



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

- ▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No. 1545-0052

2013

For calendar year 2013, or tax year beginning 9/01, 2013, and ending 8/31, 2014

THE NEUWIRTH FOUNDATION, INC.
175 GREAT NECK RD #405
GREAT NECK, NY 11021

A Employer identification number
11-3048776B Telephone number (see the instructions)
516-482-3260

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 8,163,044.
J Accounting method: ☐ Cash ☒ Accrual
☐ Other (specify) (Part I, column (d) must be on cash basis.)

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (att sch)				
	2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	294,532.	294,532.	294,532.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	595,095.			
	b Gross sales price for all assets on line 6a 2,220,367.				
	7 Capital gain net income (from Part IV, line 2)		595,095.		
	8 Net short-term capital gain			595,095.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	889,627.	889,627.	889,627.		
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc.	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (att sch)	10,650.	10,650.		
	c Other prof fees (attach sch)	28,500.	28,500.		
	17 Interest				
	18 Taxes (attach schedule) (see instrs)	17,000.			
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) SEE STATEMENT 4	480.	480.		
	24 Total operating and administrative expenses. Add lines 13 through 23.	56,630.	39,630.		
	25 Contributions, gifts, grants paid PART XV.	507,660.			507,660.
26 Total expenses and disbursements. Add lines 24 and 25	564,290.	39,630.	0.	507,660.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	325,337.				
b Net investment income (if negative, enter -0-)		849,997.			
c Adjusted net income (if negative, enter -0-)			889,627.		

4P

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash—non-interest-bearing	470,735.	593,327.	593,327.
	2 Savings and temporary cash investments			
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) STATEMENT 5	4,769,733.	4,979,876.	7,553,147.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment: basis			
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)				
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe SEE STATEMENT 6)	21,544.	16,570.	16,570.	
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	5,262,012.	5,589,773.	8,163,044.	
L I A B I L I T I E S	17 Accounts payable and accrued expenses	10,725.	10,900.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe SEE STATEMENT 7)	1,756.	4,005.	
	23 Total liabilities (add lines 17 through 22)	12,481.	14,905.	
F U N D A S S E T B A L A N C E S	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	5,249,531.	5,574,868.	
	30 Total net assets or fund balances (see instructions)	5,249,531.	5,574,868.	
31 Total liabilities and net assets/fund balances (see instructions)	5,262,012.	5,589,773.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,249,531.
2 Enter amount from Part I, line 27a	2	325,337.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	5,574,868.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	5,574,868.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SCHEDULE ATTACHED		P		
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,220,367.		1,625,272.	595,095.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			595,095.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss).	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	595,095.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	— []	3	595,095.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	571,590.	7,028,795.	0.081321
2011	527,200.	6,595,786.	0.079930
2010	535,250.	6,828,285.	0.078387
2009	685,521.	5,948,595.	0.115241
2008	654,198.	5,211,035.	0.125541

2 Total of line 1, column (d)	2	0.480420
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.096084
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	7,512,110.
5 Multiply line 4 by line 3.	5	721,794.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	8,500.
7 Add lines 5 and 6.	7	730,294.
8 Enter qualifying distributions from Part XII, line 4	8	507,660.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary — see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	17,000.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	17,000.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	17,000.
6 Credits/Payments:			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6a	13,000.	
b Exempt foreign organizations — tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	13,000.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	4,000.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax		Refunded	11

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b	X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?	1c	X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes	3	X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	4b	N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV.	7	X
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) N/A		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses	10	X

BAA

Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address	13	X	
N/A				
14	The books are in care of <u>CUMMINGS & CARROLL, P.C.</u> Telephone no. <u>516-482-3260</u> Located at <u>175 GREAT NECK ROAD GREAT NECK NY</u> ZIP + 4 <u>11021</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	N/A	<input type="checkbox"/>	
and enter the amount of tax-exempt interest received or accrued during the year		15		N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b	N/A
Organizations relying on a current notice regarding disaster assistance check here	<input type="checkbox"/>	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If 'Yes,' list the years ▶ 20 __ , 20 __ , 20 __ , 20 __ .		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 __ , 20 __ , 20 __ , 20 __ .		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

BAA

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

6b X

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BARBARA BRAUN P.O. BOX 41, AELFIES WAY BRIDGEHAMPTON, NY 11932	PRESIDENT 0	0.	0.	0.
MARVIN R. NEUWIRTH 15 AELFIES WAY BRIDGEHAMPTON, NY 11932	CHAIRMAN 0	0.	0.	0.
RICHARD J. BIRNBACH 111 HILLPARK AVENUE GREAT NECK, NY 11021	TREASURER 0	0.	0.	0.
ROBERT HALPER 1133 AVENUE OF THE AMERICAS NEW YORK, NY 10036-6799	SECRETARY 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

BAA

Form 990-PF (2013)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1 a	7,048,814.
b Average of monthly cash balances	1 b	577,694.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	7,626,508.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	7,626,508.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	114,398.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,512,110.
6 Minimum investment return. Enter 5% of line 5	6	375,606.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	375,606.
2a Tax on investment income for 2013 from Part VI, line 5	2 a	17,000.
b Income tax for 2013. (This does not include the tax from Part VI.)	2 b	
c Add lines 2a and 2b	2 c	17,000.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	358,606.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	358,606.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	358,606.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	507,660.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	507,660.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	507,660.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7.....				358,606.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only.....			0.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008.....	397,170.			
b From 2009.....	393,209.			
c From 2010.....	196,534.			
d From 2011.....	207,985.			
e From 2012.....	232,901.			
f Total of lines 3a through e.....	1,427,799.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 507,660.				
a Applied to 2012, but not more than line 2a..			0.	
b Applied to undistributed income of prior years (Election required — see instructions)....		0.		
c Treated as distributions out of corpus (Election required — see instructions)....	0.			
d Applied to 2013 distributable amount.....				358,606.
e Remaining amount distributed out of corpus.....	149,054.			
5 Excess distributions carryover applied to 2013..... (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5.....	1,576,853.			
b Prior years' undistributed income. Subtract line 4b from line 2b.....		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed....		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions.....		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount — see instructions.....			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014.....				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).....	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).....	397,170.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a.....	1,179,683.			
10 Analysis of line 9:				
a Excess from 2009.....	393,209.			
b Excess from 2010.....	196,534.			
c Excess from 2011.....	207,985.			
d Excess from 2012.....	232,901.			
e Excess from 2013.....	149,054.			

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SCHEDULE ATTACHED ,		PUBLIC	CHARITABLE, SCIENTIFIC, EDUCATIONAL	507,660.
Total			3 a	507,660.
b Approved for future payment				
Total			3 b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					294,532.
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property . . .					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory.					595,095.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)					889,627.
13	Total. Add line 12, columns (b), (d), and (e)				13	889,627.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

THE NEUWIRTH FOUNDATION, INC.

11-3048776

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES.....	\$ 10,650.	\$ 10,650.		
TOTAL	<u>\$ 10,650.</u>	<u>\$ 10,650.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

STATEMENT 2
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES.....	\$ 28,500.	\$ 28,500.		
TOTAL	<u>\$ 28,500.</u>	<u>\$ 28,500.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

STATEMENT 3
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX.....	\$ 17,000.			
TOTAL	<u>\$ 17,000.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEES AND SERVICE CHARGE.....	\$ 480.	\$ 480.		
TOTAL	<u>\$ 480.</u>	<u>\$ 480.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

2013

FEDERAL STATEMENTS

PAGE 2

THE NEUWIRTH FOUNDATION, INC.

11-3048776

STATEMENT 5
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

<u>CORPORATE STOCKS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
CORPORATE STOCK	COST	\$ 4,979,876.	\$ 7,553,147.
	TOTAL	<u>\$ 4,979,876.</u>	<u>\$ 7,553,147.</u>

STATEMENT 6
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
ACCRUED DIVIDEND RECEIVABLE	\$ 16,570.	\$ 16,570.
TOTAL	<u>\$ 16,570.</u>	<u>\$ 16,570.</u>

STATEMENT 7
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

FEDERAL EXCISE TAX PAYABLE	\$ 4,000.
ROUNDING	5.
TOTAL	<u>\$ 4,005.</u>

The Newwirth Foundation Inc.

Sale of Securities

FYE 8/31/14

Date Purchased	Date Sold	Quantity	Description	Sales Price	Cost	Gain or Loss
7/29/04	10/23/13	1,000	Monasanto Co New	10798918	82790	2919918
1958	11/15/13	1,000	Exxon Mobil Corp.	9425941	1725	9251441
1958	12/14/13	1,000	Exxon Mobil Corp.	9623936	1725	9451436
4/27/04	12/16/13	3,000	Keynote American MLP	11098912	73732	3525712
3/2/05	12/20/13	2,000	Textro Inc.	6598990	79810	(1382010)
1970	12/26/13	1,000	Chuvra Corp.	12398889	2910	12107889
6/1/06	12/24/13	715	Enterprise Adv. Pers	4575025	17274	2847625
6/1/06	12/30/13	640	Magellan Midstream	3967036	11226	2844436
Various	1/23/14	200	SPDR Gold Trust	2439063	28691	(430037)
3/18/10	2/18/14	1,180	Rio Tinto Plc	70352	66966	3386
8/3/11	2/18/14	820	Rio Tinto Plc	48888	54949	(6061)
7/23/12	2/18/14	1,000	Rio Tinto Plc	59620	43439	16181
7/2/13	2/18/14	2,000	Rio Tinto Plc	11922586	80768	3845786
9/25/13	2/26/14	2,000	First Energy Inc.	8298961	6448555	1850406
9/12/13	3/6/14	6,000	Orange ADR	8266961	66678	1599161
3/2/05	4/30/14	1,500	Caterpillar Inc.	15898754	73286	8570154
2/0/12	5/6/14	7,000	Exelon Corp.	25478542	257442	(265658)
8/15/13	5/16/14	1,000	Tority Ind.	8065547	38739	4191647
5/7/14	6/25/14	2,500	Nimble Storage Inc.	7523939	5126145	2397794
5/8/06	6/26/14	500	Allegheny Tech.	2199660	38189	(1619240)
3/2/08	6/26/14	1,000	Allegheny Tech.	4399244	74386	(3059301)
11/23/11 & 6/15/12	7/2/14	3,000	Teva Pharmaceutical	16348967	113178	5031167
12/20/12	7/2/14	1,000	Teva Pharmaceutical	5449656	38009	1648756
Various	7/17/14	15,000	Amulya Capital Mgmt	16785597	216230	(4837403)
6/1/06	7/17/14	1,600	Natural Resource Pers	2587484	45183	(1930816)

// 222036663 1625372 5959463

Public Notice Order Confirmation

<u>Ad Order #</u> 0000005492	<u>PO #</u>	<u>Ordered By</u> RICHARD BIRNBACH
<u>Account #</u> 9000565	<u>Name</u> CUMMINGS & CARROLL, PC	<u>Address</u> 175 GREAT NECK RD, STE 405, GREAT NECK, NY 11021
<u>Phone</u> 516-482-3260	<u>Fax</u> 516-482-4067	<u>Email</u>

New York Law Journal

Placement Public Notices	Position Foundations	County New York	New York
Run Dates 11/25	# of Ins 1	Ad Size 15 Counted Lines	
Subject THE NEUWIRTH FOUNDATION			

Amount Due
140.00

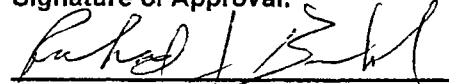
Ad TextCorrections

THE ANNUAL RETURN OF THE NEUWIRTH FOUNDATION. For the FISCAL year ended August 31, 2014 is available at its principal office located at 175 GREAT NECK RD GREAT NECK NY 11021 for inspection during regular business hours by any citizen who requests it within 180 days hereof Principal Manager of the Foundation is BARBARA BRAUN, DIRECTOR. 0000005492 n25

Please review and provide corrections as needed. If you are satisfied with the Ad information provided, please sign below. This notice will not be published until your approval is received. Please note that by signing, you are indicating that you have read and agree to the ALM Terms & Conditions located at www.alm.law.com/jsp/law/advertising_terms_conditions.jsp

Please return to
Email PublicNotices@alm.com
Fax 347-227-3650

Signature of Approval:



Date: 12/3/14

Payment By Credit Card

() Visa () MC () Amex

Credit Card # _____	Exp Date ____/____/____	Security Code _____
Card Holder Name _____	Signature _____	Pmt Amount _____

THE NEUWIRTH FOUNDATION, INC.

FINANCIAL STATEMENTS

AUGUST 31, 2014

INDEX TO REPORT

	<u>Page</u>
Independent accountant's compilation report	3
Financial Statements:	
Statements of financial position as of August 31, 2014-2013	4
Statements of activity for the years ended August 31, 2014-2013	5
Statements of cash flows for the years ended August 31, 2014-2013	6
Notes to financial statements	7 - 9
Independent accountant's compilation report on supplementary information	10
Supplementary Information:	
Schedule of investments as of August 31, 2014	11 - 12
Schedule of investments as of August 31, 2013	13 - 14
Schedule of charitable contributions for the years ended August 31, 2014-2013	15 - 16

Cummings & Carroll, P.C.

Certified Public Accountants

175 Great Neck Road
Great Neck, NY 11021
(516) 482-3260
Fax (516) 482-4067

INDEPENDENT ACCOUNTANT'S COMPILATION REPORT

To the Board of Directors
The Neuwirth Foundation, Inc.
Bridgehampton, New York

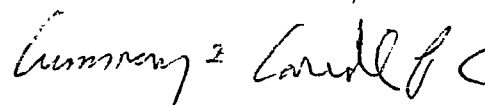
We have compiled the accompanying statements of financial position of The Neuwirth Foundation Inc. as of August 31, 2014 and 2013, and the related statements of activity and cash flows for the years then ended. We have not audited or reviewed the accompanying financial statement and accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with accounting principles generally accepted in the United States of America.

Management is responsible for the preparation and fair presentation of the financial statement in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

We are not independent with respect to the Neuwirth Foundation.

CUMMINGS & CARROLL, P.C.



Certified Public Accountants

November 2, 2014
Great Neck, New York

THE NEUWIRTH FOUNDATION, INC.
STATEMENTS OF FINANCIAL POSITION
AUGUST 31,
(Unaudited)

ASSETS

	<u>2014</u>	<u>2013</u>
Current Assets:		
Cash - Charles Schwab & Co.	\$ 593,327	\$ 470,735
Accrued dividend receivable	<u>16,570</u>	<u>21,544</u>
Total Current Assets	<u>609,897</u>	<u>492,279</u>
Other Assets:		
Marketable securities, at market (cost - 2014, \$4,979,876 and 2013, \$4,769,733)	<u>7,553,147</u>	<u>6,652,665</u>
	<u>7,553,147</u>	<u>6,652,665</u>
Total Assets	<u>\$ 8,163,044</u>	<u>\$ 7,144,944</u>
Current Liabilities:		
Accrued expenses and other	\$ 10,901	\$ 10,726
Federal excise tax payable	<u>4,000</u>	<u>1,751</u>
Total Current Liabilities	14,901	12,477
Unrestricted Net Assets	<u>8,148,143</u>	<u>7,132,467</u>
Total Liabilities and Unrestricted Net Assets	<u>\$ 8,163,044</u>	<u>\$ 7,144,944</u>

THE NEUWIRTH FOUNDATION, INC.
STATEMENTS OF ACTIVITY
FOR THE YEARS ENDED AUGUST 31,
(Unaudited)

	<u>2014</u>	<u>2013</u>
Revenue:		
Dividends and interest	\$ 294,532	\$ 267,190
Increase in unrealized appreciation of securities	690,339	194,788
Gain (loss) on sale of securities	<u>595,095</u>	<u>405,339</u>
Total revenue	<u>1,579,966</u>	<u>867,317</u>
Expenses:		
Investment advisory fee	28,500	24,000
Professional fees	10,650	10,450
Fees and service charge	480	520
Federal excise tax	<u>17,000</u>	<u>12,751</u>
Total expenses	<u>56,630</u>	<u>47,721</u>
Excess (deficit) of revenue over expenses	1,523,336	819,596
Charitable contributions	<u>507,660</u>	<u>571,590</u>
Increase in unrestricted net assets	1,015,676	248,006
Unrestricted Net Assets - September 1, 2013 - 2012	<u>7,132,467</u>	<u>6,884,461</u>
Unrestricted Net Assets - August 31, 2014 - 2013	<u><u>\$ 8,148,143</u></u>	<u><u>\$ 7,132,467</u></u>

THE NEUWIRTH FOUNDATION, INC.
STATEMENTS OF CASH FLOWS
FOR THE YEARS ENDED AUGUST 31,
(Unaudited)

	<u>2014</u>	<u>2013</u>
Cash Flows From Operating Activities:		
Increase in unrestricted assets	\$ 1,015,676	\$ 248,006
Non-cash increase in unrealized appreciation of securities	(690,339)	(194,788)
Adjustments to reconcile excess of net revenue over contributions to cash from operating activities:		
Increase or (decrease) in accrued dividend payable	4,974	(21,544)
Increase in accrued expenses and other	175	363
Increase or (decrease) in Federal excise tax payable	<u>2,249</u>	<u>(3,442)</u>
Net cash provided by operating activities	<u>332,735</u>	<u>28,595</u>
Cash Flows From Investing Activities:		
Sales of marketable securities	1,625,272	2,654,330
Net purchases of marketable securities	<u>(1,835,415)</u>	<u>(3,043,659)</u>
Net cash (used for) investing activities	<u>(210,143)</u>	<u>(389,329)</u>
Net increase (decrease) in cash	122,592	(360,734)
Cash - September 1, 2013 - 2012	<u>470,735</u>	<u>831,469</u>
Cash - August 31, 2014 - 2013	<u>\$ 593,327</u>	<u>\$ 470,735</u>
Supplemental disclosures of Cash Information:		
Cash paid during the years for:		
Federal excise taxes	\$ 14,751	\$ 16,193

THE NEUWIRTH FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
AUGUST 31, 2014
(Unaudited)

Note 1 - Summary of Significant Accounting Policies

The Neuwirth Foundation, Inc. (The Foundation) operates as a private foundation under section 501(c)(3) of The Internal Revenue Code. The significant accounting policies followed by the Foundation are summarized as follows:

- a. Security Valuation
Investments in securities were valued at market price by Charles Schwab & Co.
- b. Federal Taxes
The Foundation pays a 2% (or if qualified, a reduced 1%) federal excise tax on net investment income.
- c. Income and Expense Recognition
Interest income and expenses are recognized on the accrual basis.
- d. Undistributed Income
The Foundation is required to distribute for religious, charitable, educational and scientific purposes an amount determined in accordance with the Internal Revenue Code and Regulations. This amount must be distributed by the end of the next fiscal year of the Foundation. The amount that must be distributed by August 31, 2015 is \$0. The excess distribution carryover to August 31, 2015 is \$1,480,180.
- e. Management Estimates
The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.
- f. Income Taxes
The tax years subject to examination by the taxing authorities are the years ended August 31, 2011 and forward.
- g. Subsequent Events
Management of the foundation has evaluated subsequent events through November 2, 2014 and has determined there are no subsequent events or transactions which would require recognition or disclosure in the financial statements.

THE NEUWIRTH FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
AUGUST 31, 2014
(Unaudited)

Note 2 - Fair Value Measurements

The following table summarizes the fair value measurements of investments as of August 31, 2014 and 2013 based on the three levels established by ASC 820, "Fair Value Measurements and Disclosures" (in thousands).

Fair Value Measurements as of August 31, 2014 using:

	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	Total
U.S. Government Securities	\$ -	\$ -	\$ -	\$ -
Corporate Bond Funds	197	-	-	197
Common Stock:				
Equity securities	5,474	-	-	5,474
Mutual Funds	355	-	-	355
Master Limited Partnerships(Public)	<u>1,527</u>	<u>-</u>	<u>-</u>	<u>1,527</u>
Total Common Stock	<u>7,356</u>	<u>-</u>	<u>-</u>	<u>7,356</u>
Total Assets at Fair Value	<u>\$ 7,553</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 7,553</u>

THE NEUWIRTH FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
AUGUST 31, 2014
(Unaudited)

Note 2 - Fair Value Measurements (continued)

Fair Value Measurements as of August 31, 2013 using:

	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	Total
U.S. Government Securities (Bond Funds)	\$ -	\$ -	\$ -	\$ -
Corporate Bonds	179	-	-	179
Common Stock:				
Equity securities	5,276	-	-	5,276
Mutual Funds	313	-	-	313
Master Limited Partnerships(Public)	<u>884</u>	<u>-</u>	<u>-</u>	<u>884</u>
Total Common Stock	<u>6,473</u>	<u>-</u>	<u>-</u>	<u>6,473</u>
Total Assets at Fair Value	<u>\$ 6,652</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 6,652</u>

Cummings & Carroll, P.C.

Certified Public Accountants

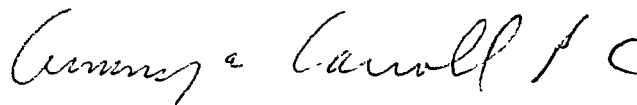
175 Great Neck Road
Great Neck, NY 11021
(516) 482-3260
Fax (516) 482-4067

INDEPENDENT ACCOUNTANT'S COMPILATION REPORT ON SUPPLEMENTARY INFORMATION

To the Board of Directors
The Neuwirth Foundation, Inc.
Bridgehampton, New York

Our compilation of the basic financial statements are included in the preceding section of this report. A compilation is limited to presenting in the form of financial statements information that is the representation of management. We have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or any other form of assurance on them. The supplementary information in the following section is presented only for additional analysis purposes and not a required part of the financial statements. Such information has been compiled from information that is the representation of management, without audit or review. Accordingly, we do not express an opinion or provide any assurance on such supplementary information.

CUMMINGS & CARROLL, P.C.



Certified Public Accountants

November 2, 2014
Great Neck, New York

THE NEUWIRTH FOUNDATION, INC.
SCHEDULE OF INVESTMENTS
AUGUST 31, 2014
(Unaudited)

Date Acquired		Description	Cost	Market Value
Common Stock and Options:				
10/13/09	2,000 shares	AT&T	\$ 51,000	\$ 69,920
02/18/14 & 02/26/14	4,000 shares	AT&T	130,115	139,840
07/10/12	4,000 shares	Antares Phama Inc.	19,889	9,400
05/15/13	6,000 shares	Antares Phama Inc.	23,645	14,100
01/15/13 & 06/25/13	1,750 shares	Apple Inc.	118,114	179,375
06/25/12	1,000 shares	Atlas Pipeline Partners LP	28,859	36,930
11/17/12	2,000 shares	Boardwalk Pipeline Partners	49,192	39,900
01/05/14 & 02/19/14	8,000 shares	Boardwalk Pipeline Partners	127,146	159,600
07/31/14	5,000 shares	Blackberry Inc.	47,759	50,900
03/18/10	1,500 shares	Centurylink Inc.	52,435	61,485
07/15/11	1,500 shares	Centurylink Inc.	57,847	61,485
02/15/13 & 08/16/13	3,000 shares	Centurylink Inc.	98,918	122,970
11/07/13	1,000 shares	Centurylink Inc.	31,909	40,990
1970	6,000 shares	Chevron Corp.	17,443	776,700
Various	1,400 shares	Chicago Bridge & Iron	83,682	88,788
02/13/13 & 04/17/13	4,000 shares	Cliffs Natural Resources	97,326	60,280
08/12/13	4,000 shares	CVR Refining	106,336	96,040
09/10/13 & 06/25/14	6,000 shares	CVR Refining	152,371	144,060
Various	5,000 shares	Coach Inc.	218,447	184,150
09/28/06	2,000 shares	Deere & Co.	83,957	168,180
12/21/12 & 03/01/13	3,000 shares	DirecTV	147,327	259,350
06/01/06	3,440 shares	Energy Transfer Equity LP	45,968	208,636
06/28/12 & 08/15/12	2,000 shares	Energy Transfer Partners LP	87,218	114,900
09/13/12 & 10/03/12	2,000 shares	Energy Transfer Partners LP	84,546	114,900
06/01/06	2,380 shares	Enterprise Prd Partners LP	28,749	96,699
06/28/12	3,620 shares	Enterprise Prd Partners LP	45,782	147,081
1958	1,000 shares	Exxon Mobil Corp	1,725	99,460
Various	4,000 shares	Exxon Mobil Corp.	141,500	397,840
11/04/13	1,000 shares	Facebook Inc.	48,509	74,820
08/21/14	2,000 shares	Fortress Investment Group	14,808	14,920
11/23/11 & 05/15/12	1,000 shares	Freeport McMoran Copper	33,768	36,370
04/22/13 & 06/20/13	3,000 shares	Freeport McMoran Copper	84,978	109,110
12/24/12	15,000 shares	Frontier Communications Corp	63,009	101,850
06/25/12	5,000 shares	Gamco Global Gld Natural	67,618	54,600
11/15/12 & 06/27/13	15,000 shares	Gamco Global Gld Natural	171,508	163,800
09/14/06	200 shares	Google Inc Class C	40,558	114,320
04/15/10	105 shares	Google Inc. Class C	31,204	60,018
09/14/06	200 shares	Google Inc. Class A	40,688	116,472
04/15/10	105 shares	Google Inc Class A	31,304	61,148
04/24/14	5,000 shares	JetBlue Airways Corp.	41,527	61,150
04/30/14	1,000 shares	Kinder Morgan Energy	75,109	96,380
06/01/06	2,000 shares	Magellan Midstream Partners	35,079	167,860
08/01/13	1,000 shares	Mosaic Co New	41,006	47,760
10/04/11	2,500 shares	Newberger Berman Hi Yld.	30,384	34,000
08/01/14	7,500 shares	Newberger Berman Hi Yld.	98,709	102,000
06/01/06	1,500 shares	Oneok Partners LP	37,613	89,145
Various	20,000 shares	Pimco Strategic Global	210,639	207,200
08/01/13	2,000 shares	Potash Corp Sask Inc.	58,106	70,320
04/30/13	2,000 shares	Qualcomm Inc.	123,509	152,200
Various	400 shares	SPDR Gold Trust	57,382	49,544
Subtotal carried forward			<u>\$ 3,616,220</u>	<u>\$ 5,928,946</u>

THE NEUWIRTH FOUNDATION, INC.
SCHEDULE OF INVESTMENTS
AUGUST 31, 2014
(Unaudited)

Date Acquired		Description	Cost	Market Value
		Subtotal carried forward	3,616,220	5,928,946
Various	6,000 shares	Transocean Inc. New	246,579	231,900
26/6/14	3,500 shares	Skyworks Solutions	106,682	198,310
Various	2,000 shares	Sodastream IntL	92,508	66,680
04/30/14 & 06/03/14	10,000 shares	Vale SA ADR	130,681	130,600
05/06/10	2,000 shares	Verizon Communications	51,665	99,640
05/21/14	500 shares	Whole Foods Market Inc.	18,729	19,570
7/18/2014	1,000 shares	Yahoo Inc.	33,288	38,510
Closed End Funds:				
04/27/04 & Reinvest	10,000 shares	Kayne Anderson MLP	176,709	287,140
Mutual Funds:				
02/16/13 & Reinvest	22,667 shares	Ivy High Income Fund	196,060	196,974
07/12/12, 01/22/13 & Reinvest	25,240 shares	Matthews China Dividend Fund	310,755	354,877
			<u>\$4,979,876</u>	<u>\$ 7,553,147</u>

THE NEUWIRTH FOUNDATION, INC.
SCHEDULE OF INVESTMENTS
AUGUST 31, 2013
(Unaudited)

Date Acquired		Description	Cost	Market Value
Common Stock and Options:				
10/13/09	2,000 shares	AT&T	\$ 51,000	\$ 67,660
05/08/06	500 shares	Allegheny Technologies Inc.	38,189	13,355
03/24/08	1,000 shares	Allegheny Technologies Inc.	74,586	26,710
Various	15,000 shares	Annaly Capital Mgmt.	216,230	175,050
07/10/12	4,000 shares	Antares Phama Inc.	19,889	17,600
05/15/13	6,000 shares	Antares Phama Inc.	23,645	26,400
01/15/13 & 06/25/13	250 shares	Apple Inc.	118,114	121,804
06/25/12	1,000 shares	Atlas Pipeline Partners LP	28,859	38,800
11/17/12	2,000 shares	Boardwal Pipeline Partners	49,192	60,120
03/02/05	1,500 shares	Caterpillar Inc.	73,286	123,810
03/18/10	1,500 shares	Centurylink Inc.	52,435	49,680
07/15/11	1,500 shares	Centurylink Inc.	57,847	49,680
02/15/13 & 08/16/13	3,000 shares	Centurylink Inc.	98,918	99,360
1970	7,000 shares	Chevron Corp.	20,353	843,010
02/13/13 & 04/17/13	4,000 shares	Cliffs Natural Resources	97,326	83,480
08/12/13	4,000 shares	CVR Refining	106,336	108,880
09/28/06	2,000 shares	Deere & Co.	83,957	167,280
12/21/12 & 03/01/13	3,000 shares	DirecTV	147,327	174,540
06/01/06	1,720 shares	Energy Transfer Equity LP	45,968	110,648
06/28/12 & 08/15/12	2,000 shares	Energy Transfer Partners LP	87,218	102,540
09/13/12 & 10/03/12	2,000 shares	Energy Transfer Partners LP	84,546	102,540
06/01/06	1,905 shares	Enterprise Prd Partners LP	46,023	113,195
06/28/12	1,810 shares	Enterprise Prd Partners LP	45,782	107,550
01/25/12	2,500 shares	Exelon Corp.	96,840	76,225
09/05/12 & 10/01/12	4,500 shares	Exelon Corp.	160,602	137,205
1958	3,000 shares	Exxon Mobil Corp	5,175	261,480
Various	4,000 shares	Exxon Mobil Corp	141,500	348,640
11/23/11 & 05/15/12	1,000 shares	Freeport McMoran Copper	33,768	30,220
04/22/13 & 06/20/13	3,000 shares	Freeport McMoran Copper	84,978	90,660
12/24/12	15,000 shares	Frontier Communications Corp.	63,009	64,950
06/25/12	5,000 shares	Gamco Global Gld Natural	67,618	54,750
11/15/12 & 06/27/13	15,000 shares	Gamco Global Gld Natural	171,508	164,250
09/14/06	200 shares	Google Inc	81,246	169,380
04/15/10	105 shares	Google Inc.	62,508	88,925
06/01/06	2,640 shares	Magellan Midstream Partners	46,305	143,246
07/29/09	1,000 shares	Monsanto Co. New	82,790	97,890
08/01/13	1,000 shares	Mosaic Co. New	41,006	41,650
06/01/06	1,600 shares	Natural Resource Partners LP	45,183	31,376
10/04/11	2,500 shares	Newberger Berman Hi Yld.	30,384	31,500
06/01/06	1,500 shares	Oneok Partners LP	37,613	74,385
09/26/12 & 06/28/13	6,000 shares	Orange ADR	66,678	60,960
Various	20,000 shares	Pimco Strategic Global	210,639	211,600
08/01/13	2,000 shares	Potash Corp Sask Inc.	58,106	59,280
04/30/13	2,000 shares	Qualcomm Inc.	123,509	132,560
03/18/10	1,180 shares	Rio Tinto, Plc	66,966	53,230
08/03/11	820 shares	Rio Tinto, Plc	54,949	36,990
07/23/12	1,000 shares	Rio Tinto, Plc	43,439	45,110
07/02/13	2,000 shares	Rio Tinto, Plc	80,768	90,220
Various	600 shares	SPDR Gold Trust	86,073	80,772
Subtotal carried forward			<u>\$ 3,710,186</u>	<u>\$ 5,461,146</u>

THE NEUWIRTH FOUNDATION, INC.
SCHEDULE OF INVESTMENTS
AUGUST 31, 2013
(Unaudited)

Date Acquired		Description	Cost	Market Value
		Subtotal carried forward	3,710,186	5,461,146
11/23/11 & 06/15/02	3000 shares	Teva Pharm Inds. Ltd.	113,178	114,660
12/20/12	1000 shares	Teva Pharm Inds. Ltd.	38,009	38,220
03/02/05	2000 shares	Textron Inc.	79,810	53,880
08/15/13	1000 shares	Trioity Industries, Inc.	38,739	42,220
05/06/10	2000 shares	Verizon Communications	51,665	94,760
Closed End Funds:				
04/27/04 & Reinvest	10,000 shares	Kayne Anderson MLP	252,441	355,700
Mutual Funds:				
02/16/13 & Reinvest	20,849 shares	Ivy High Income Fund	180,248	179,304
07/12/12, 01/22/13 & Reinvest	20,843 shares	Matthews China Dividend Fund	305,457	312,775
			<u>\$4,769,733</u>	<u>\$ 6,652,665</u>

THE NEUWIRTH FOUNDATION, INC.
SCHEDULE OF CHARITABLE CONTRIBUTIONS
FOR THE YEARS ENDED AUGUST 31,
(Unaudited)

	<u>2014</u>	<u>2013</u>
Amarylis Farm	\$ 1,000	\$ 2,500
Antidefamation League	2,500	-
Alzheimers Association	87,500	202,500
Beth El Temple	2,000	-
Boston University	2,500	-
Chicago Children's Museum	-	2,500
Children's Hospital	5,000	-
District 31 Education Foundation	-	1,000
East End Hospice	1,000	3,500
East Hampton Food Pantry	1,000	-
Ellen Frank Illumination Art Foundation	-	2,800
Ellen Run/Hermanson Foundation	5,000	5,000
Equestrian Aids Foundation	2,500	2,500
Equus Foundation	5,000	15,500
Flux Factory	250	-
Frick Foundation	1,200	-
Friends of Materials	-	2,500
Gideon Hausner Jewish School	6,800	-
Global Giving Foundation	5,000	1,800
Guild Hall	2,040	5,000
Hampton Chapter of Hadassah	540	540
Hampton Classic	25,000	25,000
Hampton Library	-	500
Hartford Jewish Federation	7,000	7,000
Hartford Stage	500	500
Hartford Symphony	500	500
Heart Association	5,000	5,000
Hebrew Home	2,000	2,000
Henry Kaufman Campgrounds	1,000	-
High Five Foundation	-	5,000
Hilgos Foundation	2,500	-
Jewish Congregation of the Hamptons	61,180	55,300
Jewish Home Foundation	500	-
Just World International	-	5,500
Kentucky Horse Foundation	5,000	5,000
Les Turner ALS Foundation	-	500
Mackey Foundation	-	2,500
Mackey Cancer Foundation	5,000	2,500
Material for the Arts	15,000	-
Metropolitan Museum of Art	100	4,150
Subtotal carried forward	<u>261,110</u>	<u>368,590</u>

THE NEUWIRTH FOUNDATION, INC.
SCHEDULE OF CHARITABLE CONTRIBUTIONS
FOR THE YEARS ENDED AUGUST 31,
(Unaudited)

	<u>2014</u>	<u>2013</u>
Subtotal carried forward	\$ 261,110	\$ 368,590
National Horse Show	7,500	-
National MS Society	8,000	5,000
Nature Conservancy	105,500	88,000
New Britain Museum of American Art	300	300
NY Stem Cell Foundation	30,000	-
Parrish Art Museum	2,000	4,600
Parsons Annual Fund	1,000	-
Peconic Land Trust	2,500	1,000
Promise Project	1,000	500
Quoque Library	500	-
Retreat	10,000	12,500
Society of Illustrators	15,750	10,250
Southampton Hospital	1,000	2,000
Southampton Police	-	250
St. John's Lutheran	-	1,000
UJA Federation	49,000	62,000
Una Escuelita	-	5,000
Uset Foundation	10,000	7,500
Victor D'Amico Institute of Art	500	2,000
Wadsworth Atheneum	1,000	1,000
Whitney Circle	1,000	-
Wildlife Rescue Center	-	100
Total charitable contributions	<u>\$ 507,660</u>	<u>\$ 571,590</u>