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Form 990-PF

Department of the Treasury

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.
Irmation about Form 990-PF and its separate instructions is at years its social security.

OMB No 1545-0052

In	emai F	revenue Service Information about Form	11 330-PF					v/ionnagopi. e	en to rabile inspection		
		ilendar year 2013 or tax year beginning		0	9/01 ,2013	, and endi		·······	08/31, 2014		
		of foundation					1	A Employer identifi	cation number		
_	MUL	E FAMILY FOUNDATION						13-380123	4		
Number and street (or P O box number if mail is not delivered to street address) Room/suite							e I	B Telephone number (see instructions)			
C/O BCRS ASSOCIATES, LLC								(21	2) 440-0800		
	77	WATER STREET- 9TH FLOOR					ļ				
	City o	r town, state or province, country, and ZIP or foreign po	stal code								
								C If exemption applica pending, check here	tion is		
	NEW	YORK, NY 10005						pending, check here			
G	Che	eck all that apply: Initial return		Initial return	of a former p	ublic char	ity	D 1 Foreign organizat	ions check hara		
		Final return		Amended re	•		´ '	2. Foreign organizat			
		Address change		Name chang			- 1	85% test, check h	ere and attach		
н	Che	eck type of organization: X Section 501	(c)(3) ex				\neg	computation .			
Ì		Section 4947(a)(1) nonexempt charitable trust		her taxable pr		tion	'	E If private foundation			
Ť				nethod X C		rual		under section 507(b)			
			ther (sp		uon		'		in a 60-month termination (1)(B), check here		
				(d) must be or	n cash basis)			5.155. 555.6x 557(5)	(1)(2)(1)(1)(1)		
	_	Analysis of Revenue and Expenses (The			1 04011 04010 7				(d) Disbursements		
-	- 1	total of amounts in columns (b), (c), and (d)	(47)	Revenue and Denses per	(b) Net inve		(c)	Adjusted net	for charitable		
		may not necessarily equal the amounts in column (a) (see instructions))		books	incom	ne		income N/A	purposes (cash basis only)		
	4			NONE					(casii basis only)		
	1 2	Contributions, gifts, grants, etc., received (attach schedule). Check from dation is not required to attach Sch. R.	 								
	1	attach Son B		1,499		1,499.			ATCH 1		
	3	Interest on savings and temporary cash investments	}	89,788		9,788.			ATCH 2		
	4	Dividends and interest from securities		03,700	<u> </u>	3,,001					
		Gross rents									
	1	Net rental income or (loss)									
Revenue	ь b	Net gain or (loss) from sale of assets not on line 10 Gross sales price for all									
ě	_	assets on line 6a									
æ	7	Capital gain net income (from Part IV-line 2)									
	8	Net short-term capital gar VED !									
	9 10 a	Income modifications Gross sales less returns (1)	ļ								
		and allowarices									
											
	1	Gross profit or (loss) (attach schedule)									
	11	Other income (attach schedule)		91,287.		1,287.					
-	12	Total, Add lines through 11		01,20		1/2011					
	13	Compensation of officers, directors, trustees, etc									
Š	14	Other employee salaries and wages									
ens	15	Pension plans, employee benefits									
Operating and Administrative Expenses	16 a	Legal fees (attach schedule)		9,500.		4,750.			4,750		
ē	ь	, , , , , , , , , , , , , , , , , , , ,		9,300.		-,,,,,,,,			4,730		
⋛	C	Other professional fees (attach schedule)	 	 .							
šţ	17	Interest		11 100							
Ë	18	Taxes (attach schedule) (see instructions) ATCH 4		11,108.				- · · · -			
Ę	19	Depreciation (attach schedule) and depletion.							·· ···································		
ĕ	20	Occupancy									
Ē	21	Travel, conferences, and meetings									
6	22	Printing and publications									
틝	23	Other expenses (attach schedule) ATCH . 5 .		890.					890		
ē	24	Total operating and administrative expenses.		01 400		4 750			F C40		
8		Add lines 13 through 23		21,498.	ļ	4,750.			5,640		
	25	Contributions, gifts, grants paid		333,550.		4 555			333,550		
4	26	Total expenses and disbursements. Add lines 24 and 25		355,048.		4,750.		<u> </u>	339,190		
- }	27	Subtract line 26 from line 12				1		ļ			
		Excess of revenue over expenses and disbursements $\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \$		-263,761.							
	b	Net investment income (if negative, enter -0-)			8	6,537.					
ı	c	Adjusted net income (if negative enter -0-)						a			

JSA For Paperwork Reduction Act Notice, see instructions. $3E^{1410\ 1\ 000}\ 32430E\ 526W$

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Part II	Ralance Sheets	Attached schedules and amounts in the description column should be for end-of-year	Beginning of year	End of	year
	-	amounts only (See instructions)	(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash - non-interest-beari	ing	31,401.	79,931.	79,931
2		cash investments	2,492,833.	2,180,542.	2,180,542
3					
ł	Less. allowance for dou	btful accounts ▶			
4					
İ	Less allowance for dou	btful accounts ▶			
5					
6		officers, directors, trustees, and other			
		tach schedule) (see instructions)			
7		eceivable (attach schedule)			
		btful accounts			
8 <u>ت</u>		se			
Assets	Prenaid expenses and d	eferred charges			
¥ 10 a	Investments . I IS and state	e government obligations (attach schedule)			
Ь	Investments - corporate	stock (attach schedule) ATCH 6	3,785,572.	3,785,572.	7,016,302
		bonds (attach schedule)			-
11	Investments - land, buildings	ation			
12	(attach schedule)	loans			
13	Investments - mongage	ach schedule)			
14	Land, buildings, and	>		<u> </u>	
ļ	equipment basis Less accumulated deprecia	ation -			
15	(attach schedule) Other assets (describe	ATCH 7	4,353,453.	4,353,453.	9,679,221
16	Total assets (to be	completed by all filers - see the	· · ·		
		age 1, item I)	10,663,259.	10,399,498.	18,955,996
17		ccrued expenses			
18					
عَ اعْدَ					
20 21		ors, trustees, and other disqualified persons			
19 20 21 22 22		etes payable (attach schedule)			
22	Other habilities (describe	• ▶)			
23	Total liabilities (add line	un 17 through 22)	0	d	
- 23		es 17 through 22)			
Ses	and complete lines :	low SFAS 117, check here . ▶ 24 through 26 and lines 30 and 31.			
Balances 25					
E 25			+		
75 ²⁵					
Net Assets or Fun 30 31		not follow SFAS 117, ▶ X plete lines 27 through 31.			
ο ω 27		cipal, or current funds	10,663,259.	10,399,498.	
28		land, bldg, and equipment fund			
S 29		ulated income, endowment, or other funds	-		
ছ 30		balances (see instructions)	10,663,259.	10,399,498.	
Ž 31		net assets/fund balances (see			
			10,663,259.	10,399,498.	
Part II		anges in Net Assets or Fund E			
		palances at beginning of year - Part I		ust agree with	·
		ed on prior year's return).			10,663,259
2 Ente	er amount from Part I	line 27a		2	-263,761
3 Othe	er increases not include				 _
					10,399,498
	reases not included in	lina () (itamina) N		· · · · · · · · · 	
		alances at end of year (line 4 minus	line 5) - Part II, column (b)		10,399,498
		The state of the s	2,		Form 990-PF (2013)

MULE FAMILY FOUNDATION 13-3801234 Form 990-PF (2013) Page 3 Capital Gains and Losses for Tax on Investment Income Part IV (c) Date acquired (mo., day, yr) (a) List and describe the kind(s) of property sold (e.g., real estate, (d) Date sold (mo , day, yr) àcquired P - Purchase 2-story brick warehouse, or common stock, 200 shs MLC Co) 1a b d е (g) Cost or other basis (f) Depreciation allowed (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) b d Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Gains (Col, (h) gain minus col (k), but not less than -0-) or (j) Adjusted basis (k) Excess of col. (i) (i) F M V as of 12/31/69 Losses (from col (h)) as of 12/31/69 over col (j), if any а b C d е If gain, also enter in Part I, line 7 2 Capital gain net income or (net capital loss) NONE 2 If (loss), enter -0- in Part I, line 7 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income Part V (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) If section 4940(d)(2) applies, leave this part blank.

Yes X No Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part. Enter the appropriate amount in each column for each year; see the instructions before making any entries

- 1	Enter the appropriate amo	iunt in each column for each year	; see the instructions before making	g any entri	es	
_	(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of nonchantable-use assets		(d) Distribution ratio (col (b) divided by col (c)) 0.031209	
	2012	2012 431,735. 13,833,471.				
	2011	216,980.	4,450,669.		0.048752	
_	2010	474,732.	3,986,278.		0.119092	
_	2009	212,375.	3,120,043.		0.068068	
_	2008	365,449.	2,675,089.		0.136612	
2	Total of line 1, column (d)			2	0.403733	
3	Average distribution ratio f	or the 5-year base period - divide	the total on line 2 by 5, or by the than 5 years	3	0.080747	
4	Enter the net value of none	m Part X, line 5	4	18,138,425.		
5	Multiply line 4 by line 3		· · · · · · · · · · · · · · · · · · ·	5	1,464,623.	
6	Enter 1% of net investmen		6	865.		
7	Add lines 5 and 6			7	1,465,488.	
8	Enter qualifying distribution	ns from Part XII, line 4		8	339,190.	
	If line 8 is equal to or gre Part VI instructions.	ater than line 7, check the box i	n Part VI, line 1b, and complete t	hat part u	using a 1% tax rate. See the	

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	Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see	nstru	ction	<u>s) </u>
1 a	Exempt operating foundations described in section 4940(d)(2), check here			
	Date of ruling or determination letter (attach copy of letter if necessary - see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check		1,	731.
	here and enter 1% of Part I, line 27b			
C	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) 2			
3	Add lines 1 and 2		1,	731.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) 4			0
5	Tax based on Investment Income. Subtract line 4 from line 3. If zero or less, enter -0		1,	731.
6	Credits/Payments			
а	2013 estimated tax payments and 2012 overpayment credited to 2013 6a 2,500.			
b	Exempt foreign organizations - tax withheld at source			
C	Tax paid with application for extension of time to file (Form 8868) 6c			
d	Backup withholding erroneously withheld			~ ^ ^
7	Total credits and payments Add lines 6a through 6d		2,:	500.
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 8		_	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			769.
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ▶ 769. Refunded ▶ 11			
	t VII-A Statements Regarding Activities			T
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate		Yes	No X
	or intervene in any political campaign?	1a		 ^
þ	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the	l i		х
	definition)?	1b		<u> </u>
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or			
	distributed by the foundation in connection with the activities	_		x
	Did the foundation file Form 1120-POL for this year?	1c		<u> </u>
	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation (2) On foundation managers (3) 0			
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers > \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X_
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation,			
	or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4 a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
ь	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		N/A
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
	If "Yes," attach the statement required by General Instruction T			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	By language in the governing instrument, or			İ
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict			
	with the state law remain in the governing instrument?	6	<u> </u>	ļ
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	ļ <u> </u>
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)			İ
	of each state as required by General Instruction G? If "No," attach explanation.	8b	<u>X</u>	<u> </u>
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or			
	4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete			
	Part XIV	9_		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and			l
	addresses	10		<u> x</u>
		Form 99	0-PF	(2013)

charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | X
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4a

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4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its

Х

Total number of other employees paid over \$50,000

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MULE FAMILY FOUNDATION

orm 990-PF	MULE FAMILY FOUNDATION 2013)	13-	3801234 Page
Part VIII	Information About Officers, Directors, Trustees, Foundation Ma and Contractors (continued)	anagers, Highly Paid Empl	
3 Five	nighest-paid independent contractors for professional services (see ins	structions). If none, enter "NOI	IE."
	(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ONE			
		NONE	NONE
otal numb	er of others receiving over \$50,000 for professional services		
Part IX-A	Summary of Direct Charitable Activities		
	idation's four largest direct charitable activities during the tax year. Include relevant statistics and other beneficiaries served, conferences convened, research papers produced, etc.	al information such as the number of	Expenses
1 PLEA	SE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DI	RECT	
CHAR	ITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUP	PORT,	
BYC	ONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER IN	TERNAL	NON
2 REVE	NUE CODE SECTION 501(C)(3).		
3		·	
	•••		
4			
Part IX-B	Summary of Program-Related Investments (see instructions)		<u> </u>
	two largest program-related investments made by the foundation during the tax year on lines 1	and 2	Amount
1 N/A			
			NON
2 N/A			
All sales see	and the state of t		
	gram-related investments. See instructions		
3 N/A			
otal Add	lines 1 through 3		

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Form 990-PF (2013) Page 8 Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, Part X see instructions.) Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., 6,774,635. b Average of monthly cash balances
 c Fair market value of all other assets (see instructions) 2,442,960. 1b 9,197,050. d Total (add lines 1a, b, and c) 18,414,645. e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e Acquisition indebtedness applicable to line 1 assets 2 Subtract line 2 from line 1d 18,414,645. 3 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)_____ 276,220. Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4. 18,138,425. 5 906,921. Minimum Investment return. Enter 5% of line 5 Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations Part XI and certain foreign organizations check here ▶ and do not complete this part) 906,921. Minimum investment return from Part X, line 6 2a Tax on investment income for 2013 from Part VI, line 5 _____ 2a Income tax for 2013. (This does not include the tax from Part VI) 2b 1,731. 905,190. Distributable amount before adjustments. Subtract line 2c from line 1 Add lines 3 and 4 905,190. Deduction from distributable amount (see instructions) 6 Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, 905,190. Part XII Qualifying Distributions (see instructions) Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 339,190. Program-related investments - total from Part IX-B 1b b Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., 2 Amounts set aside for specific charitable projects that satisfy the: 3 Suitability test (prior IRS approval required) 3a Cash distribution test (attach the required schedule) 3b b 339,190. Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) 5 Adjusted qualifying distributions. Subtract line 5 from line 4 6 Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation

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qualifies for the section 4940(e) reduction of tax in those years.

MULE FAMILY FOUNDATION

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Pa	rt XIII Undistributed Income (see instruc	ctions)			
1	Distributable amount for 2013 from Part XI,	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
	line 7				905,190.
2	Undistributed income, if any, as of the end of 2013				
	Enter amount for 2012 only			NONE	
b	Total for prior years 20,20,20		NONE		
3	Excess distributions carryover, if any, to 2013				
â	From 2008 203, 930. From 2009 56, 373.	ľ			
b	From 2009				
¢	110111 2010				
4	From 2011 3, 700 -				
6	From 2012 NONE	546 465			
	Total of lines 3a through e	546,465.			
4	Qualifying distributions for 2013 from Part XII, line 4. \$ 339,190.				
a	Applied to 2012, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required - see instructions)				-
c	Treated as distributions out of corpus (Election required - see instructions)				
d	Applied to 2013 distributable amount				339,190.
0	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	546,465.			546,465.
6	Enter the net total of each column as indicated below:				
	Corpus Add lines 3f, 4c, and 4e Subtract line 5			<u>-</u>	
Ь	Prior years' undistributed income Subtract line 4b from line 2b				
C	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount - see instructions				
9	Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see			NONE	
_	instructions				
•	Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				19,535.
7	Amounts treated as distributions out of corpus				
	to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9	Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			·
10	Analysis of line 9				
	Excess from 2009 NONE	j			
b	Excess from 2010	1			
C	Excess from 2011				
d					
_е	Excess from 2013				

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets Part XV at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

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2a Enter the lesser of the ad-

justed net income from Part

I or the minimum investment return from Part X for each year listed b 85% of line 2a C Qualifying distributions from Par XII. line 4 for each year listed ... d Amounts included in line 2c not used directly for active conduct of exempt activities e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test enter (1) Value of all assets (2) Value of assets qualifying under section 4942(j)(3)(B)(i). . b "Endowment" alternative testenter 2/3 of minimum investment return shown in Part X. line 6 for each year listed . . . C "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)). or royalties). (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) (3) Largest amount of support from an exempt organization. (4) Gross investment income

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ X if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other

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Part XV Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment Recipient If recipient is an individual, show any relationship to any foundation manager or substantial contributor Name and address (home or business) Purpose of grant or contribution Amount Amount								
3 Grants and Contributions Paid Dur								
Recipient	show any relationship to	Foundation status of	Purpose of grant or contribution	Amount				
Name and address (home or business)	or substantial contributor	recipient	Contribution					
a Paid during the year SEE LIST ATTACHED	any foundation manager or substantial contributor	recipient	contribution	333,550.				
Total			▶ 3a	333,550.				
b Approved for future payment NONE								
Total			▶ 3b	0				

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Form 990-PF		inima Anti-	alal a a			Page 12
Part XVI			vities ated business income	Evaluded by	y section 512, 513, or 514	(e)
-	amounts unless otherwise indicated.	(a) Business code	(b) Amount	(c)	(d)	Related or exempt function income (See instructions)
a	I Service revenue					(See instructions)
d						
e						
f						
_	and contracts from government agencies	<u></u>		. .		
	ship dues and assessments			14	1,499.	
	on savings and temporary cash investments ds and interest from securities			14	89,788.	
	tal income or (loss) from real estate					
	-financed property					
	debt-financed property					
	al income or (loss) from personal property					
	vestment income			_		
	loss) from sales of assets other than inventory			<u> </u>		 -
	ome or (loss) from special events			 		
	rofit or (loss) from sales of inventory					
_	evenue a	-				
						
e						
	Add columns (b), (d), and (e)				91,287.	
	dd line 12, columns (b), (d), and (e)				13	91,287.
(See worksl	heet in line 13 instructions to verify calc	ulations)				
Part XVI-	B Relationship of Activities	s to the Ac	complishment of E	xempt Purp	oses	
Line No.	Explain below how each activit	ty for which	n income is reported	in column (e	e) of Part XVI-A contribu	ited importantly to the
\blacksquare	accomplishment of the foundation	on's exemp	t purposes (other than	by providing	funds for such purposes	s). (See instructions.)
N/A			···			
14//	-					
_						
						
						
	I .					
				·	<u> </u>	

Par	t.XVII	Information R Exempt Organ		nsfers To	and Transact	ions aı	nd Relation	ships W	ith None	chari	table	•
1	ın sect	organization direction 501(c) of the Co									Yes	No
а	_	ers from the reporting	ng foundation to a	a noncharitat	ole exempt organ	nization o	of					
		sh	-		. •					1a(1)		Х_
		er assets								1a(2)		X
		ransactions										
	(1) Sal	es of assets to a no	ncharitable exem	pt organization	on					1b(1)		X
	(2) Pur	chases of assets fro	om a noncharitab	le exempt or	ganization					1b(2)		X
	(3) Rer	ntal of facilities, equ	ipment, or other a	issets						1b(3)		X
	(4) Rei	mbursement arrang	ements							1b(4)		X
	(5) Loa	ins or loan guarantee	es							1b(5)		Х
	(6) Per	formance of service	s or membership	o or fundraisi	ing solicitations .					1b(6)		X
		g of facilities, equipr								1c		X
d		answer to any of th										
		of the goods, other										
		n any transaction of										
(a) Li	ne no	(b) Amount involved N/A	(c) Name of no	nchantable exen	npt organization	(d) De	escription of trans	fers, transactio	ns, and shar	ng arra	ngeme	nts
		N/A				IV/A			w.			
	+											
								·				
					······································	 						
			-			 	····					
												
						-		-				
	describ	foundation directly ed in section 501(c) " complete the follo	of the Code (oth							Y	es X	
	11 100,	(a) Name of organization		(b) Tv	pe of organization			(c) Description	n of relations	ann		
N/2	A	· · · · · · · · · · · · · · · · · · ·			F			1-7			-	
		••••										
		•		.		j						
	Under	penalties of penjury, I decla	re that I have examine	d this return, incl	uding accompanying so	chedules an	d statements, and	to the best of	my knowledg	e and b	elief, it	is true,
Sign		and complete Declaration of	preparer (other than tax	payer) is based on a	_	preparer has	s any knowledge	_				_
-		7 aly994 d	K V//W	(L)	3.19.15	T	RUSTEE		May the IRS			return below
Here	Sign	ature of officer or trustee		Date	_	Title			see instruction	· [v	Yes	No
								Ľ			-	
	,	Print/Type preparer's na	me	Preparer's sig	gnature		Date ,	Check	k If F	אודי		
Paid		JOHN D COOK			\sim		3/10/19	•		P014	5018	2
Prep	oarer	Firm's name ► BC	RS ASSOCIATE	ES, LLC	1	·	· · · · ·	Firm's EIN	▶ 13-40	7814	17	
Use	Only		WATER STREE	ET, 9TH F	LOOR							
	•	NE	W YORK, NY			10	0005	Phone no	212-44	10-08	800	
								•	For	m 990)-PF	(2013)

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

ADJUSTED NET INCOME		
NET INVESTMENT INCOME	1,499.	1,499.
REVENUE AND EXPENSES PER BOOKS	1,499.	1,499.
		TOTAL
DESCRIPTION	INTEREST ON BDA	

ATTACHMENT 1 PAGE 14

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

ADJUSTED NET INCOME		
NET INVESTMENT INCOME	89,417.	89,788.
REVENUE AND EXPENSES PER BOOKS	89,417.	89,788.
		TOTAL
NO	IEU	
DESCRIPTION	DIVIDENDS CASH IN LIEU	

FORM 990PF, PART I - ACCOUNTING FEES

CHARITABLE	4,750.	4,750.
ADJUSTED NET INCOME		
NET INVESTMENT INCOME	4,750.	4,750.
REVENUE AND EXPENSES PER BOOKS	9,500.	9,500.
		TOTALS
DESCRIPTION	BCRS ASSOCIATES LLC	

ATTACHMENT 3 PAGE 16

32430E 526W

- TAXES FORM 990PF, PART I REVENUE

DESCRIPTION

FEDERAL TAX PAID - BAL DUE W/
TAX RETURN FYE 8/31/2013
FEDERAL TAX PAID - ADD'L BAL
DUE W/ NOTICE FYE 8/31/2013
FEDERAL TAX PAID - 1ST QTR
EST TAX FYE 8/31/2014

EXPENSES PER BOOKS AND

INVESTMENT INCOME NET

INCOME

CHARITABLE PURPOSES

8,423.

2,500.

185.

ADJUSTED NET

TOTALS

11,108.

ATTACHMENT 4 PAGE 17

FORM 990PF, PART I - OTHER EXPENSES

CHARITABLE PURPOSES 750.	.068
ADJUSTED NET INCOME	
NET INVESTMENT INCOME	
REVENUE AND EXPENSES PER BOOKS 750.	890.
	TOTALS
DESCRIPTION NYS FILING FEE PUBLICATION FEE	

FORM 990PF, PART II - CORPORATE STOCK

ENDING <u>FMV</u>	7,016,302.	7,016,302.
ENDING BOOK VALUE	3,785,572.	3,785,572.
BEGINNING BOOK VALUE	3,785,572.	3,785,572.
DESCRIPTION	SEE LONG POSITION ATTACHED	TOTALS

PART II - OTHER ASSETS FORM 990PF,

ENDING FMV	1,145,723.	8,533,498.	9,679,221.
ENDING BOOK VALUE	68,687.	4,284,766.	4,353,453.
BEGINNING BOOK VALUE	68,687.	4,284,766.	4,353,453.
DESCRIPTION	SILVER POINT CAPITAL OFFSHORE	RESTRICTED SILVER POINT CAPITAL OFFSHORE UNRESTRICTED	TOTALS

FORM 990PF, PART VIII

NAME AND ADDRESS

EDWARD A. MULE

MULE FAMILY FOUNDATION

13-3801234

ATTACHMENT 9

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

EDWARD A. MULE; C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005

THE MULE FAMILY FOUNDATION CHARITABLE CONTRIBUTIONS EIN: 13-3801234 FYE 8/31/2014

DATE	ORGANIZATION NAME	CITY, STATE	AMOUNT
10/7/2013	LYME RESEARCH ALLIANCE	STAMFORD, CT	\$1,000
10/24/2013	TEACH FOR AMERICA	NEW YORK, NY	\$2,500
12/13/2013	GREATER NEW YORK COUNCILS, BOY SCOUTS OF AMERICA	NEW YORK, NY	\$1,000
12/24/2013	HENRY STREET SETTLEMENT	NEW YORK, NY	\$500
12/27/2013	SPECIAL OLYMPICS	WASHINGTON, DC	\$1,000
1/7/2014	FIBROLAMELLAR CANCER FOUNDATION	GREENWICH, CT	\$2,500
1/17/2014	MIGRAINE RESEARCH FOUNDATION	NEW YORK, NY	\$1,500
1/21/2014	KIDS IN CRISIS	COS COB, CT	\$1,000
1/23/2014	MFY LEGAL SERVICES INC	NEW YORK, NY	\$1,000
1/24/2014	ALZHEIMER'S DRUG DISCOVERY FOUNDATION	NEW YORK, NY	\$1,000
1/24/2014	READWORKS (URBAN EDUCATION EXCHANGE)	NEW YORK, NY	\$1,000
1/28/2014	FIRST PRESBYTERIAN CHURCH	GREENWICH, CT	\$5,000
1/31/2014	VOLUNTEER HEALTH PROGRAM	PORT CHESTER, NY	\$10,000
1/31/2014	GREENWICH ACADEMY	GREENWICH, CT	\$30,000
2/21/2014	CAGV EDUCATION FUND	SOUTHPORT, CT	\$1,000
2/25/2014	HAVANESE AND FRIENDS, INC.	PARRISH, FL	\$300
3/12/2014	GSOFCT (GIRL SCOUTS OF CONNECTICUT)	HARTFORD, CT	\$500
3/14/2014	RIGHT TO PLAY	NEW YORK, NY	\$500
4/4/2014	GLOBAL CITIZENS INITIATIVE (GCI)	GREENWICH, CT	\$2,500
4/23/2014	JEWISH COMMUNAL FUND	NEW YORK, NY	\$1,000
5/12/2014	AUTISM SPEAKS	NEW YORK, NY	\$250
5/12/2014	AUTISM SPEAKS	NEW YORK, NY	\$250

THE MULE FAMILY FOUNDATION CHARITABLE CONTRIBUTIONS EIN: 13-3801234

FYE 8/31/2014

DATE	ORGANIZATION NAME	CITY, STATE	AMOUNT
5/12/2014	VNA HOSPICE OF CAPE COD	HYANNIS, MA	\$500
5/13/2014	MULTIPLE MYELOMA RESEARCH FOUNDATION	NORWALK, CT	\$1,000
5/19/2014	FIRST PRESBYTERIAN CHURCH	GREENWICH, CT	\$7,000
5/20/2014	GREENWICH EMERGENCY MEDICAL SERVICES INC.	GREENWICH, CT	\$1,000
5/23/2014	ALZHEIMER'S DRUG DISCOVERY FOUNDATION	NEW YORK, NY	\$1,000
5/23/2014	THE HOLE IN THE WALL GANG CAMP	NEW HAVEN, CT	\$500
5/29/2014	UNIVERSITY OF PENNSYLVANIA	PHILADELPHIA, PA	\$40,000
5/30/2014	URBAN INITIATIVES	CHICAGO, IL	\$1,000
6/6/2014	WYCKOFF FAMILY YMCA/PIETRO MAUGERI FUND	WYCKOFF, NJ	\$10,000
6/16/2014	UNIVERSITY OF PENNSYLVANIA	PHILADELPHIA, PA	\$200,000
6/23/2014	AVON WALK FOR BREAST CANCER	NEW YORK, NY	\$250
6/25/2014	GREATER NEW YORK COUNCILS, BOY SCOUTS OF AMERICA	NEW YORK, NY	\$1,000
7/7/2014	MEMORIAL SLOAN KETTERING CANCER CENTER	NEW YORK, NY	\$2,500
7/14/2014	PLAYWRIGHT HORIZONS	NEW YORK, NY	\$1,500
8/11/2014	CASTLE ATHLETICS, INC	NEW YORK, NY	\$1,000
	TOTAL CONTRIBUTI	:ON5*	\$333,550

*ALL CONTRIBUTIONS WERE MADE TO THE GENERAL PURPOSE FUND OF PUBLIC CHARITABLE ORGANIZATIONS EXEMPT UNDER SECTION 501 (c) (3) OF THE INTERNAL REVENUE CODE.



MULE FAMILY FOUNDATION Holdings

Period Ended August 31, 2014

			Market Value /			Unrealized	Dividend	Estimated
	Quantity	Market Price	Accrued Income	Unit Cost	Cost Basis	Gain (Loss)	Yield	Annual Income
US EQUITY								
US STOCKS								
AMERICAN INTL GROUP, INC CMN (AIG)	8,200 00	26 0600	459,692 00	33 5926	275,459 32	184,232 68	0 8919	4,100 00
BERKSHIRE HILLS BANCORP INC CMIN (BHLB)	14,066 00	24 6300	346,445 58	10 0000	140,660 00	205,785 58	2 9233	10,127 52
CAPITOL FEDERAL FINANCIAL INC CMN (CFFN)	43,259 00	12 3500	534,248 65	10 0000	432,590 00	101,658 65	2 4291	12,977 70
CASCADE BANCORP CMN (CACB)	73 00	5 1600	376 68	5 3016	387 02	(10 34)		
CIT GROUP INC CMN CLASS (CIT)	23,181 00	47 9600	1,111,760 76	22 7600	527,599 56	584,161 20	1 2510	13,908 60
CITIGROUP INC CMN (C)	00 000'09	51 6500	3,099,000 00	31 5000	1,890,000 00	1,209,000 00		
COLONIAL BANKSHARES, INC CMN (COBK)	1,070 00	12 4500	13,321 50	10 0000	10,700 00	2,621 50		
FEDFIRST FINANCIAL CORPORATION CMN (FFCO)	10,810 00	21 9000	236,739 00	10 0000	108,100 00	128,639 00	1 4612	3,459 20
FIRST CONN BANCORP INC MD CMN (FBNK)	1,500 00	15 3000	22,950 00	10 0000	15,000 00	7,950 00	1 3072	300 00
GOLDMAN SACHS GROUP, INC (THE) CMN (GS)	3,288 00	179 1100	588,913 68	11.77	38699.76		1 2283	7,233 60
INVESTORS BANCORP, INC CMN (ISBC)	25,500 00	10 6100	270,555 00	3 9216	100,000 00	170,555 00		

LONG POSITION 1 OF 2



Statement Detail

MULE FAMILY FOUNDATION Holdings (Continued)

Period Ended August 31, 2014

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	Quantity	Market Price	Market Value / Market Price Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Dividend Estimated Yield Annual Income
US EQUITY								
US STOCKS								
SI FINL GROUP INC MD CMIN (SIFI)	30,797 00	10 7900	332,299 63	8 0000	246,376 00	85,923 63	11121	3,695 64
TOTAL US STOCKS			7,016,302 48	. ,	3,785,571.66	2,680,516 90	1 5360	55,802 26

MULE FAMILY FOUNDATION

13-3801234

SUPPLEMENTARY STATEMENTS

PART: XV

LINE: 2

SUPPLEMENTAL INFORMATION

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES

Form **8868**

(Rev January 2014)

Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

► File a separate application for each return.
► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

OMB No 1545-1709

TOT

	are ning for an Automatic 3-Month Extension,						
-	uare filing for an Additional (Not Automatic) 3-M I complete Part II unless you have already been e						
	•	•		•	•		
a corp 8868 Return	onic filing (e-file). You can electronically file Form oration required to file Form 990-T), or an addition o request an extension of time to file any of the for Transfers Associated With Certain Persona tlons) For more details on the electronic filing of t	nal (not aut forms liste al Benefit (omatic) 3-month exte d in Part I or Part II Contracts, which mi	ension of time You o with the exception o ust be sent to the I	an el of For RS in	ectron m 887 n pape	ically file Form 70, Information er format (see
Part	Automatic 3-Month Extension of Time	e. Only su	bmit original (no co	pies needed).			
	oration required to file Form 990-T and reque	sting an a		extension-check th	is bo	x and	complete
All oth	er corporations (including 1120-C filers), partnersh	nps, REMIC	Cs, and trusts must u	ise Form 7004 to req	uest	an ext	_
to file i	ncome tax returns.			Enter filer's identifyin	ng nur	nber, s	ee instructions
Туре	r Name of exempt organization or other filer, see in	nstructions		Employer identification	num	per (EIN	1) or
print	MULE FAMILY FOUNDATION			13-3801234			
File by t	Number, street, and room or suite no If a P.O b	ox, see instr	uctions	Social security number	r (SSN)	
due date	edate for C/O BCRS ASSOCIATES, LLC; 77 WATER ST - 9TH FL						
tiling you return S	Group City, town or post office, state, and ZIP code. For a foreign address, see instructions						
Instructi				· · · · · · · · · · · · · · · · · · ·			
Enter 1	ne Return code for the return that this application	is for (file a	separate application	for each return) .			04
Appli		Return	Application				Return
Is Fo		Code	is For				Code
Form	990 or Form 990-EZ	01	Form 990-T (corpo	ration)			07
Form	990-BL	02	Form 1041-A	- 			08
Form	1720 (individual)	03	Form 4720 (other the	nan individual)			09
	990-PF	04	Form 5227				10
	990-T (sec. 401(a) or 408(a) trust)	05	Form 6069				11
Form	990-T (trust other than above)	06	Form 8870				12
Telepoint Telepo	nooks are in the care of ► BCRS ASSOCIATES hone No. ► 212-440-0811 organization does not have an office or place of bis for a Group Return, enter the organization's found for the group, check this box ► If the names and EINs of all members the extensions.	Fusiness in uriness in uriness in the digit Gro it is for partion is for.	up Exemption Numb t of the group, check	er (GEN) this box	▶ [If	▶□ this is attach
1	request an automatic 3-month (6 months for a co						
	until APRIL 15 , 20 15 , to file the exer	npt organiz	zation return for the c	rganization named a	bove	. The e	extension is
	or the organization's return for:						
	▶ ☐ calendar year 20 or						
	➤ X tax year beginning SEPTEMBER 1	, 20	13 , and ending	AUGUST 31		, 2	20 <u>14</u> .
	f the tax year entered in line 1 is for less than 12 r Change in accounting period	nonths, ch	eck reason: 🔲 Initial	return Final retur	rn		
	f this application is for Forms 990-BL, 990-PF, 99	0-T, 4720,	or 6069, enter the te	ntative tax, less any			
	nonrefundable credits. See instructions.				3a	\$	2,326.00
	f this application is for Forms 990-PF, 990-T,						
	estimated tax payments made. Include any prior y				3b	\$	2,500.00
	Balance due. Subtract line 3b from line 3a. Includ EFTPS (Electronic Federal Tax Payment System)			f required, by using	3c	\$	0.00

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions