Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

**2013**

Open to Public Inspection

For calendar year 2013 or tax year beginning SEP 1, 2013 , and ending AUG 31, 2014

Name of foundation
JEROME J. AND DOROTHY H. HOLZ FAMILY
FOUNDATION C/O DONALD TUSHAUS

**A** Employer identification number
36-7368506

Number and street (or P O box number if mail is not delivered to street address)
10400 INNOVATION DRIVE

Room/suite
110

**B** Telephone number
414-774-1031

City or town, state or province, country, and ZIP or foreign postal code
MILWAUKEE, WI 53226

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D** 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 13,279,406.

**J** Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) ______ *(Part I, column (d) must be on cash basis )*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

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| **Part I** | **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) ) | **(a)** Revenue and expenses per books | **(b)** Net investment income | **(c)** Adjusted net income | **(d)** Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | | | | | |
| 1 | Contributions, gifts, grants, etc., received | 315,000. | | | |
| 2 | Check ▶ ☐ if the foundation is not required to attach Sch B | | | | |
| 3 | Interest on savings and temporary cash investments | | | | |
| 4 | Dividends and interest from securities | 475,184. | 475,184. | | STATEMENT 1 |
| 5a | Gross rents | | | | |
| b | Net rental income or (loss) | | | | |
| 6a | Net gain or (loss) from sale of assets not on line 10 | 82,693. | | | |
| b | Gross sales price for all assets on line 6a 4,457,938. | | | | |
| 7 | Capital gain net income (from Part IV, line 2) | | 82,693. | | |
| 8 | Net short-term capital gain | | | | |
| 9 | Income modifications | | | | |
| 10a | Gross sales less returns and allowances | | | | |
| b | Less Cost of goods sold | | | | |
| c | Gross profit or (loss) | | | | |
| 11 | Other income | | | | |
| 12 | **Total** Add lines 1 through 11 | 872,877. | 557,877. | 0. | |
| Operating and Administrative Expenses | | | | | |
| 13 | Compensation of officers, directors, trustees, etc | 3,000. | 0. | 0. | 3,000. |
| 14 | Other employee salaries and wages | | | | |
| 15 | Pension plans, employee benefits | | | | |
| 16a | Legal fees | | | | |
| b | Accounting fees STMT 2 | 17,090. | 0. | 0. | 17,090. |
| c | Other professional fees STMT 3 | 76,500. | 76,500. | 0. | 0. |
| 17 | Interest | | | | |
| 18 | Taxes STMT 4 | 13,085. | 7,304. | 0. | 0. |
| 19 | Depreciation and depletion | | | | |
| 20 | Occupancy | | | | |
| 21 | Travel, conferences, and meetings | | | | |
| 22 | Printing and publications | | | | |
| 23 | Other expenses STMT 5 | 2,425. | 0. | 0. | 2,425. |
| 24 | **Total operating and administrative expenses** Add lines 13 through 23 | 112,100. | 83,804. | 0. | 22,515. |
| 25 | Contributions, gifts, grants paid | 552,720. | | | 552,720. |
| 26 | **Total expenses and disbursements** Add lines 24 and 25 | 664,820. | 83,804. | 0. | 575,235. |
| 27 | Subtract line 26 from line 12. | | | | |
| a | Excess of revenue over expenses and disbursements | 208,057. | | | |
| b | **Net investment income** (if negative, enter -0-) | | 474,073. | | |
| c | **Adjusted net income** (if negative, enter -0-) | | | 0. | |

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| **Part II** **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 275,545. | 513,806. | 513,806. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U S. and state government obligations | | | |
| | b Investments - corporate stock STMT 7 | 8,838,386. | 10,713,760. | 10,713,760. |
| | c Investments - corporate bonds STMT 8 | 1,893,465. | 1,651,811. | 1,651,811. |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other STMT 9 | 536,615. | 400,029. | 400,029. |
| | 14 Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item 1) | 11,544,011. | 13,279,406. | 13,279,406. |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| Net Assets or Fund Balances | **Foundations that follow SFAS 117, check here** ▶ ☒ **and complete lines 24 through 26 and lines 30 and 31** | | | |
| | 24 Unrestricted | 11,544,011. | 13,279,406. | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here** ▶ ☐ **and complete lines 27 through 31** | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| | 28 Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 **Total net assets or fund balances** | 11,544,011. | 13,279,406. | |
| | 31 **Total liabilities and net assets/fund balances** | 11,544,011. | 13,279,406. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 11,544,011. |
| 2 | Enter amount from Part I, line 27a | 2 | 208,057. |
| 3 | Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6 | 3 | 1,527,338. |
| 4 | Add lines 1, 2, and 3 | 4 | 13,279,406. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 13,279,406. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co ) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr.) | (d) Date sold (mo., day, yr ) |
|---|---|---|---|---|
| 1a | TD AMERITRADE SHORT TERM(SEE ATTACHED) | P | | |
| b | TD AMERITRADE LONG TERM(SEE ATTACHED) | P | | |
| c | WACHOVIA SECURITIES SETTLEMENT | P | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 645,675. | | 692,693. | -47,018. |
| b | 3,802,936. | | 3,682,552. | 120,384. |
| c | 9,327. | | | 9,327. |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | -47,018. |
| b | | | | 120,384. |
| c | | | | 9,327. |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 82,693.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 } 3 N/A

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col. (c)) |
|---|---|---|---|
| 2012 | 538,673. | 11,546,207. | .046654 |
| 2011 | 546,671. | 10,608,608. | .051531 |
| 2010 | 509,249. | 11,307,943. | .045035 |
| 2009 | 452,640. | 10,333,737. | .043802 |
| 2008 | 508,054. | 8,942,863. | .056811 |

| | | | |
|---|---|---|---|
| 2 | Total of line 1, column (d) | 2 | .243833 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .048767 |
| 4 | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 12,626,052. |
| 5 | Multiply line 4 by line 3 | 5 | 615,735. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 4,741. |
| 7 | Add lines 5 and 6 | 7 | 620,476. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 575,235. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | |
|---|---|---|---|---|
| 1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1.<br>Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**<br>b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b<br>c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | 1 | 9,481. |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 2 | 0. |
| 3 Add lines 1 and 2 | | | 3 | 9,481. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 4 | 0. |
| 5 **Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0- | | | 5 | 9,481. |
| 6 Credits/Payments: | | | | |
| a 2013 estimated tax payments and 2012 overpayment credited to 2013 | 6a | 4,360. | | |
| b Exempt foreign organizations - tax withheld at source | 6b | | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | | |
| d Backup withholding erroneously withheld | 6d | | | |
| 7 Total credits and payments. Add lines 6a through 6d | | | 7 | 4,360. |
| 8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | | | 8 | |
| 9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | 5,121. |
| 10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | |
| 11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ | | **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | Yes | No |
|---|---|---|---|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? | 1b | | X |
| *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0. | | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0. | | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>*If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>*If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>● By language in the governing instrument, or<br>● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶<br>WI | | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

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## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ WWW.HOLZFAMILYFOUNDATION.COM

14 The books are in care of ▶ DONALD TUSHAUS Telephone no ▶ 414-774-1031

Located at ▶ 10400 INNOVATION DRIVE, SUITE 110, MILWAUKEE, WI ZIP+4 ▶ 53226

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No<br>If "Yes," list the years ▶ _____, _____, _____, _____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions ) N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.<br>▶ _____, _____, _____, _____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013 )* N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b | |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53 4945-5(d)*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

*If "Yes" to 6b, file Form 8870*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | |

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| JEROME HOLZ - SEE ATTACHED SCHEDULE<br>10400 INNOVATION DRIVE, STE 110<br>MILWAUKEE, WI 53226 | TRUSTEE<br>2.00 | 3,000. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

| | |
|---|---|
| Total number of others receiving over $50,000 for professional services ▶ | 0 |

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments See instructions.<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

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10-10-13

## Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions )

| | | | | | |
|---|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | | |
| a | Average monthly fair market value of securities | | | 1a | 12,192,392. |
| b | Average of monthly cash balances | | | 1b | 625,935. |
| c | Fair market value of all other assets | | | 1c | |
| d | **Total** (add lines 1a, b, and c) | | | 1d | 12,818,327. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | | 2 | 0. |
| 3 | Subtract line 2 from line 1d | | | 3 | 12,818,327. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | | | 4 | 192,275. |
| 5 | **Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4 | | | 5 | 12,626,052. |
| 6 | **Minimum investment return** Enter 5% of line 5 | | | 6 | 631,303. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 631,303. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a | 9,481. | | |
| b | Income tax for 2013 (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 9,481. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 621,822. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | 0. |
| 5 | Add lines 3 and 4 | | | 5 | 621,822. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 621,822. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| a | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 575,235. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 575,235. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 575,235. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see instructions)

| | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|---|---|---|---|---|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 621,822. |
| 2 Undistributed income, if any, as of the end of 2013 | | | | |
| a Enter amount for 2012 only | | | 526,691. | |
| b Total for prior years ______ , ______ , ______ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2013: | | | | |
| a From 2008 | | | | |
| b From 2009 | | | | |
| c From 2010 | | | | |
| d From 2011 | | | | |
| e From 2012 | | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 575,235. | | | | |
| a Applied to 2012, but not more than line 2a | | | 526,691. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2013 distributable amount | | | | 48,544. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 | | | | 573,278. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2014** Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2009 | | | | |
| b Excess from 2010 | | | | |
| c Excess from 2011 | | | | |
| d Excess from 2012 | | | | |
| e Excess from 2013 | | | | |

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**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon<br>a "Assets" alternative test - enter.<br>(1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter:<br>(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

JEROME HOLZ - SEE ATTACHED SCHEDULE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE ATTACHED GRANT APPLICATION, 414-774-1031
10400 INNOVATION DRIVE, MILWAUKEE, WI 53226

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED GRANT APPLICATION

c Any submission deadlines.

ANNUAL DEADLINE IS APRIL 30TH

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHMENT

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| a *Paid during the year* | | | | |
| SEE SCHEDULE ATTACHED<br>10400 INNOVATION DRIVE<br>MILWAUKEE, WI 53226 | | PUBLIC | SEE SCHEDULE | 552,720. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total | | | ▶ 3a | 552,720. |
| b *Approved for future payment* | | | | |
| NONE | | | | |
| | | | | |
| | | | | |
| Total | | | ▶ 3b | 0. |

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## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 475,184. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 82,693. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 557,877. | 0. |

**13 Total** Add line 12, columns (b), (d), and (e) 13 557,877.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|

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10-10-13

Form **990-PF** (2013)

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ X

Signature of officer or trustee

X 12/29/14 Date

▶ TRUSTEE Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| DONALD H TUSHAUS | Don Tushaus | 12-29-14 | | P01243358 |

Firm's name ▶ TUSHAUS & ASSOCIATES LLC

Firm's EIN ▶ 46-1588286

Firm's address ▶ 10400 W INNOVATION DR STE 110
MILWAUKEE, WI 53226

Phone no 414-774-1031

Form **990-PF** (2013)

**Schedule B**
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

**▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.**
**▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at** *www irs.gov/form990.*

OMB No 1545-0047

**2013**

**Name of the organization**
JEROME J. AND DOROTHY H. HOLZ FAMILY FOUNDATION C/O DONALD TUSHAUS

**Employer identification number**
36-7368506

**Organization type** (check one)

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990 PF | ☒ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

**General Rule**

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

**Special Rules**

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year ▶ $ ____________

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990 PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

| Name of organization | Employer identification number |
|---|---|
| JEROME J. AND DOROTHY H. HOLZ FAMILY FOUNDATION C/O DONALD TUSHAUS | 36-7368506 |

**Part I** **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | HOLZ MOTORS, INC.<br>5961 SOUTH 108TH PLACE<br>HALES CORNERS, WI 53130 | $ 315,000. | Person ☒<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions.) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |

| Name of organization | Employer identification number |
|---|---|
| JEROME J. AND DOROTHY H. HOLZ FAMILY FOUNDATION C/O DONALD TUSHAUS | 36-7368506 |

## Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | $ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | $ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | $ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | $ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | $ | |

| Name of organization | Employer identification number |
|---|---|
| JEROME J. AND DOROTHY H. HOLZ FAMILY FOUNDATION C/O DONALD TUSHAUS | 36-7368506 |

**Part III** *Exclusively* religious, charitable, etc , individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of $1,000 or less for the year. (Enter this information once ) ▶ $__________

Use duplicate copies of Part III if additional space is needed

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|---|---|
| BOND AMORTIZATION | -7,952. | 0. | -7,952. | -7,952. | -7,952. |
| TD AMERITRADE-DIVIDENS | 342,499. | 0. | 342,499. | 342,499. | 342,499. |
| TD AMERITRADE-INTERES | 140,637. | 0. | 140,637. | 140,637. | 140,637. |
| TO PART I, LINE 4 | 475,184. | 0. | 475,184. | 475,184. | 475,184. |

## FORM 990-PF ACCOUNTING FEES STATEMENT 2

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| PROFESSIONAL FEES | 17,090. | 0. | 0. | 17,090. |
| TO FORM 990-PF, PG 1, LN 16B | 17,090. | 0. | 0. | 17,090. |

## FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| INVESTMENT BROKER FEES | 76,500. | 76,500. | 0. | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 76,500. | 76,500. | 0. | 0. |

## FORM 990-PF TAXES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| EXCISE TAXES (REFUNDS) | 5,781. | 0. | 0. | 0. |
| FOREIGN TAXES ON DIVIDENDS | 7,304. | 7,304. | 0. | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 13,085. | 7,304. | 0. | 0. |

## FORM 990-PF OTHER EXPENSES STATEMENT 5

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| MEMBERSHIP DUES | 725. | 0. | 0. | 725. |
| INSURANCE | 750. | 0. | 0. | 750. |
| WEB DESIGN | 950. | 0. | 0. | 950. |
| TO FORM 990-PF, PG 1, LN 23 | 2,425. | 0. | 0. | 2,425. |

## FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 6

| DESCRIPTION | AMOUNT |
|---|---|
| INCREASE IN MARKET VALUE OF INVESTMENTS | 1,527,338. |
| TOTAL TO FORM 990-PF, PART III, LINE 3 | 1,527,338. |

## FORM 990-PF CORPORATE STOCK STATEMENT 7

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| CORPORATE STOCK (SEE ATTACHED SCHEDULE) | 10,713,760. | 10,713,760. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 10,713,760. | 10,713,760. |

## FORM 990-PF CORPORATE BONDS STATEMENT 8

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| CORPORATE BONDS (SEE ATTACHED SCHEDULE) | 1,651,811. | 1,651,811. |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 1,651,811. | 1,651,811. |

## FORM 990-PF OTHER INVESTMENTS STATEMENT 9

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| OTHER INVESTMENTS (SEE ATTACHED SCHEDULE) | FMV | 400,029. | 400,029. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 400,029. | 400,029. |

36-7368506

## JEROME J. AND DOROTHY H. HOLZ FAMILY FOUNDATION

## SCHEDULE OF INVESTMENTS IN SECURITIES

### AUGUST 31, 2014

| | | | Appreciation (Depreciation) In Market Value | | Market Value |
|---|---|---|---|---|---|
| Name | Shares | Cost | Through 08/31/13 | Year Ended 08/31/14 | 08/31/14 |
| CORPORATE STOCKS | | | | | |
| Common stocks- | | | | | |
| Abbott Labs | 5,550 | $ 180,870 03 | $ 8,069 00 | $ 45,492 97 | $ 234,432 00 |
| Abbvie Inc | 4,900 | 168,352 87 | 40,436 13 | 62,083 00 | 270,872 00 |
| Accenture Ltd | 2,700 | 174,557 33 | 20,517 67 | 23,787 00 | 218,862 00 |
| Air Products and Chemicals Inc | 1,575 | 192,721 19 | - | 17,084 56 | 209,805 75 |
| Automatic Data Processing Inc | 3,000 | 177,927 60 | 35,552 40 | 36,960 00 | 250,440 00 |
| Blackrock Inc | 1,100 | 198,533 32 | 87,818 68 | 77,231 00 | 363,583 00 |
| Chevron Corp | 1,750 | 200,379 90 | 10,372 60 | 15,785 00 | 226,537 50 |
| CSX Corp | 8,000 | 204,116 80 | (7,236 80) | 50,400 00 | 247,280 00 |
| Cummins Inc | 1,525 | 217,439 75 | - | 3,853 00 | 221,292 75 |
| Dominion Resources Inc | 3,000 | 161,071 20 | 13,978 80 | 35,610 00 | 210,660 00 |
| General Electric Co | 12,500 | 261,500 00 | 27,750 00 | 35,500 00 | 324,750 00 |
| General Mills Inc | 4,250 | 215,429 62 | - | 11,435 38 | 226,865 00 |
| Health Care Reit Inc | 4,300 | 252,531 42 | 5,564 90 | 32,497 68 | 290,594 00 |
| Johnson & Johnson | 4,000 | 269,919 60 | 75,720 40 | 69,280 00 | 414,920 00 |
| JP Morgan Chase & Co | 6,500 | 337,010 50 | (8,565 50) | 57,980 00 | 386,425 00 |
| Kinder Morgan Management | 3,494 | 236,718 75 | 23,131 18 | 81,559 91 | 341,409 84 |
| Microsoft | 5,725 | 250,051 97 | - | 10,034 78 | 260,086 75 |
| Nestle S A | 3,500 | 220,430 00 | 8,645 00 | 42,420 00 | 271,495 00 |
| Nextera Energy Inc | 2,000 | 138,346 23 | 22,373 77 | 36,180 00 | 196,900 00 |
| Omnicom Group Inc | 3,600 | 187,669 70 | 30,670 30 | 40,896 00 | 259,236 00 |
| One Gas Inc | 925 | 20,871 13 | 3,254 35 | 10,497 27 | 34,622 75 |

## JEROME J. AND DOROTHY H. HOLZ FAMILY FOUNDATION

36-7368506

## SCHEDULE OF INVESTMENTS IN SECURITIES

### AUGUST 31, 2014

| | | | Appreciation (Depreciation) In Market Value | | |
|---|---|---|---|---|---|
| Name | Shares | Cost | Through 08/31/13 | Year Ended 08/31/14 | Market Value 08/31/14 |
| Oneok Inc | 3,700 | 143,783 04 | 22,419 48 | 93,537 48 | 259,740 00 |
| Proctor Gamble Co | 2,500 | 166,724 75 | 28,000 25 | 13,050 00 | 207,775 00 |
| Realty Income Corp | 4,950 | 207,295 55 | (12,262 10) | 26,330 55 | 221,364 00 |
| Royal Bank Cda Montreal Que | 4,925 | 283,309 73 | 24,585 13 | 57,884 89 | 365,779 75 |
| Royal Dutch Shell Plc | 3,592 | 260,376 77 | (28,373 49) | 58,835 94 | 290,839 22 |
| Toronto Dominion Bk Ont | 4,500 | 231,289 60 | - | 5,545 40 | 236,835 00 |
| United Tech Corp | 2,600 | 211,717 98 | 48,542 02 | 20,488 00 | 280,748 00 |
| US Bancorp | 13,000 | 374,393 50 | - | 4,686 50 | 379,080 00 |
| Wells Fargo Co | 7,000 | 237,185 57 | 50,374 43 | 72,520 00 | 360,080 00 |
| Williams Cos Inc | 5,000 | 181,249 25 | (49 25) | 116,000 00 | 297,200 00 |
| Total common stocks | | 6,563,774 65 | 572,806 35 | 1,307,328 31 | 8,402,392 31 |
| Preferred stocks- | | | | | |
| Affiliated Managers Group | 10,000 | 234,610 57 | - | 19,789 43 | 254,400 00 |
| Allstate Corp | 10,000 | 262,930 67 | - | 7,069 33 | 270,000 00 |
| Aviva Plc 8 25% | 10,000 | 285,165 00 | - | (3,765 00) | 281,400 00 |
| Barclays Bank Plc 6 625% | 5,000 | 126,232 71 | (4,732 71) | 5,450 00 | 126,950 00 |
| DB Cap Trust III 7 6% | 10,000 | 277,803 30 | - | (1,803 30) | 276,000 00 |
| JP Morgan Chase & Co 6 7% | 10,000 | 255,000 00 | - | 3,100 00 | 258,100 00 |
| Partnerre Ltd 6/1/16 | 10,000 | 271,863 42 | - | (3,363 42) | 268,500 00 |
| PNC Finl Corp-variable | 13,000 | 356,466 50 | - | 3,633 50 | 360,100 00 |
| Protective Life Corp Sub Debentures 6 25% | 10,000 | 255,318 32 | (20,818 32) | 23,300 00 | 257,800 00 |
| Total preferred stocks | | 2,325,390 49 | (25,551 03) | 53,410 54 | 2,353,250 00 |
| TOTAL CORPORATE STOCKS | | 8,889,165 14 | 547,255 32 | 1,360,738 85 | 10,755,642 31 |

# JEROME J. AND DOROTHY H. HOLZ FAMILY FOUNDATION

36-7368506

## SCHEDULE OF INVESTMENTS IN SECURITIES

### AUGUST 31, 2014

| Name | Shares | Cost | Appreciation (Depreciation) In Market Value | | Market Value 08/31/14 |
|---|---|---|---|---|---|
| | | | Through 08/31/13 | Year Ended 08/31/14 | |
| **EXCHANGE TRADED FUNDS** | | | | | |
| Ishares US Regional Banks | 7,500 | 196,721 82 | 26,403 18 | 28,875 00 | 252,000 00 |
| Select Sector SPDR Trust | 3,675 | 147,442 60 | - | 586 40 | 148,029 00 |
| TOTAL EXCHANGE TRADED FUNDS | | 344,164 42 | 26,403 18 | 29,461 40 | 400,029 00 |
| **FIXED INCOME BONDS** | | | | | |
| Cook Cnty IL Sch Dist 219 6% due 12/01/21 | 120,000 | 134,500 93 | (2,138 55) | 644 42 | 133,006 80 |
| Evansville IN Redev 6 96% due 02/01/34 | 260,000 | 287,430 44 | (12,246 58) | 19,492 34 | 294,676 20 |
| Evansville IN Redev 7 21% due 02/01/39 | 250,000 | 283,975 81 | (16,532 60) | 18,844 29 | 286,287 50 |
| General Electric Cap Corp 7 125% due 12/31/49 | 250,000 | 272,687 50 | 2,970 82 | 19,029 18 | 294,687 50 |
| Goldman Sachs 6% due 06/15/20 | 250,000 | 264,007 23 | 15,023 65 | 11,881 62 | 290,912 50 |
| Goldman Sachs Grp 3 625% due 02/07/16 | 200,000 | 200,285 20 | 9,040 65 | (1,689 85) | 207,636 00 |
| Highland IN San Dist 5 25% due 08/01/25 | 135,000 | 143,925 15 | (6,889 65) | 7,568 40 | 144,603 90 |
| TOTAL FIXED INCOME BONDS | | 1,586,812 26 | (10,772 26) | 75,770 40 | 1,651,810 40 |
| TOTAL INVESTMENTS IN SECURITIES | | $ 10,820,141 82 | $ 562,886 24 | $ 1,465,970 65 | $ 12,807,481 71 |

**Detailed Realized Sale Activity 09/01/2013 -- 08/31/2014** Created 10/15/2014 12 41 06 PM

| Securities Sold | Purchased | Units Sold | Proceeds | Orig Cost | WS Adj | FI Cost Adj | Cost Basis | ST Gain/Loss | LT Gain/Loss | Trading method | Status |
|---|---|---|---|---|---|---|---|---|---|---|---|
| AIR PRODS & CHEMS INC (APD) | | 425 | $54,917 83 | $50,949 21 | | | $50,949 21 | $3,968 62 | | | |
| 06/25/2014 | 03/12/2014 | 425 | $54,917 83 | $50,949 21 | | | $50,949 21 | $3,968 62 | | | C |
| AMERICAN FINANCIAL GROUP 6 (AFW) | | 10,000 | $256,594 32 | $261,599 51 | | | $261,599 51 | | $-5,005 19 | | |
| 07/28/2014 | 08/30/2012 | 2,500 | $64,148 58 | $65,399 75 | | | $65,399 75 | | $-1,251 17 | | C |
| 07/28/2014 | 08/30/2012 | 2,500 | $64,148 58 | $65,399 75 | | | $65,399 75 | | $-1,251 17 | | C |
| 07/28/2014 | 08/30/2012 | 50 | $1,282 97 | $1,308 50 | | | $1,308 50 | | $-25 53 | | C |
| 07/28/2014 | 08/30/2012 | 2,450 | $62,865 61 | $64,091 76 | | | $64,091 76 | | $-1,226 15 | | C |
| 07/28/2014 | 08/30/2012 | 2,500 | $64,148 58 | $65,399 75 | | | $65,399 75 | | $-1,251 17 | | C |
| AT&T INC (T) | | 6,500 | $225,415 66 | $242,419 65 | | | $242,419 65 | | $-17 003 99 | | |
| 08/14/2014 | 07/27/2012 | 3,500 | $121,377 66 | $130,129 65 | | | $130,129 65 | | $-8,751 99 | | C |
| 08/14/2014 | 09/06/2012 | 3,000 | $104,038 00 | $112,290 00 | | | $112,290 00 | | $-8,252 00 | | C |
| CAPITAL ONE FINL 6 0000% SE (COF-P) | | 10 000 | $243,096 07 | $250,000 00 | | | $250,000 00 | | $-6,903 93 | | |
| 07/25/2014 | 08/13/2012 | 500 | $12,155 23 | $12,500 00 | | | $12,500 00 | | $-344 77 | | C |
| 07/25/2014 | 08/13/2012 | 9,500 | $230,940 84 | $237,500 00 | | | $237,500 00 | | $-6,559 16 | | C |
| CISCO SYSTEMS INC (CSCO) | | 8,800 | $215,684 11 | $227,385 84 | | | $227,385 84 | $-11,701 73 | | | |
| 08/14/2014 | 07/24/2014 | 8,800 | $215,684 11 | $227,385 84 | | | $227,385 84 | $-11,701 73 | | | C |
| EATON CORPORATION PUBLIC LI (ETN) | | 3,300 | $226,144 17 | $248,165 94 | | | $248,165 94 | $-22,021 77 | | | |
| 08/14/2014 | 03/06/2014 | 2,800 | $191,824 88 | $210,565 04 | | | $210,565 04 | $-18 740 16 | | | C |
| 08/14/2014 | 03/06/2014 | 500 | $34,319 29 | $37,600 90 | | | $37,600 90 | $-3 281 61 | | | C |
| EMERSON ELEC CO (EMR) | | 3,000 | $191,577 86 | $154,938 30 | $2,346 01 | | $157,284 31 | | $34,293 55 | | |
| 02/18/2014 | 09/06/2012 | 2,000 | $127,718 57 | $100,098 40 | $2,346 01 | | $102 444 41 | | $25 274 16 | | C |
| 02/18/2014 | 01/10/2013 | 1,000 | $63 859 29 | $54,839 90 | | | $54,839 90 | | $9,019 39 | | C |
| ENDURANCE SPECIALTY HOLDING (ENH-A) | | 10,000 | $263,170 18 | $269,491 84 | | | $269,491 84 | | $-6,321 66 | | |
| 07/28/2014 | 08/30/2012 | 2,500 | $65,792 55 | $67,324 75 | | | $67,324 75 | | $-1,532 20 | | C |
| 07/28/2014 | 08/30/2012 | 2 700 | $71,055 94 | $72,752 85 | | | $72,752 85 | | $-1,696 91 | | C |
| 07/28/2014 | 08/30/2012 | 2,300 | $60,529 15 | $61,938 77 | | | $61,938 77 | | $-1,409 62 | | C |
| 07/28/2014 | 08/30/2012 | 1 646 | $43,317 81 | $44,417 47 | | | $44,417 47 | | $-1,099 66 | | C |
| 07/28/2014 | 08/30/2012 | 854 | $22,474 73 | $23,058 00 | | | $23,058 00 | | $-583 27 | | C |
| FASTENAL CO (FAST) | | 3 300 | $148,929 00 | $166,192 29 | | | $166,192 29 | $-17,263 29 | | | |
| 07/25/2014 | 04/02/2014 | 3,300 | $148,929 00 | $166,192 29 | | | $166,192 29 | $-17,263 29 | | | C |
| INTERNATIONAL BUSINESS MACH (IBM) | | 800 | $143,500 14 | $156,094 56 | | | $156,094 56 | | $-12,594 42 | | |
| 10/08/2013 | 06/29/2012 | 800 | $143,500 14 | $156,094 56 | | | $156,094 56 | | $-12 594 42 | | C |
| JIANGSU EXPRESSWAY C (JEXYF) | | 158,000 | $194,335 70 | $158,059 20 | | | $158,059 20 | | $36,276 50 | | |
| 07/22/2014 | 12/30/2011 | 49,500 | $60,883 65 | $46,530 00 | | | $46 530 00 | | $14,353 65 | | C |
| 07/22/2014 | 12/30/2011 | 500 | $614 99 | $467 50 | | | $467 50 | | $147 49 | | C |
| 07/22/2014 | 01/26/2012 | 25,000 | $30,749 32 | $24,750 00 | | | $24,750 00 | | $5,999 32 | | C |
| 07/22/2014 | 02/07/2012 | 83 000 | $102,087 74 | $86 311 70 | | | $86,311 70 | | $15,776 04 | | C |
| KINDER MORGAN MGNT (KMR) | | 0 411 | $30 58 | $29 29 | | | $29 29 | | $1 29 | | |
| 11/14/2013 | 07/11/2012 | 0 322 | $24 06 | $23 04 | | | $23 04 | | $1 02 | | C |
| 02/14/2014 | 07/11/2012 | 0 089 | $6 52 | $6 25 | | | $6 25 | | $0 27 | | C |
| MCDONALDS CORP (MCD) | | 2,300 | $226 121 03 | $227,893 54 | | | $227,893 54 | | $-1,772 51 | | |
| 05/29/2014 | 12/30/2011 | 450 | $45,497 50 | $45,238 50 | | | $45,238 50 | | $259 00 | | C |
| 07/21/2014 | 12/30/2011 | 627 | $61 216 73 | $63,032 31 | | | $63,032 31 | | $-1,815 58 | | C |
| 07/21/2014 | 12/30/2011 | 923 | $90,116 50 | $92,788 27 | | | $92,788 27 | | $-2,671 77 | | C |
| 07/21/2014 | 07/11/2012 | 300 | $29,290 30 | $26,834 46 | | | $26,834 46 | | $2,455 84 | | C |
| MOUNT VERNON OF HANC 5 28% 7/15/2029 (623619FP3) | | 250 000 | $253,565 00 | $250,250 00 | | $-15 33 | $250,234 67 | | $3,330 33 | | |
| 04/08/2014 | 06/28/2012 | 250,000 | $253,565 00 | $250 250 00 | | $-15 33 | $250,234 67 | | $3,330 33 | | |
| NELSON CNTY KY SCH DI 5 7% 12/1/2027 (640345QS6) | | 70,000 | $70,000 00 | $79,345 00 | | $-6,794 08 | $72,550 92 | | $-2,550 92 | | |
| 03/04/2014 Corp Action G/L | 06/21/2012 | 70,000 | $70,000 00 | $79,345 00 | | $-6,794 08 | $72,550 92 | | $-2 550 92 | | |
| POWERSHARES BUILD AMERICA B (BAB) | | 11,500 | $340,640 87 | $353,826 68 | | | $353,826 68 | | $-13,185 81 | | |
| 08/14/2014 | 07/25/2012 | 2 500 | $74,052 36 | $76,974 50 | | | $76,974 50 | | $-2 922 14 | | C |
| 08/14/2014 | 07/25/2012 | 1 533 | $45,408 91 | $47,170 41 | | | $47,170 41 | | $-1,761 50 | | C |
| 08/14/2014 | 07/25/2012 | 2,467 | $73,074 87 | $75,909 34 | | | $75,909 34 | | $-2 834 47 | | C |
| 08/14/2014 | 07/25/2012 | 750 | $22,215 71 | $23,084 93 | | | $23,084 93 | | $-869 22 | | C |
| 08/14/2014 | 07/25/2012 | 4,250 | $125,889 02 | $130,687 50 | | | $130,687 50 | | $-4,798 48 | | C |
| PRUDENTIAL FINL (PRU) | | 3,625 | $323,525 18 | $258 434 59 | | | $258,434 59 | | $65 090 59 | | |
| 06/17/2014 | 06/11/2013 | 3 625 | $323 525 18 | $258,434 59 | | | $258,434 59 | | $65 090 59 | | C |
| PS BUSINESS PARK 6 0000% SE (PSB-T) | | 10 000 | $244 737 59 | $258,337 00 | | | $258,337 00 | | $-13 599 41 | | |
| 07/28/2014 | 08/30/2012 | 1,900 | $46,500 14 | $49,095 81 | | | $49,095 81 | | $-2 595 67 | | C |
| 07/28/2014 | 08/30/2012 | 800 | $19,579 01 | $20,664 00 | | | $20,664 00 | | $-1 084 99 | | C |
| 07/28/2014 | 08/30/2012 | 900 | $22,026 38 | $23,237 91 | | | $23 237 91 | | $-1 211 53 | | C |
| 07/28/2014 | 08/30/2012 | 1 400 | $34,263 27 | $36 133 86 | | | $36 133 86 | | $-1 870 59 | | C |
| 07/28/2014 | 08/30/2012 | 592 | $14,488 46 | $15,303 14 | | | $15 303 14 | | $-814 68 | | C |
| 07/28/2014 | 08/30/2012 | 4 408 | $107 880 33 | $113,902 28 | | | $113,902 28 | | $-6,021 95 | | C |
| STANLEY BLACK & DECKER INC (SWK) | | 2 900 | $237,252 74 | $204,056 72 | | | $204,056 72 | | $33,196 02 | | |
| TOTAL | | | $4,448 611 42 | $4,379 708 99 | $2 346 01 | $-6 809 41 | $4 375 245 59 | $-47,018 17 | $120,384 00 | | |

[illegible]

# [illegible] 13675[illegible]

| Securities Sold | Purchased | Units Sold | Proceeds | Orig Cost | WS Adj | FI Cost Adj | Cost Basis | ST Gain/Loss | LT Gain/Loss | Trading method | Status |
|---|---|---|---|---|---|---|---|---|---|---|---|
| 04/01/2014 | 12/30/2011 | 89 | $7,281 20 | $6,021 74 | | | $6 021 74 | | $1,259 46 | | C |
| 04/01/2014 | 12/30/2011 | 1 111 | $90,892 35 | $75,157 15 | | | $75,157 15 | | $15,735 20 | | C |
| 04/01/2014 | 12/30/2011 | 700 | $57,267 90 | $47,347 93 | | | $47,347 93 | | $9,919 97 | | C |
| 04/01/2014 | 03/05/2012 | 1,000 | $81,811 29 | $75,529 90 | | | $75,529 90 | | $6,281 39 | | C |
| STANLEY BLACK 5 7500% 7/25 (SWJ) | | 10 000 | $250,285 46 | $260,697 19 | | | $260,697 19 | | $-10 411 73 | | |
| 07/25/2014 | 08/30/2012 | 4,220 | $105,612 87 | $110,099 80 | | | $110,099 80 | | $-4,486 93 | | C |
| 07/25/2014 | 08/30/2012 | 780 | $19,520 86 | $20,342 40 | | | $20,342 40 | | $-821 54 | | C |
| 07/28/2014 | 08/30/2012 | 223 | $5,581 77 | $5,815 84 | | | $5,815 84 | | $-234 07 | | C |
| 07/28/2014 | 08/30/2012 | 600 | $15,018 20 | $15,642 00 | | | $15,642 00 | | $-623 80 | | C |
| 07/28/2014 | 08/30/2012 | 100 | $2,503 04 | $2,606 50 | | | $2,606 50 | | $-103 46 | | C |
| 07/28/2014 | 08/30/2012 | 3,317 | $83,025 66 | $86,407 85 | | | $86,407 85 | | $-3,382 19 | | C |
| 07/28/2014 | 08/30/2012 | 760 | $19,023 06 | $19,782 80 | | | $19,782 80 | | $-759 74 | | C |
| V F CORP (VFC) | | 800 | $153,242 37 | $125,062 64 | | | $125,062 64 | | $28,179 73 | | |
| 10/08/2013 | 08/30/2012 | 400 | $76,621 19 | $60 851 32 | | | $60,851 32 | | $15,769 87 | | C |
| 10/08/2013 | 10/03/2012 | 400 | $76,621 18 | $64,211 32 | | | $64,211 32 | | $12,409 86 | | C |
| VERIZON COMM (VZ) | | 4,000 | $185,845 56 | $176,480 00 | | | $176,480 00 | | $9,365 56 | | |
| 03/11/2014 | 11/30/2012 | 4,000 | $185,845 56 | $176,480 00 | | | $176,480 00 | | $9,365 56 | | C |
| TOTAL | | | $4,448,611 42 | $4,379,708 99 | $2,346 01 | $-6,809 41 | $4,375 245 59 | $-47,018 17 | $120,384 00 | | |

| | | |
|---|---|---|
| ST | 615,675 11 | 662,693 78 (-47017 [illegible]) |
| LT | 3832,936 31 | 3[illegible] |
| Total | 4448,611 42 | 4375,245 59 |

WEB4

GAINSKEEPER

The cost basis information TD Ameritrade provides for tax-exempt accounts is for client use only TD Ameritrade will not report cost basis information on tax-exempt accounts to the IRS

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The data reported by WKFS on web pages or in reports do not necessarily take into account potentially important considerations to specific persons or entities All information provided by WKFS must be considered by users in light of their specific circumstances and judgment as to applicability and correctness, and in light of any subsequent developments and/or other events WKFS determinations and calculations are based on our understanding of the tax law as available and in effect as of the date of the design of the currently implemented WKFS system Such tax law is subject to change, possibly with retroactive effect, and such changes may not be taken into account by WKFS

WKFS performs calculations that are affected by investor elections, including elections that relate to special tax calculation rules for debt instruments WKFS does not verify that elections have been properly made or revoked WKFS makes no representation or warranty as to the correctness, accuracy or completeness of any investor election or revocation of election, tax classification, amount, or other determination and assumes no liability for damages resulting from elections or revocation of elections, determinations of taxability, tax classification, or other attributes, including any collateral or consequential damages including but not limited to taxes or tax related penalties, nor does it provide any assurance of the accuracy of any data used to make such determinations

**JEROME J. & DOROTHY H. HOLZ FAMILY FOUNDATION**
EIN 36-7368506

**FORM 990-PF**
For the fiscal year ended 8/31/14

Part VIII, Line 1, Officers, Directors, Trustees, etc.

| Name | Title | Compensation | Employee Benefit Plan Contributions | Expense Account |
|---|---|---|---|---|
| Jerome J Holz<br>10400 W Innovation Drive Suite 110<br>Milwaukee WI 53226 | Settlor<br>Trustee<br>President | $ 600 | $ - | $ - |
| Jerome J Weis<br>10400 W Innovation Drive Suite 110<br>Milwaukee WI 53226 | Trustee<br>Executive Vice President | 600 | - | - |
| Barbara Holz Weis<br>10400 W Innovation Drive Suite 110<br>Milwaukee WI 53226 | Trustee<br>Vice President | 600 | - | - |
| Judith Holz Stathas<br>10400 W Innovation Drive Suite 110<br>Milwaukee WI 53226 | Trustee<br>Secretary | 600 | - | - |
| Traci S Weis<br>10400 W Innovation Drive Suite 110<br>Milwaukee WI 53226 | Trustee<br>Assistant Secretary | 600 | - | - |
| Donald H Tushaus<br>10400 W Innovation Drive Suite 110<br>Milwaukee WI 53226 | Treasurer | - | - | - |

Part XV, Line 2d, Restrictions

Grants are made only to nonprofit organizations exempt from Federal taxation under §501 (c)(3) of the Internal Revenue Code

The foundation will operate without discrimination towards age, race, religion, sex or national origin

Priority will be given to projects and programs benefiting the Greater Milwaukee Area

Priority will be given to quality programs supporting excellence in basic education

Grants are not made to a private individual

Scholarship grants are awarded to educational institutions which then have the responsibility to select individual recipients

Grants are generally awarded for a one-year period only

Grants are not generally made for religious purposes

Grants are not made to labor or political organizations

*Jerome J. and Dorothy H. Holz Family Foundation*

# GRANT APPLICATION

THIS GRANT APPLICATION FORM IS THE FIRST STEP IN OUR GRANTMAKING PROCESS AND MUST BE SUBMITTED IN ORDER TO RECEIVE ANY CONSIDERATION OF A GRANT REQUEST.

1. **NAME OF APPLICANT ORGANIZATION**: Please use full legal name.

   ______________________________________________

2. Street Address: ______________________________________________

   City/State/Zip: ______________________________________________

3. Phone Number: ______________________ Email address: ______________________

4. **Is applicant affiliated with other organizations**? List names.

   ______________________________________________

5. **Has the applicant had an operating deficit within the last five years**? If so, when and what amount?

   ______________________________________________

6. **Purpose for this request**: Be specific and limit your explanation to 100 words. State how many individuals your program will help in the Greater Milwaukee Area.

   AMOUNT REQUESTED $______________

7. **What other sources have you approached for support of this project**?

   ______________________________________________

8. **Will any part of this grant be used for administrative costs, salaries or fund-raising appeals**? (If yes, please explain.)

   ______________________________________________

9. **Are you a 501(c)(3) tax exempt organization**?

   ______________________________________________

DONALD H. TUSHAUS, FOUNDATION ADMINISTRATOR
414-774-1031 ◦ EMAIL DONT@TUSHAUSCPA.COM ◦ 10400 INNOVATION DR, SUITE 110 ◦ MILWAUKEE, WI 53226

10. **If you are a 501(c)(3) tax exempt organization, are you an operating tax exempt organization**?

11. **Amount of compensation of three (3) highest paid employees of organization requesting grant**: Only required for a 501(c)(3) tax exempt organization.

12. **Amount spent during the last year for grant solicitations; also show as a percentage of grants received**:

13. **Previous funding received from this foundation**:

| Year | Amount | Program Description |
|---|---|---|

14. **If you are not a tax exempt organization under IRC Section 501(c)(3), are you a qualified tax exempt organization under which a charitable donation will qualify under Code Section 170(c)**?

15 **Have you reported to us on our previous grants**? (If no, please report as soon as possible.)

The undersigned, a representative of the applicant, submits this grant application for consideration and states that the above information is true and correct and that its tax exempt status has not been revoked or modified.

By:______________________________________________

(Print Name & Title) (Signature) (Date)

**PLEASE REMEMBER TO INCLUDE COPIES OF THE FOLLOWING WITH YOUR APPLICATION:**

1. Federal determination letter for tax exempt status under IRC Section 501(c)(3), if applicable.

2. Most recent annual and current year interim financial statements.

3. Budget for this grant request.

4. Names and addresses of Board Members and Officers.

**Return completed form to**:

**Jerome J. Holz, President**
**Jerome and Dorothy Holz Family Foundation**
**c/o Don Tushaus**
**10400 Innovation Drive, Suite 110**
**Milwaukee, WI 53226**

# *Jerome J. and Dorothy H. Holz Family Foundation*

## BRIEF PROFILE OF THE FOUNDERS

The Jerome and Dorothy Holz Family Foundation was established on December 31, 1996, by the Jerome J. Holz family to benefit various educational programs, specified school curriculums, and community activities and projects in the Greater Milwaukee Area

The Jerome and Dorothy Holz family started in May 1949 when Jerry and Dorothy Schubring, who grew up together in Hales Corners, started their married life together which union grew into a beautiful love story and parenthood to their two daughters, Judy Holz-Stathas and Barbara Holz-Weis. While Dorothy was providing a loving home for Jerry and their daughters, Jerry was hard at work establishing his career at a company he would eventually own. Sadly, their life together ended when, on January 10, 2009, after more than 50 years of marriage, Dorothy passed away.

Holz Motors was founded in 1914 by R W. and Gertrude Holz and the first year operations resulted in the sale of 7 K.R I.T s and, the second year, 7 Imperial cars. The next year, the dealership sold 14 Chevrolets, and from that humble beginning and under the leadership of R.W Holz and subsequently Jerry Holz, who started working at Holz Motors in his teens as a car washer, has grown, not only to Wisconsin's largest Chevrolet dealership for the last 20 years, but to the largest in the Midwest region. Other accomplishments in his automobile career are:

Owner of Holz Chevrolet Buick GMC Cadillac, Inc., Watertown, Wisconsin
Two Time Recipient of Time Magazine Top-Ten Quality Dealer Award
President of Greater Milwaukee Automobile and Truck Dealers Association
President of Wisconsin Automobile and Truck Dealers Association
Honored by Chevrolet for being a "Dealer Principal" for 50 years

Jerry Holz also has a career as a banker, and again, its origin started with his father, R.W. Holz, who was one of the founders of the then named Hales Corners State Bank. It became State Financial Services Corp. and, the bank which just barely survived the depression years and struggled through the war years, grew and prospered under his leadership as President and was a respected and recognized name in the banking communities of southern Wisconsin and northern Illinois State Financial Services Corp had footings which exceeded $1 billion and was publicly traded. The Corporation has since merged into Associated Bank and is now part of one of the largest financial institutions in the upper Midwest.

In addition to his business accomplishments, Jerry's association with Masonry is renowned:

1954 Nathan Hale Lodge No 350 F and A M
1955 Wisconsin Scottish Rite Bodies
1970-71 Thrice Potent Master
1974 33° Mason
1980 Tripoli Shrine A.A N O M S. Potentate
1987 St Wilfred Conclave Sovereign
1993 Freemasons Lodge No 363 F A M -Worshipful Master

## GRANT APPLICATION PROCEDURE

Upon receiving a letter of intent from grant seekers, the Foundation will provide a Grant Application and brochure with guidelines.

The deadline for a completed Grant Application is May 1st, in order that it can be reviewed at our Annual meeting and, if necessary, additional information or clarification can be requested prior to grant determinations being finalized.

Grantees are required to sign and return our Grant Acknowledgement form immediately after the grant check is received. Grant checks are issued in August.

Grantees are requested to report on the results of the program for which the grant was awarded within one year and interim reports are suggested.

Address all requests to:
Jerome J Holz, President
Jerome J. and Dorothy H. Holz Family Foundation
c/o Don Tushaus
10400 Innovation Drive, Suite 110
Milwaukee, WI 53226

## BASIC GRANT POLICIES

Grants are made only to non-profit operating organizations exempt from Federal taxation under the Internal Revenue Code.

The Foundation will operate without discrimination towards age, race, religion, sex, or national origin.

Priority will be given to projects and programs in the greater Milwaukee area that mainly benefit:

Education of youth and child development
Special education of handicapped individuals
Student services and organizations
Scholarship, student financial aid
Health – patient counseling
Senior continuing care communities
Zoo, Zoological societies
Community events for the general public

Grants are not made to individuals.
Grants are not made for general fund raising, deficit financing, or loans.
Grants are generally not made for religious purposes.
Grants are not made to labor or political organizations

## OFFICERS/TRUSTEES

| | |
|---|---|
| Jerome J Holz | President and Trustee |
| Jerome J. Weis | Executive Vice President and Trustee |
| Barbara Holz Weis | Vice President and Trustee |
| Judith Holz Stathas | Secretary and Trustee |
| Traci S. Weis | Assistant Secretary and Trustee |
| Donald H. Tushaus | Treasurer and Foundation Administrator |

*Jerome J. and Dorothy H. Holz Family Foundation*

# GRANT ACKNOWLEDGMENT

The undersigned hereby acknowledges a grant from the **JEROME J. AND DOROTHY H. HOLZ FAMILY FOUNDATION** in the amount of:

$____________________, Check # _______________ dated __________________________

This certifies that:

1. We are a tax-exempt organization under the provisions of Section 501(c)(3) of the Internal Revenue Code per determination letter attached (if not previously submitted) and we are an operating foundation, or

   We are classified as a private foundation under Section 509(a) of the Internal Revenue Code, and are a qualified tax exempt organization under which a charitable donation will qualify under Code Sec 170(c).

2. Our tax-exempt status has not been revoked or modified.

3. No goods or services have been provided by us to any of the Trustees of the Jerome J. and Dorothy H. Holz Family Foundation in connection with this grant.

________________________________________________________
(Name of Organization)

By:____________________________________________________
(Print Name & Title) (Signature)

Dated:______________________________

We would appreciate receiving financial statements or a financial reporting on this program as it progresses and, upon completion, a reporting as to the success of the program.

**GRANTS AWARDED ARE FOR A ONE-YEAR PERIOD AND DO NOT IMPLY COMMITMENT TO FUTURE GIVING.**

**Return completed form to:**

**Jerome J. Holz, President**
**Jerome J. and Dorothy H. Holz Family Foundation**
**c/o Don Tushaus**
**10400 Innovation Drive, Suite 110**
**Milwaukee, WI 53226**

DONALD H. TUSHAUS, FOUNDATION ADMINISTRATOR
414-774-1031 ◦ EMAIL DONT@TUSHAUSCPA.COM ◦ 10400 INNOVATION DR, SUITE 110 ◦ MILWAUKEE, WI 53226

**JEROME J. & DOROTHY H. HOLZ FAMILY FOUNDATION**
EIN 36-7368506

**FORM 990-PF**
For the fiscal year ended 8/31/14

Part XV, line 3a, Grants and Contributions Paid During the Year

| Recipient | Relationship to Manager or Contributor | Foundation Status | Purpose of Grant | Amount |
|---|---|---|---|---|
| Big Brothers Big Sisters of Metro Milw<br>788 N Jefferson Street Suite 600<br>Milwaukee WI 53202 | N/A | public | One-to-one mentoring program | 10,000 |
| Catholic Memorial High School of Waukesha Inc<br>601 E College Avenue<br>Waukesha WI 53186 | N/A | public | Towards 21st Century Innovation Wing for STEM learning | 25,000 |
| Catholic United Financial Foundation<br>3499 Lexington Avenue N<br>St Paul MN 55126 | N/A | public | For the Hannah James Mishefske Savings Fund | 10,000 |
| Children's Dyslexia Center - Milwaukee<br>790 N Van Buren Street<br>Milwaukee WI 53202 | N/A | public | Dyslexia tutoring of Milwaukee school children | 30,000 |
| Christ Lutheran School<br>W229 S8930 Clark Street<br>Big Bend WI 53103 | N/A | public | Assist in funding the preparation of lessons and outings for the Girl Pioneers program | 5,000 |
| Discovery World Ltd<br>500 N Harbor Drive<br>Milwaukee WI 53202 | N/A | public | Towards a saltwater touch tank | 5,000 |
| Feeding America Eastern Wisconsin<br>1700 W Fond du Lac Avenue<br>Milwaukee WI 53205 | N/A | public | Mobile Pantry Program | 5,000 |
| The Foundation of the Wisconsin Automobile & Truck Dealers Association<br>150 E Gilman Street Level A<br>Madison WI 53703 | N/A | public | Automotive Youth Educational Systems high school programs | 25,000 |
| Friends of Boerner Botanical Gardens Inc<br>9400 Boerner Drive Suite 1<br>Hales Corners WI 53130 | N/A | public | Children's plant science and environmental education | 15,000 |
| Hales Corners Historical Society Inc<br>5635 S New Berlin Road<br>Hales Corners WI 53130 | N/A | public | Production of a book "Hales Corners Wisconsin, A History in Celebration of 175 Years 1837 - 2012" | 10,000 |
| Hunger Task Force<br>201 S Hawley Court<br>Milwaukee WI 53214 | N/A | public | Purchase milk for food bank | 5,000 |
| Jewish Family Services Inc<br>1300 N Jackson Street<br>Milwaukee WI 53202 | N/A | public | Kids in the Middle Program | 2,500 |

**JERОME J. & DOROTHY H. HOLZ FAMILY FOUNDATION**
EIN 36-7368506

**FORM 990-PF**
For the fiscal year ended 8/31/14

Part XV, line 3a, Grants and Contributions Paid During the Year·

| Recipient | Relationship to Manager or Contributor | Foundation Status | Purpose of Grant | Amount |
|---|---|---|---|---|
| Milwaukee Area Technical College Foundation - MPTV Fund<br>700 W State Street<br>Milwaukee WI 53233 | N/A | public | Partially underwrite acquisition and transmission costs for "Arthur" and "Lawrence Welk" | 40,000 |
| Milwaukee Area Technical College Foundation<br>700 W State Street<br>Milwaukee WI 53233 | N/A | public | To establish the Holz Family Foundation Completion Challenge Endowed Scholarship Fund | 30,000 |
| Milwaukee Habitat for Humanity Inc<br>3726 N Booth Street<br>Milwaukee WI 53212 | N/A | public | Purchase building materials for a new construction home | 15,000 |
| Milwaukee Homeless Veterans Initiative<br>PO Box 80699<br>Milwaukee WI 53208 | N/A | public | Help homeless and at risk veterans | 10,000 |
| Milwaukee Public Museum<br>800 W Wells Street<br>Milwaukee WI 53233 | N/A | public | Early Learning Program | 5,000 |
| Milwaukee School of Engineering<br>1025 N Broadway<br>Milwaukee WI 53202 | N/A | public | Mechanical Engineering Scholarship Fund | 20,000 |
| Milwaukee Symphony Orchestra<br>1101 N Market Street Suite 100<br>Milwaukee WI 53202 | N/A | public | General operating support | 50,000 |
| Muskego Lakes Conservancy<br>S64 W18431 Topaz Drive<br>Muskego WI 53150 | N/A | public | Purchase native prairie seed for the Calhoun Creek Prairie site | 10,000 |
| National Multiple Sclerosis Society<br>1120 James Drive Suite A<br>Hartland WI 53029 | N/A | public | Support the MS Scholarship Program | 3,000 |
| Rawhide Inc<br>E7475 Rawhide Road<br>New London WI 54961 | N/A | public | Milwaukee Youth and Family Counseling Program | 20,000 |
| St Mary Congregation<br>9520 W Forest Home Avenue<br>Hales Corners WI 53130 | N/A | public | New phone system and refurnish conference room #2 | 38,500 |
| The Salvation Army<br>11315 W Watertown Plank Road<br>Wauwatosa WI 53226 | N/A | public | Feed the Kids program | 5,000 |

**JEROME J. & DOROTHY H. HOLZ FAMILY FOUNDATION**
EIN 36-7368506

**FORM 990-PF**
For the fiscal year ended 8/31/14

Part XV, line 3a, Grants and Contributions Paid During the Year·

| Recipient | Relationship to Manager or Contributor | Foundation Status | Purpose of Grant | Amount |
|---|---|---|---|---|
| The Salvation Army - Oak Creek<br>8853 S Howell Avenue<br>Oak Creek WI 53154 | N/A | public | Greatest Need Fund | 5,000 |
| University of Wisconsin Foundation<br>1848 University Avenue<br>Madison WI 53726 | N/A | public | Establish the Michael Falbo Endowed Scholarship Fund and support the University of WI Madison Marching Band | 30,000 |
| Urban Ecology Center<br>1500 E Park Place<br>Milwaukee WI 53211 | N/A | public | Neighborhood Environmental Education Project (NEEP) | 10,000 |
| Village of Hales Corners<br>5635 S New Berlin Road<br>Hales Corners WI 53130 | N/A | public | Assist in funding the village's annual 4th of July celebration | 50,000 |
| Wehr Nature Center<br>9701 W College Avenue<br>Franklin WI 53132 | N/A | public | Construction of Wildlife Aquatic Observatory at Anderson Lake - Eagle Scout project by Braden Schubring | 2,500 |
| Wildlife In Need Center<br>W349 S1480 S Waterville Road<br>Oconomowoc WI 53066 | N/A | public | Towards treating injured, orphaned and sick wildlife | 1,000 |
| The Water Council Inc<br>247 W Freshwater Way<br>Milwaukee WI 53204 | N/A | public | Lead to Succeed (LTS) youth program | 5,220 |
| Zoological Society of Milwaukee County<br>10005 W Blue Mound Road<br>Milwaukee WI 53226 | N/A | public | For the Animal Ambassador Continuum, the Senior Animal Ambassador Program and the Student Intern Program | 45,000 |
| Zoological Society of Milwaukee County<br>10005 W Blue Mound Road<br>Milwaukee WI 53226 | N/A | public | For the Platypus Circle Membership program | 10,000 |
| Total Grants and Contributions Paid During the Year | | | | $ 552,720 |