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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning SEP 1, 2013, and ending AUG 31, 2014

Name of foundation
**THE JOHN RANDOLPH HAYNES
AND DORA HAYNES FOUNDATION**

Number and street (or P.O. box number if mail is not delivered to street address)
888 W. SIXTH STREET

Room/suite
1150

City or town, state or province, country, and ZIP or foreign postal code
LOS ANGELES, CA 90017

A Employer identification number
95-1644020

B Telephone number
(213) 623-9151

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply.
☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 55,310,556. (Part I, column (d) must be on cash basis)

J Accounting method: ☐ Cash ☐ Accrual
☒ Other (specify) **MODIFIED CASH**

Part I Analysis of Revenue and Expenses		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc., received				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	66,931.	66,931.	66,931.	STATEMENT 1
4	Dividends and interest from securities	936,249.	936,249.	936,249.	STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	3,011,825.			
b	Gross sales price for all assets on line 6a 14,508,074.				
7	Capital gain net income (from Part IV, line 2)		3,011,825.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	53,234.	53,234.	53,234.	STATEMENT 3
12	Total. Add lines 1 through 11	4,068,239.	4,068,239.	1,056,414.	
Operating and Administrative Expenses					
13	Compensation of officers, directors, trustees, etc.	101,210.	30,363.	30,363.	70,847.
14	Other employee salaries and wages	274,349.	82,305.	82,305.	192,044.
15	Pension plans, employee benefits	96,603.	28,981.	28,981.	67,622.
16a	Legal fees STMT 4	441.	176.	176.	265.
b	Accounting fees STMT 5	29,500.	11,800.	11,800.	17,700.
c	Other professional fees STMT 6	232,715.	232,715.	232,715.	0.
17	Interest	208.	83.	83.	125.
18	Taxes STMT 7	76,894.	5,629.	5,629.	169.
19	Depreciation and depletion				
20	Occupancy	59,206.	23,682.	23,682.	35,524.
21	Travel, conferences, and meetings	14,760.	5,904.	5,904.	8,856.
22	Printing and publications				
23	Other expenses STMT 8	159,512.	108,051.	108,051.	51,461.
24	Total operating and administrative expenses. Add lines 13 through 23	1,045,398.	529,689.	529,689.	444,613.
25	Contributions, gifts, grants paid	1,435,994.			1,435,994.
26	Total expenses and disbursements. Add lines 24 and 25	2,481,392.	529,689.	529,689.	1,880,607.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	1,586,847.			
b	Net investment income (if negative, enter -0-)		3,538,550.		
c	Adjusted net income (if negative, enter -0-)			526,725.	

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**THE JOHN RANDOLPH HAYNES
AND DORA HAYNES FOUNDATION**

Form 990-PF (2013)

95-1644020 Page 2

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	200.	200.	200.
	2 Savings and temporary cash investments	629,558.	366,236.	366,236.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	8,470.	8,470.	8,470.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings and equipment basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	42,922,736.	44,783,396.	54,933,697.
	14 Land, buildings, and equipment: basis ▶ STMT 11 Less accumulated depreciation STMT 11	39,105. 39,105.		
Liabilities	15 Other assets (describe ▶ STATEMENT 12)	1,953.	1,953.	1,953.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	43,562,917.	45,160,255.	55,310,556.
	17 Accounts payable and accrued expenses	11,250.	2,000.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
Net Assets or Fund Balances	22 Other liabilities (describe ▶ EXCISE TAX PAYABLE)	7,774.	27,515.	
	23 Total liabilities (add lines 17 through 22)	19,024.	29,515.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	43,543,893.	45,130,740.	STATEMENT 9
	30 Total net assets or fund balances	43,543,893.	45,130,740.	
	31 Total liabilities and net assets/fund balances	43,562,917.	45,160,255.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	43,543,893.
2 Enter amount from Part I, line 27a	2	1,586,847.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	45,130,740.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	45,130,740.

**THE JOHN RANDOLPH HAYNES
AND DORA HAYNES FOUNDATION**

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SHORT TERM CAPITAL GAINS/LOSSES		P		
b LONG TERM CAPITAL GAINS/LOSSES		P		
c PARTNERSHIP CAPITAL GAINS/LOSSES		P		
d PARTNERSHIP CAPITAL GAINS/LOSSES		P		
e CAPITAL GAINS DIVIDENDS				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 830,486.		777,739.	52,747.
b 11,163,272.		10,162,751.	1,000,521.
c 904,038.		555,759.	348,279.
d 1,104,126.			1,104,126.
e 506,152.			506,152.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			52,747.
b			1,000,521.
c			348,279.
d			1,104,126.
e			506,152.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	3,011,825.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	52,747.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,942,746.	46,202,658.	.042048
2011	1,862,289.	42,396,780.	.043925
2010	2,122,908.	45,105,065.	.047066
2009	2,496,893.	41,687,460.	.059896
2008	2,692,335.	38,289,524.	.070315

2 Total of line 1, column (d)	2	.263250
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.052650
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	51,886,085.
5 Multiply line 4 by line 3	5	2,731,802.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	35,386.
7 Add lines 5 and 6	7	2,767,188.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,880,607.

**THE JOHN RANDOLPH HAYNES
AND DORA HAYNES FOUNDATION**

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	70,771.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	70,771.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	70,771.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	56,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	56,000.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	324.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	15,095.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.HAYNESFOUNDATION.ORG	13	X	
14	The books are in care of ► WILLIAM BURKE Telephone no. ► (213) 623-9151 Located at ► 888 W. SIXTH STREET, SUITE 1150, LOS ANGELES, CA ZIP+4 ► 90017			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► _____, _____, _____	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SCHEDULE B				
	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM BURKE - 888 W. SIXTH STREET, #1150, LOS ANGELES, CA 90017	ADM. DIR. 40.00	182,943.	28,000.	0.
DENIA CAMACHO - 888 W. SIXTH STREET, #1150, LOS ANGELES, CA 90017	ADM. ASST. 40.00	91,406.	15,000.	0.

Total number of other employees paid over \$50,000

0

Form 990-PF (2013)

**THE JOHN RANDOLPH HAYNES
AND DORA HAYNES FOUNDATION**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
PATHWAY PRIVATE EQUITY FUND	MANAGEMENT ADVISORY	
2211 MICHELSON DRIVE, IRVINE, CA 92612	FEES	139,931.
ANGELES INVESTMENT SERVICES - 429 SANTA	MANAGEMENT ADVISORY	
MONICA BLVD, #500, SANTA MONICA, CA 90401	FEES	83,784.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 THE JOHN RANDOLPH HAYNES AND DORA HAYNES FOUNDATION ACCOMPLISHES ITS CHARITABLE PURPOSE SOLELY THROUGH MAKING GRANTS AND NEITHER CONDUCTS CHARITABLE ACTIVITIES NOR HAS	0.
2 PROGRAM RELATED INVESTMENTS.	
	0.
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 THE JOHN RANDOLPH HAYNES AND DORA HAYNES FOUNDATION ACCOMPLISHES ITS CHARITABLE PURPOSE SOLELY THROUGH MAKING GRANTS AND NEITHER CONDUCTS CHARITABLE ACTIVITIES NOR HAS	0.
2 PROGRAM RELATED INVESTMENTS.	
	0.
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2013)

**THE JOHN RANDOLPH HAYNES
AND DORA HAYNES FOUNDATION**

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	51,994,107.
b	Average of monthly cash balances	1b	671,698.
c	Fair market value of all other assets	1c	10,423.
d	Total (add lines 1a, b, and c)	1d	52,676,228.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	52,676,228.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	790,143.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	51,886,085.
6	Minimum investment return. Enter 5% of line 5	6	2,594,304.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,594,304.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	70,771.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	70,771.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	2,523,533.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,523,533.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,523,533.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,880,607.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,880,607.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	1,880,607.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**THE JOHN RANDOLPH HAYNES
AND DORA HAYNES FOUNDATION**

Form 990-PF (2013)

95-1644020 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,523,533.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	144,133.			
b From 2009	429,580.			
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	573,713.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$	1,880,607.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				1,880,607.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	573,713.			573,713.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				69,213.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(a) 2013

(b) 2012

(c) 2011

(d) 2010

(e) Total

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SCHEDULE C

b The form in which applications should be submitted and information and materials they should include:

SCHEDULE C

c Any submission deadlines:

SCHEDULE C

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SCHEDULE C

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Form 990-PF (2013)

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Part XV	Supplementary Information (continued)
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3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SCHEDULE D				1,435,994.
Total			► 3a	1,435,994.
b <i>Approved for future payment</i>				
SCHEDULE D				1,025,088.
Total			► 3b	1,025,088.

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

N/A

Part XVII	Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations	10-10-2026
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1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		


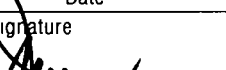
[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	 Signature of officer or trustee		Date <u>13 JAN 2015</u>		Title <u>ADM DIRECTOR</u>	
Paid Preparer Use Only	Print/Type preparer's name		Preparer's signature		Date	
	JOHN D. WILLIAMS				1/9/15	
	Check <input type="checkbox"/> if self-employed		PTIN		P00023618	
Firm's name ▶ WILLIAMS & RIBB LLP, CPA'S					Firm's EIN ▶ 33-0102115	
Firm's address ▶ 6700 E. PACIFIC COAST HIGHWAY, SUITE 2 LONG BEACH, CA 90803					Phone no. (562) 423-8777	

Form **990-PF** (2013)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
DEPOSITORY ACCOUNTS	50.	50.	50.
MONEY MARKET FUNDS	27.	27.	27.
PARTNERSHIP INTERESTS	66,854.	66,854.	66,854.
TOTAL TO PART I, LINE 3	66,931.	66,931.	66,931.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
EQUITY & FIXED INCOME MUTUAL FUNDS	1,388,783.	506,152.	882,631.	882,631.	882,631.
PARTNERSHIP DIVIDEND	53,618.	0.	53,618.	53,618.	53,618.
TO PART I, LINE 4	1,442,401.	506,152.	936,249.	936,249.	936,249.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MINERAL INTEREST ROYALTIES	29,863.	29,863.	29,863.
OTHER INCOME	-1,590.	-1,590.	-1,590.
REIBURSED GRANT FUNDS	24,961.	24,961.	24,961.
TOTAL TO FORM 990-PF, PART I, LINE 11	53,234.	53,234.	53,234.

FORM 990-PF	LEGAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LOEB & LOEB	441.	176.	176.	265.
TO FM 990-PF, PG 1, LN 16A	441.	176.	176.	265.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WILLIAMS & RIBB LLP	29,500.	11,800.	11,800.	17,700.
TO FORM 990-PF, PG 1, LN 16B	29,500.	11,800.	11,800.	17,700.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT COUNSELOR -				
ANGELES INVESTMENT ADVISOR	83,784.	83,784.	83,784.	0.
WILLIAM DEVERELL	3,000.	3,000.	3,000.	0.
MANAGEMENT ADVISORY FEES -				
PATHWAY	139,931.	139,931.	139,931.	0.
RAPHAEL J. SONENSHEIN	3,000.	3,000.	3,000.	0.
THOMAS J. SITTON	3,000.	3,000.	3,000.	0.
TO FORM 990-PF, PG 1, LN 16C	232,715.	232,715.	232,715.	0.

FORM 990-PF	TAXES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	71,096.	0.	0.	0.
PERSONAL PROPERTY TAX	282.	113.	113.	169.
FOREIGN TAXES	5,516.	5,516.	5,516.	0.
TO FORM 990-PF, PG 1, LN 18	76,894.	5,629.	5,629.	169.

FORM 990-PF	OTHER EXPENSES			STATEMENT 8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
POSTAGE	378.	151.	151.	227.
CUSTODIAL FEES	-10.	-4.	-4.	-6.
SUPPLIES	2,460.	984.	984.	1,476.
TELEPHONE	3,484.	1,394.	1,394.	2,090.
INSURANCE	7,462.	2,985.	2,985.	4,477.
REPAIRS & MAINTENANCE	16,730.	6,692.	6,692.	10,038.
OTHER	23,959.	9,584.	9,584.	14,375.
FILES STORAGE	1,909.	763.	763.	1,145.
PAYROLL SERVICE	1,945.	778.	778.	1,167.
PARTNERSHIP DEDUCTIONS	73,743.	73,743.	73,743.	0.
ADMINISTRATIVE SUPPORT	27,096.	10,839.	10,839.	16,258.
PENALTIES	356.	142.	142.	214.
TO FORM 990-PF, PG 1, LN 23	159,512.	108,051.	108,051.	51,461.

FORM 990-PF	OTHER FUNDS		STATEMENT 9
DESCRIPTION	(A) BEGINNING OF YEAR	(B) END OF YEAR	
FOUNDATION PRINCIPAL	43,543,893.	45,130,740.	
TOTAL TO FORM 990-PF, PART II, LINE 29	43,543,893.	45,130,740.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
EQUITY MUTUAL FUNDS - SCHEDULE A-1	COST	22,655,209.	29,394,076.
FIXED INCOME MUTUAL FUNDS - SCHEDULE A-2	COST	6,878,446.	7,368,189.
PRIVATE INVESTMENT FUNDS - SCHEDULE A-3	COST	7,116,284.	8,036,626.
INVESTMENTS IN PARTNERSHIPS - SCHEDULE A-4	COST	8,133,457.	10,134,806.
TOTAL TO FORM 990-PF, PART II, LINE 13		44,783,396.	54,933,697.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT 11
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
FURNITURE AND FIXTURES	39,105.	39,105.	0.
TOTAL TO FM 990-PF, PART II, LN 14	39,105.	39,105.	0.

FORM 990-PF	OTHER ASSETS	STATEMENT 12
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
BOLSA CHICA MINERAL RIGHTS	1,953.	1,953.	1,953.
TO FORM 990-PF, PART II, LINE 15	1,953.	1,953.	1,953.

2013 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	FURNITURE AND FIXTURES	01/01/00	200DB	7.00	17	39,105.			39,105.	39,105.		0.
	* TOTAL 990-PF PG 1 DEPR					39,105.		0.	39,105.	39,105.	0.	0.

THE JOHN RANDOLPH HAYNES AND DORA HAYNES FOUNDATION
95-1644020
RETURN OF PRIVATE FOUNDATION FORM 990-PF
YEAR ENDED AUGUST 31, 2014

LIST OF ATTACHMENTS

Schedule A	Schedule of investments
Schedule B	List of trustees, officers and managers
Schedule C	Statement regarding application for grants, scholarships, etc.
Schedule D	Summary of grant activity
Schedule E	Depreciable assets and depreciation

SCHEDULE A-1

THE JOHN RANDOLPH HAYNES AND DORA HAYNES FOUNDATION

95-1644020

RETURN OF PRIVATE FOUNDATION FORM 990-PF

YEAR ENDED AUGUST 31, 2014

Equity Mutual Funds	Shares	Cost	Market Value
Allianz Agic Convertible	71,814.164	\$ 2,063,508	\$ 2,642,761
DFA Emerging Markets Core Equity Portfolio	33,653.960	663,504	728,272
DFA International Small Company Portfolio	48,666.760	849,060	968,468
Dodge & Cox Global Stock Fund	341,766.630	2,950,708	4,388,283
Fidelity Spartan 500 Index Advantage Fund	40,783.680	1,799,536	2,907,469
Morgan Stanley Institutional Funds			
Global Real Estate Fund Class	243,291.520	2,206,843	2,732,164
Touchstone Sands Capital Instl Growth Fund	93,642.600	1,702,817	2,227,757
Vanguard Developed Markets Index Fund	297,771.870	3,531,152	3,990,143
Vanguard Value Index Fund	168,438.270	4,085,625	5,435,503
Vanguard International Growth Fund	44,554.960	2,802,456	3,373,256
Total equity mutual funds		\$ 22,655,209	\$ 29,394,076

SCHEDULE A-2

THE JOHN RANDOLPH HAYNES AND DORA HAYNES FOUNDATION

95-1644020

RETURN OF PRIVATE FOUNDATION FORM 990-PF

YEAR ENDED AUGUST 31, 2014

Fixed Income Mutual Funds	Shares	Cost	Market Value
Payden Core Bond Fund	632,666 080	\$ 6,406,946	\$ 6,877,081
Payden Emerging Markets Bond Fund	13,410 710	190,892	191,639
Payden High Income Fund	41,650.750	280,608	299,469
Total fixed income mutual funds		\$ 6,878,446	\$ 7,368,189

SCHEDULE A-3
THE JOHN RANDOLPH HAYNES AND DORA HAYNES FOUNDATION
95-1644020
RETURN OF PRIVATE FOUNDATION FORM 990-PF
YEAR ENDED AUGUST 31, 2014

Private Investment Funds	Shares	Cost	Market Value
Angeles Absolute Return Fund Ltd	5,826 769	\$ 6,500,000	\$ 7,758,963
Highland Credit Strategies Fund Ltd	550 705	550,705	240,664
Shepherd Investments International Funds Ltd	7,972 787	65,297	34,606
Ramius Multi Strategy Fund Ltd	.237	282	2,393
Total private investment funds		\$ 7,116,284	\$ 8,036,626

SCHEDULE A-4
THE JOHN RANDOLPH HAYNES AND DORA HAYNES FOUNDATION
95-1644020
RETURN OF PRIVATE FOUNDATION FORM 990-PF
YEAR ENDED AUGUST 31, 2014

Investments in Partnerships	Cost	Market Value
HarbourVest/Dover Street VIII Cayman Fund	\$ 533,205	\$ 740,407
HarbourVest Global Annual PEF	40,000	40,000
Pathway Private Equity Fund 2007, LP	1,861,131	2,291,964
Pathway Private Equity Fund Investors 6, LP	1,078,556	1,224,947
Wellington Trust CTF Global Perspectives Fund	3,200,938	4,323,709
Wellington Trust CTF Small Cap Opportunities Fund	1,419,627	1,513,779
Total investments in partnerships	\$ 8,133,457	\$ 10,134,806

SCHEDULE B
THE JOHN RANDOLPH HAYNES AND DORA HAYNES FOUNDATION
95-1644020
RETURN OF PRIVATE FOUNDATION FORM 990-PF
YEAR ENDED AUGUST 31, 2014

LIST OF TRUSTEES, OFFICERS, AND MANAGERS

Name and address of trustee	Position	Time devoted to business	Expenses	Compensation
Gil Garcetti, Esq 888 W. Sixth Street, Suite 1150 Los Angeles, CA 90017	Trustee/Research Committee Member	4 hours per week	\$ -	\$ 5,040
Philip M Hawley 888 W. Sixth Street, Suite 1150 Los Angeles, CA 90017	Trustee/Research Committee Member/ Finance Committee Chair	12 hours per week	-	25,040
Kent Kresa 888 W Sixth Street, Suite 1150 Los Angeles, CA 90017	Trustee/Research Committee Member	4 hours per week	-	10
Dr Daniel A Mazmanian 888 W Sixth Street, Suite 1150 Los Angeles, CA 90017	Trustee/Research Committee Member	4 hours per week	-	5,100
Dr Jane G Pisano 888 W Sixth Street, Suite 1150 Los Angeles, CA 90017	President/Secretary/ Treasurer/Trustee/ Research Committee Chair/Finance Committee Member	12 hours per week	3,306	25,800
Gilbert T. Ray, Esq 888 W. Sixth Street, Suite 1150 Los Angeles, CA 90017	Trustee/Research Committee Member	4 hours per week	-	5,040
Willis B Wood Jr. 888 W. Sixth Street, Suite 1150 Los Angeles, CA 90017	Trustee/Research Committee Member/ Finance Committee Member	4 hours per week	-	10,060
Enrique Hernandez Jr 888 W Sixth Street, Suite 1150 Los Angeles, CA 90017	Trustee/Research Committee Member	4 hours per week	-	10,050
Roberto Suro 888 W Sixth Street, Suite 1150 Los Angeles, CA 90017	Trustee/Research Committee Member	4 hours per week	-	5,030
Robert Eckert 888 W Sixth Street, Suite 1150 Los Angeles, CA 90014	Trustee/Resarch Committee Member/ Finance Committee Member	4 hours per week		10,040
Total officer, trustee and foundation manager compensation			\$3,306	\$101,210

2014-2015

Grant and Fellowship Program

The Haynes Foundation has been a force for change in Los Angeles for over eight decades, and from its beginnings has focused attention on issues of Los Angeles government and civic causes. The Foundation's founders, Dr. John Randolph Haynes and Dora Haynes, were passionate advocates of involved citizenship who believed that through the social sciences the Foundation not only could identify critical social and political problems in Los Angeles but also offer solutions to them. Hundreds of research projects focusing on Los Angeles issues have been funded since the Foundation's establishment in 1926, many of which have borne the fruit of real improvements for ordinary citizens. Awards are made only to organizations within the United States and whose endeavors are focused in or on Los Angeles. Grants and fellowships are made only to qualified non-profit organizations, never to individuals. Before contacting the Foundation regarding funding, please go to our web site at www.haynesfoundation.org and read our How to Apply, Program, and FAQ sections carefully. On-line Proposal Information Forms and Notices of Final Report are required for all grants and fellowships.

Funds are restricted to:

- Original social science research into policy issues of the Los Angeles Region (comprised of the counties of Los Angeles, Ventura, San Bernardino, Riverside, and Orange),
- Archival and cataloging projects and research important to Los Angeles,
- Faculty Fellowships for teaching faculty at universities and four-year colleges in the five-county Los Angeles Region,
- Dissertation Fellowships for Ph.D. candidates at research universities in the five-county Los Angeles Region. This program is named in memory of Haynes Lindley, a Foundation Trustee from 1978-2011 and President from 1987-1997.

Grants

Major Research Grants

The Foundation seeks applications and awards grants and fellowships for research on major economic, social and political problems of the Los Angeles Region. Preference is given to applied studies which, in addition to adding significantly to knowledge and understanding of issues, are judged to show promise of influencing policies and practices addressing these issues. The research project's potential for publication and its use by the community are also taken into account. The Foundation is willing to consider subsequent requests for support in disseminating the results of the studies it funds. Major research projects concerning the history of Southern California will also be considered.

Deadlines

October 30, 2014	September 9, 2015
March 12, 2015	November 3, 2015
May 6, 2015	

Archival Grants

A modest portion of the Foundation's annual research budget is reserved to support archival and cataloging projects at libraries and other local institutions in Los Angeles. The relevance and significance of the materials to Southern California and for public policy, along with their fragility, rarity and completeness are taken into account in selecting these projects for award.

Deadline: January 26, 2015

Fellowships

Faculty Fellowships

(8 awards available @ \$12,000 each)

Faculty Fellowships are awarded by the Foundation on an annual basis to social science faculty members teaching at any university or four-year college in the Los Angeles Region. The fellowships are competitive and favor is given to proposals which are well-conceived, innovative, imaginative, and break new ground on economic, social and political problems. The Foundation's interest is in social science research which has implication for influencing policy and action in the Los Angeles region, but research projects concerning the history of Southern California will also be considered. The year-long fellowships are to be used in support of faculty research time and expenses.

Deadlines

December 11, 2014	December 10, 2015
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Haynes Lindley Doctoral Dissertation Fellowships

(8 awards available @ \$20,000 each)

The Haynes Lindley Doctoral Dissertation Fellowships are competitively awarded on an annual basis to Ph.D. candidates enrolled at institutions awarding the Ph.D. degree in the social sciences in the Los Angeles Region (i.e., the California Institute of Technology, the Claremont Graduate University, the University of California, Los Angeles, the University of California, Irvine, the University of California, Riverside, and the University of Southern California). Applicants must have had their dissertation proposals accepted and be working with their faculty advisors. Proposals should address economic, social, policy or political problems of the Los Angeles area.

Deadline: February 19, 2015

The John Randolph Haynes and Dora HAYNES FOUNDATION



Promoting the Well-Being of Mankind

Highlights of the Application Process

Please visit "How to Apply" at www.HaynesFoundation.org for detailed information on the application procedures.

Archival and Research Grants (5 packets)

- Cover letter from the institution, signed by an administrative officer
- A statement of purpose for which the funds will be used
- Detailed budget and time-line
- Names and qualifications of the personnel involved (1-2 page personal resumes are sufficient)
- Copies of the institution's exemption letters from the Internal Revenue Service and the California Franchise Tax Board
- Double spaced, 12 point type face preferred but not required
- Print double sided with three-hole punch

Faculty Fellowships (5 packets)

- Two page proposal stating the research problem or project goals, the methods to be used, and the way the grant money will be spent
- A two-page condensed personal resume
- Double spaced in 12 point type face
- Each proposal should be accompanied by written indication of institutional support from the academic dean or other appropriate academic administrator designated by the college or university

Haynes Lindley Doctoral Dissertation Fellowships (5 packets)

- A one-page cover sheet
- Six page proposal stating the research project, project goals and methods to be used
- Double spaced in 12 point type face
- One page bibliography listing key sources of direct relevance to the dissertation topic
- A two-page condensed personal resume
- A letter of recommendation signed and sent separately by the applicant's faculty advisor with an estimation of the completion date. The faculty advisor's letter will be considered confirmation of institutional support for the proposal.

The Haynes Foundation
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SCHEDULE D
THE JOHN RANDOLPH HAYNES AND DORA HAYNES FOUNDATION
95-1644020
RETURN OF PRIVATE FOUNDATION FORM 990-PF,
YEAR ENDED AUGUST 31, 2014

	Grants payable at August 31, 2013	Grants unspent from 2012-2013	Grants authorized during the year	Grant expenditures during the year	Grants payable at August 31, 2014
Education grants					
Fellowships					
Faculty Fellowships	\$ -	\$ -	\$ 96,000	\$ 72,000	\$ 24,000
Doctoral Dissertation Fellowships	20,000	(20,000)	160,000	100,000	60,000
Total fellowships	20,000	(20,000)	256,000	172,000	84,000
Contributions					
Southern California Association for Philanthropy (SCAP)	(400)	400	2,750	2,750	-
President's Discretionary Fund	8,000	(8,000)	20,000	9,500	10,500
Trustee Discretionary Gifts	-	-	250,000	250,000	-
Employee Matching Gifts	-	-	10,000	10,000	-
Council on Foundations	1,570	(1,570)	6,900	5,330	1,570
Haynes Lecture at The Huntington	-	-	2,500	2,500	-
League of Women Voters of Los Angeles Education Fund	-	-	5,000	5,000	-
KPCC Southern California Public Radio/KCRW National Public Radio/ KCET Community Television of Southern California Support for Public Affairs Broadcasting	5,000	(5,000)	90,000	90,000	-
Total contributions	14,170	(14,170)	387,150	375,080	12,070
Research and archival grants					
University of Southern California Southern California Fiscal Sustainability and Government Research Project	35,555	-	-	35,555	-
Evaluating The Exposition Corridor Light Rail Line	21,242	-	-	21,242	-
Extending Learning to Low-Income, Immigrant and Refugee Students - MRG	44,206	-	-	29,471	14,735
A Social Science Look at Los Angeles Teen Court Jurors	119,966	-	-	59,982	59,984
Groundwater Strategy for Southern California	-	-	205,174	82,068	123,106
You Can Go Home Again	-	-	104,279	41,712	62,567
Is Los Angeles Becoming Transit Oriented?	-	-	54,957	25,646	29,311
Getting Back to Basics	-	-	203,488	40,697	162,791
Competency-based Education in California	-	-	154,000	38,500	115,500
University of California, Los Angeles Fighting Traffic at the Ballot Box	7,379	(7,379)	-	-	-
Backyard Homes and Local Concerns	36,264	-	-	36,264	-

SCHEDULE D
THE JOHN RANDOLPH HAYNES AND DORA HAYNES FOUNDATION
95-1644020
RETURN OF PRIVATE FOUNDATION FORM 990-PF
YEAR ENDED AUGUST 31, 2014

Research and archival grants (continued)	Grants payable at August 31, 2013	Grants unspent from 2012-2013	Grants authorized during the year	Grant expenditures during the year	Grants payable at August 31, 2014
Does School Readiness Improve Academic Achievement for Low Income and Immigrant Children in Los Angeles?	27,284	-	-	-	27,284
Preserving The Bette Yarbrough Cox Collection	3,482	-	-	-	3,482
The Photographic Legacy of Post World War II Mexican American Generation in Los Angeles	5,000	-	-	5,000	-
Golden State Mutual Life Insurance Archives Processing Project	8,000	-	-	-	8,000
Governance for Sustainable Water Use	135,066	-	-	90,044	45,022
Shared Wheels in the Auto City	9,992	-	-	-	9,992
The Widening Divide Revisited - MRG	60,265	-	-	40,177	20,088
Digital Preservation and Access Project	-	-	40,000	18,666	21,334
Accessibility and Economic Development	-	-	75,629	30,252	45,377
The Claremont Graduate University Improving Energy Infrastructure - MRG	102,352	-	-	51,176	51,176
The Huntington Library Los Angeles County and Its Government	-	-	62,700	25,080	37,620
The Los Angeles City Historical Society Searchable On-line Database	-	-	12,989	12,989	-
Natural History Museum of Los Angeles County Four Photographers of Southern California and Southwest Archival	4,147	-	-	4,147	-
Los Angeles Portraits of a City	-	-	32,029	12,812	19,217
University of California, Riverside Cataloguing Will Connel Collection	4,000	-	-	-	4,000
Santa Ana Watershed Project	5,046	(5,046)	-	-	-
University of California, Irvine Evaluation of the Effectiveness of Form-Based Codes in Promoting Sustainability - MRG	20,000	-	-	10,000	10,000
Library Foundation of Los Angeles Valley Times Newspaper Collection	5,000	-	-	5,000	-
Loyola Marymount University Should I Stay or Should I Go?	10,530	-	-	10,530	-
Historical Society of Southern California "The Courthouse Crowd" by Dr. Tom Sitton	3,780	-	-	3,780	-
California State University, Fullerton California LULAC Archival Preservation	4,000	-	-	-	4,000

SCHEDULE D
THE JOHN RANDOLPH HAYNES AND DORA HAYNES FOUNDATION
95-1644020
RETURN OF PRIVATE FOUNDATION FORM 990-PF
YEAR ENDED AUGUST 31, 2014

	Grants payable at August 31, 2013	Grants unspent from 2012-2013	Grants authorized during the year	Grant expenditures during the year	Grants payable at August 31, 2014
Research and archival grants (continued)					
Occidental College Preservation and Digitization of Northwest Los Angeles Community Newspapers	7,000	-	-	7,000	-
Go For Broke Oral Histories of World War II	20,556	-	-	13,704	6,852
Indirect Costs Grants	47,855	(47,855)	140,000	99,674	40,326
Total research and archival grants	747,967	(60,280)	1,085,245	851,168	921,764
Foundation grant expenditures					
Foundation publications	(5,709)	5,709	45,000	37,746	7,254
Total foundation grant expenditures	(5,709)	5,709	45,000	37,746	7,254
Total grant activity	\$ 776,428	\$ (88,741)	\$ 1,773,395	\$ 1,435,994	\$1,025,088

SCHEDULE E
THE JOHN RANDOLPH HAYNES AND DORA HAYNES FOUNDATION
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YEAR ENDED AUGUST 31, 2014

DEPRECIABLE ASSETS AND DEPRECIATION

Depreciation	Acquired	Depreciation method	Useful life	Cost		Accumulated depreciation and depletion			Net book value 8/31/13	Current market value
				Balance 8/31/12	Additions/ dispositions	Balance 8/31/13	Balance 8/31/12	Additions/ dispositions		
Assets held for investment purposes										
Undivided interest in Bolsa Chica mineral rights (Note A)	12/31/41			\$ 36,867	\$ -	\$ 36,867	\$ 34,914	\$ -	\$ 34,914	\$ 1,953

Assets held for exempt and investment purposes (Note B)

Furniture and fixtures for administration offices	Various	S/L	5-10 years	\$ 39,105	\$ -	\$ 39,105	\$ 39,105	\$ -	\$ 39,105	\$ -
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(A) Pursuant to authorization of the Board of Trustees, the carrying value of the undivided interest in Bolisa Chica mineral rights was increased (and Foundation principal increased corresponding) by \$202,127 on August 31, 1946, by \$220,630 as of September 1, 1952 and by \$250,000 as of September 1, 1962. These adjustments were made to bring the net carrying value closer to the approximate fair market value at the time of each increase and to preserve for Foundation principal the increases in carrying value occurring during the period of the Foundation's ownership of this wasting asset. From September 1, 1945 to August 31, 1970, depletion of 27-1/2% of royalties received had been provided by a charge to royalty income. The Tax Reform Act of 1969 requires, for taxable years beginning after December 31, 1969, that only cost depletion be used for determining income for private foundations. Accordingly, no depletion has been taken since September 1, 1970.

(B) Assets are held 40% for investment purposes and 60% for exempt purposes