Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning SEP 1, 2013 , and ending AUG 31, 2014

Name of foundation
FRANK B. WALKER TRUST
C/O BATH SAVINGS TRUST CO

A Employer identification number
01-6008118

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
105 FRONT STREET

B Telephone number
207-443-6296

City or town, state or province, country, and ZIP or foreign postal code
BATH, ME 04530

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [X] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 486,391.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	7,356.	7,356.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	28,765.			
	b Gross sales price for all assets on line 6a 585,363.				
	7 Capital gain net income (from Part IV, line 2)		28,765.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	36,121.	36,121.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	4,707.	3,530.		1,177.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 2	1,175.	880.		295.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 3	625.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	6,507.	4,410.		1,472.
	25 Contributions, gifts, grants paid	23,090.			23,090.
	26 **Total expenses and disbursements.** Add lines 24 and 25	29,597.	4,410.		24,562.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	6,524.			
	b **Net investment income** (if negative, enter -0-)		31,711.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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10-10-13 LHA **For Paperwork Reduction Act Notice, see instructions.** Form **990-PF** (2013)

15351106 803048 WAL8118 2013.04000 FRANK B. WALKER TRUST C/O B WAL81181

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	11,748.	121,011.	120,944.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 4	416,634.	263,728.	270,068.
	c Investments - corporate bonds STMT 5	0.	81,036.	80,835.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 6	45,269.	14,400.	14,544.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	473,651.	480,175.	486,391.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	473,651.	480,175.	
	30 **Total net assets or fund balances**	473,651.	480,175.	
	31 **Total liabilities and net assets/fund balances**	473,651.	480,175.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	473,651.
2	Enter amount from Part I, line 27a	2	6,524.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	480,175.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	480,175.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	585,363.		556,598.	28,765.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				28,765.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	28,765.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	22,758.	471,193.	.048299
2011	21,998.	438,227.	.050198
2010	18,971.	451,698.	.041999
2009	17,320.	413,080.	.041929
2008	19,590.	355,446.	.055114

2	**Total** of line 1, column (d)	2	.237539
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047508
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	482,225.
5	Multiply line 4 by line 3	5	22,910.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	317.
7	Add lines 5 and 6	7	23,227.
8	Enter qualifying distributions from Part XII, line 4	8	24,562.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	317.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	317.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	317.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 500.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	500.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	183.
11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 183. **Refunded** ▶		11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ME			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BATH SAVINGS TRUST COMPANY Telephone no. ▶ 207-443-6296
Located at ▶ 105 FRONT STREET, BATH, ME ZIP+4 ▶ 04530

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BANK OF AMERICA PO BOX 1802 PROVIDENCE, RI 02901	TRUSTEE 1.00	3,152.	0.	0.
BATH SAVINGS TRUST COMPANY 105 FRONT STREET BATH, ME 04530	TRUSTEE 1.00	1,555.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	489,569.
b	Average of monthly cash balances		1b	
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	489,569.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	489,569.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	7,344.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	482,225.
6	**Minimum investment return.** Enter 5% of line 5		6	24,111.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	24,111.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 317.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	317.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	23,794.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	23,794.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	23,794.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	24,562.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	24,562.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	317.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	24,245.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				23,794.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			3,222.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 24,562.				
a Applied to 2012, but not more than line 2a			3,222.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				21,340.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				2,454.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NORTH WOOLWICH METHODIST CHURCH 35 CHOPPS CROSS ROAD WOOLWICH, ME 04579	NONE	PC	UNRESTRICTED GENERAL SUPPORT	11,545.
DAYS FERRY CONGREGATIONAL CHURCH OLD STAGE ROAD WOOLWICH, ME 04579	NONE	PC	UNRESTRICTED GENERAL SUPPORT	11,545.
Total			▶ 3a	23,090.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Form **990-PF** (2013)

323611
10-10-13

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	7,356.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	28,765.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		36,121.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 36,121.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Evelin Wing, VP, BSTC | 11/10/2014 | VP

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
	[signature]	11/06/14		P00055847

Firm's name ▶ WILLIAM T. RACINE, CPA | Firm's EIN ▶ 01-0425282

Firm's address ▶ 859 WASHINGTON STREET BATH, ME 04530 | Phone no. 207-443-5716

Form 990-PF (2013)

323622 10-10-13

Part IV **Capital Gains and Losses for Tax on Investment Income**

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UNION PACIFIC CORP	P	02/20/14	03/07/14
b	BANK OF AMERICA, CTF, SHORT TERM-ATTACHED	P	VARIOUS	VARIOUS
c	BANK OF AMERICA, CTF, SHORT TERM-ATTACHED	P	VARIOUS	VARIOUS
d	BANK OF AMERICA, CTF, LONG TERM-ATTACHED	P	VARIOUS	VARIOUS
e	BANK OF AMERICA, CTF, LONG TERM-ATTACHED	P	VARIOUS	VARIOUS
f	BANK OF AMERICA, CTF,ADJUSTMENT	P		
g	BANK OF AMERICA ORDINARY LOSSES, ATTACHED	P		
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	27,588.		26,742.	846.
b	452,873.		432,833.	20,040.
c	4,466.			4,466.
d	84,764.		75,498.	9,266.
e	15,672.			15,672.
f			21,377.	<21,377.>
g			148.	<148.>
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				846.
b				20,040.
c				4,466.
d				9,266.
e				15,672.
f				<21,377.>
g				<148.>
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	28,765.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

323591
05-01-13

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA	5,417.	0.	5,417.	5,417.	
BATH SAVINGS TRUST CO	1,939.	0.	1,939.	1,939.	
TO PART I, LINE 4	7,356.	0.	7,356.	7,356.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	1,175.	880.		295.
TO FORM 990-PF, PG 1, LN 16B	1,175.	880.		295.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
990-PF, PRIOR YEAR	125.	0.		0.
990-PF, CURRENT YEAR	500.	0.		0.
TO FORM 990-PF, PG 1, LN 18	625.	0.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 4

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BATH SAVINGS TRUST-SEE ATTACHED	263,728.	270,068.
TOTAL TO FORM 990-PF, PART II, LINE 10B	263,728.	270,068.

FORM 990-PF CORPORATE BONDS STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BATH SAVINGS TRUST-SEE ATTACHED	81,036.	80,835.
TOTAL TO FORM 990-PF, PART II, LINE 10C	81,036.	80,835.

FORM 990-PF OTHER INVESTMENTS STATEMENT 6

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BATH SAVINGS TRUST-SEE ATTACHED	COST	14,400.	14,544.
TOTAL TO FORM 990-PF, PART II, LINE 13		14,400.	14,544.

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
45	**SHORT-TERM GAIN/LOSS - CURRENT SALES** REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING				
	AGGREGATE BOND CTF - 202671913 - MINOR = 4100				
12/18/13	PROCEEDS REDEMPTION 4 843 UNITS @ 16.4585 AGGREGATE BOND CTF TR TRAN#=133520001010 TR CD=831 REG=0 PORT=PRIN	-1.68		79.71 **CHANGED** 01/05/14 CS2	1312000037
12/18/13	PROCEEDS REDEMPTION 720.632 UNITS @ 16 4585 AGGREGATE BOND CTF TR TRAN#=133520001020 TR CD=831 REG=0 PORT=PRIN	-39 28		11860 52 **CHANGED** 01/05/14 CS2	1312000038
		-40.96	0.00	11940.23	
	SMALL CAP GROWTH LEADERS CTF - 207543877 - MINOR = 4300				
12/18/13	PROCEEDS REDEMPTION 15.130 UNITS @ 20.2952 SMALL CAP GROWTH LEADERS CTF TR TRAN#=133520002010 TR CD=831 REG=0 PORT=PRIN	33.32		307.07 **CHANGED** 01/05/14 CS2	1312000040
12/18/13	PROCEEDS REDEMPTION 269.901 UNITS @ 20 2952 SMALL CAP GROWTH LEADERS CTF TR TRAN#=133520002020 TR CD=831 REG=0 PORT=PRIN	749.59		5477.69 **CHANGED** 01/05/14 CS2	1312000041
12/18/13	PROCEEDS REDEMPTION 290.500 UNITS @ 20 2952 SMALL CAP GROWTH LEADERS CTF TR TRAN#=133520002030 TR CD=831 REG=0 PORT=PRIN	1005 20		5895.76 **CHANGED** 01/05/14 CS2	1312000042
		1788 11	0.00	11680.52	
	EMERGING MARKETS STOCK COMMON TRUST FUND - 29099J109 - MINOR = 4300				
12/18/13	PROCEEDS REDEMPTION 2.047 UNITS @ 46 6107 EMERGING MARKETS STOCK COMMON TRUST FUND TR TRAN#=133520003010 TR CD=831 REG=0 PORT=PRIN	-5.37		95.41 **CHANGED** 01/05/14 CS2	1312000043
12/18/13	PROCEEDS REDEMPTION 574 UNITS @ 46.6107 EMERGING MARKETS STOCK COMMON TRUST FUND TR TRAN#=133520003020 TR CD=831 REG=0 PORT=PRIN	-1.00		26.75 **CHANGED** 01/05/14 CS2	1312000044
12/18/13	PROCEEDS REDEMPTION 1.054 UNITS @ 46 6107 EMERGING MARKETS STOCK COMMON TRUST FUND TR TRAN#=133520003030 TR CD=831 REG=0 PORT=PRIN	-1.05		49.13 **CHANGED** 01/05/14 CS2	1312000045
		-7 42	0.00	171 29	
	MID CAP VALUE CTF - 302993993 - MINOR = 4300				
12/18/13	PROCEEDS REDEMPTION 2.732 UNITS @ 25.5076 MID CAP VALUE CTF TR TRAN#=133520004010 TR CD=831 REG=0 PORT=PRIN	3.93		69.69 **CHANGED** 01/05/14 CS2	1312000049
		3.93	0 00	69 69	
	SMALL CAP VALUE CTF - 303995997 - MINOR = 4300				
12/18/13	PROCEEDS REDEMPTION 8 197 UNITS @ 22 8350 SMALL CAP VALUE CTF TR TRAN#=133520005010 TR CD=831 REG=0 PORT=PRIN	10.10		187.18 **CHANGED** 01/05/14 CS2	1312000054
12/18/13	PROCEEDS REDEMPTION 236.297 UNITS @ 22.8350 SMALL CAP VALUE CTF TR TRAN#=133520005020 TR CD=831 REG=0 PORT=PRIN	438.85		5395 84 **CHANGED** 01/05/14 CS2	1312000055
12/18/13	PROCEEDS REDEMPTION 250.803 UNITS @ 22.8350 SMALL CAP VALUE CTF TR TRAN#=133520005030 TR CD=831 REG=0 PORT=PRIN	570 27		5727 09 **CHANGED** 01/05/14 CS2	1312000056
		1019 22	0 00	11310.11	
	MID CAP GROWTH CTF - 323991307 - MINOR = 4300				

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
45	SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED) REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
	MID CAP GROWTH CTF - 323991307 - MINOR = 4300 (CONTINUED)				
12/18/13	PROCEEDS REDEMPTION .694 UNITS @ 24 1680 MID CAP GROWTH CTF TR TRAN#=133520006010 TR CD=831 REG=0 PORT=PRIN	0 43		16.77	1312000057
		0.43	0.00	16.77	
	DIVIDEND INCOME COMMON TRUST FUND - 45399C107 - MINOR = 4300				
12/18/13	PROCEEDS REDEMPTION 550 176 UNITS @ 45 3524 DIVIDEND INCOME COMMON TRUST FUND TR TRAN#=133520007010 TR CD=831 REG=0 PORT=PRIN	2035.06		24951.80 **CHANGED** 01/05/14 CS2	1312000062
12/18/13	PROCEEDS REDEMPTION 602.848 UNITS @ 45 3524 DIVIDEND INCOME COMMON TRUST FUND TR TRAN#=133520007020 TR CD=831 REG=0 PORT=PRIN	2675.01		27340.61 **CHANGED** 01/05/14 CS2	1312000063
		4710.07	0.00	52292 41	
	ISHARES CORE S&P MID CAP ETF - 464287507 - MINOR = 2511				
12/16/13	PROCEEDS SALE SHARES ISHARES CORE S&P MID CAP ETF TR TRAN#=133500001010 TR CD=830 REG=60 PORT=PRIN	654.33		5099.35	1312000021
12/16/13	PROCEEDS SALE SHARES ISHARES CORE S&P MID CAP ETF TR TRAN#=133500001020 TR CD=830 REG=60 PORT=PRIN	1754.96		11506.24	1312000022
		2409.29	0.00	16605.59	
	ISHARES RUSSELL 2000 ETF - 464287655 - MINOR = 2511				
12/16/13	PROCEEDS SALE SHARES ISHARES RUSSELL 2000 ETF TR TRAN#=133500002010 TR CD=830 REG=60 PORT=PRIN	2049 29		11486 66	1312000023
		2049 29	0 00	11486.66	
	IVY ASSET STRATEGY FUND CL I - 466001864 - MINOR = 3501				
12/12/13	PROCEEDS REDEMPTION 175.642 UNITS @ 31.1900 IVY ASSET STRATEGY FUND CL I TR TRAN#=133460002010 TR CD=831 REG=12 PORT=PRIN	677.96		5478 27	1312000007
12/12/13	PROCEEDS REDEMPTION 442.789 UNITS @ 31 1900 IVY ASSET STRATEGY FUND CL I TR TRAN#=133460002020 TR CD=831 REG=12 PORT=PRIN	1784 43		13810 59	1312000008
		2462.39	0.00	19288 86	
	PIMCO HIGH YIELD FD INSTL CL - 693390841 - MINOR = 3511				
12/02/13	PROCEEDS REDEMPTION 473.000 UNITS PIMCO HIGH YIELD FD INSTL CL TR TRAN#=133360002010 TR CD=831 REG=12 PORT=PRIN	-37.84		4550.26	1312000001
12/12/13	PROCEEDS REDEMPTION 6.060 UNITS @ 9 6200 PIMCO HIGH YIELD FD INSTL CL TR TRAN#=133460004010 TR CD=831 REG=12 PORT=PRIN	-0.54		58.30	1312000009
12/12/13	PROCEEDS REDEMPTION 518.847 UNITS @ 9 6200 PIMCO HIGH YIELD FD INSTL CL TR TRAN#=133460004020 TR CD=831 REG=12 PORT=PRIN	-41.51		4991 31	1312000010
		-79 89	0.00	9599 87	
	PERMANENT PORTFOLIO - 714199106 - MINOR = 3511				

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
45	**SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)** REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
	PERMANENT PORTFOLIO - 714199106 - MINOR = 3511 (CONTINUED)				
12/12/13	PROCEEDS REDEMPTION 50.766 UNITS @ 47 4700 PERMANENT PORTFOLIO TR TRAN#=133460006010 TR CD=831 REG=12 PORT=PRIN	-68.55		2409.86	1312000011
12/12/13	PROCEEDS REDEMPTION 197.880 UNITS @ 47 4700 PERMANENT PORTFOLIO TR TRAN#=133460006020 TR CD=831 REG=12 PORT=PRIN	-227 55		9393.37	1312000012
12/12/13	PROCEEDS REDEMPTION 7.208 UNITS @ 47 4700 PERMANENT PORTFOLIO TR TRAN#=133460006030 TR CD=831 REG=12 PORT=PRIN	-4.64		342.16	1312000013
12/12/13	PROCEEDS REDEMPTION 63.811 UNITS @ 47 4700 PERMANENT PORTFOLIO TR TRAN#=133460006040 TR CD=831 REG=12 PORT=PRIN	-0.01		3029 11	1312000014
		-300.75	0.00	15174.50	
	PIMCO ALL ASSET ALL AUTH FUND INSTL CL - 72200Q182 - MINOR = 3519				
12/12/13	PROCEEDS REDEMPTION 411.520 UNITS @ 10 2500 PIMCO ALL ASSET ALL AUTH FUND INSTL CL TR TRAN#=133460007010 TR CD=831 REG=12 PORT=PRIN	-374 48		4218 08	1312000015
12/12/13	PROCEEDS REDEMPTION 132.947 UNITS @ 10.2500 PIMCO ALL ASSET ALL AUTH FUND INSTL CL TR TRAN#=133460007020 TR CD=831 REG=12 PORT=PRIN	-118 32		1362 71	1312000016
12/12/13	PROCEEDS REDEMPTION 1,736 629 UNITS @ 10.2500 PIMCO ALL ASSET ALL AUTH FUND INSTL CL TR TRAN#=133460007030 TR CD=831 REG=12 PORT=PRIN	-1441.40		17800 45	1312000017
12/12/13	PROCEEDS REDEMPTION 821 849 UNITS @ 10.2500 PIMCO ALL ASSET ALL AUTH FUND INSTL CL TR TRAN#=133460007040 TR CD=831 REG=12 PORT=PRIN	-558.86		8423 95	1312000018
12/12/13	PROCEEDS REDEMPTION 159 845 UNITS @ 10.2500 PIMCO ALL ASSET ALL AUTH FUND INSTL CL TR TRAN#=133460007050 TR CD=831 REG=12 PORT=PRIN	-12 79		1638.41	1312000019
12/12/13	PROCEEDS REDEMPTION 44 000 UNITS @ 10.2500 PIMCO ALL ASSET ALL AUTH FUND INSTL CL TR TRAN#=133460007060 TR CD=831 REG=12 PORT=PRIN	-0 88		451.00	1312000020
		-2506 73	0.00	33894.60	
	POWERSHARES DB COMMODITY INDEX TRACKING FUND UNIT BEN INT - 73935S105 - MINOR = 2541				
10/03/13	PROCEEDS SALE SHARES POWERSHARES DB COMMODITY INDEX TRACKING FUND UNIT BEN INT TR TRAN#=132760002010 TR CD=830 REG=60 PORT=PRIN	-582 46		9288.80 **CHANGED** 10/25/13 CS2	1310000001
10/03/13	PROCEEDS SALE SHARES POWERSHARES DB COMMODITY INDEX TRACKING FUND UNIT BEN INT TR TRAN#=132760002020 TR CD=830 REG=60 PORT=PRIN	-710 80		14151.91 **CHANGED** 10/25/13 CS2	1310000002
12/16/13	PROCEEDS SALE SHARES POWERSHARES DB COMMODITY INDEX TRACKING FUND UNIT BEN INT TR TRAN#=133500003010 TR CD=830 REG=60 PORT=PRIN	-723 44		13355 60 **CHANGED** 01/05/14 CS2	1312000024
12/16/13	PROCEEDS SALE SHARES POWERSHARES DB COMMODITY INDEX TRACKING FUND UNIT BEN INT TR TRAN#=133500003020 TR CD=830 REG=60 PORT=PRIN	-84.81		2896.70 **CHANGED** 01/05/14 CS2	1312000025

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
45	**SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)** REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
	POWERSHARES DB COMMODITY INDEX TRACKING FUND UNIT BEN INT - 73935S105 - MINOR = 2541 (CONTINUED)				
12/16/13	PROCEEDS SALE SHARES POWERSHARES DB COMMODITY INDEX TRACKING FUND UNIT BEN INT TR TRAN#=133500003030 TR CD=830 REG=60 PORT=PRIN	-91 02		3383.76 **CHANGED** 01/05/14 CS2	1312000026
		-2192.53	0.00	43076.77	
	VANGUARD FTSE DEVELOPED MARKETS ETF - 921943858 - MINOR = 2510				
12/16/13	PROCEEDS SALE SHARES VANGUARD FTSE DEVELOPED MARKETS ETF TR TRAN#=133500004010 TR CD=830 REG=60 PORT=PRIN	-96.06		4405.64	1312000027
12/16/13	PROCEEDS SALE SHARES VANGUARD FTSE DEVELOPED MARKETS ETF TR TRAN#=133500004020 TR CD=830 REG=60 PORT=PRIN	969.91		10225 92	1312000028
12/16/13	PROCEEDS SALE SHARES VANGUARD FTSE DEVELOPED MARKETS ETF TR TRAN#=133500004030 TR CD=830 REG=60 PORT=PRIN	517.88		5254.43	1312000029
		1391.73	0.00	19885.99	
	VANGUARD FTSE EMERGING MKTS ETF - 922042858 - MINOR = 2562				
12/04/13	PROCEEDS SALE SHARES VANGUARD FTSE EMERGING MKTS ETF TR TRAN#=133380004010 TR CD=830 REG=60 PORT=PRIN	-293.28		4190.62	1312000003
12/04/13	PROCEEDS SALE SHARES VANGUARD FTSE EMERGING MKTS ETF TR TRAN#=133380004020 TR CD=830 REG=60 PORT=PRIN	-63.04		1203 25	1312000004
12/16/13	PROCEEDS SALE SHARES VANGUARD FTSE EMERGING MKTS ETF TR TRAN#=133500005010 TR CD=830 REG=60 PORT=PRIN	-779.38		12407 45	1312000030
12/16/13	PROCEEDS SALE SHARES VANGUARD FTSE EMERGING MKTS ETF TR TRAN#=133500005020 TR CD=830 REG=60 PORT=PRIN	-190 81		5258 79	1312000031
		-1326 51	0.00	23060.11	
	VANGUARD REIT ETF - 922908553 - MINOR = 2511				
12/16/13	**PROCEEDS SALE SHARES VANGUARD REIT ETF TR TRAN#=133500006010 TR CD=830 REG=60 PORT=PRIN**	-399.25	**SALES EXIST AFTER RETURN OF CAPITAL ***FOUND UPDATE*** 02/13/14**	2707.78	1312000032
12/16/13	**PROCEEDS SALE SHARES VANGUARD REIT ETF TR TRAN#=133500006020 TR CD=830 REG=60 PORT=PRIN**	-1000.21	**SALES EXIST AFTER RETURN OF CAPITAL ***FOUND UPDATE*** 02/13/14**	18360.04	1312000033
12/16/13	**PROCEEDS SALE SHARES VANGUARD REIT ETF TR TRAN#=133500006030 TR CD=830 REG=60 PORT=PRIN**	-252.60	**SALES EXIST AFTER RETURN OF CAPITAL ***FOUND UPDATE*** 02/13/14**	4689.08	1312000034
12/16/13	**PROCEEDS SALE SHARES VANGUARD REIT ETF** TR TRAN#=133500006040 TR CD=830 REG=60 PORT=PRIN	-13.16	**SALES EXIST AFTER RETURN OF CAPITAL**	3632.38	1312000035
12/16/13	**PROCEEDS SALE SHARES VANGUARD REIT ETF TR TRAN#=133500006050** TR CD=830 REG=60 PORT=PRIN	30.75	**SALES EXIST AFTER RETURN OF CAPITAL**	5877.86	1312000036
		-1634.47	0 00	35267.14	
	HIGH QUALITY CORE COMMON TRUST FUND - 99Z466163 - MINOR = 4300				
12/04/13	PROCEEDS REDEMPTION 465.350 UNITS HIGH QUALITY CORE COMMON TRUST FUND TR TRAN#=133380006010 TR CD=831 REG=0 PORT=PRIN	626 48		5704.31 **CHANGED** 12/09/13 CS2	1312000005

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
45	**SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)** REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
	HIGH QUALITY CORE COMMON TRUST FUND - 99Z466163 - MINOR = 4300 (CONTINUED)				
12/18/13	PROCEEDS REDEMPTION 332 460 UNITS @ 12 0310 HIGH QUALITY CORE COMMON TRUST FUND TR TRAN#=133520008010 TR CD=831 REG=0 PORT=PRIN	108.59		3999.83 **CHANGED** 01/05/14 CS2	1312000064
12/18/13	PROCEEDS REDEMPTION 1,068.160 UNITS @ 12 0310 HIGH QUALITY CORE COMMON TRUST FUND TR TRAN#=133520008020 TR CD=831 REG=0 PORT=PRIN	973.85		12851.03 **CHANGED** 01/05/14 CS2	1312000065
12/18/13	PROCEEDS REDEMPTION 655.116 UNITS @ 12 0310 HIGH QUALITY CORE COMMON TRUST FUND TR TRAN#=133520008030 TR CD=831 REG=0 PORT=PRIN	703.53		7881 70 **CHANGED** 01/05/14 CS2	1312000066
		2412.45	0.00	30436.87	
	INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND - 99Z466197 - MINOR = 4300				
12/18/13	PROCEEDS REDEMPTION 906.837 UNITS @ 11.3304 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND TR TRAN#=133520009010 TR CD=831 REG=0 PORT=PRIN	14.88		10274 83 **CHANGED** 01/05/14 CS2	1312000067
12/18/13	PROCEEDS REDEMPTION 5 447 UNITS @ 11 3304 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND TR TRAN#=133520009020 TR CD=831 REG=0 PORT=PRIN	2 74		61 72 **CHANGED** 01/05/14 CS2	1312000068
12/18/13	PROCEEDS REDEMPTION 2,491.723 UNITS @ 11 3304 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND TR TRAN#=133520009030 TR CD=831 REG=0 PORT=PRIN	1837.50		28232 22 **CHANGED** 01/05/14 CS2	1312000069
12/18/13	PROCEEDS REDEMPTION 16.596 UNITS @ 11 3304 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND TR TRAN#=133520009040 TR CD=831 REG=0 PORT=PRIN	14 17		188 04 **CHANGED** 01/05/14 CS2	1312000070
12/18/13	PROCEEDS REDEMPTION 1,154.469 UNITS @ 11 3304 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND TR TRAN#=133520009050 TR CD=831 REG=0 PORT=PRIN	1104 51		13080 59 **CHANGED** 01/05/14 CS2	1312000071
		2973.80	0.00	51837 40	
	STRATEGIC GROWTH COMMON TRUST FUND - 99Z501647 - MINOR = 4300				
12/04/13	PROCEEDS REDEMPTION 712 156 UNITS STRATEGIC GROWTH COMMON TRUST FUND TR TRAN#=133380007010 TR CD=831 REG=0 PORT=PRIN	1199.21		8413.98 **CHANGED** 12/09/13 CS2	1312000006
12/18/13	PROCEEDS REDEMPTION 197 510 UNITS @ 11 5882 STRATEGIC GROWTH COMMON TRUST FUND TR TRAN#=133520010010 TR CD=831 REG=0 PORT=PRIN	246 81		2288.79 **CHANGED** 01/05/14 CS2	1312000072
12/18/13	PROCEEDS REDEMPTION 3,889 721 UNITS @ 11.5882 STRATEGIC GROWTH COMMON TRUST FUND TR TRAN#=133520010020 TR CD=831 REG=0 PORT=PRIN	5462.67		45074.86 **CHANGED** 01/05/14 CS2	1312000073
		6908.69	0 00	55777.63	
	TOTAL REPORTABLE FOR CODE 45	20040 14	0.00	452873.01	
	TOTAL CODE 45 - SHORT-TERM GAIN/LOSS - CURRENT SALES	20040.14	0 00	452873 01	
46	**SHORT-TERM GAIN/LOSS - COMMON TRUST FUND** SMALL CAP CTF - 126129108 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	41.65			

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
46	SHORT-TERM GAIN/LOSS - COMMON TRUST FUND (CONTINUED)				
	SMALL CAP CTF - 126129108 - MINOR = 4300 (CONTINUED)				
12/31/13	COMMON TRUST FUND EARNINGS	15.70			
		57.35	0.00	0.00	
	INTERNATIONAL EQUITY CTF - 1261292H7 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	124.25			
12/31/13	COMMON TRUST FUND EARNINGS	16.36			
		140.61	0 00	0.00	
	LARGE CAP VALUE QUANTITATIVE CTF - 202670915 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	2105.16			
		2105.16	0 00	0.00	
	AGGREGATE BOND CTF - 202671913 - MINOR = 4100				
12/31/13	COMMON TRUST FUND EARNINGS	-593.25			
		-593.25	0.00	0.00	
	SMALL CAP GROWTH LEADERS CTF - 207543877 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-3 98			
12/31/13	COMMON TRUST FUND EARNINGS	18.78			
		14 80	0 00	0.00	
	EMERGING MARKETS STOCK COMMON TRUST FUND - 29099J109 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-727 72			
12/31/13	COMMON TRUST FUND EARNINGS	-109.12			
		-836 84	0 00	0.00	
	MID CAP VALUE CTF - 302993993 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	241 88			
12/31/13	COMMON TRUST FUND EARNINGS	90.86			
		332.74	0 00	0 00	
	SMALL CAP VALUE CTF - 303995997 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	478.72			
		478 72	0 00	0.00	
	MID CAP GROWTH CTF - 323991307 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	584.22			
12/31/13	COMMON TRUST FUND EARNINGS	24.58			
		608.80	0.00	0.00	
	DIVIDEND INCOME COMMON TRUST FUND - 45399C107 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	1 60			
		1.60	0 00	0.00	
	LARGE CAP GROWTH CTF - 993360882				
12/31/13	COMMON TRUST FUND EARNINGS	2449.47			
		2449.47	0.00	0.00	
	HIGH QUALITY CORE COMMON TRUST FUND - 99Z466163 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	279 01			
12/31/13	COMMON TRUST FUND EARNINGS	66 95			
		345 96	0 00	0 00	
	INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND - 99Z466197 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-86.17			
12/31/13	COMMON TRUST FUND EARNINGS	-126 07			

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
46	SHORT-TERM GAIN/LOSS - COMMON TRUST FUND (CONTINUED)				
	INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND - 99Z466197 - MINOR = 4300 (CONTINUED)				
		-212.24	0 00	0.00	
	STRATEGIC GROWTH COMMON TRUST FUND - 99Z501647 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-157.36			
12/31/13	COMMON TRUST FUND EARNINGS	-270.00			
		-427.36	0.00	0.00	
	TOTAL CODE 46 - SHORT-TERM GAIN/LOSS - COMMON TRUST FUND	4465.52	0 00	0.00	
509	LONG-TERM GAIN/LOSS - CURRENT SALES REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING				
	AGGREGATE BOND CTF - 202671913 - MINOR = 4100				
12/18/13	PROCEEDS REDEMPTION 1,719.683 UNITS @ 16 4585 AGGREGATE BOND CTF TR TRAN#=133520001030 TR CD=831 REG=0 PORT=PRIN	42.53		28303 40	1312000039 **CHANGED** 01/05/14 CS2
		42.53	0 00	28303 40	
	EMERGING MARKETS STOCK COMMON TRUST FUND - 29099J109 - MINOR = 4300				
12/04/13	PROCEEDS REDEMPTION 112 562 UNITS EMERGING MARKETS STOCK COMMON TRUST FUND TR TRAN#=133380002010 TR CD=831 REG=0 PORT=PRIN	310.41		5362 86	1312000002 **CHANGED** 12/09/13 CS2
12/18/13	PROCEEDS REDEMPTION 298.945 UNITS @ 46 6107 EMERGING MARKETS STOCK COMMON TRUST FUND TR TRAN#=133520003040 TR CD=831 REG=0 PORT=PRIN	539.97		13934 04	1312000046 **CHANGED** 01/05/14 CS2
12/18/13	PROCEEDS REDEMPTION 29.325 UNITS @ 46 6107 EMERGING MARKETS STOCK COMMON TRUST FUND TR TRAN#=133520003050 TR CD=831 REG=0 PORT=PRIN	188 25		1366.86	1312000047 **CHANGED** 01/05/14 CS2
12/18/13	PROCEEDS REDEMPTION 46 335 UNITS @ 46.6107 EMERGING MARKETS STOCK COMMON TRUST FUND TR TRAN#=133520003060 TR CD=831 REG=0 PORT=PRIN	593 95		2159 71	1312000048 **CHANGED** 01/05/14 CS2
		1632 58	0 00	22823 47	
	MID CAP VALUE CTF - 302993993 - MINOR = 4300				
12/18/13	PROCEEDS REDEMPTION 87 412 UNITS @ 25.5076 MID CAP VALUE CTF TR TRAN#=133520004020 TR CD=831 REG=0 PORT=PRIN	240.20		2229.67	1312000050 **CHANGED** 01/05/14 CS2
12/18/13	PROCEEDS REDEMPTION 343 369 UNITS @ 25.5076 MID CAP VALUE CTF TR TRAN#=133520004030 TR CD=831 REG=0 PORT=PRIN	2129 47		8758 52	1312000051 **CHANGED** 01/05/14 CS2
12/18/13	PROCEEDS REDEMPTION 64 209 UNITS @ 25.5076 MID CAP VALUE CTF TR TRAN#=133520004040 TR CD=831 REG=0 PORT=PRIN	491 68		1637.82	1312000052 **CHANGED** 01/05/14 CS2
12/18/13	PROCEEDS REDEMPTION 157.511 UNITS @ 25.5076 MID CAP VALUE CTF TR TRAN#=133520004050 TR CD=831 REG=0 PORT=PRIN	1611 33		4017.72	1312000053 **CHANGED** 01/05/14 CS2
		4472.68	0.00	16643.73	
	MID CAP GROWTH CTF - 323991307 - MINOR = 4300				
12/18/13	PROCEEDS REDEMPTION 426 857 UNITS @ 24.1680 MID CAP GROWTH CTF TR TRAN#=133520006020 TR CD=831 REG=0 PORT=PRIN	1346 43		10316 28	1312000058

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
509	**LONG-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)** REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
	MID CAP GROWTH CTF - 323991307 - MINOR = 4300 (CONTINUED)				
12/18/13	PROCEEDS REDEMPTION 13.258 UNITS @ 24 1680 MID CAP GROWTH CTF TR TRAN#=133520006030 TR CD=831 REG=0 PORT=PRIN	45 56		320 42	1312000059
12/18/13	PROCEEDS REDEMPTION 34.665 UNITS @ 24 1680 MID CAP GROWTH CTF TR TRAN#=133520006040 TR CD=831 REG=0 PORT=PRIN	189.94		837.78	1312000060
12/18/13	PROCEEDS REDEMPTION 228.337 UNITS @ 24 1680 MID CAP GROWTH CTF TR TRAN#=133520006050 TR CD=831 REG=0 PORT=PRIN	1535.96		5518.45	1312000061
		3117.89	0 00	16992 93	
	TOTAL REPORTABLE FOR CODE 509	9265.68	0.00	84763 53	
	TOTAL CODE 509 - LONG-TERM GAIN/LOSS - CURRENT SALES	9265.68	0 00	84763 53	
510	**LONG-TERM GAIN/LOSS - COMMON TRUST FUND** SMALL CAP CTF - 126129108 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	351.18			
12/31/13	COMMON TRUST FUND EARNINGS	136 04			
		487 22	0.00	0 00	
	INTERNATIONAL EQUITY CTF - 1261292H7 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	992.11			
12/31/13	COMMON TRUST FUND EARNINGS	398.03			
		1390 14	0.00	0 00	
	LARGE CAP VALUE QUANTITATIVE CTF - 202670915 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	3668 27			
		3668 27	0.00	0.00	
	AGGREGATE BOND CTF - 202671913 - MINOR = 4100				
12/31/13	COMMON TRUST FUND EARNINGS	204.15			
		204 15	0 00	0.00	
	SMALL CAP GROWTH LEADERS CTF - 207543877 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	137 10			
12/31/13	COMMON TRUST FUND EARNINGS	-0 16			
		136 94	0 00	0.00	
	EMERGING MARKETS STOCK COMMON TRUST FUND - 29099J109 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-53.02			
12/31/13	COMMON TRUST FUND EARNINGS	29 73			
		-23 29	0 00	0.00	
	MID CAP VALUE CTF - 302993993 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	470.05			
12/31/13	COMMON TRUST FUND EARNINGS	234.90			
		704.95	0.00	0 00	
	SMALL CAP VALUE CTF - 303995997 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	173.15			
		173.15	0.00	0 00	
	MID CAP GROWTH CTF - 323991307 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	712 74			

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
510	**LONG-TERM GAIN/LOSS - COMMON TRUST FUND (CONTINUED)**				
	MID CAP GROWTH CTF - 323991307 - MINOR = 4300 (CONTINUED)				
12/31/13	COMMON TRUST FUND EARNINGS	142 74			
		855.48	0.00	0.00	
	DIVIDEND INCOME COMMON TRUST FUND - 45399C107 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	1044.49			
		1044.49	0.00	0 00	
	LARGE CAP GROWTH CTF - 993360882				
12/31/13	COMMON TRUST FUND EARNINGS	6898.40			
		6898.40	0.00	0.00	
	HIGH QUALITY CORE COMMON TRUST FUND - 99Z466163 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	30 56			
12/31/13	COMMON TRUST FUND EARNINGS	65.25			
		95.81	0.00	0.00	
	INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND - 99Z466197 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	36.13			
		36.13	0.00	0 00	
	TOTAL CODE 510 - LONG-TERM GAIN/LOSS - COMMON TRUST FUND	15671 84	0 00	0 00	
517	**NONQUALIFIED SHORT-TERM CAPITAL GAIN DIVIDENDS**				
	REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING				
	PIMCO HIGH YIELD FD INSTL CL - 693390841 - MINOR = 3511				
02/28/14	MUTUAL FUND EARNINGS	0 02			
	TOTAL REPORTABLE FOR CODE 517	0.02	0 00	0 00	
	TOTAL CODE 517 - NONQUALIFIED SHORT-TERM CAPITAL GAIN DIVIDEN	0 02	0 00	0 00	
133	**SHORT-TERM GAIN/LOSS - CTF - STATE**				
	SMALL CAP CTF - 1261291Q8 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	41.65			
12/31/13	COMMON TRUST FUND EARNINGS	15.70			
		57.35	0.00	0 00	
	INTERNATIONAL EQUITY CTF - 1261292H7 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	124 25			
12/31/13	COMMON TRUST FUND EARNINGS	16.36			
		140.61	0 00	0.00	
	LARGE CAP VALUE QUANTITATIVE CTF - 202670915 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	2105.16			
		2105 16	0 00	0 00	
	AGGREGATE BOND CTF - 202671913 - MINOR = 4100				
12/31/13	COMMON TRUST FUND EARNINGS	-593 25			
		-593.25	0 00	0.00	
	SMALL CAP GROWTH LEADERS CTF - 207543877 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-3.98			
12/31/13	COMMON TRUST FUND EARNINGS	18.78			
		14 80	0 00	0 00	
	EMERGING MARKETS STOCK COMMON TRUST FUND - 29099J109 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-727 72			

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
515	LONG-TERM GAIN/LOSS - CTF - STATE (CONTINUED)				
	LARGE CAP VALUE QUANTITATIVE CTF - 202670915 - MINOR = 4300 (CONTINUED)				
		3668 27	0 00	0.00	
	AGGREGATE BOND CTF - 202671913 - MINOR = 4100				
12/31/13	COMMON TRUST FUND EARNINGS	204.15			
		204.15	0 00	0.00	
	SMALL CAP GROWTH LEADERS CTF - 207543877 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	137 10			
12/31/13	COMMON TRUST FUND EARNINGS	-0.16			
		136 94	0.00	0 00	
	EMERGING MARKETS STOCK COMMON TRUST FUND - 29099J109 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-53.02			
12/31/13	COMMON TRUST FUND EARNINGS	29.73			
		-23.29	0.00	0 00	
	MID CAP VALUE CTF - 302993993 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	470 05			
12/31/13	COMMON TRUST FUND EARNINGS	234.90			
		704 95	0.00	0.00	
	SMALL CAP VALUE CTF - 303995997 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	173 15			
		173 15	0.00	0.00	
	MID CAP GROWTH CTF - 323991307 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	712.74			
12/31/13	COMMON TRUST FUND EARNINGS	142 74			
		855.48	0.00	0 00	
	DIVIDEND INCOME COMMON TRUST FUND - 45399C107 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	1044.49			
		1044.49	0.00	0.00	
	LARGE CAP GROWTH CTF - 993360882				
12/31/13	COMMON TRUST FUND EARNINGS	6898.40			
		6898.40	0.00	0.00	
	HIGH QUALITY CORE COMMON TRUST FUND - 99Z466163 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	30.56			
12/31/13	COMMON TRUST FUND EARNINGS	65.25			
		95.81	0.00	0.00	
	INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND - 99Z466197 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	36.13			
		36 13	0 00	0 00	
	TOTAL CODE 515 - LONG-TERM GAIN/LOSS - CTF - STATE	15671.84	0 00	0.00	
17	ORDINARY GAIN - FORM 4797				
	INTERNATIONAL EQUITY CTF - 1261292H7 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-45 10			
12/31/13	COMMON TRUST FUND EARNINGS	-0.22			
		-45 32	0.00	0.00	

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
17	ORDINARY GAIN - FORM 4797 (CONTINUED)				
	EMERGING MARKETS STOCK COMMON TRUST FUND - 29099J109 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-101.76			
12/31/13	COMMON TRUST FUND EARNINGS	-2.09			
		-103 85	0.00	0.00	
	SMALL CAP VALUE CTF - 303995997 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	3.13			
		3.13	0.00	0.00	
	INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND - 99Z466197 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-1 58			
		-1.58	0.00	0.00	
	TOTAL CODE 17 - ORDINARY GAIN - FORM 4797	-147.62	0.00	0.00	
29	FOREIGN TAXES				
	NON-REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING				
	*** VA - VARIOUS				
	INTERNATIONAL EQUITY CTF - 1261292H7 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-40.54			
		-40 54	0.00	0.00	
	LARGE CAP VALUE QUANTITATIVE CTF - 202670915 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-2.02			
		-2 02	0.00	0.00	
	SMALL CAP GROWTH LEADERS CTF - 207543877 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-0.12			
		-0.12	0.00	0.00	
	EMERGING MARKETS STOCK COMMON TRUST FUND - 29099J109 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-75.89			
12/31/13	COMMON TRUST FUND EARNINGS	-1.84			
		-77 73	0 00	0.00	
	MID CAP VALUE CTF - 302993993 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-0 22			
		-0.22	0 00	0.00	
	SMALL CAP VALUE CTF - 303995997 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-0 05			
		-0.05	0 00	0.00	
	MID CAP GROWTH CTF - 323991307 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-0.10			
12/31/13	COMMON TRUST FUND EARNINGS	-0 05			
		-0 15	0.00	0 00	
	DIVIDEND INCOME COMMON TRUST FUND - 45399C107 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-5 90			
		-5.90	0.00	0 00	
	LARGE CAP GROWTH CTF - 993360882				
12/31/13	COMMON TRUST FUND EARNINGS	-0 75			
		-0.75	0 00	0.00	
	HIGH QUALITY CORE COMMON TRUST FUND - 99Z466163 - MINOR = 4300				
12/31/13	COMMON TRUST FUND EARNINGS	-7 35			

Statement of Assets

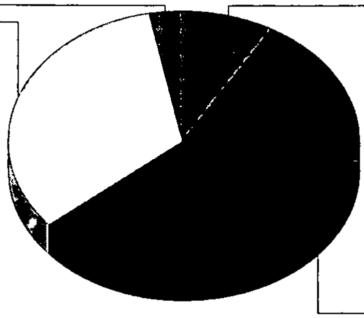
Symbol	Asset Description	Units/Shares or Face Value	Unit Price	Total Cost	Market Value
010 Common Stock					
AAPL	APPLE COMPUTER	150 0000	$102 50	$15,074 44	$15,375 00
ADP	AUTOMATIC DATA PROCESSING	150 0000	$83 48	$11,509 36	$12,522 00
BCPC	BALCHEM CORPORATION	225 0000	$51 44	$12,171 41	$11,574 00
CELG	CELGENE CORPORATION	180 0000	$95 02	$12,832 73	$17,103 60
CHD	CHURCH & DWIGHT COMPANY INC	150 0000	$68 24	$10,139 07	$10,236 00
ECL	ECOLAB INC	125 0000	$114 82	$14,186 82	$14,352 50
FDS	FACTSET RESEARCH SYSTEMS INC	50 0000	$127 40	$5,828 42	$6,370 00
FLO	FLOWERS FOODS INC	700 0000	$19 58	$14,717 11	$13,706 00
MA	MASTERCARD INCORPORATED	200 0000	$75 81	$14,957 59	$15,162 00
MCD	MCDONALDS CORP	125 0000	$93 72	$12,034 69	$11,715 00
PRAA	PORTFOLIO RECOVERY ASSOCIATES	275 0000	$56 83	$15,752 26	$15,628 25
PX	PRAXAIR INC	100 0000	$131 55	$13,092 84	$13,155 00
QCOM	QUALCOMM	200 0000	$76 10	$15,514 53	$15,220 00
SBUX	STARBUCKS CORP	225 0000	$77 81	$17,007 61	$17,507 25
SRCL	STERICYCLE INC	135 0000	$118 85	$15,238 01	$16,044 75
TJX	TJX COS INC NEW	275 0000	$59 61	$16,338 71	$16,392 75
TRMB	TRIMBLE NAVIGATION LTD	450 0000	$33 26	$16,213 82	$14,967 00
UNP	UNION PACIFIC CORPORATION	175 0000	$105 27	$16,342 23	$18,422 25
			Total	$248,951 65	$255,453 35
030 Foreign Stock					
RHHB Y	ROCHE HOLDINGS	400 0000	$36 54	$14,776 38	$14,614 80
			Total	$14,776 38	$14,614 80
200 Corporate Bonds					
00817YAK4	AETNA INC 1 75% 05/15/2017	20,000 0000	$101 05	$20,333 60	$20,210 60
021441AE0	ALTERA CORP 2 50% 11/15/2018	20,000 0000	$101 18	$20,189 80	$20,236 40
48126EAA5	JP MORGAN CHASE & CO NY 2 00% 08/15/2017 NON-CALLABLE	20,000 0000	$101 50	$20,390 40	$20,299 40
94973VBC0	WELLPOINT INC IN 1 875% 01/15/2018 NON-CALLABLE	20,000 0000	$100 45	$20,122 60	$20,089 00
			Total	$81,036 40	$80,835 40
280 Negotiable Cert of Deposit					
20451PJN7	COMPASS BANK AL 0 30% 03/26/2015 NON-CALLABLE	40,000 0000	$99 89	$40,000 00	$39,955 60
20451PJQ0	COMPASS BANK AL 0 45% 02/26/2016 NON-CALLABLE	40,000 0000	$99 94	$40,000 00	$39,977 20
			Total	$80,000 00	$79,932 80
501 BATH SAVINGS MONEY MARKET FUND					
BSIMM0006	BSTC & CO FIDUCIARY INVESTED CASH	41,010 8900	$1 00	$41,010 89	$41,010 89
			Total	$41,010 89	$41,010 89
610 Real Estate Investment Trusts					
WRI	WEINGARTEN REALTY INVESTORS	425 0000	$34 22	$14,399 84	$14,543 50
			Total	$14,399 84	$14,543 50
Cash					
	Cash			$0 00	$0 00
Grand Total				$480,175 16	$486,390 74

* All or part of value is unknown

BATH SAVINGS TRUST COMPANY

For the Account of:
Account Number: 33023000
FRANK B. WALKER TRUST
From 8/1/2014 To 8/31/2014

Asset Allocation



	Market Value	% Portfolio
Cash & Equivalents	$41,010 89	8 43 %
Equity	$269,996 85	55 51 %
Fixed Income	$160,768 20	33 05 %
International	$14,614 80	3 00 %
Total Assets	$486,390 74	100 00 %
Ex-Dividends	$470 88	
Accrued Income	$372 89	
	$487,234 51	

Activity Summary

	Cost Value	Market Value
Previous Statement Balance	$483,099 77	$480,541 13
Interest	$359 39	$359 39
Dividends	$91 00	$91 00
Realized Gains	$0 00	
Distributions	($3,000 00)	($3,000 00)
Account Fees	($375 00)	($375 00)
Net Portfolio Change		$8,774 22
Ending Balance	$480,175 16	$486,390 74

* All or part of value is unknown