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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

For calendar year 2013, or tax year beginning Aug 1, 2013, and ending Jul 31, 2014

Name of foundation EVAN & MARION HELFAER FD		A Employer identification number 39-6238856						
Number and street (or P.O. box number if mail is not delivered to street address) C/O THOMAS SMALLWOOD P.O. BOX 147		B Telephone number (see the instructions) (414) 815-3802						
City or town, state or province, country, and ZIP or foreign postal code ELM GROVE WI 53122		C If exemption application is pending, check here. ☐						
G Check all that apply: <table border="0"> <tr> <td>☐ Initial return</td> <td>☐ Initial Return of a former public charity</td> </tr> <tr> <td>☐ Final return</td> <td>☐ Amended return</td> </tr> <tr> <td>☐ Address change</td> <td>☐ Name change</td> </tr> </table>		☐ Initial return	☐ Initial Return of a former public charity	☐ Final return	☐ Amended return	☐ Address change	☐ Name change	D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
☐ Initial return	☐ Initial Return of a former public charity							
☐ Final return	☐ Amended return							
☐ Address change	☐ Name change							
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐						
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 23,195,043.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐						

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (att sch) . . .				
	2 Ck ☒ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	561,615.	560,993.		
	5 a Gross rents				
	b Net rental income or (loss)				
	6 a Net gain/(loss) from sale of assets not on line 10	1,641,216.	L-6a Stmt		
	b Gross sales price for all assets on line 6a	3,470,852.			
	7 Capital gain net income (from Part IV, line 2)		1,641,216.		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
	OTHER INCOME	12,991.	12,991.		
12 Total. Add lines 1 through 11.	2,215,822.	2,215,200.			
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc . .	319,829.	164,129.		155,700.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule). L-16a Stmt.	9,778.			9,778.
	b Accounting fees (attach sch). L-16b Stmt.	1,400.			1,400.
	c Other prof fees (attach sch)				
	17 Interest				
	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	30,436.	6,164.		
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) See Line 23 Stmt	18,097.	3,415.		14,682.
	24 Total operating and administrative expenses. Add lines 13 through 23 . .	379,540.	173,708.		181,560.
	25 Contributions, gifts, grants paid	931,782.			931,782.
26 Total expenses and disbursements. Add lines 24 and 25	1,311,322.	173,708.		1,113,342.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	904,500.				
b Net investment income (if negative, enter -0-). . .		2,041,492.			
c Adjusted net income (if negative, enter -0-). . .					

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	155,972.	61,833.	61,833.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)	492,363.		
	b Investments — corporate stock (attach schedule) . L-10.b. Stmt	3,955,953.	7,356,510.	15,361,439.
	c Investments — corporate bonds (attach schedule) . L-10.c. Stmt	9,677,846.	7,765,436.	7,737,404.
	L I A B I L I T I E S	11 Investments — land, buildings, and equipment basis ▶		
Less: accumulated depreciation (attach schedule) ▶				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation (attach schedule) ▶				
15 Other assets (describe . L-15 Stmt)		45,252.	34,367.	34,367.
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I).		14,327,386.	15,218,146.	23,195,043.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, & other disqualified persons				
21 Mortgages and other notes payable (attach schedule)				
22 Other liabilities (describe)				
23 Total liabilities (add lines 17 through 22)				
N E T A S S E T S O R F U N D B A L A N C E S	Foundations that follow SFAS 117, check here ▶			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here X			
	27 Capital stock, trust principal, or current funds	14,327,386.	15,218,146.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	14,327,386.	15,218,146.	
31 Total liabilities and net assets/fund balances (see instructions)	14,327,386.	15,218,146.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	14,327,386.
2	Enter amount from Part I, line 27a	2	904,500.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	15,231,886.
5	Decreases not included in line 2 (itemize) ▶ <u>CHANGE IN ACCRUALS</u>	5	13,740.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	15,218,146.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a See attached schedule	P	01/01/11	12/31/13
b Long term cap gain dist	P	01/01/11	12/31/13
c M&I Tax Exempt Multi-Strategy Fd LP - Long term loss from partnership	P	01/01/11	12/31/13
d M&I Tax Exempt Multi-Strategy Fd LP-cash recd in excess of basis	P	05/01/07	04/17/14
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,339,743.		1,806,775.	1,532,968.
b 125,147.		0.	125,147.
c 0.		22,861.	-22,861.
d 5,962.		0.	5,962.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			1,532,968.
b			125,147.
c			-22,861.
d			5,962.
e			

2 Capital gain net income or (net capital loss). [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	1,641,216.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 []	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	1,000,572.	21,327,104.	0.046916
2011	990,676.	20,262,963.	0.048891
2010	938,261.	20,908,299.	0.044875
2009	945,144.	19,706,604.	0.047961
2008	1,232,310.	18,634,931.	0.066129

2 Total of line 1, column (d)	2	0.254772
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.050954
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	22,931,600.
5 Multiply line 4 by line 3	5	1,168,457.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	20,415.
7 Add lines 5 and 6	7	1,188,872.
8 Enter qualifying distributions from Part XII, line 4	8	1,113,342.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VII Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary — see instrs)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1 40,830.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 40,830.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 40,830.
6 Credits/Payments:		
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a 18,560.	
b Exempt foreign organizations — tax withheld at source	6 b	
c Tax paid with application for extension of time to file (Form 8868)	6 c	
d Backup withholding erroneously withheld	6 d	
7 Total credits and payments. Add lines 6a through 6d	7 18,560.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9 22,270.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 0.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 0. Refunded	11	

Part VIII Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a	X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1 b	X
c Did the foundation file Form 1120-POL for this year?	1 c	X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation . . . ▶ \$ (2) On foundation managers . . . ▶ \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers . . . ▶ \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes	3	X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4 a	X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	4 b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV.	7	X
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) WI - Wisconsin		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	8 b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses	10	X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address	13	X	
14	The books are in care of <u>Thomas Smallwood</u> Telephone no. <u>(262) 784-9778</u> Located at <u>P.O. Box 147</u> <u>Elm Grove</u> <u>WI</u> ZIP + 4 <u>53122</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes	☒ No
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b	
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes	☒ No
If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u> .		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u> .		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3 b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐

5 b

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870.

6 b

X

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BMO Harris Bank NA P.O. Box 2980 Milwaukee WI 53201	TRUSTEE 12.00	139,829.	0.	0.
Thomas Smallwood P.O. Box 147 Elm Grove WI 53122	TRUSTEE 50.00	162,000.	0.	0.
William T. Gaus 11441 N. Canterbury Dr Mequon WI 53092	TRUSTEE 1.00	12,000.	0.	0.
Daniel McKeithan, Jr 777 E Wisconsin Ave Milwaukee WI 53202	TRUSTEE 1.00	6,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	23,280,812.
b	Average of monthly cash balances	1 b	
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	23,280,812.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	23,280,812.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	349,212.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	22,931,600.
6	Minimum investment return. Enter 5% of line 5	6	1,146,580.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,146,580.
2 a	Tax on investment income for 2013 from Part VI, line 5	2 a	40,830.
b	Income tax for 2013. (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	40,830.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,105,750.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,105,750.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,105,750.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	1,113,342.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,113,342.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,113,342.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,105,750.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			883,012.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2013				
a From 2008	0.			
b From 2009	0.			
c From 2010	0.			
d From 2011	0.			
e From 2012	0.			
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4. ▶ \$ 1,113,342.				
a Applied to 2012, but not more than line 2a			883,012.	
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2013 distributable amount				230,330.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				875,420.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009	0.			
b Excess from 2010	0.			
c Excess from 2011	0.			
d Excess from 2012	0.			
e Excess from 2013	0.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or

☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test — enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

THOMAS L SMALLWOOD

P.O. BOX 147

ELM GROVE

WI

53122

(262) 784-9778

b The form in which applications should be submitted and information and materials they should include:

WRITTEN FORM

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

WITHIN STATE OF WISCONSIN ONLY

Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED SCHEDULE	NONE	N/A	CONTRIBUTIONS	931,782.
Total			3 a	931,782.
b Approved for future payment				
Total			3 b	

Part XVII-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies . .					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	561,615.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory . . .			18	1,641,216.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . . .					
11 Other revenue:					
a Other income			18	12,991.	
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)				2,215,822.	
13 Total. Add line 12, columns (b), (d), and (e)					2,215,822.

(See worksheet in line 13 instructions to verify calculations.)

Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form 990-PF
Part I, Line 6a

Net Gain or Loss From Sale of Assets

2013

Name	Employer Identification Number
EVAN & MARION HELFAER FD	39-6238856

Asset Information:

Description of Property: <u>See attached schedule</u>	
Date Acquired: . <u>various</u>	How Acquired . . . <u>Purchased</u>
Date Sold . . . <u>various</u>	Name of Buyer: . . . _____
Sales Price . . . <u>3,339,743.</u>	Cost or other basis (do not reduce by depreciation) . . . <u>1,806,775.</u>
Sales Expense . . . _____	Valuation Method: _____
Total Gain (Loss): . . . <u>1,532,968.</u>	Accumulation Depreciation: . . . _____
Description of Property: <u>Long term cap gain dist</u>	
Date Acquired . <u>various</u>	How Acquired . . . <u>Purchased</u>
Date Sold . . . <u>various</u>	Name of Buyer . . . _____
Sales Price . . . <u>125,147.</u>	Cost or other basis (do not reduce by depreciation) . . . <u>0.</u>
Sales Expense . . . _____	Valuation Method: _____
Total Gain (Loss) . . . <u>125,147.</u>	Accumulation Depreciation: . . . _____
Description of Property: <u>M&I Tax Exempt Multi-Strategy Fd LP- loss from partnership</u>	
Date Acquired: . <u>01/01/11</u>	How Acquired . . . <u>Purchased</u>
Date Sold . . . <u>12/31/13</u>	Name of Buyer: . . . _____
Sales Price: . . . <u>0.</u>	Cost or other basis (do not reduce by depreciation) . . . <u>22,861.</u>
Sales Expense . . . _____	Valuation Method _____
Total Gain (Loss): . . . <u>-22,861.</u>	Accumulation Depreciation: . . . _____
Description of Property: <u>M&I Tax Exempt Multi-Strategy Fd LP- Cash recd in excess of basis</u>	
Date Acquired: . <u>05/01/07</u>	How Acquired . . . <u>Purchased</u>
Date Sold . . . <u>04/17/14</u>	Name of Buyer: . . . _____
Sales Price: . . . <u>5,962.</u>	Cost or other basis (do not reduce by depreciation) . . . <u>0.</u>
Sales Expense . . . _____	Valuation Method: _____
Total Gain (Loss): . . . <u>5,962.</u>	Accumulation Depreciation: . . . _____
Description of Property: _____	
Date Acquired: . _____	How Acquired . . . _____
Date Sold . . . _____	Name of Buyer: . . . _____
Sales Price: . . . _____	Cost or other basis (do not reduce by depreciation) . . . _____
Sales Expense: . . . _____	Valuation Method: _____
Total Gain (Loss): . . . _____	Accumulation Depreciation: . . . _____
Description of Property: _____	
Date Acquired: . _____	How Acquired . . . _____
Date Sold . . . _____	Name of Buyer: . . . _____
Sales Price: . . . _____	Cost or other basis (do not reduce by depreciation) . . . _____
Sales Expense: . . . _____	Valuation Method: _____
Total Gain (Loss): . . . _____	Accumulation Depreciation: . . . _____
Description of Property: _____	
Date Acquired: . _____	How Acquired . . . _____
Date Sold . . . _____	Name of Buyer: . . . _____
Sales Price: . . . _____	Cost or other basis (do not reduce by depreciation) . . . _____
Sales Expense: . . . _____	Valuation Method: _____
Total Gain (Loss): . . . _____	Accumulation Depreciation: . . . _____

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
ESTIMATED EXCISE TAX	18,560.			
FOREIGN TAX	6,164.	6,164.		
EXCISE TAX	5,712.			
Total	30,436.	6,164.		

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
BOOKKEEPING	10,800.			10,800.
M&I TAX EXEMPT MULTI-STRATEGY LP	3,386.	3,386.		
COMPUTER EXPENSES	3,352.			3,352.
MISCELLANEOUS EXPENSE	530.			530.
MISC EXPENSES	29.	29.		
Total	18,097.	3,415.		14,682.

Form 990-PF, Page 1, Part I

Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
QUARLES & BRADY LLP	LEGAL	9,778.			9,778.
Total		9,778.			9,778.

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BMO Harris Bank	Tax preparation	1,400.			1,400.
Total		1,400.			1,400.

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
See attached	7,356,510.	15,361,439.
Total	7,356,510.	15,361,439.

Form 990-PF, Page 2, Part II, Line 10c

L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
See attached	7,765,436.	7,737,404.
Total	<u>7,765,436.</u>	<u>7,737,404.</u>

Form 990-PF, Page 2, Part II, Line 15

Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year	
		Book Value	Fair Market Value
Accrued income	45,252.	34,367.	34,367.
Total	<u>45,252.</u>	<u>34,367.</u>	<u>34,367.</u>

ACCT E23B
FROM 08/01/2013
TO 07/31/2014

BMO HARRIS BANK N A
STATEMENT OF CAPITAL GAINS AND LOSSES
EVAN & MARION HELFAER FDN MAIN
TRUST YEAR ENDING 07/31/2014

PAGE 77
RUN 10/08/2014
07 40 33 AM

TAX PREPARER: MW2
TRUST ADMINISTRATOR: JXB
INVESTMENT OFFICER: PJS

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC	8949
1947 7990 ARTISAN MID CAP VALUE FUND - INV #1464 - 04314H709 - MINOR = 570								
SOLD		08/15/2013	50000.00					
ACQ	1947.7990	05/14/2009	50000.00	27093 88	22906 12	LT	Y	F
3623 1880 ARTISAN MID CAP VALUE FUND - INV #1464 - 04314H709 - MINOR = 570								
SOLD		11/13/2013	100000.00					
ACQ	3623 1880	05/14/2009	100000.00	50398 54	49601 46	LT	Y	F
5347 5940 ARTISAN MID CAP VALUE FUND - INV #1464 - 04314H709 - MINOR = 570								
SOLD		07/11/2014	150000 00					
ACQ	1618 0860	05/14/2009	45387.31	22507 58	22879.73	LT	Y	F
	3729.5080	02/25/2009	104612 69	42218 03	62394.66	LT	Y	F
4454 3430 BMO SMALL-CAP GROWTH FUND CL Y #612 - 09658L612 - MINOR = 496								
SOLD		08/15/2013	99999.99					
ACQ	2023.2420	12/08/2011	45421 78	35163.95	10257.83	LT	Y	F
	301.4260	12/08/2005	6767.01	4834.88	1932 13	LT	Y	F
	2129 6750	05/14/2009	47811.20	21275 45	26535 75	LT	Y	F
4221.1900 BMO SMALL-CAP GROWTH FUND CL Y #612 - 09658L612 - MINOR = 496								
SOLD		02/26/2014	100000 00					
ACQ	2544 1720	12/06/2012	60271 44	44294 03	15977 41	LT		F
	31 8830	12/06/2012	755.31	555 05	200 26	LT		F
	1645 1350	05/14/2009	38973.25	16434 90	22538.35	LT	Y	F
450 0000 CATERPILLAR INC COM - 149123101 - MINOR = 417								
SOLD		07/11/2014	49174.96					
ACQ	450.0000	01/27/2005	49174 96	19541.25	29633.71	LT	Y	F
1000 0000 CELGENE CORP COM - 151020104 - MINOR = 477								
SOLD		11/13/2013	148476.31					
ACQ	1000 0000	05/07/2009	148476.31	39660.00	108816.31	LT	Y	F
1000.0000 CELGENE CORP COM - 151020104 - MINOR = 477								
SOLD		07/11/2014	87888.55					
ACQ	1000 0000	05/07/2009	87888.55	19830.00	68058.55	LT	Y	F
1200 0000 COCA COLA CO COM - 191216100 - MINOR = 31001								
SOLD		05/07/2014	48898.91					
ACQ	1200.0000	06/07/2000	48898.91	31836.00	17062 91	LT	Y	F
2000 0000 COLGATE PALMOLIVE CO COM - 194162103 - MINOR = 457								
SOLD		02/26/2014	124160 83					
ACQ I	2000 0000	02/02/1974	124160.83	3109.38	121051.45	LT	Y	F
600 0000 DISNEY WALT CO COM - 254687106 - MINOR = 445								
SOLD		05/07/2014	49054 91					
ACQ	600 0000	12/12/2001	49054 91	13302 57	35752.34	LT	Y	F
500 0000 EXXON MOBIL CORP - 30231G102								
SOLD		05/07/2014	51678 85					
ACQ	500 0000	12/12/1975	51678.85	1352 03	50326 82	LT	Y	F
500000.0000 FEDERAL HOME LOAN BKS CONS BDS 5.125% DTD 09/07/2006 DUE 08/14/2013 - 3133XGVF8 - MINOR = 046								
SOLD		08/14/2013	492363 00					
ACQ	500000 0000	07/11/2007	492363.00	492363 00	0.00	LT	Y	F
1000 0000 FIDELITY NATL INFORMATION SVCS INC COM - 31620M106 - MINOR = 491								
SOLD		05/07/2014	53918 80					
ACQ	1000.0000	12/22/1988	53918 80	2166 00	51752 80	LT	Y	F
4000 0000 GENERAL ELEC CO COM - 369604103								
SOLD		08/15/2013	96198.32					
ACQ	4000.0000	04/19/1976	96198 32	4381 67	91816 65	LT	Y	F
1600.0000 INTEL CORP COM - 458140100								
SOLD		07/11/2014	49822.89					
ACQ	1600.0000	06/20/1995	49822.89	12850 00	36972.89	LT	Y	F
500 0000 INTERNATIONAL BUSINESS MACHS COM - 459200101 - MINOR = 31001								
SOLD		08/15/2013	93088 42					
ACQ	500.0000	12/27/2000	93088.42	42561.25	50527.17	LT	Y	F
3300 0000 MICROSOFT CORP COM - 594918104 - MINOR = 31001								
SOLD		02/26/2014	123913 17					
ACQ	3300 0000	06/20/1995	123913 17	18691 41	105221.76	LT	Y	F
3500.0000 NORTHERN TR CORP - 665859104 - MINOR = 469								
SOLD		11/13/2013	201418 34					
ACQ	3500.0000	02/22/2005	201418 34	146308.40	55109 94	LT	Y	F
600 0000 QUALCOMM INC COM - 747525103 - MINOR = 489								
SOLD		05/07/2014	47794 94					
ACQ	600 0000	01/21/2009	47794 94	20970 42	26824 52	LT	Y	F
2189 4610 ROWE T PRICE MID-CAP GROWTH FD INC COM - 779556109 - MINOR = 570								
SOLD		08/15/2013	150000 00					
ACQ	2189 4610	05/14/2009	150000 00	79389 85	70610 15	LT	Y	F
1349 5280 ROWE T PRICE MID-CAP GROWTH FD INC COM - 779556109 - MINOR = 570								
SOLD		11/13/2013	100000 00					
ACQ	568.3990	05/14/2009	42118 36	20610 15	21508.21	LT	Y	F
	781 1290	02/25/2009	57881 64	23394.81	34486 83	LT	Y	F
658 8480 ROWE T PRICE MID-CAP GROWTH FD INC COM - 779556109 - MINOR = 570								
SOLD		02/26/2014	50000 00					
ACQ	658 8480	02/25/2009	50000 00	19732 50	30267 50	LT	Y	F
18557 3420 SCOUT INTERNATIONAL FUND #29 - 81063U503 - MINOR = 572								
SOLD		11/13/2013	672889.22					
ACQ	4043 9990	10/19/2010	146635 40	125000 00	21635 40	LT	Y	F
	6085 1930	10/07/2008	220649.10	150000 00	70649 10	LT	Y	F
	8428 1500	07/16/2009	305604 72	200000 00	105604 72	LT	Y	F
350.0000 3M CO COM - 88579Y101 - MINOR = 416								
SOLD		05/07/2014	49002 41					
ACQ	350 0000	05/11/1992	49002 41	7865 55	41136 86	LT	Y	F

ACCT E23
FROM 08/01/2013
TO 07/31/2014

BMO HARRIS BANK N A.
STATEMENT OF CAPITAL GAINS AND LOSSES
EVAN & MARION HELFAER FDN MAIN
TRUST YEAR ENDING 07/31/2014

PAGE 78
RUN 10/08/2014
07.40.33 AM

TAX PREPARER: MW2
TRUST ADMINISTRATOR JXB
INVESTMENT OFFICER: PJS

LEGEND. F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
3411 8050 VANGUARD MID CAP INDEX FD CL I #864 - 922908835 - MINOR = 570							
SOLD		11/13/2013	100000.00				
ACQ	3411 8050	06/24/2004	100000.00	47082 91	52917.09	LT	Y F
TOTALS			3339742.82	1806775.44			

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28%	LONG TERM	ST WASH SALE	LT WASH SALE	1250 GAIN
NONCOVERED FROM ABOVE	0 00	0.00	1516789 71	0.00	0.00	0 00*
COVERED FROM ABOVE	0.00	0 00	16177 67	0.00	0.00	
COMMON TRUST FUND	0 00	0.00	0 00			0 00
CAPITAL GAIN DIV/DIST	0 00	0.00	125146.82			0 00
	0 00	0 00	1658114 20	0.00	0.00	0 00
STATE						
NONCOVERED FROM ABOVE	0 00	0 00	1516789 71	0 00	0.00	0 00*
COVERED FROM ABOVE	0 00	0.00	16177 67	0.00	0.00	
COMMON TRUST FUND	0.00	0.00	0 00			0.00
CAPITAL GAIN DIV/DIST	0.00	0.00	125146 82			0 00
	0.00	0.00	1658114.20	0 00	0.00	0.00

* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE

CAPITAL LOSS CARRYOVER

FEDERAL	0.00	0.00
WISCONSIN	0.00	0 00

HELFAER FOUNDATION – Gift List for Tax – Year Ending July 31, 2014

Agency	Address	Amount	Reason
Adaptive Sportsmen, Inc.	8727 W. Coldspring Rd. Greenfield, WI 53228	1,000	2014 activities programming
AFS Foundation of Greater Milwaukee	6220 N. Lake Drive Ct. Milwaukee, WI 53217	1,000	AFS Programs abroad
All Saints Parish	1716 North Humboldt Milwaukee, WI 53202	10,000	Continued Programming
Alliance for School Choice	16001 L Street NW Suite 1000 Washington DC 20036	3,000	Programming – Wisconsin Only
Alliance for School Choice	1660 L Street NW Suite 1000 Washington DC 20036	3,000	Programming Wisconsin Only
Alverno College	P. O. Box 343922 Milwaukee, WI 53234	25,000	Capital Campaign
Arts @ Laerge	908 S. Fifth St. Milwaukee, WI 53204	1,500	Professional Teacher Development Program
Artworks for Milwaukee	2212 N. Dr. Martin Luther King, Jr. Drive, Milwaukee, WI 53212	1,000	Training Programs
Association of Small Foundations	1720 N Street NW Washington, DC 20036	725	Contribution – Membership
Benedict Center	135 W. Wells St. Suite 700 Milwaukee, WI 53203	1,500	Correctional Rehabilitation Programs
Blood Center of Wisconsin	P. O. Box 2178 Milwaukee, WI 53201-2178	1,000	Research programs
Boerner Botanical Gardens Friends	9400 Boerner Drive, Ste 1 Hales Corners, WI 53130	500	K-12 Educational Programs
Boys & Girls Clubs of Fox Valley	160S. Badger Ave. Appleton, WI 54914	2,500	Center for Grieving Children Program
Catholic Stewardship Appeal	3501 S. Lake Drive Milwaukee, WI 53207	2,000	Programming
Center for Deaf Blind Persons, Inc.	3195 S. Superior St. Milwaukee, WI 53296	1,500	Living Programs
Center for Neighborhood Enterprise	1625 K Street Ste 1200 Washington DC 20006	5,000	Continued Programming – only in the state of Wisconsin

HELFAER FOUNDATION – Gift List for Tax – Year Ending July 31, 2014

Center for Neighborhood Enterprises	1625 K Street, Suite 1200 Washington, D.C. 20006-1672	5,000	Violence Free Zone Program – Wisconsin Only
City Year Milwaukee	648 North Plankinton Avenue, Suite 190, Milwaukee, WI 53202	2,500	Continued programming
Civic Music Association	2625 S. Greeley St., Ste 3A Milwaukee, WI 53207	1,000	Music education programs
COA Youth & Family Centers	909 E. North Avenue Milwaukee, WI 53212	1,500	Continued Programming
COA Youth & Family Centers	909 East North Avenue Milwaukee, WI T53212	1,500	Family Programming
Coalition for Children, Youth & Families	6681 W. Greenfield, Ste 310 Milwaukee, WI 53214	500	Continued programming
College Possible	1555 N. Rivercenter Dr. Ste v211 Milwaukee, WI 53212	1,000	College admission programs
Columbia Saint Mary's Foundation	4425 N. Port Washington Road Glendale, WI 53212	125,000	"Beyond Medicine" Campaign
Discovery World	500 North Harbor Drive Milwaukee, WI 53202	2,000	Learning Journeys Program
Donor's Forum of Wisconsin	759 N. Milwaukee St. Ste 515 Milwaukee, WI 53202	1,000	Contribution – Membership
Ebenezer Child Care Center	1496 S. 19 th St. Milwaukee, WI	1,000	Sumer programs
Economics Wisconsin – WI Council on Economic Education, Inc.	7635 W. Bluemound Rd. Ste 106 Milwaukee, WI 53213	1,000	Financial Fitness for Life Program
Center for Self-Sufficiency	2815 N. 30 th St. Milwaukee, WI 53210	1,000	Wisdom Walk to Self-Mastery Program
Endometriosis Association	8585 N. 76 th Place Milwaukee, WI 53223	500	Research Programs
Epilepsy Foundation of Southeast Wisconsin	735 N. Water St. Ste 541 Milwaukee, WI 53202	1,500	20 th Annual Toast of the Town dinner – did not attend.
Family House	3269 North 11 th Street Milwaukee, WI 53206	10,000	Neighborhood Outreach Programs
Feeding American Eastern Wisconsin	1700 W. Fond du Lac Ave. Milwaukee, WI 53205	2,500	Food programs within Wisconsin
Fellowship Open – Quarles & Brady	411 E. Wisconsin Ave. Milwaukee, WI 53202	500	14 th Fellowship Open to benefit children

HELFAER FOUNDATION – Gift List for Tax – Year Ending July 31, 2014

First Stage	325 W. Walnut St. Milwaukee, WI 53212	1,000	Community Partnership Program
Florentine Opera Company	930 E. Burleigh St., Lower Level Milwaukee, WI 53212	1,000	80 th Anniversary Season
Franciscan Pilgrimage Programs	P. O. Box 321490 Franklin, WI 53132	500	Pilgrimage Program for Military Veterans
Frank Lloyd Wright Wisconsin	P. O. Box 6339 Madison, WI 53716-0339	1,000	"Two Flat Model C" Buildings
Froedtert Hospital Foundation	9200 W. Wisconsin Ave. Milwaukee, WI 53226	10,000	Health Care Provider Award Program
Froedtert Memorial Lutheran Hospital	9200 W. Wisconsin Ave. Milwaukee, WI 53226	30,000	Simulation Center
Gathering of Southeast Wisconsin	804 E. Juneau Ave. Milwaukee, WI 53202	1,000	Hunger Programs
Grand Avenue Club	210 East Michigan St. Milwaukee, WI 53202	500	2013 Winter Appeal
Greater Milwaukee Committee	301 W. Wisconsin Ave., Ste 300 Milwaukee, WI 53203	3,860	Contribution - Membership
Growing Power	5500 W. Silver Spring Drive Milwaukee, WI 53218	500	Food Program
Guest House	1216 N. 13 th St. Milwaukee, WI 53205	1,000	Continued programming & Services
Haggerty Museum of Art	P. O. Box 1881 Milwaukee, WI 53201-1881	5,000	Continued Programming
Haggerty Museum of Art	P. O. Box 1881 Milwaukee, WI 53201	10,000	"An Affair To Remember" Event
Holocaust Education Resource Center	1360 North Prospect Avenue Milwaukee, WI 53202	10,000	Educational Programming
Hope House of Milwaukee, Inc.	209 W. Orchard Milwaukee, WI 53204	1,000	Homeless programs
House of Peace	1702 W. Walnut St. Milwaukee, WI 53205	1,000	Hunger Programs
Hunger Task Force	201 S. Hawley Court Milwaukee, WI 53214	2,500	Food Bank programming
Independence First	540 S. First St. Milwaukee, WI 53204	1,000	Computer Recycling Program

HELFAER FOUNDATION – Gift List for Tax – Year Ending July 31, 2014

International Crane Foundation	E11376 Shadylane Road Baraboo, WI 53913	60,000	Programming
Jewish Museum of Milwaukee	1360 n. Prospect Ave. Milwaukee, WI 53202-3094	10,000	"Stitching History from the Holocaust
Kids from Wisconsin	640 S. 84 th St. #522 Milwaukee, WI 53214	1,000	Continued Programming
Literacy Services of Wisconsin	555 N. Plankinton Ave. Milwaukee, WI 53203	1,500	Basic Education programming
MACC Fund	10000 Innovation Drive Ste 135 Milwaukee, WI 53226	1,600	Golf Open – attended
Make A Difference Wisconsin	710 N. Plankinton Ave. Ste 310 Milwaukee, WI 53203	1,000	Financial Educational Programs
Marcus Center for the Performing Arts	929N. Water St. Milwaukee, wwi53202	25,000	Wilson Theater at Vogel Hall Campaign
Martin Luther Church	9235 W. Bluemound Rd. Milwaukee, WI 53226	2,000	Maintenance and Repairs
Medical College of Wisconsin Transplant Center	8701 Watertown Plank Road Milwaukee, WI 53226	200,000	Adult heart transplant center
Menomonee Valley From The Ground Up	30 W. Wisconsin Ave., Ste 400B Milwaukee, WI 53203	25,000	Urban Ecology Center
Messmer Catholic Schools	742 W. Capitol Drive Milwaukee, WI 53206	1,500	Dinner honoring Br. Bob – did not attend
Milwaukee Academy of Science	1000 W. Kilbourn Ave. Milwaukee, WI 53233	5,000	STEM Programming
Milwaukee Achiever Literacy Services	5566 North 69 th St. Milwaukee, WI 53218	1,000	Adult Literacy Programs
Milwaukee Art Museum	700 N. Art Museum Dr. Milwaukee, WI 53202	10,000	Annual Fund Campaign
Milwaukee Ballet	504 W. National Ave. Milwaukee, WI 53204	5,000	Continued Programming
Milwaukee Center for Children and Youth, Inc.	2004 N. Dr. Martin Luther King, Jr. Milwaukee, WI 53212	1,000	Children's advocacy and restoration project.
Milwaukee Center for Independence	2020 West Wells Street Milwaukee, WI 53233	2,500	Special Needs Programming
Milwaukee Chamber Theatre	158 North Broadway Milwaukee, WI 53202	1,000	Seasonal Operations

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Milwaukee Fatherhood Initiative	401 N. Richard Street Milwaukee, WI 53212	500	Changing Milwaukee Through Male Employment Program
Milwaukee Homeless Veterans Initiative	P. O. Box 18441 Milwaukee, WI 53218	2,000	Programs for veterans and families
Milwaukee Institute of Art & Design	273 East Erie St. Milwaukee, WI 53202	1,500	Scholarship Program
Milwaukee Montessori School	345 N. 95 th St. Milwaukee, WI 53226	2,500	Annual Gala
Milwaukee Partnership Academy	7353 W. Wisconsin Ave. Ste. 710 Milwaukee, WI 53233	5,000	Milwaukee Early Childhood Literacy Initiative Program
Milwaukee Partnership Academy	715 W. Wisconsin Ave. Ste 710 Milwaukee, WI 53233	5000	Continued Programming
Milwaukee Public Museum	800 West Wells St. Milwaukee, WI 53233	30,000	Annual Campaign
Milwaukee Public Museum	800 W. Wells Milwaukee, WI 53233	10,000	Continued Programming
Milwaukee Public Television MPTV	1036 N. Eighth St. Milwaukee, WI 53233	1,000	Continued Programming
Milwaukee Repertory Theater	108 East Wells St. Milwaukee, WI 53202	2,000	Artist Training Programs
Milwaukee Rescue Mission	830 N. 19 th Street Milwaukee, WI 53233	1,000	Continued Programming
Milwaukee Urban Soccer Collaborative	525 N. Ironwood Rd. Ste 209 Glendale, WI 53217	1,000	Soccer Programs
Milwaukee World Festival – Summerfest	200 North Harbor Dr. Milwaukee, WI 53202	1,217	Contribution
Museum of Wisconsin Art	300 S. Sixth Avenue West Bend, WI 53095	1,000	2014 Programming
National Multiple Sclerosis Society Wisconsin Chapter	1120 James Drive, Suite A Hartland, WI 53029	500	Summer Camp
Next Act	P. O. Box 394 Milwaukee, WI 53201	1,000	Continued Programming
Next Door Foundation	2545 N. 29 th St. Milwaukee, WI 53210	1,500	Family Programming
Nonprofit Center of Milwaukee	2819 W. Highland Blvd Milwaukee, WI 53208	7,500	Continued Programming

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North Point Lighthouse Friends, Inc.	2650 N. Wahl Avenue Milwaukee, WI 53211	1,000	Renovation Project
North Shore Women's Club	P. O. Box 170352 Milwaukee, WI 53217	500	Camp Hometown Heroes Programming
Notre Dame Middle School	1420 W. Scott St. Milwaukee, WI 53204	1,000	Educational Programs
One Heartland	2101 Hennepin Ave. S Ste 100 Minneapolis, MN 55405	500	Campership Program – only in Wisconsin
Our Next Generation	3421 W. Lisbon Avenue Milwaukee, WI 53208	1,000	Summer programming
Ozaukee Washington Land Trust	200 Wisconsin Avenue West Bend, WI 53095	1,500	Continued programming
P A V E	136 W. Wells St. Ste 850 Milwaukee, WI 53203	1,500	Continued Programming
Pabst Mansion	2000 W. Wisconsin Ave. Milwaukee, WI 53233	1,000	Restoration Projects
Parenting Network	7516 West Burleigh Milwaukee, WI 53210	1,800	Golf Classic Event – did not attend
Penfield Children's Center	833 N. 26 th St. Milwaukee, WI 53233	2,000	Continued programming and services
Piano Arts	2642 N. Summit Ave. Milwaukee, WI 53211	1,000	Competition Festival
Prevent Blindness Wisconsin	759 N. Milwaukee St. Ste 305 Milwaukee, WI 53202	1,500	Vision Screening Programs for Preschool Children
Public Policy Forum	633 W. Wisconsin Ave. Milwaukee, WI 53203	580	Contribution - Membership
Rawhide	E7475 Rawhide Road New London, WI 54961	1,000	Youth & Family Programs
Rawhide	E7475 Rawhide Road New London, WI 54961	1,500	Counseling Programs
Repairers of the Breach	1335 W. Vliet Milwaukee, WI 53213	1,000	Homeless Helping Homeless
Risen Savior Lutheran Church & Schools	9550 W. Brown Deer Road Milwaukee, WI 53224	1,000	Regular & Electronic Color Books
Risen Savior Youth Services	9540 W. Brown Deer Road Milwaukee, WI 53224	1,000	Youth Programs

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Riveredge Nature Center	P. O. Box 26 Newburg, WI 53060	2,000	Continued programming
Riverwest Food Pantry	1716 North Humboldt Milwaukee, WI 53202	10,000	Food Pantry Programming
Safe and Sound	801 W. Michigan Ave. Milwaukee, WI 53233	1,500	Safe Places Program
Safe Place for Newborns – Wheaton Franciscan – St. Joseph's	5000 W. Chambers St. Milwaukee, WI 53210	1,000	Continued Programming
Saint Ann's Center for Intergenerational Care	2801 E. Morgan Ave. Milwaukee, WI 53207	25,000	Building Campaign
Saint Joan Antida High School	1341 N. Cass St. Milwaukee, WI 53202	1,000	Tuition Scholarship Program
Saint John's Community Foundation	1840N. Prospect Milwaukee, WI 53202	10,000	Charitable Care Fund
Saint Mary's Parish	1200 Church St. Elm Grove, WI 53122	5,000	Grounds & Garden Fund
Saint Therese Catholic Church	9525 W. Bluemound Rd. Wauwatosa, WI 53226	2,500	Continued Support
Saint Vincent De Paul Society of Milwaukee	9601 w. Silver Spring Drive Milwaukee, WI 53225	1,000	Neighbors Helping Neighbors Program
Salvation Army	11305 Watertown Plank Rd. Wauwatosa, WI 53226	1,500	Tree of Lights Program
Scale UP Milwaukee Program	Fiduciary Management, Inc. 100 E. Wisconsin Ave., Ste 2200 Milwaukee, WI 53202	2,500	Programs
School Choice of Wisconsin	219 N. Milwaukee St. 1 st Fl Milwaukee, WI 53202	2,000	Continued programming
School Sisters of Notre Dame	13105 Watertown Plank Road Elm Grove, WI 53122	500	Ministry Programs
School Sisters of St. Francis	1501 S. Layton Blvd. Milwaukee, WI 53214	10,000	Continued Programming
Serenity Inns	P. O. Box 26887 Milwaukee, WI 53226	3,000	Maintenance and Repairs
Serenity Inns, Inc.	P. O. Box 26887 Milwaukee, WI 53226	5,000	Continued Programming

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Sharon Lynne Wilson Center for the Arts	19805 W. Capitol Dr. Brookfield, WI 53045	1,000	Beyond the Classroom and Arts Camp Projects
SHARP Literacy, Inc.	5775 N. Glen Park Rd., Ste 202 Milwaukee, WI 53209	1,000	Continued Programming
Silver Spring Neighborhood Center	5460 N. 64 th St. Milwaukee, WI 53218	500	Childhood Programming
Skaters R Us	2669 N. Richards Street Milwaukee, WI 53212	500	At-Risk Youth Programming
Sojourner Family Peace Center	P. O. Box 080319 Milwaukee, WI 53208	1,000	Continued programming
Soles for Catholic Education	P. O. Box 070912 Milwaukee, WI 53207	1,000	Catholic Education Walk
STEM Forward, Inc.	1025 N. Broadway Milwaukee, WI 53202	2,500	Metro Outreach Programs
Sunset Playhouse	800 Elm Grove Rd. Elm Grove, WI 53122	1,000	Outdoor Signage and Landscaping
Teen Challenge	5301 North 91 st St. Milwaukee, WI 53225	500	Continued Programming
Tri-County YMCA – YMCA of Metropolitan Milwaukee	N84 W17501 Menomonee Avenue Menomonee Falls, WI 53052	1,000	Continued Programming
TYME Out Youth Center, Inc.	W332 N6786 County Road C Nashotah, WI 53058	500	Continued programming
United Performing Arts Fund	929 North Water Street Milwaukee, WI 53202-3187	20,000	2014 Annual Campaign
United Way of Greater Milwaukee	225 West Vine Street Milwaukee, WI 53212	25,000	2014 Annual Campaign
University of Wisconsin – Milwaukee Sheldon B. Lubar School of Business	P. O. Box 742 Milwaukee, WI 53201	1,000	Continued Programming
Walker's Point Youth & Family Center	2030 W. National Ave. Milwaukee, WI 53204	1,000	Runaway and Teen Crisis Programs
Waukesha County Sheriff's Department	515 W. Moreland Boulevard Waukesha, WI 53187	1,000	K-9 Unit Programs
Wisconsin Association of Independent Colleges and Universities	122 W. Washington Ave. Ste 700 Madison, WI 53703-2723	2,500	Continued Programming
Wisconsin Badger Camp	P. O. Box 723 Platteville, WI 53818	500	Outdoor Recreational Activities Program

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Wisconsin Breast Cancer Showhouse, Inc.	P. O. Box 170588 Milwaukee, WI 53217	1,000	Continued Programming
Wisconsin Business World	501 E. Washington Ave. Madison, WI 53703	2,000	Educational Programs
Wisconsin Humane Society	4500 W. Wisconsin Ave. Milwaukee, WI 53208	2,500	Wag Your Tail on the Red Carpet event – did not attend
Wisconsin Institute for Law and Liberty	1139 Knapp Street Milwaukee, WI 53202	5,000	Continued Programming
Wisconsin Lutheran College	8800 W. Bluemound Road Milwaukee, WI 53226	1,000	Pathways to College Program
Wisconsin Parkinson Association	945 N. 12 th St. Ste 4602 Milwaukee, WI 53233	1,000	Free Screening and Education Programs
Wisconsin Right To Life – Veritas Society	9730 W. Bluemound Rd. Ste 200 Milwaukee, WI 53226	1,000	Continued Programming
Women's Care Center	P. O. Box 13926 Milwaukee, WI 53213	1,000	Continued Programming
World Affairs Seminars	10600 West Mitchell Street West Allis, WI 53214	1,000	Student Scholarship Program

EVAN & MARION HELFAER FDN MAIN

Account Number:
Statement Period:

32-0608-00-3
08/01/13 - 07/31/14

Asset Position As of 07/31/14

Asset Description	Units	Market Value Cost	Est. Annual Income	Current Yield
Cash Equivalents				
BMO Prime Money Market CI Y #200	61,833.320	61,833.32 61,833.32	6.00	0.01%
Total Cash Equivalents		\$ 61,833.32 \$ 61,833.32	6.00	0.01%
Equities				
M&I Tax-Exempt Multi-Strategy Fd, LP	1,350.610	2,901.02 11,853.09	0.00	0.00%
AT&T Inc Com	4,156.000	147,912.04 14,451.02	7,647.00	5.17%
Abbott Laboratories Com	3,000.000	126,360.00 56,755.60	2,640.00	2.09%
Amgen Inc Com	2,000.000	254,780.00 115,495.00	4,880.00	1.92%
Apache Corp Com	2,300.000	236,118.00 231,063.04	2,300.00	0.97%
Artisan Mid Cap Value Fund - Inv #1464	18,355.298	502,568.06 207,781.97	4,295.00	0.85%
Bank Montreal Que Com	4,726.000	352,417.82 141,941.67	13,577.00	3.85%
Berkshire Hathaway Inc Del Cl B New	2,500.000	313,575.00 212,510.00	0.00	0.00%
BMO Small-Cap Growth Fund CI Y #612	32,199.801	699,057.68 356,123.96	0.00	0.00%
Caterpillar Inc Com	2,550.000	256,912.50 110,733.75	7,140.00	2.78%
Celgene Corp Com	3,000.000	261,450.00 59,490.00	0.00	0.00%
Chevron Corp New Com	3,000.000	387,720.00 95,068.05	12,840.00	3.31%
Cisco Sys Inc Com	10,000.000	252,300.00 196,905.00	7,600.00	3.01%

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08/01/13 - 07/31/14

Asset Position As of 07/31/14

Asset Description	Units	Market Value Cost	Est. Annual Income	Current Yield
Coca Cola Co Com	8,800.000	345,752.00 233,464.00	10,736.00	3.11%
Colgate Palmolive Co Com	18,000.000	1,141,200.00 27,984.37	25,920.00	2.27%
Conocophillips Com	2,000.000	165,000.00 111,486.00	5,840.00	3.54%
Credit Suisse Commodity Return Strategy Fund - Instl #2156	21,119.324	155,227.03 200,000.00	0.00	0.00%
Disney Walt Co Com	5,400.000	463,752.00 107,592.20	4,644.00	1.00%
Dodge & Cox International Stock Fund #1048	17,731.359	815,642.51 675,000.00	12,323.00	1.51%
Emerson Elec Co Com	4,000.000	254,600.00 127,894.80	6,880.00	2.70%
Exxon Mobil Corp Com	7,900.000	781,626.00 21,362.10	21,804.00	2.79%
Fidelity Natl Information Svcs Inc Com	6,116.000	344,942.40 13,247.26	5,871.00	1.70%
General Elec Co Com	8,000.000	201,200.00 8,763.33	7,040.00	3.50%
Harbor International Fund Inst #2011	12,102.943	865,723.51 675,000.00	18,093.00	2.09%
Intel Corp Com	7,400.000	250,786.00 59,431.25	6,660.00	2.66%
International Business Machs Corp Com	1,100.000	210,837.00 93,634.75	4,840.00	2.30%
JPMorgan Chase & Co Com	5,000.000	288,350.00 174,770.00	8,000.00	2.77%
Johnson & Johnson Com	4,000.000	400,360.00 5,575.83	11,200.00	2.80%
Lazard Emerging Markets Equity Port - Instl #638	13,986.626	288,264.36 275,000.00	5,007.00	1.74%
Macys Inc Com	2,100.000	121,359.00 122,171.49	2,625.00	2.16%

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08/01/13 - 07/31/14

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Asset Description	Units	Market Value Cost	Est. Annual Income	Current Yield
McDonalds Corp Com	2,800.000	264,768.00 183,120.00	9,072.00	3.43%
Microsoft Corp Com	7,700 000	332,332.00 43,613.28	8,624.00	2.59%
Monsanto Co New Com	1,500.000	169,635.00 114,743.40	2,580.00	1.52%
Mylan Labs Inc Com	4,000.000	197,480.00 130,798 80	0.00	0.00%
Procter & Gamble Co Com	3,125.000	241,625.00 100,466.67	8,043 00	3.33%
Qualcomm Inc Com	4,500.000	331,740.00 157,278.15	7,560.00	2.28%
T Rowe Price Mid-Cap Growth Fund #64	6,907 268	518,873.97 206,872.69	0.00	0.00%
3M Co Com	2,150.000	302,913.50 48,316.96	7,353.00	2.43%
Travelers Cos Inc Com	1,500.000	134,340 00 125,009.10	3,300.00	2 46%
Tweedy Browne Global Value Fund #1	20,233.822	555,418.41 550,000.00	6,474.00	1.17%
Union Pac Corp Com	2,000.000	196,620 00 156,841.90	4,000.00	2.03%
Vanguard Mid Cap Index Fund Class I #864	17,052.628	539,715.68 235,326 27	6,053 00	1.12%
Wal Mart Stores Inc Com	3,000 000	220,740 00 174,330.00	5,760.00	2.61%
Wells Fargo & Co New Com	5,000 000	254,500.00 210,949.50	7,000.00	2 75%
Wells Fargo Advantage Emerging Markets Equity Fund Class I #4146	9,124 088	212,043.81 200,000 00	812.00	0 38%
Total Equities		\$ 15,361,439.30 7,356,510.07	297,033.00	1.93%

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Account Number:
Statement Period:

32-0608-00-3
08/01/13 - 07/31/14

Asset Position As of 07/31/14

Asset Description	Units	Market Value Cost	Est. Annual Income	Current Yield
Fixed Income				
BMO Short-Term Income Fund CI I #993	379,520.710	3,571,289.88 3,595,723.16	50,491.00	1.41%
Federated Institutional High Yield Bond Fund - Ins #900	50,487.008	514,462.61 500,000.00	30,682.00	5.96%
Fidelity Advisor Floating Rate High Income Fund Class I #279	50,200.803	498,493.97 500,000.00	16,910.00	3.39%
Franklin Floating Rate Daily Access Fund -Ad #689	54,406.964	498,911.86 500,000.00	18,979.00	3.80%
PIMCO Total Return Fund Inst #35	79,015.981	860,484.03 900,000.00	18,778.00	2.18%
PIMCO Diversified Income Fund Class I #106	26,966.539	317,935.49 299,945.55	14,387.00	4.53%
TCW Emerging Markets Income Fund Class I #4721	23,228.804	202,555.17 199,767.72	9,756.00	4.82%
Vanguard Sht Term Invt Grade Adm #539	118,554.061	1,273,270.62 1,270,000.00	24,631.00	1.93%
Total Fixed Income		\$ 7,737,403.63 \$ 7,765,436.43	184,614.00	2.39%

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