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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

- Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning 9/1/2013, and ending 8/31/2014

Name of foundation

ESTELLA MONTAGUE MISSION TR

A Employer identification number

56-6036900

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

Wells Fargo Bank N.A. Trust Tax Dept - 1 W 4th St 4th Floor MAC D4000-041

B Telephone number (see instructions)

(888) 730-4933

City or town

State

ZIP code

Winston Salem

NC

27101-3818

Foreign country name

Foreign province/state/country

Foreign postal code

C If exemption application is pending, check here ☐

- G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

- H Check type of organization: ☐ Section 501(c)(3) exempt private foundation
☒ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 53,406**

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
 (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	1,044	1,010		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	972			
b Gross sales price for all assets on line 6a 16,376				
7 Capital gain net income (from Part IV, line 2)		972		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	2,016	1,982	0	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, and	47	35		12
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	746			746
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions)	93	11		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses. Add lines 13 through 23	886	46	0	758
25 Contributions, gifts, grants paid	1,703			1,703
26 Total expenses and disbursements. Add lines 24 and 25	2,589	46	0	2,461
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-573			
b Net investment income (if negative, enter -0-)		1,936		
c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2013)

HTA

15

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing		3	3
	2 Savings and temporary cash investments	1,960	817	817
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶			
Liabilities	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	44,264	44,805	52,586
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	46,224	45,625	53,406
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
	Foundations that follow SFAS 117, check here . . . ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	46,224	45,625	
Net Assets or Fund Balances	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	46,224	45,625	
	31 Total liabilities and net assets/fund balances (see instructions)	46,224	45,625	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	46,224
2 Enter amount from Part I, line 27a	2	-573
3 Other increases not included in line 2 (itemize) ▶ <u>ROUNDING</u>	3	8
4 Add lines 1, 2, and 3	4	45,659
5 Decreases not included in line 2 (itemize) ▶ <u>See Attached Statement</u>	5	34
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	45,625

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement			
b See Attached Statement			
c See Attached Statement			
d See Attached Statement			
e See Attached Statement			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b			0
c			0
d			0
e			0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			0
b			0
c			0
d			0
e			0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	972
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,839	49,567	0.037101
2011	2,475	46,383	0.053360
2010	1,842	48,230	0.038192
2009	1,794	43,293	0.041439
2008	1,835	36,619	0.050111

2 Total of line 1, column (d)	2	0.220203
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.044041
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	52,039
5 Multiply line 4 by line 3	5	2,292
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	19
7 Add lines 5 and 6	7	2,311
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	2,461

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	19
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	19
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	19
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	53
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	53
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	34
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax 19 Refunded	11	15

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ WELLS FARGO BANK N.A. Telephone no. ▶ 888-730-4933			
Located at ▶ 1 W 4th St 4th Floor MAC D4000-041 Winston Salem NC ZIP+4 ▶ 27101-3818				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ▶ <input checked="" type="checkbox"/> 15 19			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐ **5b** N/A
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d). N/A
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870. **6b** X
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No
- 7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank N.A. Trust Tax Dept 1 W 4th St 4th Floor MAC D4000-041 Winston Salem, NC	TRUSTEE 4.00	47		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐ **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE

2

3

4

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1 NONE

2

All other program-related investments. See instructions

3 NONE

Total. Add lines 1 through 3 ▶ 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	51,152
b	Average of monthly cash balances	1b	1,679
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	52,831
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	52,831
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	792
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	52,039
6	Minimum investment return. Enter 5% of line 5	6	2,602

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,602
2a	Tax on investment income for 2013 from Part VI, line 5	2a	19
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	19
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,583
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	2,583
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,583

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	2,461
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,461
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	19
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,442

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,583
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			1,173	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 2,461				
a Applied to 2012, but not more than line 2a			1,173	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				1,288
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 . (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				1,295
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling **▶**

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Attached Statement				
Total			▶ 3a	1,703
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.				
Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:				
a				
b				
c				
d				
e				
f				
g Fees and contracts from government agencies				
2 Membership dues and assessments				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities				
		14	1,044	
5 Net rental income or (loss) from real estate:				
a Debt-financed property				
b Not debt-financed property				
6 Net rental income or (loss) from personal property				
7 Other investment income				
8 Gain or (loss) from sales of assets other than inventory				
9 Net income or (loss) from special events				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue: a _____				
b _____				
c _____				
d _____				
e _____				
12 Subtotal. Add columns (b), (d), and (e)				
	0		2,016	0
13 Total. Add line 12, columns (b), (d), and (e)				
		13	2,016	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash

(2) Other assets

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment, or other assets

(4) Reimbursement arrangements

(5) Loans or loan guarantees

(6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

John A. Silvestri SVP Wells Fargo Bank N.A.

1/5/2015

▶ SVP Wells Fargo Bank N.A.

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

JOSEPH J. CASTRIANO

Preparer's signature

Adm.

Date	
------	--

1/5/2015

Check ☒ if self-employed

PTIN

P01251603

Firm's name ► PricewaterhouseCoopers, LLP

Firm's EIN ▶ 13-4008324

Firm's address ► 600 GRANT STREET, PITTSBURGH, PA 15219-2777

Phone no.	412-355-6000
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Security Type	EIN	CUSIP	Asset Name	FMV	Fed Cost
Savings & Temp Inv	56-6036900	VP0528308	FEDERATED TREAS OBL FD 68	781.37	781.37
Savings & Temp Inv	56-6036900	VP0528308	FEDERATED TREAS OBL FD 68	36.05	36.05
			Total Savings & Temp	817.42	817.42
Invest - Other	56-6036900	04314H204	ARTISAN INTERNATIONAL FUND #661	2,707.23	2,004.29
Invest - Other	56-6036900	256210105	DODGE & COX INCOME FD COM #147	3,535.44	3,301.12
Invest - Other	56-6036900	411511504	HARBOR CAPITAL APRCTION-INST #2012	3,471.39	2,130.82
Invest - Other	56-6036900	589509108	MERGER FD SH BEN INT #260	786.13	748.39
Invest - Other	56-6036900	693390700	PIMCO TOTAL RET FD-INST #35	3,561.24	3,343.59
Invest - Other	56-6036900	693390882	PIMCO FOREIGN BD FD USD H-INST #103	1,255.34	1,151.66
Invest - Other	56-6036900	77957P105	T ROWE PRICE SHORT TERM BD FD #55	2,168.00	2,174.07
Invest - Other	56-6036900	74255L696	PRINCIPAL GL MULT STRAT-INST #4684	423.71	417.24
Invest - Other	56-6036900	04314H600	ARTISAN MID CAP FUND-INS #1333	2,138.99	1,739.77
Invest - Other	56-6036900	315920702	FID ADV EMER MKTS INC- CL I #607	819.63	770.07
Invest - Other	56-6036900	464287200	ISHARES CORE S & P 500 ETF	1,211.76	836.47
Invest - Other	56-6036900	261980668	DREYFUS INTERNATL BOND FD CL I #6094	1,219.78	1,209.13
Invest - Other	56-6036900	277923728	EATON VANCE GLOBAL MACRO - I #0088	784.21	799.26
Invest - Other	56-6036900	256206103	DODGE & COX INT'L STOCK FD #1048	2,737.68	1,880.59
Invest - Other	56-6036900	552983694	MFS VALUE FUND-CLASS I #893	3,281.34	2,498.76
Invest - Other	56-6036900	261980494	DREYFUS EMG MKT DEBT LOC C-I #6083	1,349.35	1,307.66
Invest - Other	56-6036900	262028855	DRIEHAUS ACTIVE INCOME FUND	1,074.88	1,060.10
Invest - Other	56-6036900	339128100	JP MORGAN MID CAP VALUE-I #758	2,115.00	1,493.28
Invest - Other	56-6036900	67065Q772	NUVEEN HIGH YIELD MUNI BD-R #1668	929.42	900.90
Invest - Other	56-6036900	63872T885	ASG GLOBAL ALTERNATIVES-Y #1993	1,072.21	1,089.26
Invest - Other	56-6036900	922908553	VANGUARD REIT VIPER	2,006.68	1,313.52
Invest - Other	56-6036900	863137105	STRATTON SM-CAP VALUE FD #37	997.43	1,002.95
Invest - Other	56-6036900	922042858	VANGUARD FTSE EMERGING MARKETS ETF	953.40	891.08
Invest - Other	56-6036900	4812C0803	JPMORGAN HIGH YIELD FUND SS #3580	963.90	960.19
Invest - Other	56-6036900	77958B402	T ROWE PRICE INST FLOAT RATE #170	798.48	802.83
Invest - Other	56-6036900	683974604	OPPENHEIMER DEVELOPING MKT-I #799	2,774.01	2,277.85
Invest - Other	56-6036900	78463X863	SPDR DJ WILSHIRE INTERNATIONAL REAL	1,923.39	1,602.99
Invest - Other	56-6036900	25264S833	DIAMOND HILL LONG-SHORT FD CL I #11	1,103.68	822.24
Invest - Other	56-6036900	64128R608	NEUBERGER BERMAN LONG SH-INS #1830	1,926.74	1,898.84
Invest - Other	56-6036900	483438305	KALMAR GR W/ VAL SM CAP-INS #4	956.05	778.90
Invest - Other	56-6036900	22544R305	CREDIT SUISSE COMM RET ST-I #2156	1,539.49	1,597.32
			Total Invest - Other	52,585.98	44,805.14
Cash	56-6036900			2.78	2.78
			Total Cash	2.78	2.78
			Total Assets	53,406.18	45,625.34

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

FIRST BAPTIST CHURCH

Street

501 WEST 5TH STREET

City

WINSTON SALEM

State

NC

Zip Code

27101

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,703

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV		Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals					Net Gain or Loss		
									Capital Gains/Losses		Cost, Other Basis and Expenses		Net Gain or Loss			
									Gross Sales		16,376			15,404		972
									Other sales		0		0		0	
Long Term CG Distributions	Short Term CG Distributions									Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	X	CREDIT SUISSE COMM RET S	22544R305			8/31/2012		6/18/2014	252	275					0	-23
2	X	CREDIT SUISSE COMM RET S	22544R305			7/16/2012		6/18/2014	473	501					0	-28
3	X	DIAMOND HILL LONG-SHORT	25264S833			10/6/2012		1/21/2014	68	56					0	12
4	X	DODGE & COX INTL STOCK F	256206103			7/30/2007		10/9/2013	240	288					0	-48
5	X	DODGE & COX INTL STOCK F	256206103			7/30/2007		1/21/2014	86	96					0	-10
6	X	DODGE & COX INCOME FD CL	256210105			1/31/2013		1/21/2014	68	69					0	-1
7	X	DODGE & COX INCOME FD CL	256210105			1/31/2013		6/18/2014	376	374					0	2
8	X	DREYFUS EMG MKT DEBT LO	261980494			10/9/2012		10/10/2013	321	337					0	-16
9	X	ARTISAN INTERNATIONAL FU	04314H204			10/9/2012		1/21/2014	61	48					0	13
10	X	ARTISAN MID CAP FUND-INS	04314H600			10/9/2013		4/22/2014	249	245					0	4
11	X	CREDIT SUISSE COMM RET S	22544R305			11/14/2012		10/9/2013	92	102					0	-10
12	X	CREDIT SUISSE COMM RET S	22544R305			1/31/2013		10/9/2013	104	117					0	-13
13	X	CREDIT SUISSE COMM RET S	22544R305			10/9/2012		10/9/2013	331	385					0	-54
14	X	CREDIT SUISSE COMM RET S	22544R305			10/9/2012		4/22/2014	79	85					0	-6
15	X	CREDIT SUISSE COMM RET S	22544R305			8/31/2012		4/22/2014	117	126					0	-9
16	X	RIDGEWORTH SEIX HY BD-1 #	76628T645			7/16/2012		1/21/2014	448	457					0	-9
17	X	RIDGEWORTH SEIX HY BD-1 #	76628T645			7/16/2012		1/21/2014	337	332					0	5
18	X	RIDGEWORTH SEIX HY BD-1 #	76628T645			4/23/2012		4/22/2014	604	585					0	19
19	X	RIDGEWORTH SEIX HY BD-1 #	76628T645			6/12/2012		4/22/2014	523	500					0	23
20	X	RIDGEWORTH SEIX HY BD-1 #	76628T645			7/16/2012		4/22/2014	208	203					0	5
21	X	T ROWE PRICE SHORT TERM	77957P105			4/23/2012		4/22/2014	80	81					0	-1
22	X	T ROWE PRICE SHORT TERM	77957P105			1/31/2013		4/22/2014	423	428					0	-5
23	X	T ROWE PRICE SHORT TERM	77957P105			1/31/2013		6/18/2014	287	290					0	-3
24	X	VANGUARD FTSE EMERGING	922042858			1/29/2013		1/21/2014	511	579					0	-68
25	X	VANGUARD FTSE EMERGING	922042858			12/17/2010		1/21/2014	196	236					0	-40
26	X	VANGUARD FTSE EMERGING	922042858			12/17/2010		6/18/2014	43	47					0	-4
27	X	VANGUARD REIT VIPER	922908553			1/21/2014		4/22/2014	144	134					0	10
28	X	DREYFUS EMG MKT DEBT LO	261980494			7/16/2012		6/18/2014	218	217					0	1
29	X	DREYFUS EMG MKT DEBT LO	261980494			8/31/2012		6/18/2014	14	14					0	0
30	X	DREYFUS EMG MKT DEBT LO	261980494			12/17/2010		6/18/2014	375	373					0	2
31	X	DRIEHAUS ACTIVE INCOME F	262028855			1/31/2013		1/21/2014	260	259					0	1
32	X	DRIEHAUS ACTIVE INCOME F	262028855			7/12/2013		1/21/2014	204	203					0	1
33	X	DRIEHAUS ACTIVE INCOME F	262028855			10/9/2013		6/18/2014	840	835					0	5
34	X	DRIEHAUS ACTIVE INCOME F	262028855			10/9/2013		6/18/2014	185	184					0	1
35	X	HARBOR CAPITAL APFCTION	411511504			10/5/2012		10/9/2013	166	143					0	23
36	X	HARBOR CAPITAL APFCTION	411511504			7/16/2012		10/9/2013	139	110					0	29
37	X	HARBOR CAPITAL APFCTION	411511504			7/16/2012		1/21/2014	84	59					0	25
38	X	HARBOR CAPITAL APFCTION	411511504			11/14/2012		6/18/2014	146	101					0	45
39	X	HARBOR CAPITAL APFCTION	411511504			1/31/2013		6/18/2014	23	16					0	7
40	X	HARBOR CAPITAL APFCTION	411511504			4/24/2014		6/18/2014	95	72					0	23
41	X	HARBOR CAPITAL APFCTION	411511504			3/11/2011		6/18/2014	173	166					0	7
42	X	HARBOR CAPITAL APFCTION	411511504			3/11/2011		6/18/2014	177	114					0	63
43	X	KALMAR GR WWAL SM CAP F	483438206			1/31/2013		10/9/2013	104	85					0	19
44	X	AQR MANAGED FUTURES ST	00203H859			7/12/2013		10/9/2013	492	510					0	-18
45	X	ARTISAN INTERNATIONAL FU	04314H204			10/9/2012		10/9/2013	196	168					0	28
46	X	LAUDUS MONDRIAN INTL FLI	51855Q655			4/23/2012		4/22/2014	318	340					0	-22
47	X	LAUDUS MONDRIAN INTL FLI	51855Q655			6/12/2012		4/22/2014	282	300					0	-18
48	X	KEELEY SMALL CAP VAL FD C	487300808			12/17/2010		1/21/2014	77	50					0	27
49	X	KEELEY SMALL CAP VAL FD C	487300808			12/17/2010		6/18/2014	1,024	648					0	376
50	X	LAUDUS MONDRIAN INTL FLI	51855Q655			7/16/2012		4/22/2014	289	311					0	-42
51	X	LAUDUS MONDRIAN INTL FLI	51855Q655			10/9/2012		4/22/2014	101	112					0	-11
52	X	LAUDUS MONDRIAN INTL FLI	51855Q655			7/12/2013		4/22/2014	54	52					0	2
53	X	LAUDUS MONDRIAN INTL FLI	51855Q655			1/23/2014		4/22/2014	174	171					0	3
54	X	MFS VALUE FUND-CLASS I #	552983694			3/11/2011		1/21/2014	166	119					0	47
55	X	MERGER FD SH BEN INT #260	589509108			10/9/2013		6/18/2014	280	275					0	5
56	X	MERGER FD SH BEN INT #260	589509108			7/16/2012		6/18/2014	110	106					0	4
57	X	MERGER FD SH BEN INT #260	589509108			7/12/2013		6/18/2014	5	5					0	0
58	X	MERGER FD SH BEN INT #260	589509108			10/9/2013		6/18/2014	213	211					0	2
59	X	MERGER FD SH BEN INT #260	589509108			4/24/2014		6/18/2014	81	80					0	1
60	X	MERGER FD SH BEN INT #260	589509108			3/12/2012		6/18/2014	163	157					0	6
61	X	ASG GLOBAL ALTERNATIVES	638721885			7/12/2013		1/21/2014	194	196					0	-2
62	X	ASG GLOBAL ALTERNATIVES	638721885			7/12/2013		6/18/2014	215	218					0	-3
63	X	ASG GLOBAL ALTERNATIVES	638721885			7/12/2013		6/18/2014	22	23					0	-1
64	X	OPPENHEIMER DEVELOPING	683974505			1/31/2012		6/18/2014	42	38					0	4
65	X	OPPENHEIMER DEVELOPING	683974505			10/5/2012		6/18/2014	117	101					0	16
66	X	PIMCO TOTAL RET FD-INST #	663390700			7/16/2012		6/18/2014	127	133					0	-6
67	X	PIMCO TOTAL RET FD-INST #	663390700			8/31/2012		6/18/2014	166	175					0	-9
68	X	PIMCO TOTAL RET FD-INST #	663390700			2/4/2011		6/18/2014	45	44					0	1

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

[illegible]

Part I, Line 16b (990-PF) - Accounting Fees

		746	0	0	746
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	746			746

Part I, Line 18 (990-PF) - Taxes

		93	11	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	11	11		
2	PY EXCISE TAX DUE	29			
3	ESTIMATED EXCISE PAYMENTS	53			

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	ROUNDING	1	8
2	Total	2	8

Line 5 - Decreases not included in Part III, Line 2

1	MUTUAL FUND TIMING DIFFERENCE	1	7
2	PY RETURN OF CAPITAL ADJUSTMENT	2	27
3	Total	3	34

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions															577	0
Amount																
	Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gain Minus Excess of FMV Over Adjusted Basis or Losses		
1	CREDIT SUISSE COMM RET ST1 #2156 22544R305			8/31/2012	6/18/2014	252		0	275	-23	0	0	0	-23		
2	CREDIT SUISSE COMM RET ST1 #2156 22544R305			7/16/2012	6/18/2014	473		0	501	-28	0	0	0	-28		
3	DIAMOND HILL LONG-SHORT FD CL 1 # 25264H9333			10/5/2012	1/21/2014	88		0	58	12	0	0	0	12		
4	DODGE & COX INTL STOCK FD #1048	256206103		7/30/2007	10/9/2013	240		0	288	-48	0	0	0	-48		
5	DODGE & COX INTL STOCK FD #1048	256206103		7/30/2007	1/21/2014	98		0	98	-10	0	0	0	-10		
6	DODGE & COX INCOME FD COM #147	256210105		1/31/2013	1/21/2014	68		0	68	-1	0	0	0	-1		
7	DODGE & COX INCOME FD COM #147	256210105		1/31/2013	6/18/2014	378		0	374	4	0	0	0	4		
8	DREYFUS EMG MKT DEBT LOC C1 #608	281980494		10/5/2012	10/10/2013	321		0	337	-16	0	0	0	-16		
9	ARTISAN INTERNATIONAL FUND #661	04314H204		10/5/2012	1/21/2014	81		0	48	33	0	0	0	33		
10	ARTISAN MID CAP FUND-INTS #1333	04314H600		10/9/2013	4/22/2014	249		0	245	4	0	0	0	4		
11	CREDIT SUISSE COMM RET ST1 #2156 22544R305			11/14/2012	10/9/2013	92		0	102	-10	0	0	0	-10		
12	CREDIT SUISSE COMM RET ST1 #2156 22544R305			1/31/2013	10/9/2013	117		0	117	-13	0	0	0	-13		
13	CREDIT SUISSE COMM RET ST1 #2156 22544R305			10/5/2012	10/9/2013	331		0	385	-54	0	0	0	-54		
14	CREDIT SUISSE COMM RET ST1 #2156 22544R305			10/5/2012	4/22/2014	85		0	85	-6	0	0	0	-6		
15	RIDGEWORTH SEIX HY BD1 #5955	766281645		8/31/2012	4/22/2014	117		0	126	-9	0	0	0	-9		
16	RIDGEWORTH SEIX HY BD1 #5955	766281645		7/12/2013	1/21/2014	448		0	457	-9	0	0	0	-9		
17	RIDGEWORTH SEIX HY BD1 #5955	766281645		7/16/2012	1/21/2014	337		0	332	5	0	0	0	5		
18	RIDGEWORTH SEIX HY BD1 #5955	766281645		4/23/2012	4/22/2014	604		0	585	19	0	0	0	19		
19	RIDGEWORTH SEIX HY BD1 #5955	766281645		6/12/2012	4/22/2014	523		0	500	23	0	0	0	23		
20	RIDGEWORTH SEIX HY BD1 #5955	766281645		7/16/2012	4/22/2014	208		0	203	5	0	0	0	5		
21	T ROWE PRICE SHORT TERM BD FD #677857P105			4/23/2012	4/22/2014	80		0	81	-1	0	0	0	-1		
22	T ROWE PRICE SHORT TERM BD FD #677857P105			1/31/2013	4/22/2014	428		0	428	-5	0	0	0	-5		
23	T ROWE PRICE SHORT TERM BD FD #677857P105			1/31/2013	6/18/2014	287		0	290	-3	0	0	0	-3		
24	VANGUARD FTSE EMERGING MARKET	922042858		1/29/2013	1/21/2014	511		0	579	-68	0	0	0	-68		
25	VANGUARD FTSE EMERGING MARKET	922042858		12/17/2010	1/21/2014	196		0	238	-40	0	0	0	-40		
26	VANGUARD FTSE EMERGING MARKET	922042858		12/17/2010	6/18/2014	43		0	47	-4	0	0	0	-4		
27	VANGUARD REIT WPER	922048553		1/21/2014	4/22/2014	144		0	134	10	0	0	0	10		
28	DREYFUS EMG MKT DEBT LOC C1 #608	281980494		7/16/2012	6/18/2014	218		0	217	1	0	0	0	1		
29	DREYFUS EMG MKT DEBT LOC C1 #608	281980494		8/31/2012	6/18/2014	14		0	14	0	0	0	0	0		
30	DREYFUS EMG MKT DEBT LOC C1 #608	281980494		12/17/2010	6/18/2014	375		0	373	2	0	0	0	2		
31	DRIEHAUS ACTIVE INCOME FUND	262028655		1/31/2013	1/21/2014	280		0	259	21	0	0	0	21		
32	DRIEHAUS ACTIVE INCOME FUND	262028655		7/12/2013	1/21/2014	204		0	203	1	0	0	0	1		
33	DRIEHAUS ACTIVE INCOME FUND	262028655		10/9/2013	6/18/2014	85		0	85	0	0	0	0	0		
34	DRIEHAUS ACTIVE INCOME FUND	262028655		10/9/2013	6/18/2014	184		0	184	1	0	0	0	1		
35	HARBOR CAPITAL APRICTION-INST #20	411511504		7/16/2012	10/9/2013	139		0	110	29	0	0	0	29		
36	HARBOR CAPITAL APRICTION-INST #20	411511504		7/16/2012	1/21/2014	84		0	59	25	0	0	0	25		
37	HARBOR CAPITAL APRICTION-INST #20	411511504		11/14/2012	1/21/2014	146		0	101	45	0	0	0	45		
38	HARBOR CAPITAL APRICTION-INST #20	411511504		11/14/2012	6/18/2014	23		0	18	5	0	0	0	5		
39	HARBOR CAPITAL APRICTION-INST #20	411511504		1/31/2013	6/18/2014	95		0	72	23	0	0	0	23		
40	HARBOR CAPITAL APRICTION-INST #20	411511504		4/24/2014	6/18/2014	173		0	168	5	0	0	0	5		
41	HARBOR CAPITAL APRICTION-INST #20	411511504		3/11/2011	6/18/2014	177		0	114	63	0	0	0	63		
42	KALMAR GR VIVAL SM CAP FD #2	483438206		1/31/2013	10/9/2013	104		0	85	19	0	0	0	19		
43	AGR MANAGED FUTURES STR-1 #1521300203H859			7/12/2013	10/9/2013	492		0	510	-18	0	0	0	-18		
44	ARTISAN INTERNATIONAL FUND #661	04314H204		10/5/2012	4/22/2014	318		0	188	130	0	0	0	130		
45	LAUDUS MONDRIAN INTL FHNST #294451855Q655			4/23/2012	4/22/2014	282		0	340	-58	0	0	0	-58		
46	LAUDUS MONDRIAN INTL FHNST #294451855Q655			12/17/2010	1/21/2014	77		0	50	27	0	0	0	27		
47	KEELEY SMALL CAP VAL FD CL #2251	487300808		7/12/2010	1/21/2014	1024		0	648	376	0	0	0	376		
48	KEELEY SMALL CAP VAL FD CL #2251	487300808		7/16/2012	4/22/2014	289		0	311	-22	0	0	0	-22		
49	LAUDUS MONDRIAN INTL FHNST #294451855Q655			10/5/2012	4/22/2014	101		0	112	-11	0	0	0	-11		
50	LAUDUS MONDRIAN INTL FHNST #294451855Q655			7/12/2013	4/22/2014	54		0	52	2	0	0	0	2		
51	LAUDUS MONDRIAN INTL FHNST #294451855Q655			10/5/2012	4/22/2014	174		0	171	3	0	0	0	3		
52	MFS VALUE FUND-CLASS I #893	552983694		3/11/2011	1/21/2014	166		0	119	47	0	0	0	47		
53	MERGER FD SH BEN INT #260	589509109		10/9/2013	6/18/2014	280		0	275	5	0	0	0	5		
54	MERGER FD SH BEN INT #260	589509109		7/16/2012	8/8/2014	110		0	106	4	0	0	0	4		
55	MERGER FD SH BEN INT #260	589509109		7/12/2013	8/8/2014	213		0	211	2	0	0	0	2		
56	MERGER FD SH BEN INT #260	589509109		10/9/2013	8/8/2014	81		0	80	1	0	0	0	1		
57	MERGER FD SH BEN INT #260	589509109		4/24/2014	8/8/2014	163		0	157	6	0	0	0	6		
58	MERGER FD SH BEN INT #260	589509109		3/8/2012	8/8/2014	198		0	198	-2	0	0	0	-2		
59	ASSG GLOBAL ALTERNATIVES-Y #1993	838721885		7/12/2013	1/21/2014	215		0	218	-3	0	0	0	-3		
60	ASSG GLOBAL ALTERNATIVES-Y #1993	838721885		7/12/2013	6/18/2014	22		0	23	-1	0	0	0	-1		
61	OPPENHEIMER DEVELOPING MKT-Y #7	683974605		10/5/2012	6/18/2014	117		0	101	16	0	0	0	16		
62	OPPENHEIMER DEVELOPING MKT-Y #7	683974605		10/5/2012	6/18/2014	127		0	133	-6	0	0	0	-6		
63	PIMCO TOTAL RET FD-INST #35	683390700		7/16/2012	6/18/2014	168		0	175	-7	0	0	0	-7		
64	PIMCO TOTAL RET FD-INST #35	683390700		8/31/2012	6/18/2014	45		0	44	1	0	0	0	1		
65	PIMCO TOTAL RET FD-INST #35	683390700		24/2011	6/18/2014	418		0	418	0	0	0	0	0		
66	RIDGEWORTH SEIX HY BD1 #5955	766281645		8/31/2012	1/21/2014	504		0	516	-12	0	0	0	-12		
67	DREYFUS EMG MKT DEBT LOC C1 #608	281980494		8/31/2012	10/10/2013	321		0	337	-16	0	0	0	-16		

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return		1 0
2 First quarter estimated tax payment	2/9/2014	2 53
3 Second quarter estimated tax payment		3
4 Third quarter estimated tax payment		4
5 Fourth quarter estimated tax payment		5
6 Other payments		6
7 Total		7 53

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2012 that remained undistributed at the beginning of the 2013 tax year	1	1,173
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	1,173