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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 09-01-2013, and ending 08-31-2014

| | | |
|--|--|--|
| Name of foundation JOHN N BLACKMAN SR FOUNDATION C/O SCHAIN LEIFER GURALNICK | | A Employer identification number 22-2938619 |
| Number and street (or P O box number if mail is not delivered to street address) 10 EAST 40TH STREET ROOM/SUITE 2710 | Room/suite | B Telephone number (see instructions) (212) 679-0380 |
| City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 100160348 | | C If exemption application is pending, check here <input type="checkbox"/> |
| G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change | | D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,701,963 | J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | 200,000 | | | |
| | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 914 | 914 | 914 | |
| | 4 Dividends and interest from securities | 25,422 | 25,422 | 25,422 | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 116,642 | | | |
| | b Gross sales price for all assets on line 6a 1,159,464 | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 116,642 | | |
| | 8 Net short-term capital gain | | | 67,268 | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit or (loss) (attach schedule) | | | | | |
| 11 Other income (attach schedule) | | | | | |
| 12 Total. Add lines 1 through 11 | 342,978 | 142,978 | 93,604 | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) | 8,641 | 8,641 | 8,641 | |
| | c Other professional fees (attach schedule) | 23,934 | 23,934 | 23,934 | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) | 2,590 | 2,590 | 2,590 | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) | 3,232 | 3,232 | 3,232 | |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 38,397 | 38,397 | 38,397 | 0 |
| | 25 Contributions, gifts, grants paid | 208,500 | | | 208,500 |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 246,897 | 38,397 | 38,397 | 208,500 | |
| 27 Subtract line 26 from line 12 | | | | | |
| a Excess of revenue over expenses and disbursements | 96,081 | | | | |
| b Net investment income (if negative, enter -0-) | | 104,581 | | | |
| c Adjusted net income (if negative, enter -0-) | | | 55,207 | | |

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

| Part II Balance Sheets | | Beginning of year | | End of year | |
|--|--|---|---|-----------------------|--|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value | |
| Assets | 1 Cash—non-interest-bearing | 16,060 | -1 | | |
| | 2 Savings and temporary cash investments | 32,529 | 47,378 | 47,378 | |
| | 3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | | |
| | 4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | | |
| | 5 Grants receivable | | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | | |
| | 8 Inventories for sale or use | | | | |
| | 9 Prepaid expenses and deferred charges | | | | |
| | 10a Investments—U S and state government obligations (attach schedule) | 313,077 <input checked="" type="checkbox"/> | 160,158 | 160,158 | |
| | b Investments—corporate stock (attach schedule) | 1,060,094 <input checked="" type="checkbox"/> | 1,293,395 | 1,470,464 | |
| | c Investments—corporate bonds (attach schedule) | | | | |
| | 11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____ | | | | |
| | 12 Investments—mortgage loans | | | | |
| | 13 Investments—other (attach schedule) | 6,000 <input checked="" type="checkbox"/> | 22,911 | 22,917 | |
| | 14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____ | | | | |
| 15 Other assets (describe ▶ _____) | <input checked="" type="checkbox"/> 1,046 <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> 1,046 <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> 1,046 <input checked="" type="checkbox"/> | | |
| 16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I) | 1,428,806 | 1,524,887 | 1,701,963 | | |
| Liabilities | 17 Accounts payable and accrued expenses | | | | |
| | 18 Grants payable | | | | |
| | 19 Deferred revenue | | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | | |
| | 22 Other liabilities (describe ▶ _____) | | | | |
| 23 Total liabilities (add lines 17 through 22) | | 0 | | | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | | |
| | 24 Unrestricted | | | | |
| | 25 Temporarily restricted | | | | |
| | 26 Permanently restricted | | | | |
| | Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31. | | | | |
| | 27 Capital stock, trust principal, or current funds | | | | |
| | 28 Paid-in or capital surplus, or land, bldg, and equipment fund | | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 1,428,806 | 1,524,887 | | |
| 30 Total net assets or fund balances (see page 17 of the instructions) | 1,428,806 | 1,524,887 | | | |
| 31 Total liabilities and net assets/fund balances (see page 17 of the instructions) | 1,428,806 | 1,524,887 | | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|----------|--|----------|-----------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,428,806 |
| 2 | Enter amount from Part I, line 27a | 2 | 96,081 |
| 3 | Other increases not included in line 2 (itemize) ▶ _____ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 1,524,887 |
| 5 | Decreases not included in line 2 (itemize) ▶ _____ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 1,524,887 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|--|--|---|--|--------------------------------|
| 1a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | |
| a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) | |
| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | | |
| a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| 2 | Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 116,642 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | | } | 3 |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|--|--|--|---|
| 2012 | 211,424 | 1,584,724 | 0.133414 |
| 2011 | 205,221 | 1,118,121 | 0.183541 |
| 2010 | 202,499 | 1,520,237 | 0.133202 |
| 2009 | 193,111 | 1,444,756 | 0.133663 |
| 2008 | 190,879 | 1,547,754 | 0.123326 |
| 2 | Total of line 1, column (d). | | 2 0.707146 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | 3 0.141429 |
| 4 | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. | | 4 3,588,562 |
| 5 | Multiply line 4 by line 3. | | 5 507,527 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b). | | 6 1,046 |
| 7 | Add lines 5 and 6. | | 7 508,573 |
| 8 | Enter qualifying distributions from Part XII, line 4. | | 8 208,500 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

Table with 11 main rows and sub-rows (a-e) for credits and payments. Includes amounts like 2,092 and 1,000.

Part VII-A Statements Regarding Activities

Table with 10 main rows (1a-10) and sub-rows (a-b) for questions regarding political activities, tax returns, and assets.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 11 No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 Yes
Website address N/A
14 The books are in care of SCHAIN LEIFER GURALNICK Telephone no (212) 679-0380
Located at 10 E40TH ST NEW YORK NY ZIP +4 10016
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -Check here 15
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? 1b No
Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? 1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? Yes No
If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions). 2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.). 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? 4b No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | |
|--|-----------|-----------|
| <p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945–5(d).</p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" to 6b, file Form 8870.</p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> | 5b | |
| | 6b | No |
| | 7b | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---------------------------------------|
| HOWARD SCHAIN 10 EAST 40TH STREET NEW YORK, NY 10016 | PRESIDENT 1 00 | 0 | 0 | 0 |
| MARK W BLACKMAN 10 EAST 40TH STREET NEW YORK, NY 10016 | TRUSTEE 0 00 | 0 | 0 | 0 |
| JOHN N BLACKMAN JR 10 EAST 40TH STREET NEW YORK, NY 10016 | TRUSTEE 0 00 | 0 | 0 | 0 |

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 N/A | |
| 2 | |
| | |
| | |
| All other program-related investments. See page 24 of the instructions | |
| 3 | |
| | |
| | |

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|--|-----------|-----------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities. | 1a | 1,614,328 |
| b | Average of monthly cash balances. | 1b | 207,277 |
| c | Fair market value of all other assets (see instructions). | 1c | 1,821,605 |
| d | Total (add lines 1a, b, and c). | 1d | 3,643,210 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | |
| 3 | Subtract line 2 from line 1d. | 3 | 3,643,210 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 54,648 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 3,588,562 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 179,428 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

| | | | |
|-----------|--|-----------|---------|
| 1 | Minimum investment return from Part X, line 6. | 1 | 179,428 |
| 2a | Tax on investment income for 2013 from Part VI, line 5. | 2a | 2,092 |
| b | Income tax for 2013 (This does not include the tax from Part VI). | 2b | |
| c | Add lines 2a and 2b. | 2c | 2,092 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1. | 3 | 177,336 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | |
| 5 | Add lines 3 and 4. | 5 | 177,336 |
| 6 | Deduction from distributable amount (see instructions). | 6 | |
| 7 | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. | 7 | 177,336 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|--|-----------|---------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. | 1a | 208,500 |
| b | Program-related investments—total from Part IX-B. | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required). | 3a | |
| b | Cash distribution test (attach the required schedule). | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 208,500 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). | 5 | |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 208,500 |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 177,336 |
| 2 Undistributed income, if any, as of the end of 2013 | | | | |
| a Enter amount for 2012 only. | | | | |
| b Total for prior years 20__ , 20__ , 20__ | | | | |
| 3 Excess distributions carryover, if any, to 2013 | | | | |
| a From 2008. | 113,733 | | | |
| b From 2009. | 122,651 | | | |
| c From 2010. | 127,489 | | | |
| d From 2011. | 158,873 | | | |
| e From 2012. | 134,340 | | | |
| f Total of lines 3a through e. | 657,086 | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>208,500</u> | | | | |
| a Applied to 2012, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | | | |
| c Treated as distributions out of corpus (Election required—see instructions). | | | | |
| d Applied to 2013 distributable amount. | | | | 177,336 |
| e Remaining amount distributed out of corpus | 31,164 | | | |
| 5 Excess distributions carryover applied to 2013 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i> | | | | |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 688,250 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b. | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | | | |
| d Subtract line 6c from line 6b Taxable amount—see instructions | | | | |
| e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions | | | | |
| f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). | | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). | 113,733 | | | |
| 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a | 574,517 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2009. | 122,651 | | | |
| b Excess from 2010. | 127,489 | | | |
| c Excess from 2011. | 158,873 | | | |
| d Excess from 2012. | 134,340 | | | |
| e Excess from 2013. | 31,164 | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|--|----------|---------------|----------|----------|-----------|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test—enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | | | |
| c "Support" alternative test—enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
 HOWARD SCHAIN
 10 EAST 40TH STREET 2710
 NEW YORK, NY 10016
 (212) 679-0380

b The form in which applications should be submitted and information and materials they should include
 BY LETTER

c Any submission deadlines
 NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
 NONE

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|-------------------|
| a <i>Paid during the year</i> See Additional Data Table | | | | |
| Total | | | | 3a 208,500 |
| b <i>Approved for future payment</i> | | | | |
| Total | | | | 3b |

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Table with 5 columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include program service revenue, membership dues, interest on investments, dividends, rental income, and other revenue.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., and a text area for explaining how activities contribute to exempt purposes.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

| | Yes | No |
|--|--------------|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| (1) Cash. | 1a(1) | No |
| (2) Other assets. | 1a(2) | No |
| b Other transactions | | |
| (1) Sales of assets to a noncharitable exempt organization. | 1b(1) | No |
| (2) Purchases of assets from a noncharitable exempt organization. | 1b(2) | No |
| (3) Rental of facilities, equipment, or other assets. | 1b(3) | No |
| (4) Reimbursement arrangements. | 1b(4) | No |
| (5) Loans or loan guarantees. | 1b(5) | No |
| (6) Performance of services or membership or fundraising solicitations. | 1b(6) | No |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | No |

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line No | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|-------------|---------------------|---|--|
| | | | |
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2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| | | |
| | | |
| | | |
| | | |

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

***** 2015-01-30 *****
 Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr.)? Yes No

| | | | | | |
|-------------------------------|---|---|--------------------|--|-------------------|
| Paid Preparer Use Only | Print/Type preparer's name HOWARD SCHAIN | Preparer's Signature | Date 2015-01-30 | Check if self-employed <input checked="" type="checkbox"/> | PTIN P00044860 |
| | Firm's name ▶ | SCHAIN LEIFER GURALNICK | | Firm's EIN ▶ 13-1924252 | |
| | Firm's address ▶ | 10 EAST 40TH STREET SUITE 2710 NEW YORK, NY 100160348 | | Phone no (212) 679-0380 | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|---|--|--|---|
| SEE ATTACHED UBS 67280 S/T | P | | |
| SEE ATTACHED MS 123511 L/T | P | | |
| SEE ATTACHED UBS 67281 S/T | P | | |
| SEE ATTACHED MS 123512 L/T | P | | |
| SEE ATTACHED UBS 67282 S/T | P | | |
| SEE ATTACHED MS 123513 L/T | P | | |
| SEE ATTACHED UBS 67283 S/T | P | | |
| SEE ATTACHED UBS 67280 L/T | P | | |
| SEE ATTACHED UBS 67281 L/T | P | | |
| SEE ATTACHED UBS 67283 L/T | P | | |
| SEE ATTACHED UBS 67284 S/T | P | | |
| SEE ATTACHED MS 123508 S/T | P | | |
| SEE ATTACHED MS 123510 S/T | P | | |
| SEE ATTACHED MS 123511 S/T | P | | |
| SEE ATTACHED MS 123512 S/T | P | | |
| SEE ATTACHED MS 123513 S/T | P | | |
| SEE ATTACHED MS 123508 L/T | P | | |
| SEE ATTACHED MS 123510 L/T | P | | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------|---|--|---|
| 6,813 | | 6,217 | 596 |
| 38,143 | | 32,387 | 5,756 |
| 6,008 | | 6,008 | |
| 12,078 | | 10,937 | 1,141 |
| 32,478 | | 29,317 | 3,161 |
| 107,533 | | 88,626 | 18,907 |
| 7,596 | | 7,904 | -308 |
| 36,100 | | 29,380 | 6,720 |
| 7,017 | | 7,013 | 4 |
| 12,614 | | 13,139 | -525 |
| 50,831 | | 44,984 | 5,847 |
| 121,190 | | 123,170 | -1,980 |
| 10,234 | | 8,913 | 1,321 |
| 96,457 | | 93,668 | 2,789 |
| 315,577 | | 261,435 | 54,142 |
| 20,774 | | 19,074 | 1,700 |
| 221,230 | | 218,321 | 2,909 |
| 56,791 | | 42,329 | 14,462 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 596 |
| | | | 5,756 |
| | | | |
| | | | 1,141 |
| | | | 3,161 |
| | | | 18,907 |
| | | | -308 |
| | | | 6,720 |
| | | | 4 |
| | | | -525 |
| | | | 5,847 |
| | | | -1,980 |
| | | | 1,321 |
| | | | 2,789 |
| | | | 54,142 |
| | | | 1,700 |
| | | | 2,909 |
| | | | 14,462 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| AMERICAN BALLROOM THEATER COMPANY 25 WEST 31ST STREET 4TH FLOOR NEW YORK, NY 10001 | | | GENERAL | 7,500 |
| ANDREW GLOVER YOUTH PROGRAM INC 100 CENTRE STREET ROOM 1541 NEW YORK, NY 10013 | | | GENERAL | 3,000 |
| BALLET TECH FOUNDATION 890 BROADWAY NEW YORK, NY 10003 | | | GENERAL | 5,000 |
| BBB WISE GIVING ALLIANCE 4200 WILSON BLVD SUITE 800 ARLINGTON, VA 22203 | | | GENERAL | 2,000 |
| BEST BUDDIES NEW YORK 1133 AVENUE OF THE AMERIC SUITE 3110 NEW YORK, NY 10001 | | | GENERAL | 3,000 |
| BOWERY MISSION 132 MADISON AVENUE NEW YORK, NY 10016 | | | GENERAL | 3,000 |
| CENTER FOR HEARING COMMUNICATION 50 BROADWAY 6TH FLOOR NEW YORK, NY 10004 | | | GENERAL | 2,000 |
| CHILDREN'S AID SOCIETY 105 EAST 22ND STREET SUITE 102 NEW YORK, NY 10010 | | | GENERAL | 3,000 |
| CITY HARVEST 575 EIGHTH AVENUE 4TH FLOOR NEW YORK, NY 10018 | | | GENERAL | 3,000 |
| CITY PARKS FOUNDATION 830 FIFTH AVENUE NEW YORK, NY 10065 | | | GENERAL | 3,000 |
| CITYMEALS ON WHEELS 355 LEXINGTON AVENUE NEW YORK, NY 10017 | | | GENERAL | 3,000 |
| COALITION AGAINST CHILD ABUSE NEG 15 GRUMMAN RD W SUITE 900 BETHPAGE, NY 11714 | | | GENERAL | 3,000 |
| COALITION FOR THE HOMELESS 129 FULTON STREET NEW YORK, NY 10038 | | | GENERAL | 3,000 |
| COMMUNITY-WORD PROJECT 42 BROADWAY 18TH FLOOR NEW YORK, NY 10004 | | | GENERAL | 3,000 |
| COURT APPOINTED SPECIAL ADVOCATES 50 BROADWAY 31ST FLOOR NEW YORK, NY 10004 | | | GENERAL | 3,000 |
| Total | | | 3a | 208,500 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| DANCING DREAMS 2391 BELL BLVD LL2C BAYSIDE,NY 11360 | | | GENERAL | 5,000 |
| DOE FUND 232 EAST 84TH STREET NEW YORK,NY 10028 | | | GENERAL | 3,000 |
| EAST SIDE SETTLEMENT HOUSE 337 ALEXANDER AVENUE BRONX,NY 10454 | | | GENERAL | 3,000 |
| ELEANOR ROOSEVELT CENTER AT VAL-KIL PO BOX 255 HYDE PARK,NY 12538 | | | GENERAL | 3,000 |
| EYE-BANK FOR SIGHT RESTORATION INC 120 WALL STREET NEW YORK,NY 10005 | | | GENERAL | 3,000 |
| FRESH AIR FUND 633 THIRD AVENUE 14TH FLOOR NEW YORK,NY 10017 | | | GENERAL | 3,000 |
| GAY MEN'S HEALTH CENTER 446 WEST 33 STREET NEW YORK,NY 10011 | | | GENERAL | 3,000 |
| GIRL SCOUT COUNCIL OF GREATER OF NE 43 WEST 23RD STREET NEW YORK,NY 10010 | | | GENERAL | 3,000 |
| GOD'S LOVE WE DELIVER 166 AVENUE OF THE AMERICA NEW YORK,NY 10013 | | | GENERAL | 3,000 |
| GREATER NEW YORK COUNCILS BSA 350 FIFTH AVENUE SUITE 430 NEW YORK,NY 10118 | | | GENERAL | 3,000 |
| GUIDING EYES FOR THE BLIND 611 GRANITE SPRINGS ROAD YORKTOWN HEIGHTS,NY 10598 | | | GENERAL | 3,000 |
| HEART SOUL CHARITABLE FUND 1157 LEXINGTON AVENUE NEW YORK,NY 10075 | | | GENERAL | 6,000 |
| HOLE IN THE WALL GANG FUND INC 555 LONG WHARF DRIVE NEW HAVEN,CT 06511 | | | GENERAL | 3,000 |
| HOLY APOSTLES SOUP KITCHEN 296 NINTH AVENUE NEW YORK,NY 10001 | | | GENERAL | 3,000 |
| INNER CITY SCHOLARSHIP FUND 1011 FIRST AVENUE SUITE 1400 NEW YORK,NY 10022 | | | GENERAL | 3,000 |
| Total | | | 3a | 208,500 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| KWELI JOURNAL PO BOX 693 NEW YORK, NY 10021 | | | GENERAL | 5,000 |
| LAGUARDIA HIGH SCHOOL DANCE DEPARTM 100 AMSTERDAM AVENUE NEW YORK, NY 10023 | | | GENERAL | 5,000 |
| LIFE SERVICES FOR THE HADICAPPED 1440 BROADWAY 23RD FLOOR NEW YORK, NY 10018 | | | GENERAL | 3,000 |
| LOTHLORIEN THERAPEUTIC RIDING CENTE 15 REITER ROAD EAST AURORA, NY 14052 | | | GENERAL | 3,000 |
| LOWER MANHATTAN COMMUNITY MIDDLE SC 26 BROADWAY 6TH FLOOR NEW YORK, NY 10004 | | | GENERAL | 2,500 |
| LYME RESEARCH ALLIANCE 2001 WEST MAIN STREET SUITE 280 STANFORD, CT 06902 | | | GENERAL | 10,000 |
| MICHELLE O'NEIL FOUNDATION 26 OREGON STREET LONG BEACH, NY 11561 | | | GENERAL | 3,000 |
| MIDNIGHT RUN 97 MAIN STREET DOBBS FERRY, NY 10522 | | | GENERAL | 3,000 |
| NATHANIEL RAND FUND OF CHILD LIFE 111 EAST 210TH STREET MONTIFIORRE HOSPITAL BRONX, NY 10467 | | | GENERAL | 5,000 |
| NEW YORK CHORAL SOCIETY 119 WEST 57TH ST SUITE 1215 NEW YORK, NY 10019 | | | GENERAL | 4,000 |
| NEW YORKERS FOR PARKS 55 BROAD ST 23TH FLOOR NEW YORK, NY 10004 | | | GENERAL | 3,000 |
| OLIVER SCHOLAS PROGRAM 80 MAIDEN LANE SUITE 706 NEW YORK, NY 10038 | | | GENERAL | 3,000 |
| PARENTS TEACHERS ASSN-PS58 330 SMITH STREET G GAULT BROOKLYN, NY 11231 | | | GENERAL | 3,000 |
| PATIENT AIRLIFT SERVICES 120 ADAMS BLVD FARMINGDALE, NY 11735 | | | GENERAL | 3,000 |
| PERCEPTUAL DEVELOPMENT CENTER 441 WEST END AVENUE SUITE 1K NEW YORK, NY 10024 | | | GENERAL | 4,000 |
| Total | | | 3a | 208,500 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|----------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| PHOENIX HOUSE DEVELOPMENT FUND 164 WEST 74TH STREET NEW YORK, NY 10023 | | | GENERAL | 3,000 |
| PLANNED PARENTHOOD OF NYC 26 BLEECKER STREET NEW YORK, NY 10012 | | | GENERAL | 2,500 |
| POSSE FOUNDATION INC 14 WALL STREET SUITE 8A-60 NEW YORK, NY 10005 | | | GENERAL | 3,000 |
| RATTLESTICK PRODUCTIONS INC 224 WAVERLY PLACE NEW YORK, NY 10014 | | | GENERAL | 3,000 |
| RESOURCES FOR CHILDREN WITH SPECIAL 116 EAST 16TH STREET 5TH FLOOR NEW YORK, NY 10003 | | | GENERAL | 3,000 |
| SAFE SPACE 89-74 162ND STREET JAMAICA, NY 11432 | | | GENERAL | 3,000 |
| SANCTUARY FOR FAMILIES PO BOX 1406 WALL STREET STATION NEW YORK, NY 10268 | | | GENERAL | 3,000 |
| SMILES FOR SCOTT FOUNDATION PO BOX 643 PLAINVIEW, NY 11803 | | | GENERAL | 5,000 |
| ST CHRISTOPHER CHILD CARE SERVICES 71 SOUTH BROADWAY DOBBS FERRY, NY 10522 | | | GENERAL | 3,000 |
| SUNRISE DAY CAMP 15 NEIL COURT OCEANSIDE, NY 11572 | | | GENERAL | 3,000 |
| THE LOUIS ARMSTRONG CENTER 10 NATHAN PERLMAN PL NEW YORK, NY 10003 | | | GENERAL | 3,000 |
| VOLUNTEERS OF AMERICA 340 WEST 85TH STREET NEW YORK, NY 10024 | | | GENERAL | 3,000 |
| WE CARE BLANKETS 1407 BUCKNELL DRIVE ATTN TAMARA D BAKER MASSAPEQUA, NY 11758 | | | GENERAL | 3,000 |
| WOMEN'S PRISON ASSOCIATION 110 SECOND AVENUE NEW YORK, NY 10003 | | | GENERAL | 3,000 |
| YAINYL 460 WEST 34TH STREET NEW YORK, NY 10001 | | | GENERAL | 3,000 |
| Total | | | | 208,500 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| YOUTH SERVICES LEAGUE INC 181A 29TH STREET BROOKLYN, NY 11232 | | | GENERAL | 3,000 |
| Total | | | | 208,500 |

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Schedule of Contributors

OMB No 1545-0047

Department of the Treasury
Internal Revenue Service

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at**
www.irs.gov/form990.

2013

Name of the organization
JOHN N BLACKMAN SR FOUNDATION
C/O SCHAIN LEIFER GURALNICK

Employer identification number
22-2938619

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
 JOHN N BLACKMAN SR FOUNDATION
 C/O SCHAIN LEIFER GURALNICK

Employer identification number
 22-2938619

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|------------|---|----------------------------|---|
| 1 | MARK BLACKMAN 80 DEEPWOOD ROAD DARIEN, CT 06820 | \$ 100,000 | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| 2 | JOHN BLACKMAN 15368 MILAN LANE NAPLES, FL 34110 | \$ 100,000 | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |

Name of organization
JOHN N BLACKMAN SR FOUNDATION
C/O SCHAIN LEIFER GURALNICK

Employer identification number
22-2938619

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---------------------|---|--|-------------------|
| _____ | _____ _____ _____ _____ | \$ _____ | _____ |
| _____ | _____ _____ _____ _____ | \$ _____ | _____ |
| _____ | _____ _____ _____ _____ | \$ _____ | _____ |
| _____ | _____ _____ _____ _____ | \$ _____ | _____ |
| _____ | _____ _____ _____ _____ | \$ _____ | _____ |
| _____ | _____ _____ _____ _____ | \$ _____ | _____ |
| _____ | _____ _____ _____ _____ | \$ _____ | _____ |
| _____ | _____ _____ _____ _____ | \$ _____ | _____ |
| _____ | _____ _____ _____ _____ | \$ _____ | _____ |

Name of organization
JOHN N BLACKMAN SR FOUNDATION
C/O SCHATIN LEIFER GURALNICK

Employer identification number
22-2938619

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry
For organizations completing Part III, enter the total of exclusively religious, charitable, etc , contributions of \$1,000 or less for the year (Enter this information once See instructions) ▶ \$
Use duplicate copies of Part III if additional space is needed

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|-------------------------|-------------------------|-------------------------------------|
| — | _____ _____ _____ | _____ _____ _____ | _____ _____ _____ |

| (e) Transfer of gift | |
|---------------------------------------|--|
| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
| _____ _____ _____ | _____ _____ _____ |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|-------------------------|-------------------------|-------------------------------------|
| — | _____ _____ _____ | _____ _____ _____ | _____ _____ _____ |

| (e) Transfer of gift | |
|---------------------------------------|--|
| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
| _____ _____ _____ | _____ _____ _____ |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|-------------------------|-------------------------|-------------------------------------|
| — | _____ _____ _____ | _____ _____ _____ | _____ _____ _____ |

| (e) Transfer of gift | |
|---------------------------------------|--|
| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
| _____ _____ _____ | _____ _____ _____ |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|-------------------------|-------------------------|-------------------------------------|
| — | _____ _____ _____ | _____ _____ _____ | _____ _____ _____ |

| (e) Transfer of gift | |
|---------------------------------------|--|
| Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee |
| _____ _____ _____ | _____ _____ _____ |

TY 2013 Accounting Fees Schedule

Name: JOHN N BLACKMAN SR FOUNDATION

C/O SCHAIN LEIFER GURALNICK

EIN: 22-2938619

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------------------|--------|--------------------------|------------------------|---|
| INDIRECT ACCOUNTING FEES | 8,641 | 8,641 | 8,641 | |

TY 2013 Compensation Explanation

Name: JOHN N BLACKMAN SR FOUNDATION

C/O SCHAIN LEIFER GURALNICK

EIN: 22-2938619

| Person Name | Explanation |
|--------------------|-------------|
| HOWARD SCHAIN | |
| MARK W BLACKMAN | |
| JOHN N BLACKMAN JR | |

**TY 2013 Investments Corporate
Stock Schedule**

Name: JOHN N BLACKMAN SR FOUNDATION
C/O SCHAIN LEIFER GURALNICK

EIN: 22-2938619

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---------------------------------|------------------------|-------------------------------|
| UBS - JG 67280-55: COMMON STOCK | | |
| UBS - JG 67282-55: COMMON STOCK | | |
| UBS - JG 67284-55: COMMON STOCK | | |
| UBS - JG 67283-55: COMMON STOCK | | |
| MS-123510-302 COMMON STOCK | 304,589 | 308,638 |
| MS-123508-302 COMMON STOCK | 144,816 | 308,108 |
| MS-123511-302 COMMON STOCK | 267,636 | 270,619 |
| MS-123512-302 COMMON STOCK | 288,150 | 291,255 |
| MS-123512-302 COMMON STOCK | 288,204 | 291,844 |

**TY 2013 Investments Government
Obligations Schedule**

Name: JOHN N BLACKMAN SR FOUNDATION
C/O SCHAIN LEIFER GURALNICK

EIN: 22-2938619

**US Government Securities - End of
Year Book Value:** 160,158

**US Government Securities - End of
Year Fair Market Value:** 160,158

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2013 Investments - Other Schedule

Name: JOHN N BLACKMAN SR FOUNDATION

C/O SCHAIN LEIFER GURALNICK

EIN: 22-2938619

| Category / Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|----------------------------|-----------------------|------------|-------------------------------|
| BARNWELL INDUSTRIES | AT COST | 6,000 | 6,000 |
| MS 123508-302 MUTUAL FUNDS | AT COST | 3,134 | 3,134 |
| MS 123510-302 MUTUAL FUNDS | AT COST | 4,049 | 4,049 |
| MS 123511-302 MUTUAL FUNDS | AT COST | 2,983 | 2,989 |
| MS 123512-302 MUTUAL FUNDS | AT COST | 3,105 | 3,105 |
| MS 123512-302 MUTUAL FUNDS | AT COST | 3,640 | 3,640 |

TY 2013 Other Assets Schedule

Name: JOHN N BLACKMAN SR FOUNDATION

C/O SCHAIN LEIFER GURALNICK

EIN: 22-2938619

| Description | Beginning of Year - Book Value | End of Year - Book Value | End of Year - Fair Market Value |
|------------------|--------------------------------|--------------------------|---------------------------------|
| PREPAID INTEREST | 1,046 | 1,046 | 1,046 |

TY 2013 Other Expenses Schedule

Name: JOHN N BLACKMAN SR FOUNDATION

C/O SCHAIN LEIFER GURALNICK

EIN: 22-2938619

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|----------------------------|-----------------------------------|--------------------------|---------------------|--|
| EXPENSES | | | | |
| DUES AND SUBSCRIPTIONS | 725 | 725 | 725 | |
| NEW YORK STATE FILING FEES | 500 | 500 | 500 | |
| PENALTY | 1,592 | 1,592 | 1,592 | |
| DELEWARE FILING FEES | 402 | 402 | 402 | |
| BANK CHARGE | 13 | 13 | 13 | |

TY 2013 Other Professional Fees Schedule

Name: JOHN N BLACKMAN SR FOUNDATION

C/O SCHAIN LEIFER GURALNICK

EIN: 22-2938619

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|--------------------------|--------|--------------------------|------------------------|---|
| INVESTMENT ADVISORY FEES | 23,934 | 23,934 | 23,934 | |

TY 2013 Taxes Schedule

Name: JOHN N BLACKMAN SR FOUNDATION

C/O SCHAIN LEIFER GURALNICK

EIN: 22-2938619

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|----------------------|--------|--------------------------|------------------------|---|
| FOREIGN TAX WITHHELD | 1,561 | 1,561 | 1,561 | |
| FEDERAL INCOME TAX | 1,029 | 1,029 | 1,029 | |

TY 2013 IRS Payment

Name: JOHN N BLACKMAN SR FOUNDATION
C/O SCHAIN LEIFER GURALNICK

EIN: 22-2938619

Routing Transit Number:

Bank Account Number:

Type of Account: Checking

Payment Amount in Dollars and 1,115

Cents:

Requested Payment Date: 2015-01-30

Taxpayer's Daytime Phone (212) 679-0380
Number:



Business Services Account
November 2013

Account name: JOHN N BLACKMAN SR
Account number: JG 67280 35

Your Financial Advisor:
THE LEXINGTON GROUP
212-713-7800/800-225-2971

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more information.

We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount (\$) | Cost basis (\$) | Wash sale cost basis adjustment (\$) | Loss (\$) | Gain (\$) |
|----------------------|--------|------------------------|---------------|------------|-------------------|-------------------|--------------------------------------|------------------|-----------------|
| BIODEN IDEC INC | FIFO | 5 000 | Mar 13, 13 | Oct 29, 13 | 1,252.61 | 879.71 | | | 372.90 |
| GILEAD SCIENCES INC | FIFO | 13 000 | Mar 12, 13 | Oct 29, 13 | 892.18 | 601.65 | | | 290.53 |
| INTL BUSINESS MACH | FIFO | 4 000 | Jan 30, 13 | Oct 29, 13 | 711.94 | 814.67 | | -102.73 | |
| | FIFO | 10 000 | Apr 19, 13 | Oct 29, 13 | 1,779.87 | 1,904.80 | | -124.93 | |
| MONDELEZ INTL INC | FIFO | 13 000 | Mar 05, 13 | Oct 29, 13 | 439.99 | 370.95 | | | 69.04 |
| TARGET CORP | FIFO | 27 000 | Jan 30, 13 | Oct 29, 13 | 1,736.19 | 1,644.72 | | | 91.47 |
| Total | | | | | \$6,812.78 | \$6,216.50 | | -\$227.66 | \$823.94 |

Net short-term capital gains and losses

\$596.28

Long-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount (\$) | Cost basis (\$) | Wash sale cost basis adjustment (\$) | Loss (\$) | Gain (\$) |
|--|--------|------------------------|---------------|------------|--------------------|--------------------|--------------------------------------|------------------|-------------------|
| ADOBE SYSTEMS INC (DELAWARE) | FIFO | 40 000 | Feb 09, 12 | Oct 29, 13 | 2,165.78 | 1,299.07 | | | 866.71 |
| AMGEN INC | FIFO | 3 000 | Feb 09, 12 | Oct 29, 13 | 351.46 | 201.13 | | | 150.33 |
| CELGENE CORP | FIFO | 3 000 | Feb 09, 12 | Oct 29, 13 | 464.23 | 216.52 | | | 247.71 |
| CROWN CASTLE INTL CORP | FIFO | 7 000 | Sep 05, 12 | Oct 29, 13 | 531.14 | 442.93 | | | 88.21 |
| INTL BUSINESS MACH | FIFO | 30 000 | Oct 20, 09 | Oct 29, 13 | 5,339.60 | 3,671.77 | | | 1,667.83 |
| LAUDER ESTEE COS CL A | FIFO | 3 000 | Sep 04, 12 | Oct 29, 13 | 216.91 | 178.70 | | | 38.21 |
| NIKE INC CL B | FIFO | 23 000 | Feb 09, 12 | Oct 29, 13 | 1,740.23 | 1,216.62 | | | 523.61 |
| TARGET CORP | FIFO | 218 000 | Feb 09, 12 | Oct 29, 13 | 14,018.17 | 11,496.78 | | -662.98 | 2,521.39 |
| WALT DISNEY CO (HOLDING CO) DISNEY COM | FIFO | 13 000 | Aug 09, 12 | Oct 29, 13 | 897.39 | 651.93 | | | 245.46 |
| WHOLE FOODS MARKET INC | FIFO | 42 000 | Feb 09, 12 | Oct 29, 13 | 2,721.68 | 1,688.40 | | | 1,033.28 |
| Total | | | | | \$36,100.02 | \$29,380.26 | | -\$662.98 | \$7,382.74 |

Net long-term capital gains or losses

\$6,719.76

Net capital gains/losses:

\$7,316.04

continued next page



ACCESS
October 2013

Account name: JOHN N BLACKMAN SR
Account number: JG 67281 PC

Your Financial Advisor:
CHRISTUS, PETER JOHN
212-713-7800/800-225-2971

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more information.

We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Long-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount (\$) | Cost basis (\$) | Wash sale cost basis adjustment(\$) | Loss (\$) | Gain (\$) |
|--|--------|------------------------|---------------|------------|-------------------|-------------------|-------------------------------------|----------------|---------------|
| US TSY NOTE 02.750 % DUE 10/31/13 DTD 10/31/08 FC 04/30/09 Original cost basis : \$4,255.16 | FIFO | 4,000.000 | Oct 01, 10 | Oct 08, 13 | 4,005.78 | 4,006.48 | | -0.70 | |
| US TSY NOTE 04.250 % DUE 11/15/13 DTD 11/15/03 FC 05/15/04 Original cost basis : \$3,248.90 | FIFO | 3,000.000 | Jul 31, 09 | Oct 09, 13 | 3,011.61 | 3,006.86 | | | 4.75 |
| Total | | | | | \$7,017.39 | \$7,013.34 | | -\$0.70 | \$4.75 |
| Net long-term capital gains or losses | | | | | | | | | |
| Net capital gains/losses: | | | | | | | | | |
| \$4.05 | | | | | | | | | |
| \$4.05 | | | | | | | | | |

Short-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount (\$) | Cost basis (\$) | Wash sale cost basis adjustment(\$) | Loss (\$) | Gain (\$) |
|--|--------|------------------------|---------------|------------|------------------|-----------------|-------------------------------------|-----------|-----------|
| US TSY NOTE 01.000 % DUE 01/15/14 DTD 01/15/11 FC 07/15/11 Original cost basis : \$6,014.76 | FIFO | 6,000.000 | Oct 09, 13 | Nov 21, 13 | 6,008.44 | 6,008.28 | | | 0.16 |

Realized gains and losses

UBS 67282

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more information.

We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization-on-taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount (\$) | Cost basis (\$) | Wash sale cost basis adjustment (\$) | Loss (\$) | Gain (\$) |
|--|--------|------------------------|---------------|------------|--------------------|--------------------|--------------------------------------|-----------|-------------------|
| HERTZ GLOBAL HOLDINGS INC | FIFO | 301.000 | Mar 15, 13 | Sep 19, 13 | 8,043.09 | 6,443.21 | | | 1,599.88 |
| PANERA BREAD COMP CL A | FIFO | 21.000 | Mar 15, 13 | Sep 17, 13 | 3,599.26 | 3,453.87 | | | 145.39 |
| PVH CORP COM | FIFO | 24.000 | Mar 15, 13 | Sep 17, 13 | 2,967.70 | 2,852.40 | | | 115.30 |
| Total | | | | | \$14,610.05 | \$12,749.48 | | | \$1,860.57 |
| Net short-term capital gains and losses | | | | | | | | | \$1,860.57 |
| Net capital gains/losses: | | | | | | | | | \$1,860.57 |

Short-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount (\$) | Cost basis (\$) | Wash sale cost basis adjustment (\$) | Loss (\$) | Gain (\$) |
|--|--------|------------------------|---------------|------------|--------------------|--------------------|--------------------------------------|-----------|-------------------|
| DSW INC CL A | FIFO | 39.000 | Mar 15, 13 | Oct 17, 13 | 3,176.16 | 2,675.32 | | | 500.84 |
| JARDEN CORP | FIFO | 121.000 | Mar 15, 13 | Oct 02, 13 | 5,911.33 | 5,068.39 | | | 842.94 |
| ONYX PHARMACEUTICALS INC **MERGER-EFF-10/2013** | FIFO | 31.000 | Mar 15, 13 | Oct 07, 13 | 3,875.00 | 2,743.50 | | | 1,131.50 |
| Total | | | | | \$12,962.49 | \$10,487.21 | | | \$2,475.28 |
| Net short-term capital gains and losses | | | | | | | | | \$2,475.28 |
| Net capital gains/losses: | | | | | | | | | \$2,475.28 |

Short-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount (\$) | Cost basis (\$) | Wash sale cost basis adjustment (\$) | Loss (\$) | Gain (\$) |
|--|--------|------------------------|---------------|------------|-------------------|-------------------|--------------------------------------|--------------------|-----------------|
| SOLARWINDS INC | FIFO | 76.000 | Mar 15, 13 | Nov 18, 13 | 2,467.95 | 4,588.68 | | -2,120.73 | |
| TOWERS WATSON & CO CL A | FIFO | 22.000 | Mar 15, 13 | Nov 05, 13 | 2,437.11 | 1,491.21 | | | 945.90 |
| Total | | | | | \$4,905.06 | \$6,079.89 | | -\$2,120.73 | \$945.90 |
| Net short-term capital gains and losses | | | | | | | | -\$1,174.83 | |
| Net capital gains/losses: | | | | | | | | -\$1,174.83 | |

32477.60 29316.58 3161.02

Short-term capital gains and losses

USS 67283

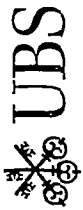
| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount (\$) | Cost basis (\$) | Wash sale cost basis adjustment (\$) | Loss (\$) | Gain (\$) |
|--|--------|------------------------|---------------|------------|-------------------|-------------------|--------------------------------------|------------------|---------------|
| HOLLYFRONTIER CORP COM | FIFO | 56,000 | Apr 17, 13 | Nov 14, 13 | 2,607.65 | 2,619.54 | | -11.89 | |
| | FIFO | 20,000 | Apr 17, 13 | Nov 19, 13 | 929.34 | 935.55 | | -6.21 | |
| | FIFO | 14,000 | Apr 17, 13 | Nov 25, 13 | 656.08 | 654.88 | | | 1.20 |
| | FIFO | 10,000 | Apr 23, 13 | Nov 25, 13 | 468.63 | 504.75 | | -36.12 | |
| | FIFO | 20,000 | Apr 24, 13 | Nov 25, 13 | 937.26 | 1,040.85 | | -103.59 | |
| | FIFO | 31,000 | Apr 26, 13 | Nov 25, 13 | 1,452.76 | 1,545.11 | | -92.35 | |
| Total | | | | | \$7,051.72 | \$7,300.68 | | -\$250.16 | \$1.20 |
| Net short-term capital gains and losses | | | | | | | | | |
| Net capital gains/losses: | | | | | | | | | |

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount (\$) | Cost basis (\$) | Wash sale cost basis adjustment (\$) | Loss (\$) | Gain (\$) |
|------------------------------------|--------|------------------------|---------------|------------|------------------|-----------------|--------------------------------------|---------------|-----------|
| ANNALY CAPITAL MANAGEMENT INC REIT | FIFO | 45,000 | Jun 11, 13 | Oct 24, 13 | 544.61 | 603.08 | | -58.47 | |
| Total | | | | | 4596.33 | 4903.76 | | 307.43 | |

Long-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount (\$) | Cost basis (\$) | Wash sale cost basis adjustment (\$) | Loss (\$) | Gain (\$) |
|--|--------|------------------------|---------------|------------|--------------------|--------------------|--------------------------------------|--------------------|-------------------|
| ANNALY CAPITAL MANAGEMENT INC REIT | FIFO | 3,000 | May 17, 10 | Sep 30, 13 | 34.67 | 48.30 | | -13.63 | |
| | FIFO | 50,000 | Feb 10, 11 | Sep 30, 13 | 577.77 | 895.30 | | -317.53 | |
| | FIFO | 70,000 | Feb 10, 11 | Oct 01, 13 | 817.00 | 1,253.42 | | -436.42 | |
| | FIFO | 14,000 | Feb 09, 12 | Oct 01, 13 | 163.40 | 230.43 | | -67.03 | |
| | FIFO | 84,000 | Feb 09, 12 | Oct 09, 13 | 970.63 | 1,382.59 | | -411.96 | |
| | FIFO | 3,000 | Feb 09, 12 | Oct 10, 13 | 35.25 | 49.38 | | -14.13 | |
| | FIFO | 10,000 | Feb 09, 12 | Oct 14, 13 | 116.53 | 164.59 | | -48.06 | |
| | FIFO | 21,000 | Feb 09, 12 | Oct 16, 13 | 246.78 | 345.65 | | -98.87 | |
| | FIFO | 181,000 | Feb 09, 12 | Oct 22, 13 | 2,161.83 | 2,979.15 | | -817.32 | |
| | FIFO | 23,000 | Oct 18, 12 | Oct 22, 13 | 274.71 | 371.35 | | -96.64 | |
| | FIFO | 32,000 | Oct 18, 12 | Oct 24, 13 | 387.28 | 516.66 | | -129.38 | |
| MATTEL INC | FIFO | 10,000 | Sep 23, 11 | Oct 18, 13 | 427.47 | 255.37 | | | 172.10 |
| | FIFO | 20,000 | Sep 28, 11 | Oct 18, 13 | 854.95 | 535.16 | | | 319.79 |
| | FIFO | 34,000 | Feb 09, 12 | Oct 18, 13 | 1,453.40 | 1,092.28 | | | 361.12 |
| | FIFO | 15,000 | Feb 09, 12 | Oct 21, 13 | 644.48 | 481.89 | | | 162.59 |
| | FIFO | 79,000 | Feb 09, 12 | Oct 24, 13 | 3,448.20 | 2,537.96 | | | 910.24 |
| Total | | | | | \$12,614.35 | \$13,139.48 | | -\$2,450.97 | \$1,925.84 |
| Net long-term capital gains or losses | | | | | | | | | |
| Net capital gains/losses: | | | | | | | | | |

L/T



ACCESS
October 2013

Account name:
Account number:

JOHN N BLACKMAN SR
JG 67284 PC

Your Financial Advisor:
CHRISTUS, PETER JOHN

Short-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount (\$) | Cost basis (\$) | Wash sale cost basis adjustment (\$) | Loss (\$) | Gain (\$) |
|---|--------|------------------------|---------------|------------|--------------------|--------------------|--------------------------------------|------------------|-------------------|
| CAP GEMINI SA ADR | FIFO | 96,000 | Mar 19, 13 | Oct 03, 13 | 2,897.05 | 2,349.97 | | | 547.08 |
| COCA-COLA ENTERPRISES INC NEW | FIFO | 138,000 | Mar 19, 13 | Oct 25, 13 | 5,642.62 | 5,010.20 | | | 632.42 |
| EUTELSAT COMMUNICATIONS UNSPON ADR | FIFO | 185,000 | Jul 18, 13 | Oct 03, 13 | 1,427.32 | 1,353.44 | | | 73.88 |
| | FIFO | 175,000 | Jul 22, 13 | Oct 03, 13 | 1,350.17 | 1,266.13 | | | 84.04 |
| | FIFO | 145,000 | Jul 22, 13 | Oct 04, 13 | 1,105.39 | 1,049.08 | | | 56.31 |
| | FIFO | 185,000 | Jul 23, 13 | Oct 04, 13 | 1,410.32 | 1,353.38 | | | 56.94 |
| | FIFO | 39,000 | Jul 23, 13 | Oct 07, 13 | 288.77 | 285.31 | | | 3.46 |
| INFINEON TECHNOLOGIES ADR | FIFO | 515,000 | Mar 19, 13 | Oct 16, 13 | 5,004.27 | 4,284.80 | | | 719.47 |
| | FIFO | 17,000 | Mar 19, 13 | Oct 17, 13 | 164.56 | 141.44 | | | 23.12 |
| | FIFO | 32,000 | Apr 24, 13 | Oct 17, 13 | 309.75 | 240.01 | | | 69.74 |
| | FIFO | 26,000 | Apr 25, 13 | Oct 17, 13 | 251.68 | 199.36 | | | 52.32 |
| ITAU UNIBANCO HLDG SA ADR | FIFO | 60,000 | Mar 19, 13 | Oct 15, 13 | 888.46 | 975.65 | | -87.19 | |
| | FIFO | 246,000 | Mar 19, 13 | Oct 16, 13 | 3,685.46 | 4,000.14 | | -314.68 | |
| SHIRE PLC SPON ADR | FIFO | 47,000 | Apr 24, 13 | Oct 24, 13 | 6,397.06 | 4,311.07 | | | 2,085.99 |
| TOKYO ELECTRON LTD ADR | FIFO | 369,000 | Apr 10, 13 | Sep 27, 13 | 4,970.71 | 4,064.80 | | | 905.91 |
| | FIFO | 38,000 | Aug 14, 13 | Sep 27, 13 | 511.89 | 402.04 | | | 109.85 |
| Total | | | | | \$36,305.48 | \$31,286.82 | | -\$401.87 | \$5,420.53 |
| Net short-term capital gains and losses | | | | | | | | | |
| Net capital gains/losses: | | | | | | | | | |
| | | | | | | | | | \$5,018.66 |
| | | | | | | | | | \$5,018.66 |

Short-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount (\$) | Cost basis (\$) | Wash sale cost basis adjustment (\$) | Loss (\$) | Gain (\$) |
|---|--------|------------------------|---------------|------------|-------------------|-------------------|--------------------------------------|------------------|------------------|
| ALSTOM ADR | FIFO | 1,174,000 | Mar 19, 13 | Nov 21, 13 | 4,130.18 | 5,046.53 | | -916.35 | |
| | FIFO | 91,000 | Jun 12, 13 | Nov 21, 13 | 320.14 | 333.73 | | -13.59 | |
| Total | | | | | \$4,450.32 | \$5,380.26 | | -\$929.94 | -\$929.94 |
| Net short-term capital gains and losses | | | | | | | | | |
| Net capital gains/losses: | | | | | | | | | |
| | | | | | | | | | -\$929.94 |
| | | | | | | | | | -\$929.94 |

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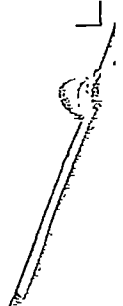
Net short-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount (\$) | Cost basis (\$) | Wash sale cost basis adjustment (\$) | Loss (\$) | Gain (\$) |
|---------------------------------------|--------|------------------------|---------------|------------|-------------------|-------------------|--------------------------------------|-----------|-------------------|
| ACCOR SA ADR | FIFO | 88.000 | May 15, 13 | Sep 17, 13 | 725.11 | 580.82 | | | 144.29 |
| CHECK POINT SOFTWARE TECH LTD ILS | FIFO | 12.000 | Mar 19, 13 | Sep 17, 13 | 705.28 | 589.23 | | | 116.05 |
| ROCHE HLDG LTD SPONS ADR SWITZ ADR | FIFO | 41.000 | Mar 19, 13 | Sep 05, 13 | 2,540.40 | 2,359.55 | | | 180.85 |
| SHIRE PLC SPON ADR | FIFO | 7.000 | Apr 24, 13 | Sep 17, 13 | 852.66 | 642.07 | | | 210.59 |
| TOKYO ELECTRON LTD ADR | FIFO | 99.000 | Apr 10, 13 | Sep 24, 13 | 1,400.83 | 1,090.55 | | | 310.28 |
| VODAFONE GROUP PLC NEW SPON ADR | FIFO | 108.000 | Mar 19, 13 | Sep 04, 13 | 3,480.54 | 3,054.24 | | | 426.30 |
| Total | | | | | \$9,704.82 | \$8,316.46 | | | \$1,388.36 |

Net short-term capital gains and losses
Net capital gains/losses:

Gains and losses not calculated

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount (\$) | Cost basis (\$) | Wash sale cost basis adjustment (\$) | Loss (\$) | Gain (\$) |
|---|--------|------------------------|---------------|------------|------------------|-----------------|--------------------------------------|-----------|------------------------------------|
| RTS BARCLAYS PLC SPON ADR EXP 10/02/13 | FIFO | 0.500 | Jul 01, 13 | Sep 19, 13 | 2.80 | 0.00 | | | —This information was unavailable— |
| | FIFO | 62.500 | Jul 01, 13 | Sep 23, 13 | 319.40 | 0.00 | | | —This information was unavailable— |
| | FIFO | 9.500 | Jul 02, 13 | Sep 23, 13 | 48.55 | 0.00 | | | —This information was unavailable— |
| Total | | | | | \$370.75 | | | | |



Realized Gain/Loss - Detail (11/01/13 - 08/31/14)

As of 12/10/2014

JOHN N BLACKMAN SR FOUNDATION
C/O HOWARD SCHAIN
10 EAST 40TH STREET
NEW YORK NY 10016-0200

Prepared by Peter Christus

Ph.

Acct. 615-123513-302

| Description | Symbol/CUSIP | Quantity | Purchase | Cost Basis | Sold | Net Proceeds | Gain/Loss |
|-----------------------------|--------------|----------|----------|------------|--------------|--------------------|-------------------|
| Short Term | | | | | | | |
| APPLE INC | AAPL | 9,000 | 01/24/14 | \$704.57 | 08/27/14 | \$920.22 | \$215.65 |
| | AAPL | 10,000 | 01/24/14 | 782.86 | 07/28/14 (1) | 977.98 | 195.12 |
| | AAPL | 27,000 | 01/24/14 | 2,113.71 | 06/23/14 (6) | 2,457.57 | 343.86 |
| Totals | | | | \$3,601.14 | | \$4,355.77 | \$754.63 |
| AMGEN INC | AMGN | 4,000 | 01/30/13 | 341.34 | 01/28/14 (1) | 479.99 | 138.65 |
| CROWN CASTLE INTL | CCI | 23,000 | 06/18/13 | 1,638.85 | 01/30/14 (1) | 1,647.64 | 8.79 |
| COMCAST CORP (NEW) CLASS A | CMCSA | 14,000 | 01/31/14 | 757.99 | 08/27/14 | 762.98 | 4.99 |
| COACH INC | COH | 19,000 | 11/14/13 | 1,015.13 | 05/30/14 (1) | 774.80 | (240.33) |
| CVS CAREMARK CORP | CVS | 7,000 | 03/21/13 | 383.67 | 01/15/14 (1) | 477.88 | 94.21 |
| | CVS | 10,000 | 03/21/13 | 548.11 | 02/05/14 (1) | 655.84 | 107.73 |
| Totals | | | | \$931.78 | | \$1,133.72 | \$201.94 |
| EBAY INC | EBAY | 15,000 | 10/29/13 | 794.38 | 07/28/14 (1) | 790.04 | (4.34) |
| | EBAY | 15,000 | 10/29/13 | 794.38 | 02/05/14 (1) | 794.17 | (0.21) |
| | EBAY | 20,000 | 10/29/13 | 1,059.18 | 08/27/14 | 1,119.97 | 60.79 |
| Totals | | | | \$2,647.94 | | \$2,704.18 | \$56.24 |
| EXPRESS SCRIPTS HLDG CO COM | ESRX | 2,000 | 03/01/13 | 115.05 | 01/15/14 (1) | 146.59 | 31.54 |
| | ESRX | 43,000 | 03/01/13 | 2,473.55 | 02/05/14 (1) | 3,143.11 | 669.56 |
| Totals | | | | \$2,588.60 | | \$3,289.70 | \$701.10 |
| GILEAD SCIENCE | GILD | 3,000 | 03/12/13 | 138.84 | 01/15/14 (1) | 225.23 | 86.39 |
| JOHNSON & JOHNSON | JNJ | 4,000 | 10/29/13 | 371.46 | 07/15/14 (1) | 412.86 | 41.40 |
| | JNJ | 9,000 | 02/05/14 | 785.55 | 07/15/14 (1) | 928.94 | 143.39 |
| Totals | | | | \$1,157.01 | | \$1,341.80 | \$184.79 |
| MICHAEL KORS HOLDINGS LTD | KORS | 21,000 | 05/30/14 | 1,994.91 | 08/27/14 | 1,725.32 | (269.59) |
| TJX COS INC NEW | TJX | 2,000 | 10/29/13 | 119.65 | 01/15/14 (1) | 125.27 | 5.62 |
| | TJX | 17,000 | 10/29/13 | 1,017.03 | 08/27/14 | 1,011.47 | (5.56) |
| Totals | | | | \$1,136.68 | | \$1,136.74 | \$0.06 |
| WHOLE FOODS MARKETS INC | WFM | 18,000 | 01/30/13 | 856.21 | 01/24/14 (1) | 936.29 | 80.08 |
| | WFM | 5,000 | 01/15/14 | 267.40 | 01/24/14 (1) | 260.08 | (7.32) |
| Totals | | | | \$1,123.61 | | \$1,196.37 | \$72.76 |
| Short Term Totals: | | | | | | \$20,774.24 | \$1,700.42 |

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Realized Gain/Loss - Detail (11/01/13 - 08/31/14)

As of 12/10/2014

JOHN N BLACKMAN SR FOUNDATION
C/O HOWARD SCHAIN
10 EAST 40TH STREET
NEW YORK NY 10016-0200

Prepared by Peter Christus

Ph.

Acct. 615-123513-302

| Description | Symbol/CUSIP | Quantity | Purchase | Cost Basis | Sold | Net Proceeds | Gain/Loss |
|---------------------------|--------------|----------|----------|-------------|-----------------------|--------------|--------------|
| Long Term | | | | | | | |
| ADOBE SYSTEMS | ADBE | 1.000 | 02/09/12 | \$32.48 | 01/15/14 | \$61.54 | \$29.06 |
| | ADBE | 30.000 | 02/09/12 | 974.30 | 02/05/14 | 1,803.93 | 829.63 |
| | ADBE | 30.000 | 02/09/12 | 974.30 | 06/23/14 | 2,180.20 | 1,205.90 |
| Totals | | | | \$1,981.08 | | \$4,045.67 | \$2,064.59 |
| AMGEN INC | AMGN | 70.000 | 02/09/12 | 4,692.99 | 01/28/14 | 8,399.85 | 3,706.86 |
| BIOGEN IDEC INC | BIIB | 3.000 | 03/13/13 | 527.82 | 07/28/14 | 1,003.99 | 476.17 |
| | BIIB | 3.000 | 03/13/13 | 527.82 | 08/27/14 ² | 1,027.41 | 499.59 |
| Totals | | | | \$1,055.64 | | \$2,031.40 | \$975.76 |
| CROWN CASTLE INTL | CCI | 2.000 | 09/05/12 | 126.55 | 01/15/14 | 148.68 | 22.13 |
| | CCI | 203.000 | 09/05/12 | 12,844.91 | 01/30/14 | 14,542.26 | 1,697.35 |
| Totals | | | | \$12,971.46 | | \$14,690.94 | \$1,719.48 |
| CELGENE CORP | CELG | 1.000 | 02/09/12 | 72.17 | 01/15/14 | 165.92 | 93.75 |
| | CELG | 9.000 | 02/09/12 | 324.77 | 08/27/14 ⁸ | 855.52 | 530.75 |
| | CELG | 16.000 | 02/09/12 | 1,154.75 | 06/23/14 | 2,737.28 | 1,582.53 |
| Totals | | | | \$1,551.69 | | \$3,758.72 | \$2,207.03 |
| COACH INC | COH | 2.000 | 02/09/12 | 148.25 | 01/15/14 | 108.69 | (39.56) |
| | COH | 33.000 | 02/09/12 | 2,446.12 | 05/30/14 | 1,345.71 | (1,100.41) |
| | COH | 143.000 | 02/09/12 | 10,599.84 | 01/22/14 | 7,031.95 | (3,567.89) |
| | COH | 77.000 | 08/08/12 | 4,357.38 | 05/30/14 | 3,139.98 | (1,217.40) |
| | COH | 53.000 | 01/30/13 | 2,729.48 | 05/30/14 | 2,161.28 | (568.20) |
| Totals | | | | \$20,281.07 | | \$13,787.61 | \$(6,493.46) |
| COSTCO WHOLESALE CORP NEW | COST | 3.000 | 02/09/12 | 252.83 | 01/15/14 | 349.19 | 96.36 |
| | COST | 7.000 | 02/09/12 | 589.93 | 08/27/14 ² | 848.17 | 258.24 |
| Totals | | | | \$842.76 | | \$1,197.36 | \$354.60 |
| CVS CAREMARK CORP | CVS | 14.000 | 03/21/13 | 767.35 | 08/27/14 ² | 1,111.64 | 344.29 |
| | CVS | 20.000 | 03/21/13 | 1,096.21 | 04/11/14 | 1,457.40 | 361.19 |
| Totals | | | | \$1,863.56 | | \$2,569.04 | \$705.48 |
| WALT DISNEY CO HLDG CO | DIS | 6.000 | 08/09/12 | 300.89 | 07/28/14 | 518.34 | 217.45 |
| | DIS | 11.000 | 08/09/12 | 551.63 | 02/05/14 | 779.12 | 227.49 |
| | DIS | 11.000 | 08/09/12 | 551.63 | 08/27/14 ² | 992.94 | 441.31 |
| | DIS | 12.000 | 08/09/12 | 601.78 | 04/11/14 | 927.69 | 325.91 |
| Totals | | | | \$2,005.93 | | \$3,218.09 | \$1,212.16 |
| ESTEE LAUDER CO INC CL A | EL | 7.000 | 09/04/12 | 416.98 | 06/23/14 | 522.45 | 105.47 |

Realized Gain/Loss - Detail (11/01/13 - 08/31/14)

As of 12/10/2014

JOHN N BLACKMAN SR FOUNDATION
C/O HOWARD SCHAIN
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NEW YORK NY 10016-0200

Prepared by Peter Christus
Ph.

Acct. 615-123513-302

| Description | Symbol/CUSIP | Quantity | Purchase | Cost Basis | Sold | Net Proceeds | Gain/Loss |
|-----------------------------|--------------|----------|----------|-------------|----------|--------------|------------|
| EL | | 11.000 | 09/04/12 | \$655.26 | 08/27/14 | \$849.07 | \$193.81 |
| Totals | | | | \$1,072.24 | | \$1,371.52 | \$299.28 |
| EMC CORP MASS | | 11.000 | 02/09/12 | 288.72 | 08/27/14 | 323.94 | 35.22 |
| EMC | | 25.000 | 02/09/12 | 656.17 | 02/05/14 | 593.77 | (62.40) |
| EMC | | 55.000 | 02/09/12 | 1,443.58 | 07/28/14 | 1,608.39 | 164.81 |
| EMC | | 60.000 | 02/09/12 | 1,574.81 | 04/11/14 | 1,604.36 | 29.55 |
| Totals | | | | \$3,963.28 | | \$4,130.46 | \$167.18 |
| EXPRESS SCRIPTS HLDG CO COM | | 12.000 | 03/01/13 | 690.29 | 08/27/14 | 895.90 | 205.61 |
| GILEAD SCIENCE | | 11.000 | 03/12/13 | 509.09 | 08/27/14 | 1,190.72 | 681.63 |
| GILD | | 20.000 | 03/12/13 | 925.62 | 07/28/14 | 1,803.36 | 877.74 |
| GILD | | 25.000 | 03/12/13 | 1,157.02 | 06/23/14 | 2,023.44 | 866.42 |
| Totals | | | | \$2,591.73 | | \$5,017.52 | \$2,425.79 |
| JOHNSON & JOHNSON | | 4.000 | 03/27/13 | 324.87 | 06/23/14 | 419.02 | 94.15 |
| JNJ | | 12.000 | 03/27/13 | 974.60 | 04/11/14 | 1,158.27 | 183.67 |
| JNJ | | 164.000 | 03/27/13 | 13,319.57 | 07/15/14 | 16,927.37 | 3,607.80 |
| JNJ | | 1.000 | 06/18/13 | 86.02 | 07/15/14 | 103.22 | 17.20 |
| Totals | | | | \$14,705.06 | | \$18,607.88 | \$3,902.82 |
| NORDSTROM INC | | 10.000 | 02/09/12 | 510.17 | 06/23/14 | 676.85 | 166.68 |
| JWN | | 20.000 | 02/09/12 | 1,020.34 | 04/11/14 | 1,225.48 | 205.14 |
| Totals | | | | \$1,530.51 | | \$1,902.33 | \$371.82 |
| MONDELEZ INTL INC COM | | 15.000 | 03/05/13 | 428.02 | 04/11/14 | 515.38 | 87.36 |
| MDLZ | | 15.000 | 03/05/13 | 428.02 | 07/28/14 | 572.06 | 144.04 |
| Totals | | | | \$856.04 | | \$1,087.44 | \$231.40 |
| NIKE INC B | | 4.000 | 02/09/12 | 211.59 | 01/15/14 | 302.03 | 90.44 |
| NKE | | 17.000 | 02/09/12 | 899.24 | 08/27/14 | 1,353.17 | 453.93 |
| Totals | | | | \$1,110.83 | | \$1,655.20 | \$544.37 |
| ORACLE CORP | | 6.000 | 02/09/12 | 172.31 | 01/15/14 | 231.25 | 58.94 |
| ORCL | | 21.000 | 02/09/12 | 603.08 | 08/27/14 | 874.63 | 271.55 |
| ORCL | | 25.000 | 02/09/12 | 717.96 | 04/11/14 | 990.54 | 272.58 |
| ORCL | | 40.000 | 02/09/12 | 1,148.73 | 02/05/14 | 1,435.75 | 287.02 |
| Totals | | | | \$2,642.08 | | \$3,532.17 | \$890.09 |
| QUALCOMM INC | | 10.000 | 02/09/12 | 618.56 | 04/11/14 | 782.21 | 163.65 |
| QCOM | | 12.000 | 02/09/12 | 742.27 | 08/27/14 | 919.89 | 177.62 |
| QCOM | | 15.000 | 02/09/12 | 927.84 | 02/05/14 | 1,084.21 | 156.37 |

Realized Gain/Loss - Detail (11/01/13 - 08/31/14)

As of 12/10/2014

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C/O HOWARD SCHAIN
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NEW YORK NY 10016-0200

Prepared by Peter Christus
Ph.

Acct. 615-123513-302

| Description | Symbol/CUSIP | Quantity | Purchase | Cost Basis | Sold | Net Proceeds | Gain/Loss |
|--|--------------|----------|----------|---------------------|----------|---------------------|--------------------|
| Totals | | | | | | | |
| WOLE FOODS MARKETS INC | WFM | 247.000 | 02/09/12 | \$9,929.40 | 01/24/14 | \$12,848.02 | \$2,918.62 |
| Long Term Totals: | | | | \$88,626.31 | | \$107,533.43 | \$18,907.12 |
| 11/01/13 - 08/31/14 Short & Long Term Totals: | | | | \$107,700.13 | | \$128,307.67 | \$20,607.54 |

** Gain/Loss is only calculated when an original cost basis is available.

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns.

The above summary/prices/quotes/statistics have been obtained from sources believed reliable but are not necessarily complete and cannot be guaranteed. The information contained in client monthly account statements and confirmations reflects all transactions, and as such supersedes all other reports for financial and tax purposes. This report does not supersede or replace your monthly Client Statement. If we do not hold the securities in a Morgan Stanley Wealth Management account, the report reflects securities which we believe you own, based upon your communications with our Financial Advisor. © 2014 Morgan Stanley Smith Barney LLC. Member SIPC.

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Acct. 615-123512-302

| Description | Symbol/CUSIP | Quantity | Purchase | Cost Basis | Sold | Net Proceeds | Gain/Loss |
|-------------------------------|--------------|----------|----------|------------|----------|--------------|------------|
| Short Term | | | | | | | |
| TIBCO SOFTWARE INC | 88632Q103 | 176.000 | 03/15/13 | \$4,181.74 | 01/15/14 | \$3,916.58 | \$(265.16) |
| ARCTIC CAT INC | ACAT | 53.000 | 01/15/14 | 2,981.21 | 05/15/14 | 1,801.84 | (1,179.37) |
| ALLIANCE DATA SYSTEMS CORP | ADS | 31.000 | 03/15/13 | 4,892.42 | 01/15/14 | 7,890.60 | 2,998.18 |
| AMTRUST FINANCIAL SRV INC | AFSI | 1.000 | 01/15/14 | 32.73 | 02/12/14 | 31.30 | (1.43) |
| | AFSI | 26.000 | 01/15/14 | 851.22 | 02/12/14 | 814.09 | (37.13) |
| | Totals | | | \$883.95 | | \$845.39 | \$(38.56) |
| AKAMAI TECHNOLOGIES INC | AKAM | 100.000 | 03/15/13 | 3,513.64 | 01/15/14 | 4,933.07 | 1,419.43 |
| ALBEMARLE CORPORATION | ALB | 47.000 | 03/15/13 | 3,053.95 | 01/15/14 | 3,143.77 | 89.82 |
| AUTOLIV INC | ALV | 66.000 | 03/15/13 | 4,576.43 | 01/15/14 | 6,019.93 | 1,443.50 |
| AFFILIATED MGRS GROUP INC | AMG | 25.000 | 03/15/13 | 3,854.25 | 01/15/14 | 5,317.65 | 1,463.40 |
| AUTONATION INC | AN | 78.000 | 03/15/13 | 3,424.20 | 01/15/14 | 3,711.90 | 287.70 |
| AOL INC | AOL | 21.000 | 06/12/13 | 744.80 | 01/15/14 | 985.93 | 241.13 |
| BE AEROSPACE INC | BEAV | 41.000 | 07/05/13 | 2,616.08 | 01/15/14 | 3,418.52 | 802.44 |
| | BEAV | 10.000 | 10/21/13 | 773.77 | 01/15/14 | 833.78 | 60.01 |
| | Totals | | | \$3,389.85 | | \$4,252.30 | \$862.45 |
| BOK FINANCIAL CORP NEW | BOKF | 45.000 | 01/15/14 | 2,908.80 | 05/15/14 | 2,790.39 | (118.41) |
| CAESARS ACQUISITION COM CLS A | CACQ | 68.000 | 01/15/14 | 872.87 | 03/10/14 | 1,090.70 | 217.83 |
| CASEY'S GENERAL STORES INC | CASY | 39.000 | 01/15/14 | 2,604.42 | 03/31/14 | 2,610.98 | 6.56 |
| CBRE GROUP INC | CBG | 209.000 | 03/15/13 | 5,224.27 | 01/15/14 | 5,551.59 | 327.32 |
| CBOE HOLDINGS | CBOE | 95.000 | 01/15/14 | 4,746.58 | 05/15/14 | 4,640.57 | (106.01) |
| CULLEN FROST BANKERS INC | CFR | 52.000 | 03/15/13 | 3,191.09 | 01/15/14 | 3,920.91 | 729.82 |
| CITY NATIONAL CORP | CYN | 57.000 | 01/15/14 | 4,471.37 | 05/15/14 | 3,880.38 | (590.99) |
| CAESARS ENTMT CORP | CZR | 67.000 | 01/15/14 | 1,477.10 | 01/23/14 | 1,494.93 | 17.83 |
| DANA HOLDING CORP | DAN | 1.000 | 09/23/13 | 22.54 | 01/15/14 | 20.81 | (1.73) |
| | DAN | 7.000 | 09/23/13 | 157.77 | 01/15/14 | 145.66 | (12.11) |
| | DAN | 151.000 | 09/23/13 | 3,403.44 | 04/29/14 | 3,152.08 | (251.36) |
| | DAN | 1.000 | 02/14/14 | 20.08 | 04/29/14 | 20.87 | 0.79 |
| | Totals | | | \$3,603.83 | | \$3,339.42 | \$(264.41) |
| DILLARDS INC CL A | DDS | 20.000 | 03/15/13 | 1,602.76 | 02/21/14 | 1,749.72 | 146.96 |
| DOLBY CLA A COM STK | DLB | 83.000 | 03/15/13 | 2,702.74 | 01/15/14 | 3,430.73 | 727.99 |

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C/O HOWARD SCHAIN
10 EAST 40TH STREET
NEW YORK NY 10016-0200

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Ph.

Acct. 615-123512-302

| Description | Symbol/CUSIP | Quantity | Purchase | Cost Basis | Sold | Net Proceeds | Gain/Loss |
|---------------------------|--------------|----------|----------|------------|----------|--------------|------------|
| DEXCOM INC | DXCM | 37,000 | 01/15/14 | \$1,366.41 | 03/26/14 | \$1,571.39 | \$204.98 |
| ENTEGRIS INC | ENTG | 2,000 | 01/15/14 | 22.70 | 04/28/14 | 22.23 | (0.47) |
| | ENTG | 2,000 | 02/14/14 | 24.20 | 04/28/14 | 22.22 | (1.98) |
| | Totals | | | \$46.90 | | \$44.45 | \$(2.45) |
| EPL OIL & GAS INC COM | EPL | 74,000 | 01/15/14 | 2,037.13 | 03/26/14 | 2,854.65 | 817.52 |
| | EPL | 3,000 | 02/14/14 | 81.72 | 03/26/14 | 115.73 | 34.01 |
| | Totals | | | \$2,118.85 | | \$2,970.38 | \$851.53 |
| EXPEDIA INC NEW | EXPE | 107,000 | 03/15/13 | 7,116.87 | 01/15/14 | 7,442.26 | 325.39 |
| FEI COMPANY | FEIC | 4,000 | 01/15/14 | 378.95 | 04/29/14 | 354.61 | (24.34) |
| FLEETCOR TECHNOLOGIES | FLT | 55,000 | 03/15/13 | 3,875.14 | 01/15/14 | 6,094.33 | 2,219.19 |
| FIRST REPUBLIC BANK | FRC | 66,000 | 03/15/13 | 2,521.69 | 01/15/14 | 3,445.81 | 924.12 |
| | FRC | 33,000 | 09/19/13 | 1,511.15 | 01/15/14 | 1,722.90 | 211.75 |
| | Totals | | | \$4,032.84 | | \$5,168.71 | \$1,135.87 |
| GENOMIC HEALTH INC | GHDX | 57,000 | 03/15/13 | 1,661.00 | 01/15/14 | 1,812.55 | 151.55 |
| GNC HOLDINGS,INC COM CL A | GNC | 65,000 | 10/22/13 | 3,676.19 | 01/15/14 | 3,638.11 | (38.08) |
| HANGER INC | HGR | 3,000 | 03/15/13 | 93.68 | 01/15/14 | 121.28 | 27.60 |
| HELMERICH & PAYNE | HP | 50,000 | 03/15/13 | 3,294.00 | 01/15/14 | 4,248.42 | 954.42 |
| HEARTLAND PAYMENT SYS INC | HPY | 27,000 | 03/15/13 | 851.41 | 02/21/14 | 1,061.64 | 210.23 |
| IAC INTERACTIVECORP COM | IACI | 44,000 | 03/15/13 | 1,910.04 | 02/07/14 | 2,960.93 | 1,050.89 |
| | IACI | 66,000 | 03/15/13 | 2,865.06 | 01/15/14 | 4,714.19 | 1,849.13 |
| | Totals | | | \$4,775.10 | | \$7,675.12 | \$2,900.02 |
| INTERNATIONAL GAME TECH | IGT | 222,000 | 05/08/13 | 3,855.12 | 01/15/14 | 3,859.07 | 3.95 |
| ILLUMINA INC | ILMN | 99,000 | 03/15/13 | 5,200.49 | 01/15/14 | 11,933.75 | 6,733.26 |
| INCYTE CORP | INCY | 64,000 | 03/15/13 | 1,588.16 | 01/15/14 | 3,969.35 | 2,381.19 |
| INFORMATICA CORP | INFA | 74,000 | 03/15/13 | 2,781.87 | 01/15/14 | 3,182.95 | 401.08 |
| ISIS PHARM INC | ISIS | 36,000 | 01/15/14 | 1,696.32 | 02/10/14 | 1,773.92 | 77.60 |
| | ISIS | 61,000 | 01/15/14 | 2,874.31 | 02/26/14 | 3,474.91 | 600.60 |
| | ISIS | 1,000 | 02/14/14 | 52.96 | 02/26/14 | 56.97 | 4.01 |
| | Totals | | | \$4,623.59 | | \$5,305.80 | \$682.21 |
| GARTNER INC | IT | 81,000 | 03/15/13 | 4,225.19 | 01/15/14 | 5,611.40 | 1,386.21 |
| JAZZ PHARMACEUTICALS PLC | JAZZ | 37,000 | 07/16/13 | 2,639.85 | 01/15/14 | 5,480.71 | 2,840.86 |
| JABIL CIRCUIT INC | JBL | 173,000 | 03/15/13 | 3,308.88 | 01/15/14 | 3,077.70 | (231.18) |
| JANUS CAPITAL GROUP INC | JNS | 248,000 | 03/15/13 | 2,394.81 | 01/15/14 | 3,123.15 | 728.34 |
| KIRBY CP | KEX | 29,000 | 01/15/14 | 2,908.18 | 04/25/14 | 2,921.09 | 12.91 |

Realized Gain/Loss - Detail (11/01/13 - 08/31/14) As of 12/10/2014

JOHN N BLACKMAN SR FOUNDATION
C/O HOWARD SCHAIN
10 EAST 40TH STREET
NEW YORK NY 10016-0200

Prepared by Peter Christus
Ph.

Acct. 615-123512-302

| Description | Symbol/CUSIP | Quantity | Purchase | Cost Basis | Sold | Net Proceeds | Gain/Loss |
|------------------------------|--------------|----------|----------|------------|----------|--------------|------------|
| | KEX | 30.000 | 01/15/14 | \$3,008.46 | 06/26/14 | \$3,466.85 | \$458.39 |
| | KEX | 1.000 | 02/14/14 | 101.17 | 06/26/14 | 115.56 | 14.39 |
| | Totals | | | \$6,017.81 | | \$6,503.50 | \$485.69 |
| KAPSTONE PAPER AND PACKAGING | KS | 4.000 | 03/15/13 | 55.54 | 01/15/14 | 110.59 | 55.05 |
| LITHIA MOTORS INC A | LAD | 29.000 | 01/15/14 | 1,728.09 | 07/24/14 | 2,781.06 | 1,052.97 |
| LKQ CORPORATION | LKQ | 84.000 | 03/15/13 | 1,809.35 | 01/15/14 | 2,502.32 | 692.97 |
| MANHATTAN ASSOC INC | MANH | 40.000 | 11/08/13 | 1,095.88 | 01/15/14 | 1,277.16 | 181.28 |
| | MANH | 12.000 | 11/11/13 | 325.75 | 01/15/14 | 383.15 | 57.40 |
| | Totals | | | \$1,421.63 | | \$1,660.31 | \$238.68 |
| MERCADOLIBRE INC | MELI | 53.000 | 03/15/13 | 5,031.27 | 01/15/14 | 5,174.88 | 143.61 |
| MENTOR GRAPHICS | MENT | 51.000 | 01/15/14 | 1,173.47 | 02/21/14 | 1,055.02 | (118.45) |
| NORDSON CP | NDSN | 56.000 | 03/15/13 | 3,779.44 | 01/15/14 | 4,049.11 | 269.67 |
| NXP SEMICONDUCTORS NV | NXPI | 110.000 | 03/15/13 | 3,428.91 | 01/15/14 | 5,011.21 | 1,582.30 |
| OVERSTOCK.COM INC | OSTK | 45.000 | 01/15/14 | 1,285.61 | 01/30/14 | 1,007.25 | (278.36) |
| | OSTK | 55.000 | 01/15/14 | 1,571.31 | 02/05/14 | 1,067.19 | (504.12) |
| | Totals | | | \$2,856.92 | | \$2,074.44 | \$(782.48) |
| OPEN TEXT CORP | OTEX | 86.000 | 01/15/14 | 3,913.86 | 03/17/14 | 4,186.99 | 273.13 |
| | OTEX | 2.000 | 02/14/14 | 102.59 | 03/17/14 | 97.37 | (5.22) |
| | Totals | | | \$4,016.45 | | \$4,284.36 | \$267.91 |
| PETSMART INC | PETM | 79.000 | 03/15/13 | 4,940.42 | 01/15/14 | 5,180.22 | 239.80 |
| POLARIS INDUSTRIES INC | PII | 46.000 | 03/15/13 | 4,097.22 | 01/15/14 | 6,450.46 | 2,353.24 |
| PINNACLE ENTMT INC | PNK | 46.000 | 01/15/14 | 1,085.03 | 03/26/14 | 1,034.66 | (50.37) |
| PAREXEL INTL CORP | PRXL | 116.000 | 03/15/13 | 4,486.28 | 01/15/14 | 5,378.84 | 892.56 |
| RACKSPACE HOSTING INC COM | RAX | 65.000 | 03/15/13 | 3,316.76 | 01/15/14 | 2,464.75 | (852.01) |
| ROBERT HALF INT | RHI | 104.000 | 03/15/13 | 3,735.01 | 01/15/14 | 4,462.94 | 727.93 |
| ROVI CORPORATION | ROVI | 111.000 | 05/16/13 | 2,796.81 | 01/15/14 | 2,520.27 | (276.54) |
| SALLY BEAUTY HLDGS INC | SBH | 56.000 | 03/15/13 | 1,629.78 | 01/15/14 | 1,637.05 | 7.27 |
| SIGNET JEWELERS LIMITED | SIG | 95.000 | 03/15/13 | 5,951.37 | 01/15/14 | 6,954.55 | 1,003.18 |
| SILGAN HLDGS INC | SLGN | 5.000 | 03/15/13 | 234.22 | 01/15/14 | 236.59 | 2.37 |
| SALIX PHARM LTD (DE) | SLXP | 39.000 | 07/09/13 | 2,658.56 | 02/14/14 | 4,002.02 | 1,343.46 |
| | SLXP | 11.000 | 01/15/14 | 1,047.20 | 02/14/14 | 1,128.78 | 81.58 |
| | Totals | | | \$3,705.76 | | \$5,130.80 | \$1,425.04 |
| SEMTECH CORP | SMTC | 114.000 | 01/15/14 | 2,805.77 | 04/28/14 | 2,708.84 | (96.93) |
| TOTAL SYSTEM SVCS | TSS | 193.000 | 03/15/13 | 4,710.78 | 01/15/14 | 6,311.67 | 1,600.89 |

Realized Gain/Loss - Detail (11/01/13 - 08/31/14)

As of 12/10/2014

JOHN N BLACKMAN SR FOUNDATION
C/O HOWARD SCHAIN
10 EAST 40TH STREET
NEW YORK NY 10016-0200

Prepared by Peter Christus

Ph.

Acct. 615-123512-302

| Description | Symbol/CUSIP | Quantity | Purchase | Cost Basis | Sold | Net Proceeds | Gain/Loss |
|--|--------------|----------|----------|---------------------|----------|---------------------|---------------------|
| TOWERS WATSON & CO CL A | TW | 47.000 | 03/15/13 | \$3,185.78 | 01/15/14 | \$6,030.46 | \$2,844.68 |
| UNIVERSAL HEALTH SERVICES B | UHS | 74.000 | 03/15/13 | 4,537.38 | 01/15/14 | 6,355.56 | 1,818.18 |
| UNITED NATURAL FOODS INC | UNFI | 19.000 | 01/15/14 | 1,405.05 | 03/26/14 | 1,332.04 | (73.01) |
| | UNFI | 23.000 | 01/15/14 | 1,700.85 | 05/08/14 | 1,497.81 | (203.04) |
| Totals | | | | \$3,105.90 | | \$2,829.85 | \$(276.05) |
| UNITED RENTALS INC | URI | 101.000 | 03/15/13 | 5,412.30 | 01/15/14 | 8,262.16 | 2,849.86 |
| ULTRATECH INC | UTEK | 57.000 | 01/15/14 | 1,614.56 | 01/23/14 | 1,460.40 | (154.16) |
| UNITED THERAPEUTICS CORP | UTHR | 38.000 | 03/15/13 | 2,352.30 | 01/15/14 | 4,189.80 | 1,837.50 |
| VIASAT INC | VSAT | 18.000 | 01/15/14 | 1,142.35 | 03/31/14 | 1,240.37 | 98.02 |
| WABTEC | WAB | 86.000 | 03/15/13 | 4,293.60 | 01/15/14 | 6,470.88 | 2,177.28 |
| WISDOMTREE INVSTNS INC | WETF | 133.000 | 01/15/14 | 2,287.56 | 05/19/14 | 1,327.72 | (959.84) |
| | WETF | 1.000 | 02/14/14 | 14.90 | 05/19/14 | 9.98 | (4.92) |
| | WETF | 71.000 | 02/19/14 | 1,055.00 | 05/19/14 | 708.79 | (346.21) |
| Totals | | | | \$3,357.46 | | \$2,046.49 | \$(1,310.97) |
| WHITING PETROLEUM CORP | WLL | 81.000 | 04/15/13 | 3,729.75 | 01/15/14 | 4,875.58 | 1,145.83 |
| WESTERN REFINING, INC | WNR | 98.000 | 01/15/14 | 3,878.84 | 03/26/14 | 3,816.26 | (62.58) |
| | WNR | 2.000 | 02/14/14 | 76.20 | 03/26/14 | 77.88 | 1.68 |
| Totals | | | | \$3,955.04 | | \$3,894.14 | \$(60.90) |
| WILLIAMS SONOMA | WSM | 81.000 | 03/15/13 | 3,640.30 | 01/15/14 | 4,527.80 | 887.50 |
| W&T OFFSHORE INC | WTI | 106.000 | 01/15/14 | 1,517.91 | 02/14/14 | 1,572.85 | 54.94 |
| WYNDHAM WORLDWIDE CORP | WYN | 63.000 | 03/15/13 | 3,968.60 | 01/15/14 | 4,644.89 | 676.29 |
| XL GROUP PLC NEW | XL | 147.000 | 03/15/13 | 4,444.96 | 01/15/14 | 4,408.98 | (35.98) |
| IXIA | XXIA | 161.000 | 03/15/13 | 3,554.88 | 01/15/14 | 2,022.14 | (1,532.74) |
| Short Term Totals: | | | | \$261,434.73 | | \$315,577.20 | \$54,142.47 |
| Long Term | | | | | | | |
| ENTEGRIS INC | ENTG | 253.000 | 03/15/13 | 2,560.33 | 04/28/14 | 2,811.70 | 251.37 |
| FEI COMPANY | FEIC | 45.000 | 03/15/13 | 2,817.45 | 04/29/14 | 3,989.31 | 1,171.86 |
| HANGER INC | HGR | 37.000 | 03/15/13 | 1,155.42 | 04/28/14 | 1,255.86 | 100.44 |
| VALMONT INDUSTRIES | VMI | 27.000 | 03/15/13 | 4,404.24 | 04/29/14 | 4,021.02 | (383.22) |
| Long Term Totals: | | | | \$10,937.44 | | \$12,077.89 | \$1,140.45 |
| 11/01/13 - 08/31/14 Short & Long Term Totals: | | | | \$272,372.17 | | \$327,655.09 | \$55,282.92 |

Realized Gain/Loss - Detail (11/01/13 - 08/31/14)

As of 12/10/2014

JOHN N BLACKMAN SR FOUNDATION
C/O HOWARD SCHAIN
10 EAST 40TH STREET
NEW YORK NY 10016-0200

Prepared by Peter Christus

Ph.

Acct. 615-123510-302

| Description | Symbol/CUSIP | Quantity | Purchase | Cost Basis | Sold | Net Proceeds | Gain/Loss |
|----------------------------|--------------|----------|----------|-------------------|----------|--------------------|-------------------|
| Short Term | | | | | | | |
| CITIGROUP INC NEW | C | 2.000 | 10/17/13 | \$100.91 | 01/15/14 | \$109.63 | \$8.72 |
| ENSCO PLC CLASS A | ESV | 10.000 | 01/15/14 | 557.10 | 04/08/14 | 503.52 | (53.58) |
| NORFOLK SOUTHERN CORP | NSC | 3.000 | 09/27/13 | 232.22 | 01/15/14 | 272.90 | 40.68 |
| NEWELL RUBBERMAID INC | NWL | 30.000 | 03/14/13 | 769.01 | 01/15/14 | 960.88 | 191.87 |
| | NWL | 49.000 | 03/14/13 | 1,256.05 | 03/10/14 | 1,526.92 | 270.87 |
| | NWL | 25.000 | 03/22/13 | 631.90 | 03/20/14 | 741.72 | 109.82 |
| | NWL | 60.000 | 03/22/13 | 1,516.56 | 03/10/14 | 1,869.70 | 353.14 |
| | NWL | 67.000 | 03/25/13 | 1,689.10 | 03/20/14 | 1,987.82 | 298.72 |
| | NWL | 7.000 | 04/12/13 | 179.49 | 03/20/14 | 207.68 | 28.19 |
| | NWL | 21.000 | 04/15/13 | 533.00 | 03/20/14 | 623.06 | 90.06 |
| Totals | | | | \$6,575.11 | | \$7,917.78 | \$1,342.67 |
| REYNOLDS AMERICAN INC | RAI | 13.000 | 01/15/14 | 628.16 | 03/21/14 | 696.34 | 68.18 |
| SLM CORPORATION | SLM | 4.000 | 01/15/14 | 38.97 | 08/05/14 | 35.47 | (3.50) |
| STAPLES INC | SPLS | 35.000 | 01/15/14 | 505.99 | 06/09/14 | 390.12 | (115.87) |
| TEVA PHARMACEUTICALS ADR | TEVA | 6.000 | 06/12/13 | 235.80 | 01/15/14 | 264.41 | 28.61 |
| | TEVA | 1.000 | 06/20/13 | 38.66 | 01/15/14 | 44.07 | 5.41 |
| Totals | | | | \$274.46 | | \$308.48 | \$34.02 |
| Short Term Totals: | | | | \$8,912.92 | | \$10,234.24 | \$1,321.32 |
| Long Term | | | | | | | |
| AMERICAN ELECTRIC POWER CO | AEP | 1.000 | 01/10/12 | 41.41 | 01/15/14 | 46.38 | 4.97 |
| AMERIPRISE FINCL INC | AMP | 3.000 | 08/30/10 | 130.02 | 01/15/14 | 341.99 | 211.97 |
| | AMP | 8.000 | 10/19/11 | 332.96 | 01/15/14 | 911.98 | 579.02 |
| Totals | | | | \$462.98 | | \$1,253.97 | \$790.99 |
| ASTRAZENECA PLC ADS | AZN | 8.000 | 08/09/12 | 375.21 | 01/15/14 | 501.83 | 126.62 |
| | AZN | 7.000 | 09/17/12 | 328.65 | 01/15/14 | 439.10 | 110.45 |
| | AZN | 8.000 | 09/17/12 | 375.61 | 05/08/14 | 629.16 | 253.55 |
| | AZN | 37.000 | 09/28/12 | 1,772.07 | 05/14/14 | 2,889.64 | 1,117.57 |
| | AZN | 62.000 | 09/28/12 | 2,969.41 | 05/08/14 | 4,875.98 | 1,906.57 |
| | AZN | 10.000 | 10/12/12 | 461.07 | 05/14/14 | 780.99 | 319.92 |

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Realized Gain/Loss - Detail (11/01/13 - 08/31/14)

As of 12/10/2014

JOHN N BLACKMAN SR FOUNDATION
C/O HOWARD SCHAIN
10 EAST 40TH STREET
NEW YORK NY 10016-0200

Prepared by Peter Christus

Ph.

Acct. 615-123510-302

| Description | Symbol/CUSIP | Quantity | Purchase | Cost Basis | Sold | Net Proceeds | Gain/Loss |
|------------------------------|--------------|----------|----------|------------|----------|--------------|------------|
| Totals | | | | | | | |
| CA INCORPORATED | CA | 7.000 | 05/08/12 | \$6,282.02 | 01/15/14 | \$10,116.70 | \$3,834.68 |
| ENSCO PLC CLASS A | ESV | 24.000 | 11/30/11 | \$182.67 | 04/08/14 | \$240.16 | \$57.49 |
| | ESV | 11.000 | 12/01/11 | 1,255.46 | 04/08/14 | 1,208.45 | (47.01) |
| | ESV | 84.000 | 02/09/12 | 572.11 | 04/08/14 | 553.87 | (18.24) |
| | ESV | 84.000 | 02/09/12 | 4,572.67 | 04/08/14 | 4,229.57 | (343.10) |
| Totals | | | | | | | |
| FIFTH 3RD BANCORP OHIO | FITB | 8.000 | 09/05/12 | \$6,400.24 | 01/15/14 | \$5,991.89 | \$(408.35) |
| GENERAL ELECTRIC CO | GE | 9.000 | 07/29/10 | 119.58 | 01/15/14 | 172.49 | 52.91 |
| HARRIS CORPORATION DELAWARE | HRS | 12.000 | 02/10/11 | 145.15 | 01/15/14 | 245.78 | 100.63 |
| INTEL CORP | INTC | 32.000 | 08/27/10 | 594.28 | 01/15/14 | 839.89 | 245.61 |
| | INTC | 44.000 | 08/27/10 | 583.25 | 08/26/14 | 1,114.95 | 531.70 |
| | INTC | 54.000 | 03/21/11 | 801.98 | 01/15/14 | 1,184.60 | 382.62 |
| | INTC | 29.000 | 02/09/12 | 1,097.80 | 08/26/14 | 1,881.48 | 783.68 |
| | INTC | 207.000 | 02/09/12 | 779.70 | 08/26/14 | 1,010.43 | 230.73 |
| | INTC | 207.000 | 02/09/12 | 5,565.42 | 08/27/14 | 7,181.33 | 1,615.91 |
| Totals | | | | | | | |
| LOCKHEED MARTIN CORP | LMT | 6.000 | 09/24/10 | \$8,828.15 | 01/15/14 | \$12,372.79 | \$3,544.64 |
| MEDTRONIC INC | MDT | 27.000 | 08/20/10 | 432.67 | 01/15/14 | 920.50 | 487.83 |
| | MDT | 98.000 | 02/09/12 | 936.63 | 01/15/14 | 1,600.65 | 664.02 |
| | MDT | 98.000 | 02/09/12 | 3,920.65 | 01/15/14 | 5,809.77 | 1,889.12 |
| Totals | | | | | | | |
| MERCK & CO INC NEW COM | MRK | 1.000 | 04/05/12 | \$4,857.28 | 01/15/14 | \$7,410.42 | \$2,553.14 |
| MICROSOFT CORP | MSFT | 4.000 | 08/15/12 | 38.73 | 01/15/14 | 52.48 | 13.75 |
| NORTHROP GRUMMAN CP(HLDG CO) | NOC | 1.000 | 10/30/09 | 120.55 | 01/15/14 | 146.71 | 26.16 |
| | NOC | 7.000 | 02/09/12 | 45.79 | 01/15/14 | 117.72 | 71.93 |
| | NOC | 7.000 | 02/09/12 | 421.38 | 01/15/14 | 824.02 | 402.64 |
| Totals | | | | | | | |
| REYNOLDS AMERICAN INC | RAI | 23.000 | 09/12/05 | \$467.17 | 03/18/14 | \$941.74 | \$474.57 |
| | RAI | 53.000 | 02/09/12 | 476.85 | 03/21/14 | 1,240.85 | 764.00 |
| | RAI | 61.000 | 02/09/12 | 2,130.41 | 03/21/14 | 2,838.92 | 708.51 |
| | RAI | 61.000 | 02/09/12 | 2,451.99 | 03/18/14 | 3,290.95 | 838.96 |
| Totals | | | | | | | |
| ROYAL DUTCH SHELL PLC | RDSA | 2.000 | 10/02/07 | \$5,059.25 | 01/15/14 | \$7,370.72 | \$2,311.47 |
| SLM CORPORATION | SLM | 2.000 | 05/15/13 | 166.34 | 08/05/14 | 142.49 | (23.85) |
| | SLM | 94.000 | 05/23/13 | 15.79 | 08/05/14 | 17.74 | 1.95 |
| | SLM | 38.000 | 05/28/13 | 765.28 | 08/05/14 | 833.73 | 68.45 |
| | SLM | 4.000 | 05/30/13 | 313.08 | 08/05/14 | 337.04 | 23.96 |
| | SLM | 86.000 | 06/03/13 | 34.43 | 08/05/14 | 35.48 | 1.05 |
| | SLM | 86.000 | 06/03/13 | 732.18 | 08/05/14 | 762.78 | 30.60 |

Realized Gain/Loss - Detail (11/01/13 - 08/31/14)

As of 12/10/2014

JOHN N BLACKMAN SR FOUNDATION
C/O HOWARD SCHAIN
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NEW YORK NY 10016-0200

Prepared by Peter Christus
Ph.

Acct. 615-123510-302

| Description | Symbol/CUSIP | Quantity | Purchase | Cost Basis | Sold | Net Proceeds | Gain/Loss |
|--|--------------|----------|----------|--------------------|----------|--------------------|--------------------|
| | SLM | 4.000 | 06/05/13 | \$33.77 | 08/05/14 | \$35.48 | \$1.71 |
| | SLM | 18.000 | 06/06/13 | 151.73 | 08/05/14 | 159.65 | 7.92 |
| | SLM | 20.000 | 06/10/13 | 168.73 | 08/05/14 | 177.39 | 8.66 |
| | Totals | | | \$2,214.99 | | \$2,359.29 | \$144.30 |
| STAPLES INC | SPLS | 170.000 | 08/15/12 | 1,942.45 | 05/27/14 | 1,939.65 | (2.80) |
| | SPLS | 290.000 | 08/15/12 | 3,313.60 | 06/09/14 | 3,232.40 | (81.20) |
| | Totals | | | \$5,256.05 | | \$5,172.05 | \$(84.00) |
| MOLSON COORS BREWING CO CL B | TAP | 3.000 | 08/30/12 | 130.56 | 01/15/14 | 169.10 | 38.54 |
| VERITIV CORP | VRTV | 0.847 | 02/09/12 | 18.70 | 07/01/14 | 29.47 | 10.77 |
| | VRTV | 2.000 | 02/09/12 | 44.16 | 08/05/14 | 75.86 | 31.70 |
| | Totals | | | \$62.86 | | \$105.33 | \$42.47 |
| XEROX CORP | XRXX | 58.000 | 02/09/12 | 466.10 | 01/15/14 | 719.94 | 253.84 |
| Long Term Totals: | | | | \$42,329.03 | | \$56,790.82 | \$14,461.79 |
| 11/01/13 - 08/31/14 Short & Long Term Totals: | | | | \$51,241.95 | | \$67,025.06 | \$15,783.11 |

** Gain/Loss is only calculated when an original cost basis is available.

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns.

The above summary/prices/quotes/statistics have been obtained from sources believed reliable but are not necessarily complete and cannot be guaranteed. The information contained in client monthly account statements and confirmations reflects all transactions, and as such supersedes all other reports for financial and tax purposes. This report does not supersede or replace your monthly Client Statement. If we do not hold the securities in a Morgan Stanley Wealth Management account, the report reflects securities which we believe you own, based upon your communications with our Financial Advisor. © 2014 Morgan Stanley Smith Barney LLC. Member SIPC.

Realized Gain/Loss - Detail (11/01/13 - 08/31/14)

As of 12/10/2014

JOHN N BLACKMAN SR FOUNDATION
C/O HOWARD SCHAIN
10 EAST 40TH STREET
NEW YORK NY 10016-0200

Prepared by Peter Christus
Ph.

Acct. 615-123511-302

| Description | Symbol/CUSIP | Quantity | Purchase | Cost Basis | Sold | Net Proceeds | Gain/Loss |
|-------------------------------|--------------|-----------|----------|------------|----------|--------------|--------------|
| Short Term | | | | | | | |
| VODAFONE GP PLC ADS NEW | 92857W209 | 13.000 | 03/19/13 | \$367.64 | 01/15/14 | \$505.64 | \$138.00 |
| ACCOR S A UNSPONSORED ADR | ACRFY | 51.000 | 05/15/13 | 336.61 | 01/15/14 | 487.55 | 150.94 |
| | ACRFY | 60.000 | 05/15/13 | 396.01 | 02/13/14 | 596.25 | 200.24 |
| | Totals | | | \$732.62 | | \$1,083.80 | \$351.18 |
| ASAH KAISEI CORP ADR | AHKSX | 375.000 | 03/19/13 | 4,998.75 | 02/28/14 | 5,287.48 | 288.73 |
| ALSTOM ADR | ALSMY | 164.000 | 06/12/13 | 601.44 | 01/15/14 | 611.70 | 10.26 |
| AISIN SEIKI CO LTD ADR | ASEKY | 147.000 | 11/21/13 | 5,911.87 | 02/27/14 | 5,054.91 | (856.96) |
| | ASEKY | 2.000 | 01/15/14 | 80.30 | 02/27/14 | 68.77 | (11.53) |
| | Totals | | | \$5,992.17 | | \$5,123.68 | \$(868.49) |
| AXA ADS | AXAHY | 21.000 | 03/19/13 | 379.18 | 01/15/14 | 585.25 | 206.07 |
| BAE SYS PLC SPON ADR | BAESY | 200.000 | 02/06/14 | 5,559.54 | 08/27/14 | 5,856.99 | 297.45 |
| BANCO BILBAO VIZ ARG SA ADS | BBVA | 101.000 | 04/11/13 | 926.68 | 01/15/14 | 1,360.69 | 434.01 |
| BARCLAYS PLC ADR | BCS | 30.000 | 07/01/13 | 518.81 | 01/15/14 | 584.68 | 65.87 |
| BOMBARDIER INC CL B SUB VTG | BDRBF | 1,122.000 | 03/19/13 | 4,620.28 | 01/21/14 | 4,133.37 | (486.91) |
| | BDRBF | 690.000 | 10/10/13 | 3,264.39 | 01/21/14 | 2,541.91 | (722.48) |
| | BDRBF | 378.000 | 01/15/14 | 1,576.26 | 01/21/14 | 1,392.53 | (183.73) |
| | Totals | | | \$9,460.93 | | \$8,067.81 | \$(1,393.12) |
| CAP GEMINI SA ADR | CGEMY | 12.000 | 03/19/13 | 293.75 | 02/13/14 | 439.79 | 146.04 |
| | CGEMY | 21.000 | 03/19/13 | 514.06 | 01/15/14 | 735.61 | 221.55 |
| | CGEMY | 102.000 | 05/22/13 | 2,629.11 | 05/06/14 | 3,623.36 | 994.25 |
| | Totals | | | \$3,436.92 | | \$4,798.76 | \$1,361.84 |
| CHECK POINT SOFTWARE TECH LTD | CHKP | 6.000 | 03/19/13 | 294.62 | 01/15/14 | 391.55 | 96.93 |
| DEUTSCHE BOERSE AG UNSPON ADR | DBOXY | 80.000 | 03/19/13 | 507.20 | 01/15/14 | 655.98 | 148.78 |
| DBS GROUP HOLDINGS LTD SP | DBSDY | 101.000 | 03/19/13 | 4,988.39 | 03/07/14 | 5,181.05 | 192.66 |
| | DBSDY | 8.000 | 01/15/14 | 436.08 | 03/07/14 | 410.38 | (25.70) |
| | Totals | | | \$5,424.47 | | \$5,591.43 | \$166.96 |
| DAIMLER AG | DDAIF | 10.000 | 03/19/13 | 574.80 | 01/15/14 | 889.78 | 314.98 |
| AIRBUS GROUP | EADSY | 62.000 | 03/19/13 | 831.89 | 01/15/14 | 1,184.79 | 352.90 |
| GEA GROUP AG SPON ADR | GEAGY | 23.000 | 03/19/13 | 779.70 | 01/15/14 | 1,091.33 | 311.63 |
| HITACHI 10 COM NEW ADR | HTHYI | 24.000 | 08/19/13 | 1,535.24 | 01/15/14 | 1,992.20 | 456.96 |

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Realized Gain/Loss - Detail (11/01/13 - 08/31/14)

As of 12/10/2014

JOHN N BLACKMAN SR FOUNDATION
C/O HOWARD SCHAIN
10 EAST 40TH STREET
NEW YORK NY 10016-0200

Prepared by Peter Christus

Ph.

Acct. 615-123511-302

| Description | Symbol/CUSIP | Quantity | Purchase | Cost Basis | Sold | Net Proceeds | Gain/Loss |
|--------------------------------|--------------|----------|----------|------------|----------|--------------|--------------|
| | HTHY | 37.000 | 08/19/13 | \$2,366.83 | 02/06/14 | \$2,790.17 | \$423.34 |
| | Totals | | | \$3,902.07 | | \$4,782.37 | \$880.30 |
| ICAP PLC SPON ADR | IAPLY | 105.000 | 03/19/13 | 1,050.63 | 01/15/14 | 1,582.32 | 531.69 |
| JULIUS BAER GROUP LTD UN ADR | JBAXY | 15.000 | 03/19/13 | 116.85 | 01/15/14 | 142.79 | 25.94 |
| KAO CORP SPONS ADR | KCRPY | 1.000 | 03/19/13 | 32.30 | 01/15/14 | 31.69 | (0.61) |
| | KCRPY | 13.000 | 08/14/13 | 425.72 | 08/06/14 | 527.57 | 101.85 |
| | KCRPY | 24.000 | 09/17/13 | 717.22 | 08/06/14 | 973.97 | 256.75 |
| | Totals | | | \$1,175.24 | | \$1,533.23 | \$357.99 |
| KOMATSU LTD SPON ADR NEW | KMTUY | 95.000 | 04/10/13 | 2,300.63 | 04/01/14 | 1,956.83 | (343.80) |
| | KMTUY | 58.000 | 01/15/14 | 1,142.60 | 04/01/14 | 1,194.70 | 52.10 |
| | Totals | | | \$3,443.23 | | \$3,151.53 | \$(291.70) |
| MITSUBISHI EST ADR | MITEY | 1.000 | 03/19/13 | 27.69 | 01/15/14 | 28.25 | 0.56 |
| MITSUI & CO LTD ADR | MITSY | 17.000 | 03/19/13 | 4,972.50 | 03/07/14 | 5,364.68 | 392.18 |
| | MITSY | 4.000 | 06/12/13 | 1,015.97 | 03/07/14 | 1,262.28 | 246.31 |
| | Totals | | | \$5,988.47 | | \$6,626.96 | \$638.49 |
| KONINKLIJKE PHIL EL SP ADR NEW | PHG | 14.000 | 08/26/13 | 448.10 | 01/15/14 | 535.91 | 87.81 |
| | PHG | 0.445 | 06/04/14 | 14.02 | 06/04/14 | 14.22 | 0.20 |
| | Totals | | | \$462.12 | | \$550.13 | \$88.01 |
| PORSCHE AUTOMOBIL HLDG SE ADR | POAHY | 106.000 | 03/19/13 | 810.90 | 01/15/14 | 1,114.04 | 303.14 |
| PORTUGAL TELECOM SGPS SA | PT | 183.000 | 04/14/14 | 825.34 | 07/11/14 | 455.79 | (369.55) |
| | PT | 195.000 | 04/14/14 | 879.44 | 07/11/14 | 485.67 | (393.77) |
| | PT | 147.000 | 04/15/14 | 640.48 | 07/11/14 | 366.13 | (274.35) |
| | PT | 780.000 | 04/15/14 | 3,398.46 | 07/14/14 | 2,001.98 | (1,396.48) |
| | PT | 195.000 | 06/19/14 | 764.09 | 07/14/14 | 500.49 | (263.60) |
| | Totals | | | \$6,507.81 | | \$3,810.06 | \$(2,697.75) |
| ROYAL DSM NV SPONSORED ADR | RDSMY | 31.000 | 03/19/13 | 456.01 | 01/15/14 | 593.01 | 137.00 |
| REPSOL SA SPON ADR | REPY | 4.000 | 03/19/13 | 85.44 | 01/15/14 | 103.51 | 18.07 |
| ROCHE HOLDINGS ADR | RHHBY | 3.000 | 03/19/13 | 172.65 | 01/15/14 | 208.73 | 36.08 |
| SAP AG | SAP | 5.000 | 03/19/13 | 410.51 | 01/15/14 | 415.39 | 4.88 |
| | SAP | 7.000 | 06/12/13 | 532.67 | 04/25/14 | 545.87 | 13.20 |
| | SAP | 10.000 | 09/17/13 | 734.68 | 04/25/14 | 779.81 | 45.13 |
| | Totals | | | \$1,677.86 | | \$1,741.07 | \$63.21 |
| SCHLUMBERGER LTD | SLB | 4.000 | 03/19/13 | 292.76 | 01/15/14 | 356.07 | 63.31 |
| SONY FIN HLDGS INC | SNYFY | 78.000 | 03/19/13 | 1,188.72 | 02/12/14 | 1,257.55 | 68.83 |

Realized Gain/Loss - Detail (11/01/13 - 08/31/14)

As of 12/10/2014

JOHN N BLACKMAN SR FOUNDATION
C/O HOWARD SCHAIN
10 EAST 40TH STREET
NEW YORK NY 10016-0200

Prepared by Peter Christus

Ph.

Acct. 615-123511-302

| Description | Symbol/CUSIP | Quantity | Purchase | Cost Basis | Sold | Net Proceeds | Gain/Loss |
|-------------------------------|--------------|----------|----------|--------------------|----------|--------------------|-------------------|
| | SNYFY | 195.000 | 03/19/13 | \$2,971.80 | 02/11/14 | \$3,172.92 | \$201.12 |
| | SNYFY | 39.000 | 04/24/13 | 518.43 | 02/12/14 | 628.77 | 110.34 |
| | SNYFY | 13.000 | 01/15/14 | 241.58 | 02/12/14 | 209.59 | (31.99) |
| Totals | | | | \$4,920.53 | | \$5,268.83 | \$348.30 |
| SUNTORY BEVERAGE AND FOOD LTD | STBFY | 25.000 | 02/20/14 | 429.75 | 08/22/14 | 481.48 | 51.73 |
| SEVEN & I HLDGS CO LTD ADR | SVNDY | 5.000 | 09/06/13 | 352.10 | 01/15/14 | 415.49 | 63.39 |
| | SVNDY | 12.000 | 09/06/13 | 845.04 | 08/22/14 | 1,000.29 | 155.25 |
| Totals | | | | \$1,197.14 | | \$1,415.78 | \$218.64 |
| TECHNIP-COFLEXIP | TKPPY | 65.000 | 01/15/14 | 1,483.66 | 05/30/14 | 1,741.18 | 257.52 |
| TELE2 AB B UNSPON ADR | TLTZY | 475.000 | 10/30/13 | 2,933.88 | 01/15/14 | 2,674.20 | (259.68) |
| | TLTZY | 486.000 | 10/31/13 | 2,922.80 | 01/15/14 | 2,736.13 | (186.67) |
| Totals | | | | \$5,856.68 | | \$5,410.33 | \$(446.35) |
| TESCO PLC SPONSORED ADR | TSCDY | 290.000 | 03/19/13 | 4,996.70 | 02/06/14 | 4,509.94 | (486.76) |
| | TSCDY | 70.000 | 01/15/14 | 1,152.20 | 02/06/14 | 1,088.61 | (63.59) |
| Totals | | | | \$6,148.90 | | \$5,598.55 | \$(550.35) |
| TAIWAN SMCNDCR MFG CO LTD ADR | TSM | 135.000 | 08/01/13 | 2,298.81 | 01/15/14 | 2,372.38 | 73.57 |
| | TSM | 15.000 | 08/02/13 | 252.33 | 01/15/14 | 263.60 | 11.27 |
| | TSM | 55.000 | 08/02/13 | 925.23 | 04/29/14 | 1,118.90 | 193.67 |
| Totals | | | | \$3,476.37 | | \$3,754.88 | \$278.51 |
| VERIZON COMMUNICATIONS | VZ | 0.502 | 02/21/14 | 24.15 | 02/21/14 | 23.80 | (0.35) |
| | VZ | 40.000 | 02/21/14 | 1,924.60 | 02/25/14 | 1,861.40 | (63.20) |
| Totals | | | | \$1,948.75 | | \$1,885.20 | \$(63.55) |
| WPP PLC SPON NEW ADR | WPPGY | 8.000 | 03/19/13 | 649.52 | 01/15/14 | 905.58 | 256.06 |
| Short Term Totals: | | | | \$93,668.39 | | \$96,457.22 | \$2,788.83 |
| Long Term | | | | | | | |
| AMERICA MOVIL SA DE CV ADR L | AMX | 51.000 | 03/19/13 | 959.15 | 07/17/14 | 1,213.77 | 254.62 |
| BANCO BILBAO VIZ ARG SA ADS | BBVA | 34.000 | 04/11/13 | 311.95 | 08/25/14 | 410.68 | 98.73 |
| | BBVA | 181.000 | 04/12/13 | 1,642.39 | 08/25/14 | 2,186.25 | 543.86 |
| Totals | | | | \$1,954.34 | | \$2,596.93 | \$642.59 |
| CAP GEMINI SA ADR | CGEMY | 56.000 | 03/19/13 | 1,370.82 | 05/06/14 | 1,989.29 | 618.47 |
| CHECK POINT SOFTWARE TECH LTD | CHKP | 6.000 | 03/19/13 | 294.62 | 08/22/14 | 408.50 | 113.88 |
| DAIMLER AG | DDAIF | 9.000 | 03/19/13 | 517.32 | 06/18/14 | 854.83 | 337.51 |
| ICAP PLC SPON ADR | IAPLY | 395.000 | 03/19/13 | 3,952.37 | 06/13/14 | 5,273.80 | 1,321.43 |

Realized Gain/Loss - Detail (11/01/13 - 08/31/14)

As of 12/10/2014

JOHN N BLACKMAN SR FOUNDATION
C/O HOWARD SCHAIN
10 EAST 40TH STREET
NEW YORK NY 10016-0200

Prepared by Peter Christus

Ph.

Acct. 615-123511-302

| Description | Symbol/CUSIP | Quantity | Purchase | Cost Basis | Sold | Net Proceeds | Gain/Loss |
|--|--------------|----------|----------|---------------------|----------|---------------------|-------------------|
| KAO CORP SPONS ADR | KCRPY | 31.000 | 03/19/13 | \$1,001.30 | 04/30/14 | \$1,161.19 | \$159.89 |
| | KCRPY | 123.000 | 03/19/13 | 3,972.90 | 08/06/14 | 4,991.59 | 1,018.69 |
| | Totals | | | \$4,974.20 | | \$6,152.78 | \$1,178.58 |
| KOMATSU LTD SPON ADR NEW | KMTUY | 147.000 | 03/19/13 | 3,479.05 | 04/01/14 | 3,027.94 | (451.11) |
| NIPPON TELEGRAPH&TELEPHONE ADS | NTT | 132.000 | 04/10/13 | 2,975.83 | 06/03/14 | 4,006.03 | 1,030.20 |
| NOVARTIS AG ADR | NVS | 6.000 | 03/19/13 | 420.34 | 06/18/14 | 541.62 | 121.28 |
| SAP AG | SAP | 56.000 | 03/19/13 | 4,597.74 | 04/25/14 | 4,366.96 | (230.78) |
| SCHLUMBERGER LTD | SLB | 11.000 | 03/19/13 | 805.09 | 04/29/14 | 1,128.40 | 323.31 |
| SUNCOR ENERGY INC NEW COM | SU | 35.000 | 03/19/13 | 1,054.20 | 06/18/14 | 1,492.23 | 438.03 |
| TECHNIP-COFLEXIP | TKPPY | 190.000 | 03/19/13 | 5,031.74 | 05/30/14 | 5,089.60 | 57.86 |
| Long Term Totals: | | | | \$32,386.81 | | \$38,142.68 | \$5,755.87 |
| 11/01/13 - 08/31/14 Short & Long Term Totals: | | | | \$126,055.20 | | \$134,599.90 | \$8,544.70 |

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The above summary/prices/quotes/statistics have been obtained from sources believed reliable but are not necessarily complete and cannot be guaranteed. The information contained in client monthly account statements and confirmations reflects all transactions, and as such supersedes all other reports for financial and tax purposes. This report does not supersede or replace your monthly Client Statement. If we do not hold the securities in a Morgan Stanley Wealth Management account, the report reflects securities which we believe you own, based upon your communications with our Financial Advisor. © 2014 Morgan Stanley Smith Barney LLC. Member SIPC.

Realized Gain/Loss - Detail (11/01/13 - 08/31/14)

As of 12/10/2014

JOHN N BLACKMAN SR FOUNDATION
C/O HOWARD SCHAIN
10 EAST 40TH STREET
NEW YORK NY 10016-0200

Prepared by Peter Christus
Ph.

Acct. 615-123508-302

| Description | Symbol/CUSIP | Quantity | Purchase | Cost Basis | Sold | Net Proceeds | Gain/Loss |
|---------------------------|----------------|------------|----------|---------------------|----------|---------------------|---------------------|
| Short Term | | | | | | | |
| FHLMC | 5.000 7-15-14 | 12,000.000 | 01/16/14 | \$12,097.73 | 05/14/14 | \$12,098.44 | \$0.71 |
| | | 3,000.000 | 01/27/14 | 3,024.41 | 05/14/14 | 3,024.61 | 0.20 |
| | Totals | | | \$15,122.14 | | \$15,123.05 | \$0.91 |
| FNMA | 5.000 5-11-17 | 17,000.000 | 01/22/14 | 18,925.60 | 06/04/14 | 19,028.19 | 102.59 |
| FNMA | 7/8 5-21-18 | 10,000.000 | 07/18/13 | 9,701.60 | 01/16/14 | 9,724.72 | 23.12 |
| FHLMC | 7/8 3-07-18 | 4,000.000 | 01/28/13 | 3,962.00 | 01/16/14 | 3,908.87 | (53.13) |
| FHLMC | 1 3/8 5-01-20 | 11,000.000 | 04/04/13 | 10,972.83 | 01/16/14 | 10,440.52 | (532.31) |
| | | 5,000.000 | 05/03/13 | 4,998.89 | 01/16/14 | 4,745.69 | (253.20) |
| | Totals | | | \$15,971.72 | | \$15,186.21 | \$(785.51) |
| FNMA | 1 5/8 10-26-15 | 12,000.000 | 03/22/13 | 12,258.02 | 01/16/14 | 12,268.22 | 10.20 |
| US TSY BOND | 7 7/8 2-15-21 | 6,000.000 | 07/23/13 | 8,339.63 | 01/16/14 | 8,224.66 | (114.97) |
| US TSY NOTE | 3 1/8 5-15-19 | 12,000.000 | 01/28/13 | 13,201.04 | 01/16/14 | 12,842.29 | (358.75) |
| US TSY NOTE | 2.000 2-15-23 | 13,000.000 | 05/23/13 | 13,025.24 | 01/16/14 | 12,222.99 | (802.25) |
| US TSY NOTE | 2 1/2 8-15-23 | 6,000.000 | 09/16/13 | 5,817.89 | 01/16/14 | 5,843.64 | 25.75 |
| | | 7,000.000 | 11/21/13 | 6,844.69 | 01/16/14 | 6,817.59 | (27.10) |
| | Totals | | | \$12,662.58 | | \$12,661.23 | \$(1.35) |
| Short Term Totals: | | | | \$123,169.57 | | \$121,190.43 | \$(1,979.14) |
| Long Term | | | | | | | |
| FNMA | 1 1/4 9-28-16 | 5,000.000 | 11/28/11 | 4,975.75 | 01/16/14 | 5,070.78 | 95.03 |
| FNMA | 7/8 10-26-17 | 12,000.000 | 10/12/12 | 12,036.03 | 01/16/14 | 11,826.22 | (209.81) |
| FHLMC | 1 3/8 2-25-14 | 4,000.000 | 02/10/11 | 3,976.06 | 01/16/14 | 4,005.63 | 29.57 |
| FHLMC MTN | 2 1/2 5-27-16 | 4,000.000 | 06/02/11 | 4,058.47 | 01/16/14 | 4,180.63 | 122.16 |
| | | 7,000.000 | 06/16/11 | 7,120.22 | 01/16/14 | 7,316.10 | 195.88 |
| | Totals | | | \$11,178.69 | | \$11,496.73 | \$318.04 |
| FHLMC MTN | 2 3/8 1-13-22 | 4,000.000 | 01/23/12 | 3,942.84 | 01/16/14 | 3,872.80 | (70.04) |
| FHLMC MTN | 1 3/4 5-30-19 | 6,000.000 | 04/16/12 | 6,021.19 | 01/16/14 | 5,940.55 | (80.64) |
| FNMA | 1 5/8 10-26-15 | 2,000.000 | 02/03/11 | 1,934.84 | 01/16/14 | 2,044.70 | 109.86 |
| | | 1,000.000 | 02/07/11 | 963.72 | 01/16/14 | 1,022.35 | 58.63 |
| | Totals | | | \$2,898.56 | | \$3,067.05 | \$168.49 |

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C/O HOWARD SCHAIN
10 EAST 40TH STREET
NEW YORK NY 10016-0200

Prepared by Peter Christus

Ph.

Acct. 615-123508-302

| Description | Symbol/CUSIP | Quantity | Purchase | Cost Basis | Sold | Net Proceeds | Gain/Loss |
|--|--------------|------------|----------|---------------------|----------|---------------------|-------------------|
| FNMA | 31398AZV7 | 27,000.000 | 02/09/10 | \$27,024.92 | 01/16/14 | \$27,554.63 | \$529.71 |
| US TSY NOTE | 912828EE6 | 18,000.000 | 05/27/10 | 18,561.79 | 01/16/14 | 19,124.93 | 563.14 |
| US TSY NOTE | 912828EW6 | 38,000.000 | 12/29/10 | 39,774.49 | 01/16/14 | 41,217.99 | 1,443.50 |
| US TSY NOTE | 912828JZ4 | 20,000.000 | 10/12/12 | 20,011.52 | 01/16/14 | 20,012.42 | 0.90 |
| US TSY NOTE | 912828KQ2 | 14,000.000 | 03/16/12 | 14,989.55 | 01/16/14 | 14,982.68 | (6.87) |
| US TSY NOTE | 912828MP2 | 7,000.000 | 01/11/13 | 7,949.26 | 01/16/14 | 7,664.97 | (284.29) |
| US TSY NOTE | 912828NZ9 | 5,000.000 | 01/05/11 | 4,826.96 | 01/16/14 | 5,079.87 | 252.91 |
| US TSY NOTE | 912828PC8 | 3,000.000 | 01/12/11 | 2,815.78 | 01/16/14 | 3,075.46 | 259.68 |
| US TSY NOTE | 912828PX2 | 1,000.000 | 05/27/11 | 1,038.54 | 01/16/14 | 1,088.28 | 49.74 |
| US TSY NOTE | 912828PX2 | 2,000.000 | 06/09/11 | 2,086.85 | 01/16/14 | 2,176.55 | 89.70 |
| | Totals | | | \$3,125.39 | | \$3,264.83 | \$139.44 |
| US TSY NOTE | 912828QN3 | 2,000.000 | 11/30/11 | 2,150.71 | 01/16/14 | 2,104.21 | (46.50) |
| US TSY NOTE | 912828RU6 | 3,000.000 | 12/20/11 | 3,001.43 | 01/16/14 | 3,010.30 | 8.87 |
| US TSY NOTE | 912828RU6 | 8,000.000 | 01/09/12 | 8,015.13 | 01/16/14 | 8,027.47 | 12.34 |
| | Totals | | | \$11,016.56 | | \$11,037.77 | \$21.21 |
| US TSY NOTE | 912828SC5 | 7,000.000 | 02/03/12 | 7,020.47 | 01/16/14 | 7,009.27 | (11.20) |
| US TSY NOTE | 912828SC5 | 11,000.000 | 02/29/12 | 11,005.34 | 01/16/14 | 11,014.56 | 9.22 |
| | Totals | | | \$18,025.81 | | \$18,023.83 | \$(1.98) |
| US TSY NOTE | 912828SV3 | 3,000.000 | 06/20/12 | 3,019.95 | 01/16/14 | 2,806.16 | (213.79) |
| Long Term Totals: | | | | \$218,321.81 | | \$221,229.51 | \$2,907.70 |
| 11/01/13 - 08/31/14 Short & Long Term Totals: | | | | \$341,491.38 | | \$342,419.94 | \$928.56 |

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