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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 09-01-2013 , and ending 08-31-2014

Name of foundation
DONALD E NIELSEN FOUNDATION
DONALD E NIELSEN FOUNDATION INC

A Employer identification number
20-2629290

Number and street (or P O box number if mail is not delivered to street address) PO BOX 62 | Room/suite

B Telephone number (see instructions)
(402) 685-5647

City or town, state or province, country, and ZIP or foreign postal code
OAKLAND, NE 68045

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 18,222,734

J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	751,522			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	364,091	364,091	364,091	
	4	Dividends and interest from securities	324,100	304,600	324,100	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	123,725			
	b	Gross sales price for all assets on line 6a 123,725				
	7	Capital gain net income (from Part IV, line 2)		123,725		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	1,563,438	792,416	688,191	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	46,500			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	3,483	3,483		
	c	Other professional fees (attach schedule)	81,503	81,503		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	1,372	1,372		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	132,858	86,358		0
	25	Contributions, gifts, grants paid	702,860			702,860
	26	**Total expenses and disbursements.** Add lines 24 and 25	835,718	86,358		702,860
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	727,720			
	b	**Net investment income** (if negative, enter -0-)		706,058		
	c	**Adjusted net income** (if negative, enter -0-)			688,191	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	1,535,073	961,666	961,666
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ 416,992			
		Less allowance for doubtful accounts ▶	869,899	416,992	416,992
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	11,583,969	13,497,231	16,844,076
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	13,988,941	14,875,889	18,222,734
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	13,988,941	14,875,889	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	13,988,941	14,875,889	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	13,988,941	14,875,889	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,988,941
2	Enter amount from Part I, line 27a	2	727,720
3	Other increases not included in line 2 (itemize) ▶	3	159,228
4	Add lines 1, 2, and 3	4	14,875,889
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	14,875,889

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	123,725
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	558,674	14,435,420	0 038702
2011	306,765	12,807,860	0 023951
2010	486,996	11,600,343	0 041981
2009	500	5,603,066	0 000089
2008	117,880	739,517	0 159401

2	**Total** of line 1, column (d)	2	0 264124
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 052825
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	17,126,744
5	Multiply line 4 by line 3	5	904,720
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	7,061
7	Add lines 5 and 6	7	911,781
8	Enter qualifying distributions from Part XII, line 4	8	702,860

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	14,121
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	14,121
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	14,121
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	18,000		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	18,000
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	3,879
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 3,879 **Refunded** ▶			11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ________			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶CLARENCE MOCK Telephone no ▶(402) 685-5647

Located at ▶307 N OAKLAND AVENUE OKLAND NE ZIP+4 ▶68045

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . . ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year . . . . . . ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

		Line	Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*.	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
CLARENCE MOCK 307 N OAKLAND AVENUE OAKLAND, NE 68045	DIRECTOR/PRE 5 00	31,500	0	0
SARA A NEILSON 803 E WILLOW STREET WEST POINT, NE 68788	DIRECTOR/VP 1 00	5,000	0	0
HAROLD BALDWIN 104 CIRCLE DRIVE OAKLAND, NE 68045	DIRECTOR/SEC 3 00	10,000	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	15,705,059
b	Average of monthly cash balances	1b	1,265,506
c	Fair market value of all other assets (see instructions)	1c	416,992
d	**Total** (add lines 1a, b, and c)	1d	17,387,557
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	17,387,557
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	260,813
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	17,126,744
6	**Minimum investment return.** Enter 5% of line 5	6	856,337

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	856,337
2a	Tax on investment income for 2013 from Part VI, line 5	2a	14,121		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	14,121
3	Distributable amount before adjustments Subtract line 2c from line 1			3	842,216
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	842,216
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	842,216

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	702,860
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	702,860
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	702,860

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				842,216
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			702,648	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 702,860				
a Applied to 2012, but not more than line 2a			702,648	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				212
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				842,004
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

CLARENCE MOCK
307 N OAKLAND AVE
OAKLAND,NE 68045
(402) 372-5166

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Additional Data Table				
Total . . . . ▶ **3a**				702,860
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	364,091	
4 Dividends and interest from securities. . . .			14	304,600	19,500
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			14	123,725	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				792,416	19,500

13 Total. Add line 12, columns (b), (d), and (e). **13** 811,916

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2015-01-09	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
MICHAEL SEELHOFF		2015-01-13		P00206450
Firm's name ▶ BLAND & ASSOCIATES PC			Firm's EIN ▶ 47-0698853	
Firm's address ▶ 450 REGENCY PKWY STE 120 OMAHA, NE 68114			Phone no (402) 397-8822	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WEST POINT COMMUNITY ARTS COUNCIL PO BOX 65 127 E WALNUT WEST POINT, NE 68788	N/A	NON-PROFIT	GENERAL SUPPORT	5,000
RELAY FOR LIFE PO BOX 22718 OKLAHOMA CITY, OK 73123	N/A	NON-PROFIT	GENERAL SUPPORT	500
CENTER FOR RURAL AFFAIRS PO BOX 136 LYONS, NE 68038	N/A	NON-PROFIT	GENERAL SUPPORT	5,000
NEBRASKA COMMUNITY FOUNDATION 3833 S 14TH LINCOLN, NE 68502	N/A	NON-PROFIT	GENERAL SUPPORT	50,000
WEST POINT POLICE DEPARTMENT 444 S MAIN ST WEST POINT, NE 68788	N/A	NON-PROFIT	GENERAL SUPPORT	8,860
WEST POINT COMMUNITY FOUNDATION PO BOX 65 WEST POINT, NE 68788	N/A	NON-PROFIT	GENERAL SUPPORT	50,000
BLAIR PUBLIC LIBRARY FOUNDATION 210 S 17TH ST BLAIR, NE 68008	N/A	NON-PROFIT	GENERAL SUPPORT	300,000
CUMING COUNTY ECONOMIC BOARD 200 S LINCOLN ST WEST POINT, NE 68788	N/A	NON-PROFIT	GENERAL SUPPORT	50,000
TEKAMAH COMMUNITY FOUNDATION PO BOX 143 TEKAMAH, NE 68061	N/A	NON-PROFIT	GENERAL SUPPORT	166,000
LOGAN VIEW PUBLIC SCHOOLS 2163 COUNTY ROAD G HOOPER, NE 68031	N/A	NON-PROFIT	GENERAL SUPPORT	15,000
BANCROFT-ROSALIE COMMUNITY SCHOOL 708 MAIN ST BANCROFT, NE 68004	N/A	NON-PROFIT	GENERAL SUPPORT	12,500
VILLAGE OF CRAIG 289 S MAIN ST CRAIG, NE 68019	N/A	NON-PROFIT	GENERAL SUPPORT	40,000
Total . . . . ▶ **3a**				702,860

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at** ***www.irs.gov/form990***.

OMB No 1545-0047

2013

Name of the organization
DONALD E NIELSEN FOUNDATION
DONALD E NIELSEN FOUNDATION INC

Employer identification number
20-2629290

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
DONALD E NIELSEN FOUNDATION DONALD E NIELSEN FOUNDATION INC	20-2629290

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DONALD E NIELSEN REVOCABLE TRUST 307 NORTH OAKLAND AVENUE OAKLAND, NE 68045	$ 751,522	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
DONALD E NIELSEN FOUNDATION DONALD E NIELSEN FOUNDATION INC	20-2629290

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
DONALD E NIELSEN FOUNDATION
DONALD E NIELSEN FOUNDATION INC

Employer identification number
20-2629290

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc ,
contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

TY 2013 Accounting Fees Schedule

Name: DONALD E NIELSEN FOUNDATION

DONALD E NIELSEN FOUNDATION INC

EIN: 20-2629290

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT ACCOUNTING FEES	3,483	3,483		

TY 2013 Compensation Explanation

Name: DONALD E NIELSEN FOUNDATION
DONALD E NIELSEN FOUNDATION INC

EIN: 20-2629290

Person Name	Explanation
CLARENCE MOCK	
SARA A NEILSON	
HAROLD BALDWIN	

TY 2013 Investments Corporate Stock Schedule

Name: DONALD E NIELSEN FOUNDATION

DONALD E NIELSEN FOUNDATION INC

EIN: 20-2629290

Name of Stock	End of Year Book Value	End of Year Fair Market Value
	13,497,231	16,844,076

TY 2013 Other Expenses Schedule

Name: DONALD E NIELSEN FOUNDATION

DONALD E NIELSEN FOUNDATION INC

EIN: 20-2629290

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INSURANCE	1,307	1,307		
ADVERTISING	50	50		
BANK CHARGES	15	15		

TY 2013 Other Increases Schedule

Name: DONALD E NIELSEN FOUNDATION
DONALD E NIELSEN FOUNDATION INC

EIN: 20-2629290

Description	Amount
UNREALIZED GAIN	159,228

TY 2013 Other Notes/Loans Receivable Short Schedule

Name: DONALD E NIELSEN FOUNDATION

DONALD E NIELSEN FOUNDATION INC

EIN: 20-2629290

Name of 501(c)(3) Organization	Balance Due
NATIONWIDE NOTE	
PRINZ GRAIN NOTE	188,083
STARLIGHT LEASING LLC NOTE	193,332
THE STUDIO NOTE	35,577

TY 2013 Other Professional Fees Schedule

Name: DONALD E NIELSEN FOUNDATION
DONALD E NIELSEN FOUNDATION INC

EIN: 20-2629290

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT OTHER PROFESSIONAL FEES	81,503	81,503		

Realized Gains and Losses

YOUR FINANCIAL ADVISOR D. FELTZ / K. O'MARA/T FELTZ
Donald E. Nielsen Foundation, Inc. - SWM
Prepared By Rhonda Paulsen
Prepared on: September 30, 2013

Account 72384787

(Donald E Nielsen Foundation Inc - SWM) SWM - Non-Retirement

Name/Address: DONALD E NIELSEN FOUNDATION,
NON-PROFIT ORGANIZATION
P O BOX 62
OAKLAND NE 68045

As of Date September 27, 2013
From September 1, 2012 To August 31, 2013

Description		Quantity	Date Acquired	Date Sold	Sold Value	Purchase Cost	Adjusted Cost	Gain or Loss		Cov.	Avg Cst
BIBDX	**BLACKROCK GLOBAL**										
		27 654 867	12/15/2010	01/11/2013	292 311 93	250 004 50	250,004 50	42,307 43	LG	No	N
		9 709	12/09/2011	01/11/2013	102 63	91 26	91 26	11 37	LG	No	N
		3 678	12/09/2011	01/11/2013	38 88	34 57	34 57	4 31	LG	No	N
BP	**BP PLC**										
		2 200 000	05/11/2009	09/17/2012	96 790 33	85 850 65	85,850 65	10,939 68	LG	No	
DEM	**WISDOMTREE EMERGING**										
		1,830 000	11/08/2011	05/29/2013	99,927 32	97,365 81	97,365 81	2,561 51	LG	Yes	N
		970 000	11/08/2011	06/06/2013	50 840 54	51 609 19	51,609 19	(768 65)	LL	Yes	N
		230 000	02/16/2012	06/06/2013	12,054 98	13 172 97	13,172 97	(1 117 99)	LL	Yes	N
		1,370 000	02/16/2012	06/24/2013	63 879 34	78 465 04	78,465 04	(14 585 70)	LL	Yes	N
EQL	**ALPS EQUAL SECTOR**										
		97 000	02/16/2012	01/28/2013	4 095 50	3 708 25	3,708 25	387 25	SG	Yes	N
		1,603 000	02/16/2012	01/29/2013	67 797 88	61 281 69	61,281 69	6,516 19	SG	Yes	N
JNK	**SPDR SERIES TRUST**										
		3,660 000	11/10/2011	11/13/2012	145 879 97	139 541 64	139,541 64	6,338 33	LG	Yes	N
		1,100 000	01/24/2012	11/13/2012	43 843 70	43 123 08	43,123 08	720 62	SG	Yes	N
		500 000	01/24/2012	11/13/2012	19 928 96	19 602 25	19,602 25	326 71	SG	Yes	N
		400 000	01/24/2012	11/13/2012	15 943 16	15 681 76	15,681 76	261 40	SG	Yes	N

(Donald E Nielsen Foundation Inc - SWM) SWM - Non-Retirement

As of Date September 27, 2013
From September 1, 2012 To August 31, 2013

Description		Quantity	Date Acquired	Date Sold	Sold Value	Purchase Cost	Adjusted Cost	Gain or Loss		Cov.	Avg Cst
		640 000	02/16/2012	11/13/2012	25 509 08	25 229 61	25,229 61	279 47	SG	Yes	N
MALOX	**BLACKROCK GLOBAL**										
		8,152 174	01/19/2010	09/14/2012	162 472 82	150,004 50	150,004 50	12,468 32	LG	No	N
		3,601 108	03/05/2010	09/14/2012	71 770 08	65 004 50	65,004 50	6,765 58	LG	No	N
		790 622	06/03/2010	09/14/2012	15 757 10	13,821 12	13,821 12	1,935 98	LG	No	N
MBB	**ISHARES TRUST**										
		1,300 000	02/16/2012	11/29/2012	140,847 73	140,780 79	140,780 79	66 94	SG	Yes	N
		200 000	02/16/2012	11/29/2012	21 668 87	21 658 58	21,658 58	10 29	SG	Yes	N
		800 000	02/16/2012	11/29/2012	86 675 49	86 631 05	86,631 05	44 44	SG	Yes	N
MEDIX	**MFS EMERGING**										
		3,348 962	09/10/2010	06/24/2013	47 949 28	50 004 50	50,004 50	(2 055 22)	LL	No	N
		3,340 013	09/20/2010	06/24/2013	47 821 15	50 004 50	50,004 50	(2 183 35)	LL	No	N
		3,313 453	09/27/2010	06/24/2013	47 440 88	50 004 50	50,004 50	(2 563 62)	LL	No	N
		1,297 572	12/14/2010	06/24/2013	18,578 19	18,841 17	18,841 17	(262 98)	LL	No	N
PALPX	**PIMCO ALL ASSET**										
		18,787 361	03/05/2010	11/07/2012	238 787 35	220 012 41	220,012 41	18,774 94	LG	No	N
		25,380 711	06/03/2010	11/07/2012	322,588 84	300,026 50	300,026 50	22,562 34	LG	No	N
		7,898 894	04/27/2011	11/07/2012	100 394 94	100 004 50	100,004 50	390 44	LG	No	N
		5,310 458	08/29/2011	11/07/2012	67,495 92	65,004 50	65,004 50	2,491 42	LG	No	N
		5,897 220	01/24/2012	11/07/2012	74 953 68	70 000 00	70,000 00	4,953 68	SG	Yes	N
PRPFX	**PERMANENT PORTFOLIO**										
		1,204 239	09/10/2010	01/11/2013	59,079 95	50,026 50	50,026 50	9,053 45	LG	No	N
		1,180 359	09/20/2010	01/11/2013	57 908 40	50 026 50	50,026 50	7,881 90	LG	No	N
		163 303	09/27/2010	01/11/2013	8,011 65	6,973 47	6,973 47	1,038 18	LG	No	N
		1 008 206	09/27/2010	04/15/2013	47 103 38	43 053 03	43,053 03	4 050 35	LG	No	N
		1,145 475	10/22/2010	04/15/2013	53,516 59	50,026 50	50,026 50	3,490 09	LG	No	N
		30 605	12/10/2010	04/15/2013	1 429 86	1 363 46	1,363 46	66 40	LG	No	N
		3 166	12/10/2010	04/15/2013	147 91	141 05	141 05	6 86	LG	No	N
		3,337 784	12/14/2010	04/15/2013	155,941 27	150,026 50	150,026 50	5,914 77	LG	No	N

(Donald E Nielsen Foundation Inc - SWM) SWM - Non-Retirement

As of Date September 27, 2013
From September 1, 2012 To August 31, 2013

Description		Quantity	Date Acquired	Date Sold	Sold Value	Purchase Cost	Adjusted Cost	Gain or Loss		Cov.	Avg Cst
		4,989 817	04/27/2011	04/15/2013	233 124 26	245 026 50	245,026 50	(11 902 24)	LL	No	N
		82 347	12/09/2011	04/15/2013	3,847 25	3,918 89	3,918 89	(71 64)	LL	No	N
		1,042 101	01/24/2012	04/15/2013	48,686 96	50,000 00	50,000 00	(1,313 04)	LL	Yes	N
		1,014 405	02/08/2012	04/15/2013	47 393 01	50 000 00	50,000 00	(2 606 99)	LL	Yes	N
		113 141	12/07/2012	04/15/2013	5 285 95	5,472 65	5,472 65	(186 70)	SL	Yes	N
STISX	**FEDERATED STRATEGIC**										
		11,135 857	01/19/2010	07/11/2013	99,973 50	97,678 09	97,678 09	2,295 41	LG	No	N
				Portfolio Totals	**3,225,596.46**	**3,090,298.53**	**3,090,298 53**	**135,297 93**			

Average Cost Legend:
N - Non Averaged Cost
O - Post Effective

This report has been prepared by your financial advisor for informational purposes only and does not replace the official 1099s and statements you should receive directly from LPL or the investment sponsors The summary has been prepared from data believed to be reliable but no representation is being made as to its accuracy or completeness, and may require additional adjustments to calculate your actual gains/losses The information contained in this report is estimated and should not be relied upon for tax reporting purposes The source of all price information for tracked positions is one or more third party vendors and may include the use of substitute prices where prices are not readily available Substitute prices may be based on trades up to 30 days prior to the date of the missing price dates Past performance is no guarantee of future results For assets not purchased in the LPL account, you or the previous broker/dealer upon transfer may have provided the Date Acquired and Purchase Cost of the position Since the Date Acquired and the Purchase Cost on certain securities may have been provided by another source this information may not reflect accurate data or correspond to data on your trade confirmations If no such data was submitted, N/A is listed as the Purchase Cost N/A displays when investment information is incomplete and no gain or loss totals will be calculated For any assets purchased within the account, the Purchase Cost is the actual purchase price including transaction charges and corporate actions Transactions are automatically paired against holdings on a "First-In/First-Out" basis (unless manually adjusted or an alternate lot relief method was selected) Designating liquidations as "versus payment" date on a trade confirmation will not automatically adjust the cost basis information on your statement If this report includes outside accounts, unofficial accounts, or groups of accounts, detailed descriptions of terminology and calculations used in this report can be obtained by requesting a copy of the Portfolio Manager Report Disclosures from your financial advisor