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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.**2013****Open to Public Inspection**

For calendar year 2013 or tax year beginning

08/01, 2013, and ending

07/31, 2014

Name of foundation THE SHARKS FOUNDATION		A Employer identification number 77-0374062						
Number and street (or P O box number if mail is not delivered to street address) 525 WEST SANTA CLARA STREET		B Telephone number (see instructions) (408) 999-5784						
City or town, state or province, country, and ZIP or foreign postal code SAN JOSE, CA 95113		C If exemption application is pending, check here ☐						
G Check all that apply: <table border="0"> <tr> <td>☐ Initial return</td> <td>☐ Initial return of a former public charity</td> </tr> <tr> <td>☐ Final return</td> <td>☐ Amended return</td> </tr> <tr> <td>☐ Address change</td> <td>☐ Name change</td> </tr> </table>		☐ Initial return	☐ Initial return of a former public charity	☐ Final return	☐ Amended return	☐ Address change	☐ Name change	D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
☐ Initial return	☐ Initial return of a former public charity							
☐ Final return	☐ Amended return							
☐ Address change	☐ Name change							
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐						
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,636,983.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☒						
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)								

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)		586,208.			
2 Check ☐ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		667.	667.		ATCH 1
4 Dividends and interest from securities					
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10					
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)					
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) ATCH. 2		1,157,272.		1,157,272.	
12 Total. Add lines 1 through 11		1,744,147.	667.	1,157,272.	
13 Compensation of officers, directors, trustees, etc.		0			
14 Other employee salaries and wages		140,596.		140,596.	
15 Pension plans, employee benefits		24,211.		24,211.	
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule)					
c Other professional fees (attach schedule)					
17 Interest					
18 Taxes (attach schedule) (see instructions) ATCH. 3		12,255.		12,255.	
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) ATCH. 4		440,292.		440,292.	
24 Total operating and administrative expenses. Add lines 13 through 23		617,354.		617,354.	
25 Contributions, gifts, grants paid		774,810.			774,810.
26 Total expenses and disbursements. Add lines 24 and 25		1,392,164.		617,354.	774,810.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		351,983.			
b Net investment income (if negative, enter -0-)			667.		
c Adjusted net income (if negative, enter -0-)				539,918.	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	1,390,897.	1,602,792.	1,602,792.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶	200.		
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges ATCH 5		34,191.	34,191.
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans				
13	Investments - other (attach schedule)				
14	Land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	1,391,097.	1,636,983.	1,636,983.	
Liabilities	17	Accounts payable and accrued expenses	237,721.	131,624.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	237,721.	131,624.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, . . . ▶ ☒ check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,153,376.	1,505,359.	
	30	Total net assets or fund balances (see instructions)	1,153,376.	1,505,359.	
	31	Total liabilities and net assets/fund balances (see instructions)	1,391,097.	1,636,983.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,153,376.
2	Enter amount from Part I, line 27a	2	351,983.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,505,359.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,505,359.

Part IV Capital Gains and Losses for Tax on Investment Income(a) List and describe the kind(s) of property sold (e.g., real estate,
2-story brick warehouse, or common stock, 200 shs MLC Co.)(b) How
acquired
P - Purchase
D - Donation
(c) Date
acquired
(mo., day, yr.)
(d) Date sold
(mo., day, yr.)

1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	{ }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	495,630.	1,382,783.	0.358429
2011	341,501.	1,240,181.	0.275364
2010	336,744.	1,016,699.	0.331213
2009	347,121.	1,036,122.	0.335019
2008	308,462.	873,205.	0.353253

2 Total of line 1, column (d) 2 1.653278

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the
number of years the foundation has been in existence if less than 5 years 3 0.330656

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 4 1,438,703.

5 Multiply line 4 by line 3 5 475,716.

6 Enter 1% of net investment income (1% of Part I, line 27b) 6 7.

7 Add lines 5 and 6 7 475,723.

8 Enter qualifying distributions from Part XII, line 4 8 774,810.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the
Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	0
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	0
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	0
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	5,967.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	5,967.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,967.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☒ 5,967. Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ CA, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ KEN CAVENEY Telephone no ☐ 408-999-5784			
	Located at ☐ 525 WEST SANTA CLARA ST. SAN JOSE, CA ZIP+4 ☐ 95113-1520			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ☐ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ☐	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐	1b	X
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? ☐	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ☐		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) ☐	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) ☐	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? ☐	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums

on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 6		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 7		140,596.	24,211.	0

Total number of other employees paid over \$50,000 ☐

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3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

Total number of others receiving over \$50,000 for professional services	▶	
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List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	1,460,612.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,460,612.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,460,612.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	21,909.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,438,703.
6	Minimum investment return. Enter 5% of line 5	6	71,935.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	71,935.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	71,935.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	71,935.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	71,935.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	774,810.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	774,810.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	7.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	774,803.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				71,935.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20 <u>11</u> , 20 <u>10</u> , 20 <u>09</u>				
3 Excess distributions carryover, if any, to 2013				
a From 2008 265,035.				
b From 2009 475,350.				
c From 2010 336,744.				
d From 2011 279,492.				
e From 2012 426,491.				
f Total of lines 3a through e	1,783,112.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>774,810.</u>				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				71,935.
e Remaining amount distributed out of corpus	702,875.			
5 Excess distributions carryover applied to 2013 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,485,987.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	265,035.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	2,220,952.			
10 Analysis of line 9				
a Excess from 2009 475,350.				
b Excess from 2010 336,744.				
c Excess from 2011 279,492.				
d Excess from 2012 426,491.				
e Excess from 2013 702,875.				

Part XV	Supplementary Information (continued)
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3 Grants and Contributions Paid During the Year or Approved for Future Payment

Grants and Contributions		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Recipient	Name and address (home or business)				
a Paid during the year					
ATCH 10					
Total ► 3a					774,810.
b Approved for future payment					
ATCH 11					
Total ► 3b					

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
▼	

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|----------|--|--------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

Signature of officer or trustee

5-27-2015
Date

► Treasurer
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

DAVID R KENYON

Preparer's signature

Preparer's signature David Keyser

Date

Date 5/6/15

Check ☐ if self-employed

PTIN

P00926171

Firm's name ▶ CTC MYCFO, LLC

Firm's EIN ▶ 77-0522698

Firm's name	2200 GENG ROAD, SUITE 100
Firm's address	PALO ALTO, CA

94303

Phone no 650 210-5000

Schedule B(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2013▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

THE SHARKS FOUNDATION

Employer identification number

77-0374062

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐
- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- ☐
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use
- exclusively*
- for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

- ☐
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use
- exclusively*
- for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an
- exclusively*
- religious, charitable, etc., purpose. Do not complete any of the parts unless the
- General Rule**
- applies to this organization because it received
- nonexclusively*
- religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **THE SHARKS FOUNDATION**Employer identification number
77-0374062**Part I** Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	SAP GLOBAL MARKETING, INC. 3410 HILLVIEW AVENUE PALO ALTO, CA 94304	\$ 384,700.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	COMERICA BANK 333 W. SANTA CLARA STREET SAN JOSE, CA 95113	\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	ALASKA AIRLINES 19300 INTERNATIONAL BLVD SEATTLE, WA 98188	\$ 15,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
4	SONY PLAYSTATION 2207 BRIDGEPOINT PARKWAY SAN MATEO, CA 94404	\$ 15,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
5	BROCADE 130 HOLGER WAY SAN JOSE, CA 95134-1376	\$ 30,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
6	RELIABLE CONCEPTS 954 CHESTNUT STREET SAN JOSE, CA 95110	\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization **THE SHARKS FOUNDATION**Employer identification number
77-0374062**Part I** Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	CITRIX 4988 GREAT AMERICA PARKWAY SANTA CLARA, CA 95054	\$ 23,450.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
8	EL CAMINO HOSPITAL 28 PAMARON WAY, SUITE C NOVATO, CA 94949	\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
9	GLOBALFOUNDRIES 2600 GREAT AMERICA PARKWAY SANTA CLARA, CA 95054	\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
10	LEBOULANGER 305 N. MATHILDA AVENUE SUNNYVALE, CA 94085	\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
11	REPUBLIC SERVICES 1601 DIXON LANDING ROAD, BLDG. 1 MILPITAS, CA 95035	\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
12	S&M MOVING 48551 WARM SPRINGS ROAD FREMONT, CA 94539	\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization THE SHARKS FOUNDATION

Employer identification number
77-0374062**Part I** Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	UNITED MECHANICAL 2161 OAKLAND ROAD SAN JOSE, CA 95131	\$ 8,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
14	MASSAGE ENVY SPA 160 DONAHUE STREET, SUITE 1 SAUSALITO, CA 94965	\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
15	SCOTT MOSELEY 8484 CENTRAL AVENUE NEWARK, CA 94560	\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
16	BARRIE LAING 8095 CAMINO ARROYO GILROY, CA 95020	\$ 6,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
17	SAN JOSE SHARKS 525 WEST SANTA CLARA STREET SAN JOSE, CA 95113	\$ 15,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
18	BOB STONER 2226 CABERNET WAY LIVERMORE, CA 94550	\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization THE SHARKS FOUNDATION

Employer identification number
77-0374062**Part I** Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
19	JJ ALBANESE CONCRETE INC. 851 MARTIN AVENUE SANTA CLARA, CA 95050	\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
20	DEVCON 690 GIBRALTAR DRIVE MILPITAS, CA 95035	\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
21	ALIGN TECHNOLOGY 2560 ORCHARD PARKWAY SAN JOSE, CA 95131	\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
22	VARIOUS CONTRIB. LESS THAN \$5,000 EACH	\$ 24,058.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization THE SHARKS FOUNDATION

Employer identification number

77-0374062

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----

Name of organization THE SHARKS FOUNDATION

Employer identification number

77-0374062

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entryFor organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
COMERICA	667.	667.
TOTAL	<u>667.</u>	<u>667.</u>

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	ADJUSTED NET INCOME
SHARKS FEST INCOME	1,157,272.	1,157,272.
TOTALS	<u>1,157,272.</u>	<u>1,157,272.</u>

ATTACHMENT 3

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>ADJUSTED NET INCOME</u>
PAYROLL TAXES	12,255.	12,255.
TOTALS	<u>12,255.</u>	<u>12,255.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	ADJUSTED NET INCOME
SHARKS FEST EXPENSES	390,501.	390,501.
OTHER OPERATING EXPENSES	49,791.	49,791.
TOTALS	<u>440,292.</u>	<u>440,292.</u>

ATTACHMENT 5FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PREPAID ASSETS	34,191.	34,191.
TOTALS	<u>34,191.</u>	<u>34,191.</u>

THE SHARKS FOUNDATION

77-0374062

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 6

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
DOUG WILSON 525 WEST SANTA CLARA STREET SAN JOSE, CA 95113	BOARD MEMBER	0	0	0
JOHN TORTORA 525 WEST SANTA CLARA STREET SAN JOSE, CA 95113	CHIEF OPERATING OFFICER	0	0	0
FIONA OW GIUFFRE 525 WEST SANTA CLARA STREET SAN JOSE, CA 95113	BOARD MEMBER	0	0	0
MONTE CHAVEZ 525 WEST SANTA CLARA STREET SAN JOSE, CA 95113	BOARD MEMBER	0	0	0
KEN CAVENEY 525 WEST SANTA CLARA STREET SAN JOSE, CA 95113	TREASURER	0	0	0
JIM GODDARD 525 WEST SANTA CLARA STREET SAN JOSE, CA 95113	BOARD MEMBER	0	0	0

THE SHARKS FOUNDATION

77-0374062

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 6 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
JIM SPARACO 525 WEST SANTA CLARA STREET SAN JOSE, CA 95113	BOARD MEMBER	0	0	0
MARY GRACE MILLER 525 WEST SANTA CLARA STREET SAN JOSE, CA 95113	BOARD MEMBER	0	0	0
MARJORIE TAYLOR 525 WEST SANTA CLARA STREET SAN JOSE, CA 95113	BOARD MEMBER	0	0	0
DOUG BENTZ 525 WEST SANTA CLARA STREET SAN JOSE, CA 95113	BOARD MEMBER	0	0	0
JENNIFER BIRMINGHAM 525 WEST SANTA CLARA STREET SAN JOSE, CA 95113	BOARD MEMBER	0	0	0
DAN RUSANOWSKY 525 WEST SANTA CLARA STREET SAN JOSE, CA 95113	BOARD MEMBER	0	0	0

BLU001-02

ATTACHMENT 6

TS2837 517K

THE SHARKS FOUNDATION

77-0374062

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 6 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JEANNINE TURNER 525 WEST SANTA CLARA STREET SAN JOSE, CA 95113	BOARD MEMBER	0	0	0
GRAND TOTALS		0	0	0

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 7

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS EXPENSE ACCT TO EMPLOYEE AND OTHER BENEFIT PLANS ALLOWANCES</u>
JEFF CAFUIR 525 WEST SANTA CLARA STREET SAN JOSE, CA 95113	MANAGER 40.00	62,409.	11,769. 0
KELLY ESREY 525 WEST SANTA CLARA STREET SAN JOSE, CA 95113	COORDINATOR 40.00	41,006.	7,070. 0
CASEY ROBERTS 525 WEST SANTA CLARA STREET SAN JOSE, CA 95113	ASSISTANT 25.00	37,181.	5,372. 0
	TOTAL COMPENSATION	<u>140,596.</u>	<u>24,211.</u> 0

ATTACHMENT 8

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

AN APPLICATION WILL BE PROVIDED TO PERSONS SUBMITTING A WRITTEN
REQUEST FOR AN APPLICATION FORM.

ATTACHMENT 9

990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

THE ORGANIZATION PROVIDES FUNDS TO 501(C)(3) ORGANIZATIONS OPERATING IN THE VICINITY OF SANTA CLARA COUNTY, CA . SPECIAL EMPHASIS IS PLACED ON ORGANIZATIONS PROMOTING THE WELFARE, DEVELOPMENT AND/OR GUIDANCE OF PERSONS UNDER THE AGE OF 18.

THE SHARKS FOUNDATION

77-0374062

FORM 990-BE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE STATEMENT A

NONE

PC

GENERAL CHARITABLE PURPOSE

774,810

TOTAL CONTRIBUTIONS PAID

774,810

THE SHARKS FOUNDATION
CONTRIBUTIONS PAID
FYE 07/31/2014 (2013 RETURN)

RECIPIENT	ADDRESS	CITY, STATE, ZIP CODE	RECIPIENT STATUS	PURPOSE OF GRANT	DATE	AMOUNT
ACE CHARTER SCHOOL	625 SUNSET AVE	SAN JOSE, CA 95116	Public Charity	General Charitable Purpose	02/10/2014	\$ 25,000
AMERICAN CANCER SOCIETY CALIFORNIA DIVISION, INC	P O BOX 2061,	OAKLAND, CA 94604	Public Charity	General Charitable Purpose	07/31/2014	4,393
AMERICAN HEART ASSOCIATION	ONE ALMADEN BLVD STE 500	SAN JOSE, CA 95113	Public Charity	General Charitable Purpose	02/10/2014	25,000
AMERICAN NATIONAL RED CROSS	2731 N FIRST STREET	SAN JOSE, CA 95134	Public Charity	General Charitable Purpose	12/04/2013	5,475
ASSISTANCE LEAGUE OF SAN JOSE	P O BOX 20174	SAN JOSE, CA 95160	Public Charity	General Charitable Purpose	02/10/2014	25,000
BILL WILSON CENTER	3490 THE ALAMEDA	SANTA CLARA, CA 95050	Public Charity	General Charitable Purpose	01/29/2014	5,000
BREACKTHROUGH SILICON VALLEY	1635 PARK AVENUE	SAN JOSE, CA 95126	Public Charity	General Charitable Purpose	02/10/2014	25,000
BREAST CANCER ANGELS	P O BOX 340	LOS ANGELES, CA 90720	Public Charity	General Charitable Purpose	07/31/2014	4,393
COACHING CORPS	310 EIGHTH STREET, SUITE 300	OAKLAND, CA 94607	Public Charity	General Charitable Purpose	07/31/2014	5,000
COMMUNITY PARTNERS FOR YOUTH, INC CALIFORNIA COMMUNITY PART	P O BOX 578	SAN JOSE, CA 95106	Public Charity	General Charitable Purpose	02/10/2014	24,768
DALMATION DREAMS DREAM FOUNDATION	1528 CHAPALA ST, SUITE 304	SANTA BARBARA, CA 93101	Public Charity	General Charitable Purpose	11/13/2013	9,240
DEFENDING THE BLUE LINE	1206 N FRONTAGE ROAD, SUITE B	HASTINGS, MN 55033	Public Charity	General Charitable Purpose	11/13/2013	12,101
EMERGENCY HOUSING CONSORTIUM EHC LIFEBUILDERS	507 VALLEY WAY	MILPITAS, CA 95035	Public Charity	General Charitable Purpose	02/10/2014	25,000
ENVIRONMENTAL VOLUNTEERS, INC	2560 EMBARCADERO ROAD	PALO ALTO, CA 94303	Public Charity	General Charitable Purpose	02/10/2014	25,000
FAMILY SUPPORTIVE HOUSING, INC	692 N KING ROAD	SAN JOSE, CA 95113	Public Charity	General Charitable Purpose	02/10/2014	20,000
GEORGE MARK CHILDREN'S HOUSE	2121 GEORGE MARK LAND	SAN LEANDRO, CA 94578	Public Charity	General Charitable Purpose	02/10/2014	25,000
KABOOM! INC	4301 CONNECTICUT AVE	WASHINGTON, DC 20008	Public Charity	General Charitable Purpose	01/07/2014	62,400
KABOOM! INC	4301 CONNECTICUT AVE	WASHINGTON, DC 20008	Public Charity	General Charitable Purpose	08/06/2013	20,800
KATIE MOORE FOUNDATION INC	955 MASSACHUSETTS AVE STE 200	CAMBRIDGE, MA 02139	Public Charity	General Charitable Purpose	11/13/2013	12,101
KATIE MOORE FOUNDATION INC	955 MASSACHUSETTS AVE STE 200	CAMBRIDGE, MA 02139	Public Charity	General Charitable Purpose	11/13/2013	9,240
LOAVES & FISHES FAMILY KITCHEN	777 N 1ST STREET, SUITE 420	SAN JOSE, CA 95112	Public Charity	General Charitable Purpose	02/10/2014	25,000
LUCILE PACKARD FOUNDATION FOR CHILDREN'S HEALTH	400 HAMILTON AVE, SUITE 340	PALO ALTO, CA 94301	Public Charity	General Charitable Purpose	02/10/2014	25,000
MONTALVO ASSOCIATION	P O BOX 158	SARATOGA, CA 95071	Public Charity	General Charitable Purpose	07/31/2014	14,000
NORCAL REP YOUTH HOCKEY CLUB C/O LOU SILVER	2055 JUNCTION AVE #232	SAN JOSE, CA 95131	Public Charity	General Charitable Purpose	01/29/2014	6,083
NORCAL REP YOUTH HOCKEY CLUB C/O LOU SILVER	2055 JUNCTION AVE #232	SAN JOSE, CA 95131	Public Charity	General Charitable Purpose	01/29/2014	2,500
OPERATION CARE & COMFORT	1702 L MERIDIAN AVE, #241	SAN JOSE, CA 95125	Public Charity	General Charitable Purpose	12/04/2013	3,532
OPERATION HOME FRONT, INC	2615 COMMERCE STREET	LA CROSSE, WI 54603	Public Charity	General Charitable Purpose	01/29/2014	2,500
OPERATION HOME FRONT, INC	2615 COMMERCE STREET	LA CROSSE, WI 54603	Public Charity	General Charitable Purpose	01/29/2014	2,500
PARTNERS IN SCHOOL INNOVATION	1060 TENNESSEE STREET, 2ND FLOOR	SAN FRANCISCO, CA 94501	Public Charity	General Charitable Purpose	02/10/2014	20,000
PLAYWORKS EDUCATION ENERGIZED	380 WASHINGTON STREET	OAKLAND, CA 94607	Public Charity	General Charitable Purpose	02/10/2014	25,000
RONALD MCDONALD HOUSE OF SAN FRANCISCO	1640 SCOTT STREET	FREMONT, SAN FRANCISCO, CA 94115	Public Charity	General Charitable Purpose	12/04/2013	12,500
ROTARY CLUB OF SAN JOSE FOUNDATION	1690 SENTER RD	SAN JOSE, CA 95112	Public Charity	General Charitable Purpose	10/28/2013	25,000
ROTARY CLUB OF SAN JOSE FOUNDATION	1690 SENTER RD	SAN JOSE, CA 95112	Public Charity	General Charitable Purpose	04/11/2014	11,100
SACRED HEART COMMUNITY SERVICE	1381 SOUTH FIRST STREET	SAN JOSE, CA 95110	Public Charity	General Charitable Purpose	02/10/2014	25,000
SACRED HEART COMMUNITY SERVICE	4 N 2ND STREET, SUITE 576	SAN JOSE, CA 95113	Public Charity	General Charitable Purpose	01/29/2014	4,832
SAN JOSE JAZZ SOCIETY	750 CURTIEN AVENUE	SAN JOSE, CA 95125	Public Charity	General Charitable Purpose	02/10/2014	25,000
SECOND HARVEST FOOD BANK ATTN DANA MCKEE	2001 GATEWAY PLACE, SUITE 101E	SAN JOSE, CA 95110	Public Charity	General Charitable Purpose	12/04/2013	8,253
SILICON VALLEY LEADERSHIP GROUP	725 KIEFER ROAD	SUNNYVALE, CA 94086	Public Charity	General Charitable Purpose	07/31/2014	10,000
SUNNYVALE COMMUNITY SERVICES	1177 BRANHAM LANE #329 / / US	SAN JOSE, CA 95118	Public Charity	General Charitable Purpose	02/10/2014	25,000
THE AUBRI BROWN CLUB	1311 MAMARONECK AVE, SUITE 310	WHITE PLAINS, NY 10605	Public Charity	General Charitable Purpose	01/29/2014	6,083
THE LEUKEMIA AND LYMPHOMA SOCIETY	2851 PARK AVE	SANTA CLARA, CA 95050	Public Charity	General Charitable Purpose	11/13/2013	3,516
VIA SERVICES, INC	2400 MOORPARK AVE SUITE 207	SAN JOSE, CA 95128	Public Charity	General Charitable Purpose	02/10/2014	25,000
VMC FOUNDATION dba TURNING WHEELS FOR KIDS	296 GARDEN HILL DRIVE	LOS GATOS, CA 95032	Public Charity	General Charitable Purpose	02/10/2014	25,000
YOUTH SCIENCE INSTITUTE	375 S THIRD STREET	SAN JOSE CA 95112	Public Charity	General Charitable Purpose	02/10/2014	25,000
YWCA SILICON VALLEY						\$ 774,810

**Application for Extension of Time To File an
Exempt Organization Return**► **File a separate application for each return.**
► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	THE SHARKS FOUNDATION	77-0374062
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	525 WEST SANTA CLARA STREET	
	City, town or post office, state, and ZIP code For a foreign address, see instructions	
	SAN JOSE, CA 95113	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► KEN CAENEY, 525 WEST SANTA CLARA ST, SAN JOSE, CA, 95113

Telephone No ► 408 999-5784

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 03/15, 20 15, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year 20 or
- ☒ tax year beginning 08/01, 20 13, and ending 07/31, 20 14.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	7,767.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b \$	7,767.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2014)