  
 PERFORMING ARTS INSTITUTIONS.

**Statement 11**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
ALL SAINTS BY -THE-SEA EPISCOPAL CHURCH 83 EUCALYPTUS LANE SANTA BARBARA, CA 93108	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 2,000.

**Statement 11 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
ANIMAL RESCUE TEAM 875 CARRIAGE DRIVE SOLVANG, CA 93463	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 250.
BISHOP DIEGO GARCIA HIGH SCHOOL 4000 LA COLINA ROAD SANTA BARBARA, CA 93110	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
CANCER CENTER OF SANTA BARBARA 524 WEST PUEBLO SANTA BARBARA, CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	51,000.
COMMUNITY ARTS MUSIC ASSOCIATION 2060 ALAMEDA PADRE SERRA, SUITE 201 SANTA BARBARA, CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	26,000.
FOODBANK OF SANTA BARBARA COUNTY 4554 HOLLISTER AVENUE SANTA BARBARA, CA 93110	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	3,000.
FRIENDSHIP PADDLE 920 GARDEN STREET SANTA BARBARA, CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,200.
GARDEN CLUB OF SANTA BARBARA 320 ORTEGA RIDGE ROAD SANTA BARBARA, CA 93108	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	3,000.
BOY SCOUTS OF AMERICA - LOS PADRES 4000 MODOC ROAD SANTA BARBARA, CA 93110	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	500.
BRAILLE INSTITUTE OF SANTA BARBARA 2031 DE LA VINA STREET SANTA BARBARA, CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	300.
CALIFORNIA ACADEMY FOUNDATION (MAD) 700 EAST ANAPAMU STREET SANTA BARBARA, CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	25,000.
HOLLISTER SCHOOL 4950 ANITA LANE SANTA BARBARA, CA 93111	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	10,750.

**Statement 11 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
CAMP DRIVEN SPIRIT 199 VALPARAISO AVENUE COTATI, CA 94931	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 5,000.
KAVLI INSTITUTE OF THEORETICAL PHYSICS C/O UC SANTA BARBARA SANTA BARBARA, CA 93106	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
LAGUNA BLANCA SCHOOL 4125 PALOMA DRIVE SANTA BARBARA, CA 93110	NONE	EXEMPT	GENERAL ACADEMIC PROGRAM SUPPORT.	41,000.
LAGUNA COTTAGES FOR SENIORS 803 LAGUNA STREET SANTA BARBARA, CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
MARYMOUNT ACADEMY INC. 2130 MISSION RIDGE ROAD SANTA BARBARA, CA 93103	NONE	EXEMPT	GENERAL ACADEMIC PROGRAM SUPPORT.	54,000.
DOS PUEBLOS ENGINEERING ACADEMY P.O. BOX 313 GOLETA, CA 93116	NONE	EXEMPT	GENERAL ACADEMIC PROGRAM SUPPORT.	50,000.
OPERA SANTA BARBARA 1330 STATE STREET, SUITE 209 SANTA BARBARA, CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	26,000.
PROVIDENCE 3723 MODOC ROAD SANTA BARBARA, CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	121,900.
CASA DEL HERRERO FOUNDATION 1387 EAST VALLEY ROAD SANTA BARBARA, CA 93108	NONE	EXEMPT	PROGRAM SUPPORT.	10,500.
CENTER FOR LIFELONG LEARNING 721 CLIFF DRIVE SANTA BARBARA, CA 93109	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	30,000.
CHARLOTTE NATURE MUSEUM, DISCOVERY PLACE 301 N. TRYON STREET CHARLOTTE, NC 28202	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	250.

**Statement 11 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
SANTA BARBARA COTTAGE HOSP FOUNDATION P.O. BOX 689 SANTA BARBARA, CA 93102	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 6,000.
COMMUNITY ENVIRONMENTAL COUNCIL OF SB 26 W. ANAPAMU ST. 2ND FLOOR SANTA BARBARA, CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	250.
CSU CHANNEL SLANDS FOUNDATION ONE UNIVERSITY DRIVE 1591 CAMARILLO, CA 93012	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,900.
SANTA BARBARA NEIGHBORHOOD CLINICS 1900 STATE STREET, SUITE G SANTA BARBARA, CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,000.
SANTA BARBARA RESCUE MISSION 535 E. YANONALI STREET SANTA BARBARA, CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,700.
SANTA BARBARA SYMPHONY 1330 STATE STREET, SUITE 102 SANTA BARBARA, CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	25,000.
STORYTELLER CHILDREN'S CENTER, INC. 2115 STATE STREET SANTA BARBARA, CA 93105	NONE	EXEMPT	CHILDHOOD EDUCATION AND SUPPORT.	41,100.
DREAM FOUNDATION 1528 CHAPALA STREET, SUITE 304 SANTA BARBARA, CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	100.
WESTMONT COLLEGE 955 LA PAZ ROAD SANTA BARBARA, CA 93108	NONE	EXEMPT	GENERAL ACADEMIC PROGRAM SUPPORT.	25,000.
WESTMONT COLLEGE FOUNDATION 955 LA PAZ ROAD SANTA BARBARA, CA 93108	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	3,500.
CARRIAGE MUSEUM 129 CASTILLO STREET SANTA BARBARA, CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.

**Statement 11 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
ENSEMBLE THEATRE OF SANTA BARBARA P.O. BOX 2307 SANTA BARBARA, CA 93120	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 5,000.
DIRECT RELIEF INTERNATIONAL 27 S. LA PATERA LANE SANTA BARBARA, CA 93117	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
FOOD FROM THE HEART P.O. BOX 3908 SANTA BARBARA, CA 93130	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
FOUNDATION ROUNDTABLE P.O. BOX 60157 SANTA BARBARA, CA 93160	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,500.
GOOD SHEPHERD PRESCHOOL 380 N. FAIRVIEW GOLETA, CA 93117	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
MUSIC ACADEMY OF THE WEST 1070 FAIRWAY ROAD SANTA BARBARA, CA 93108	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	101,000.
SAN MARCOS HIGH SCHOOL 4750 HOLLISTER AVE. SANTA BARBARA, CA 93110	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
SANTA BARBARA BOTANIC GARDENS 1212 MISSION CANYON ROAD SANTA BARBARA, CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	50,500.
SANTA BARBARA CHAMBER ORCHESTRA P.O. BOX 90903 SANTA BARBARA, CA 93109	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
KIDS HELPING KIDS FOUNDATION 500 NINOS DRIVE SANTA BARBARA, CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	16,000.
SCHOLARSHIP FOUNDATION OF SANTA BARBARA P.O. BOX 3620 SANTA BARBARA, CA 93105	NONE	EXEMPT	SUPPORT FOR SCHOLARSHIP FUND.	1,000.



**Statement 11 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
SYV THERAPEUTIC RIDING PROGRAM P.O.BOX 256 SOLVANG, CA 93464	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 100.
UCSB FOUNDATION C/O UC SANTA BARBARA SANTA BARBARA, CA 93106	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,000.
VISITING NURSE & HOSPICE CARE OF STA BAR 512 E, GUTIERREZ ST, SUITE A SANTA BARBARA, CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
LA PATERA ELEMENTARY SCHOOL 555 LA PATERA LANE GOLETA, CA 93117	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	15,000.
LIFE CHRONICLES 113 W. MISSION STREET, SUITE B2 SANTA BARBARA, CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	6,000.
LITTLE STAR PONY FOUNDATION 1036 ARBOLADO ROAD SANTA BARBARA, CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	500.
LOBERO THEATRE FOUNDATION 33 EAST CANON PERDIDO STREET SANTA BARBARA, CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
COTTAGE REHABILITATION HOSPITAL FOUND. 2415 DE LA VINA ST. SANTA BARBARA, CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	250.
NATURE CONSERVANCY 4245 N. FAIRFAX DRIVE, SUITE 100 ARLINGTON, VA 22203	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	100.
MENTAL WELLNESS CENTER 617 GARDEN STREET SANTA BARBARA, CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,100.
PEABODY CHARTER SCHOOL FOUNDATION 3018 CALLE NOGUERA SANTA BARBARA, CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	4,000.

**Statement 11 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
PHILANTHROPY ROUNDTABLE 1730 M ST. NW SUITE 601 WASHINGTON, DC 20036	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 2,500.
SAN MARCOS AAPLE FOUNDATION P.O. BOX 30636 SANTA BARBARA, CA 93130	NONE	EXEMPT	PROGRAM SUPPORT.	50,000.
SANTA BARBARA ACQUATICS CLUB P.O. BOX 1702 GOLETA, CA 93116	NONE	EXEMPT	PROGRAM SUPPORT.	1,000.
SANTA BARBARA ATHLETIC ROUND TABLE P.O. BOX 3813 SANTA BARBARA, CA 93130	NONE	EXEMPT	PROGRAM SUPPORT.	500.
SANTA BARBARA BOWL FOUNDATION 1122 N. MILPAS STREET SANTA BARBARA, CA 93101	NONE	EXEMPT	PROGRAM SUPPORT.	39,000.
SANTA BARBARA COAST VOLLEYBALL ACADEMY P.O. 30772 SANTA BARBARA, CA 93130	NONE	EXEMPT	PROGRAM SUPPORT.	3,000.
SANTA BARBARA MUSEUM OF ART 1130 STATE STREET SANTA BARBARA, CA 93101	NONE	EXEMPT	PROGRAM SUPPORT.	25,000.
SANTA BARBARA PUBLIC LIBRARY FOUNDATION 40 EAST ANAPAMU STREET SANTA BARBARA, CA 93101	NONE	EXEMPT	PROGRAM SUPPORT.	65,000.
SANTA CRUZ ISLAND FOUNDATION 5045 WULLBRANDT AVENUE CARPINTERIA, CA 93013	NONE	EXEMPT	PROGRAM SUPPORT.	10,000.
SB CENTER FOR THE PERFORMING ARTS 1216 STATE STREET, SUITE 201 SANTA BARBARA, CA 93101	NONE	EXEMPT	PROGRAM SUPPORT.	25,000.
UNITY SHOPPE, INC. 110 WEST SOLA STREET SANTA BARBARA, CA 93101	NONE	EXEMPT	PROGRAM SUPPORT.	2,000.

**Federal Statements**  
**THE SAMUEL B. & MARGARET C. MOSHER**  
**FOUNDATION**

**Statement 11 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
SB COURTHOUSE LEGACY FOUNDATION 1105 SANTA BARBARA ST., 2ND FLOOR SANTA BARBARA, CA 93101	NONE	EXEMPT	PROGRAM SUPPORT.	\$ 16,500.
SB INTERNATIONAL ORCHID SHOW, INC. P.O. BOX 62154 SANTA BARBARA, CA 93160	NONE	EXEMPT	PROGRAM SUPPORT.	1,000.
WILDLING ART MUSEUM 1511-B MISSION DRIVE SOLVANG, CA 93463	NONE	EXEMPT	PROGRAM SUPPORT.	1,500.
ST. VINCENT'S 4200 CALLE REAL SANTA BARBARA, CA 93110	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,500.
TEDDY BEAR CANCER FOUNDATION 2320 BATH STREET, SUITE 107 SANTA BARBARA, CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	100.
TRANSITION HOUSE 425 E. COTA STREET SANTA BARBARA, CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	500.
WILDLIFE CARE NETWORK P.O. BOX 6594 SANTA BARBAERA, CA 93160	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	3,600.
WOMEN'S FUND OF SANTA BARBARA 1111 CHAPALA STREET SANTA BARBARA, CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	500.

Total \$ 1,054,450.

PART II, LINE 10b - INVESTMENTS - CORPORATE STOCK

CORPORATE NAME	NO. OF SHARES	BOOK VALUE	FAIR MARKET VALUE
ROYAL DUTCH SHELL PLC ADR	3,750	77,202	303,638
TOYOTA MOTOR LTD CO	2,375	111,147	271,510
NOVARTIS AG SPONSORED ADR	3,750	332,857	336,900
ROCHE HLDG LTD SPONSORED ADR	8,750	328,606	319,463
3M COMPANY	4,000	295,340	576,000
DANAHER CORP	3,250	251,357	248,983
GENERAL ELECTRIC CO.	16,500	496,596	428,670
UNITED PARCEL SERVICE INC CL B	3,250	336,072	316,323
MCDONALD'S CORP	2,250	35,571	210,870
COMCAST CORP NEW CL A SPL	8,500	442,246	464,100
LIBERTY INTERACTIVE CORP INT	10,000	291,710	295,200
TARGET CORP	5,150	293,468	309,360
COCA COLA	11,000	233,750	458,920
HERSHEY CO.	5,000	230,846	457,100
PROCTER & GAMBLE CO	5,000	218,100	415,550
EXPRESS SCRIPTS HLDG CO	4,500	290,082	332,685
HCA HOLDINGS INC	6,000	327,092	418,920
UNITED HEALTH GROUP, INC	4,250	339,631	368,390
JP MORGAN CHASE CO.	12,500	341,859	743,125
FRANKLIN RES INC	6,250	345,491	353,250
AMERICAN INTERNATIONAL GROUP	6,500	350,952	364,390
MICROSOFT CORP	10,750	293,541	488,373
ORACLE CORP	13,750	161,860	571,037
APPLE, INC	7,000	531,488	717,500
EMC CORPORATION	18,750	171,412	553,687
QUALCOMM INC	4,000	322,090	304,400
VERIZON COMMUNICATIONS, INC	13,125	619,170	653,887
EDISON INTERNATIONAL	10,000	562,712	591,400
SCHLUMBERGER LTD	2,500	243,943	274,100
SUNCOR ENERGY, INC	9,000	348,636	369,810
EATON CORP PLC SHS	3,500	256,604	244,335
UBS AG SHS	13,250	265,227	237,705
<b>TOTAL</b>		<b>9,746,657</b>	<b>12,999,581</b>

PART II, LINE 10c - CORPORATE BONDS

CORPORATE NAME	NO. OF SHARES	BOOK VALUE	FAIR MARKET VALUE
PIMCO FUNDS TOTAL RETURN FD	85,564	920,371	940,356
VANGUARD SHORT TERM INVEST #539	195,399	2,074,301	2,100,547
EATON VANCE GLOBAL MACRO ABS #88	60,000	575,629	559,203
LOOMIS SAYLES INSTITUTIONAL BOND FD #1162	51,952	766,246	826,041
PIMCO INCOME FUND INSTITUTIONAL #1821	55,078	672,917	702,806
THE OSTERWEIS STRATEGIC INCOME FD #1039	50,035	591,529	600,923
TEMPLETON GLOBAL BOND FUND-AD #616	77,517	1,040,334	1,035,634
PRIMECAP ODYSSEY AGGR GRT FD #1651	21,623	368,086	724,396
LITMAN GREGORY MASTERS SMALLER #308	24,999	361,957	548,992
T ROWE PRICE NEW HORIZONS FD #42	5,499	256,332	262,554
COLUMBIA CONTRATION CORE FD #801	31,712	634,849	714,165
VANGUARD INDEX 500 FUND FD #1340	15,000	1,674,270	2,298,035
AQR MANAGED FUTURES STRATEGY FD #113	25,258	258,720	258,391
AMERICAN FUNDS NEW WORLD FD #636	8,084	479,819	494,373
DODGE & COX INT'L STOCK FD #1048	20,000	920,400	939,800
PIMCO ALL ASSET FD INSTITUTIONAL #34	45,000	547,900	577,350
SPDR SERIES TRUST BRC CNV	6,250	286,535	319,688
SPDR S & P MIDCAP 400	4,500	996,500	1,177,830
ISHARES CORE S & P	9,000	868,010	993,240
ISHARES COHEN & STEER	5,000	389,673	452,150
VANGUARD INT'L EQUITY INDEX FD ALL WRLD	17,500	867,252	910,700
ISHARES TR MSCI EMERGING MKTS	9,500	335,824	428,070
GREENLIGHT MASTERS OFFSHORE I LTD		1,000,000	1,336,222
LANX OFFSHORE PARTNERSHIP		2,884,258	2,980,600
TERRAPIN OFFSHORE FUND OF FUNDS LTD		2,250,000	2,633,579
GENERAL ELECTRIC CAPITAL CORP		515,010	509,980
<b>TOTAL</b>		<b>22,536,722</b>	<b>25,325,625</b>

PART II, LINE 13 - INVESTMENTS - OTHER

	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT - TCP PROVO, LLC	100,537 00	100,537 00
INVESTMENT - TCP PINE, LLC	123,370 00	123,370 00
INVESTMENT - MALAMUTE VENTURES, LLC	15,000 00	15,000.00
	<b>238,907.00</b>	<b>238,907.00</b>