Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

**2013**

Open to Public Inspection

**For calendar year 2013 or tax year beginning** 8/1/2013 **, and ending** 7/31/2014

| | |
|---|---|
| Name of foundation<br>ROMETE PRIVATE FAMILY FOUNDATION INC | **A Employer identification number**<br>26-2381369 |
| Number and street (or P O box number if mail is not delivered to street address) / Room/suite<br>P.O BOX 1501, NJ2-130-03-31 | **B** Telephone number (see instructions)<br>609-274-6834 |
| City or town / State / ZIP code<br>PENNINGTON NJ 08534-1501 | |
| Foreign country name / Foreign province/state/county / Foreign postal code | **C** If exemption application is pending, check here ▶ ☐ |
| **G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity<br>☐ Final return ☐ Amended return<br>☐ Address change ☐ Name change | **D 1.** Foreign organizations, check here ▶ ☐<br>**2.** Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐ |
| **H** Check type of organization ☒ Section 501(c)(3) exempt private foundation<br>☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation | **E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐ |
| **I** Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 1,486,181<br>**J** Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)<br>*(Part I, column (d) must be on cash basis )* | **F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐ |

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )*

| | | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| | 2 | Check ▶ ☒ if the foundation is not required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | | | | |
| | 4 | Dividends and interest from securities | 46,566 | 46,147 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 131,153 | | | |
| | b | Gross sales price for all assets on line 6a 1,613,671 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 131,153 | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | -52 | | | |
| | 12 | **Total.** Add lines 1 through 11 | 177,667 | 177,300 | 0 | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | | | | |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees (attach schedule) | | | | |
| | b | Accounting fees (attach schedule) | 2,500 | | | 2,500 |
| | c | Other professional fees (attach schedule) | 14,620 | 8,772 | | 5,848 |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) | 4,268 | 1,068 | | |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | | | |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (attach schedule) | 283 | 33 | | 250 |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 21,671 | 9,873 | 0 | 8,598 |
| | 25 | Contributions, gifts, grants paid | 750,000 | | | 750,000 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 2 | 771,671 | 9,873 | 0 | 758,598 |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | -594,004 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 167,427 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | 0 | |

RECEIVED
DEC 29 2014
OGDEN, UT
IRS-OSC

SCANNED JAN 05 2015

**For Paperwork Reduction Act Notice, see instructions.**
HTA

Form **990-PF** (2013)

77 P

| Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|---|
| **Assets** | | | | |
| 1 | Cash—non-interest-bearing | 2 | 2 | 2 |
| 2 | Savings and temporary cash investments | 338 | 42,023 | 42,023 |
| 3 | Accounts receivable ▶ | | | |
| | Less. allowance for doubtful accounts ▶ | | | |
| 4 | Pledges receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| 5 | Grants receivable | | | |
| 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| 8 | Inventories for sale or use | | | |
| 9 | Prepaid expenses and deferred charges | | | |
| 10a | Investments—U S and state government obligations (attach schedule) | | | |
| b | Investments—corporate stock (attach schedule) | 848,112 | 567,026 | 637,423 |
| c | Investments—corporate bonds (attach schedule) | | | |
| 11 | Investments—land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| 12 | Investments—mortgage loans | | | |
| 13 | Investments—other (attach schedule) | 1,162,016 | 761,028 | 806,733 |
| 14 | Land, buildings, and equipment. basis ▶ | | | |
| | Less. accumulated depreciation (attach schedule) ▶ | | | |
| 15 | Other assets (describe ▶ ) | | | |
| 16 | **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I) | 2,010,468 | 1,370,079 | 1,486,181 |
| **Liabilities** | | | | |
| 17 | Accounts payable and accrued expenses | | | |
| 18 | Grants payable | | | |
| 19 | Deferred revenue | | | |
| 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 | Mortgages and other notes payable (attach schedule) | | | |
| 22 | Other liabilities (describe ▶ ) | | | |
| 23 | **Total liabilities** (add lines 17 through 22) | 0 | 0 | |
| **Net Assets or Fund Balances** | | | | |
| | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| 24 | Unrestricted | | | |
| 25 | Temporarily restricted | | | |
| 26 | Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.** | | | |
| 27 | Capital stock, trust principal, or current funds | 2,010,468 | 1,370,079 | |
| 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| 30 | **Total net assets or fund balances** (see instructions) | 2,010,468 | 1,370,079 | |
| 31 | **Total liabilities and net assets/fund balances** (see instructions) | 2,010,468 | 1,370,079 | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 2,010,468 |
| 2 | Enter amount from Part I, line 27a | 2 | -594,004 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 7,851 |
| 4 | Add lines 1, 2, and 3 | 4 | 1,424,315 |
| 5 | Decreases not included in line 2 (itemize) ▶ See Attached Statement | 5 | 54,236 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 1,370,079 |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | See Attached Statement | | | |
| b | See Attached Statement | | | |
| c | See Attached Statement | | | |
| d | See Attached Statement | | | |
| e | See Attached Statement | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | 0 |
| b | | | | 0 |
| c | | | | 0 |
| d | | | | 0 |
| e | | | | 0 |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
| a | | | | 0 |
| b | | | | 0 |
| c | | | | 0 |
| d | | | | 0 |
| e | | | | 0 |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 131,153

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 **3** 0

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2012 | 331,530 | 1,842,825 | 0.179903 |
| 2011 | 54,679 | 1,087,803 | 0 050266 |
| 2010 | 7,638 | 922,201 | 0 008282 |
| 2009 | 5,314 | 139,429 | 0.038113 |
| 2008 | 2 | 29,072 | 0.000069 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0 276633 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0 055327 |
| 4 | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 1,845,531 |
| 5 | Multiply line 4 by line 3 | 5 | 102,108 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 1,674 |
| 7 | Add lines 5 and 6 | 7 | 103,782 |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 758,598 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | | |
|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary—see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b | 1 | 1,674 | |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | 0 | |
| 3 | Add lines 1 and 2 | 3 | 1,674 | |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | | |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 1,674 | |
| 6 | Credits/Payments | | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 1,754 | | | |
| b | Exempt foreign organizations—tax withheld at source — 6b | | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c | | | |
| d | Backup withholding erroneously withheld — 6d | | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 1,754 | |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | 8 | | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | 0 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 80 | |
| 11 | Enter the amount of line 10 to be **Credited to 2014 estimated tax ▶** — **Refunded ▶** | 11 | 80 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? | 1b | | X |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶$ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | N/A | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | X |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ MLTC, A DIVISION OF BANK OF AMERICA, N A. Telephone no ▶ 609-274-6834
Located at ▶ 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ▶ 08534-1501

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | N/A | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ 20____, 20____, 20____, 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions ) | 2b | N/A | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* | 3b | N/A | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 5a | During the year did the foundation pay or incur any amount to | | | |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No | | | |
| | (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No | | | |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No | | | |
| | (4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No | | | |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? | 5b | N/A | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53 4945–5(d)* | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No | | | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870* | 6b | | X |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No | | | |
| b | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | 7b | N/A | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| MICHAEL J ROMERSA<br>1 BROAD STREET APT 21 G STAMFORD, CT 06901 | DIRECTOR<br>2 00 | | | |
| MARTIN FESTA<br>135 W 70TH STREET APT 3D NEW YORK, NY 10023 | DIRECTOR<br>2 00 | | | |
| EUGENIA VECCHIO<br>550 MAMARONECK AVE SUITE 210 HARRISON, NY | DIRECTOR<br>2 00 | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See instructions<br>3 NONE | |
| **Total.** Add lines 1 through 3 ▶ | 0 |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes. | | |
| a | Average monthly fair market value of securities | 1a | 1,808,214 |
| b | Average of monthly cash balances | 1b | 65,422 |
| c | Fair market value of all other assets (see instructions) | 1c | |
| d | **Total** (add lines 1a, b, and c) | 1d | 1,873,636 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 1,873,636 |
| 4 | Cash deemed held for charitable activities Enter 1 ½ % of line 3 (for greater amount, see instructions) | 4 | 28,105 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 1,845,531 |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 92,277 |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 92,277 |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a | 1,674 | | |
| b | Income tax for 2013 (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 1,674 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 90,603 |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 90,603 |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | | 7 | 90,603 |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26 | 1a | 758,598 |
| b | Program-related investments—total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 758,598 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 | 1,674 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 756,924 |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

| | | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|---|---|---|---|---|---|
| 1 | Distributable amount for 2013 from Part XI, line 7 | | | | 90,603 |
| 2 | Undistributed income, if any, as of the end of 2013: | | | | |
| a | Enter amount for 2012 only | | | 0 | |
| b | Total for prior years: 20____, 20____, 20____ | | | | |
| 3 | Excess distributions carryover, if any, to 2013 | | | | |
| a | From 2008 | | | | |
| b | From 2009 | | | | |
| c | From 2010 | | | | |
| d | From 2011 | | | | |
| e | From 2012 — 203,329 | | | | |
| f | **Total** of lines 3a through e | 203,329 | | | |
| 4 | Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 758,598 | | | | |
| a | Applied to 2012, but not more than line 2a | | | | |
| b | Applied to undistributed income of prior years (Election required—see instructions) | | | | |
| c | Treated as distributions out of corpus (Election required—see instructions) | | | | |
| d | Applied to 2013 distributable amount | | | | 90,603 |
| e | Remaining amount distributed out of corpus | 667,995 | | | |
| 5 | Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a) )* | | | | |
| 6 | **Enter the net total of each column as indicated below:** | | | | |
| a | Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 871,324 | | | |
| b | Prior years' undistributed income Subtract line 4b from line 2b | | 0 | | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d | Subtract line 6c from line 6b Taxable amount—see instructions | | | | |
| e | Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions | | | 0 | |
| f | Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 | | | | 0 |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | | | | |
| 8 | Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) | | | | |
| 9 | **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a | 871,324 | | | |
| 10 | Analysis of line 9 | | | | |
| a | Excess from 2009 | | | | |
| b | Excess from 2010 | | | | |
| c | Excess from 2011 | | | | |
| d | Excess from 2012 — 203,329 | | | | |
| e | Excess from 2013 — 667,995 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) **N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | (e) Total |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . | | | | | 0 |
| b 85% of line 2a | | | | | 0 |
| c Qualifying distributions from Part XII, line 4 for each year listed . . | | | | | 0 |
| d Amounts included in line 2c not used directly for active conduct of exempt activities . . | | | | | 0 |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . | | | | | 0 |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test—enter (1) Value of all assets . | | | | | 0 |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . | | | | | 0 |
| b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | 0 |
| c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | 0 |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . | | | | | 0 |
| (3) Largest amount of support from an exempt organization . | | | | | 0 |
| (4) Gross investment income | | | | | 0 |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

MICHAEL ROMERSA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year*<br>See Attached Statement | | | | |
| **Total** | | | ▶ **3a** | 750,000 |
| **b** *Approved for future payment*<br>NONE | | | | |
| **Total** | | | ▶ **3b** | 0 |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 46,566 | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 131,153 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a PARTNERSHIP K-1 | | | | -52 | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | 0 | | 177,667 | 0 |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 177,667

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions ) |
|---|---|
| | Not Applicable |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | Not Applicable | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

[signature] 12/21/14 ▶ Trustee

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☒ if self-employed | PTIN |
|---|---|---|---|---|
| DONALD J ROMAN | [signature] | 12/12/2014 | | P00364310 |
| Firm's name ▶ PricewaterhouseCoopers, LLP | | | Firm's EIN ▶ 13-4008324 | |
| Firm's address ▶ 600 GRANT STREET, PITTSBURGH, PA 15219 | | | Phone no 412-355-6000 | |

## Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

**Recipient(s) paid during the year**

| | |
|---|---|
| Name | ROMAN CATHOLIC DIOCESE OF BROOKLYN |
| Street | 7200 DOUGLASTON PKWY |
| City | DOUGLASTON |
| State | NY |
| Zip Code | 11362 |
| Foreign Country | |
| Relationship | NONE |
| Foundation Status | PC |
| Purpose of grant/contribution | GENERAL OPERATING |
| Amount | 25,000 |

| | |
|---|---|
| Name | FUTURES IN EDUCATION ENDOWMENT FUND FOR BROOKLYN AND QUEENS |
| Street | 243 PROSPECT PARK WEST |
| City | BROOKLYN |
| State | NY |
| Zip Code | 11215-1121 |
| Foreign Country | |
| Relationship | NONE |
| Foundation Status | PC |
| Purpose of grant/contribution | GENERAL OPERATING |
| Amount | 100,000 |

| | |
|---|---|
| Name | ST ATHANASIUS YOUTH PROGRAM INC |
| Street | 2154 61ST ST |
| City | BROOKLYN |
| State | NY |
| Zip Code | 11204 |
| Foreign Country | |
| Relationship | NONE |
| Foundation Status | PC |
| Purpose of grant/contribution | GENERAL OPERATING |
| Amount | 625,000 |

| | |
|---|---|
| Name | |
| Street | |
| City | |
| State | |
| Zip Code | |
| Foreign Country | |
| Relationship | |
| Foundation Status | |
| Purpose of grant/contribution | |
| Amount | |

| | |
|---|---|
| Name | |
| Street | |
| City | |
| State | |
| Zip Code | |
| Foreign Country | |
| Relationship | |
| Foundation Status | |
| Purpose of grant/contribution | |
| Amount | |

| | |
|---|---|
| Name | |
| Street | |
| City | |
| State | |
| Zip Code | |
| Foreign Country | |
| Relationship | |
| Foundation Status | |
| Purpose of grant/contribution | |
| Amount | |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | |

| Totals | Gross Sales | Cost, Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|
| Capital Gains/Losses | 1,613,671 | 1,482,518 | 131,1 |
| Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | X | VERIZON COMMUNICATIONS | | | | 2/27/2014 | | 3/3/2014 | 1,685 | 1,705 | | | | 0 | - |
| 2 | X | AFLAC INC COM | 001055102 | | | 4/17/2013 | | 9/20/2013 | 62 | 46 | | | | 0 | |
| 3 | X | AFLAC INC COM | 001055102 | | | 4/17/2013 | | 10/10/2013 | 761 | 588 | | | | 0 | 1 |
| 4 | X | AFLAC INC COM | 001055102 | | | 4/17/2013 | | 11/11/2013 | 1,511 | 1,130 | | | | 0 | 3 |
| 5 | X | AFLAC INC COM | 001055102 | | | 4/17/2013 | | 12/9/2013 | 533 | 394 | | | | 0 | 1 |
| 6 | X | AFLAC INC COM | 001055102 | | | 4/17/2013 | | 6/9/2014 | 1,136 | 887 | | | | 0 | 2 |
| 7 | X | AFLAC INC COM | 001055102 | | | 4/17/2013 | | 7/24/2014 | 382 | 296 | | | | 0 | |
| 8 | X | INVESCO HIGH YIELD FUND | 00142C193 | | | 7/24/2012 | | 8/14/2013 | 374 | 361 | | | | 0 | |
| 9 | X | INVESCO HIGH YIELD FUND | 00142C193 | | | 7/9/2012 | | 8/14/2013 | 3 | 3 | | | | 0 | |
| 10 | X | INVESCO HIGH YIELD FUND | 00142C193 | | | 7/9/2012 | | 8/14/2013 | 12,298 | 11,851 | | | | 0 | 4 |
| 11 | X | INVESCO HIGH YIELD FUND | 00142C193 | | | 7/24/2012 | | 9/9/2013 | 418 | 408 | | | | 0 | |
| 12 | X | INVESCO HIGH YIELD FUND | 00142C193 | | | 7/9/2012 | | 9/9/2013 | 1 | 1 | | | | 0 | |
| 13 | X | INVESCO HIGH YIELD FUND | 00142C193 | | | 7/24/2012 | | 9/9/2013 | 1 | 1 | | | | 0 | |
| 14 | X | INVESCO HIGH YIELD FUND | 00142C193 | | | 11/19/2012 | | 11/11/2013 | 2 | 1 | | | | 0 | |
| 15 | X | INVESCO HIGH YIELD FUND | 00142C193 | | | 11/19/2012 | | 11/11/2013 | 3,612 | 3,507 | | | | 0 | 1 |
| 16 | X | INVESCO HIGH YIELD FUND | 00142C193 | | | 7/24/2012 | | 11/11/2013 | 970 | 922 | | | | 0 | |
| 17 | X | INVESCO HIGH YIELD FUND | 00142C193 | | | 9/18/2013 | | 11/11/2013 | 1 | 1 | | | | 0 | |
| 18 | X | INVESCO HIGH YIELD FUND | 00142C193 | | | 11/19/2012 | | 12/9/2013 | 1,666 | 1,610 | | | | 0 | |
| 19 | X | INVESCO HIGH YIELD FUND | 00142C193 | | | 11/19/2012 | | 12/9/2013 | 3 | 3 | | | | 0 | |
| 20 | X | INVESCO HIGH YIELD FUND | 00142C193 | | | 11/19/2012 | | 12/9/2013 | 0 | 0 | | | | 0 | |
| 21 | X | INVESCO HIGH YIELD FUND | 00142C193 | | | 11/19/2012 | | 6/9/2014 | 4,740 | 4,492 | | | | 0 | 2 |
| 22 | X | RIO TINTO PLC | G75754104 | | | 7/19/2013 | | 9/23/2013 | 299 | 267 | | | | 0 | |
| 23 | X | RIO TINTO PLC | G75754104 | | | 7/19/2013 | | 11/12/2013 | 1,610 | 1,380 | | | | 0 | 2 |
| 24 | X | AMAZON COM INC COM | 023135106 | | | 5/8/2012 | | 9/9/2013 | 297 | 222 | | | | 0 | |
| 25 | X | AMAZON COM INC COM | 023135106 | | | 5/8/2012 | | 11/5/2013 | 1,781 | 1,112 | | | | 0 | 6 |
| 26 | X | AMAZON COM INC COM | 023135106 | | | 5/8/2012 | | 11/11/2013 | 1,410 | 889 | | | | 0 | 5 |
| 27 | X | AMAZON COM INC COM | 023135106 | | | 11/19/2012 | | 12/9/2013 | 767 | 457 | | | | 0 | 3 |
| 28 | X | AMAZON COM INC COM | 023135106 | | | 7/9/2012 | | 12/9/2013 | 384 | 224 | | | | 0 | 1 |
| 29 | X | AMAZON COM INC COM | 023135106 | | | 11/19/2012 | | 6/9/2014 | 1,314 | 915 | | | | 0 | 3 |
| 30 | X | AMAZON COM INC COM | 023135106 | | | 11/19/2012 | | 6/16/2014 | 324 | 229 | | | | 0 | |
| 31 | X | AMERICAN INTERNATIONAL | 026874784 | | | 2/5/2014 | | 6/9/2014 | 2,365 | 2,049 | | | | 0 | 3 |
| 32 | X | AMERICAN INTERNATIONAL | 026874784 | | | 2/5/2014 | | 7/24/2014 | 331 | 286 | | | | 0 | |
| 33 | X | ANALOG DEVICES INC COM | 032654105 | | | 11/19/2012 | | 11/11/2013 | 496 | 401 | | | | 0 | |
| 34 | X | ANALOG DEVICES INC COM | 032654105 | | | 10/16/2012 | | 11/11/2013 | 149 | 119 | | | | 0 | |
| 35 | X | ANALOG DEVICES INC COM | 032654105 | | | 3/29/2012 | | 11/11/2013 | 248 | 200 | | | | 0 | |
| 36 | X | RIO TINTO PLC | G75754104 | | | 7/19/2013 | | 12/11/2013 | 370 | 312 | | | | 0 | |
| 37 | X | RIO TINTO PLC | G75754104 | | | 7/19/2013 | | 1/30/2014 | 53 | 45 | | | | 0 | |
| 38 | X | RIO TINTO PLC | G75754104 | | | 7/19/2013 | | 3/24/2014 | 264 | 223 | | | | 0 | |
| 39 | X | RIO TINTO PLC | G75754104 | | | 7/19/2013 | | 6/12/2014 | 1,626 | 1,380 | | | | 0 | 2 |
| 40 | X | ROY DUT SHELL PLC A LON | G7690A100 | | | 7/19/2013 | | 8/1/2013 | 627 | 650 | | | | 0 | - |
| 41 | X | ROY DUT SHELL PLC A LON | G7690A100 | | | 7/19/2013 | | 9/23/2013 | 364 | 377 | | | | 0 | - |
| 42 | X | ROY DUT SHELL PLC A LON | G7690A100 | | | 7/19/2013 | | 11/12/2013 | 1,456 | 1,506 | | | | 0 | - |
| 43 | X | ROY DUT SHELL PLC A LON | G7690A100 | | | 7/19/2013 | | 11/12/2013 | 66 | 68 | | | | 2 | |
| 44 | X | ROY DUT SHELL PLC A LON | G7690A100 | | | 7/19/2013 | | 12/11/2013 | 508 | 514 | | | | 0 | |
| 45 | X | ROY DUT SHELL PLC A LON | G7690A100 | | | 7/19/2013 | | 3/24/2014 | 143 | 137 | | | | 0 | |
| 46 | X | ROY DUT SHELL PLC A LON | G7690A100 | | | 7/19/2013 | | 6/12/2014 | 1,987 | 1,712 | | | | 0 | 2 |
| 47 | X | SANDS CHINA LTD COM NPV | G7800X107 | | | 7/19/2013 | | 8/1/2013 | 370 | 356 | | | | 0 | |
| 48 | X | SANDS CHINA LTD COM NPV | G7800X107 | | | 7/19/2013 | | 9/12/2013 | 2,038 | 1,748 | | | | 0 | 2 |
| 49 | X | SANDS CHINA LTD COM NPV | G7800X107 | | | 7/19/2013 | | 9/23/2013 | 94 | 78 | | | | 0 | |
| 50 | X | SANDS CHINA LTD COM NPV | G7800X107 | | | 7/19/2013 | | 11/13/2013 | 937 | 706 | | | | 0 | 2 |
| 51 | X | SANDS CHINA LTD COM NPV | G7800X107 | | | 7/19/2013 | | 12/11/2013 | 239 | 157 | | | | 0 | |
| 52 | X | SANDS CHINA LTD COM NPV | G7800X107 | | | 7/19/2013 | | 3/25/2014 | 279 | 188 | | | | 0 | |
| 53 | X | SANDS CHINA LTD COM NPV | G7800X107 | | | 7/19/2013 | | 6/12/2014 | 1,189 | 905 | | | | 0 | 2 |
| 54 | X | ROYAL BANK OF SCOTLAND | G7S86Z172 | | | 12/12/2013 | | 6/12/2014 | 648 | 596 | | | | 0 | |
| 55 | X | STANDARD CHARTERED ORD | G84228157 | | | 7/19/2013 | | 8/1/2013 | 280 | 281 | | | | 0 | |
| 56 | X | INVESCO HIGH YIELD FUND | 00142C193 | | | 11/19/2012 | | 6/9/2014 | 2 | 2 | | | | 0 | |
| 57 | X | ABBVIE INC SHS | 00287Y109 | | | 9/10/2013 | | 9/20/2013 | 663 | 625 | | | | 0 | |
| 58 | X | ABBVIE INC SHS | 00287Y109 | | | 9/10/2013 | | 11/5/2013 | 9,383 | 8,706 | | | | 0 | 6 |
| 59 | X | AETNA INC NEW | 00817Y108 | | | 4/7/2014 | | 5/5/2014 | 1,220 | 1,264 | | | | 0 | - |
| 60 | X | AETNA INC NEW | 00817Y108 | | | 2/5/2014 | | 5/5/2014 | 7,681 | 7,357 | | | | 0 | 3 |
| 61 | X | AGILENT TECHNOLOGIES INC | 00846U101 | | | 10/10/2013 | | 11/11/2013 | 613 | 614 | | | | 0 | |
| 62 | X | AGILENT TECHNOLOGIES INC | 00846U101 | | | 10/10/2013 | | 12/9/2013 | 823 | 768 | | | | 0 | |
| 63 | X | AGILENT TECHNOLOGIES INC | 00846U101 | | | 10/10/2013 | | 2/5/2014 | 345 | 307 | | | | 0 | |
| 64 | X | AGILENT TECHNOLOGIES INC | 00846U101 | | | 10/10/2013 | | 6/9/2014 | 892 | 768 | | | | 0 | 1 |
| 65 | X | BARCLAYS ORD GBP 0 25 | G08036124 | | | 8/2/2013 | | 2/11/2014 | 178 | 189 | | | | 0 | - |
| 66 | X | BARCLAYS ORD GBP 0 25 | G08036124 | | | 7/19/2013 | | 2/11/2014 | 4,972 | 5,125 | | | | 0 | -1 |
| 67 | X | BARCLAYS PLC SHS ISSUE | G0R9JU298 | | | 7/19/2013 | | 9/25/2013 | 520 | 550 | | | | 0 | - |
| 68 | X | BG GROUP | G1245Z108 | | | 7/19/2013 | | 8/1/2013 | 328 | 331 | | | | 0 | |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | |

| Totals | Gross Sales | Cost, Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|
| Capital Gains/Losses | 1,613,671 | 1,482,518 | 131,1 |
| Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 69 | X | BG GROUP | G1245Z108 | | | 7/19/2013 | | 9/23/2013 | 193 | 184 | | | | 0 | |
| 70 | X | BG GROUP | G1245Z108 | | | 7/19/2013 | | 11/12/2013 | 987 | 901 | | | | 0 | |
| 71 | X | BG GROUP | G1245Z108 | | | 7/19/2013 | | 12/11/2013 | 282 | 257 | | | | 0 | |
| 72 | X | BG GROUP | G1245Z108 | | | 7/19/2013 | | 1/30/2014 | 34 | 37 | | | | 0 | |
| 73 | X | BG GROUP | G1245Z108 | | | 7/19/2013 | | 6/12/2014 | 1,008 | 901 | | | | 0 | 1 |
| 74 | X | EATON CORP PLC | G29183103 | | | 12/4/2012 | | 9/9/2013 | 265 | 206 | | | | 0 | |
| 75 | X | EATON CORP PLC | G29183103 | | | 12/4/2012 | | 9/20/2013 | 141 | 103 | | | | 0 | |
| 76 | X | EATON CORP PLC | G29183103 | | | 12/4/2012 | | 11/11/2013 | 1,559 | 1,129 | | | | 0 | 4 |
| 77 | X | EATON CORP PLC | G29183103 | | | 12/4/2012 | | 11/11/2013 | 1,205 | 873 | | | | 0 | 3 |
| 78 | X | EATON CORP PLC | G29183103 | | | 12/4/2012 | | 12/9/2013 | 652 | 462 | | | | 0 | 1 |
| 79 | X | EATON CORP PLC | G29183103 | | | 12/4/2012 | | 12/9/2013 | 507 | 359 | | | | 0 | 1 |
| 80 | X | EATON CORP PLC | G29183103 | | | 12/4/2012 | | 6/9/2014 | 1,741 | 1,181 | | | | 0 | 5 |
| 81 | X | EATON CORP PLC | G29183103 | | | 12/4/2012 | | 6/9/2014 | 1,514 | 1,027 | | | | 0 | 4 |
| 82 | X | EATON CORP PLC | G29183103 | | | 12/4/2012 | | 7/24/2014 | 3,427 | 2,259 | | | | 0 | 1,1 |
| 83 | X | ENSCO PLC SHS CL A | G3157S106 | | | 5/5/2014 | | 6/9/2014 | 1,513 | 1,473 | | | | 0 | |
| 84 | X | GLOBAL BRANDS GROUP HLI | G39338101 | | | 7/8/2014 | | 7/23/2014 | 433 | 0 | | | | 0 | 4 |
| 85 | X | HSBC HOLDINGS PLC | G4634U169 | | | 7/19/2013 | | 8/1/2013 | 445 | 440 | | | | 0 | |
| 86 | X | HSBC HOLDINGS PLC | G4634U169 | | | 7/19/2013 | | 9/23/2013 | 66 | 68 | | | | 0 | |
| 87 | X | HSBC HOLDINGS PLC | G4634U169 | | | 7/19/2013 | | 11/12/2013 | 1,119 | 1,139 | | | | 20 | |
| 88 | X | HSBC HOLDINGS PLC | G4634U169 | | | 7/19/2013 | | 11/12/2013 | 100 | 101 | | | | 2 | |
| 89 | X | HSBC HOLDINGS PLC | G4634U169 | | | 7/19/2013 | | 12/11/2013 | 181 | 192 | | | | 11 | |
| 90 | X | HSBC HOLDINGS PLC | G4634U169 | | | 7/19/2013 | | 12/11/2013 | 43 | 45 | | | | 0 | |
| 91 | X | HSBC HOLDINGS PLC | G4634U169 | | | 7/19/2013 | | 6/12/2014 | 1,350 | 1,454 | | | | 0 | -1 |
| 92 | X | LI AND FUNG LTD SHS | G5485F169 | | | 7/19/2013 | | 8/1/2013 | 176 | 188 | | | | 0 | |
| 93 | X | LI AND FUNG LTD SHS | G5485F169 | | | 7/19/2013 | | 1/30/2014 | 22 | 23 | | | | 0 | |
| 94 | X | LI AND FUNG LTD SHS | G5485F169 | | | 7/19/2013 | | 3/25/2014 | 877 | 802 | | | | 0 | |
| 95 | X | LI AND FUNG LTD SHS | G5485F169 | | | 7/19/2013 | | 9/23/2013 | 26 | 24 | | | | 0 | |
| 96 | X | LI AND FUNG LTD SHS | G5485F169 | | | 7/19/2013 | | 6/12/2014 | 668 | 666 | | | | 0 | |
| 97 | X | LI AND FUNG LTD SHS | G5485F169 | | | 7/19/2013 | | 11/13/2013 | 67 | 71 | | | | 4 | |
| 98 | X | BARCLAYS ORD GBP 0 25 | G08036124 | | | 7/19/2013 | | 12/11/2013 | 206 | 215 | | | | 0 | |
| 99 | X | LI AND FUNG LTD SHS | G5485F169 | | | 7/19/2013 | | 11/13/2013 | 660 | 698 | | | | 0 | |
| 100 | X | LI AND FUNG LTD SHS | G5485F169 | | | 7/19/2013 | | 12/11/2013 | 164 | 179 | | | | 0 | |
| 101 | X | BARCLAYS ORD GBP 0 25 | G08036124 | | | 7/19/2013 | | 1/30/2014 | 27 | 27 | | | | 0 | |
| 102 | X | NOBLE CORP PLC SHS | G65431101 | | | 5/5/2014 | | 6/9/2014 | 1,391 | 1,368 | | | | 0 | |
| 103 | X | RIO TINTO PLC | G75754104 | | | 7/19/2013 | | 8/1/2013 | 546 | 534 | | | | 0 | |
| 104 | X | AUTOZONE INC NEVADA COI | 053332102 | | | 3/5/2012 | | 1/2/2014 | 474 | 377 | | | | 0 | |
| 105 | X | BIOGEN IDEC INC | 09062X103 | | | 7/12/2013 | | 9/9/2013 | 452 | 443 | | | | 0 | |
| 106 | X | BIOGEN IDEC INC | 09062X103 | | | 7/12/2013 | | 9/20/2013 | 1,241 | 1,106 | | | | 0 | 1 |
| 107 | X | BIOGEN IDEC INC | 09062X103 | | | 7/12/2013 | | 11/11/2013 | 936 | 885 | | | | 0 | |
| 108 | X | BIOGEN IDEC INC | 09062X103 | | | 7/12/2013 | | 12/9/2013 | 2,869 | 2,213 | | | | 0 | 6 |
| 109 | X | BIOGEN IDEC INC | 09062X103 | | | 7/12/2013 | | 2/5/2014 | 911 | 664 | | | | 0 | 2 |
| 110 | X | BIOGEN IDEC INC | 09062X103 | | | 11/5/2013 | | 5/5/2014 | 287 | 240 | | | | 0 | |
| 111 | X | BIOGEN IDEC INC | 09062X103 | | | 7/12/2013 | | 5/5/2014 | 9,485 | 7,303 | | | | 0 | 2,1 |
| 112 | X | BLACKROCK GLOBAL LONG | 091936732 | | | 8/14/2013 | | 9/9/2013 | 0 | 0 | | | | 0 | |
| 113 | X | BLACKROCK GLOBAL LONG | 091936732 | | | 8/14/2013 | | 9/9/2013 | 542 | 543 | | | | 0 | |
| 114 | X | BLACKROCK GLOBAL LONG | 091936732 | | | 8/14/2013 | | 9/9/2013 | 2 | 2 | | | | 0 | |
| 115 | X | BLACKROCK GLOBAL LONG | 091936732 | | | 8/14/2013 | | 9/9/2013 | 181 | 181 | | | | 0 | |
| 116 | X | BLACKROCK GLOBAL LONG | 091936732 | | | 8/14/2013 | | 9/9/2013 | 11 | 11 | | | | 0 | |
| 117 | X | BLACKROCK GLOBAL LONG | 091936732 | | | 8/14/2013 | | 11/11/2013 | 0 | 0 | | | | 0 | |
| 118 | X | BLACKROCK GLOBAL LONG | 091936732 | | | 9/18/2013 | | 11/11/2013 | 4 | 4 | | | | 0 | |
| 119 | X | NESTLE SA CHAM UND VEVE | H57312649 | | | 7/19/2013 | | 11/12/2013 | 1,300 | 1,207 | | | | 0 | |
| 120 | X | NESTLE SA CHAM UND VEVE | H57312649 | | | 7/19/2013 | | 12/11/2013 | 292 | 268 | | | | 0 | |
| 121 | X | NESTLE SA CHAM UND VEVE | H57312649 | | | 7/19/2013 | | 3/24/2014 | 292 | 268 | | | | 0 | |
| 122 | X | NESTLE SA CHAM UND VEVE | H57312649 | | | 7/19/2013 | | 6/12/2014 | 1,549 | 1,341 | | | | 0 | 2 |
| 123 | X | NOVARTIS AG REG CHF0 5 | H5820Q150 | | | 10/9/2013 | | 11/12/2013 | 703 | 661 | | | | 0 | |
| 124 | X | NOVARTIS AG REG CHF0 5 | H5820Q150 | | | 10/9/2013 | | 12/11/2013 | 155 | 147 | | | | 0 | |
| 125 | X | NOVARTIS AG REG CHF0 5 | H5820Q150 | | | 10/9/2013 | | 6/12/2014 | 1,702 | 1,396 | | | | 0 | 3 |
| 126 | X | ROCHE HOLDINGS GENUSH I | H69293217 | | | 7/19/2013 | | 9/23/2013 | 1,061 | 998 | | | | 0 | |
| 127 | X | ROCHE HOLDINGS GENUSH I | H69293217 | | | 7/19/2013 | | 11/12/2013 | 1,926 | 1,747 | | | | 0 | 1 |
| 128 | X | ROCHE HOLDINGS GENUSH I | H69293217 | | | 7/19/2013 | | 12/11/2013 | 534 | 499 | | | | 0 | |
| 129 | X | ROCHE HOLDINGS GENUSH I | H69293217 | | | 7/19/2013 | | 2/11/2014 | 5,424 | 4,742 | | | | 0 | 6 |
| 130 | X | ROCHE HOLDINGS GENUSH I | H69293217 | | | 7/19/2013 | | 6/12/2014 | 1,197 | 998 | | | | 0 | 1 |
| 131 | X | SONOVA HOLDING AG | H8024W106 | | | 7/19/2013 | | 9/23/2013 | 247 | 224 | | | | 0 | |
| 132 | X | SONOVA HOLDING AG | H8024W106 | | | 7/19/2013 | | 11/12/2013 | 386 | 335 | | | | 0 | |
| 133 | X | SONOVA HOLDING AG | H8024W106 | | | 7/19/2013 | | 6/12/2014 | 462 | 335 | | | | 0 | 1 |
| 134 | X | CANON INC 7751 | J05124144 | | | 7/19/2013 | | 8/1/2013 | 369 | 411 | | | | 42 | |
| 135 | X | CANON INC 7751 | J05124144 | | | 7/19/2013 | | 9/24/2013 | 159 | 171 | | | | 0 | |
| 136 | X | CANON INC 7751 | J05124144 | | | 7/19/2013 | | 11/13/2013 | 686 | 754 | | | | 0 | |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | |

| Totals | Gross Sales | Cost, Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|
| Capital Gains/Losses | 1,613,671 | 1,482,518 | 131,1 |
| Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 137 | X | CANON INC 7751 | J05124144 | | | 7/19/2013 | | 11/13/2013 | 94 | 103 | | | | 9 | |
| 138 | X | CANON INC 7751 | J05124144 | | | 7/19/2013 | | 12/11/2013 | 190 | 206 | | | | 0 | |
| 139 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 9/22/2011 | | 8/14/2013 | 1 | 1 | | | | 0 | |
| 140 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 6/3/2011 | | 8/14/2013 | 6 | 6 | | | | 0 | |
| 141 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 5/9/2011 | | 8/14/2013 | 4 | 4 | | | | 0 | |
| 142 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 9/22/2011 | | 9/9/2013 | 2 | 2 | | | | 0 | |
| 143 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 3/29/2012 | | 9/9/2013 | 597 | 630 | | | | 33 | |
| 144 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 9/22/2011 | | 9/9/2013 | 234 | 253 | | | | 19 | |
| 145 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 9/18/2013 | | 11/12/2013 | 2 | 2 | | | | 0 | |
| 146 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 9/22/2011 | | 9/9/2013 | 6 | 6 | | | | 0 | |
| 147 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 11/19/2012 | | 11/12/2013 | 1,604 | 1,674 | | | | 0 | |
| 148 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 6/7/2012 | | 11/12/2013 | 164 | 167 | | | | 0 | |
| 149 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 3/29/2012 | | 11/12/2013 | 251 | 258 | | | | 0 | |
| 150 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 11/19/2012 | | 11/12/2013 | 8 | 4 | | | | 0 | |
| 151 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 2/19/2012 | | 11/12/2013 | 589 | 610 | | | | 0 | |
| 152 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 4/7/2012 | | 11/12/2013 | 218 | 227 | | | | 0 | |
| 153 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 7/9/2012 | | 11/12/2013 | 546 | 559 | | | | 0 | |
| 154 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 11/19/2012 | | 12/9/2013 | 2,557 | 2,677 | | | | 0 | -1 |
| 155 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 11/19/2012 | | 12/9/2013 | 2 | 2 | | | | 0 | |
| 156 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 11/19/2012 | | 6/9/2014 | 8,162 | 8,475 | | | | 0 | -3 |
| 157 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 11/19/2012 | | 6/9/2014 | 1 | 1 | | | | 0 | |
| 158 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 11/19/2012 | | 6/9/2014 | 5 | 5 | | | | 0 | |
| 159 | X | DREYFUS EMERGING MKTS | 261980494 | | | 12/11/2012 | | 8/14/2013 | 16,105 | 17,660 | | | | 0 | -1,5 |
| 160 | X | DREYFUS EMERGING MKTS | 261980494 | | | 4/1/2013 | | 8/14/2013 | 1 | 1 | | | | 0 | |
| 161 | X | DREYFUS EMERGING MKTS | 261980494 | | | 12/11/2012 | | 8/14/2013 | 8 | 9 | | | | 0 | |
| 162 | X | DREYFUS EMERGING MKTS | 261980494 | | | 12/11/2012 | | 9/9/2013 | 5 | 5 | | | | 0 | |
| 163 | X | DREYFUS EMERGING MKTS | 261980494 | | | 12/11/2012 | | 9/9/2013 | 575 | 644 | | | | 0 | |
| 164 | X | DREYFUS EMERGING MKTS | 261980494 | | | 12/11/2012 | | 9/18/2013 | 1 | 1 | | | | 0 | |
| 165 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 7/9/2012 | | 11/12/2013 | 11 | 11 | | | | 0 | |
| 166 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 5/8/2012 | | 11/12/2013 | 11 | 11 | | | | 0 | |
| 167 | X | DREYFUS EMERGING MKTS | 261980494 | | | 12/11/2012 | | 9/18/2013 | 19,618 | 21,002 | | | | 0 | -1,3 |
| 168 | X | DREYFUS EMERGING MKTS | 261980494 | | | 12/11/2012 | | 11/11/2013 | 2,255 | 2,483 | | | | 0 | -2 |
| 169 | X | DREYFUS EMERGING MKTS | 261980494 | | | 12/11/2012 | | 11/11/2013 | 10 | 10 | | | | 0 | |
| 170 | X | DREYFUS EMERGING MKTS | 261980494 | | | 12/11/2012 | | 11/11/2013 | 0 | 1 | | | | 0 | |
| 171 | X | DREYFUS EMERGING MKTS | 261980494 | | | 12/11/2012 | | 12/9/2013 | 4 | 4 | | | | 0 | |
| 172 | X | CANON INC 7751 | J05124144 | | | 7/19/2013 | | 1/30/2014 | 29 | 34 | | | | 0 | |
| 173 | X | CANON INC 7751 | J05124144 | | | 8/1/2013 | | 3/11/2014 | 380 | 429 | | | | 0 | |
| 174 | X | CANON INC 7751 | J05124144 | | | 8/1/2013 | | 3/11/2014 | 90 | 109 | | | | 0 | |
| 175 | X | CANON INC 7751 | J05124144 | | | 8/4/2013 | | 3/11/2014 | 60 | 71 | | | | 0 | |
| 176 | X | CANON INC 7751 | J05124144 | | | 8/14/2013 | | 3/11/2014 | 150 | 161 | | | | 0 | |
| 177 | X | CANON INC 7751 | J05124144 | | | 7/19/2013 | | 3/11/2014 | 3,599 | 4,111 | | | | 0 | -5 |
| 178 | X | GLORY LTD | J17304130 | | | 7/19/2013 | | 8/1/2013 | 257 | 274 | | | | 0 | |
| 179 | X | GLORY LTD | J17304130 | | | 7/19/2013 | | 9/24/2013 | 280 | 274 | | | | 0 | |
| 180 | X | GLORY LTD | J17304130 | | | 7/19/2013 | | 11/13/2013 | 402 | 398 | | | | 0 | |
| 181 | X | GLORY LTD | J17304130 | | | 7/19/2013 | | 12/11/2013 | 159 | 149 | | | | 0 | |
| 182 | X | GLORY LTD | J17304130 | | | 7/19/2013 | | 2/12/2014 | 3,043 | 3,036 | | | | 0 | |
| 183 | X | GLORY LTD | J17304130 | | | 11/26/2013 | | 2/12/2014 | 75 | 81 | | | | 0 | |
| 184 | X | HONDA MOTORS LTD 7267 | J22302111 | | | 7/19/2013 | | 8/1/2013 | 502 | 546 | | | | 0 | |
| 185 | X | HONDA MOTORS LTD 7267 | J22302111 | | | 7/19/2013 | | 9/24/2013 | 193 | 195 | | | | 0 | |
| 186 | X | HONDA MOTORS LTD 7267 | J22302111 | | | 7/19/2013 | | 11/13/2013 | 1,116 | 1,091 | | | | 0 | |
| 187 | X | HONDA MOTORS LTD 7267 | J22302111 | | | 7/19/2013 | | 12/11/2013 | 243 | 234 | | | | 0 | |
| 188 | X | HONDA MOTORS LTD 7267 | J22302111 | | | 7/19/2013 | | 1/30/2014 | 152 | 156 | | | | 0 | |
| 189 | X | HONDA MOTORS LTD 7267 | J22302111 | | | 7/19/2013 | | 6/12/2014 | 1,112 | 1,247 | | | | 0 | -1 |
| 190 | X | JAPAN TOBACCO INC 2914 | J27869106 | | | 7/19/2013 | | 8/1/2013 | 277 | 292 | | | | 0 | |
| 191 | X | BLACKROCK STRATEGIC | 09256H286 | | | 8/14/2013 | | 9/9/2013 | 1 | 1 | | | | 0 | |
| 192 | X | NESTLE SA CHAM UND VEVE | H57312649 | | | 7/19/2013 | | 9/23/2013 | 841 | 804 | | | | 0 | |
| 193 | X | BLACKROCK GLOBAL LONG | 091936732 | | | 8/14/2013 | | 12/9/2013 | 0 | 0 | | | | 0 | |
| 194 | X | BLACKROCK GLOBAL LONG | 091936732 | | | 8/14/2013 | | 6/9/2014 | 6 | 5 | | | | 0 | |
| 195 | X | BLACKROCK GLOBAL LONG | 091936732 | | | 8/14/2013 | | 6/9/2014 | 6,792 | 6,582 | | | | 0 | 2 |
| 196 | X | BLACKROCK STRATEGIC | 09256H286 | | | 9/18/2013 | | 11/11/2013 | 8 | 8 | | | | 0 | |
| 197 | X | BLACKROCK INC | 09247X101 | | | 7/10/2012 | | 10/10/2013 | 277 | 174 | | | | 0 | 1 |
| 198 | X | BLACKROCK STRATEGIC | 09256H286 | | | 8/14/2013 | | 11/11/2013 | 5,988 | 5,934 | | | | 0 | |
| 199 | X | BLACKROCK INC | 09247X101 | | | 7/10/2012 | | 11/11/2013 | 2,121 | 1,217 | | | | 0 | 9 |
| 200 | X | BLACKROCK INC | 09247X101 | | | 7/10/2012 | | 12/9/2013 | 304 | 174 | | | | 0 | 1 |
| 201 | X | BLACKROCK STRATEGIC | 09256H286 | | | 8/14/2013 | | 12/9/2013 | 2,277 | 2,248 | | | | 0 | |
| 202 | X | BLACKROCK INC | 09247X101 | | | 7/10/2012 | | 6/9/2014 | 1,257 | 695 | | | | 0 | 5 |
| 203 | X | BLACKROCK INC | 09247X101 | | | 7/10/2012 | | 7/24/2014 | 317 | 174 | | | | 0 | 1 |
| 204 | X | BLACKROCK INC | 09247X101 | | | 11/19/2012 | | 7/24/2014 | 317 | 190 | | | | 0 | 1 |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount | Totals | Gross Sales | Cost, Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|---|---|
| Long Term CG Distributions | 3,400 | Capital Gains/Losses | 1,613,671 | 1,482,518 | 131,1 |
| Short Term CG Distributions | | Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 205 | X | BLACKROCK STRATEGIC | 09256H286 | | | 8/14/2013 | | 9/9/2013 | 7 | 7 | | | | 0 | |
| 206 | X | BLACKROCK STRATEGIC | 09256H286 | | | 9/18/2013 | | 12/9/2013 | 1 | 1 | | | | 0 | |
| 207 | X | BLACKROCK STRATEGIC | 09256H286 | | | 8/14/2013 | | 9/9/2013 | 120 | 120 | | | | 0 | |
| 208 | X | BLACKROCK STRATEGIC | 09256H286 | | | 8/14/2013 | | 12/9/2013 | 0 | 0 | | | | 0 | |
| 209 | X | BLACKROCK STRATEGIC | 09256H286 | | | 8/14/2013 | | 9/9/2013 | 598 | 599 | | | | 0 | |
| 210 | X | BLACKROCK STRATEGIC | 09256H286 | | | 8/14/2013 | | 6/9/2014 | 6 | 6 | | | | 0 | |
| 211 | X | BLACKROCK STRATEGIC | 09256H286 | | | 8/14/2013 | | 6/9/2014 | 6,969 | 6,713 | | | | 0 | 2 |
| 212 | X | CSX CORP | 126408103 | | | 11/19/2012 | | 9/20/2013 | 563 | 413 | | | | 0 | 1 |
| 213 | X | CSX CORP | 126408103 | | | 11/19/2012 | | 11/11/2013 | 1,532 | 1,122 | | | | 0 | 4 |
| 214 | X | CSX CORP | 126408103 | | | 11/19/2012 | | 12/9/2013 | 727 | 512 | | | | 0 | 2 |
| 215 | X | CSX CORP | 126408103 | | | 11/19/2012 | | 6/9/2014 | 2,406 | 1,556 | | | | 0 | 8 |
| 216 | X | CSX CORP | 126408103 | | | 11/19/2012 | | 7/24/2014 | 3,463 | 2,186 | | | | 0 | 1,2 |
| 217 | X | BLACKROCK GLOBAL LONG | 091936732 | | | 8/14/2013 | | 11/11/2013 | 5,971 | 5,921 | | | | 0 | |
| 218 | X | BLACKROCK GLOBAL LONG | 091936732 | | | 8/14/2013 | | 12/9/2013 | 2,270 | 2,247 | | | | 0 | |
| 219 | X | CANADIAN UTILITIES CL A | 136717832 | | | 3/20/2014 | | 6/11/2014 | 218 | 217 | | | | 0 | |
| 220 | X | CAPITAL ONE FINL | 14040H105 | | | 9/6/2012 | | 9/9/2013 | 262 | 228 | | | | 0 | |
| 221 | X | CAPITAL ONE FINL | 14040H105 | | | 9/6/2012 | | 11/11/2013 | 1,116 | 911 | | | | 0 | 2 |
| 222 | X | CAPITAL ONE FINL | 14040H105 | | | 9/6/2012 | | 12/9/2013 | 731 | 570 | | | | 0 | 1 |
| 223 | X | CAPITAL ONE FINL | 14040H105 | | | 9/6/2012 | | 6/9/2014 | 82 | 57 | | | | 0 | |
| 224 | X | CAPITAL ONE FINL | 14040H105 | | | 11/19/2012 | | 6/9/2014 | 2,302 | 1,621 | | | | 0 | 6 |
| 225 | X | CELGENE CORP COM | 151020104 | | | 1/28/2014 | | 4/7/2014 | 7,513 | 8,815 | | | | 0 | -1,3 |
| 226 | X | CELGENE CORP COM | 151020104 | | | 2/5/2014 | | 4/7/2014 | 1,503 | 1,671 | | | | 0 | -1 |
| 227 | X | CENOVUS ENERGY INC | 15135U109 | | | 7/18/2013 | | 9/20/2013 | 60 | 63 | | | | 0 | |
| 228 | X | OCCIDENTAL PETE CORP CA | 674599105 | | | 5/7/2012 | | 9/20/2013 | 276 | 283 | | | | 0 | |
| 229 | X | OCCIDENTAL PETE CORP CA | 674599105 | | | 5/7/2012 | | 10/10/2013 | 1,042 | 966 | | | | 0 | |
| 230 | X | OCCIDENTAL PETE CORP CA | 674599105 | | | 5/7/2012 | | 11/11/2013 | 1,257 | 1,141 | | | | 0 | 1 |
| 231 | X | OCCIDENTAL PETE CORP CA | 674599105 | | | 11/19/2012 | | 6/9/2014 | 1,004 | 751 | | | | 0 | 2 |
| 232 | X | OCCIDENTAL PETE CORP CA | 674599105 | | | 5/28/2012 | | 6/9/2014 | 201 | 168 | | | | 0 | |
| 233 | X | OCCIDENTAL PETE CORP CA | 674599105 | | | 5/7/2012 | | 6/9/2014 | 1,004 | 878 | | | | 0 | 1 |
| 234 | X | OCCIDENTAL PETE CORP CA | 674599105 | | | 5/7/2012 | | 6/9/2014 | 100 | 88 | | | | 0 | |
| 235 | X | OCCIDENTAL PETE CORP CA | 674599105 | | | 11/19/2012 | | 7/24/2014 | 405 | 300 | | | | 0 | 1 |
| 236 | X | PACCAR INC | 693718108 | | | 1/28/2013 | | 9/20/2013 | 233 | 194 | | | | 0 | |
| 237 | X | PACCAR INC | 693718108 | | | 4/17/2013 | | 10/10/2013 | 388 | 333 | | | | 0 | |
| 238 | X | PACCAR INC | 693718108 | | | 1/28/2013 | | 10/10/2013 | 11,193 | 9,808 | | | | 0 | 1,3 |
| 239 | X | PETRLEO BRAS VTG SPD ADI | 71654V408 | | | 12/11/2013 | | 6/10/2014 | 426 | 379 | | | | 0 | |
| 240 | X | PETRLEO BRAS VTG SPD ADI | 71654V408 | | | 12/11/2013 | | 6/11/2014 | 16 | 14 | | | | 0 | |
| 241 | X | PETRLEO BRAS VTG SPD ADI | 71654V408 | | | 12/11/2013 | | 7/31/2014 | 670 | 568 | | | | 0 | 1 |
| 242 | X | PETSMART INC | 716768106 | | | 2/5/2013 | | 9/9/2013 | 350 | 322 | | | | 0 | 1 |
| 243 | X | PETSMART INC | 716768106 | | | 2/5/2013 | | 11/5/2013 | 11,351 | 10,182 | | | | 0 | 1,1 |
| 244 | X | PETSMART INC | 716768106 | | | 4/29/2013 | | 11/5/2013 | 216 | 201 | | | | 0 | |
| 245 | X | PETSMART INC | 716768106 | | | 5/20/2013 | | 11/5/2013 | 287 | 279 | | | | 0 | |
| 246 | X | JAPAN TOBACCO INC 2914 | J27869106 | | | 7/19/2013 | | 11/13/2013 | 1,071 | 1,132 | | | | 0 | - |
| 247 | X | JAPAN TOBACCO INC 2914 | J27869106 | | | 7/19/2013 | | 11/13/2013 | 35 | 37 | | | | 2 | |
| 248 | X | JAPAN TOBACCO INC 2914 | J27869106 | | | 7/19/2013 | | 12/11/2013 | 170 | 183 | | | | 0 | - |
| 249 | X | JAPAN TOBACCO INC 2914 | J27869106 | | | 7/19/2013 | | 1/30/2014 | 145 | 183 | | | | 0 | - |
| 250 | X | JAPAN TOBACCO INC 2914 | J27869106 | | | 7/19/2013 | | 2/12/2014 | 1,723 | 1,935 | | | | 0 | -2 |
| 251 | X | JAPAN TOBACCO INC 2914 | J27869106 | | | 7/19/2013 | | 6/12/2014 | 986 | 1,022 | | | | 0 | - |
| 252 | X | JSR CORPORATION 4185 | J2856K106 | | | 2/12/2014 | | 6/12/2014 | 776 | 838 | | | | 0 | - |
| 253 | X | MIRACA HOLDINGS INC | J4352B101 | | | 7/19/2013 | | 8/1/2013 | 434 | 440 | | | | 0 | |
| 254 | X | MIRACA HOLDINGS INC | J4352B101 | | | 7/19/2013 | | 10/9/2013 | 3,712 | 4,158 | | | | 0 | -4 |
| 255 | X | MITSUBISHI CRP 8058 FN | J43830116 | | | 7/19/2013 | | 8/1/2013 | 145 | 151 | | | | 0 | |
| 256 | X | MITSUBISHI CRP 8058 FN | J43830116 | | | 7/19/2013 | | 9/24/2013 | 62 | 56 | | | | 0 | |
| 257 | X | MITSUBISHI CRP 8058 FN | J43830116 | | | 7/19/2013 | | 11/13/2013 | 376 | 358 | | | | 0 | |
| 258 | X | MITSUBISHI CRP 8058 FN | J43830116 | | | 7/19/2013 | | 12/11/2013 | 77 | 75 | | | | 0 | |
| 259 | X | MITSUBISHI CRP 8058 FN | J43830116 | | | 7/19/2013 | | 1/30/2014 | 18 | 19 | | | | 0 | |
| 260 | X | MITSUBISHI CRP 8058 FN | J43830116 | | | 7/19/2013 | | 6/12/2014 | 380 | 358 | | | | 0 | |
| 261 | X | SANTEN PHARMACEUTCL 45 | J68467109 | | | 7/19/2013 | | 8/1/2013 | 307 | 339 | | | | 0 | - |
| 262 | X | SANTEN PHARMACEUTCL 45 | J68467109 | | | 7/19/2013 | | 9/24/2013 | 145 | 145 | | | | 0 | |
| 263 | X | SANTEN PHARMACEUTCL 45 | J68467109 | | | 7/19/2013 | | 11/13/2013 | 797 | 823 | | | | 26 | |
| 264 | X | SANTEN PHARMACEUTCL 45 | J68467109 | | | 7/19/2013 | | 11/13/2013 | 47 | 48 | | | | 2 | |
| 265 | X | SANTEN PHARMACEUTCL 45 | J68467109 | | | 7/19/2013 | | 12/11/2013 | 141 | 145 | | | | 4 | |
| 266 | X | SANTEN PHARMACEUTCL 45 | J68467109 | | | 7/19/2013 | | 12/11/2013 | 47 | 48 | | | | 0 | |
| 267 | X | SANTEN PHARMACEUTCL 45 | J68467109 | | | 7/19/2013 | | 1/30/2014 | 42 | 48 | | | | 0 | |
| 268 | X | SANTEN PHARMACEUTCL 45 | J68467109 | | | 7/19/2013 | | 6/12/2014 | 1,193 | 1,065 | | | | 0 | 1 |
| 269 | X | SONY FINL HOLDINGS INC | J76337104 | | | 7/19/2013 | | 8/1/2013 | 196 | 212 | | | | 0 | - |
| 270 | X | SONY FINL HOLDINGS INC | J76337104 | | | 7/19/2013 | | 9/24/2013 | 73 | 71 | | | | 0 | |
| 271 | X | SONY FINL HOLDINGS INC | J76337104 | | | 7/19/2013 | | 11/13/2013 | 389 | 388 | | | | 0 | |
| 272 | X | SONY FINL HOLDINGS INC | J76337104 | | | 7/19/2013 | | 12/11/2013 | 123 | 123 | | | | 0 | |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | |

| Totals | Gross Sales | Cost, Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|
| Capital Gains/Losses | 1,613,671 | 1,482,518 | 131,1 |
| Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 273 | X | SONY FINL HOLDINGS INC | J76337104 | | | 7/19/2013 | | 6/12/2014 | 438 | 441 | | | | 0 | |
| 274 | X | SUNDRUG CO LTD 9989 | J78089109 | | | 4/30/2014 | | 6/12/2014 | 596 | 531 | | | | 0 | |
| 275 | X | TOKYO GAS CO LTD 9531 FN | J87000105 | | | 7/19/2013 | | 8/1/2013 | 340 | 357 | | | | 0 | - |
| 276 | X | TOKYO GAS CO LTD 9531 FN | J87000105 | | | 7/19/2013 | | 9/24/2013 | 179 | 190 | | | | 0 | - |
| 277 | X | TOKYO GAS CO LTD 9531 FN | J87000105 | | | 7/19/2013 | | 11/13/2013 | 758 | 869 | | | | 0 | -1 |
| 278 | X | TOKYO GAS CO LTD 9531 FN | J87000105 | | | 7/19/2013 | | 11/13/2013 | 70 | 81 | | | | 10 | |
| 279 | X | TOKYO GAS CO LTD 9531 FN | J87000105 | | | 7/19/2013 | | 12/11/2013 | 184 | 219 | | | | 0 | - |
| 280 | X | TOKYO GAS CO LTD 9531 FN | J87000105 | | | 7/19/2013 | | 1/30/2014 | 20 | 23 | | | | 0 | |
| 281 | X | TOKYO GAS CO LTD 9531 FN | J87000105 | | | 7/19/2013 | | 6/12/2014 | 947 | 978 | | | | 0 | - |
| 282 | X | YAHOO JAPAN CORP 4689 | J95402103 | | | 3/11/2014 | | 4/1/2014 | 3,596 | 4,496 | | | | 0 | -9 |
| 283 | X | JAPAN TOBACCO INC 2914 | J27869106 | | | 7/19/2013 | | 9/24/2013 | 794 | 803 | | | | 0 | |
| 284 | X | YAHOO JAPAN CORP 4689 | J95402103 | | | 3/25/2014 | | 4/1/2014 | 740 | 849 | | | | 0 | -1 |
| 285 | X | PHILIP MORRIS INTL INC | 718172109 | | | 2/16/2012 | | 11/11/2013 | 1,625 | 1,479 | | | | 0 | 1 |
| 286 | X | PHILIP MORRIS INTL INC | 718172109 | | | 2/16/2012 | | 6/9/2014 | 2,385 | 2,219 | | | | 0 | 1 |
| 287 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 5/9/2011 | | 8/14/2013 | 2 | 2 | | | | 0 | |
| 288 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 11/3/2010 | | 8/14/2013 | 9 | 9 | | | | 0 | |
| 289 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 5/9/2011 | | 8/14/2013 | 314 | 329 | | | | 0 | - |
| 290 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 11/3/2010 | | 8/14/2013 | 9,085 | 9,627 | | | | 0 | -5 |
| 291 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 5/9/2011 | | 9/9/2013 | 11 | 12 | | | | 1 | |
| 292 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 5/9/2011 | | 9/9/2013 | 318 | 340 | | | | 23 | |
| 293 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 5/9/2011 | | 9/9/2013 | 2 | 2 | | | | 0 | |
| 294 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 3/29/2012 | | 11/11/2013 | 201 | 215 | | | | 0 | - |
| 295 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 3/29/2012 | | 11/11/2013 | 11 | 12 | | | | 0 | |
| 296 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 5/18/2011 | | 11/11/2013 | 11 | 12 | | | | 0 | |
| 297 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 6/3/2011 | | 11/11/2013 | 235 | 245 | | | | 0 | - |
| 298 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 6/3/2011 | | 11/11/2013 | 11 | 12 | | | | 0 | |
| 299 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 5/9/2011 | | 11/11/2013 | 816 | 857 | | | | 0 | - |
| 300 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 9/18/2013 | | 11/11/2013 | 1 | 1 | | | | 0 | |
| 301 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 5/18/2011 | | 11/11/2013 | 324 | 349 | | | | 0 | - |
| 302 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 9/22/2011 | | 11/11/2013 | 1,219 | 1,325 | | | | 0 | -1 |
| 303 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 7/24/2012 | | 12/9/2013 | 5 | 5 | | | | 0 | |
| 304 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 7/9/2012 | | 12/9/2013 | 166 | 186 | | | | 0 | - |
| 305 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 7/24/2012 | | 12/9/2013 | 577 | 650 | | | | 0 | - |
| 306 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 9/18/2013 | | 12/9/2013 | 1 | 1 | | | | 0 | |
| 307 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 3/29/2012 | | 12/9/2013 | 55 | 60 | | | | 0 | |
| 308 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 11/19/2012 | | 6/9/2014 | 2,675 | 2,954 | | | | 0 | -2 |
| 309 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 11/19/2012 | | 6/9/2014 | 3 | 3 | | | | 0 | |
| 310 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 11/19/2012 | | 6/9/2014 | 11 | 12 | | | | 0 | |
| 311 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 7/24/2012 | | 6/9/2014 | 758 | 825 | | | | 0 | |
| 312 | X | PIMCO REAL RETURN FUND | 72201M636 | | | 7/24/2012 | | 6/9/2014 | 6 | 7 | | | | 0 | |
| 313 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/3/2010 | | 8/1/2013 | 7 | 8 | | | | 0 | |
| 314 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/3/2010 | | 8/14/2013 | 3,671 | 4,177 | | | | 0 | -5 |
| 315 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/3/2010 | | 8/14/2013 | 1 | 1 | | | | 0 | |
| 316 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/3/2010 | | 8/14/2013 | 29,130 | 33,172 | | | | 0 | -4,0 |
| 317 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/3/2010 | | 8/14/2013 | 0 | 0 | | | | 0 | |
| 318 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/3/2010 | | 9/9/2013 | 10 | 12 | | | | 0 | |
| 319 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/3/2010 | | 9/9/2013 | 9 | 11 | | | | 0 | |
| 320 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/3/2010 | | 9/9/2013 | 1,070 | 1,217 | | | | 0 | -1 |
| 321 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/18/2010 | | 9/18/2013 | 252 | 291 | | | | 0 | - |
| 322 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/3/2010 | | 9/18/2013 | 1 | 1 | | | | 0 | |
| 323 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 3/29/2012 | | 9/18/2013 | 768 | 776 | | | | 0 | |
| 324 | X | AKZO NOBEL NV EUR 2 | N01803100 | | | 7/19/2013 | | 9/23/2013 | 201 | 170 | | | | 0 | |
| 325 | X | AKZO NOBEL NV EUR 2 | N01803100 | | | 7/19/2013 | | 11/12/2013 | 1,408 | 1,077 | | | | 0 | 3 |
| 326 | X | AKZO NOBEL NV EUR 2 | N01803100 | | | 7/19/2013 | | 12/11/2013 | 296 | 227 | | | | 0 | |
| 327 | X | AKZO NOBEL NV EUR 2 | N01803100 | | | 7/19/2013 | | 1/30/2014 | 74 | 57 | | | | 0 | |
| 328 | X | AKZO NOBEL NV EUR 2 | N01803100 | | | 7/19/2013 | | 3/24/2014 | 394 | 283 | | | | 0 | 1 |
| 329 | X | AKZO NOBEL NV EUR 2 | N01803100 | | | 7/19/2013 | | 6/12/2014 | 1,333 | 1,020 | | | | 0 | 3 |
| 330 | X | ING GROEP N V (NL) | N4578E413 | | | 7/19/2013 | | 8/1/2013 | 327 | 313 | | | | 0 | |
| 331 | X | ING GROEP N V (NL) | N4578E413 | | | 7/19/2013 | | 9/23/2013 | 185 | 157 | | | | 0 | |
| 332 | X | ING GROEP N V (NL) | N4578E413 | | | 7/19/2013 | | 11/12/2013 | 1,037 | 793 | | | | 0 | 2 |
| 333 | X | ING GROEP N V (NL) | N4578E413 | | | 7/19/2013 | | 12/11/2013 | 226 | 176 | | | | 0 | |
| 334 | X | ING GROEP N V (NL) | N4578E413 | | | 7/19/2013 | | 1/30/2014 | 27 | 20 | | | | 0 | |
| 335 | X | ING GROEP N V (NL) | N4578E413 | | | 7/19/2013 | | 6/12/2014 | 1,186 | 812 | | | | 0 | 3 |
| 336 | X | COMPUTERSHARE LTD | Q2721E105 | | | 4/9/2014 | | 6/12/2014 | 362 | 355 | | | | 0 | |
| 337 | X | ILUKA RESOURCES LIMITED | Q4875J104 | | | 7/19/2013 | | 8/1/2013 | 311 | 319 | | | | 0 | |
| 338 | X | ILUKA RESOURCES LIMITED | Q4875J104 | | | 7/19/2013 | | 9/23/2013 | 185 | 175 | | | | 0 | |
| 339 | X | ILUKA RESOURCES LIMITED | Q4875J104 | | | 7/19/2013 | | 11/13/2013 | 54 | 62 | | | | 7 | |
| 340 | X | ILUKA RESOURCES LIMITED | Q4875J104 | | | 7/19/2013 | | 11/13/2013 | 698 | 791 | | | | 0 | - |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount | Totals | Gross Sales | Cost Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|---|---|
| Long Term CG Distributions | 3,400 | Capital Gains/Losses | 1,613,671 | 1,482,518 | 131,1 |
| Short Term CG Distributions | | Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 341 | X | ILUKA RESOURCES LIMITED | Q4875J104 | | | 7/19/2013 | | 12/11/2013 | 139 | 185 | | | | 0 | - |
| 342 | X | ILUKA RESOURCES LIMITED | Q4875J104 | | | 7/19/2013 | | 1/30/2014 | 15 | 21 | | | | 0 | |
| 343 | X | ILUKA RESOURCES LIMITED | Q4875J104 | | | 7/19/2013 | | 6/12/2014 | 663 | 873 | | | | 0 | -2 |
| 344 | X | WESTPAC BANKING CORP FI | Q97417101 | | | 7/19/2013 | | 8/1/2013 | 251 | 247 | | | | 0 | |
| 345 | X | WESTPAC BANKING CORP FI | Q97417101 | | | 7/19/2013 | | 9/23/2013 | 398 | 357 | | | | 0 | |
| 346 | X | WESTPAC BANKING CORP FI | Q97417101 | | | 7/19/2013 | | 11/13/2013 | 1,071 | 961 | | | | 0 | 1 |
| 347 | X | WESTPAC BANKING CORP FI | Q97417101 | | | 7/19/2013 | | 12/11/2013 | 227 | 220 | | | | 0 | |
| 348 | X | WESTPAC BANKING CORP FI | Q97417101 | | | 7/19/2013 | | 1/30/2014 | 27 | 27 | | | | 0 | |
| 349 | X | WESTPAC BANKING CORP FI | Q97417101 | | | 7/19/2013 | | 3/25/2014 | 308 | 275 | | | | 0 | |
| 350 | X | WESTPAC BANKING CORP FI | Q97417101 | | | 7/19/2013 | | 6/12/2014 | 1,269 | 1,071 | | | | 0 | 1 |
| 351 | X | TELECOM ITALIA SPA | T92778108 | | | 7/19/2013 | | 8/1/2013 | 61 | 57 | | | | 0 | |
| 352 | X | TELECOM ITALIA SPA | T92778108 | | | 7/19/2013 | | 9/23/2013 | 40 | 33 | | | | 0 | |
| 353 | X | TELECOM ITALIA SPA | T92778108 | | | 7/19/2013 | | 11/12/2013 | 228 | 156 | | | | 0 | |
| 354 | X | TELECOM ITALIA SPA | T92778108 | | | 7/19/2013 | | 12/11/2013 | 51 | 34 | | | | 0 | |
| 355 | X | TELECOM ITALIA SPA | T92778108 | | | 7/19/2013 | | 6/12/2014 | 325 | 152 | | | | 0 | 1 |
| 356 | X | ATLAS COPCO CL A | W10020118 | | | 7/19/2013 | | 8/1/2013 | 260 | 254 | | | | 0 | |
| 357 | X | ATLAS COPCO CL A | W10020118 | | | 7/19/2013 | | 9/23/2013 | 179 | 152 | | | | 0 | |
| 358 | X | ATLAS COPCO CL A | W10020118 | | | 7/19/2013 | | 11/12/2013 | 764 | 711 | | | | 0 | |
| 359 | X | ATLAS COPCO CL A | W10020118 | | | 7/19/2013 | | 12/11/2013 | 185 | 178 | | | | 0 | |
| 360 | X | ATLAS COPCO CL A | W10020118 | | | 7/19/2013 | | 1/30/2014 | 28 | 25 | | | | 0 | |
| 361 | X | ATLAS COPCO CL A | W10020118 | | | 7/19/2013 | | 6/12/2014 | 1,122 | 991 | | | | 0 | 1 |
| 362 | X | ERICSSON LM | W26049119 | | | 7/19/2013 | | 8/1/2013 | 211 | 208 | | | | 0 | |
| 363 | X | AKZO NOBEL NV EUR 2 | N01803100 | | | 7/19/2013 | | 8/1/2013 | 427 | 397 | | | | 0 | |
| 364 | X | CENOVUS ENERGY INC | 15135U109 | | | 7/18/2013 | | 11/11/2013 | 29 | 31 | | | | 3 | |
| 365 | X | CENOVUS ENERGY INC | 15135U109 | | | 7/18/2013 | | 11/11/2013 | 287 | 315 | | | | 0 | - |
| 366 | X | CENOVUS ENERGY INC | 15135U109 | | | 7/18/2013 | | 12/10/2013 | 89 | 94 | | | | 0 | - |
| 367 | X | CENOVUS ENERGY INC | 15135U109 | | | 8/1/2013 | | 3/20/2014 | 26 | 32 | | | | 0 | - |
| 368 | X | CENOVUS ENERGY INC | 15135U109 | | | 7/18/2013 | | 3/20/2014 | 1,634 | 1,952 | | | | 0 | -3 |
| 369 | X | CENTURYLINK INC SHS | 156700106 | | | 4/17/2013 | | 9/9/2013 | 224 | 257 | | | | 0 | - |
| 370 | X | CENTURYLINK INC SHS | 156700106 | | | 4/17/2013 | | 9/10/2013 | 7,078 | 8,001 | | | | 0 | -9 |
| 371 | X | CENTURYLINK INC SHS | 156700106 | | | 5/20/2013 | | 9/10/2013 | 455 | 529 | | | | 0 | - |
| 372 | X | CENTURYLINK INC SHS | 156700106 | | | 5/2/2013 | | 9/10/2013 | 390 | 453 | | | | 0 | - |
| 373 | X | CENTURYLINK INC SHS | 156700106 | | | 4/29/2013 | | 9/10/2013 | 260 | 301 | | | | 0 | - |
| 374 | X | CHESAPEAKE ENERGY OKLA | 165167107 | | | 10/9/2012 | | 9/9/2013 | 288 | 215 | | | | 0 | |
| 375 | X | CHESAPEAKE ENERGY OKLA | 165167107 | | | 11/19/2012 | | 9/20/2013 | 27 | 17 | | | | 0 | |
| 376 | X | CHESAPEAKE ENERGY OKLA | 165167107 | | | 10/9/2012 | | 9/20/2013 | 1,104 | 802 | | | | 0 | 3 |
| 377 | X | CHESAPEAKE ENERGY OKLA | 165167107 | | | 10/27/2012 | | 9/20/2013 | 135 | 108 | | | | 0 | |
| 378 | X | CHESAPEAKE ENERGY OKLA | 165167107 | | | 11/19/2012 | | 11/5/2013 | 453 | 277 | | | | 0 | 1 |
| 379 | X | CHESAPEAKE ENERGY OKLA | 165167107 | | | 11/19/2012 | | 11/11/2013 | 281 | 191 | | | | 0 | |
| 380 | X | CHESAPEAKE ENERGY OKLA | 165167107 | | | 11/19/2012 | | 12/9/2013 | 581 | 381 | | | | 0 | 2 |
| 381 | X | CHESAPEAKE ENERGY OKLA | 165167107 | | | 4/17/2013 | | 1/10/2014 | 943 | 690 | | | | 0 | 2 |
| 382 | X | CHESAPEAKE ENERGY OKLA | 165167107 | | | 11/19/2012 | | 1/10/2014 | 6,272 | 4,263 | | | | 0 | 2,0 |
| 383 | X | CHESAPEAKE ENERGY OKLA | 165167107 | | | 7/12/2013 | | 1/10/2014 | 255 | 215 | | | | 0 | |
| 384 | X | CHESAPEAKE ENERGY OKLA | 165167107 | | | 4/29/2013 | | 1/10/2014 | 25 | 19 | | | | 0 | |
| 385 | X | CHEVRON CORP | 166764100 | | | 1/28/2013 | | 10/10/2013 | 7,274 | 7,335 | | | | 0 | - |
| 386 | X | CHEVRON CORP | 166764100 | | | 4/17/2013 | | 10/10/2013 | 115 | 115 | | | | 0 | |
| 387 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/18/2010 | | 9/18/2013 | 11 | 13 | | | | 0 | |
| 388 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 7/24/2012 | | 9/18/2013 | 7 | 8 | | | | 0 | |
| 389 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/20/2010 | | 9/18/2013 | 84 | 96 | | | | 0 | - |
| 390 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/28/2010 | | 9/18/2013 | 526 | 599 | | | | 0 | - |
| 391 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/3/2010 | | 9/18/2013 | 200 | 225 | | | | 0 | - |
| 392 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/3/2010 | | 9/18/2013 | 1,441 | 1,641 | | | | 0 | -2 |
| 393 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 7/24/2012 | | 9/18/2013 | 316 | 334 | | | | 0 | - |
| 394 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 2/10/2012 | | 9/18/2013 | 11 | 12 | | | | 0 | |
| 395 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 12/13/2010 | | 9/18/2013 | 6,438 | 6,376 | | | | 0 | |
| 396 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 2/10/2012 | | 9/18/2013 | 105 | 105 | | | | 0 | |
| 397 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 12/13/2010 | | 9/18/2013 | 11 | 11 | | | | 0 | |
| 398 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/4/2010 | | 9/18/2013 | 21 | 23 | | | | 0 | |
| 399 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/4/2010 | | 9/18/2013 | 11 | 13 | | | | 0 | |
| 400 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 9/22/2011 | | 9/18/2013 | 526 | 531 | | | | 0 | |
| 401 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 7/24/2012 | | 11/11/2013 | 3,537 | 3,717 | | | | 0 | -1 |
| 402 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/19/2012 | | 11/11/2013 | 4,501 | 4,824 | | | | 0 | -3 |
| 403 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 7/24/2012 | | 11/11/2013 | 3 | 3 | | | | 0 | |
| 404 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/19/2012 | | 12/9/2013 | 6 | 4 | | | | 0 | |
| 405 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 7/24/2012 | | 12/9/2013 | 0 | 0 | | | | 0 | |
| 406 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/19/2012 | | 12/9/2013 | 2,756 | 2,951 | | | | 0 | -1 |
| 407 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/19/2012 | | 6/9/2014 | 8,077 | 8,593 | | | | 0 | -5 |
| 408 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/19/2012 | | 6/9/2014 | 1 | 1 | | | | 0 | |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | |

| Totals | Gross Sales | Cost Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|
| Capital Gains/Losses | 1,613,671 | 1,482,518 | 131,1 |
| Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 409 | X | PIMCO INVESTMENT GRADE | 72201M685 | | | 11/19/2012 | | 6/9/2014 | 4 | 5 | | | | 0 | |
| 410 | X | PRINCIPAL FINANCIAL GRP | 74251V102 | | | 1/28/2013 | | 10/10/2013 | 432 | 310 | | | | 0 | 1 |
| 411 | X | PRINCIPAL FINANCIAL GRP | 74251V102 | | | 1/28/2013 | | 11/11/2013 | 2,466 | 1,583 | | | | 0 | 8 |
| 412 | X | PRINCIPAL FINANCIAL GRP | 74251V102 | | | 1/28/2013 | | 12/9/2013 | 697 | 435 | | | | 0 | 2 |
| 413 | X | PRINCIPAL FINANCIAL GRP | 74251V102 | | | 1/28/2013 | | 6/9/2014 | 2,518 | 1,583 | | | | 0 | 9 |
| 414 | X | PRINCIPAL FINANCIAL GRP | 74251V102 | | | 1/28/2013 | | 7/24/2014 | 724 | 435 | | | | 0 | 2 |
| 415 | X | PRINCIPAL PREFERRED | 74255L787 | | | 11/19/2012 | | 8/14/2013 | 2,473 | 2,543 | | | | 0 | |
| 416 | X | PRINCIPAL PREFERRED | 74255L787 | | | 11/19/2012 | | 8/14/2013 | 3 | 3 | | | | 0 | |
| 417 | X | PRINCIPAL PREFERRED | 74255L787 | | | 11/19/2012 | | 8/14/2013 | 3 | 3 | | | | 0 | |
| 418 | X | PRINCIPAL PREFERRED | 74255L787 | | | 11/19/2012 | | 9/9/2013 | 10 | 11 | | | | 0 | |
| 419 | X | PRINCIPAL PREFERRED | 74255L787 | | | 11/19/2012 | | 9/9/2013 | 4 | 4 | | | | 0 | |
| 420 | X | PRINCIPAL PREFERRED | 74255L787 | | | 11/19/2012 | | 9/9/2013 | 70 | 74 | | | | 3 | |
| 421 | X | PRINCIPAL PREFERRED | 74255L787 | | | 11/19/2012 | | 11/11/2013 | 7 | 7 | | | | 0 | |
| 422 | X | ERICSSON LM | W26049119 | | | 7/19/2013 | | 9/23/2013 | 124 | 104 | | | | 0 | |
| 423 | X | ERICSSON LM | W26049119 | | | 7/19/2013 | | 11/12/2013 | 735 | 695 | | | | 0 | |
| 424 | X | ERICSSON LM | W26049119 | | | 7/19/2013 | | 12/11/2013 | 94 | 93 | | | | 0 | |
| 425 | X | ERICSSON LM | W26049119 | | | 7/19/2013 | | 1/30/2014 | 72 | 69 | | | | 0 | |
| 426 | X | ERICSSON LM | W26049119 | | | 7/19/2013 | | 6/12/2014 | 952 | 892 | | | | 0 | |
| 427 | X | AIA GROUP LTD | Y002A1105 | | | 7/19/2013 | | 8/1/2013 | 460 | 427 | | | | 0 | |
| 428 | X | AIA GROUP LTD | Y002A1105 | | | 7/19/2013 | | 9/23/2013 | 228 | 218 | | | | 0 | |
| 429 | X | AIA GROUP LTD | Y002A1105 | | | 7/19/2013 | | 11/13/2013 | 947 | 863 | | | | 0 | |
| 430 | X | AIA GROUP LTD | Y002A1105 | | | 7/19/2013 | | 12/11/2013 | 279 | 254 | | | | 0 | |
| 431 | X | AIA GROUP LTD | Y002A1105 | | | 7/19/2013 | | 12/12/2013 | 1,101 | 1,010 | | | | 0 | |
| 432 | X | AIA GROUP LTD | Y002A1105 | | | 7/19/2013 | | 1/30/2014 | 56 | 53 | | | | 0 | |
| 433 | X | AIA GROUP LTD | Y002A1105 | | | 7/19/2013 | | 6/12/2014 | 797 | 708 | | | | 0 | |
| 434 | X | HUTCHISON WHAMPOA | Y38024108 | | | 7/19/2013 | | 8/1/2013 | 191 | 188 | | | | 0 | |
| 435 | X | HUTCHISON WHAMPOA | Y38024108 | | | 7/19/2013 | | 9/23/2013 | 108 | 100 | | | | 0 | |
| 436 | X | HUTCHISON WHAMPOA | Y38024108 | | | 7/19/2013 | | 11/13/2013 | 545 | 498 | | | | 0 | |
| 437 | X | HUTCHISON WHAMPOA | Y38024108 | | | 7/19/2013 | | 12/11/2013 | 127 | 111 | | | | 0 | |
| 438 | X | HUTCHISON WHAMPOA | Y38024108 | | | 7/19/2013 | | 6/12/2014 | 642 | 532 | | | | 0 | 1 |
| 439 | X | OIL SEARCH LTD FN | Y64695110 | | | 7/19/2013 | | 8/1/2013 | 162 | 165 | | | | 0 | |
| 440 | X | OIL SEARCH LTD FN | Y64695110 | | | 7/19/2013 | | 9/23/2013 | 105 | 97 | | | | 0 | |
| 441 | X | OIL SEARCH LTD FN | Y64695110 | | | 7/19/2013 | | 11/13/2013 | 461 | 442 | | | | 0 | |
| 442 | X | OIL SEARCH LTD FN | Y64695110 | | | 7/19/2013 | | 12/11/2013 | 37 | 37 | | | | 0 | |
| 443 | X | OIL SEARCH LTD FN | Y64695110 | | | 7/19/2013 | | 12/11/2013 | 52 | 52 | | | | 0 | |
| 444 | X | OIL SEARCH LTD FN | Y64695110 | | | 7/19/2013 | | 12/12/2013 | 1,017 | 1,057 | | | | 0 | |
| 445 | X | OIL SEARCH LTD FN | Y64695110 | | | 7/19/2013 | | 6/12/2014 | 326 | 270 | | | | 0 | |
| 446 | X | CHINA UNICOM HONG KONG | 16945R104 | | | 7/18/2013 | | 9/20/2013 | 49 | 42 | | | | 0 | |
| 447 | X | CHINA UNICOM HONG KONG | 16945R104 | | | 7/18/2013 | | 11/11/2013 | 601 | 549 | | | | 0 | |
| 448 | X | CHINA UNICOM HONG KONG | 16945R104 | | | 7/18/2013 | | 12/10/2013 | 61 | 56 | | | | 0 | |
| 449 | X | CHINA UNICOM HONG KONG | 16945R104 | | | 7/18/2013 | | 1/29/2014 | 65 | 70 | | | | 0 | |
| 450 | X | CHINA UNICOM HONG KONG | 16945R104 | | | 11/25/2013 | | 2/11/2014 | 67 | 78 | | | | 0 | |
| 451 | X | CHINA UNICOM HONG KONG | 16945R104 | | | 7/18/2013 | | 2/11/2014 | 2,470 | 2,591 | | | | 0 | -1 |
| 452 | X | CITIGROUP INC COM NEW | 172967424 | | | 1/28/2013 | | 11/11/2013 | 1,304 | 1,120 | | | | 0 | 1 |
| 453 | X | CITIGROUP INC COM NEW | 172967424 | | | 1/28/2013 | | 12/9/2013 | 783 | 646 | | | | 0 | 1 |
| 454 | X | CITIGROUP INC COM NEW | 172967424 | | | 1/10/2014 | | 6/9/2014 | 198 | 220 | | | | 23 | |
| 455 | X | CITIGROUP INC COM NEW | 172967424 | | | 1/28/2013 | | 6/9/2014 | 494 | 431 | | | | 0 | |
| 456 | X | CITIGROUP INC COM NEW | 172967424 | | | 1/10/2014 | | 6/9/2014 | 1,976 | 2,204 | | | | 0 | -2 |
| 457 | X | CITIGROUP INC COM NEW | 172967424 | | | 1/28/2013 | | 7/24/2014 | 401 | 345 | | | | 0 | |
| 458 | X | DBS GROUP HLDGS SPN ADR | 23304Y100 | | | 7/18/2013 | | 9/20/2013 | 160 | 158 | | | | 0 | |
| 459 | X | DBS GROUP HLDGS SPN ADR | 23304Y100 | | | 7/18/2013 | | 11/11/2013 | 597 | 580 | | | | 0 | |
| 460 | X | DBS GROUP HLDGS SPN ADR | 23304Y100 | | | 7/18/2013 | | 12/10/2013 | 160 | 158 | | | | 0 | |
| 461 | X | DBS GROUP HLDGS SPN ADR | 23304Y100 | | | 7/18/2013 | | 6/10/2014 | 601 | 580 | | | | 0 | |
| 462 | X | DEERE CO | 244199105 | | | 4/17/2013 | | 9/20/2013 | 85 | 82 | | | | 0 | |
| 463 | X | DEERE CO | 244199105 | | | 4/17/2013 | | 10/10/2013 | 250 | 247 | | | | 0 | |
| 464 | X | DEERE CO | 244199105 | | | 4/17/2013 | | 11/11/2013 | 571 | 577 | | | | 0 | |
| 465 | X | DEERE CO | 244199105 | | | 4/17/2013 | | 12/9/2013 | 609 | 577 | | | | 0 | |
| 466 | X | DEERE CO | 244199105 | | | 4/17/2013 | | 2/5/2014 | 6,669 | 6,518 | | | | 0 | 1 |
| 467 | X | DISNEY (WALT) CO COM STK | 254687106 | | | 5/5/2014 | | 6/9/2014 | 1,628 | 1,539 | | | | 0 | |
| 468 | X | DOLLAR TREE INC | 256746108 | | | 11/5/2013 | | 11/11/2013 | 1,657 | 1,628 | | | | 0 | |
| 469 | X | DOLLAR TREE INC | 256746108 | | | 11/5/2013 | | 12/9/2013 | 55 | 58 | | | | 0 | |
| 470 | X | DOLLAR TREE INC | 256746108 | | | 11/5/2013 | | 4/7/2014 | 1,198 | 1,338 | | | | 0 | -1 |
| 471 | X | DOLLAR TREE INC | 256746108 | | | 11/5/2013 | | 6/9/2014 | 167 | 174 | | | | 7 | |
| 472 | X | DOLLAR TREE INC | 256746108 | | | 11/5/2013 | | 6/9/2014 | 2,286 | 2,385 | | | | 0 | |
| 473 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 2/10/2012 | | 8/14/2013 | 3 | 3 | | | | 0 | |
| 474 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 2/10/2012 | | 8/14/2013 | 23,948 | 24,428 | | | | 0 | -4 |
| 475 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 2/10/2012 | | 8/14/2013 | 8 | 8 | | | | 0 | |
| 476 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 2/10/2012 | | 9/9/2013 | 7 | 7 | | | | 0 | |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | |

| Totals | Gross Sales | Cost, Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|
| Capital Gains/Losses | 1,613,671 | 1,482,518 | 131,1 |
| Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 477 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 2/10/2012 | | 9/9/2013 | 585 | 603 | | | | 18 | |
| 478 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 3/29/2012 | | 9/9/2013 | 217 | 225 | | | | 8 | |
| 479 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 5/8/2012 | | 11/12/2013 | 22 | 22 | | | | 0 | |
| 480 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 6/7/2012 | | 11/12/2013 | 11 | 11 | | | | 0 | |
| 481 | X | DOUBLELINE TOTAL RETURN | 258620103 | | | 7/24/2012 | | 11/12/2013 | 3,808 | 3,951 | | | | 0 | -1 |
| 482 | X | PRINCIPAL PREFERRED | 74255L787 | | | 9/18/2013 | | 11/11/2013 | 1 | 1 | | | | 0 | |
| 483 | X | PRINCIPAL PREFERRED | 74255L787 | | | 11/19/2012 | | 11/11/2013 | 692 | 715 | | | | 0 | - |
| 484 | X | PRINCIPAL PREFERRED | 74255L787 | | | 11/19/2012 | | 12/9/2013 | 4 | 4 | | | | 0 | |
| 485 | X | PRINCIPAL PREFERRED | 74255L787 | | | 11/19/2012 | | 12/9/2013 | 4 | 4 | | | | 0 | |
| 486 | X | PRINCIPAL PREFERRED | 74255L787 | | | 11/19/2012 | | 12/9/2013 | 223 | 231 | | | | 0 | |
| 487 | X | PRINCIPAL PREFERRED | 74255L787 | | | 11/19/2012 | | 6/9/2014 | 1 | 1 | | | | 0 | |
| 488 | X | PRINCIPAL PREFERRED | 74255L787 | | | 11/19/2012 | | 6/9/2014 | 835 | 841 | | | | 0 | |
| 489 | X | PRINCIPAL PREFERRED | 74255L787 | | | 11/19/2012 | | 6/9/2014 | 6 | 6 | | | | 0 | |
| 490 | X | PROCTER & GAMBLE CO | 742718109 | | | 11/3/2010 | | 11/11/2013 | 1,153 | 898 | | | | 0 | 2 |
| 491 | X | PROCTER & GAMBLE CO | 742718109 | | | 2/10/2011 | | 11/11/2013 | 742 | 583 | | | | 0 | 1 |
| 492 | X | PROCTER & GAMBLE CO | 742718109 | | | 2/1/2012 | | 12/9/2013 | 593 | 445 | | | | 0 | 1 |
| 493 | X | PROCTER & GAMBLE CO | 742718109 | | | 2/1/2012 | | 6/9/2014 | 880 | 699 | | | | 0 | 1 |
| 494 | X | PROCTER & GAMBLE CO | 742718109 | | | 2/10/2012 | | 6/9/2014 | 320 | 256 | | | | 0 | |
| 495 | X | PROCTER & GAMBLE CO | 742718109 | | | 10/16/2012 | | 6/9/2014 | 80 | 69 | | | | 0 | |
| 496 | X | PROCTER & GAMBLE CO | 742718109 | | | 11/19/2012 | | 7/24/2014 | 320 | 271 | | | | 0 | |
| 497 | X | QUALCOMM INC | 747525103 | | | 11/19/2012 | | 9/20/2013 | 765 | 685 | | | | 0 | |
| 498 | X | QUALCOMM INC | 747525103 | | | 10/16/2012 | | 9/20/2013 | 278 | 241 | | | | 0 | |
| 499 | X | QUALCOMM INC | 747525103 | | | 4/17/2013 | | 10/10/2013 | 668 | 643 | | | | 0 | |
| 500 | X | QUALCOMM INC | 747525103 | | | 5/3/2013 | | 10/10/2013 | 1,068 | 1,021 | | | | 0 | |
| 501 | X | QUALCOMM INC | 747525103 | | | 7/16/2013 | | 10/10/2013 | 267 | 247 | | | | 0 | |
| 502 | X | QUALCOMM INC | 747525103 | | | 11/19/2012 | | 10/10/2013 | 3,272 | 3,052 | | | | 0 | 2 |
| 503 | X | QUALCOMM INC | 747525103 | | | 1/28/2013 | | 10/10/2013 | 10,282 | 9,793 | | | | 0 | 4 |
| 504 | X | REGENERON PHARMACTCLS | 75886F107 | | | 11/5/2013 | | 11/11/2013 | 276 | 295 | | | | 0 | - |
| 505 | X | REGENERON PHARMACTCLS | 75886F107 | | | 11/5/2013 | | 12/9/2013 | 840 | 884 | | | | 0 | - |
| 506 | X | REGENERON PHARMACTCLS | 75886F107 | | | 11/5/2013 | | 2/5/2014 | 284 | 295 | | | | 0 | - |
| 507 | X | REGENERON PHARMACTCLS | 75886F107 | | | 11/5/2013 | | 4/7/2014 | 10,091 | 10,613 | | | | 0 | -5 |
| 508 | X | T ROWE PRICE US TREASURY | 77957T107 | | | 9/22/2011 | | 8/14/2013 | 65 | 70 | | | | 0 | |
| 509 | X | T ROWE PRICE US TREASURY | 77957T107 | | | 6/3/2011 | | 8/14/2013 | 4,251 | 4,315 | | | | 0 | - |
| 510 | X | T ROWE PRICE US TREASURY | 77957T107 | | | 5/9/2011 | | 8/14/2013 | 20,980 | 21,051 | | | | 0 | - |
| 511 | X | STANDARD CHARTERED ORD | G84228157 | | | 7/19/2013 | | 11/12/2013 | 943 | 936 | | | | 0 | |
| 512 | X | STANDARD CHARTERED ORD | G84228157 | | | 7/19/2013 | | 12/11/2013 | 63 | 70 | | | | 7 | |
| 513 | X | STANDARD CHARTERED ORD | G84228157 | | | 7/19/2013 | | 1/30/2014 | 127 | 140 | | | | 0 | - |
| 514 | X | STANDARD CHARTERED ORD | G84228157 | | | 7/19/2013 | | 6/12/2014 | 757 | 796 | | | | 0 | - |
| 515 | X | VODAFONE GROUP PLC | G93882135 | | | 7/19/2013 | | 8/1/2013 | 287 | 280 | | | | 0 | |
| 516 | X | VODAFONE GROUP PLC | G93882135 | | | 7/19/2013 | | 9/23/2013 | 141 | 124 | | | | 0 | |
| 517 | X | VODAFONE GROUP PLC | G93882135 | | | 7/19/2013 | | 11/12/2013 | 969 | 780 | | | | 0 | 1 |
| 518 | X | VODAFONE GROUP PLC | G93882135 | | | 7/19/2013 | | 12/11/2013 | 144 | 112 | | | | 0 | |
| 519 | X | VODAFONE GROUP PLC | G93882135 | | | 7/19/2013 | | 1/30/2014 | 195 | 153 | | | | 0 | |
| 520 | X | VODAFONE GROUP PLC SHS | G93882192 | | | 7/19/2013 | | 6/12/2014 | 531 | 852 | | | | 0 | -3 |
| 521 | X | WANT WANT CHINA HOLDING | G9431R103 | | | 7/19/2013 | | 8/1/2013 | 169 | 168 | | | | 0 | |
| 522 | X | WANT WANT CHINA HOLDING | G9431R103 | | | 7/19/2013 | | 9/23/2013 | 168 | 147 | | | | 0 | |
| 523 | X | WANT WANT CHINA HOLDING | G9431R103 | | | 7/19/2013 | | 11/13/2013 | 621 | 589 | | | | 0 | |
| 524 | X | WANT WANT CHINA HOLDING | G9431R103 | | | 7/19/2013 | | 12/11/2013 | 148 | 138 | | | | 0 | |
| 525 | X | WANT WANT CHINA HOLDING | G9431R103 | | | 7/19/2013 | | 6/12/2014 | 647 | 637 | | | | 0 | |
| 526 | X | WHITBREAD PLC | G9606P197 | | | 4/29/2014 | | 6/12/2014 | 798 | 761 | | | | 0 | |
| 527 | X | KUEHNE & NAGEL INTL AG, | H4673L145 | | | 7/19/2013 | | 9/23/2013 | 402 | 354 | | | | 0 | |
| 528 | X | KUEHNE & NAGEL INTL AG, | H4673L145 | | | 7/19/2013 | | 11/13/2013 | 366 | 354 | | | | 0 | |
| 529 | X | KUEHNE & NAGEL INTL AG, | H4673L145 | | | 7/19/2013 | | 12/11/2013 | 128 | 118 | | | | 0 | |
| 530 | X | KUEHNE & NAGEL INTL AG, | H4673L145 | | | 7/19/2013 | | 12/12/2013 | 2,831 | 2,594 | | | | 0 | 2 |
| 531 | X | ANALOG DEVICES INC COM | 032654105 | | | 12/12/2011 | | 11/11/2013 | 793 | 549 | | | | 0 | 2 |
| 532 | X | ANALOG DEVICES INC COM | 032654105 | | | 7/15/2011 | | 11/11/2013 | 545 | 396 | | | | 0 | 1 |
| 533 | X | ANALOG DEVICES INC COM | 032654105 | | | 2/10/2012 | | 11/11/2013 | 297 | 237 | | | | 0 | |
| 534 | X | ANALOG DEVICES INC COM | 032654105 | | | 11/19/2012 | | 12/9/2013 | 245 | 201 | | | | 0 | |
| 535 | X | ANALOG DEVICES INC COM | 032654105 | | | 11/19/2012 | | 6/9/2014 | 3,273 | 2,366 | | | | 0 | 9 |
| 536 | X | ARCHER DANIELS MIDLD | 039483102 | | | 2/5/2014 | | 6/9/2014 | 2,577 | 2,215 | | | | 0 | 3 |
| 537 | X | ARCHER DANIELS MIDLD | 039483102 | | | 2/5/2014 | | 7/24/2014 | 920 | 738 | | | | 0 | 1 |
| 538 | X | AUTOMATIC DATA PROC | 053015103 | | | 5/7/2012 | | 9/20/2013 | 299 | 215 | | | | 0 | |
| 539 | X | AUTOMATIC DATA PROC | 053015103 | | | 5/7/2012 | | 10/10/2013 | 143 | 108 | | | | 0 | |
| 540 | X | AUTOMATIC DATA PROC | 053015103 | | | 5/7/2012 | | 11/11/2013 | 2,350 | 1,668 | | | | 0 | 6 |
| 541 | X | AUTOMATIC DATA PROC | 053015103 | | | 5/7/2012 | | 12/9/2013 | 1,181 | 807 | | | | 0 | 3 |
| 542 | X | AUTOMATIC DATA PROC | 053015103 | | | 10/16/2012 | | 6/9/2014 | 80 | 59 | | | | 0 | |
| 543 | X | AUTOMATIC DATA PROC | 053015103 | | | 5/7/2012 | | 6/9/2014 | 479 | 323 | | | | 0 | 1 |
| 544 | X | AUTOMATIC DATA PROC | 053015103 | | | 11/19/2012 | | 6/9/2014 | 1,836 | 1,268 | | | | 0 | 5 |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | |

| Totals | Gross Sales | Cost, Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|
| Capital Gains/Losses | 1,613,671 | 1,482,518 | 131,1 |
| Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 545 | X | AUTOMATIC DATA PROC | 053015103 | | | 11/19/2012 | | 7/24/2014 | 741 | 496 | | | | 0 | 2 |
| 546 | X | AUTONATION INC | 05329W102 | | | 11/5/2013 | | 11/11/2013 | 1,246 | 1,271 | | | | 0 | - |
| 547 | X | AUTONATION INC | 05329W102 | | | 11/5/2013 | | 12/9/2013 | 1,065 | 1,027 | | | | 0 | |
| 548 | X | AUTONATION INC | 05329W102 | | | 11/5/2013 | | 4/7/2014 | 1,546 | 1,418 | | | | 0 | 1 |
| 549 | X | AUTONATION INC | 05329W102 | | | 11/5/2013 | | 6/9/2014 | 2,451 | 2,102 | | | | 0 | 3 |
| 550 | X | AUTOZONE INC NEVADA CO | 053332102 | | | 3/5/2012 | | 9/9/2013 | 418 | 381 | | | | 0 | |
| 551 | X | AUTOZONE INC NEVADA CO | 053332102 | | | 3/5/2012 | | 11/11/2013 | 2,693 | 2 285 | | | | 0 | 4 |
| 552 | X | AUTOZONE INC NEVADA CO | 053332102 | | | 3/5/2012 | | 12/9/2013 | 1,375 | 1,142 | | | | 0 | 2 |
| 553 | X | AUTOZONE INC NEVADA CO | 053332102 | | | 2/12/2013 | | 1/2/2014 | 1,895 | 1,541 | | | | 0 | 3 |
| 554 | X | AUTOZONE INC NEVADA CO | 053332102 | | | 11/19/2012 | | 1/2/2014 | 6,158 | 4,968 | | | | 0 | 1,1 |
| 555 | X | AUTOZONE INC NEVADA CO | 053332102 | | | 11/5/2013 | | 1/2/2014 | 10,421 | 9,570 | | | | 0 | 8 |
| 556 | X | SECTOR SPDR ENERGY | 81369Y506 | | | 11/4/2010 | | 9/9/2013 | 832 | 688 | | | | 0 | 1 |
| 557 | X | SECTOR SPDR ENERGY | 81369Y506 | | | 11/4/2010 | | 9/20/2013 | 340 | 275 | | | | 0 | |
| 558 | X | SECTOR SPDR ENERGY | 81369Y506 | | | 11/4/2010 | | 11/5/2013 | 520 | 413 | | | | 0 | 1 |
| 559 | X | SECTOR SPDR ENERGY | 81369Y506 | | | 5/9/2011 | | 11/11/2013 | 2,597 | 2,287 | | | | 0 | 3 |
| 560 | X | SECTOR SPDR ENERGY | 81369Y506 | | | 12/13/2010 | | 11/11/2013 | 1,125 | 870 | | | | 0 | 2 |
| 561 | X | SECTOR SPDR ENERGY | 81369Y506 | | | 9/7/2011 | | 11/11/2013 | 346 | 271 | | | | 0 | |
| 562 | X | SECTOR SPDR ENERGY | 81369Y506 | | | 11/4/2010 | | 11/11/2013 | 346 | 275 | | | | 0 | |
| 563 | X | SECTOR SPDR ENERGY | 81369Y506 | | | 2/10/2012 | | 12/9/2013 | 952 | 805 | | | | 0 | 1 |
| 564 | X | DREYFUS EMERGING MKTS | 261980494 | | | 12/11/2012 | | 12/9/2013 | 913 | 996 | | | | 0 | |
| 565 | X | DREYFUS EMERGING MKTS | 261980494 | | | 12/11/2012 | | 6/9/2014 | 5 | 5 | | | | 0 | - |
| 566 | X | DREYFUS EMERGING MKTS | 261980494 | | | 12/11/2012 | | 6/9/2014 | 3,235 | 3,403 | | | | 0 | -1 |
| 567 | X | DREYFUS EMERGING MKTS | 261980494 | | | 12/11/2012 | | 6/9/2014 | 0 | 0 | | | | 0 | |
| 568 | X | DRIEHAUS ACTIVE INCOME | 262028855 | | | 8/14/2013 | | 9/9/2013 | 215 | 215 | | | | 0 | |
| 569 | X | DRIEHAUS ACTIVE INCOME | 262028855 | | | 8/14/2013 | | 9/9/2013 | 11 | 11 | | | | 0 | |
| 570 | X | DRIEHAUS ACTIVE INCOME | 262028855 | | | 8/14/2013 | | 9/9/2013 | 494 | 495 | | | | 0 | |
| 571 | X | DRIEHAUS ACTIVE INCOME | 262028855 | | | 8/14/2013 | | 9/9/2013 | 1 | 1 | | | | 0 | |
| 572 | X | DRIEHAUS ACTIVE INCOME | 262028855 | | | 8/14/2013 | | 9/9/2013 | 5 | 5 | | | | 0 | |
| 573 | X | DRIEHAUS ACTIVE INCOME | 262028855 | | | 8/14/2013 | | 11/11/2013 | 5,649 | 5,638 | | | | 0 | |
| 574 | X | DRIEHAUS ACTIVE INCOME | 262028855 | | | 9/18/2013 | | 11/11/2013 | 6 | 6 | | | | 0 | |
| 575 | X | DRIEHAUS ACTIVE INCOME | 262028855 | | | 8/14/2013 | | 11/11/2013 | 3 | 0 | | | | 0 | |
| 576 | X | DRIEHAUS ACTIVE INCOME | 262028855 | | | 8/14/2013 | | 12/9/2013 | 2,303 | 2,292 | | | | 0 | |
| 577 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 10/1/2011 | | 11/11/2013 | 6 | 7 | | | | 0 | |
| 578 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 10/1/2011 | | 11/11/2013 | 237 | 257 | | | | 0 | - |
| 579 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 7/24/2012 | | 11/11/2013 | 3,167 | 3,406 | | | | 0 | -2 |
| 580 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 11/19/2012 | | 11/11/2013 | 6 | 6 | | | | 0 | |
| 581 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 7/9/2012 | | 11/11/2013 | 338 | 361 | | | | 0 | |
| 582 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 3/29/2012 | | 11/11/2013 | 391 | 408 | | | | 0 | - |
| 583 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 11/19/2012 | | 11/11/2013 | 3,742 | 3,988 | | | | 0 | -2 |
| 584 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 11/19/2012 | | 11/11/2013 | 5 | 3 | | | | 0 | |
| 585 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 7/24/2012 | | 11/11/2013 | 6 | 6 | | | | 0 | |
| 586 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 5/8/2012 | | 11/11/2013 | 18 | 18 | | | | 0 | |
| 587 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 7/9/2012 | | 11/11/2013 | 6 | 6 | | | | 0 | |
| 588 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 4/7/2012 | | 11/11/2013 | 605 | 638 | | | | 0 | - |
| 589 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 9/18/2013 | | 11/11/2013 | 0 | 0 | | | | 0 | |
| 590 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 11/19/2012 | | 12/9/2013 | 2,208 | 2,389 | | | | 0 | -1 |
| 591 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 11/19/2012 | | 12/9/2013 | 1 | 2 | | | | 0 | |
| 592 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 11/19/2012 | | 12/9/2013 | 3 | 3 | | | | 0 | |
| 593 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 11/19/2012 | | 6/9/2014 | 8,787 | 9,493 | | | | 0 | -7 |
| 594 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 11/19/2012 | | 6/9/2014 | 3 | 3 | | | | 0 | |
| 595 | X | T ROWE PRICE US TREASUR | 77957T107 | | | 11/19/2012 | | 6/9/2014 | 2 | 2 | | | | 0 | |
| 596 | X | SANDISK CORP INC | 80004C101 | | | 3/7/2013 | | 9/9/2013 | 291 | 257 | | | | 0 | |
| 597 | X | SANDISK CORP INC | 80004C101 | | | 3/7/2013 | | 9/20/2013 | 60 | 51 | | | | 0 | |
| 598 | X | SANDISK CORP INC | 80004C101 | | | 3/7/2013 | | 11/5/2013 | 8,903 | 6,624 | | | | 0 | 2,2 |
| 599 | X | SANDISK CORP INC | 80004C101 | | | 4/29/2013 | | 11/5/2013 | 897 | 706 | | | | 0 | 1 |
| 600 | X | SANDISK CORP INC | 80004C101 | | | 4/17/2013 | | 11/5/2013 | 3,313 | 2,648 | | | | 0 | 6 |
| 601 | X | SANDISK CORP INC | 80004C101 | | | 7/12/2013 | | 11/5/2013 | 897 | 789 | | | | 0 | 1 |
| 602 | X | MATERIALS SELECT SECTOR | 81369Y100 | | | 11/4/2010 | | 9/9/2013 | 288 | 250 | | | | 0 | |
| 603 | X | MATERIALS SELECT SECTOR | 81369Y100 | | | 11/4/2010 | | 9/20/2013 | 476 | 392 | | | | 0 | |
| 604 | X | MATERIALS SELECT SECTOR | 81369Y100 | | | 11/4/2010 | | 11/5/2013 | 44 | 36 | | | | 0 | |
| 605 | X | MATERIALS SELECT SECTOR | 81369Y100 | | | 10/5/2011 | | 11/11/2013 | 44 | 30 | | | | 0 | |
| 606 | X | MATERIALS SELECT SECTOR | 81369Y100 | | | 6/3/2011 | | 11/11/2013 | 44 | 38 | | | | 0 | |
| 607 | X | MATERIALS SELECT SECTOR | 81369Y100 | | | 11/4/2010 | | 11/11/2013 | 1,410 | 1,142 | | | | 0 | 2 |
| 608 | X | MATERIALS SELECT SECTOR | 81369Y100 | | | 10/3/2012 | | 12/9/2013 | 44 | 37 | | | | 0 | |
| 609 | X | MATERIALS SELECT SECTOR | 81369Y100 | | | 10/5/2011 | | 12/9/2013 | 89 | 60 | | | | 0 | |
| 610 | X | MATERIALS SELECT SECTOR | 81369Y100 | | | 11/19/2012 | | 12/9/2013 | 133 | 107 | | | | 0 | |
| 611 | X | MATERIALS SELECT SECTOR | 81369Y100 | | | 9/6/2012 | | 12/9/2013 | 133 | 107 | | | | 0 | |
| 612 | X | DRIEHAUS ACTIVE INCOME | 262028855 | | | 8/14/2013 | | 6/9/2014 | 6 | 6 | | | | 0 | |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | |

| Totals | Gross Sales | Cost, Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|
| Capital Gains/Losses | 1,613,671 | 1,482,518 | 131,1 |
| Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 613 | X | DRIEHAUS ACTIVE INCOME | 262028855 | | | 8/14/2013 | | 6/9/2014 | 5,902 | 5,886 | | | | 0 | |
| 614 | X | EOG RESOURCES INC | 26875P101 | | | 4/7/2014 | | 6/9/2014 | 2,422 | 2,198 | | | | 0 | 2 |
| 615 | X | EOG RESOURCES INC | 26875P101 | | | 4/7/2014 | | 6/16/2014 | 7,809 | 6,892 | | | | 0 | 9 |
| 616 | X | EASTMAN CHEMICAL CO CO | 277432100 | | | 2/5/2014 | | 6/9/2014 | 2,429 | 2,106 | | | | 0 | 3 |
| 617 | X | EASTMAN CHEMICAL CO CO | 277432100 | | | 2/5/2014 | | 7/24/2014 | 88 | 78 | | | | 0 | |
| 618 | X | EXXON MOBIL CORP COM | 30231G102 | | | 11/5/2012 | | 9/9/2013 | 262 | 270 | | | | 0 | |
| 619 | X | EXXON MOBIL CORP COM | 30231G102 | | | 11/5/2012 | | 11/11/2013 | 1,019 | 992 | | | | 0 | |
| 620 | X | EXXON MOBIL CORP COM | 30231G102 | | | 7/10/2012 | | 11/11/2013 | 2,039 | 1,855 | | | | 0 | 1 |
| 621 | X | EXXON MOBIL CORP COM | 30231G102 | | | 11/5/2012 | | 12/9/2013 | 672 | 631 | | | | 0 | |
| 622 | X | EXXON MOBIL CORP COM | 30231G102 | | | 11/5/2012 | | 12/9/2013 | 96 | 89 | | | | 0 | |
| 623 | X | EXXON MOBIL CORP COM | 30231G102 | | | 7/10/2012 | | 12/9/2013 | 672 | 590 | | | | 0 | |
| 624 | X | EXXON MOBIL CORP COM | 30231G102 | | | 4/17/2013 | | 2/5/2014 | 449 | 426 | | | | 0 | |
| 625 | X | EXXON MOBIL CORP COM | 30231G102 | | | 4/29/2013 | | 2/5/2014 | 90 | 88 | | | | 0 | |
| 626 | X | EXXON MOBIL CORP COM | 30231G102 | | | 11/5/2013 | | 2/5/2014 | 269 | 279 | | | | 0 | - |
| 627 | X | EXXON MOBIL CORP COM | 30231G102 | | | 11/5/2012 | | 2/5/2014 | 359 | 354 | | | | 0 | |
| 628 | X | GILEAD SCIENCES INC COM | 375558103 | | | 1/2/2014 | | 2/5/2014 | 1,035 | 977 | | | | 0 | |
| 629 | X | GILEAD SCIENCES INC COM | 375558103 | | | 1/2/2014 | | 4/7/2014 | 9,093 | 9,547 | | | | 0 | -4 |
| 630 | X | GOLDMAN SACHS STRATEGI | 38145C646 | | | 8/14/2013 | | 9/9/2013 | 733 | 732 | | | | 0 | |
| 631 | X | GOLDMAN SACHS STRATEGI | 38145C646 | | | 8/14/2013 | | 9/9/2013 | 1 | 1 | | | | 0 | |
| 632 | X | GOLDMAN SACHS STRATEGI | 38145C646 | | | 8/14/2013 | | 11/11/2013 | 5,892 | 5,842 | | | | 0 | |
| 633 | X | GOLDMAN SACHS STRATEGI | 38145C646 | | | 9/18/2013 | | 11/11/2013 | 1 | 1 | | | | 0 | |
| 634 | X | GOLDMAN SACHS STRATEGI | 38145C646 | | | 9/18/2013 | | 12/9/2013 | 5 | 5 | | | | 0 | |
| 635 | X | GOLDMAN SACHS STRATEGI | 38145C646 | | | 8/14/2013 | | 12/9/2013 | 2,391 | 2,362 | | | | 0 | |
| 636 | X | EXXON MOBIL CORP COM | 30231G102 | | | 11/23/2012 | | 2/5/2014 | 269 | 284 | | | | 0 | - |
| 637 | X | FLUOR CORP NEW DEL CON | 343412102 | | | 1/5/2012 | | 9/20/2013 | 1,041 | 725 | | | | 0 | 3 |
| 638 | X | EXXON MOBIL CORP COM | 30231G102 | | | 2/12/2013 | | 2/5/2014 | 629 | 618 | | | | 0 | |
| 639 | X | EXXON MOBIL CORP COM | 30231G102 | | | 11/19/2012 | | 2/5/2014 | 5,209 | 5,063 | | | | 0 | 1 |
| 640 | X | EXXON MOBIL CORP COM | 30231G102 | | | 7/10/2012 | | 6/9/2014 | 1,221 | 1,012 | | | | 0 | 2 |
| 641 | X | EXXON MOBIL CORP COM | 30231G102 | | | 11/19/2012 | | 6/9/2014 | 916 | 786 | | | | 0 | 1 |
| 642 | X | EXXON MOBIL CORP COM | 30231G102 | | | 11/19/2012 | | 7/24/2014 | 627 | 524 | | | | 0 | 1 |
| 643 | X | FLUOR CORP NEW DEL CON | 343412102 | | | 5/8/2012 | | 9/20/2013 | 446 | 328 | | | | 0 | 1 |
| 644 | X | FLUOR CORP NEW DEL CON | 343412102 | | | 7/9/2012 | | 11/5/2013 | 601 | 387 | | | | 0 | 2 |
| 645 | X | FLUOR CORP NEW DEL CON | 343412102 | | | 11/19/2012 | | 11/11/2013 | 1,325 | 899 | | | | 0 | 4 |
| 646 | X | FIFTH THIRD BANCORP | 316773100 | | | 4/7/2014 | | 6/9/2014 | 3,462 | 3,682 | | | | 0 | -2 |
| 647 | X | FIFTH THIRD BANCORP | 316773100 | | | 4/7/2014 | | 6/9/2014 | 325 | 345 | | | | 21 | |
| 648 | X | FLUOR CORP NEW DEL CON | 343412102 | | | 9/20/2011 | | 9/9/2013 | 260 | 238 | | | | 0 | |
| 649 | X | FLUOR CORP NEW DEL CON | 343412102 | | | 9/28/2011 | | 9/9/2013 | 65 | 58 | | | | 0 | |
| 650 | X | FLUOR CORP NEW DEL CON | 343412102 | | | 7/9/2012 | | 9/20/2013 | 74 | 48 | | | | 0 | |
| 651 | X | FLUOR CORP NEW DEL CON | 343412102 | | | 7/9/2012 | | 11/11/2013 | 702 | 435 | | | | 0 | 2 |
| 652 | X | FLUOR CORP NEW DEL CON | 343412102 | | | 11/19/2012 | | 12/9/2013 | 309 | 211 | | | | 0 | |
| 653 | X | FLUOR CORP NEW DEL CON | 343412102 | | | 11/19/2012 | | 4/7/2014 | 1,083 | 740 | | | | 0 | 3 |
| 654 | X | FLUOR CORP NEW DEL CON | 343412102 | | | 11/19/2012 | | 6/9/2014 | 1,985 | 1,322 | | | | 0 | 6 |
| 655 | X | FLUOR CORP NEW DEL CON | 343412102 | | | 4/17/2013 | | 6/16/2014 | 2,305 | 1,642 | | | | 0 | 6 |
| 656 | X | DRIEHAUS ACTIVE INCOME | 262028855 | | | 8/14/2013 | | 12/9/2013 | 3 | 3 | | | | 0 | |
| 657 | X | DRIEHAUS ACTIVE INCOME | 262028855 | | | 8/14/2013 | | 12/9/2013 | 8 | 8 | | | | 0 | |
| 658 | X | FLUOR CORP NEW DEL CON | 343412102 | | | 11/19/2012 | | 6/16/2014 | 4,149 | 2,855 | | | | 0 | 1,2 |
| 659 | X | MATERIALS SELECT SECTOR | 81369Y100 | | | 7/9/2012 | | 12/9/2013 | 89 | 70 | | | | 0 | |
| 660 | X | MATERIALS SELECT SECTOR | 81369Y100 | | | 11/19/2012 | | 4/7/2014 | 330 | 250 | | | | 0 | |
| 661 | X | MATERIALS SELECT SECTOR | 81369Y100 | | | 11/19/2012 | | 6/9/2014 | 2,283 | 1,643 | | | | 0 | 6 |
| 662 | X | HEALTH CARE SELECT SPDR | 81369Y209 | | | 3/3/2011 | | 9/9/2013 | 151 | 98 | | | | 0 | |
| 663 | X | HEALTH CARE SELECT SPDR | 81369Y209 | | | 1/5/2012 | | 9/9/2013 | 906 | 630 | | | | 0 | 2 |
| 664 | X | HEALTH CARE SELECT SPDR | 81369Y209 | | | 1/5/2012 | | 9/20/2013 | 52 | 35 | | | | 0 | |
| 665 | X | HEALTH CARE SELECT SPDR | 81369Y209 | | | 1/5/2012 | | 11/5/2013 | 318 | 210 | | | | 0 | 1 |
| 666 | X | HEALTH CARE SELECT SPDR | 81369Y209 | | | 1/5/2012 | | 11/11/2013 | 3,460 | 2,274 | | | | 0 | 1,1 |
| 667 | X | HEALTH CARE SELECT SPDR | 81369Y209 | | | 2/10/2012 | | 11/11/2013 | 1,916 | 1,291 | | | | 0 | 6 |
| 668 | X | HEALTH CARE SELECT SPDR | 81369Y209 | | | 2/10/2012 | | 12/9/2013 | 1,441 | 932 | | | | 0 | 5 |
| 669 | X | HEALTH CARE SELECT SPDR | 81369Y209 | | | 9/6/2012 | | 12/9/2013 | 222 | 157 | | | | 0 | |
| 670 | X | HEALTH CARE SELECT SPDR | 81369Y209 | | | 11/19/2012 | | 12/9/2013 | 1,274 | 905 | | | | 0 | 3 |
| 671 | X | HEALTH CARE SELECT SPDR | 81369Y209 | | | 11/19/2012 | | 6/9/2014 | 7,971 | 5,236 | | | | 0 | 2,7 |
| 672 | X | SECTOR SPDR CONSMRS ST | 81369Y308 | | | 1/5/2012 | | 9/9/2013 | 915 | 744 | | | | 0 | 1 |
| 673 | X | SECTOR SPDR CONSMRS ST | 81369Y308 | | | 1/5/2012 | | 11/5/2013 | 85 | 65 | | | | 0 | |
| 674 | X | SECTOR SPDR CONSMRS ST | 81369Y308 | | | 2/3/2012 | | 11/11/2013 | 1,450 | 1,108 | | | | 0 | 3 |
| 675 | X | SECTOR SPDR CONSMRS ST | 81369Y308 | | | 1/5/2012 | | 11/11/2013 | 3,325 | 2,524 | | | | 0 | 8 |
| 676 | X | SECTOR SPDR CONSMRS ST | 81369Y308 | | | 2/3/2012 | | 12/9/2013 | 389 | 293 | | | | 0 | |
| 677 | X | SECTOR SPDR CONSMRS ST | 81369Y308 | | | 2/10/2012 | | 12/9/2013 | 1,427 | 1,077 | | | | 0 | 3 |
| 678 | X | SECTOR SPDR CONSMRS ST | 81369Y308 | | | 9/6/2012 | | 2/5/2014 | 361 | 322 | | | | 0 | |
| 679 | X | SECTOR SPDR CONSMRS ST | 81369Y308 | | | 2/10/2012 | | 2/5/2014 | 241 | 196 | | | | 0 | |
| 680 | X | SECTOR SPDR CONSMRS ST | 81369Y308 | | | 11/19/2012 | | 2/5/2014 | 2,008 | 1,747 | | | | 0 | 2 |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | |

| Totals | Gross Sales | Cost, Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|
| Capital Gains/Losses | 1,613,671 | 1,482,518 | 131,1 |
| Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 681 | X | SECTOR SPDR CONSMRS ST | 81369Y308 | | | 11/19/2012 | | 4/7/2014 | 514 | 419 | | | | 0 | |
| 682 | X | SECTOR SPDR CONSMRS ST | 81369Y308 | | | 11/19/2012 | | 6/9/2014 | 6,547 | 5,066 | | | | 0 | 1,4 |
| 683 | X | SECTOR SPDR CONSMRS ST | 81369Y308 | | | 11/19/2012 | | 6/16/2014 | 134 | 105 | | | | 0 | |
| 684 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 11/4/2010 | | 9/9/2013 | 999 | 618 | | | | 0 | 3 |
| 685 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 11/4/2010 | | 9/20/2013 | 61 | 36 | | | | 0 | |
| 686 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 11/4/2010 | | 9/20/2013 | 491 | 301 | | | | 0 | 1 |
| 687 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 11/4/2010 | | 11/5/2013 | 318 | 188 | | | | 0 | 1 |
| 688 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 11/24/2010 | | 11/5/2013 | 572 | 344 | | | | 0 | 2 |
| 689 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 11/17/2010 | | 11/5/2013 | 127 | 72 | | | | 0 | |
| 690 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 2/3/2011 | | 11/11/2013 | 1,457 | 869 | | | | 0 | 5 |
| 691 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 1/5/2012 | | 11/11/2013 | 697 | 434 | | | | 0 | 2 |
| 692 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 1/5/2011 | | 11/11/2013 | 1,647 | 986 | | | | 0 | 6 |
| 693 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 11/24/2010 | | 11/11/2013 | 887 | 536 | | | | 0 | 3 |
| 694 | X | FLUOR CORP NEW DEL COM | 343412102 | | | 7/12/2013 | | 6/16/2014 | 615 | 493 | | | | 0 | 1 |
| 695 | X | FLUOR CORP NEW DEL COM | 343412102 | | | 4/29/2013 | | 6/16/2014 | 230 | 170 | | | | 0 | |
| 696 | X | FORD MOTOR CO | 345370860 | | | 1/28/2013 | | 9/20/2013 | 265 | 205 | | | | 0 | |
| 697 | X | FORD MOTOR CO | 345370860 | | | 1/28/2013 | | 11/11/2013 | 1,059 | 862 | | | | 0 | 1 |
| 698 | X | FORD MOTOR CO | 345370860 | | | 1/28/2013 | | 12/9/2013 | 266 | 219 | | | | 0 | |
| 699 | X | FORD MOTOR CO | 345370860 | | | 1/28/2013 | | 6/9/2014 | 2,542 | 2,038 | | | | 0 | 5 |
| 700 | X | FORD MOTOR CO | 345370860 | | | 1/28/2013 | | 7/24/2014 | 770 | 588 | | | | 0 | 1 |
| 701 | X | GAMESTOP CORP NEW CL A | 36467W109 | | | 11/5/2013 | | 11/11/2013 | 1,306 | 1,330 | | | | 0 | - |
| 702 | X | GAMESTOP CORP NEW CL A | 36467W109 | | | 11/5/2013 | | 12/9/2013 | 46 | 55 | | | | 0 | |
| 703 | X | GAMESTOP CORP NEW CL A | 36467W109 | | | 11/5/2013 | | 1/28/2014 | 7,055 | 10,862 | | | | 0 | -3,8 |
| 704 | X | GENL DYNAMICS CORP COM | 369550108 | | | 7/9/2012 | | 9/9/2013 | 254 | 195 | | | | 0 | |
| 705 | X | GENL DYNAMICS CORP COM | 369550108 | | | 7/9/2012 | | 9/20/2013 | 269 | 195 | | | | 0 | |
| 706 | X | GENL DYNAMICS CORP COM | 369550108 | | | 7/9/2012 | | 11/11/2013 | 1,046 | 779 | | | | 0 | 2 |
| 707 | X | GENL DYNAMICS CORP COM | 369550108 | | | 7/9/2012 | | 12/9/2013 | 545 | 389 | | | | 0 | 1 |
| 708 | X | GENL DYNAMICS CORP COM | 369550108 | | | 9/6/2012 | | 12/9/2013 | 182 | 131 | | | | 0 | |
| 709 | X | GENL DYNAMICS CORP COM | 369550108 | | | 9/6/2012 | | 2/5/2014 | 99 | 65 | | | | 0 | |
| 710 | X | GENL DYNAMICS CORP COM | 369550108 | | | 11/19/2012 | | 2/5/2014 | 792 | 511 | | | | 0 | 2 |
| 711 | X | GENL DYNAMICS CORP COM | 369550108 | | | 11/19/2012 | | 6/9/2014 | 2,667 | 1,405 | | | | 0 | 1,2 |
| 712 | X | GENERAL ELECTRIC | 369604103 | | | 1/28/2013 | | 10/10/2013 | 168 | 158 | | | | 0 | |
| 713 | X | GENERAL ELECTRIC | 369604103 | | | 1/28/2013 | | 11/11/2013 | 1,669 | 1,397 | | | | 0 | 2 |
| 714 | X | GENERAL ELECTRIC | 369604103 | | | 1/28/2013 | | 12/9/2013 | 245 | 203 | | | | 0 | |
| 715 | X | GENERAL ELECTRIC | 369604103 | | | 1/28/2013 | | 6/9/2014 | 1,398 | 1,150 | | | | 0 | 2 |
| 716 | X | GENERAL MOTORS CO | 37045V100 | | | 2/5/2014 | | 6/9/2014 | 2,198 | 2,132 | | | | 0 | |
| 717 | X | GOLDMAN SACHS STRATEGI | 38145C646 | | | 9/18/2013 | | 6/9/2014 | 2 | 2 | | | | 0 | |
| 718 | X | GOLDMAN SACHS STRATEGI | 38145C646 | | | 8/14/2013 | | 6/9/2014 | 6,313 | 6,166 | | | | 0 | 1 |
| 719 | X | HDFC BANK LTD ADR | 40415F101 | | | 7/18/2013 | | 11/11/2013 | 458 | 533 | | | | 0 | - |
| 720 | X | HDFC BANK LTD ADR | 40415F101 | | | 7/18/2013 | | 12/10/2013 | 72 | 76 | | | | 0 | |
| 721 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 2/10/2012 | | 12/9/2013 | 977 | 636 | | | | 0 | 3 |
| 722 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 1/5/2012 | | 12/9/2013 | 1,367 | 829 | | | | 0 | 5 |
| 723 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 7/9/2012 | | 2/5/2014 | 1,859 | 1,307 | | | | 0 | 5 |
| 724 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 2/10/2012 | | 2/5/2014 | 248 | 170 | | | | 0 | |
| 725 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 10/3/2012 | | 6/9/2014 | 201 | 141 | | | | 0 | |
| 726 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 7/9/2012 | | 6/9/2014 | 403 | 261 | | | | 0 | 1 |
| 727 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 11/19/2012 | | 6/9/2014 | 6,038 | 4,147 | | | | 0 | 1,8 |
| 728 | X | CONSUMER DISCRETIONARY | 81369Y407 | | | 11/19/2012 | | 6/16/2014 | 789 | 553 | | | | 0 | 2 |
| 729 | X | SECTOR SPDR ENERGY | 81369Y506 | | | 11/4/2010 | | 9/9/2013 | 83 | 61 | | | | 0 | |
| 730 | X | ISHARES U S | 464287713 | | | 1/5/2012 | | 11/11/2013 | 980 | 710 | | | | 0 | 2 |
| 731 | X | ISHARES U S | 464287713 | | | 1/5/2012 | | 12/9/2013 | 171 | 125 | | | | 0 | |
| 732 | X | ISHARES U S | 464287713 | | | 2/10/2012 | | 12/9/2013 | 57 | 44 | | | | 0 | |
| 733 | X | ISHARES U S | 464287713 | | | 10/3/2012 | | 2/5/2014 | 28 | 26 | | | | 0 | |
| 734 | X | ISHARES U S | 464287713 | | | 5/8/2012 | | 2/5/2014 | 196 | 151 | | | | 0 | |
| 735 | X | ISHARES U S | 464287713 | | | 11/19/2012 | | 2/5/2014 | 1,515 | 1,257 | | | | 0 | 2 |
| 736 | X | ISHARES U S | 464287713 | | | 2/10/2012 | | 2/5/2014 | 505 | 397 | | | | 0 | 1 |
| 737 | X | ISHARES U S | 464287713 | | | 11/19/2012 | | 4/7/2014 | 59 | 47 | | | | 0 | |
| 738 | X | ISHARES U S | 464287713 | | | 11/19/2012 | | 6/9/2014 | 1,075 | 838 | | | | 0 | 2 |
| 739 | X | ISHARES U S TECHNOLOGY | 464287721 | | | 11/4/2010 | | 9/9/2013 | 1,568 | 1,267 | | | | 0 | 3 |
| 740 | X | ISHARES U S TECHNOLOGY | 464287721 | | | 11/17/2010 | | 9/20/2013 | 402 | 309 | | | | 0 | |
| 741 | X | ISHARES U S TECHNOLOGY | 464287721 | | | 11/4/2010 | | 9/20/2013 | 804 | 633 | | | | 0 | 1 |
| 742 | X | ISHARES U S TECHNOLOGY | 464287721 | | | 11/18/2010 | | 9/20/2013 | 80 | 59 | | | | 0 | |
| 743 | X | ISHARES U S TECHNOLOGY | 464287721 | | | 12/13/2010 | | 11/5/2013 | 1,156 | 904 | | | | 0 | 2 |
| 744 | X | ISHARES U S TECHNOLOGY | 464287721 | | | 11/18/2010 | | 11/5/2013 | 83 | 59 | | | | 0 | |
| 745 | X | ISHARES U S TECHNOLOGY | 464287721 | | | 3/3/2011 | | 11/11/2013 | 1,908 | 1,569 | | | | 0 | 3 |
| 746 | X | ISHARES U S TECHNOLOGY | 464287721 | | | 1/5/2012 | | 11/11/2013 | 5,308 | 4,172 | | | | 0 | 1,1 |
| 747 | X | ISHARES U S TECHNOLOGY | 464287721 | | | 12/13/2010 | | 11/11/2013 | 498 | 387 | | | | 0 | 1 |
| 748 | X | ISHARES U S TECHNOLOGY | 464287721 | | | 1/5/2012 | | 12/9/2013 | 259 | 196 | | | | 0 | |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | |

| Totals | Gross Sales | Cost, Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|
| Capital Gains/Losses | 1,613,671 | 1,482,518 | 131,1 |
| Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 749 | X | TEMPLETON GLBL BOND FD | 880208400 | | | 7/24/2012 | | 8/14/2013 | 904 | 898 | | | | 0 | |
| 750 | X | TEMPLETON GLBL BOND FD | 880208400 | | | 7/9/2012 | | 8/14/2013 | 9 | 9 | | | | 0 | |
| 751 | X | TEMPLETON GLBL BOND FD | 880208400 | | | 11/19/2012 | | 9/9/2013 | 850 | 887 | | | | 0 | - |
| 752 | X | NEXTERA ENERGY INC SHS | 65339F101 | | | 10/10/2013 | | 7/24/2014 | 297 | 241 | | | | 0 | |
| 753 | X | NEXTERA ENERGY INC SHS | 65339F101 | | | 1/28/2013 | | 7/24/2014 | 890 | 654 | | | | 0 | 2 |
| 754 | X | NIKE INC CL B | 654106103 | | | 5/3/2013 | | 9/20/2013 | 1,176 | 1,104 | | | | 0 | |
| 755 | X | NIKE INC CL B | 654106103 | | | 5/3/2013 | | 10/10/2013 | 1,171 | 1,039 | | | | 0 | 1 |
| 756 | X | NIKE INC CL B | 654106103 | | | 5/3/2013 | | 11/11/2013 | 1,697 | 1,429 | | | | 0 | 2 |
| 757 | X | NIKE INC CL B | 654106103 | | | 5/3/2013 | | 12/9/2013 | 798 | 650 | | | | 0 | 1 |
| 758 | X | NIKE INC CL B | 654106103 | | | 5/3/2013 | | 2/5/2014 | 8,916 | 8,184 | | | | 0 | 7 |
| 759 | X | O'REILLY AUTOMOTIVE INC | 67103H107 | | | 11/5/2012 | | 9/9/2013 | 245 | 178 | | | | 0 | |
| 760 | X | O'REILLY AUTOMOTIVE INC | 67103H107 | | | 11/5/2012 | | 11/11/2013 | 1,241 | 889 | | | | 0 | 3 |
| 761 | X | O'REILLY AUTOMOTIVE INC | 67103H107 | | | 11/5/2012 | | 12/9/2013 | 496 | 356 | | | | 0 | 1 |
| 762 | X | O'REILLY AUTOMOTIVE INC | 67103H107 | | | 11/5/2012 | | 2/5/2014 | 806 | 533 | | | | 0 | 2 |
| 763 | X | O'REILLY AUTOMOTIVE INC | 67103H107 | | | 11/19/2012 | | 2/5/2014 | 269 | 183 | | | | 0 | |
| 764 | X | O'REILLY AUTOMOTIVE INC | 67103H107 | | | 11/19/2012 | | 4/7/2014 | 1,736 | 1,096 | | | | 0 | 6 |
| 765 | X | O'REILLY AUTOMOTIVE INC | 67103H107 | | | 11/19/2012 | | 6/9/2014 | 2,129 | 1,279 | | | | 0 | 8 |
| 766 | X | DASSAULT SYSTEMES SA | F2457H100 | | | 7/19/2013 | | 8/1/2013 | 263 | 269 | | | | 0 | |
| 767 | X | DASSAULT SYSTEMES SA | F2457H100 | | | 7/19/2013 | | 9/23/2013 | 133 | 134 | | | | 0 | |
| 768 | X | DASSAULT SYSTEMES SA | F2457H100 | | | 7/19/2013 | | 11/12/2013 | 117 | 134 | | | | 18 | |
| 769 | X | TEMPLETON GLBL BOND FD | 880208400 | | | 7/9/2012 | | 9/9/2013 | 0 | 0 | | | | 0 | |
| 770 | X | TEMPLETON GLBL BOND FD | 880208400 | | | 11/19/2012 | | 9/9/2013 | 5 | 6 | | | | 0 | |
| 771 | X | TEMPLETON GLBL BOND FD | 880208400 | | | 11/19/2012 | | 9/18/2013 | 8 | 8 | | | | 0 | |
| 772 | X | TEMPLETON GLBL BOND FD | 880208400 | | | 6/20/2013 | | 9/18/2013 | 4 | 4 | | | | 0 | |
| 773 | X | TEMPLETON GLBL BOND FD | 880208400 | | | 4/1/2013 | | 9/18/2013 | 1,907 | 1,953 | | | | 0 | - |
| 774 | X | TEMPLETON GLBL BOND FD | 880208400 | | | 6/20/2013 | | 9/18/2013 | 22,633 | 22,113 | | | | 0 | 5 |
| 775 | X | TEMPLETON GLBL BOND FD | 880208400 | | | 11/19/2012 | | 9/18/2013 | 11,467 | 11,800 | | | | 0 | -3 |
| 776 | X | TEMPLETON GLBL BOND FD | 880208400 | | | 6/20/2013 | | 11/11/2013 | 2,559 | 2,514 | | | | 0 | |
| 777 | X | TEMPLETON GLBL BOND FD | 880208400 | | | 6/20/2013 | | 11/11/2013 | 4 | 4 | | | | 0 | |
| 778 | X | TCW TOTAL RETURN | 87234N880 | | | 2/10/2012 | | 9/9/2013 | 799 | 786 | | | | 0 | |
| 779 | X | TCW TOTAL RETURN | 87234N880 | | | 3/29/2012 | | 11/11/2013 | 353 | 342 | | | | 0 | |
| 780 | X | TCW TOTAL RETURN | 87234N880 | | | 11/19/2012 | | 11/11/2013 | 1,584 | 1,597 | | | | 0 | - |
| 781 | X | TCW TOTAL RETURN | 87234N880 | | | 7/9/2012 | | 11/11/2013 | 525 | 507 | | | | 0 | |
| 782 | X | TCW TOTAL RETURN | 87234N880 | | | 3/29/2012 | | 11/11/2013 | 10 | 10 | | | | 0 | |
| 783 | X | TCW TOTAL RETURN | 87234N880 | | | 7/24/2012 | | 11/11/2013 | 10 | 10 | | | | 0 | |
| 784 | X | DASSAULT SYSTEMES SA | F2457H100 | | | 7/19/2013 | | 11/12/2013 | 583 | 672 | | | | 0 | - |
| 785 | X | DASSAULT SYSTEMES SA | F2457H100 | | | 7/19/2013 | | 12/11/2013 | 116 | 134 | | | | 0 | - |
| 786 | X | DASSAULT SYSTEMES SA | F2457H472 | | | 7/19/2013 | | 1/30/2014 | 121 | 134 | | | | 0 | - |
| 787 | X | DASSAULT SYSTEMES SA | F2457H472 | | | 7/19/2013 | | 6/12/2014 | 894 | 941 | | | | 0 | - |
| 788 | X | GDF SUEZ | F42768105 | | | 7/19/2013 | | 8/1/2013 | 65 | 62 | | | | 0 | |
| 789 | X | GDF SUEZ | F42768105 | | | 7/19/2013 | | 9/23/2013 | 175 | 144 | | | | 0 | |
| 790 | X | GDF SUEZ | F42768105 | | | 7/19/2013 | | 11/12/2013 | 322 | 267 | | | | 0 | |
| 791 | X | GDF SUEZ | F42768105 | | | 7/19/2013 | | 12/11/2013 | 69 | 62 | | | | 0 | |
| 792 | X | GDF SUEZ | F42768105 | | | 7/19/2013 | | 1/30/2014 | 22 | 20 | | | | 0 | |
| 793 | X | GDF SUEZ | F42768105 | | | 7/19/2013 | | 6/12/2014 | 641 | 472 | | | | 0 | 1 |
| 794 | X | L V M H EUR 0 3 | F58485115 | | | 7/19/2013 | | 8/1/2013 | 183 | 175 | | | | 0 | |
| 795 | X | L V M H EUR 0 3 | F58485115 | | | 7/19/2013 | | 11/12/2013 | 749 | 700 | | | | 0 | |
| 796 | X | L V M H EUR 0 3 | F58485115 | | | 7/19/2013 | | 6/12/2014 | 782 | 700 | | | | 0 | |
| 797 | X | PERNOD RICARD | F72027109 | | | 7/19/2013 | | 9/23/2013 | 126 | 114 | | | | 0 | |
| 798 | X | PERNOD RICARD | F72027109 | | | 7/19/2013 | | 11/12/2013 | 352 | 342 | | | | 0 | |
| 799 | X | PERNOD RICARD | F72027109 | | | 7/19/2013 | | 6/12/2014 | 716 | 685 | | | | 0 | |
| 800 | X | PUBLICIS GROUPE | F7607Z165 | | | 7/19/2013 | | 8/1/2013 | 558 | 545 | | | | 0 | |
| 801 | X | PUBLICIS GROUPE | F7607Z165 | | | 7/19/2013 | | 11/12/2013 | 514 | 467 | | | | 0 | |
| 802 | X | PUBLICIS GROUPE | F7607Z165 | | | 7/19/2013 | | 12/11/2013 | 175 | 156 | | | | 0 | |
| 803 | X | PUBLICIS GROUPE | F7607Z165 | | | 7/19/2013 | | 4/29/2014 | 3,991 | 3,738 | | | | 0 | 2 |
| 804 | X | SCHNEIDER ELECTRIC SE | F86921107 | | | 1/21/2014 | | 1/30/2014 | 82 | 89 | | | | 0 | |
| 805 | X | SCHNEIDER ELECTRIC SE | F86921107 | | | 1/21/2014 | | 3/24/2014 | 264 | 266 | | | | 0 | |
| 806 | X | SCHNEIDER ELECTRIC SE | F86921107 | | | 1/21/2014 | | 6/12/2014 | 1,407 | 1,323 | | | | 0 | |
| 807 | X | ARCOS DORADOS HOLDNG II | G0457F107 | | | 7/18/2013 | | 11/11/2013 | 674 | 704 | | | | 0 | - |
| 808 | X | SECTOR SPDR ENERGY | 81369Y506 | | | 10/5/2011 | | 12/9/2013 | 346 | 233 | | | | 0 | 1 |
| 809 | X | SECTOR SPDR ENERGY | 81369Y506 | | | 5/8/2012 | | 4/7/2014 | 810 | 604 | | | | 0 | 2 |
| 810 | X | SECTOR SPDR ENERGY | 81369Y506 | | | 2/10/2012 | | 4/7/2014 | 540 | 439 | | | | 0 | 1 |
| 811 | X | SECTOR SPDR ENERGY | 81369Y506 | | | 5/8/2012 | | 6/9/2014 | 97 | 67 | | | | 0 | |
| 812 | X | SECTOR SPDR ENERGY | 81369Y506 | | | 11/19/2012 | | 6/9/2014 | 8,074 | 5,835 | | | | 0 | 2,2 |
| 813 | X | SECTOR SPDR FINANCIAL | 81369Y605 | | | 2/10/2012 | | 9/9/2013 | 1,331 | 985 | | | | 0 | 3 |
| 814 | X | SECTOR SPDR FINANCIAL | 81369Y605 | | | 2/10/2012 | | 11/11/2013 | 6,395 | 4,498 | | | | 0 | 1,8 |
| 815 | X | SECTOR SPDR FINANCIAL | 81369Y605 | | | 7/9/2012 | | 11/11/2013 | 1,003 | 696 | | | | 0 | 3 |
| 816 | X | SECTOR SPDR FINANCIAL | 81369Y605 | | | 11/19/2012 | | 12/9/2013 | 537 | 391 | | | | 0 | 1 |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | |

| Totals | Gross Sales | Cost, Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|
| Capital Gains/Losses | 1,613,671 | 1,482,518 | 131,1 |
| Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 817 | X | SECTOR SPDR FINANCIAL | 81369Y605 | | | 7/9/2012 | | 12/9/2013 | 2,601 | 1,755 | | | | 0 | 8 |
| 818 | X | SECTOR SPDR FINANCIAL | 81369Y605 | | | 10/3/2012 | | 12/9/2013 | 107 | 79 | | | | 0 | |
| 819 | X | SECTOR SPDR FINANCIAL | 81369Y605 | | | 11/19/2012 | | 4/7/2014 | 750 | 531 | | | | 0 | 2 |
| 820 | X | SECTOR SPDR FINANCIAL | 81369Y605 | | | 11/19/2012 | | 6/9/2014 | 10,094 | 6,891 | | | | 0 | 3,2 |
| 821 | X | SECTOR SPDR INDUSTRIAL | 81369Y704 | | | 11/4/2010 | | 9/9/2013 | 810 | 594 | | | | 0 | 2 |
| 822 | X | SECTOR SPDR INDUSTRIAL | 81369Y704 | | | 11/4/2010 | | 9/20/2013 | 1,192 | 825 | | | | 0 | 3 |
| 823 | X | SECTOR SPDR INDUSTRIAL | 81369Y704 | | | 11/4/2010 | | 11/5/2013 | 637 | 429 | | | | 0 | 2 |
| 824 | X | TCW TOTAL RETURN | 87234N880 | | | 2/10/2012 | | 11/11/2013 | 928 | 891 | | | | 0 | |
| 825 | X | TCW TOTAL RETURN | 87234N880 | | | 7/9/2012 | | 11/11/2013 | 10 | 10 | | | | 0 | |
| 826 | X | TCW TOTAL RETURN | 87234N880 | | | 7/24/2012 | | 11/11/2013 | 3,834 | 3,761 | | | | 0 | |
| 827 | X | TCW TOTAL RETURN | 87234N880 | | | 9/18/2013 | | 11/11/2013 | 5 | 4 | | | | 0 | |
| 828 | X | TCW TOTAL RETURN | 87234N880 | | | 11/19/2012 | | 12/9/2013 | 5 | 4 | | | | 0 | |
| 829 | X | TCW TOTAL RETURN | 87234N880 | | | 9/18/2013 | | 12/9/2013 | 4 | 4 | | | | 0 | |
| 830 | X | TCW TOTAL RETURN | 87234N880 | | | 11/19/2012 | | 12/9/2013 | 2 276 | 2,298 | | | | 0 | - |
| 831 | X | TCW TOTAL RETURN | 87234N880 | | | 11/19/2012 | | 6/9/2014 | 7,355 | 7,312 | | | | 0 | |
| 832 | X | TCW TOTAL RETURN | 87234N880 | | | 11/19/2012 | | 6/9/2014 | 4 | 4 | | | | 0 | |
| 833 | X | TCW TOTAL RETURN | 87234N880 | | | 11/19/2012 | | 6/9/2014 | 5 | 5 | | | | 0 | |
| 834 | X | TAIWAN S MANUFCTRING AD | 874039100 | | | 11/10/2010 | | 9/20/2013 | 139 | 91 | | | | 0 | |
| 835 | X | TAIWAN S MANUFCTRING AD | 874039100 | | | 11/19/2012 | | 11/11/2013 | 481 | 444 | | | | 0 | |
| 836 | X | TAIWAN S MANUFCTRING AD | 874039100 | | | 11/10/2010 | | 11/11/2013 | 392 | 249 | | | | 0 | 1 |
| 837 | X | TAIWAN S MANUFCTRING AD | 874039100 | | | 11/19/2012 | | 12/10/2013 | 139 | 132 | | | | 0 | |
| 838 | X | TAIWAN S MANUFCTRING AD | 874039100 | | | 11/19/2012 | | 1/29/2014 | 68 | 66 | | | | 0 | |
| 839 | X | TAIWAN S MANUFCTRING AD | 874039100 | | | 7/18/2013 | | 6/10/2014 | 598 | 477 | | | | 0 | 1 |
| 840 | X | TAIWAN S MANUFCTRING AD | 874039100 | | | 11/19/2012 | | 6/10/2014 | 855 | 658 | | | | 0 | 1 |
| 841 | X | TEMPLETON GLBL BOND FD | 880208400 | | | 11/19/2012 | | 8/14/2013 | 8,165 | 8,494 | | | | 0 | -3 |
| 842 | X | ISHARES U S TECHNOLOGY | 464287721 | | | 2/10/2012 | | 12/9/2013 | 862 | 722 | | | | 0 | 1 |
| 843 | X | ISHARES U S TECHNOLOGY | 464287721 | | | 7/9/2012 | | 12/9/2013 | 3,017 | 2,470 | | | | 0 | 5 |
| 844 | X | ISHARES U S TECHNOLOGY | 464287721 | | | 7/9/2012 | | 6/9/2014 | 673 | 494 | | | | 0 | 1 |
| 845 | X | ISHARES U S TECHNOLOGY | 464287721 | | | 11/19/2012 | | 6/9/2014 | 13,268 | 9,507 | | | | 0 | 3,7 |
| 846 | X | ISHARES U S TECHNOLOGY | 464287721 | | | 10/3/2012 | | 6/9/2014 | 385 | 305 | | | | 0 | |
| 847 | X | JPMORGAN CHASE & CO | 46625H100 | | | 4/29/2013 | | 8/9/2013 | 55 | 49 | | | | 0 | |
| 848 | X | JPMORGAN CHASE & CO | 46625H100 | | | 4/17/2013 | | 8/9/2013 | 386 | 330 | | | | 0 | |
| 849 | X | JPMORGAN CHASE & CO | 46625H100 | | | 7/12/2013 | | 8/9/2013 | 275 | 278 | | | | 0 | |
| 850 | X | JPMORGAN CHASE & CO | 46625H100 | | | 2/5/2013 | | 8/9/2013 | 8,482 | 7,409 | | | | 0 | 1,0 |
| 851 | X | JP MORGAN STRATEGIC | 4812A4351 | | | 8/14/2013 | | 9/9/2013 | 1 | 1 | | | | 0 | |
| 852 | X | JP MORGAN STRATEGIC | 4812A4351 | | | 8/14/2013 | | 9/9/2013 | 260 | 261 | | | | 0 | |
| 853 | X | JP MORGAN STRATEGIC | 4812A4351 | | | 8/14/2013 | | 9/9/2013 | 474 | 475 | | | | 1 | |
| 854 | X | JP MORGAN STRATEGIC | 4812A4351 | | | 8/14/2013 | | 11/11/2013 | 1 | 0 | | | | 0 | |
| 855 | X | ARCOS DORADOS HOLDNG IN | G0457F107 | | | 7/18/2013 | | 11/11/2013 | 23 | 24 | | | | 1 | |
| 856 | X | ARCOS DORADOS HOLDNG IN | G0457F107 | | | 7/18/2013 | | 12/10/2013 | 94 | 95 | | | | 0 | |
| 857 | X | ARCOS DORADOS HOLDNG IN | G0457F107 | | | 7/18/2013 | | 4/29/2014 | 1,336 | 1,706 | | | | 0 | -3 |
| 858 | X | ARCOS DORADOS HOLDNG IN | G0457F107 | | | 8/1/2013 | | 4/30/2014 | 18 | 25 | | | | 0 | |
| 859 | X | ARCOS DORADOS HOLDNG IN | G0457F107 | | | 7/18/2013 | | 4/30/2014 | 1,632 | 2,123 | | | | 0 | -4 |
| 860 | X | BARCLAYS ORD GBP 0 25 | G08036124 | | | 7/19/2013 | | 8/1/2013 | 348 | 383 | | | | 0 | - |
| 861 | X | BARCLAYS ORD GBP 0 25 | G08036124 | | | 7/19/2013 | | 9/23/2013 | 192 | 197 | | | | 0 | |
| 862 | X | BARCLAYS ORD GBP 0 25 | G08036124 | | | 7/19/2013 | | 11/12/2013 | 800 | 877 | | | | 0 | - |
| 863 | X | JP MORGAN STRATEGIC | 4812A4351 | | | 8/14/2013 | | 11/11/2013 | 5,760 | 5,745 | | | | 0 | |
| 864 | X | JP MORGAN STRATEGIC | 4812A4351 | | | 9/18/2013 | | 11/11/2013 | 6 | 6 | | | | 0 | |
| 865 | X | JP MORGAN STRATEGIC | 4812A4351 | | | 8/14/2013 | | 12/9/2013 | 2,190 | 2,184 | | | | 0 | |
| 866 | X | JP MORGAN STRATEGIC | 4812A4351 | | | 8/14/2013 | | 12/9/2013 | 0 | 0 | | | | 0 | |
| 867 | X | JP MORGAN STRATEGIC | 4812A4351 | | | 8/14/2013 | | 6/9/2014 | 2 | 2 | | | | 0 | |
| 868 | X | JP MORGAN STRATEGIC | 4812A4351 | | | 8/14/2013 | | 6/9/2014 | 5,984 | 5,959 | | | | 0 | |
| 869 | X | KDDI CORP SHS | 48667L106 | | | 2/21/2012 | | 11/11/2013 | 978 | 562 | | | | 0 | 4 |
| 870 | X | KDDI CORP SHS | 48667L106 | | | 2/21/2012 | | 12/10/2013 | 183 | 99 | | | | 0 | |
| 871 | X | KDDI CORP SHS | 48667L106 | | | 2/21/2012 | | 1/29/2014 | 72 | 41 | | | | 0 | |
| 872 | X | KDDI CORP SHS | 48667L106 | | | 11/19/2012 | | 3/24/2014 | 99 | 67 | | | | 0 | |
| 873 | X | KDDI CORP SHS | 48667L106 | | | 2/21/2012 | | 3/24/2014 | 142 | 83 | | | | 0 | |
| 874 | X | KDDI CORP SHS | 48667L106 | | | 11/19/2012 | | 6/10/2014 | 926 | 623 | | | | 0 | 3 |
| 875 | X | KDDI CORP SHS | 48667L106 | | | 11/19/2012 | | 6/11/2014 | 101 | 67 | | | | 0 | |
| 876 | X | KRAFT FOODS GROUP INC | 50076Q106 | | | 1/28/2013 | | 11/11/2013 | 942 | 857 | | | | 0 | |
| 877 | X | KRAFT FOODS GROUP INC | 50076Q106 | | | 1/28/2013 | | 12/9/2013 | 767 | 667 | | | | 0 | 1 |
| 878 | X | KRAFT FOODS GROUP INC | 50076Q106 | | | 1/28/2013 | | 2/5/2014 | 8,959 | 8,379 | | | | 0 | 5 |
| 879 | X | KRAFT FOODS GROUP INC | 50076Q106 | | | 10/10/2013 | | 2/5/2014 | 356 | 371 | | | | 0 | - |
| 880 | X | KRAFT FOODS GROUP INC | 50076Q106 | | | 4/17/2013 | | 2/5/2014 | 102 | 101 | | | | 0 | |
| 881 | X | ELI LILLY & CO | 532457108 | | | 1/28/2013 | | 11/11/2013 | 1,795 | 1,912 | | | | 0 | -1 |
| 882 | X | ELI LILLY & CO | 532457108 | | | 1/28/2013 | | 12/9/2013 | 712 | 744 | | | | 31 | |
| 883 | X | ELI LILLY & CO | 532457108 | | | 2/21/2013 | | 2/5/2014 | 633 | 638 | | | | 0 | |
| 884 | X | ELI LILLY & CO | 532457108 | | | 1/2/2014 | | 5/5/2014 | 8,333 | 7,232 | | | | 0 | 1,1 |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | |

| Totals | Gross Sales | Cost, Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|
| Capital Gains/Losses | 1,613,671 | 1,482,518 | 131,1 |
| Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 885 | X | ELI LILLY & CO | 532457108 | | | 2/21/2013 | | 5/5/2014 | 117 | 106 | | | | 0 | |
| 886 | X | TEMPLETON GLBL BOND FD | 880208400 | | | 6/20/2013 | | 12/9/2013 | 942 | 919 | | | | 0 | |
| 887 | X | TEMPLETON GLBL BOND FD | 880208400 | | | 6/20/2013 | | 12/9/2013 | 2 | 2 | | | | 0 | |
| 888 | X | TEMPLETON GLBL BOND FD | 880208400 | | | 6/20/2013 | | 6/9/2014 | 3,055 | 2,909 | | | | 0 | 1 |
| 889 | X | TEMPLETON GLBL BOND FD | 880208400 | | | 6/20/2013 | | 6/9/2014 | 2 | 2 | | | | 0 | |
| 890 | X | TERADATA CORP DEL | 88076W103 | | | 1/5/2012 | | 9/9/2013 | 344 | 294 | | | | 0 | |
| 891 | X | TERADATA CORP DEL | 88076W103 | | | 1/5/2012 | | 11/5/2013 | 344 | 392 | | | | 0 | - |
| 892 | X | TEVA PHARMACTCL INDS AD | 881624209 | | | 1/28/2013 | | 10/10/2013 | 883 | 832 | | | | 0 | |
| 893 | X | TERADATA CORP DEL | 88076W103 | | | 11/19/2012 | | 11/5/2013 | 4,562 | 6,584 | | | | 0 | -2,0 |
| 894 | X | TERADATA CORP DEL | 88076W103 | | | 2/12/2013 | | 11/5/2013 | 1,119 | 1,611 | | | | 0 | -4 |
| 895 | X | TERADATA CORP DEL | 88076W103 | | | 7/9/2012 | | 11/5/2013 | 344 | 524 | | | | 0 | -1 |
| 896 | X | TERADATA CORP DEL | 88076W103 | | | 4/17/2013 | | 11/5/2013 | 1,721 | 2,110 | | | | 0 | -3 |
| 897 | X | TERADATA CORP DEL | 88076W103 | | | 4/29/2013 | | 11/5/2013 | 732 | 877 | | | | 0 | -1 |
| 898 | X | TEVA PHARMACTCL INDS AD | 881624209 | | | 1/28/2013 | | 11/11/2013 | 371 | 378 | | | | 0 | |
| 899 | X | TEVA PHARMACTCL INDS AD | 881624209 | | | 1/28/2013 | | 12/9/2013 | 1,520 | 1,438 | | | | 0 | |
| 900 | X | TEVA PHARMACTCL INDS AD | 881624209 | | | 1/28/2013 | | 2/5/2014 | 5,054 | 4,238 | | | | 0 | 8 |
| 901 | X | TEVA PHARMACTCL INDS AD | 881624209 | | | 1/28/2013 | | 6/9/2014 | 2,482 | 1,816 | | | | 0 | 6 |
| 902 | X | TEVA PHARMACTCL INDS AD | 881624209 | | | 1/28/2013 | | 7/24/2014 | 822 | 568 | | | | 0 | 2 |
| 903 | X | TEXAS INSTRUMENTS | 882508104 | | | 4/17/2013 | | 9/20/2013 | 613 | 518 | | | | 0 | |
| 904 | X | TEXAS INSTRUMENTS | 882508104 | | | 4/17/2013 | | 10/10/2013 | 522 | 449 | | | | 0 | |
| 905 | X | TEXAS INSTRUMENTS | 882508104 | | | 4/17/2013 | | 11/11/2013 | 2,172 | 1,794 | | | | 0 | 3 |
| 906 | X | TEXAS INSTRUMENTS | 882508104 | | | 4/17/2013 | | 12/9/2013 | 954 | 759 | | | | 0 | 1 |
| 907 | X | TEXAS INSTRUMENTS | 882508104 | | | 4/17/2013 | | 6/9/2014 | 3,356 | 2,415 | | | | 0 | 9 |
| 908 | X | TEXAS INSTRUMENTS | 882508104 | | | 4/17/2013 | | 7/24/2014 | 3,395 | 2,450 | | | | 0 | 9 |
| 909 | X | 3M COMPANY | 88579Y101 | | | 2/5/2014 | | 6/9/2014 | 2,032 | 1,794 | | | | 0 | 2 |
| 910 | X | 3M COMPANY | 88579Y101 | | | 2/5/2014 | | 7/24/2014 | 291 | 256 | | | | 0 | |
| 911 | X | TURKCELL ILETISIM ADR | 900111204 | | | 2/11/2014 | | 6/10/2014 | 747 | 618 | | | | 0 | 1 |
| 912 | X | UBS AG REG | 917998304 | | | 7/19/2013 | | 9/23/2013 | 821 | 718 | | | | 0 | 1 |
| 913 | X | UBS AG REG | 917998304 | | | 7/19/2013 | | 11/12/2013 | 736 | 737 | | | | 0 | |
| 914 | X | UBS AG REG | 917998304 | | | 7/19/2013 | | 11/12/2013 | 92 | 92 | | | | 0 | |
| 915 | X | UBS AG REG | 917998304 | | | 7/19/2013 | | 12/11/2013 | 222 | 221 | | | | 0 | |
| 916 | X | UBS AG REG | 917998304 | | | 7/19/2013 | | 1/30/2014 | 20 | 18 | | | | 0 | |
| 917 | X | UBS AG REG | 917998304 | | | 7/19/2013 | | 6/12/2014 | 1,095 | 998 | | | | 0 | |
| 918 | X | VALERO ENERGY CORP NEW | 91913Y100 | | | 7/9/2013 | | 9/9/2013 | 258 | 245 | | | | 0 | |
| 919 | X | VALERO ENERGY CORP NEW | 91913Y100 | | | 10/16/2012 | | 10/10/2013 | 386 | 298 | | | | 0 | |
| 920 | X | VALERO ENERGY CORP NEW | 91913Y100 | | | 7/9/2013 | | 11/5/2013 | 1,237 | 1,049 | | | | 0 | 1 |
| 921 | X | VALERO ENERGY CORP NEW | 91913Y100 | | | 10/16/2012 | | 11/11/2013 | 680 | 461 | | | | 0 | 2 |
| 922 | X | VALERO ENERGY CORP NEW | 91913Y100 | | | 11/19/2012 | | 11/11/2013 | 2,039 | 1,422 | | | | 0 | 6 |
| 923 | X | VALERO ENERGY CORP NEW | 91913Y100 | | | 7/9/2013 | | 11/11/2013 | 920 | 804 | | | | 0 | 1 |
| 924 | X | VALERO ENERGY CORP NEW | 91913Y100 | | | 11/19/2012 | | 12/9/2013 | 2,248 | 1,338 | | | | 0 | 9 |
| 925 | X | VALERO ENERGY CORP NEW | 91913Y100 | | | 7/9/2013 | | 12/9/2013 | 1,733 | 1,293 | | | | 0 | 4 |
| 926 | X | ELI LILLY & CO | 532457108 | | | 4/7/2014 | | 5/5/2014 | 704 | 716 | | | | 0 | - |
| 927 | X | ELI LILLY & CO | 532457108 | | | 1/28/2013 | | 6/9/2014 | 3,634 | 3,240 | | | | 0 | 3 |
| 928 | X | ELI LILLY & CO | 532457108 | | | 1/28/2013 | | 7/24/2014 | 4,323 | 3,559 | | | | 0 | 7 |
| 929 | X | LORD ABBETT INCOME | 543916365 | | | 7/31/2012 | | 8/14/2013 | 32,687 | 34,178 | | | | 0 | -1,4 |
| 930 | X | LORD ABBETT INCOME | 543916365 | | | 7/31/2012 | | 8/14/2013 | 1 | 1 | | | | 0 | |
| 931 | X | LORD ABBETT INCOME | 543916365 | | | 7/31/2012 | | 8/14/2013 | 2 | 2 | | | | 0 | |
| 932 | X | LORD ABBETT INCOME | 543916365 | | | 7/31/2012 | | 9/9/2013 | 1,087 | 1,153 | | | | 0 | - |
| 933 | X | LORD ABBETT INCOME | 543916365 | | | 7/31/2012 | | 9/9/2013 | 0 | 0 | | | | 0 | |
| 934 | X | LORD ABBETT INCOME | 543916365 | | | 11/19/2012 | | 9/18/2013 | 1,159 | 1,236 | | | | 0 | - |
| 935 | X | LORD ABBETT INCOME | 543916365 | | | 7/31/2012 | | 9/18/2013 | 9,344 | 9,804 | | | | 0 | -4 |
| 936 | X | LORD ABBETT INCOME | 543916365 | | | 7/31/2012 | | 9/18/2013 | 1 | 1 | | | | 0 | |
| 937 | X | LORD ABBETT INCOME | 543916365 | | | 11/19/2012 | | 9/18/2013 | 1 | 1 | | | | 0 | - |
| 938 | X | LORD ABBETT INCOME | 543916365 | | | 11/19/2012 | | 11/11/2013 | 1 | 1 | | | | 0 | |
| 939 | X | LORD ABBETT INCOME | 543916365 | | | 11/19/2012 | | 11/11/2013 | 8,000 | 8,505 | | | | 0 | -5 |
| 940 | X | LORD ABBETT INCOME | 543916365 | | | 11/19/2012 | | 12/9/2013 | 1 | 1 | | | | 0 | |
| 941 | X | LORD ABBETT INCOME | 543916365 | | | 11/19/2012 | | 12/9/2013 | 2,809 | 2,975 | | | | 0 | -1 |
| 942 | X | LORD ABBETT INCOME | 543916365 | | | 11/19/2012 | | 12/9/2013 | 1 | 1 | | | | 0 | |
| 943 | X | LORD ABBETT INCOME | 543916365 | | | 11/19/2012 | | 6/9/2014 | 9,114 | 9,393 | | | | 0 | -2 |
| 944 | X | LORD ABBETT INCOME | 543916365 | | | 11/19/2012 | | 6/9/2014 | 1 | 1 | | | | 0 | |
| 945 | X | LOWE'S COMPANIES INC | 548661107 | | | 10/16/2012 | | 9/20/2013 | 1,129 | 746 | | | | 0 | 3 |
| 946 | X | LOWE'S COMPANIES INC | 548661107 | | | 10/16/2012 | | 10/10/2013 | 238 | 162 | | | | 0 | |
| 947 | X | LOWE'S COMPANIES INC | 548661107 | | | 10/16/2012 | | 11/11/2013 | 1,471 | 973 | | | | 0 | 4 |
| 948 | X | LOWE'S COMPANIES INC | 548661107 | | | 10/16/2012 | | 12/9/2013 | 96 | 65 | | | | 0 | |
| 949 | X | LOWE'S COMPANIES INC | 548661107 | | | 10/16/2012 | | 6/9/2014 | 1,529 | 1,037 | | | | 0 | 4 |
| 950 | X | LOWE'S COMPANIES INC | 548661107 | | | 10/16/2012 | | 7/24/2014 | 288 | 195 | | | | 0 | |
| 951 | X | MFS EMERGING MARKETS | 55273E640 | | | 9/18/2013 | | 11/11/2013 | 7 | 7 | | | | 0 | |
| 952 | X | MFS EMERGING MARKETS | 55273E640 | | | 9/18/2013 | | 11/11/2013 | 0 | 0 | | | | 0 | |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | |

| Totals | Gross Sales | Cost, Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|
| Capital Gains/Losses | 1,813,671 | 1,482,518 | 131,1 |
| Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 953 | X | BARCLAYS ORD GBP 0 25 | G08036124 | | | 7/19/2013 | | 11/12/2013 | 167 | 184 | | | | 16 | |
| 954 | X | SECTOR SPDR INDUSTRIAL | 81369Y704 | | | 11/4/2010 | | 11/11/2013 | 2,464 | 1,760 | | | | 0 | 7 |
| 955 | X | SECTOR SPDR INDUSTRIAL | 81369Y704 | | | 11/4/2010 | | 11/11/2013 | 1,429 | 957 | | | | 0 | 4 |
| 956 | X | INTEL CORP | 458140100 | | | 11/19/2012 | | 11/11/2013 | 72 | 61 | | | | 0 | |
| 957 | X | INTEL CORP | 458140100 | | | 10/16/2012 | | 11/11/2013 | 555 | 515 | | | | 0 | |
| 958 | X | INTEL CORP | 458140100 | | | 6/3/2011 | | 11/11/2013 | 338 | 307 | | | | 0 | |
| 959 | X | INTEL CORP | 458140100 | | | 4/6/2011 | | 11/11/2013 | 555 | 460 | | | | 0 | |
| 960 | X | INTEL CORP | 458140100 | | | 11/19/2012 | | 12/9/2013 | 869 | 709 | | | | 0 | 1 |
| 961 | X | INTEL CORP | 458140100 | | | 11/19/2012 | | 2/5/2014 | 2,727 | 2,329 | | | | 0 | 3 |
| 962 | X | MFS EMERGING MARKETS | 55273E640 | | | 9/18/2013 | | 11/11/2013 | 2,370 | 2,372 | | | | 0 | |
| 963 | X | MFS EMERGING MARKETS | 55273E640 | | | 9/18/2013 | | 12/9/2013 | 726 | 732 | | | | 0 | |
| 964 | X | MFS EMERGING MARKETS | 55273E640 | | | 9/18/2013 | | 12/9/2013 | 1 | 1 | | | | 0 | |
| 965 | X | MFS EMERGING MARKETS | 55273E640 | | | 9/18/2013 | | 6/9/2014 | 3,537 | 3,367 | | | | 0 | 1 |
| 966 | X | MFS EMERGING MARKETS | 55273E640 | | | 9/18/2013 | | 6/9/2014 | 13 | 12 | | | | 0 | |
| 967 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 11/3/2010 | | 8/14/2013 | 3,992 | 3,926 | | | | 0 | |
| 968 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 7/24/2012 | | 8/14/2013 | 627 | 623 | | | | 0 | |
| 969 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 3/29/2012 | | 8/14/2013 | 223 | 220 | | | | 0 | |
| 970 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 11/3/2010 | | 8/14/2013 | 0 | 0 | | | | 0 | |
| 971 | X | VALERO ENERGY CORP NEW | 91913Y100 | | | 7/9/2013 | | 2/5/2014 | 7,705 | 5,768 | | | | 0 | 1,9 |
| 972 | X | VALERO ENERGY CORP NEW | 91913Y100 | | | 11/19/2012 | | 6/9/2014 | 2,832 | 1,422 | | | | 0 | 1,4 |
| 973 | X | VERTEX PHARMCTLS INC | 92532F100 | | | 11/5/2013 | | 11/11/2013 | 483 | 523 | | | | 0 | - |
| 974 | X | VERTEX PHARMCTLS INC | 92532F100 | | | 11/5/2013 | | 12/9/2013 | 1,529 | 1,504 | | | | 0 | |
| 975 | X | INTEL CORP | 458140100 | | | 11/19/2012 | | 6/9/2014 | 2,872 | 2,066 | | | | 0 | 8 |
| 976 | X | INTEL CORP | 458140100 | | | 11/19/2012 | | 7/24/2014 | 2,227 | 1,316 | | | | 0 | 9 |
| 977 | X | INTERCONTINENTAL | 45866F104 | | | 4/7/2014 | | 6/9/2014 | 949 | 983 | | | | 0 | - |
| 978 | X | HDFC BANK LTD ADR | 40415F101 | | | 7/18/2013 | | 6/10/2014 | 615 | 495 | | | | 0 | 1 |
| 979 | X | HESS CORP | 42809H107 | | | 1/8/2013 | | 9/9/2013 | 233 | 166 | | | | 0 | |
| 980 | X | HESS CORP | 42809H107 | | | 1/8/2013 | | 9/20/2013 | 398 | 277 | | | | 0 | 1 |
| 981 | X | HESS CORP | 42809H107 | | | 1/8/2013 | | 11/5/2013 | 81 | 55 | | | | 0 | |
| 982 | X | HESS CORP | 42809H107 | | | 10/10/2013 | | 11/11/2013 | 886 | 889 | | | | 0 | |
| 983 | X | HESS CORP | 42809H107 | | | 1/8/2013 | | 11/11/2013 | 1,209 | 832 | | | | 0 | 3 |
| 984 | X | HESS CORP | 42809H107 | | | 1/8/2013 | | 12/9/2013 | 322 | 222 | | | | 0 | 1 |
| 985 | X | HESS CORP | 42809H107 | | | 10/10/2013 | | 12/9/2013 | 402 | 404 | | | | 0 | |
| 986 | X | HESS CORP | 42809H107 | | | 10/10/2013 | | 6/9/2014 | 2,640 | 2,262 | | | | 0 | 3 |
| 987 | X | HESS CORP | 42809H107 | | | 1/8/2013 | | 6/9/2014 | 2,546 | 1,497 | | | | 0 | 1,0 |
| 988 | X | HESS CORP | 42809H107 | | | 1/8/2013 | | 6/16/2014 | 288 | 166 | | | | 0 | 1 |
| 989 | X | HESS CORP | 42809H107 | | | 10/10/2013 | | 7/24/2014 | 1,008 | 808 | | | | 0 | 2 |
| 990 | X | HONEYWELL INTL INC DEL | 438516106 | | | 11/19/2012 | | 9/20/2013 | 260 | 181 | | | | 0 | |
| 991 | X | HONEYWELL INTL INC DEL | 438516106 | | | 11/19/2012 | | 11/11/2013 | 1,394 | 966 | | | | 0 | 4 |
| 992 | X | HONEYWELL INTL INC DEL | 438516106 | | | 11/19/2012 | | 12/9/2013 | 440 | 302 | | | | 0 | 1 |
| 993 | X | VERTEX PHARMCTLS INC | 92532F100 | | | 11/5/2013 | | 2/5/2014 | 2,035 | 1,700 | | | | 0 | 3 |
| 994 | X | VERTEX PHARMCTLS INC | 92532F100 | | | 11/5/2013 | | 4/7/2014 | 8,355 | 8,434 | | | | 0 | - |
| 995 | X | WAL-MART STORES INC | 931142103 | | | 2/10/2012 | | 11/11/2013 | 631 | 493 | | | | 0 | 1 |
| 996 | X | WAL-MART STORES INC | 931142103 | | | 6/3/2011 | | 11/11/2013 | 1,026 | 693 | | | | 0 | 3 |
| 997 | X | WAL-MART STORES INC | 931142103 | | | 3/29/2012 | | 12/9/2013 | 160 | 122 | | | | 0 | |
| 998 | X | WAL-MART STORES INC | 931142103 | | | 2/10/2012 | | 12/9/2013 | 401 | 308 | | | | 0 | |
| 999 | X | WAL-MART STORES INC | 931142103 | | | 11/19/2012 | | 6/9/2014 | 1,154 | 1,034 | | | | 0 | 1 |
| 1000 | X | WAL-MART STORES INC | 931142103 | | | 3/29/2012 | | 6/9/2014 | 385 | 304 | | | | 0 | |
| 1001 | X | WAL-MART STORES INC | 931142103 | | | 11/19/2012 | | 7/24/2014 | 153 | 138 | | | | 0 | |
| 1002 | X | WELLS FARGO & CO NEW DE | 949746101 | | | 8/3/2012 | | 9/9/2013 | 249 | 204 | | | | 0 | |
| 1003 | X | WELLS FARGO & CO NEW DE | 949746101 | | | 5/3/2013 | | 10/10/2013 | 206 | 189 | | | | 0 | |
| 1004 | X | WELLS FARGO & CO NEW DE | 949746101 | | | 11/19/2012 | | 10/10/2013 | 7,947 | 6,235 | | | | 0 | 1,7 |
| 1005 | X | WELLS FARGO & CO NEW DE | 949746101 | | | 10/16/2012 | | 10/10/2013 | 2,717 | 2,237 | | | | 0 | 4 |
| 1006 | X | WELLS FARGO & CO NEW DE | 949746101 | | | 1/28/2013 | | 10/10/2013 | 494 | 424 | | | | 0 | |
| 1007 | X | WELLS FARGO & CO NEW DE | 949746101 | | | 9/6/2012 | | 11/11/2013 | 128 | 102 | | | | 0 | |
| 1008 | X | WELLS FARGO & CO NEW DE | 949746101 | | | 8/3/2012 | | 11/11/2013 | 1,026 | 814 | | | | 0 | 2 |
| 1009 | X | WELLS FARGO & CO NEW DE | 949746101 | | | 9/6/2012 | | 12/9/2013 | 619 | 478 | | | | 0 | 1 |
| 1010 | X | WELLS FARGO & CO NEW DE | 949746101 | | | 9/6/2012 | | 2/5/2014 | 178 | 136 | | | | 0 | |
| 1011 | X | WELLS FARGO & CO NEW DE | 949746101 | | | 11/19/2012 | | 6/9/2014 | 2,308 | 1,421 | | | | 0 | 8 |
| 1012 | X | WHIRLPOOL CORP | 963320106 | | | 8/9/2013 | | 9/9/2013 | 266 | 269 | | | | 0 | |
| 1013 | X | WHIRLPOOL CORP | 963320106 | | | 8/9/2013 | | 11/5/2013 | 10,470 | 9,547 | | | | 0 | 9 |
| 1014 | X | WHIRLPOOL CORP | 963320106 | | | 5/5/2014 | | 6/9/2014 | 429 | 461 | | | | 0 | - |
| 1015 | X | WHIRLPOOL CORP | 963320106 | | | 2/5/2014 | | 6/9/2014 | 1,716 | 1,564 | | | | 0 | 1 |
| 1016 | X | WHIRLPOOL CORP | 963320106 | | | 5/5/2014 | | 6/16/2014 | 7,300 | 8,146 | | | | 0 | -8 |
| 1017 | X | WHIRLPOOL CORP | 963320106 | | | 2/5/2014 | | 7/24/2014 | 436 | 391 | | | | 0 | |
| 1018 | X | ERSTE GROUP BANK AG | A19494102 | | | 7/19/2013 | | 8/1/2013 | 183 | 168 | | | | 0 | |
| 1019 | X | ERSTE GROUP BANK AG | A19494102 | | | 7/19/2013 | | 9/23/2013 | 32 | 28 | | | | 0 | |
| 1020 | X | ERSTE GROUP BANK AG | A19494102 | | | 7/19/2013 | | 11/12/2013 | 483 | 393 | | | | 0 | |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | |

| Totals | Gross Sales | Cost, Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|
| Capital Gains/Losses | 1,813,671 | 1,482,518 | 131,1 |
| Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1021 | X | ERSTE GROUP BANK AG | A19494102 | | | 7/19/2013 | | 12/11/2013 | 102 | 84 | | | | 0 | |
| 1022 | X | ERSTE GROUP BANK AG | A19494102 | | | 7/19/2013 | | 6/12/2014 | 526 | 421 | | | | 0 | 1 |
| 1023 | X | KBC GROUP NV | B5337G162 | | | 2/11/2014 | | 6/12/2014 | 802 | 883 | | | | 0 | - |
| 1024 | X | BAYER AG NAMEN-AKT | D0712D163 | | | 7/19/2013 | | 8/1/2013 | 930 | 896 | | | | 0 | |
| 1025 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 11/20/2010 | | 8/14/2013 | 145 | 141 | | | | 0 | |
| 1026 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 7/24/2012 | | 8/14/2013 | 2 | 2 | | | | 0 | |
| 1027 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 6/3/2011 | | 8/14/2013 | 295 | 293 | | | | 0 | |
| 1028 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 3/29/2012 | | 8/14/2013 | 6 | 6 | | | | 0 | |
| 1029 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 5/9/2011 | | 8/14/2013 | 4,884 | 4,884 | | | | 0 | |
| 1030 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 11/20/2010 | | 8/14/2013 | 6 | 6 | | | | 0 | |
| 1031 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 5/9/2011 | | 8/14/2013 | 6 | 6 | | | | 0 | |
| 1032 | X | HONEYWELL INTL INC DEL | 438516106 | | | 11/19/2012 | | 2/5/2014 | 269 | 181 | | | | 0 | |
| 1033 | X | HONEYWELL INTL INC DEL | 438516106 | | | 11/19/2012 | | 6/9/2014 | 1,634 | 1,026 | | | | 0 | 6 |
| 1034 | X | HONEYWELL INTL INC DEL | 438516106 | | | 11/19/2012 | | 7/24/2014 | 387 | 241 | | | | 0 | 1 |
| 1035 | X | HUNTNGTN BANCSHS INC MD | 446150104 | | | 8/9/2013 | | 9/9/2013 | 204 | 209 | | | | 0 | |
| 1036 | X | HUNTNGTN BANCSHS INC MD | 446150104 | | | 8/9/2013 | | 11/11/2013 | 1,358 | 1,326 | | | | 0 | |
| 1037 | X | HUNTNGTN BANCSHS INC MD | 446150104 | | | 8/9/2013 | | 12/9/2013 | 697 | 655 | | | | 0 | |
| 1038 | X | HUNTNGTN BANCSHS INC MD | 446150104 | | | 4/7/2014 | | 5/5/2014 | 593 | 659 | | | | 0 | - |
| 1039 | X | HUNTNGTN BANCSHS INC MD | 446150104 | | | 8/9/2013 | | 5/5/2014 | 5,634 | 5,393 | | | | 0 | 2 |
| 1040 | X | HUNTNGTN BANCSHS INC MD | 446150104 | | | 11/5/2013 | | 5/5/2014 | 1,942 | 1,885 | | | | 0 | |
| 1041 | X | HUNTNGTN BANCSHS INC MD | 446150104 | | | 2/5/2014 | | 5/5/2014 | 210 | 207 | | | | 0 | |
| 1042 | X | INTEL CORP | 458140100 | | | 4/6/2011 | | 10/10/2013 | 69 | 60 | | | | 0 | |
| 1043 | X | INTEL CORP | 458140100 | | | 2/10/2012 | | 11/11/2013 | 749 | 828 | | | | 0 | - |
| 1044 | X | INTERCONTINENTAL | 45866F104 | | | 4/7/2014 | | 6/16/2014 | 384 | 393 | | | | 0 | - |
| 1045 | X | SECTOR SPDR INDUSTRIAL | 81369Y704 | | | 3/3/2011 | | 11/11/2013 | 394 | 295 | | | | 0 | |
| 1046 | X | SECTOR SPDR INDUSTRIAL | 81369Y704 | | | 8/18/2011 | | 12/9/2013 | 404 | 239 | | | | 0 | 1 |
| 1047 | X | SECTOR SPDR INDUSTRIAL | 81369Y704 | | | 3/3/2011 | | 12/9/2013 | 656 | 480 | | | | 0 | 1 |
| 1048 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 9/22/2011 | | 8/14/2013 | 1,556 | 1,481 | | | | 0 | |
| 1049 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 2/10/2012 | | 8/14/2013 | 772 | 759 | | | | 0 | |
| 1050 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 7/24/2012 | | 9/9/2013 | 2 | 2 | | | | 0 | |
| 1051 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 7/24/2012 | | 9/9/2013 | 425 | 425 | | | | 0 | |
| 1052 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 7/24/2012 | | 11/11/2013 | 480 | 473 | | | | 0 | |
| 1053 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 11/19/2012 | | 11/11/2013 | 6 | 6 | | | | 0 | |
| 1054 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 11/19/2012 | | 11/11/2013 | 3,739 | 3,739 | | | | 0 | |
| 1055 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 9/18/2013 | | 11/11/2013 | 1 | 1 | | | | 0 | |
| 1056 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 9/18/2013 | | 12/9/2013 | 4 | 4 | | | | 0 | |
| 1057 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 11/19/2012 | | 12/9/2013 | 1,481 | 1,481 | | | | 0 | |
| 1058 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 9/18/2013 | | 6/9/2014 | 1 | 1 | | | | 0 | |
| 1059 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 11/19/2012 | | 6/9/2014 | 4,544 | 4,492 | | | | 0 | |
| 1060 | X | MARATHON OIL CORP | 565849106 | | | 7/12/2013 | | 8/9/2013 | 11,697 | 12,522 | | | | 0 | -8 |
| 1061 | X | MASCO CORP | 574599106 | | | 4/7/2014 | | 5/5/2014 | 8,119 | 9,087 | | | | 0 | -9 |
| 1062 | X | MASTERCARD INC | 57636Q104 | | | 10/10/2013 | | 11/11/2013 | 1,484 | 1,352 | | | | 0 | 1 |
| 1063 | X | MASTERCARD INC | 57636Q104 | | | 10/10/2013 | | 2/5/2014 | 146 | 135 | | | | 0 | |
| 1064 | X | MASTERCARD INC | 57636Q104 | | | 10/10/2013 | | 6/9/2014 | 1,622 | 1,420 | | | | 0 | 2 |
| 1065 | X | MAINSTAY HIGH YIELD | 56062X708 | | | 6/3/2011 | | 8/14/2013 | 6 | 6 | | | | 0 | |
| 1066 | X | SECTOR SPDR INDUSTRIAL | 81369Y704 | | | 6/3/2011 | | 12/9/2013 | 808 | 580 | | | | 0 | 2 |
| 1067 | X | SECTOR SPDR INDUSTRIAL | 81369Y704 | | | 2/10/2012 | | 4/7/2014 | 262 | 185 | | | | 0 | |
| 1068 | X | SECTOR SPDR INDUSTRIAL | 81369Y704 | | | 8/18/2011 | | 4/7/2014 | 262 | 150 | | | | 0 | 1 |
| 1069 | X | SECTOR SPDR INDUSTRIAL | 81369Y704 | | | 7/9/2012 | | 6/9/2014 | 723 | 458 | | | | 0 | 2 |
| 1070 | X | SECTOR SPDR INDUSTRIAL | 81369Y704 | | | 2/10/2012 | | 6/9/2014 | 834 | 555 | | | | 0 | 2 |
| 1071 | X | SECTOR SPDR INDUSTRIAL | 81369Y704 | | | 11/19/2012 | | 6/9/2014 | 5,950 | 3,871 | | | | 0 | 2,0 |
| 1072 | X | SECTOR SPDR INDUSTRIAL | 81369Y704 | | | 9/6/2012 | | 6/9/2014 | 445 | 290 | | | | 0 | 1 |
| 1073 | X | SECTOR SPDR INDUSTRIAL | 81369Y704 | | | 11/19/2012 | | 6/16/2014 | 54 | 36 | | | | 0 | |
| 1074 | X | SECTOR SPDR UTILITIES | 81369Y886 | | | 2/10/2012 | | 9/9/2013 | 259 | 244 | | | | 0 | |
| 1075 | X | SECTOR SPDR UTILITIES | 81369Y886 | | | 9/6/2012 | | 11/11/2013 | 273 | 256 | | | | 0 | |
| 1076 | X | SECTOR SPDR UTILITIES | 81369Y886 | | | 11/19/2012 | | 11/11/2013 | 195 | 173 | | | | 0 | |
| 1077 | X | SECTOR SPDR UTILITIES | 81369Y886 | | | 2/10/2012 | | 11/11/2013 | 820 | 733 | | | | 0 | |
| 1078 | X | SECTOR SPDR UTILITIES | 81369Y886 | | | 11/19/2012 | | 12/9/2013 | 229 | 207 | | | | 0 | |
| 1079 | X | SECTOR SPDR UTILITIES | 81369Y886 | | | 11/19/2012 | | 2/5/2014 | 2,459 | 2,208 | | | | 0 | 2 |
| 1080 | X | SECTOR SPDR UTILITIES | 81369Y886 | | | 11/19/2012 | | 4/7/2014 | 207 | 173 | | | | 0 | |
| 1081 | X | SECTOR SPDR UTILITIES | 81369Y886 | | | 11/19/2012 | | 6/9/2014 | 1,496 | 1,208 | | | | 0 | 2 |
| 1082 | X | SUMITOMO MITSUI-UNSPONS | 86562M209 | | | 7/18/2013 | | 9/20/2013 | 309 | 311 | | | | 0 | |
| 1083 | X | SUMITOMO MITSUI-UNSPONS | 86562M209 | | | 7/18/2013 | | 11/11/2013 | 360 | 371 | | | | 11 | |
| 1084 | X | MASTERCARD INC | 57636Q104 | | | 10/10/2013 | | 7/24/2014 | 9,063 | 7,913 | | | | 0 | 1,1 |
| 1085 | X | MCDONALDS CORP COM | 580135101 | | | 1/5/2011 | | 10/10/2013 | 942 | 750 | | | | 0 | 1 |
| 1086 | X | MCDONALDS CORP COM | 580135101 | | | 5/3/2013 | | 10/10/2013 | 377 | 413 | | | | 0 | - |
| 1087 | X | MCDONALDS CORP COM | 580135101 | | | 11/19/2012 | | 10/10/2013 | 7,062 | 6,380 | | | | 0 | 6 |
| 1088 | X | MCDONALDS CORP COM | 580135101 | | | 11/3/2010 | | 10/10/2013 | 1,508 | 1,253 | | | | 0 | 2 |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | |

| Totals | Gross Sales | Cost, Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|
| Capital Gains/Losses | 1,613,671 | 1,482,518 | 131,1 |
| Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1089 | X | MCDONALDS CORP COM | 580135101 | | | 2/10/2012 | | 10/10/2013 | 753 | 796 | | | | 0 | - |
| 1090 | X | MCDONALDS CORP COM | 580135101 | | | 1/28/2013 | | 10/10/2013 | 282 | 282 | | | | 0 | |
| 1091 | X | MCDONALDS CORP COM | 580135101 | | | 3/29/2012 | | 10/10/2013 | 471 | 486 | | | | 0 | - |
| 1092 | X | MEDTRONIC INC COM | 585055106 | | | 7/10/2012 | | 10/10/2013 | 162 | 116 | | | | 0 | |
| 1093 | X | MEDTRONIC INC COM | 585055106 | | | 7/10/2012 | | 11/11/2013 | 1,976 | 1,317 | | | | 0 | 6 |
| 1094 | X | MEDTRONIC INC COM | 585055106 | | | 7/10/2012 | | 12/9/2013 | 289 | 194 | | | | 0 | |
| 1095 | X | BAYER AG NAMEN-AKT | D0712D163 | | | 7/19/2013 | | 8/13/2013 | 1,261 | 1,232 | | | | 0 | |
| 1096 | X | BAYER AG NAMEN-AKT | D0712D163 | | | 7/19/2013 | | 9/23/2013 | 119 | 112 | | | | 0 | |
| 1097 | X | BAYER AG NAMEN-AKT | D0712D163 | | | 7/19/2013 | | 11/12/2013 | 1,383 | 1,232 | | | | 0 | 1 |
| 1098 | X | BAYER AG NAMEN-AKT | D0712D163 | | | 7/19/2013 | | 12/11/2013 | 263 | 224 | | | | 0 | |
| 1099 | X | BAYER AG NAMEN-AKT | D0712D163 | | | 7/19/2013 | | 3/24/2014 | 264 | 224 | | | | 0 | |
| 1100 | X | BAYER AG NAMEN-AKT | D0712D163 | | | 7/19/2013 | | 6/12/2014 | 1,559 | 1,232 | | | | 0 | 3 |
| 1101 | X | LINDE AG 50 DM | D50348107 | | | 7/19/2013 | | 8/1/2013 | 384 | 384 | | | | 0 | |
| 1102 | X | LINDE AG 50 DM | D50348107 | | | 7/19/2013 | | 9/23/2013 | 201 | 192 | | | | 0 | |
| 1103 | X | LINDE AG 50 DM | D50348107 | | | 7/19/2013 | | 11/12/2013 | 1,358 | 1,345 | | | | 0 | |
| 1104 | X | LINDE AG 50 DM | D50348107 | | | 7/19/2013 | | 12/11/2013 | 404 | 384 | | | | 0 | |
| 1105 | X | LINDE AG 50 DM | D50348107 | | | 7/19/2013 | | 6/12/2014 | 1,265 | 1,153 | | | | 0 | 1 |
| 1106 | X | SIEMENS AG GERM | D69671218 | | | 7/19/2013 | | 8/1/2013 | 550 | 539 | | | | 0 | |
| 1107 | X | SIEMENS AG GERM | D69671218 | | | 7/19/2013 | | 9/23/2013 | 242 | 216 | | | | 0 | |
| 1108 | X | SIEMENS AG GERM | D69671218 | | | 7/19/2013 | | 11/12/2013 | 1,660 | 1,402 | | | | 0 | 2 |
| 1109 | X | SIEMENS AG GERM | D69671218 | | | 7/19/2013 | | 12/11/2013 | 388 | 323 | | | | 0 | |
| 1110 | X | SIEMENS AG GERM | D69671218 | | | 7/19/2013 | | 3/24/2014 | 266 | 216 | | | | 0 | |
| 1111 | X | SIEMENS AG GERM | D69671218 | | | 7/19/2013 | | 6/12/2014 | 1,600 | 1,294 | | | | 0 | 3 |
| 1112 | X | BANCO SANTANDER RG S | E19790109 | | | 7/19/2013 | | 8/1/2013 | 182 | 164 | | | | 0 | |
| 1113 | X | SUMITOMO MITSUI-UNSPONS | 86562M209 | | | 7/18/2013 | | 11/11/2013 | 1,401 | 1,444 | | | | 0 | - |
| 1114 | X | SUMITOMO MITSUI-UNSPONS | 86562M209 | | | 7/18/2013 | | 12/10/2013 | 452 | 461 | | | | 0 | |
| 1115 | X | SUMITOMO MITSUI-UNSPONS | 86562M209 | | | 7/18/2013 | | 1/29/2014 | 97 | 100 | | | | 0 | |
| 1116 | X | SUMITOMO MITSUI-UNSPONS | 86562M209 | | | 7/18/2013 | | 6/10/2014 | 1,435 | 1,735 | | | | 0 | -3 |
| 1117 | X | SUMITOMO MITSUI-UNSPONS | 86562M209 | | | 7/18/2013 | | 6/11/2014 | 75 | 90 | | | | 0 | - |
| 1118 | X | TCW TOTAL RETURN | 87234N880 | | | 6/3/2011 | | 8/14/2013 | 458 | 454 | | | | 0 | |
| 1119 | X | TCW TOTAL RETURN | 87234N880 | | | 5/9/2011 | | 8/14/2013 | 5 | 5 | | | | 0 | |
| 1120 | X | TCW TOTAL RETURN | 87234N880 | | | 2/10/2012 | | 8/14/2013 | 11,592 | 11,322 | | | | 0 | 2 |
| 1121 | X | TCW TOTAL RETURN | 87234N880 | | | 5/26/2011 | | 8/14/2013 | 10 | 10 | | | | 0 | |
| 1122 | X | TCW TOTAL RETURN | 87234N880 | | | 6/3/2011 | | 8/14/2013 | 10 | 10 | | | | 0 | |
| 1123 | X | TCW TOTAL RETURN | 87234N880 | | | 5/9/2011 | | 8/14/2013 | 11,840 | 11,767 | | | | 0 | |
| 1124 | X | TCW TOTAL RETURN | 87234N880 | | | 5/26/2011 | | 8/14/2013 | 189 | 187 | | | | 0 | |
| 1125 | X | TCW TOTAL RETURN | 87234N880 | | | 5/10/2011 | | 8/14/2013 | 20 | 19 | | | | 0 | |
| 1126 | X | TCW TOTAL RETURN | 87234N880 | | | 5/9/2011 | | 9/9/2013 | 0 | 0 | | | | 0 | |
| 1127 | X | ISHARES SELECT | 464287168 | | | 7/16/2013 | | 10/10/2013 | 11,268 | 11,282 | | | | 0 | - |
| 1128 | X | ISHARES 20+ YEAR | 464287432 | | | 5/9/2011 | | 8/14/2013 | 4,105 | 3,712 | | | | 0 | 3 |
| 1129 | X | ISHARES 20+ YEAR | 464287432 | | | 5/9/2011 | | 9/9/2013 | 208 | 190 | | | | 0 | |
| 1130 | X | ISHARES 20+ YEAR | 464287432 | | | 6/3/2011 | | 11/11/2013 | 103 | 97 | | | | 0 | |
| 1131 | X | ISHARES 20+ YEAR | 464287432 | | | 7/24/2012 | | 11/11/2013 | 414 | 528 | | | | 0 | -1 |
| 1132 | X | ISHARES 20+ YEAR | 464287432 | | | 5/9/2011 | | 11/11/2013 | 827 | 762 | | | | 0 | |
| 1133 | X | ISHARES 20+ YEAR | 464287432 | | | 3/29/2012 | | 11/11/2013 | 310 | 343 | | | | 0 | - |
| 1134 | X | ISHARES 20+ YEAR | 464287432 | | | 11/19/2012 | | 12/9/2013 | 515 | 629 | | | | 0 | -1 |
| 1135 | X | BANCO SANTANDER RG S | E19790109 | | | 7/19/2013 | | 9/23/2013 | 105 | 85 | | | | 0 | |
| 1136 | X | BANCO SANTANDER RG S | E19790109 | | | 7/19/2013 | | 11/12/2013 | 535 | 407 | | | | 0 | 1 |
| 1137 | X | BANCO SANTANDER RG S | E19790109 | | | 7/19/2013 | | 12/11/2013 | 189 | 144 | | | | 0 | |
| 1138 | X | BANCO SANTANDER RG S | E19790109 | | | 7/19/2013 | | 12/12/2013 | 2,939 | 2,289 | | | | 0 | 6 |
| 1139 | X | BANCO SANTANDER RG S | E19790109 | | | 11/26/2013 | | 12/12/2013 | 93 | 95 | | | | 0 | |
| 1140 | X | BANCO SANTANDER SA | E197A2442 | | | 10/16/2013 | | 10/22/2013 | 97 | 95 | | | | 0 | |
| 1141 | X | BNP PARIBAS | F1058Q238 | | | 7/19/2013 | | 8/1/2013 | 324 | 295 | | | | 0 | |
| 1142 | X | BNP PARIBAS | F1058Q238 | | | 7/19/2013 | | 9/23/2013 | 206 | 177 | | | | 0 | |
| 1143 | X | BNP PARIBAS | F1058Q238 | | | 7/19/2013 | | 11/12/2013 | 933 | 768 | | | | 0 | 1 |
| 1144 | X | BNP PARIBAS | F1058Q238 | | | 7/19/2013 | | 12/11/2013 | 219 | 177 | | | | 0 | |
| 1145 | X | BNP PARIBAS | F1058Q238 | | | 7/19/2013 | | 1/30/2014 | 79 | 59 | | | | 0 | |
| 1146 | X | BNP PARIBAS | F1058Q238 | | | 7/19/2013 | | 6/12/2014 | 971 | 827 | | | | 0 | 1 |
| 1147 | X | DANONE S A | F12033134 | | | 7/19/2013 | | 8/1/2013 | 394 | 381 | | | | 0 | |
| 1148 | X | DANONE S A | F12033134 | | | 7/19/2013 | | 9/23/2013 | 156 | 152 | | | | 0 | |
| 1149 | X | DANONE S A | F12033134 | | | 7/19/2013 | | 11/12/2013 | 145 | 152 | | | | 7 | |
| 1150 | X | DANONE S A | F12033134 | | | 7/19/2013 | | 11/12/2013 | 798 | 838 | | | | 0 | - |
| 1151 | X | ISHARES 20+ YEAR | 464287432 | | | 11/19/2012 | | 6/9/2014 | 3,122 | 3,522 | | | | 0 | -4 |
| 1152 | X | ISHARES 1-3 YEAR | 464287457 | | | 2/10/2012 | | 8/14/2013 | 35,175 | 35,245 | | | | 0 | - |
| 1153 | X | ISHARES 1-3 YEAR | 464287457 | | | 2/10/2012 | | 8/14/2013 | 253 | 254 | | | | 0 | |
| 1154 | X | ISHARES 1-3 YEAR | 464287457 | | | 7/24/2012 | | 8/14/2013 | 4,471 | 4,483 | | | | 0 | - |
| 1155 | X | ISHARES 1-3 YEAR | 464287457 | | | 7/9/2012 | | 8/14/2013 | 590 | 591 | | | | 0 | |
| 1156 | X | ISHARES 1-3 YEAR | 464287457 | | | 3/29/2012 | | 8/14/2013 | 3,880 | 3,882 | | | | 0 | |

## Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | |

| Totals | Gross Sales | Cost, Other Basis and Expenses | Net Gain or Loss |
|---|---|---|---|
| Capital Gains/Losses | 1,613,671 | 1,482,518 | 131,1 |
| Other sales | 0 | 0 | |

| | Check "X" to include in Part IV | Description | CUSIP # | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improvements | Depreciation | Adjustments | Net Gain or Loss |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1157 | X | ISHARES 1-3 YEAR | 464287457 | | | 7/24/2012 | | 9/9/2013 | 505 | 508 | | | | 2 | |
| 1158 | X | ISHARES 1-3 YEAR | 464287457 | | | 11/19/2012 | | 9/9/2013 | 337 | 338 | | | | 1 | |
| 1159 | X | ISHARES 1-3 YEAR | 464287457 | | | 11/19/2012 | | 11/11/2013 | 8,869 | 8,874 | | | | 0 | |
| 1160 | X | ISHARES 1-3 YEAR | 464287457 | | | 8/2/2012 | | 11/11/2013 | 507 | 509 | | | | 0 | |
| 1161 | X | ISHARES 1-3 YEAR | 464287457 | | | 11/19/2012 | | 12/9/2013 | 3,548 | 3,550 | | | | 0 | |
| 1162 | X | ISHARES 1-3 YEAR | 464287457 | | | 11/19/2012 | | 6/9/2014 | 9,974 | 9,973 | | | | 0 | |
| 1163 | X | ISHARES U S | 464287713 | | | 1/5/2012 | | 9/9/2013 | 243 | 188 | | | | 0 | |
| 1164 | X | ISHARES U S | 464287713 | | | 1/5/2012 | | 11/5/2013 | 176 | 125 | | | | 0 | |
| 1165 | X | DANONE S A | F12033134 | | | 7/19/2013 | | 6/12/2014 | 949 | 990 | | | | 0 | - |
| 1166 | X | MEDTRONIC INC COM | 585055106 | | | 7/10/2012 | | 6/9/2014 | 1,306 | 813 | | | | 0 | 4 |
| 1167 | X | MEDTRONIC INC COM | 585055106 | | | 11/19/2012 | | 6/9/2014 | 933 | 625 | | | | 0 | 3 |
| 1168 | X | MEDTRONIC INC COM | 585055106 | | | 11/19/2012 | | 7/24/2014 | 3,430 | 2,252 | | | | 0 | 1,1 |
| 1169 | X | MICRON TECHNOLOGY INC | 595112103 | | | 11/19/2012 | | 9/9/2013 | 385 | 141 | | | | 0 | 2 |
| 1170 | X | MICRON TECHNOLOGY INC | 595112103 | | | 11/19/2012 | | 9/20/2013 | 3,605 | 1,182 | | | | 0 | 2,4 |
| 1171 | X | MICRON TECHNOLOGY INC | 595112103 | | | 4/29/2013 | | 11/5/2013 | 1,121 | 608 | | | | 0 | 5 |
| 1172 | X | MICRON TECHNOLOGY INC | 595112103 | | | 7/12/2013 | | 11/5/2013 | 2,942 | 2,176 | | | | 0 | 7 |
| 1173 | X | MICRON TECHNOLOGY INC | 595112103 | | | 11/19/2012 | | 11/5/2013 | 8,389 | 2,710 | | | | 0 | 5,6 |
| 1174 | X | MONSANTO CO NEW DEL CO | 61166W101 | | | 10/10/2013 | | 11/11/2013 | 1,065 | 1,055 | | | | 0 | |
| 1175 | X | MONSANTO CO NEW DEL CO | 61166W101 | | | 10/10/2013 | | 12/9/2013 | 781 | 738 | | | | 0 | |
| 1176 | X | MONSANTO CO NEW DEL CO | 61166W101 | | | 10/10/2013 | | 2/5/2014 | 9,732 | 9,597 | | | | 0 | 1 |
| 1177 | X | MORGAN STANLEY | 617446448 | | | 10/10/2013 | | 11/11/2013 | 1,997 | 1,854 | | | | 0 | 1 |
| 1178 | X | MORGAN STANLEY | 617446448 | | | 10/10/2013 | | 12/9/2013 | 517 | 470 | | | | 0 | |
| 1179 | X | MORGAN STANLEY | 617446448 | | | 10/10/2013 | | 2/5/2014 | 9,651 | 9,160 | | | | 0 | 4 |
| 1180 | X | NEXTERA ENERGY INC SHS | 65339F101 | | | 7/10/2012 | | 11/11/2013 | 1,999 | 1,572 | | | | 0 | 4 |
| 1181 | X | NEXTERA ENERGY INC SHS | 65339F101 | | | 7/10/2012 | | 12/9/2013 | 84 | 88 | | | | 0 | |
| 1182 | X | NEXTERA ENERGY INC SHS | 65339F101 | | | 7/10/2012 | | 2/5/2014 | 626 | 479 | | | | 0 | 1 |
| 1183 | X | NEXTERA ENERGY INC SHS | 65339F101 | | | 7/10/2012 | | 6/9/2014 | 867 | 615 | | | | 0 | 2 |
| 1184 | X | NEXTERA ENERGY INC SHS | 65339F101 | | | 11/19/2012 | | 6/9/2014 | 771 | 542 | | | | 0 | 2 |
| 1185 | X | NEXTERA ENERGY INC SHS | 65339F101 | | | 11/19/2012 | | 7/24/2014 | 8,111 | 5,554 | | | | 0 | 2,5 |
| 1186 | X | NEXTERA ENERGY INC SHS | 65339F101 | | | 5/3/2013 | | 7/24/2014 | 99 | 82 | | | | 0 | |
| 1187 | X | DANONE S A | F12033134 | | | 7/19/2013 | | 12/11/2013 | 142 | 152 | | | | 0 | - |
| 1188 | X | OCH-ZIFF CAPITAL MANAGEM | 67551U105 | | | 12/31/2013 | | 12/31/2013 | | -710 | | | | | 7 |

## Part I, Line 11 (990-PF) - Other Income

| | | -52 | 0 | 0 |
|---|---|---|---|---|
| | Descnption | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income |
| 1 | OTHER INCOME | -52 | | |

## Part I, Line 16b (990-PF) - Accounting Fees

| | | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes (Cash Basis Only) |
|---|---|---|---|---|---|
| | | 2,500 | 0 | 0 | 2,500 |
| | Description | | | | |
| 1 | TAX PREP FEES | 2,500 | | | 2,500 |

## Part I, Line 16c (990-PF) - Other Professional Fees

| | | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes (Cash Basis Only) |
|---|---|---|---|---|---|
| | | 14,620 | 8,772 | 0 | 5,848 |
| | Description | | | | |
| 1 | MERRILL LYNCH FEES AS AGENT | 14,620 | 8,772 | | 5,848 |

## Part I, Line 18 (990-PF) - Taxes

| | | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|---|
| | | 4,268 | 1,068 | 0 | 0 |
| | Description | | | | |
| 1 | FOREIGN TAX WITHELD | 1,068 | 1,068 | | |
| 2 | PY EXCISE TAX DUE | 1,446 | | | |
| 3 | ESTIMATED EXCISE PAYMENTS | 1,754 | | | |

## Part I, Line 23 (990-PF) - Other Expenses

| | | | | | |
|---|---|---|---|---|---|
| | | 283 | 33 | 0 | 250 |
| | Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
| 1 | ADR FEES | 33 | 33 | | |
| 2 | STATE AG FEES | 250 | | | 250 |

## Part II, Line 10b (990-PF) - Investments - Corporate Stock

| | Description | Num Shares/ Face Value | Book Value Beg. of Year | Book Value End of Year | FMV Beg of Year | FMV End of Year |
|---|---|---|---|---|---|---|
| | | | 848,112 | 567,026 | 0 | 637,423 |
| 1 | | | | | | |
| 2 | BAYER AG NAMEN-AKT | 0 | | 5,172 | | 6,078 |
| 3 | ENSCO PLC SHS CL A | 0 | | 14,379 | | 13,979 |
| 4 | LI AND FUNG LTD SHS | 0 | | 2,860 | | 2,613 |
| 5 | EATON CORP PLC | 0 | | 4,779 | | 5,094 |
| 6 | EATON CORP PLC | 0 | | 5,533 | | 6,724 |
| 7 | ABBVIE INC SHS | 0 | | 8,544 | | 8,217 |
| 8 | SONY FINL HOLDINGS INC | 0 | | 1,869 | | 1,757 |
| 9 | OIL SEARCH LTD FN | 0 | | 1,140 | | 1,340 |
| 10 | NOBLE CORP PLC SHS | 0 | | 14,275 | | 13,928 |
| 11 | INTERCONTINENTALEXCHANGE | 0 | | 7,664 | | 7,497 |
| 12 | ROYAL BANK OF SCOTLAND G | 0 | | 2,513 | | 2,885 |
| 13 | | 0 | | 4,014 | | 2,686 |
| 14 | | 0 | | 2,973 | | 3,124 |
| 15 | | 0 | | 1,445 | | 1,439 |
| 16 | | 0 | | 3,595 | | 3,102 |
| 17 | | 0 | | 3,733 | | 3,891 |
| 18 | CITIGROUP INC COM NEW | 0 | | 7,558 | | 8,559 |
| 19 | CITIGROUP INC COM NEW | 0 | | 8,172 | | 7,630 |
| 20 | ARCHER DANIELS MIDLAND CO COM | 0 | | 7,022 | | 8,398 |
| 21 | CSX CORP COM | 0 | | 4,701 | | 5,595 |
| 22 | CAPITAL ONE FINL CORP COM | 0 | | 5,451 | | 7,238 |
| 23 | EASTMAN CHEMICAL CO | 0 | | 7,796 | | 7,878 |
| 24 | DISNEY (WALT) COMPANY HOLDING CO | 0 | | 7,204 | | 7,643 |
| 25 | FIFTH THIRD BANCORP | 0 | | 15,126 | | 14,418 |
| 26 | GENERAL DYNAMICS CORPORATION CO | 0 | | 5,593 | | 5,371 |
| 27 | GENERAL DYNAMICS CORPORATION CO | 0 | | 4,482 | | 7,357 |
| 28 | LOWES COMPANIES INC COM | 0 | | 6,362 | | 8,757 |
| 29 | ELI LILLY & CO COM | 0 | | 7,185 | | 8,304 |
| 30 | MEDTRONIC INC | 0 | | 3,952 | | 5,680 |
| 31 | OCCIDENTAL PETROLEUM CORPORATIO | 0 | | 6,641 | | 8,501 |
| 32 | PROCTER & GAMBLE CO COM | 0 | | 7,670 | | 8,505 |
| 33 | TEXAS INSTRUMENTS INC | 0 | | 6,397 | | 8,464 |
| 34 | TEVA PHARMACEUTICAL INDS ADR | 0 | | 6,071 | | 8,560 |
| 35 | WAL MART STORES INC | 0 | | 8,034 | | 8,462 |
| 36 | WHIRLPOOL CORPORATION COM | 0 | | 7,947 | | 8,701 |
| 37 | INTEL CORPORATION | 0 | | 5,404 | | 8,676 |
| 38 | EDISON INTL | 0 | | 8,522 | | 8,165 |
| 39 | AMAZON COM INC | 0 | | 5,355 | | 7,199 |
| 40 | VALERO ENERGY CORP NEW | 0 | | 6,231 | | 9,042 |
| 41 | TAIWAN SEMICONDUCTOR ADR | 0 | | 4,667 | | 5,300 |
| 42 | WELLS FARGO & CO NEW | 0 | | 5,167 | | 7,330 |
| 43 | GROUPE DANONE FRF10 ORDS | 0 | | 3,965 | | 3,763 |
| 44 | LVMH MOET HENNESY LOUIS VUITTON | 0 | | 2,625 | | 2,581 |
| 45 | PERNOD RICARD FRF20 ORDS | 0 | | 2,622 | | 2,576 |
| 46 | MITSUBISHI CORP JPY50 ORDS | 0 | | 1,566 | | 1,764 |

## Part II, Line 10b (990-PF) - Investments - Corporate Stock

| | | | | | | |
|---|---|---|---|---|---|---|
| | | | 848,112 | 567,026 | 0 | 637,423 |
| | Description | Num Shares/ Face Value | Book Value Beg of Year | Book Value End of Year | FMV Beg of Year | FMV End of Year |
| 47 | TOKYO GAS CO LTD (REC MAR/SEP) | 0 | | 4,275 | | 4,282 |
| 48 | HSBC HOLDINGS ORD USD 0 50 | 0 | | 6,102 | | 5,845 |
| 49 | AFLAC INC | 0 | | 6,930 | | 8,244 |
| 50 | ANALOG DEVICES INC | 0 | | 9,824 | | 11,316 |
| 51 | HONDA MOTOR CO JPY 50 0 | 0 | | 5,165 | | 4,761 |
| 52 | WESTPAC BANKING CORP AUD1 ORDS | 0 | | 4,393 | | 5,148 |
| 53 | ATLAS COPCO AB SEK5 SER A | 0 | | 3,810 | | 4,368 |
| 54 | ERICSSON (LM) TELE SER B | 0 | | 3,836 | | 3,997 |
| 55 | HUTCHISON WHAMPOA LTD ORD HKD0 2 | 0 | | 2,265 | | 2,790 |
| 56 | AUTONATION INC | 0 | | 6,562 | | 7,145 |
| 57 | COGNIZANT TECHNOLOGY SOLUTIONS | 0 | | 7,297 | | 7,505 |
| 58 | SIEMENS AG | 0 | | 5,655 | | 6,433 |
| 59 | EXXON MOBIL CORP | 0 | | 7,362 | | 8,311 |
| 60 | AGILENT TECHNOLOGIES INC | 0 | | 5,163 | | 5,665 |
| 61 | HONEYWELL INTL INC | 0 | | 6,506 | | 8,357 |
| 62 | SCHNEIDER SA FRF50 ORDS (EX SPIE | 0 | | 5,114 | | 4,906 |
| 63 | DBS GROUP HLDGS LTD | 0 | | 2,479 | | 2,733 |
| 64 | FORD MOTOR CO DEL | 0 | | 7,459 | | 8,289 |
| 65 | METLIFE INC | 0 | | 8,504 | | 8,100 |
| 66 | PETROLEO BRASILEIRO SA PETROBRAS | 0 | | 1,656 | | 1,961 |
| 67 | JAPAN TOBACCO INC JPY50000 ORDS | 0 | | 4,309 | | 4,191 |
| 68 | COMPUTERSHARE LIMITED CPU | 0 | | 1,523 | | 1,626 |
| 69 | BLACKROCK INC CL A | 0 | | 5,331 | | 8,532 |
| 70 | BG GROUP PLC | 0 | | 4,079 | | 4,373 |
| 71 | PRINCIPAL FINL GROUP INC | 0 | | 5,646 | | 8,446 |
| 72 | RIO TINTO PLC | 0 | | 6,031 | | 7,731 |
| 73 | LINDE AG DM50 | 0 | | 5,196 | | 5,510 |
| 74 | 3M CO | 0 | | 7,811 | | 8,594 |
| 75 | BNP PARIBAS | 0 | | 3,677 | | 4,106 |
| 76 | STANDARD CHARTERED BK PLC 0 5 | 0 | | 3,451 | | 3,058 |
| 77 | NOVARTIS AG CHF 0.5 | 0 | | 6,514 | | 7,261 |
| 78 | ROCHE HLDG AG | 0 | | 3,273 | | 3,784 |
| 79 | AKZO NOBEL ORD NLG | 0 | | 4,134 | | 5,192 |
| 80 | ING GROEP NV EUR 0 24 | 0 | | 3,557 | | 4,707 |
| 81 | AUTOMATIC DATA PROCESSING INC CON | 0 | | 8,094 | | 11,546 |
| 82 | GENERAL ELECTRIC CO | 0 | | 5,108 | | 5,634 |
| 83 | HDFC BK LTD | 0 | | 1,977 | | 2,465 |
| 84 | ERSTE BANK DER OESTER SPARK | 0 | | 1,746 | | 1,597 |
| 85 | JSR CORP,TOKYO JPY50 0 | 0 | | 3,473 | | 3,328 |
| 86 | TURKCELL ILETISIM HIZMETLERI | 0 | | 2,248 | | 2,794 |
| 87 | HESS CORP | 0 | | 7,024 | | 8,611 |
| 88 | HESS CORP | 0 | | 4,809 | | 7,720 |
| 89 | DOLLAR TREE INC | 0 | | 7,789 | | 7,462 |
| 90 | PHILIP MORRIS INTL INC | 0 | | 8,818 | | 8,447 |
| 91 | UBS AG REG | 0 | | 4,173 | | 3,964 |
| 92 | ROY DUT SHELL PLC A LON | 0 | | 7,157 | | 8,613 |

## Part II, Line 10b (990-PF) - Investments - Corporate Stock

| | Description | Num Shares/ Face Value | Book Value Beg of Year | Book Value End of Year | FMV Beg of Year | FMV End of Year |
|---|---|---|---|---|---|---|
| | | | 848,112 | 567,026 | 0 | 637,423 |
| 93 | NESTLE SA, CHAM UND VEVE | 0 | | 5,303 | | 5,869 |
| 94 | KDDI CORP SHS | 0 | | 2,850 | | 4,153 |
| 95 | GDF SUEZ | 0 | | 2,246 | | 2,576 |
| 96 | SANTEN PHARMACEUTCL 4536 | 0 | | 4,660 | | 5,676 |
| 97 | AMERICAN INTERNATIONAL | 0 | | 7,567 | | 8,265 |
| 98 | ILUKA RESOURCES LIMITED | 0 | | 3,837 | | 3,070 |
| 99 | SUMITOMO MITSUI-UNSPONS | 0 | | 7,676 | | 6,321 |
| 100 | GENERAL MOTORS CO | 0 | | 7,299 | | 7,001 |
| 101 | EMBRAER S A SPONSRD ADR | 0 | | 5,703 | | 5,478 |
| 102 | TELECOM ITALIA SPA | 0 | | 737 | | 1,353 |
| 103 | SONOVA HOLDING AG | 0 | | 1,565 | | 2,179 |
| 104 | SANDS CHINA LTD COM NPV | 0 | | 3,475 | | 4,597 |
| 105 | SUNDRUG CO LTD 9989 | 0 | | 2,125 | | 2,351 |
| 106 | WANT WANT CHINA HOLDINGS | 0 | | 2,719 | | 2,792 |
| 107 | O'REILLY AUTOMOTIVE INC | 0 | | 4,657 | | 7,500 |
| 108 | AIA GROUP LTD | 0 | | 3,326 | | 4,023 |

## Part II, Line 13 (990-PF) - Investments - Other

| | Asset Description | Basis of Valuation | Book Value Beg of Year | Book Value End of Year | FMV End of Year |
|---|---|---|---|---|---|
| | | | 1,162,016 | 761,028 | 806,733 |
| 1 | | | | 0 | |
| 2 | PRINCIPAL PREFERRED | | 0 | 4,165 | 4,129 |
| 3 | INVESCO HIGH YIELD FUND | | 0 | 23,780 | 24,397 |
| 4 | BLACKROCK GLOBAL LONG | | 0 | 34,330 | 35,297 |
| 5 | DRIEHAUS ACTIVE INCOME | | 0 | 35,297 | 35,164 |
| 6 | SECTOR SPDR TR | | 0 | 27,007 | 34,960 |
| 7 | SECTOR SPDR TR SHS BEN INT-BASIC | | 0 | 5,947 | 7,638 |
| 8 | SECTOR SPDR TR SHS BEN | | 0 | 17,629 | 20,535 |
| 9 | SECTOR SPDR TR SHS BEN | | 0 | 16,190 | 22,271 |
| 10 | SECTOR SPDR TR SHS BEN | | 0 | 20,884 | 29,785 |
| 11 | SECTOR SPDR TR | | 0 | 18,308 | 24,061 |
| 12 | SECTOR SPDR TR SHS BEN | | 0 | 5,061 | 5,486 |
| 13 | ISHARES TR | | 0 | 32,731 | 44,913 |
| 14 | ISHARES TR | | 0 | 3,769 | 4,794 |
| 15 | ISHARES TR | | 0 | 59,183 | 59,213 |
| 16 | ISHARES TR | | 0 | 12,238 | 11,854 |
| 17 | SECTOR SPDR TR | | 0 | 18,160 | 23,894 |
| 18 | ROWE T PRICE U S TREAS FDS INC | | 0 | 54,114 | 51,028 |
| 19 | TEMPLETON GLOBAL BD FD ADVISOR CL | | 0 | 14,739 | 15,328 |
| 20 | TCW TOTAL RETURN | | 0 | 38,999 | 39,343 |
| 21 | PIMCO REAL RETURN FUND | | 0 | 18,109 | 16,675 |
| 22 | JP MORGAN STRATEGIC | | 0 | 35,276 | 35,245 |
| 23 | PIMCO INVESTMENT GRADE | | 0 | 48,204 | 45,379 |
| 24 | MFS EMERGING MARKETS | | 0 | 14,290 | 14,886 |
| 25 | LORD ABBETT INCOME | | 0 | 46,778 | 45,422 |
| 26 | MAINSTAY HIGH YIELD | | 0 | 24,522 | 24,372 |
| 27 | DOUBLELINE TOTAL RETURN | | 0 | 46,819 | 45,297 |
| 28 | BLACKROCK STRATEGIC | | 0 | 34,130 | 35,359 |
| 29 | DREYFUS EMERGING MKTS | | 0 | 15,704 | 14,747 |
| 30 | GOLDMAN SACHS STRATEGIC | | 0 | 34,665 | 35,261 |

## Part III (990-PF) - Changes in Net Assets or Fund Balances

**Line 3 - Other increases not included in Part III, Line 2**

| | | | |
|---|---|---|---|
| 1 | GAIN ON PY SALES SETTLED IN CY | 1 | 7,851 |
| 2 | Total | 2 | 7,851 |

**Line 5 - Decreases not included in Part III, Line 2**

| | | | |
|---|---|---|---|
| 1 | GAIN ON CY SALES NOT SETTLED AT YEAR END | 1 | 102 |
| 2 | REPAYMENT OF TEMPORARY CASH ADVANCE | 2 | 53,265 |
| 3 | COST BASIS ADJUSTMENT | 3 | 869 |
| 4 | Total | 4 | 54,236 |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | 0 |

| | Description of Property Sold | CUSIP # | How Acquired | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Excess of FMV Over Adjusted Basis or Losses |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | 1,610 271 | 0 | 395 | 1,482,913 | 127,753 | 0 | 0 | 0 | 127, |
| 1 | VERIZON COMMUNICATIONS I | | | 2/27/2014 | 3/3/2014 | 1,685 | | 0 | 1 705 | -20 | 0 | 0 | 0 | |
| 2 | AFLAC INC COM | 001055102 | | 4/17/2013 | 9/20/2013 | 62 | | 0 | 46 | 16 | 0 | 0 | 0 | |
| 3 | AFLAC INC COM | 001055102 | | 4/17/2013 | 10/10/2013 | 761 | | 0 | 588 | 173 | 0 | 0 | 0 | |
| 4 | AFLAC INC COM | 001055102 | | 4/17/2013 | 11/11/2013 | 1,511 | | 0 | 1 130 | 381 | 0 | 0 | 0 | |
| 5 | AFLAC INC COM | 001055102 | | 4/17/2013 | 12/9/2013 | 533 | | 0 | 394 | 139 | 0 | 0 | 0 | |
| 6 | AFLAC INC COM | 001055102 | | 4/17/2013 | 6/9/2014 | 1,136 | | 0 | 887 | 249 | 0 | 0 | 0 | |
| 7 | AFLAC INC COM | 001055102 | | 4/17/2013 | 7/24/2014 | 382 | | 0 | 296 | 86 | 0 | 0 | 0 | |
| 8 | INVESCO HIGH YIELD FUND | 00142C193 | | 7/24/2012 | 8/14/2013 | 374 | | 0 | 361 | 13 | 0 | 0 | 0 | |
| 9 | INVESCO HIGH YIELD FUND | 00142C193 | | 7/9/2012 | 8/14/2013 | 3 | | 0 | 3 | 0 | 0 | 0 | 0 | |
| 10 | INVESCO HIGH YIELD FUND | 00142C193 | | 7/9/2012 | 8/14/2013 | 12,298 | | 0 | 11,851 | 447 | 0 | 0 | 0 | |
| 11 | INVESCO HIGH YIELD FUND | 00142C193 | | 7/24/2012 | 9/9/2013 | 418 | | 0 | 408 | 10 | 0 | 0 | 0 | |
| 12 | INVESCO HIGH YIELD FUND | 00142C193 | | 7/9/2012 | 9/9/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 13 | INVESCO HIGH YIELD FUND | 00142C193 | | 7/24/2012 | 9/9/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 14 | INVESCO HIGH YIELD FUND | 00142C193 | | 11/19/2012 | 11/11/2013 | 2 | | 0 | 1 | 1 | 0 | 0 | 0 | |
| 15 | INVESCO HIGH YIELD FUND | 00142C193 | | 11/19/2012 | 11/11/2013 | 3,612 | | 0 | 3,507 | 105 | 0 | 0 | 0 | |
| 16 | INVESCO HIGH YIELD FUND | 00142C193 | | 7/24/2012 | 11/11/2013 | 970 | | 0 | 922 | 48 | 0 | 0 | 0 | |
| 17 | INVESCO HIGH YIELD FUND | 00142C193 | | 9/18/2013 | 11/11/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 18 | INVESCO HIGH YIELD FUND | 00142C193 | | 11/19/2012 | 12/9/2013 | 1,666 | | 0 | 1,610 | 56 | 0 | 0 | 0 | |
| 19 | INVESCO HIGH YIELD FUND | 00142C193 | | 11/19/2012 | 12/9/2013 | 3 | | 0 | 3 | 0 | 0 | 0 | 0 | |
| 20 | INVESCO HIGH YIELD FUND | 00142C193 | | 11/19/2012 | 12/9/2013 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | |
| 21 | INVESCO HIGH YIELD FUND | 00142C193 | | 11/19/2012 | 6/9/2014 | 4,740 | | 0 | 4,492 | 248 | 0 | 0 | 0 | |
| 22 | RIO TINTO PLC | G75754104 | | 7/19/2013 | 9/23/2013 | 299 | | 0 | 267 | 32 | 0 | 0 | 0 | |
| 23 | RIO TINTO PLC | G75754104 | | 7/19/2013 | 11/12/2013 | 1,610 | | 0 | 1,380 | 230 | 0 | 0 | 0 | |
| 24 | AMAZON COM INC COM | 023135106 | | 5/8/2012 | 9/9/2013 | 297 | | 0 | 222 | 75 | 0 | 0 | 0 | |
| 25 | AMAZON COM INC COM | 023135106 | | 5/8/2012 | 11/5/2013 | 1,781 | | 0 | 1,112 | 669 | 0 | 0 | 0 | |
| 26 | AMAZON COM INC COM | 023135106 | | 5/8/2012 | 11/11/2013 | 1,410 | | 0 | 889 | 521 | 0 | 0 | 0 | |
| 27 | AMAZON COM INC COM | 023135106 | | 11/19/2012 | 12/9/2013 | 767 | | 0 | 457 | 310 | 0 | 0 | 0 | |
| 28 | AMAZON COM INC COM | 023135106 | | 7/9/2012 | 12/9/2013 | 384 | | 0 | 224 | 160 | 0 | 0 | 0 | |
| 29 | AMAZON COM INC COM | 023135106 | | 11/19/2012 | 6/9/2014 | 1 314 | | 0 | 915 | 399 | 0 | 0 | 0 | |
| 30 | AMAZON COM INC COM | 023135106 | | 11/19/2012 | 6/16/2014 | 324 | | 0 | 229 | 95 | 0 | 0 | 0 | |
| 31 | AMERICAN INTERNATIONAL | 026874784 | | 2/5/2014 | 6/9/2014 | 2,365 | | 0 | 2 049 | 316 | 0 | 0 | 0 | |
| 32 | AMERICAN INTERNATIONAL | 026874784 | | 2/5/2014 | 7/24/2014 | 331 | | 0 | 286 | 45 | 0 | 0 | 0 | |
| 33 | ANALOG DEVICES INC COM | 032654105 | | 11/19/2012 | 11/11/2013 | 496 | | 0 | 401 | 95 | 0 | 0 | 0 | |
| 34 | ANALOG DEVICES INC COM | 032654105 | | 10/16/2012 | 11/11/2013 | 149 | | 0 | 119 | 30 | 0 | 0 | 0 | |
| 35 | ANALOG DEVICES INC COM | 032654105 | | 3/29/2012 | 11/11/2013 | 248 | | 0 | 200 | 48 | 0 | 0 | 0 | |
| 36 | RIO TINTO PLC | G75754104 | | 7/19/2013 | 12/11/2013 | 370 | | 0 | 312 | 58 | 0 | 0 | 0 | |
| 37 | RIO TINTO PLC | G75754104 | | 7/19/2013 | 1/30/2014 | 53 | | 0 | 45 | 8 | 0 | 0 | 0 | |
| 38 | RIO TINTO PLC | G75754104 | | 7/19/2013 | 3/24/2014 | 264 | | 0 | 223 | 41 | 0 | 0 | 0 | |
| 39 | RIO TINTO PLC | G75754104 | | 7/19/2013 | 6/12/2014 | 1,626 | | 0 | 1,380 | 246 | 0 | 0 | 0 | |
| 40 | ROY DUT SHELL PLC A LON | G7690A100 | | 7/19/2013 | 8/1/2013 | 627 | | 0 | 650 | -23 | 0 | 0 | 0 | |
| 41 | ROY DUT SHELL PLC A LON | G7690A100 | | 7/19/2013 | 9/23/2013 | 364 | | 0 | 377 | -13 | 0 | 0 | 0 | |
| 42 | ROY DUT SHELL PLC A LON | G7690A100 | | 7/19/2013 | 11/12/2013 | 1,456 | | 0 | 1,506 | -50 | 0 | 0 | 0 | |
| 43 | ROY DUT SHELL PLC A LON | G7690A100 | | 7/19/2013 | 11/12/2013 | 66 | | 2 | 68 | 0 | 0 | 0 | 0 | |
| 44 | ROY DUT SHELL PLC A LON | G7690A100 | | 7/19/2013 | 12/11/2013 | 508 | | 0 | 514 | -6 | 0 | 0 | 0 | |
| 45 | ROY DUT SHELL PLC A LON | G7690A100 | | 7/19/2013 | 3/24/2014 | 143 | | 0 | 137 | 6 | 0 | 0 | 0 | |
| 46 | ROY DUT SHELL PLC A LON | G7690A100 | | 7/19/2013 | 6/12/2014 | 1,987 | | 0 | 1,712 | 275 | 0 | 0 | 0 | |
| 47 | SANDS CHINA LTD COM NPV | G7800X107 | | 7/19/2013 | 8/1/2013 | 370 | | 0 | 356 | 14 | 0 | 0 | 0 | |
| 48 | SANDS CHINA LTD COM NPV | G7800X107 | | 7/19/2013 | 9/12/2013 | 2,038 | | 0 | 1,748 | 290 | 0 | 0 | 0 | |
| 49 | SANDS CHINA LTD COM NPV | G7800X107 | | 7/19/2013 | 9/23/2013 | 94 | | 0 | 78 | 16 | 0 | 0 | 0 | |
| 50 | SANDS CHINA LTD COM NPV | G7800X107 | | 7/19/2013 | 11/13/2013 | 937 | | 0 | 706 | 231 | 0 | 0 | 0 | |
| 51 | SANDS CHINA LTD COM NPV | G7800X107 | | 7/19/2013 | 12/11/2013 | 239 | | 0 | 157 | 82 | 0 | 0 | 0 | |
| 52 | SANDS CHINA LTD COM NPV | G7800X107 | | 7/19/2013 | 3/25/2014 | 279 | | 0 | 188 | 91 | 0 | 0 | 0 | |
| 53 | SANDS CHINA LTD COM NPV | G7800X107 | | 7/19/2013 | 6/12/2014 | 1,189 | | 0 | 905 | 284 | 0 | 0 | 0 | |
| 54 | ROYAL BANK OF SCOTLAND G | G7S86Z172 | | 12/12/2013 | 6/12/2014 | 648 | | 0 | 596 | 52 | 0 | 0 | 0 | |
| 55 | STANDARD CHARTERED ORD | G84228157 | | 7/19/2013 | 8/1/2013 | 280 | | 0 | 281 | -1 | 0 | 0 | 0 | |
| 56 | INVESCO HIGH YIELD FUND | 00142C193 | | 11/19/2012 | 6/9/2014 | 2 | | 0 | 2 | 0 | 0 | 0 | 0 | |
| 57 | ABBVIE INC SHS | 00287Y109 | | 9/10/2013 | 9/20/2013 | 663 | | 0 | 625 | 38 | 0 | 0 | 0 | |
| 58 | ABBVIE INC SHS | 00287Y109 | | 9/10/2013 | 11/5/2013 | 9,383 | | 0 | 8,706 | 677 | 0 | 0 | 0 | |
| 59 | AETNA INC NEW | 00817Y108 | | 4/7/2014 | 5/5/2014 | 1,220 | | 0 | 1,264 | -44 | 0 | 0 | 0 | |
| 60 | AETNA INC NEW | 00817Y108 | | 2/5/2014 | 5/5/2014 | 7 681 | | 0 | 7,357 | 324 | 0 | 0 | 0 | |
| 61 | AGILENT TECHNOLOGIES INC | 00846U101 | | 10/10/2013 | 11/11/2013 | 613 | | 0 | 614 | -1 | 0 | 0 | 0 | |
| 62 | AGILENT TECHNOLOGIES INC | 00846U101 | | 10/10/2013 | 12/9/2013 | 823 | | 0 | 768 | 55 | 0 | 0 | 0 | |
| 63 | AGILENT TECHNOLOGIES INC | 00846U101 | | 10/10/2013 | 2/5/2014 | 345 | | 0 | 307 | 38 | 0 | 0 | 0 | |
| 64 | AGILENT TECHNOLOGIES INC | 00846U101 | | 10/10/2013 | 6/9/2014 | 892 | | 0 | 768 | 124 | 0 | 0 | 0 | |
| 65 | BARCLAYS ORD GBP 0 25 | G08036124 | | 8/2/2013 | 2/11/2014 | 178 | | 0 | 189 | -11 | 0 | 0 | 0 | |
| 66 | BARCLAYS ORD GBP 0 25 | G08036124 | | 7/19/2013 | 2/11/2014 | 4,972 | | 0 | 5,125 | -153 | 0 | 0 | 0 | |
| 67 | BARCLAYS PLC SHS ISSUE | G0R8JU298 | | 7/19/2013 | 9/25/2013 | 520 | | 0 | 550 | -30 | 0 | 0 | 0 | |
| 68 | BG GROUP | G1245Z108 | | 7/19/2013 | 8/1/2013 | 328 | | 0 | 331 | -3 | 0 | 0 | 0 | |
| 69 | BG GROUP | G1245Z108 | | 7/19/2013 | 9/23/2013 | 193 | | 0 | 184 | 9 | 0 | 0 | 0 | |
| 70 | BG GROUP | G1245Z108 | | 7/19/2013 | 11/12/2013 | 987 | | 0 | 901 | 86 | 0 | 0 | 0 | |
| 71 | BG GROUP | G1245Z108 | | 7/19/2013 | 12/11/2013 | 282 | | 0 | 257 | 25 | 0 | 0 | 0 | |
| 72 | BG GROUP | G1245Z108 | | 7/19/2013 | 1/30/2014 | 34 | | 0 | 37 | -3 | 0 | 0 | 0 | |
| 73 | BG GROUP | G1245Z108 | | 7/19/2013 | 6/12/2014 | 1 008 | | 0 | 901 | 107 | 0 | 0 | 0 | |
| 74 | EATON CORP PLC | G29183103 | | 12/4/2012 | 9/9/2013 | 265 | | 0 | 206 | 59 | 0 | 0 | 0 | |
| 75 | EATON CORP PLC | G29183103 | | 12/4/2012 | 9/20/2013 | 141 | | 0 | 103 | 38 | 0 | 0 | 0 | |
| 76 | EATON CORP PLC | G29183103 | | 12/4/2012 | 11/11/2013 | 1,559 | | 0 | 1,129 | 430 | 0 | 0 | 0 | |
| 77 | EATON CORP PLC | G29183103 | | 12/4/2012 | 11/11/2013 | 1,205 | | 0 | 873 | 332 | 0 | 0 | 0 | |
| 78 | EATON CORP PLC | G29183103 | | 12/4/2012 | 12/9/2013 | 652 | | 0 | 462 | 190 | 0 | 0 | 0 | |
| 79 | EATON CORP PLC | G29183103 | | 12/4/2012 | 12/9/2013 | 507 | | 0 | 359 | 148 | 0 | 0 | 0 | |
| 80 | EATON CORP PLC | G29183103 | | 12/4/2012 | 6/9/2014 | 1,741 | | 0 | 1,181 | 560 | 0 | 0 | 0 | |
| 81 | EATON CORP PLC | G29183103 | | 12/4/2012 | 6/9/2014 | 1,514 | | 0 | 1,027 | 487 | 0 | 0 | 0 | |
| 82 | EATON CORP PLC | G29183103 | | 12/4/2012 | 7/24/2014 | 3 427 | | 0 | 2 259 | 1,168 | 0 | 0 | 0 | |
| 83 | ENSCO PLC SHS CL A | G3157S106 | | 5/5/2014 | 6/9/2014 | 1,513 | | 0 | 1,473 | 40 | 0 | 0 | 0 | |
| 84 | GLOBAL BRANDS GROUP HLDG | G39338101 | | 7/8/2014 | 7/23/2014 | 433 | | 0 | 0 | 433 | 0 | 0 | 0 | |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | 0 |

| | Description of Property Sold | CUSIP # | How Acquired | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Excess of FMV Over Adjusted Basis or Losses |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | 1,610,271 | 0 | 395 | 1,482,913 | 127,753 | 0 | 0 | 0 | 127, |
| 85 | HSBC HOLDINGS PLC | G4634U169 | | 7/19/2013 | 8/1/2013 | 445 | | 0 | 440 | 5 | 0 | 0 | 0 | |
| 86 | HSBC HOLDINGS PLC | G4634U169 | | 7/19/2013 | 9/23/2013 | 66 | | 0 | 68 | -2 | 0 | 0 | 0 | |
| 87 | HSBC HOLDINGS PLC | G4634U169 | | 7/19/2013 | 11/12/2013 | 1,119 | | 20 | 1,139 | 0 | 0 | 0 | 0 | |
| 88 | HSBC HOLDINGS PLC | G4634U169 | | 7/19/2013 | 11/12/2013 | 100 | | 2 | 101 | 1 | 0 | 0 | 0 | |
| 89 | HSBC HOLDINGS PLC | G4634U169 | | 7/19/2013 | 12/11/2013 | 181 | | 11 | 192 | 0 | 0 | 0 | 0 | |
| 90 | HSBC HOLDINGS PLC | G4634U169 | | 7/19/2013 | 12/11/2013 | 43 | | 0 | 45 | -2 | 0 | 0 | 0 | |
| 91 | HSBC HOLDINGS PLC | G4634U169 | | 7/19/2013 | 6/12/2014 | 1 350 | | 0 | 1,454 | -104 | 0 | 0 | 0 | |
| 92 | LI AND FUNG LTD SHS | G5485F169 | | 7/19/2013 | 8/1/2013 | 176 | | 0 | 188 | -12 | 0 | 0 | 0 | |
| 93 | LI AND FUNG LTD SHS | G5485F169 | | 7/19/2013 | 1/30/2014 | 22 | | 0 | 23 | -1 | 0 | 0 | 0 | |
| 94 | LI AND FUNG LTD SHS | G5485F169 | | 7/19/2013 | 3/25/2014 | 877 | | 0 | 802 | 75 | 0 | 0 | 0 | |
| 95 | LI AND FUNG LTD SHS | G5485F169 | | 7/19/2013 | 9/23/2013 | 26 | | 0 | 24 | 2 | 0 | 0 | 0 | |
| 96 | LI AND FUNG LTD SHS | G5485F169 | | 7/19/2013 | 6/12/2014 | 668 | | 0 | 666 | 2 | 0 | 0 | 0 | |
| 97 | LI AND FUNG LTD SHS | G5485F169 | | 7/19/2013 | 11/13/2013 | 67 | | 4 | 71 | 0 | 0 | 0 | 0 | |
| 98 | BARCLAYS ORD GBP 0 25 | G08036124 | | 7/19/2013 | 12/11/2013 | 206 | | 0 | 215 | -9 | 0 | 0 | 0 | |
| 99 | LI AND FUNG LTD SHS | G5485F169 | | 7/19/2013 | 11/13/2013 | 660 | | 0 | 698 | -38 | 0 | 0 | 0 | |
| 100 | LI AND FUNG LTD SHS | G5485F169 | | 7/19/2013 | 12/11/2013 | 164 | | 0 | 179 | -15 | 0 | 0 | 0 | |
| 101 | BARCLAYS ORD GBP 0 25 | G08036124 | | 7/19/2013 | 1/30/2014 | 27 | | 0 | 27 | 0 | 0 | 0 | 0 | |
| 102 | NOBLE CORP PLC SHS | G65431101 | | 5/5/2014 | 6/9/2014 | 1,391 | | 0 | 1,368 | 23 | 0 | 0 | 0 | |
| 103 | RIO TINTO PLC | G75754104 | | 7/19/2013 | 8/1/2013 | 546 | | 0 | 534 | 12 | 0 | 0 | 0 | |
| 104 | AUTOZONE INC NEVADA COM | 053332102 | | 3/5/2012 | 1/2/2014 | 474 | | 0 | 377 | 97 | 0 | 0 | 0 | |
| 105 | BIOGEN IDEC INC | 09062X103 | | 7/12/2013 | 9/9/2013 | 452 | | 0 | 443 | 9 | 0 | 0 | 0 | |
| 106 | BIOGEN IDEC INC | 09062X103 | | 7/12/2013 | 9/20/2013 | 1 241 | | 0 | 1,106 | 135 | 0 | 0 | 0 | |
| 107 | BIOGEN IDEC INC | 09062X103 | | 7/12/2013 | 11/11/2013 | 936 | | 0 | 885 | 51 | 0 | 0 | 0 | |
| 108 | BIOGEN IDEC INC | 09062X103 | | 7/12/2013 | 12/9/2013 | 2 869 | | 0 | 2,213 | 656 | 0 | 0 | 0 | |
| 109 | BIOGEN IDEC INC | 09062X103 | | 7/12/2013 | 2/5/2014 | 911 | | 0 | 664 | 247 | 0 | 0 | 0 | |
| 110 | BIOGEN IDEC INC | 09062X103 | | 11/5/2013 | 5/5/2014 | 287 | | 0 | 240 | 47 | 0 | 0 | 0 | |
| 111 | BIOGEN IDEC INC | 09062X103 | | 7/12/2013 | 5/5/2014 | 9,485 | | 0 | 7,303 | 2,182 | 0 | 0 | 0 | 2 |
| 112 | BLACKROCK GLOBAL LONG | 091936732 | | 8/14/2013 | 9/9/2013 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | |
| 113 | BLACKROCK GLOBAL LONG | 091936732 | | 8/14/2013 | 9/9/2013 | 542 | | 0 | 543 | -1 | 0 | 0 | 0 | |
| 114 | BLACKROCK GLOBAL LONG | 091936732 | | 8/14/2013 | 9/9/2013 | 2 | | 0 | 2 | 0 | 0 | 0 | 0 | |
| 115 | BLACKROCK GLOBAL LONG | 091936732 | | 8/14/2013 | 9/9/2013 | 181 | | 0 | 181 | 0 | 0 | 0 | 0 | |
| 116 | BLACKROCK GLOBAL LONG | 091936732 | | 8/14/2013 | 9/9/2013 | 11 | | 0 | 11 | 0 | 0 | 0 | 0 | |
| 117 | BLACKROCK GLOBAL LONG | 091936732 | | 8/14/2013 | 11/11/2013 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | |
| 118 | BLACKROCK GLOBAL LONG | 091936732 | | 9/18/2013 | 11/11/2013 | 4 | | 0 | 4 | 0 | 0 | 0 | 0 | |
| 119 | NESTLE SA CHAM UND VEVE | H57312649 | | 7/19/2013 | 11/12/2013 | 1,300 | | 0 | 1,207 | 93 | 0 | 0 | 0 | |
| 120 | NESTLE SA CHAM UND VEVE | H57312649 | | 7/19/2013 | 12/11/2013 | 292 | | 0 | 268 | 24 | 0 | 0 | 0 | |
| 121 | NESTLE SA CHAM UND VEVE | H57312649 | | 7/19/2013 | 3/24/2014 | 292 | | 0 | 268 | 24 | 0 | 0 | 0 | |
| 122 | NESTLE SA CHAM UND VEVE | H57312649 | | 7/19/2013 | 6/12/2014 | 1 549 | | 0 | 1,341 | 208 | 0 | 0 | 0 | |
| 123 | NOVARTIS AG REG CHF0 5 | H5820Q150 | | 10/9/2013 | 11/12/2013 | 703 | | 0 | 661 | 42 | 0 | 0 | 0 | |
| 124 | NOVARTIS AG REG CHF0 5 | H5820Q150 | | 10/9/2013 | 12/11/2013 | 155 | | 0 | 147 | 8 | 0 | 0 | 0 | |
| 125 | NOVARTIS AG REG CHF0 5 | H5820Q150 | | 10/9/2013 | 6/12/2014 | 1,702 | | 0 | 1 396 | 306 | 0 | 0 | 0 | |
| 126 | ROCHE HOLDINGS GENUSH FN | H69293217 | | 7/19/2013 | 9/23/2013 | 1,061 | | 0 | 998 | 63 | 0 | 0 | 0 | |
| 127 | ROCHE HOLDINGS GENUSH FN | H69293217 | | 7/19/2013 | 11/12/2013 | 1,926 | | 0 | 1,747 | 179 | 0 | 0 | 0 | |
| 128 | ROCHE HOLDINGS GENUSH FN | H69293217 | | 7/19/2013 | 12/11/2013 | 534 | | 0 | 499 | 35 | 0 | 0 | 0 | |
| 129 | ROCHE HOLDINGS GENUSH FN | H69293217 | | 7/19/2013 | 2/11/2014 | 5,424 | | 0 | 4,742 | 682 | 0 | 0 | 0 | |
| 130 | ROCHE HOLDINGS GENUSH FN | H69293217 | | 7/19/2013 | 6/12/2014 | 1,197 | | 0 | 998 | 199 | 0 | 0 | 0 | |
| 131 | SONOVA HOLDING AG | H8024W106 | | 7/19/2013 | 9/23/2013 | 247 | | 0 | 224 | 23 | 0 | 0 | 0 | |
| 132 | SONOVA HOLDING AG | H8024W106 | | 7/19/2013 | 11/12/2013 | 386 | | 0 | 335 | 51 | 0 | 0 | 0 | |
| 133 | SONOVA HOLDING AG | H8024W106 | | 7/19/2013 | 6/12/2014 | 462 | | 0 | 335 | 127 | 0 | 0 | 0 | |
| 134 | CANON INC 7751 | J05124144 | | 7/19/2013 | 8/1/2013 | 369 | | 42 | 411 | 0 | 0 | 0 | 0 | |
| 135 | CANON INC 7751 | J05124144 | | 7/19/2013 | 9/24/2013 | 159 | | 0 | 171 | -12 | 0 | 0 | 0 | |
| 136 | CANON INC 7751 | J05124144 | | 7/19/2013 | 11/13/2013 | 686 | | 0 | 754 | -68 | 0 | 0 | 0 | |
| 137 | CANON INC 7751 | J05124144 | | 7/19/2013 | 11/13/2013 | 94 | | 9 | 103 | 0 | 0 | 0 | 0 | |
| 138 | CANON INC 7751 | J05124144 | | 7/19/2013 | 12/11/2013 | 190 | | 0 | 206 | -16 | 0 | 0 | 0 | |
| 139 | T ROWE PRICE US TREASURY | 77957T107 | | 9/22/2011 | 8/14/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 140 | T ROWE PRICE US TREASURY | 77957T107 | | 8/3/2011 | 8/14/2013 | 6 | | 0 | 6 | 0 | 0 | 0 | 0 | |
| 141 | T ROWE PRICE US TREASURY | 77957T107 | | 5/9/2011 | 8/14/2013 | 4 | | 0 | 4 | 0 | 0 | 0 | 0 | |
| 142 | T ROWE PRICE US TREASURY | 77957T107 | | 9/22/2011 | 9/9/2013 | 2 | | 0 | 2 | 0 | 0 | 0 | 0 | |
| 143 | T ROWE PRICE US TREASURY | 77957T107 | | 3/29/2012 | 9/9/2013 | 597 | | 33 | 630 | 0 | 0 | 0 | 0 | |
| 144 | T ROWE PRICE US TREASURY | 77957T107 | | 9/22/2011 | 9/9/2013 | 234 | | 19 | 253 | 0 | 0 | 0 | 0 | |
| 145 | DOUBLELINE TOTAL RETURN | 258620103 | | 9/18/2013 | 11/12/2013 | 2 | | 0 | 2 | 0 | 0 | 0 | 0 | |
| 146 | T ROWE PRICE US TREASURY | 77957T107 | | 9/22/2011 | 9/9/2013 | 6 | | 0 | 6 | 0 | 0 | 0 | 0 | |
| 147 | DOUBLELINE TOTAL RETURN | 258620103 | | 11/19/2012 | 11/12/2013 | 1,604 | | 0 | 1,674 | -70 | 0 | 0 | 0 | |
| 148 | DOUBLELINE TOTAL RETURN | 258620103 | | 6/7/2012 | 11/12/2013 | 164 | | 0 | 167 | -3 | 0 | 0 | 0 | |
| 149 | DOUBLELINE TOTAL RETURN | 258620103 | | 3/29/2012 | 11/12/2013 | 251 | | 0 | 258 | -7 | 0 | 0 | 0 | |
| 150 | DOUBLELINE TOTAL RETURN | 258620103 | | 11/19/2012 | 11/12/2013 | 8 | | 0 | 4 | 4 | 0 | 0 | 0 | |
| 151 | DOUBLELINE TOTAL RETURN | 258620103 | | 2/19/2012 | 11/12/2013 | 589 | | 0 | 610 | -21 | 0 | 0 | 0 | |
| 152 | DOUBLELINE TOTAL RETURN | 258620103 | | 4/7/2012 | 11/12/2013 | 218 | | 0 | 227 | -9 | 0 | 0 | 0 | |
| 153 | DOUBLELINE TOTAL RETURN | 258620103 | | 7/9/2012 | 11/12/2013 | 546 | | 0 | 559 | -13 | 0 | 0 | 0 | |
| 154 | DOUBLELINE TOTAL RETURN | 258620103 | | 11/19/2012 | 12/9/2013 | 2,557 | | 0 | 2 677 | -120 | 0 | 0 | 0 | |
| 155 | DOUBLELINE TOTAL RETURN | 258620103 | | 11/19/2012 | 12/9/2013 | 2 | | 0 | 2 | 0 | 0 | 0 | 0 | |
| 156 | DOUBLELINE TOTAL RETURN | 258620103 | | 11/19/2012 | 6/9/2014 | 8,162 | | 0 | 8,475 | -313 | 0 | 0 | 0 | |
| 157 | DOUBLELINE TOTAL RETURN | 258620103 | | 11/19/2012 | 6/9/2014 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 158 | DOUBLELINE TOTAL RETURN | 258620103 | | 11/19/2012 | 6/9/2014 | 5 | | 0 | 5 | 0 | 0 | 0 | 0 | |
| 159 | DREYFUS EMERGING MKTS | 261980494 | | 12/11/2012 | 8/14/2013 | 16,105 | | 0 | 17,660 | -1,555 | 0 | 0 | 0 | -1, |
| 160 | DREYFUS EMERGING MKTS | 261980494 | | 4/1/2013 | 8/14/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 161 | DREYFUS EMERGING MKTS | 261980494 | | 12/11/2012 | 8/14/2013 | 8 | | 0 | 9 | -1 | 0 | 0 | 0 | |
| 162 | DREYFUS EMERGING MKTS | 261980494 | | 12/11/2012 | 9/9/2013 | 5 | | 0 | 5 | 0 | 0 | 0 | 0 | |
| 163 | DREYFUS EMERGING MKTS | 261980494 | | 12/11/2012 | 9/9/2013 | 575 | | 0 | 644 | -69 | 0 | 0 | 0 | |
| 164 | DREYFUS EMERGING MKTS | 261980494 | | 12/11/2012 | 9/18/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 165 | DOUBLELINE TOTAL RETURN | 258620103 | | 7/9/2012 | 11/12/2013 | 11 | | 0 | 11 | 0 | 0 | 0 | 0 | |
| 166 | DOUBLELINE TOTAL RETURN | 258620103 | | 5/8/2012 | 11/12/2013 | 11 | | 0 | 11 | 0 | 0 | 0 | 0 | |
| 167 | DREYFUS EMERGING MKTS | 261980494 | | 12/11/2012 | 9/18/2013 | 19,618 | | 0 | 21,002 | -1,384 | 0 | 0 | 0 | -1, |
| 168 | DREYFUS EMERGING MKTS | 261980494 | | 12/11/2012 | 11/11/2013 | 2,255 | | 0 | 2,483 | -228 | 0 | 0 | 0 | |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | 0 |

| | Description of Property Sold | CUSIP # | How Acquired | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Excess of FMV Over Adjusted Basis or Losses |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | 1,610,271 | 0 | 395 | 1,482,913 | 127,753 | 0 | 0 | 0 | 127, |
| 169 | DREYFUS EMERGING MKTS | 261980494 | | 12/11/2012 | 11/11/2013 | 10 | | 0 | 10 | 0 | 0 | 0 | 0 | |
| 170 | DREYFUS EMERGING MKTS | 261980494 | | 12/11/2012 | 11/11/2013 | 0 | | 0 | 1 | -1 | 0 | 0 | 0 | |
| 171 | DREYFUS EMERGING MKTS | 261980494 | | 12/11/2012 | 12/9/2013 | 4 | | 0 | 4 | 0 | 0 | 0 | 0 | |
| 172 | CANON INC 7751 | J05124144 | | 7/19/2013 | 1/30/2014 | 29 | | 0 | 34 | -5 | 0 | 0 | 0 | |
| 173 | CANON INC 7751 | J05124144 | | 8/1/2013 | 3/11/2014 | 360 | | 0 | 429 | -69 | 0 | 0 | 0 | |
| 174 | CANON INC 7751 | J05124144 | | 8/1/2013 | 3/11/2014 | 90 | | 0 | 109 | -19 | 0 | 0 | 0 | |
| 175 | CANON INC 7751 | J05124144 | | 8/4/2013 | 3/11/2014 | 60 | | 0 | 71 | -11 | 0 | 0 | 0 | |
| 176 | CANON INC 7751 | J05124144 | | 8/14/2013 | 3/11/2014 | 150 | | 0 | 161 | -11 | 0 | 0 | 0 | |
| 177 | CANON INC 7751 | J05124144 | | 7/19/2013 | 3/11/2014 | 3,599 | | 0 | 4,111 | -512 | 0 | 0 | 0 | |
| 178 | GLORY LTD | J17304130 | | 7/19/2013 | 8/1/2013 | 257 | | 0 | 274 | -17 | 0 | 0 | 0 | |
| 179 | GLORY LTD | J17304130 | | 7/19/2013 | 9/24/2013 | 280 | | 0 | 274 | 6 | 0 | 0 | 0 | |
| 180 | GLORY LTD | J17304130 | | 7/19/2013 | 11/13/2013 | 402 | | 0 | 398 | 4 | 0 | 0 | 0 | |
| 181 | GLORY LTD | J17304130 | | 7/19/2013 | 12/11/2013 | 159 | | 0 | 149 | 10 | 0 | 0 | 0 | |
| 182 | GLORY LTD | J17304130 | | 7/19/2013 | 2/12/2014 | 3,043 | | 0 | 3,036 | 7 | 0 | 0 | 0 | |
| 183 | GLORY LTD | J17304130 | | 11/26/2013 | 2/12/2014 | 75 | | 0 | 81 | -6 | 0 | 0 | 0 | |
| 184 | HONDA MOTORS LTD 7267 | J22302111 | | 7/19/2013 | 8/1/2013 | 502 | | 0 | 546 | -44 | 0 | 0 | 0 | |
| 185 | HONDA MOTORS LTD 7267 | J22302111 | | 7/19/2013 | 9/24/2013 | 193 | | 0 | 195 | -2 | 0 | 0 | 0 | |
| 186 | HONDA MOTORS LTD 7267 | J22302111 | | 7/19/2013 | 11/13/2013 | 1,116 | | 0 | 1,091 | 25 | 0 | 0 | 0 | |
| 187 | HONDA MOTORS LTD 7267 | J22302111 | | 7/19/2013 | 12/11/2013 | 243 | | 0 | 234 | 9 | 0 | 0 | 0 | |
| 188 | HONDA MOTORS LTD 7267 | J22302111 | | 7/19/2013 | 1/30/2014 | 152 | | 0 | 156 | -4 | 0 | 0 | 0 | |
| 189 | HONDA MOTORS LTD 7267 | J22302111 | | 7/19/2013 | 6/12/2014 | 1,112 | | 0 | 1,247 | -135 | 0 | 0 | 0 | |
| 190 | JAPAN TOBACCO INC 2914 | J27869106 | | 7/19/2013 | 8/1/2013 | 277 | | 0 | 292 | -15 | 0 | 0 | 0 | |
| 191 | BLACKROCK STRATEGIC | 09256H286 | | 8/14/2013 | 9/9/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 192 | NESTLE SA CHAM UND VEVE | H57312649 | | 7/19/2013 | 9/23/2013 | 841 | | 0 | 804 | 37 | 0 | 0 | 0 | |
| 193 | BLACKROCK GLOBAL LONG | 091936732 | | 8/14/2013 | 12/9/2013 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | |
| 194 | BLACKROCK GLOBAL LONG | 091936732 | | 8/14/2013 | 6/9/2014 | 6 | | 0 | 5 | 1 | 0 | 0 | 0 | |
| 195 | BLACKROCK GLOBAL LONG | 091936732 | | 8/14/2013 | 6/9/2014 | 6,792 | | 0 | 6,582 | 210 | 0 | 0 | 0 | |
| 196 | BLACKROCK STRATEGIC | 09256H286 | | 9/18/2013 | 11/11/2013 | 8 | | 0 | 8 | 0 | 0 | 0 | 0 | |
| 197 | BLACKROCK INC | 09247X101 | | 7/10/2012 | 10/10/2013 | 277 | | 0 | 174 | 103 | 0 | 0 | 0 | |
| 198 | BLACKROCK STRATEGIC | 09256H286 | | 8/14/2013 | 11/11/2013 | 5,988 | | 0 | 5,934 | 54 | 0 | 0 | 0 | |
| 199 | BLACKROCK INC | 09247X101 | | 7/10/2012 | 11/11/2013 | 2,121 | | 0 | 1,217 | 904 | 0 | 0 | 0 | |
| 200 | BLACKROCK INC | 09247X101 | | 7/10/2012 | 12/9/2013 | 304 | | 0 | 174 | 130 | 0 | 0 | 0 | |
| 201 | BLACKROCK STRATEGIC | 09256H286 | | 8/14/2013 | 12/9/2013 | 2,277 | | 0 | 2,248 | 29 | 0 | 0 | 0 | |
| 202 | BLACKROCK INC | 09247X101 | | 7/10/2012 | 6/9/2014 | 1,257 | | 0 | 695 | 562 | 0 | 0 | 0 | |
| 203 | BLACKROCK INC | 09247X101 | | 7/10/2012 | 7/24/2014 | 317 | | 0 | 174 | 143 | 0 | 0 | 0 | |
| 204 | BLACKROCK INC | 09247X101 | | 11/19/2012 | 7/24/2014 | 317 | | 0 | 190 | 127 | 0 | 0 | 0 | |
| 205 | BLACKROCK STRATEGIC | 09256H286 | | 8/14/2013 | 9/9/2013 | 7 | | 0 | 7 | 0 | 0 | 0 | 0 | |
| 206 | BLACKROCK STRATEGIC | 09256H286 | | 9/18/2013 | 12/9/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 207 | BLACKROCK STRATEGIC | 09256H286 | | 8/14/2013 | 9/9/2013 | 120 | | 0 | 120 | 0 | 0 | 0 | 0 | |
| 208 | BLACKROCK STRATEGIC | 09256H286 | | 8/14/2013 | 12/9/2013 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | |
| 209 | BLACKROCK STRATEGIC | 09256H286 | | 8/14/2013 | 9/9/2013 | 598 | | 0 | 599 | -1 | 0 | 0 | 0 | |
| 210 | BLACKROCK STRATEGIC | 09256H286 | | 8/14/2013 | 6/9/2014 | 6 | | 0 | 6 | 0 | 0 | 0 | 0 | |
| 211 | BLACKROCK STRATEGIC | 09256H286 | | 8/14/2013 | 6/9/2014 | 6,969 | | 0 | 6,713 | 256 | 0 | 0 | 0 | |
| 212 | CSX CORP | 126408103 | | 11/19/2012 | 9/20/2013 | 563 | | 0 | 413 | 150 | 0 | 0 | 0 | |
| 213 | CSX CORP | 126408103 | | 11/19/2012 | 11/11/2013 | 1,532 | | 0 | 1,122 | 410 | 0 | 0 | 0 | |
| 214 | CSX CORP | 126408103 | | 11/19/2012 | 12/9/2013 | 727 | | 0 | 512 | 215 | 0 | 0 | 0 | |
| 215 | CSX CORP | 126408103 | | 11/19/2012 | 6/9/2014 | 2,406 | | 0 | 1,556 | 850 | 0 | 0 | 0 | |
| 216 | CSX CORP | 126408103 | | 11/19/2012 | 7/24/2014 | 3,463 | | 0 | 2,186 | 1,277 | 0 | 0 | 0 | |
| 217 | BLACKROCK GLOBAL LONG | 091936732 | | 8/14/2013 | 11/11/2013 | 5,971 | | 0 | 5,921 | 50 | 0 | 0 | 0 | |
| 218 | BLACKROCK GLOBAL LONG | 091936732 | | 8/14/2013 | 12/9/2013 | 2,270 | | 0 | 2,247 | 23 | 0 | 0 | 0 | |
| 219 | CANADIAN UTILITIES CL A | 136717832 | | 3/20/2014 | 6/11/2014 | 218 | | 0 | 217 | 1 | 0 | 0 | 0 | |
| 220 | CAPITAL ONE FINL | 14040H105 | | 9/6/2012 | 9/9/2013 | 262 | | 0 | 228 | 34 | 0 | 0 | 0 | |
| 221 | CAPITAL ONE FINL | 14040H105 | | 9/6/2012 | 11/11/2013 | 1,116 | | 0 | 911 | 205 | 0 | 0 | 0 | |
| 222 | CAPITAL ONE FINL | 14040H105 | | 9/6/2012 | 12/9/2013 | 731 | | 0 | 570 | 161 | 0 | 0 | 0 | |
| 223 | CAPITAL ONE FINL | 14040H105 | | 9/6/2012 | 6/9/2014 | 82 | | 0 | 57 | 25 | 0 | 0 | 0 | |
| 224 | CAPITAL ONE FINL | 14040H105 | | 11/19/2012 | 6/9/2014 | 2,302 | | 0 | 1,621 | 681 | 0 | 0 | 0 | |
| 225 | CELGENE CORP COM | 151020104 | | 1/28/2014 | 4/7/2014 | 7,513 | | 0 | 8,815 | -1,302 | 0 | 0 | 0 | |
| 226 | CELGENE CORP COM | 151020104 | | 2/5/2014 | 4/7/2014 | 1,503 | | 0 | 1,671 | -168 | 0 | 0 | 0 | |
| 227 | CENOVUS ENERGY INC | 15135U109 | | 7/18/2013 | 9/20/2013 | 60 | | 0 | 63 | -3 | 0 | 0 | 0 | |
| 228 | OCCIDENTAL PETE CORP CAL | 674599105 | | 5/7/2012 | 9/20/2013 | 276 | | 0 | 263 | 13 | 0 | 0 | 0 | |
| 229 | OCCIDENTAL PETE CORP CAL | 674599105 | | 5/7/2012 | 10/10/2013 | 1,042 | | 0 | 966 | 76 | 0 | 0 | 0 | |
| 230 | OCCIDENTAL PETE CORP CAL | 674599105 | | 5/7/2012 | 11/11/2013 | 1 257 | | 0 | 1,141 | 116 | 0 | 0 | 0 | |
| 231 | OCCIDENTAL PETE CORP CAL | 674599105 | | 11/19/2012 | 6/9/2014 | 1,004 | | 0 | 751 | 253 | 0 | 0 | 0 | |
| 232 | OCCIDENTAL PETE CORP CAL | 674599105 | | 5/28/2012 | 6/9/2014 | 201 | | 0 | 168 | 33 | 0 | 0 | 0 | |
| 233 | OCCIDENTAL PETE CORP CAL | 674599105 | | 5/7/2012 | 6/9/2014 | 1,004 | | 0 | 878 | 126 | 0 | 0 | 0 | |
| 234 | OCCIDENTAL PETE CORP CAL | 674599105 | | 5/7/2012 | 6/9/2014 | 100 | | 0 | 88 | 12 | 0 | 0 | 0 | |
| 235 | OCCIDENTAL PETE CORP CAL | 674599105 | | 11/19/2012 | 7/24/2014 | 405 | | 0 | 300 | 105 | 0 | 0 | 0 | |
| 236 | PACCAR INC | 693718108 | | 1/28/2013 | 9/20/2013 | 233 | | 0 | 194 | 39 | 0 | 0 | 0 | |
| 237 | PACCAR INC | 693718108 | | 4/17/2013 | 10/10/2013 | 388 | | 0 | 333 | 55 | 0 | 0 | 0 | |
| 238 | PACCAR INC | 693718108 | | 1/28/2013 | 10/10/2013 | 11,193 | | 0 | 9,808 | 1,385 | 0 | 0 | 0 | |
| 239 | PETRLEO BRAS VTG SPD ADR | 71654V408 | | 12/11/2013 | 6/10/2014 | 426 | | 0 | 379 | 47 | 0 | 0 | 0 | |
| 240 | PETRLEO BRAS VTG SPD ADR | 71654V408 | | 12/11/2013 | 6/11/2014 | 16 | | 0 | 14 | 2 | 0 | 0 | 0 | |
| 241 | PETRLEO BRAS VTG SPD ADR | 71654V408 | | 12/11/2013 | 7/31/2014 | 670 | | 0 | 568 | 102 | 0 | 0 | 0 | |
| 242 | PETSMART INC | 716768106 | | 2/5/2013 | 9/9/2013 | 350 | | 0 | 322 | 28 | 0 | 0 | 0 | |
| 243 | PETSMART INC | 716768106 | | 2/5/2013 | 11/5/2013 | 11 351 | | 0 | 10,182 | 1,169 | 0 | 0 | 0 | |
| 244 | PETSMART INC | 716768106 | | 4/29/2013 | 11/5/2013 | 216 | | 0 | 201 | 15 | 0 | 0 | 0 | |
| 245 | PETSMART INC | 716768106 | | 5/20/2013 | 11/5/2013 | 287 | | 0 | 279 | 8 | 0 | 0 | 0 | |
| 246 | JAPAN TOBACCO INC 2914 | J27869106 | | 7/19/2013 | 11/13/2013 | 1,071 | | 0 | 1,132 | -61 | 0 | 0 | 0 | |
| 247 | JAPAN TOBACCO INC 2914 | J27869106 | | 7/19/2013 | 11/13/2013 | 35 | | 2 | 37 | 0 | 0 | 0 | 0 | |
| 248 | JAPAN TOBACCO INC 2914 | J27869106 | | 7/19/2013 | 12/11/2013 | 170 | | 0 | 183 | -13 | 0 | 0 | 0 | |
| 249 | JAPAN TOBACCO INC 2914 | J27869106 | | 7/19/2013 | 1/30/2014 | 145 | | 0 | 183 | -38 | 0 | 0 | 0 | |
| 250 | JAPAN TOBACCO INC 2914 | J27869106 | | 7/19/2013 | 2/12/2014 | 1,723 | | 0 | 1,935 | -212 | 0 | 0 | 0 | |
| 251 | JAPAN TOBACCO INC 2914 | J27869106 | | 7/19/2013 | 6/12/2014 | 986 | | 0 | 1,022 | -36 | 0 | 0 | 0 | |
| 252 | JSR CORPORATION 4185 | J2856K106 | | 2/12/2014 | 6/12/2014 | 776 | | 0 | 838 | -62 | 0 | 0 | 0 | |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | 0 |

| | Description of Property Sold | CUSIP # | How Acquired | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Exces of FMV Over Adjust Basis or Losses |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | 1,610,271 | 0 | 395 | 1,482,913 | 127,753 | 0 | 0 | 0 | 127, |
| 253 | MIRACA HOLDINGS INC | J4352B101 | | 7/19/2013 | 8/1/2013 | 434 | | 0 | 440 | -6 | 0 | | 0 | |
| 254 | MIRACA HOLDINGS INC | J4352B101 | | 7/19/2013 | 10/9/2013 | 3,712 | | 0 | 4,158 | -446 | 0 | | 0 | |
| 255 | MITSUBISHI CRP 8058 FN | J43830116 | | 7/19/2013 | 8/1/2013 | 145 | | 0 | 151 | -6 | 0 | | 0 | |
| 256 | MITSUBISHI CRP 8058 FN | J43830116 | | 7/19/2013 | 9/24/2013 | 62 | | 0 | 56 | 6 | 0 | | 0 | |
| 257 | MITSUBISHI CRP 8058 FN | J43830116 | | 7/19/2013 | 11/13/2013 | 376 | | 0 | 358 | 18 | 0 | | 0 | |
| 258 | MITSUBISHI CRP 8058 FN | J43830116 | | 7/19/2013 | 12/11/2013 | 77 | | 0 | 75 | 2 | 0 | | 0 | |
| 259 | MITSUBISHI CRP 8058 FN | J43830116 | | 7/19/2013 | 1/30/2014 | 18 | | 0 | 19 | -1 | 0 | | 0 | |
| 260 | MITSUBISHI CRP 8058 FN | J43830116 | | 7/19/2013 | 6/12/2014 | 380 | | 0 | 358 | 22 | 0 | | 0 | |
| 261 | SANTEN PHARMACEUTCL 4536 | J68467109 | | 7/19/2013 | 8/1/2013 | 307 | | 0 | 339 | -32 | 0 | | 0 | |
| 262 | SANTEN PHARMACEUTCL 4536 | J68467109 | | 7/19/2013 | 9/24/2013 | 145 | | 0 | 145 | 0 | 0 | | 0 | |
| 263 | SANTEN PHARMACEUTCL 4536 | J68467109 | | 7/19/2013 | 11/13/2013 | 797 | | 26 | 823 | 0 | 0 | | 0 | |
| 264 | SANTEN PHARMACEUTCL 4536 | J68467109 | | 7/19/2013 | 11/13/2013 | 47 | | 2 | 48 | 1 | 0 | | 0 | |
| 265 | SANTEN PHARMACEUTCL 4536 | J68467109 | | 7/19/2013 | 12/11/2013 | 141 | | 4 | 145 | 0 | 0 | | 0 | |
| 266 | SANTEN PHARMACEUTCL 4536 | J68467109 | | 7/19/2013 | 12/11/2013 | 47 | | 0 | 48 | -1 | 0 | | 0 | |
| 267 | SANTEN PHARMACEUTCL 4536 | J68467109 | | 7/19/2013 | 1/30/2014 | 42 | | 0 | 48 | -6 | 0 | | 0 | |
| 268 | SANTEN PHARMACEUTCL 4536 | J68467109 | | 7/19/2013 | 6/12/2014 | 1,193 | | 0 | 1,065 | 128 | 0 | | 0 | |
| 269 | SONY FINL HOLDINGS INC | J76337104 | | 7/19/2013 | 8/1/2013 | 196 | | 0 | 212 | -16 | 0 | | 0 | |
| 270 | SONY FINL HOLDINGS INC | J76337104 | | 7/19/2013 | 9/24/2013 | 73 | | 0 | 71 | 2 | 0 | | 0 | |
| 271 | SONY FINL HOLDINGS INC | J76337104 | | 7/19/2013 | 11/13/2013 | 389 | | 0 | 388 | 1 | 0 | | 0 | |
| 272 | SONY FINL HOLDINGS INC | J76337104 | | 7/19/2013 | 12/11/2013 | 123 | | 0 | 123 | 0 | 0 | | 0 | |
| 273 | SONY FINL HOLDINGS INC | J76337104 | | 7/19/2013 | 6/12/2014 | 438 | | 0 | 441 | -3 | 0 | | 0 | |
| 274 | SUNDRUG CO LTD 9989 | J78089109 | | 4/30/2014 | 6/12/2014 | 596 | | 0 | 531 | 65 | 0 | | 0 | |
| 275 | TOKYO GAS CO LTD 9531 FN | J87000105 | | 7/19/2013 | 8/1/2013 | 340 | | 0 | 357 | -17 | 0 | | 0 | |
| 276 | TOKYO GAS CO LTD 9531 FN | J87000105 | | 7/19/2013 | 9/24/2013 | 179 | | 0 | 190 | -11 | 0 | | 0 | |
| 277 | TOKYO GAS CO LTD 9531 FN | J87000105 | | 7/19/2013 | 11/13/2013 | 758 | | 0 | 869 | -111 | 0 | | 0 | |
| 278 | TOKYO GAS CO LTD 9531 FN | J87000105 | | 7/19/2013 | 11/13/2013 | 70 | | 10 | 81 | -1 | 0 | | 0 | |
| 279 | TOKYO GAS CO LTD 9531 FN | J87000105 | | 7/19/2013 | 12/11/2013 | 184 | | 0 | 219 | -35 | 0 | | 0 | |
| 280 | TOKYO GAS CO LTD 9531 FN | J87000105 | | 7/19/2013 | 1/30/2014 | 20 | | 0 | 23 | -3 | 0 | | 0 | |
| 281 | TOKYO GAS CO LTD 9531 FN | J87000105 | | 7/19/2013 | 6/12/2014 | 947 | | 0 | 978 | -31 | 0 | | 0 | |
| 282 | YAHOO JAPAN CORP 4689 | J95402103 | | 3/11/2014 | 4/1/2014 | 3,596 | | 0 | 4,496 | -900 | 0 | | 0 | |
| 283 | JAPAN TOBACCO INC 2914 | J27869106 | | 7/19/2013 | 9/24/2013 | 794 | | 0 | 803 | -9 | 0 | | 0 | |
| 284 | YAHOO JAPAN CORP 4689 | J95402103 | | 3/25/2014 | 4/1/2014 | 740 | | 0 | 849 | -109 | 0 | | 0 | |
| 285 | PHILIP MORRIS INTL INC | 718172109 | | 2/16/2012 | 11/11/2013 | 1,625 | | 0 | 1,479 | 146 | 0 | | 0 | |
| 286 | PHILIP MORRIS INTL INC | 718172109 | | 2/16/2012 | 6/9/2014 | 2,385 | | 0 | 2,219 | 166 | 0 | | 0 | |
| 287 | PIMCO REAL RETURN FUND | 72201M636 | | 5/9/2011 | 8/14/2013 | 2 | | 0 | 2 | 0 | 0 | | 0 | |
| 288 | PIMCO REAL RETURN FUND | 72201M636 | | 11/3/2010 | 8/14/2013 | 9 | | 0 | 9 | 0 | 0 | | 0 | |
| 289 | PIMCO REAL RETURN FUND | 72201M636 | | 5/9/2011 | 8/14/2013 | 314 | | 0 | 329 | -15 | 0 | | 0 | |
| 290 | PIMCO REAL RETURN FUND | 72201M636 | | 11/3/2010 | 8/14/2013 | 9,085 | | 0 | 9,627 | -542 | 0 | | 0 | |
| 291 | PIMCO REAL RETURN FUND | 72201M636 | | 5/9/2011 | 9/9/2013 | 11 | | 1 | 12 | 0 | 0 | | 0 | |
| 292 | PIMCO REAL RETURN FUND | 72201M636 | | 5/9/2011 | 9/9/2013 | 318 | | 23 | 340 | 1 | 0 | | 0 | |
| 293 | PIMCO REAL RETURN FUND | 72201M636 | | 5/9/2011 | 9/9/2013 | 2 | | 0 | 2 | 0 | 0 | | 0 | |
| 294 | PIMCO REAL RETURN FUND | 72201M636 | | 3/29/2012 | 11/11/2013 | 201 | | 0 | 215 | -14 | 0 | | 0 | |
| 295 | PIMCO REAL RETURN FUND | 72201M636 | | 3/29/2012 | 11/11/2013 | 11 | | 0 | 12 | -1 | 0 | | 0 | |
| 296 | PIMCO REAL RETURN FUND | 72201M636 | | 5/18/2011 | 11/11/2013 | 11 | | 0 | 12 | -1 | 0 | | 0 | |
| 297 | PIMCO REAL RETURN FUND | 72201M636 | | 6/3/2011 | 11/11/2013 | 235 | | 0 | 245 | -10 | 0 | | 0 | |
| 298 | PIMCO REAL RETURN FUND | 72201M636 | | 6/3/2011 | 11/11/2013 | 11 | | 0 | 12 | -1 | 0 | | 0 | |
| 299 | PIMCO REAL RETURN FUND | 72201M636 | | 5/9/2011 | 11/11/2013 | 816 | | 0 | 857 | -41 | 0 | | 0 | |
| 300 | PIMCO REAL RETURN FUND | 72201M636 | | 9/18/2013 | 11/11/2013 | 1 | | 0 | 1 | 0 | 0 | | 0 | |
| 301 | PIMCO REAL RETURN FUND | 72201M636 | | 5/18/2011 | 11/11/2013 | 324 | | 0 | 349 | -25 | 0 | | 0 | |
| 302 | PIMCO REAL RETURN FUND | 72201M636 | | 9/22/2011 | 11/11/2013 | 1,219 | | 0 | 1,325 | -106 | 0 | | 0 | |
| 303 | PIMCO REAL RETURN FUND | 72201M636 | | 7/24/2012 | 12/9/2013 | 5 | | 0 | 5 | 0 | 0 | | 0 | |
| 304 | PIMCO REAL RETURN FUND | 72201M636 | | 7/9/2012 | 12/9/2013 | 166 | | 0 | 186 | -20 | 0 | | 0 | |
| 305 | PIMCO REAL RETURN FUND | 72201M636 | | 7/24/2012 | 12/9/2013 | 577 | | 0 | 650 | -73 | 0 | | 0 | |
| 306 | PIMCO REAL RETURN FUND | 72201M636 | | 9/18/2013 | 12/9/2013 | 1 | | 0 | 1 | 0 | 0 | | 0 | |
| 307 | PIMCO REAL RETURN FUND | 72201M636 | | 3/29/2012 | 12/9/2013 | 55 | | 0 | 60 | -5 | 0 | | 0 | |
| 308 | PIMCO REAL RETURN FUND | 72201M636 | | 11/19/2012 | 6/9/2014 | 2,675 | | 0 | 2,954 | -279 | 0 | | 0 | |
| 309 | PIMCO REAL RETURN FUND | 72201M636 | | 11/19/2012 | 6/9/2014 | 3 | | 0 | 3 | 0 | 0 | | 0 | |
| 310 | PIMCO REAL RETURN FUND | 72201M636 | | 11/19/2012 | 6/9/2014 | 11 | | 0 | 12 | -1 | 0 | | 0 | |
| 311 | PIMCO REAL RETURN FUND | 72201M636 | | 7/24/2012 | 6/9/2014 | 758 | | 0 | 825 | -67 | 0 | | 0 | |
| 312 | PIMCO REAL RETURN FUND | 72201M636 | | 7/24/2012 | 6/9/2014 | 6 | | 0 | 7 | -1 | 0 | | 0 | |
| 313 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/3/2010 | 8/1/2013 | 7 | | 0 | 8 | -1 | 0 | | 0 | |
| 314 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/3/2010 | 8/14/2013 | 3,671 | | 0 | 4,177 | -506 | 0 | | 0 | |
| 315 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/3/2010 | 8/14/2013 | 1 | | 0 | 1 | 0 | 0 | | 0 | |
| 316 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/3/2010 | 8/14/2013 | 29,130 | | 0 | 33,172 | -4,042 | 0 | | 0 | |
| 317 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/3/2010 | 8/14/2013 | 0 | | 0 | 0 | 0 | 0 | | 0 | |
| 318 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/3/2010 | 9/9/2013 | 10 | | 0 | 12 | -2 | 0 | | 0 | |
| 319 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/3/2010 | 9/9/2013 | 9 | | 0 | 11 | -2 | 0 | | 0 | |
| 320 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/3/2010 | 9/9/2013 | 1,070 | | 0 | 1,217 | -147 | 0 | | 0 | |
| 321 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/18/2010 | 9/18/2013 | 252 | | 0 | 291 | -39 | 0 | | 0 | |
| 322 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/3/2010 | 9/18/2013 | 1 | | 0 | 1 | 0 | 0 | | 0 | |
| 323 | PIMCO INVESTMENT GRADE | 72201M685 | | 3/29/2012 | 9/18/2013 | 768 | | 0 | 776 | -8 | 0 | | 0 | |
| 324 | AKZO NOBEL NV EUR 2 | N01803100 | | 7/19/2013 | 9/23/2013 | 201 | | 0 | 170 | 31 | 0 | | 0 | |
| 325 | AKZO NOBEL NV EUR 2 | N01803100 | | 7/19/2013 | 11/12/2013 | 1,408 | | 0 | 1,077 | 331 | 0 | | 0 | |
| 326 | AKZO NOBEL NV EUR 2 | N01803100 | | 7/19/2013 | 12/11/2013 | 296 | | 0 | 227 | 69 | 0 | | 0 | |
| 327 | AKZO NOBEL NV EUR 2 | N01803100 | | 7/19/2013 | 1/30/2014 | 74 | | 0 | 57 | 17 | 0 | | 0 | |
| 328 | AKZO NOBEL NV EUR 2 | N01803100 | | 7/19/2013 | 3/24/2014 | 394 | | 0 | 283 | 111 | 0 | | 0 | |
| 329 | AKZO NOBEL NV EUR 2 | N01803100 | | 7/19/2013 | 6/12/2014 | 1,333 | | 0 | 1,020 | 313 | 0 | | 0 | |
| 330 | ING GROEP N V (NL) | N4578E413 | | 7/19/2013 | 8/1/2013 | 327 | | 0 | 313 | 14 | 0 | | 0 | |
| 331 | ING GROEP N V (NL) | N4578E413 | | 7/19/2013 | 9/23/2013 | 185 | | 0 | 157 | 28 | 0 | | 0 | |
| 332 | ING GROEP N V (NL) | N4578E413 | | 7/19/2013 | 11/12/2013 | 1,037 | | 0 | 793 | 244 | 0 | | 0 | |
| 333 | ING GROEP N V (NL) | N4578E413 | | 7/19/2013 | 12/11/2013 | 226 | | 0 | 176 | 50 | 0 | | 0 | |
| 334 | ING GROEP N V (NL) | N4578E413 | | 7/19/2013 | 1/30/2014 | 27 | | 0 | 20 | 7 | 0 | | 0 | |
| 335 | ING GROEP N V (NL) | N4578E413 | | 7/19/2013 | 6/12/2014 | 1 186 | | 0 | 812 | 374 | 0 | | 0 | |
| 336 | COMPUTERSHARE LTD | Q2721E105 | | 4/9/2014 | 6/12/2014 | 362 | | 0 | 355 | 7 | 0 | | 0 | |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | 0 |

| | Description of Property Sold | CUSIP # | How Acquired | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Exces of FMV Over Adjust Basis or Losses |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | 1,610,271 | 0 | 395 | 1,482,913 | 127,753 | 0 | 0 | 0 | 127, |
| 337 | ILUKA RESOURCES LIMITED | Q4875J104 | | 7/19/2013 | 8/1/2013 | 311 | | 0 | 319 | -8 | 0 | 0 | 0 | |
| 338 | ILUKA RESOURCES LIMITED | Q4875J104 | | 7/19/2013 | 9/23/2013 | 185 | | 0 | 175 | 10 | 0 | 0 | 0 | |
| 339 | ILUKA RESOURCES LIMITED | Q4875J104 | | 7/19/2013 | 11/13/2013 | 54 | | 7 | 62 | -1 | 0 | 0 | 0 | |
| 340 | ILUKA RESOURCES LIMITED | Q4875J104 | | 7/19/2013 | 11/13/2013 | 698 | | 0 | 791 | -93 | 0 | 0 | 0 | |
| 341 | ILUKA RESOURCES LIMITED | Q4875J104 | | 7/19/2013 | 12/11/2013 | 139 | | 0 | 185 | -46 | 0 | 0 | 0 | |
| 342 | ILUKA RESOURCES LIMITED | Q4875J104 | | 7/19/2013 | 1/30/2014 | 15 | | 0 | 21 | -6 | 0 | 0 | 0 | |
| 343 | ILUKA RESOURCES LIMITED | Q4875J104 | | 7/19/2013 | 6/12/2014 | 663 | | 0 | 873 | -210 | 0 | 0 | 0 | |
| 344 | WESTPAC BANKING CORP FN | Q97417101 | | 7/19/2013 | 8/1/2013 | 251 | | 0 | 247 | 4 | 0 | 0 | 0 | |
| 345 | WESTPAC BANKING CORP FN | Q97417101 | | 7/19/2013 | 9/23/2013 | 398 | | 0 | 357 | 41 | 0 | 0 | 0 | |
| 346 | WESTPAC BANKING CORP FN | Q97417101 | | 7/19/2013 | 11/13/2013 | 1,071 | | 0 | 961 | 110 | 0 | 0 | 0 | |
| 347 | WESTPAC BANKING CORP FN | Q97417101 | | 7/19/2013 | 12/11/2013 | 227 | | 0 | 220 | 7 | 0 | 0 | 0 | |
| 348 | WESTPAC BANKING CORP FN | Q97417101 | | 7/19/2013 | 1/30/2014 | 27 | | 0 | 27 | 0 | 0 | 0 | 0 | |
| 349 | WESTPAC BANKING CORP FN | Q97417101 | | 7/19/2013 | 3/25/2014 | 306 | | 0 | 275 | 31 | 0 | 0 | 0 | |
| 350 | WESTPAC BANKING CORP FN | Q97417101 | | 7/19/2013 | 6/12/2014 | 1 269 | | 0 | 1,071 | 198 | 0 | 0 | 0 | |
| 351 | TELECOM ITALIA SPA | T92778108 | | 7/19/2013 | 8/1/2013 | 61 | | 0 | 57 | 4 | 0 | 0 | 0 | |
| 352 | TELECOM ITALIA SPA | T92778108 | | 7/19/2013 | 9/23/2013 | 40 | | 0 | 33 | 7 | 0 | 0 | 0 | |
| 353 | TELECOM ITALIA SPA | T92778108 | | 7/19/2013 | 11/12/2013 | 228 | | 0 | 156 | 72 | 0 | 0 | 0 | |
| 354 | TELECOM ITALIA SPA | T92778108 | | 7/19/2013 | 12/11/2013 | 51 | | 0 | 34 | 17 | 0 | 0 | 0 | |
| 355 | TELECOM ITALIA SPA | T92778108 | | 7/19/2013 | 6/12/2014 | 325 | | 0 | 152 | 173 | 0 | 0 | 0 | |
| 356 | ATLAS COPCO CL A | W10020118 | | 7/19/2013 | 8/1/2013 | 260 | | 0 | 254 | 6 | 0 | 0 | 0 | |
| 357 | ATLAS COPCO CL A | W10020118 | | 7/19/2013 | 9/23/2013 | 179 | | 0 | 152 | 27 | 0 | 0 | 0 | |
| 358 | ATLAS COPCO CL A | W10020118 | | 7/19/2013 | 11/12/2013 | 764 | | 0 | 711 | 53 | 0 | 0 | 0 | |
| 359 | ATLAS COPCO CL A | W10020118 | | 7/19/2013 | 12/11/2013 | 185 | | 0 | 178 | 7 | 0 | 0 | 0 | |
| 360 | ATLAS COPCO CL A | W10020118 | | 7/19/2013 | 1/30/2014 | 28 | | 0 | 25 | 3 | 0 | 0 | 0 | |
| 361 | ATLAS COPCO CL A | W10020118 | | 7/19/2013 | 6/12/2014 | 1,122 | | 0 | 991 | 131 | 0 | 0 | 0 | |
| 362 | ERICSSON LM | W26049119 | | 7/19/2013 | 8/1/2013 | 211 | | 0 | 208 | 3 | 0 | 0 | 0 | |
| 363 | AKZO NOBEL NV EUR 2 | N01803100 | | 7/19/2013 | 8/1/2013 | 427 | | 0 | 397 | 30 | 0 | 0 | 0 | |
| 364 | CENOVUS ENERGY INC | 15135U109 | | 7/18/2013 | 11/11/2013 | 29 | | 3 | 31 | 1 | 0 | 0 | 0 | |
| 365 | CENOVUS ENERGY INC | 15135U109 | | 7/18/2013 | 11/11/2013 | 287 | | 0 | 315 | -28 | 0 | 0 | 0 | |
| 366 | CENOVUS ENERGY INC | 15135U109 | | 7/18/2013 | 12/10/2013 | 89 | | 0 | 94 | -5 | 0 | 0 | 0 | |
| 367 | CENOVUS ENERGY INC | 15135U109 | | 8/1/2013 | 3/20/2014 | 26 | | 0 | 32 | -6 | 0 | 0 | 0 | |
| 368 | CENOVUS ENERGY INC | 15135U109 | | 7/18/2013 | 3/20/2014 | 1,634 | | 0 | 1,952 | -318 | 0 | 0 | 0 | |
| 369 | CENTURYLINK INC SHS | 156700106 | | 4/17/2013 | 9/9/2013 | 224 | | 0 | 257 | -33 | 0 | 0 | 0 | |
| 370 | CENTURYLINK INC SHS | 156700106 | | 4/17/2013 | 9/10/2013 | 7,078 | | 0 | 8,001 | -923 | 0 | 0 | 0 | |
| 371 | CENTURYLINK INC SHS | 156700106 | | 5/20/2013 | 9/10/2013 | 455 | | 0 | 529 | -74 | 0 | 0 | 0 | |
| 372 | CENTURYLINK INC SHS | 156700106 | | 5/2/2013 | 9/10/2013 | 390 | | 0 | 453 | -63 | 0 | 0 | 0 | |
| 373 | CENTURYLINK INC SHS | 156700106 | | 4/29/2013 | 9/10/2013 | 260 | | 0 | 301 | -41 | 0 | 0 | 0 | |
| 374 | CHESAPEAKE ENERGY OKLA | 165167107 | | 10/9/2012 | 9/9/2013 | 288 | | 0 | 215 | 73 | 0 | 0 | 0 | |
| 375 | CHESAPEAKE ENERGY OKLA | 165167107 | | 11/19/2012 | 9/20/2013 | 27 | | 0 | 17 | 10 | 0 | 0 | 0 | |
| 376 | CHESAPEAKE ENERGY OKLA | 165167107 | | 10/9/2012 | 9/20/2013 | 1,104 | | 0 | 802 | 302 | 0 | 0 | 0 | |
| 377 | CHESAPEAKE ENERGY OKLA | 165167107 | | 10/27/2012 | 9/20/2013 | 135 | | 0 | 108 | 27 | 0 | 0 | 0 | |
| 378 | CHESAPEAKE ENERGY OKLA | 165167107 | | 11/19/2012 | 11/5/2013 | 453 | | 0 | 277 | 176 | 0 | 0 | 0 | |
| 379 | CHESAPEAKE ENERGY OKLA | 165167107 | | 11/19/2012 | 11/11/2013 | 281 | | 0 | 191 | 90 | 0 | 0 | 0 | |
| 380 | CHESAPEAKE ENERGY OKLA | 165167107 | | 11/19/2012 | 12/9/2013 | 581 | | 0 | 381 | 200 | 0 | 0 | 0 | |
| 381 | CHESAPEAKE ENERGY OKLA | 165167107 | | 4/17/2013 | 1/10/2014 | 943 | | 0 | 690 | 253 | 0 | 0 | 0 | |
| 382 | CHESAPEAKE ENERGY OKLA | 165167107 | | 11/19/2012 | 1/10/2014 | 6 272 | | 0 | 4 263 | 2,009 | 0 | 0 | 0 | |
| 383 | CHESAPEAKE ENERGY OKLA | 165167107 | | 7/12/2013 | 1/10/2014 | 255 | | 0 | 215 | 40 | 0 | 0 | 0 | |
| 384 | CHESAPEAKE ENERGY OKLA | 165167107 | | 4/29/2013 | 1/10/2014 | 25 | | 0 | 19 | 6 | 0 | 0 | 0 | |
| 385 | CHEVRON CORP | 166764100 | | 1/28/2013 | 10/10/2013 | 7,274 | | 0 | 7,335 | -61 | 0 | 0 | 0 | |
| 386 | CHEVRON CORP | 166764100 | | 4/17/2013 | 10/10/2013 | 115 | | 0 | 115 | 0 | 0 | 0 | 0 | |
| 387 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/18/2010 | 9/18/2013 | 11 | | 0 | 13 | -2 | 0 | 0 | 0 | |
| 388 | PIMCO INVESTMENT GRADE | 72201M685 | | 7/24/2012 | 9/18/2013 | 7 | | 0 | 8 | -1 | 0 | 0 | 0 | |
| 389 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/20/2010 | 9/18/2013 | 84 | | 0 | 96 | -12 | 0 | 0 | 0 | |
| 390 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/28/2010 | 9/18/2013 | 526 | | 0 | 599 | -73 | 0 | 0 | 0 | |
| 391 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/3/2010 | 9/18/2013 | 200 | | 0 | 225 | -25 | 0 | 0 | 0 | |
| 392 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/3/2010 | 9/18/2013 | 1,441 | | 0 | 1,641 | -200 | 0 | 0 | 0 | |
| 393 | PIMCO INVESTMENT GRADE | 72201M685 | | 7/24/2012 | 9/18/2013 | 316 | | 0 | 334 | -18 | 0 | 0 | 0 | |
| 394 | PIMCO INVESTMENT GRADE | 72201M685 | | 2/10/2012 | 9/18/2013 | 11 | | 0 | 12 | -1 | 0 | 0 | 0 | |
| 395 | PIMCO INVESTMENT GRADE | 72201M685 | | 12/13/2010 | 9/18/2013 | 6 438 | | 0 | 6,376 | 62 | 0 | 0 | 0 | |
| 396 | PIMCO INVESTMENT GRADE | 72201M685 | | 2/10/2012 | 9/18/2013 | 105 | | 0 | 105 | 0 | 0 | 0 | 0 | |
| 397 | PIMCO INVESTMENT GRADE | 72201M685 | | 12/13/2010 | 9/18/2013 | 11 | | 0 | 11 | 0 | 0 | 0 | 0 | |
| 398 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/4/2010 | 9/18/2013 | 21 | | 0 | 23 | -2 | 0 | 0 | 0 | |
| 399 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/4/2010 | 9/18/2013 | 11 | | 0 | 13 | -2 | 0 | 0 | 0 | |
| 400 | PIMCO INVESTMENT GRADE | 72201M685 | | 9/22/2011 | 9/18/2013 | 526 | | 0 | 531 | -5 | 0 | 0 | 0 | |
| 401 | PIMCO INVESTMENT GRADE | 72201M685 | | 7/24/2012 | 11/11/2013 | 3,537 | | 0 | 3,717 | -180 | 0 | 0 | 0 | |
| 402 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/19/2012 | 11/11/2013 | 4,501 | | 0 | 4,824 | -323 | 0 | 0 | 0 | |
| 403 | PIMCO INVESTMENT GRADE | 72201M685 | | 7/24/2012 | 11/11/2013 | 3 | | 0 | 3 | 0 | 0 | 0 | 0 | |
| 404 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/19/2012 | 12/9/2013 | 6 | | 0 | 4 | 2 | 0 | 0 | 0 | |
| 405 | PIMCO INVESTMENT GRADE | 72201M685 | | 7/24/2012 | 12/9/2013 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | |
| 406 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/19/2012 | 12/9/2013 | 2 756 | | 0 | 2 951 | -195 | 0 | 0 | 0 | |
| 407 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/19/2012 | 6/9/2014 | 8 077 | | 0 | 8,593 | -516 | 0 | 0 | 0 | |
| 408 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/19/2012 | 6/9/2014 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 409 | PIMCO INVESTMENT GRADE | 72201M685 | | 11/19/2012 | 6/9/2014 | 4 | | 0 | 5 | -1 | 0 | 0 | 0 | |
| 410 | PRINCIPAL FINANCIAL GRP | 74251V102 | | 1/28/2013 | 10/10/2013 | 432 | | 0 | 310 | 122 | 0 | 0 | 0 | |
| 411 | PRINCIPAL FINANCIAL GRP | 74251V102 | | 1/28/2013 | 11/11/2013 | 2 466 | | 0 | 1,583 | 883 | 0 | 0 | 0 | |
| 412 | PRINCIPAL FINANCIAL GRP | 74251V102 | | 1/28/2013 | 12/9/2013 | 697 | | 0 | 435 | 262 | 0 | 0 | 0 | |
| 413 | PRINCIPAL FINANCIAL GRP | 74251V102 | | 1/28/2013 | 6/9/2014 | 2,518 | | 0 | 1,583 | 935 | 0 | 0 | 0 | |
| 414 | PRINCIPAL FINANCIAL GRP | 74251V102 | | 1/28/2013 | 7/24/2014 | 724 | | 0 | 435 | 289 | 0 | 0 | 0 | |
| 415 | PRINCIPAL PREFERRED | 74255L787 | | 11/19/2012 | 8/14/2013 | 2,473 | | 0 | 2,543 | -70 | 0 | 0 | 0 | |
| 416 | PRINCIPAL PREFERRED | 74255L787 | | 11/19/2012 | 8/14/2013 | 3 | | 0 | 3 | 0 | 0 | 0 | 0 | |
| 417 | PRINCIPAL PREFERRED | 74255L787 | | 11/19/2012 | 8/14/2013 | 3 | | 0 | 3 | 0 | 0 | 0 | 0 | |
| 418 | PRINCIPAL PREFERRED | 74255L787 | | 11/19/2012 | 9/9/2013 | 10 | | 0 | 11 | -1 | 0 | 0 | 0 | |
| 419 | PRINCIPAL PREFERRED | 74255L787 | | 11/19/2012 | 9/9/2013 | 4 | | 0 | 4 | 0 | 0 | 0 | 0 | |
| 420 | PRINCIPAL PREFERRED | 74255L787 | | 11/19/2012 | 9/9/2013 | 70 | | 3 | 74 | -1 | 0 | 0 | 0 | |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | 0 |

| # | Description of Property Sold | CUSIP # | How Acquired | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Excess of FMV Over Adjusted Basis or Losses |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | 1,810,271 | 0 | 395 | 1,482,913 | 127,753 | 0 | 0 | 0 | 127,[illegible] |
| 421 | PRINCIPAL PREFERRED | 74255L787 | | 11/19/2012 | 11/11/2013 | 7 | | 0 | 7 | 0 | 0 | 0 | 0 | |
| 422 | ERICSSON LM | W26049119 | | 7/19/2013 | 9/23/2013 | 124 | | 0 | 104 | 20 | 0 | 0 | 0 | |
| 423 | ERICSSON LM | W26049119 | | 7/19/2013 | 11/12/2013 | 735 | | 0 | 695 | 40 | 0 | 0 | 0 | |
| 424 | ERICSSON LM | W26049119 | | 7/19/2013 | 12/11/2013 | 94 | | 0 | 93 | 1 | 0 | 0 | 0 | |
| 425 | ERICSSON LM | W26049119 | | 7/19/2013 | 1/30/2014 | 72 | | 0 | 69 | 3 | 0 | 0 | 0 | |
| 426 | ERICSSON LM | W26049119 | | 7/19/2013 | 6/12/2014 | 952 | | 0 | 892 | 60 | 0 | 0 | 0 | |
| 427 | AIA GROUP LTD | Y002A1105 | | 7/19/2013 | 8/1/2013 | 460 | | 0 | 427 | 33 | 0 | 0 | 0 | |
| 428 | AIA GROUP LTD | Y002A1105 | | 7/19/2013 | 9/23/2013 | 228 | | 0 | 218 | 10 | 0 | 0 | 0 | |
| 429 | AIA GROUP LTD | Y002A1105 | | 7/19/2013 | 11/13/2013 | 947 | | 0 | 863 | 84 | 0 | 0 | 0 | |
| 430 | AIA GROUP LTD | Y002A1105 | | 7/19/2013 | 12/11/2013 | 279 | | 0 | 254 | 25 | 0 | 0 | 0 | |
| 431 | AIA GROUP LTD | Y002A1105 | | 7/19/2013 | 12/12/2013 | 1,101 | | 0 | 1,010 | 91 | 0 | 0 | 0 | |
| 432 | AIA GROUP LTD | Y002A1105 | | 7/19/2013 | 1/30/2014 | 56 | | 0 | 53 | 3 | 0 | 0 | 0 | |
| 433 | AIA GROUP LTD | Y002A1105 | | 7/19/2013 | 6/12/2014 | 797 | | 0 | 708 | 89 | 0 | 0 | 0 | |
| 434 | HUTCHISON WHAMPOA | Y38024108 | | 7/19/2013 | 8/1/2013 | 191 | | 0 | 188 | 3 | 0 | 0 | 0 | |
| 435 | HUTCHISON WHAMPOA | Y38024108 | | 7/19/2013 | 9/23/2013 | 108 | | 0 | 100 | 8 | 0 | 0 | 0 | |
| 436 | HUTCHISON WHAMPOA | Y38024108 | | 7/19/2013 | 11/13/2013 | 545 | | 0 | 498 | 47 | 0 | 0 | 0 | |
| 437 | HUTCHISON WHAMPOA | Y38024108 | | 7/19/2013 | 12/11/2013 | 127 | | 0 | 111 | 16 | 0 | 0 | 0 | |
| 438 | HUTCHISON WHAMPOA | Y38024108 | | 7/19/2013 | 6/12/2014 | 642 | | 0 | 532 | 110 | 0 | 0 | 0 | |
| 439 | OIL SEARCH LTD FN | Y64695110 | | 7/19/2013 | 8/1/2013 | 162 | | 0 | 165 | -3 | 0 | 0 | 0 | |
| 440 | OIL SEARCH LTD FN | Y64695110 | | 7/19/2013 | 9/23/2013 | 105 | | 0 | 97 | 8 | 0 | 0 | 0 | |
| 441 | OIL SEARCH LTD FN | Y64695110 | | 7/19/2013 | 11/13/2013 | 461 | | 0 | 442 | 19 | 0 | 0 | 0 | |
| 442 | OIL SEARCH LTD FN | Y64695110 | | 7/19/2013 | 12/11/2013 | 37 | | 0 | 37 | 0 | 0 | 0 | 0 | |
| 443 | OIL SEARCH LTD FN | Y64695110 | | 7/19/2013 | 12/11/2013 | 52 | | 0 | 52 | 0 | 0 | 0 | 0 | |
| 444 | OIL SEARCH LTD FN | Y64695110 | | 7/19/2013 | 12/12/2013 | 1,017 | | 0 | 1,057 | -40 | 0 | 0 | 0 | |
| 445 | OIL SEARCH LTD FN | Y64695110 | | 7/19/2013 | 6/12/2014 | 326 | | 0 | 270 | 56 | 0 | 0 | 0 | |
| 446 | CHINA UNICOM HONG KONG | 16945R104 | | 7/18/2013 | 9/20/2013 | 49 | | 0 | 42 | 7 | 0 | 0 | 0 | |
| 447 | CHINA UNICOM HONG KONG | 16945R104 | | 7/18/2013 | 11/11/2013 | 601 | | 0 | 549 | 52 | 0 | 0 | 0 | |
| 448 | CHINA UNICOM HONG KONG | 16945R104 | | 7/18/2013 | 12/10/2013 | 61 | | 0 | 56 | 5 | 0 | 0 | 0 | |
| 449 | CHINA UNICOM HONG KONG | 16945R104 | | 7/18/2013 | 1/29/2014 | 65 | | 0 | 70 | -5 | 0 | 0 | 0 | |
| 450 | CHINA UNICOM HONG KONG | 16945R104 | | 11/25/2013 | 2/11/2014 | 67 | | 0 | 78 | -11 | 0 | 0 | 0 | |
| 451 | CHINA UNICOM HONG KONG | 16945R104 | | 7/18/2013 | 2/11/2014 | 2,470 | | 0 | 2 591 | -121 | 0 | 0 | 0 | |
| 452 | CITIGROUP INC COM NEW | 172967424 | | 1/28/2013 | 11/11/2013 | 1,304 | | 0 | 1,120 | 184 | 0 | 0 | 0 | |
| 453 | CITIGROUP INC COM NEW | 172967424 | | 1/28/2013 | 12/9/2013 | 783 | | 0 | 646 | 137 | 0 | 0 | 0 | |
| 454 | CITIGROUP INC COM NEW | 172967424 | | 1/10/2014 | 6/9/2014 | 198 | | 23 | 220 | 1 | 0 | 0 | 0 | |
| 455 | CITIGROUP INC COM NEW | 172967424 | | 1/28/2013 | 6/9/2014 | 494 | | 0 | 431 | 63 | 0 | 0 | 0 | |
| 456 | CITIGROUP INC COM NEW | 172967424 | | 1/10/2014 | 6/9/2014 | 1,976 | | 0 | 2,204 | -228 | 0 | 0 | 0 | |
| 457 | CITIGROUP INC COM NEW | 172967424 | | 1/28/2013 | 7/24/2014 | 401 | | 0 | 345 | 56 | 0 | 0 | 0 | |
| 458 | DBS GROUP HLDGS SPN ADR | 23304Y100 | | 7/18/2013 | 9/20/2013 | 160 | | 0 | 158 | 2 | 0 | 0 | 0 | |
| 459 | DBS GROUP HLDGS SPN ADR | 23304Y100 | | 7/18/2013 | 11/11/2013 | 597 | | 0 | 580 | 17 | 0 | 0 | 0 | |
| 460 | DBS GROUP HLDGS SPN ADR | 23304Y100 | | 7/18/2013 | 12/10/2013 | 160 | | 0 | 158 | 2 | 0 | 0 | 0 | |
| 461 | DBS GROUP HLDGS SPN ADR | 23304Y100 | | 7/18/2013 | 6/10/2014 | 601 | | 0 | 580 | 21 | 0 | 0 | 0 | |
| 462 | DEERE CO | 244199105 | | 4/17/2013 | 9/20/2013 | 85 | | 0 | 82 | 3 | 0 | 0 | 0 | |
| 463 | DEERE CO | 244199105 | | 4/17/2013 | 10/10/2013 | 250 | | 0 | 247 | 3 | 0 | 0 | 0 | |
| 464 | DEERE CO | 244199105 | | 4/17/2013 | 11/11/2013 | 571 | | 0 | 577 | -6 | 0 | 0 | 0 | |
| 465 | DEERE CO | 244199105 | | 4/17/2013 | 12/9/2013 | 609 | | 0 | 577 | 32 | 0 | 0 | 0 | |
| 466 | DEERE CO | 244199105 | | 4/17/2013 | 2/5/2014 | 6,669 | | 0 | 6,516 | 153 | 0 | 0 | 0 | |
| 467 | DISNEY (WALT) CO COM STK | 254687106 | | 5/5/2014 | 6/9/2014 | 1,628 | | 0 | 1,539 | 89 | 0 | 0 | 0 | |
| 468 | DOLLAR TREE INC | 256746108 | | 11/5/2013 | 11/11/2013 | 1,657 | | 0 | 1,628 | 29 | 0 | 0 | 0 | |
| 469 | DOLLAR TREE INC | 256746108 | | 11/5/2013 | 12/9/2013 | 55 | | 0 | 58 | -3 | 0 | 0 | 0 | |
| 470 | DOLLAR TREE INC | 256746108 | | 11/5/2013 | 4/7/2014 | 1,198 | | 0 | 1 338 | -140 | 0 | 0 | 0 | |
| 471 | DOLLAR TREE INC | 256746108 | | 11/5/2013 | 6/9/2014 | 167 | | 7 | 174 | 0 | 0 | 0 | 0 | |
| 472 | DOLLAR TREE INC | 256746108 | | 11/5/2013 | 6/9/2014 | 2,286 | | 0 | 2,385 | -99 | 0 | 0 | 0 | |
| 473 | DOUBLELINE TOTAL RETURN | 258620103 | | 2/10/2012 | 8/14/2013 | 3 | | 0 | 3 | 0 | 0 | 0 | 0 | |
| 474 | DOUBLELINE TOTAL RETURN | 258620103 | | 2/10/2012 | 8/14/2013 | 23,948 | | 0 | 24,428 | -480 | 0 | 0 | 0 | |
| 475 | DOUBLELINE TOTAL RETURN | 258620103 | | 2/10/2012 | 8/14/2013 | 8 | | 0 | 8 | 0 | 0 | 0 | 0 | |
| 476 | DOUBLELINE TOTAL RETURN | 258620103 | | 2/10/2012 | 9/9/2013 | 7 | | 0 | 7 | 0 | 0 | 0 | 0 | |
| 477 | DOUBLELINE TOTAL RETURN | 258620103 | | 2/10/2012 | 9/9/2013 | 585 | | 18 | 603 | 0 | 0 | 0 | 0 | |
| 478 | DOUBLELINE TOTAL RETURN | 258620103 | | 3/29/2012 | 9/9/2013 | 217 | | 8 | 225 | 0 | 0 | 0 | 0 | |
| 479 | DOUBLELINE TOTAL RETURN | 258620103 | | 5/8/2012 | 11/12/2013 | 22 | | 0 | 22 | 0 | 0 | 0 | 0 | |
| 480 | DOUBLELINE TOTAL RETURN | 258620103 | | 6/7/2012 | 11/12/2013 | 11 | | 0 | 11 | 0 | 0 | 0 | 0 | |
| 481 | DOUBLELINE TOTAL RETURN | 258620103 | | 7/24/2012 | 11/12/2013 | 3,808 | | 0 | 3,951 | -143 | 0 | 0 | 0 | |
| 482 | PRINCIPAL PREFERRED | 74255L787 | | 9/18/2013 | 11/11/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 483 | PRINCIPAL PREFERRED | 74255L787 | | 11/19/2012 | 11/11/2013 | 692 | | 0 | 715 | -23 | 0 | 0 | 0 | |
| 484 | PRINCIPAL PREFERRED | 74255L787 | | 11/19/2012 | 12/9/2013 | 4 | | 0 | 4 | 0 | 0 | 0 | 0 | |
| 485 | PRINCIPAL PREFERRED | 74255L787 | | 11/19/2012 | 12/9/2013 | 4 | | 0 | 4 | 0 | 0 | 0 | 0 | |
| 486 | PRINCIPAL PREFERRED | 74255L787 | | 11/19/2012 | 12/9/2013 | 223 | | 0 | 231 | -8 | 0 | 0 | 0 | |
| 487 | PRINCIPAL PREFERRED | 74255L787 | | 11/19/2012 | 6/9/2014 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 488 | PRINCIPAL PREFERRED | 74255L787 | | 11/19/2012 | 6/9/2014 | 835 | | 0 | 841 | -6 | 0 | 0 | 0 | |
| 489 | PRINCIPAL PREFERRED | 74255L787 | | 11/19/2012 | 6/9/2014 | 6 | | 0 | 6 | 0 | 0 | 0 | 0 | |
| 490 | PROCTER & GAMBLE CO | 742718109 | | 11/3/2010 | 11/11/2013 | 1,153 | | 0 | 898 | 255 | 0 | 0 | 0 | |
| 491 | PROCTER & GAMBLE CO | 742718109 | | 2/10/2011 | 11/11/2013 | 742 | | 0 | 583 | 159 | 0 | 0 | 0 | |
| 492 | PROCTER & GAMBLE CO | 742718109 | | 2/1/2012 | 12/9/2013 | 593 | | 0 | 445 | 148 | 0 | 0 | 0 | |
| 493 | PROCTER & GAMBLE CO | 742718109 | | 2/1/2012 | 6/9/2014 | 880 | | 0 | 699 | 181 | 0 | 0 | 0 | |
| 494 | PROCTER & GAMBLE CO | 742718109 | | 2/10/2012 | 6/9/2014 | 320 | | 0 | 256 | 64 | 0 | 0 | 0 | |
| 495 | PROCTER & GAMBLE CO | 742718109 | | 10/16/2012 | 6/9/2014 | 80 | | 0 | 69 | 11 | 0 | 0 | 0 | |
| 496 | PROCTER & GAMBLE CO | 742718109 | | 11/19/2012 | 7/24/2014 | 320 | | 0 | 271 | 49 | 0 | 0 | 0 | |
| 497 | QUALCOMM INC | 747525103 | | 11/19/2012 | 9/20/2013 | 765 | | 0 | 685 | 80 | 0 | 0 | 0 | |
| 498 | QUALCOMM INC | 747525103 | | 10/16/2012 | 9/20/2013 | 278 | | 0 | 241 | 37 | 0 | 0 | 0 | |
| 499 | QUALCOMM INC | 747525103 | | 4/17/2013 | 10/10/2013 | 668 | | 0 | 643 | 25 | 0 | 0 | 0 | |
| 500 | QUALCOMM INC | 747525103 | | 5/3/2013 | 10/10/2013 | 1,068 | | 0 | 1,021 | 47 | 0 | 0 | 0 | |
| 501 | QUALCOMM INC | 747525103 | | 7/16/2013 | 10/10/2013 | 267 | | 0 | 247 | 20 | 0 | 0 | 0 | |
| 502 | QUALCOMM INC | 747525103 | | 11/19/2012 | 10/10/2013 | 3,272 | | 0 | 3,052 | 220 | 0 | 0 | 0 | |
| 503 | QUALCOMM INC | 747525103 | | 1/28/2013 | 10/10/2013 | 10,282 | | 0 | 9,793 | 489 | 0 | 0 | 0 | |
| 504 | REGENERON PHARMACTCLS | 75886F107 | | 11/5/2013 | 11/11/2013 | 276 | | 0 | 295 | -19 | 0 | 0 | 0 | |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | 0 |

| # | Description of Property Sold | CUSIP # | How Acquired | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Exces of FMV Over Adjust Basis or Losses |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | 1,610,271 | 0 | 395 | 1,482,913 | 127,753 | 0 | 0 | 0 | 127,[illegible] |
| 505 | REGENERON PHARMACTCLS | 75886F107 | | 11/5/2013 | 12/9/2013 | 840 | | 0 | 884 | -44 | 0 | 0 | 0 | |
| 506 | REGENERON PHARMACTCLS | 75886F107 | | 11/5/2013 | 2/5/2014 | 284 | | 0 | 295 | -11 | 0 | 0 | 0 | |
| 507 | REGENERON PHARMACTCLS | 75886F107 | | 11/5/2013 | 4/7/2014 | 10,091 | | 0 | 10,613 | -522 | 0 | 0 | 0 | |
| 508 | T ROWE PRICE US TREASURY | 77957T107 | | 9/22/2011 | 8/14/2013 | 65 | | 0 | 70 | -5 | 0 | 0 | 0 | |
| 509 | T ROWE PRICE US TREASURY | 77957T107 | | 6/3/2011 | 8/14/2013 | 4,251 | | 0 | 4 315 | -64 | 0 | 0 | 0 | |
| 510 | T ROWE PRICE US TREASURY | 77957T107 | | 5/9/2011 | 8/14/2013 | 20,980 | | 0 | 21,051 | -71 | 0 | 0 | 0 | |
| 511 | STANDARD CHARTERED ORD | G84228157 | | 7/19/2013 | 11/12/2013 | 943 | | 0 | 936 | 7 | 0 | 0 | 0 | |
| 512 | STANDARD CHARTERED ORD | G84228157 | | 7/19/2013 | 12/11/2013 | 63 | | 7 | 70 | 0 | 0 | 0 | 0 | |
| 513 | STANDARD CHARTERED ORD | G84228157 | | 7/19/2013 | 1/30/2014 | 127 | | 0 | 140 | -13 | 0 | 0 | 0 | |
| 514 | STANDARD CHARTERED ORD | G84228157 | | 7/19/2013 | 6/12/2014 | 757 | | 0 | 796 | -39 | 0 | 0 | 0 | |
| 515 | VODAFONE GROUP PLC | G93882135 | | 7/19/2013 | 8/1/2013 | 287 | | 0 | 280 | 7 | 0 | 0 | 0 | |
| 516 | VODAFONE GROUP PLC | G93882135 | | 7/19/2013 | 9/23/2013 | 141 | | 0 | 124 | 17 | 0 | 0 | 0 | |
| 517 | VODAFONE GROUP PLC | G93882135 | | 7/19/2013 | 11/12/2013 | 969 | | 0 | 780 | 189 | 0 | 0 | 0 | |
| 518 | VODAFONE GROUP PLC | G93882135 | | 7/19/2013 | 12/11/2013 | 144 | | 0 | 112 | 32 | 0 | 0 | 0 | |
| 519 | VODAFONE GROUP PLC | G93882135 | | 7/19/2013 | 1/30/2014 | 195 | | 0 | 153 | 42 | 0 | 0 | 0 | |
| 520 | VODAFONE GROUP PLC SHS | G93882192 | | 7/19/2013 | 6/12/2014 | 531 | | 0 | 852 | -321 | 0 | 0 | 0 | |
| 521 | WANT WANT CHINA HOLDINGS | G9431R103 | | 7/19/2013 | 8/1/2013 | 169 | | 0 | 168 | 1 | 0 | 0 | 0 | |
| 522 | WANT WANT CHINA HOLDINGS | G9431R103 | | 7/19/2013 | 9/23/2013 | 168 | | 0 | 147 | 21 | 0 | 0 | 0 | |
| 523 | WANT WANT CHINA HOLDINGS | G9431R103 | | 7/19/2013 | 11/13/2013 | 621 | | 0 | 589 | 32 | 0 | 0 | 0 | |
| 524 | WANT WANT CHINA HOLDINGS | G9431R103 | | 7/19/2013 | 12/11/2013 | 148 | | 0 | 138 | 10 | 0 | 0 | 0 | |
| 525 | WANT WANT CHINA HOLDINGS | G9431R103 | | 7/19/2013 | 6/12/2014 | 647 | | 0 | 637 | 10 | 0 | 0 | 0 | |
| 526 | WHITBREAD PLC | G9606P197 | | 4/29/2014 | 6/12/2014 | 798 | | 0 | 761 | 37 | 0 | 0 | 0 | |
| 527 | KUEHNE & NAGEL INTL AG, | H4673L145 | | 7/19/2013 | 9/23/2013 | 402 | | 0 | 354 | 48 | 0 | 0 | 0 | |
| 528 | KUEHNE & NAGEL INTL AG, | H4673L145 | | 7/19/2013 | 11/13/2013 | 366 | | 0 | 354 | 12 | 0 | 0 | 0 | |
| 529 | KUEHNE & NAGEL INTL AG, | H4673L145 | | 7/19/2013 | 12/11/2013 | 128 | | 0 | 118 | 10 | 0 | 0 | 0 | |
| 530 | KUEHNE & NAGEL INTL AG, | H4673L145 | | 7/19/2013 | 12/12/2013 | 2,831 | | 0 | 2,594 | 237 | 0 | 0 | 0 | |
| 531 | ANALOG DEVICES INC COM | 032654105 | | 12/12/2011 | 11/11/2013 | 793 | | 0 | 549 | 244 | 0 | 0 | 0 | |
| 532 | ANALOG DEVICES INC COM | 032654105 | | 7/15/2011 | 11/11/2013 | 545 | | 0 | 396 | 149 | 0 | 0 | 0 | |
| 533 | ANALOG DEVICES INC COM | 032654105 | | 2/10/2012 | 11/11/2013 | 297 | | 0 | 237 | 60 | 0 | 0 | 0 | |
| 534 | ANALOG DEVICES INC COM | 032654105 | | 11/19/2012 | 12/9/2013 | 245 | | 0 | 201 | 44 | 0 | 0 | 0 | |
| 535 | ANALOG DEVICES INC COM | 032654105 | | 11/19/2012 | 6/9/2014 | 3,273 | | 0 | 2,366 | 907 | 0 | 0 | 0 | |
| 536 | ARCHER DANIELS MIDLD | 039483102 | | 2/5/2014 | 6/9/2014 | 2,577 | | 0 | 2,215 | 362 | 0 | 0 | 0 | |
| 537 | ARCHER DANIELS MIDLD | 039483102 | | 2/5/2014 | 7/24/2014 | 920 | | 0 | 738 | 182 | 0 | 0 | 0 | |
| 538 | AUTOMATIC DATA PROC | 053015103 | | 5/7/2012 | 9/20/2013 | 299 | | 0 | 215 | 84 | 0 | 0 | 0 | |
| 539 | AUTOMATIC DATA PROC | 053015103 | | 5/7/2012 | 10/10/2013 | 143 | | 0 | 108 | 35 | 0 | 0 | 0 | |
| 540 | AUTOMATIC DATA PROC | 053015103 | | 5/7/2012 | 11/11/2013 | 2,350 | | 0 | 1,668 | 682 | 0 | 0 | 0 | |
| 541 | AUTOMATIC DATA PROC | 053015103 | | 5/7/2012 | 12/9/2013 | 1,181 | | 0 | 807 | 374 | 0 | 0 | 0 | |
| 542 | AUTOMATIC DATA PROC | 053015103 | | 10/16/2012 | 6/9/2014 | 80 | | 0 | 59 | 21 | 0 | 0 | 0 | |
| 543 | AUTOMATIC DATA PROC | 053015103 | | 5/7/2012 | 6/9/2014 | 479 | | 0 | 323 | 156 | 0 | 0 | 0 | |
| 544 | AUTOMATIC DATA PROC | 053015103 | | 11/19/2012 | 6/9/2014 | 1,836 | | 0 | 1,268 | 568 | 0 | 0 | 0 | |
| 545 | AUTOMATIC DATA PROC | 053015103 | | 11/19/2012 | 7/24/2014 | 741 | | 0 | 496 | 245 | 0 | 0 | 0 | |
| 546 | AUTONATION INC | 05329W102 | | 11/5/2013 | 11/11/2013 | 1,246 | | 0 | 1,271 | -25 | 0 | 0 | 0 | |
| 547 | AUTONATION INC | 05329W102 | | 11/5/2013 | 12/9/2013 | 1,065 | | 0 | 1,027 | 38 | 0 | 0 | 0 | |
| 548 | AUTONATION INC | 05329W102 | | 11/5/2013 | 4/7/2014 | 1,546 | | 0 | 1,418 | 128 | 0 | 0 | 0 | |
| 549 | AUTONATION INC | 05329W102 | | 11/5/2013 | 6/9/2014 | 2,451 | | 0 | 2,102 | 349 | 0 | 0 | 0 | |
| 550 | AUTOZONE INC NEVADA COM | 053332102 | | 3/5/2012 | 9/9/2013 | 418 | | 0 | 381 | 37 | 0 | 0 | 0 | |
| 551 | AUTOZONE INC NEVADA COM | 053332102 | | 3/5/2012 | 11/11/2013 | 2 693 | | 0 | 2,285 | 408 | 0 | 0 | 0 | |
| 552 | AUTOZONE INC NEVADA COM | 053332102 | | 3/5/2012 | 12/9/2013 | 1 375 | | 0 | 1,142 | 233 | 0 | 0 | 0 | |
| 553 | AUTOZONE INC NEVADA COM | 053332102 | | 2/12/2013 | 1/2/2014 | 1,895 | | 0 | 1,541 | 354 | 0 | 0 | 0 | |
| 554 | AUTOZONE INC NEVADA COM | 053332102 | | 11/19/2012 | 1/2/2014 | 6,158 | | 0 | 4,968 | 1,190 | 0 | 0 | 0 | 1, |
| 555 | AUTOZONE INC NEVADA COM | 053332102 | | 11/5/2013 | 1/2/2014 | 10,421 | | 0 | 9,570 | 851 | 0 | 0 | 0 | |
| 556 | SECTOR SPDR ENERGY | 81369Y506 | | 11/4/2010 | 9/9/2013 | 832 | | 0 | 688 | 144 | 0 | 0 | 0 | |
| 557 | SECTOR SPDR ENERGY | 81369Y506 | | 11/4/2010 | 9/20/2013 | 340 | | 0 | 275 | 65 | 0 | 0 | 0 | |
| 558 | SECTOR SPDR ENERGY | 81369Y506 | | 11/4/2010 | 11/5/2013 | 520 | | 0 | 413 | 107 | 0 | 0 | 0 | |
| 559 | SECTOR SPDR ENERGY | 81369Y506 | | 5/9/2011 | 11/11/2013 | 2 597 | | 0 | 2,287 | 310 | 0 | 0 | 0 | |
| 560 | SECTOR SPDR ENERGY | 81369Y506 | | 12/13/2010 | 11/11/2013 | 1,125 | | 0 | 870 | 255 | 0 | 0 | 0 | |
| 561 | SECTOR SPDR ENERGY | 81369Y506 | | 9/7/2011 | 11/11/2013 | 346 | | 0 | 271 | 75 | 0 | 0 | 0 | |
| 562 | SECTOR SPDR ENERGY | 81369Y506 | | 11/4/2010 | 11/11/2013 | 346 | | 0 | 275 | 71 | 0 | 0 | 0 | |
| 563 | SECTOR SPDR ENERGY | 81369Y506 | | 2/10/2012 | 12/9/2013 | 952 | | 0 | 805 | 147 | 0 | 0 | 0 | |
| 564 | DREYFUS EMERGING MKTS | 261980494 | | 12/11/2012 | 12/9/2013 | 913 | | 0 | 996 | -83 | 0 | 0 | 0 | |
| 565 | DREYFUS EMERGING MKTS | 261980494 | | 12/11/2012 | 6/9/2014 | 5 | | 0 | 5 | 0 | 0 | 0 | 0 | |
| 566 | DREYFUS EMERGING MKTS | 261980494 | | 12/11/2012 | 6/9/2014 | 3,235 | | 0 | 3,403 | -168 | 0 | 0 | 0 | |
| 567 | DREYFUS EMERGING MKTS | 261980494 | | 12/11/2012 | 6/9/2014 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | |
| 568 | DRIEHAUS ACTIVE INCOME | 262028855 | | 8/14/2013 | 9/9/2013 | 215 | | 0 | 215 | 0 | 0 | 0 | 0 | |
| 569 | DRIEHAUS ACTIVE INCOME | 262028855 | | 8/14/2013 | 9/9/2013 | 11 | | 0 | 11 | 0 | 0 | 0 | 0 | |
| 570 | DRIEHAUS ACTIVE INCOME | 262028855 | | 8/14/2013 | 9/9/2013 | 494 | | 0 | 495 | -1 | 0 | 0 | 0 | |
| 571 | DRIEHAUS ACTIVE INCOME | 262028855 | | 8/14/2013 | 9/9/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 572 | DRIEHAUS ACTIVE INCOME | 262028855 | | 8/14/2013 | 9/9/2013 | 5 | | 0 | 5 | 0 | 0 | 0 | 0 | |
| 573 | DRIEHAUS ACTIVE INCOME | 262028855 | | 8/14/2013 | 11/11/2013 | 5,649 | | 0 | 5,638 | 11 | 0 | 0 | 0 | |
| 574 | DRIEHAUS ACTIVE INCOME | 262028855 | | 9/18/2013 | 11/11/2013 | 6 | | 0 | 6 | 0 | 0 | 0 | 0 | |
| 575 | DRIEHAUS ACTIVE INCOME | 262028855 | | 8/14/2013 | 11/11/2013 | 3 | | 0 | 0 | 3 | 0 | 0 | 0 | |
| 576 | DRIEHAUS ACTIVE INCOME | 262028855 | | 8/14/2013 | 12/9/2013 | 2,303 | | 0 | 2,292 | 11 | 0 | 0 | 0 | |
| 577 | T ROWE PRICE US TREASURY | 77957T107 | | 10/1/2011 | 11/11/2013 | 6 | | 0 | 7 | -1 | 0 | 0 | 0 | |
| 578 | T ROWE PRICE US TREASURY | 77957T107 | | 10/1/2011 | 11/11/2013 | 237 | | 0 | 257 | -20 | 0 | 0 | 0 | |
| 579 | T ROWE PRICE US TREASURY | 77957T107 | | 7/24/2012 | 11/11/2013 | 3,167 | | 0 | 3,406 | -239 | 0 | 0 | 0 | |
| 580 | T ROWE PRICE US TREASURY | 77957T107 | | 11/19/2012 | 11/11/2013 | 6 | | 0 | 6 | 0 | 0 | 0 | 0 | |
| 581 | T ROWE PRICE US TREASURY | 77957T107 | | 7/9/2012 | 11/11/2013 | 338 | | 0 | 361 | -23 | 0 | 0 | 0 | |
| 582 | T ROWE PRICE US TREASURY | 77957T107 | | 3/29/2012 | 11/11/2013 | 391 | | 0 | 408 | -17 | 0 | 0 | 0 | |
| 583 | T ROWE PRICE US TREASURY | 77957T107 | | 11/19/2012 | 11/11/2013 | 3,742 | | 0 | 3,988 | -246 | 0 | 0 | 0 | |
| 584 | T ROWE PRICE US TREASURY | 77957T107 | | 11/19/2012 | 11/11/2013 | 5 | | 0 | 3 | 2 | 0 | 0 | 0 | |
| 585 | T ROWE PRICE US TREASURY | 77957T107 | | 7/24/2012 | 11/11/2013 | 6 | | 0 | 6 | 0 | 0 | 0 | 0 | |
| 586 | T ROWE PRICE US TREASURY | 77957T107 | | 5/8/2012 | 11/11/2013 | 18 | | 0 | 18 | 0 | 0 | 0 | 0 | |
| 587 | T ROWE PRICE US TREASURY | 77957T107 | | 7/9/2012 | 11/11/2013 | 6 | | 0 | 6 | 0 | 0 | 0 | 0 | |
| 588 | T ROWE PRICE US TREASURY | 77957T107 | | 4/7/2012 | 11/11/2013 | 605 | | 0 | 638 | -33 | 0 | 0 | 0 | |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | 0 |

| | Description of Property Sold | CUSIP # | How Acquired | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Excess of FMV Over Adjusted Basis or Losses |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | 1,610,271 | 0 | 395 | 1,482,913 | 127,753 | 0 | 0 | 0 | 127,[illegible] |
| 589 | T ROWE PRICE US TREASURY | 77957T107 | | 9/18/2013 | 11/11/2013 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | |
| 590 | T ROWE PRICE US TREASURY | 77957T107 | | 11/19/2012 | 12/9/2013 | 2,208 | | 0 | 2,389 | -181 | 0 | 0 | 0 | |
| 591 | T ROWE PRICE US TREASURY | 77957T107 | | 11/19/2012 | 12/9/2013 | 1 | | 0 | 2 | -1 | 0 | 0 | 0 | |
| 592 | T ROWE PRICE US TREASURY | 77957T107 | | 11/19/2012 | 12/9/2013 | 3 | | 0 | 3 | 0 | 0 | 0 | 0 | |
| 593 | T ROWE PRICE US TREASURY | 77957T107 | | 11/19/2012 | 6/9/2014 | 8,787 | | 0 | 9 493 | -706 | 0 | 0 | 0 | |
| 594 | T ROWE PRICE US TREASURY | 77957T107 | | 11/19/2012 | 6/9/2014 | 3 | | 0 | 3 | 0 | 0 | 0 | 0 | |
| 595 | T ROWE PRICE US TREASURY | 77957T107 | | 11/19/2012 | 6/9/2014 | 2 | | 0 | 2 | 0 | 0 | 0 | 0 | |
| 596 | SANDISK CORP INC | 80004C101 | | 3/7/2013 | 9/9/2013 | 291 | | 0 | 257 | 34 | 0 | 0 | 0 | |
| 597 | SANDISK CORP INC | 80004C101 | | 3/7/2013 | 9/20/2013 | 60 | | 0 | 51 | 9 | 0 | 0 | 0 | |
| 598 | SANDISK CORP INC | 80004C101 | | 3/7/2013 | 11/5/2013 | 8,903 | | 0 | 6,624 | 2 279 | 0 | 0 | 0 | |
| 599 | SANDISK CORP INC | 80004C101 | | 4/29/2013 | 11/5/2013 | 897 | | 0 | 706 | 191 | 0 | 0 | 0 | |
| 600 | SANDISK CORP INC | 80004C101 | | 4/17/2013 | 11/5/2013 | 3,313 | | 0 | 2,648 | 665 | 0 | 0 | 0 | |
| 601 | SANDISK CORP INC | 80004C101 | | 7/12/2013 | 11/5/2013 | 897 | | 0 | 789 | 108 | 0 | 0 | 0 | |
| 602 | MATERIALS SELECT SECTOR | 81369Y100 | | 11/4/2010 | 9/9/2013 | 288 | | 0 | 250 | 38 | 0 | 0 | 0 | |
| 603 | MATERIALS SELECT SECTOR | 81369Y100 | | 11/4/2010 | 9/20/2013 | 476 | | 0 | 392 | 84 | 0 | 0 | 0 | |
| 604 | MATERIALS SELECT SECTOR | 81369Y100 | | 11/4/2010 | 11/5/2013 | 44 | | 0 | 36 | 8 | 0 | 0 | 0 | |
| 605 | MATERIALS SELECT SECTOR | 81369Y100 | | 10/5/2011 | 11/11/2013 | 44 | | 0 | 30 | 14 | 0 | 0 | 0 | |
| 606 | MATERIALS SELECT SECTOR | 81369Y100 | | 6/3/2011 | 11/11/2013 | 44 | | 0 | 38 | 6 | 0 | 0 | 0 | |
| 607 | MATERIALS SELECT SECTOR | 81369Y100 | | 11/4/2010 | 11/11/2013 | 1 410 | | 0 | 1,142 | 268 | 0 | 0 | 0 | |
| 608 | MATERIALS SELECT SECTOR | 81369Y100 | | 10/3/2012 | 12/9/2013 | 44 | | 0 | 37 | 7 | 0 | 0 | 0 | |
| 609 | MATERIALS SELECT SECTOR | 81369Y100 | | 10/5/2011 | 12/9/2013 | 89 | | 0 | 60 | 29 | 0 | 0 | 0 | |
| 610 | MATERIALS SELECT SECTOR | 81369Y100 | | 11/19/2012 | 12/9/2013 | 133 | | 0 | 107 | 26 | 0 | 0 | 0 | |
| 611 | MATERIALS SELECT SECTOR | 81369Y100 | | 9/6/2012 | 12/9/2013 | 133 | | 0 | 107 | 26 | 0 | 0 | 0 | |
| 612 | DRIEHAUS ACTIVE INCOME | 262028855 | | 8/14/2013 | 6/9/2014 | 6 | | 0 | 6 | 0 | 0 | 0 | 0 | |
| 613 | DRIEHAUS ACTIVE INCOME | 262028855 | | 8/14/2013 | 6/9/2014 | 5 902 | | 0 | 5,886 | 16 | 0 | 0 | 0 | |
| 614 | EOG RESOURCES INC | 26875P101 | | 4/7/2014 | 6/9/2014 | 2,422 | | 0 | 2,198 | 224 | 0 | 0 | 0 | |
| 615 | EOG RESOURCES INC | 26875P101 | | 4/7/2014 | 6/16/2014 | 7,809 | | 0 | 6,892 | 917 | 0 | 0 | 0 | |
| 616 | EASTMAN CHEMICAL CO COM | 277432100 | | 2/5/2014 | 6/9/2014 | 2,429 | | 0 | 2,106 | 323 | 0 | 0 | 0 | |
| 617 | EASTMAN CHEMICAL CO COM | 277432100 | | 2/5/2014 | 7/24/2014 | 88 | | 0 | 78 | 10 | 0 | 0 | 0 | |
| 618 | EXXON MOBIL CORP COM | 30231G102 | | 11/5/2012 | 9/9/2013 | 262 | | 0 | 270 | -8 | 0 | 0 | 0 | |
| 619 | EXXON MOBIL CORP COM | 30231G102 | | 11/5/2012 | 11/11/2013 | 1,019 | | 0 | 992 | 27 | 0 | 0 | 0 | |
| 620 | EXXON MOBIL CORP COM | 30231G102 | | 7/10/2012 | 11/11/2013 | 2,039 | | 0 | 1,855 | 184 | 0 | 0 | 0 | |
| 621 | EXXON MOBIL CORP COM | 30231G102 | | 11/5/2012 | 12/9/2013 | 672 | | 0 | 631 | 41 | 0 | 0 | 0 | |
| 622 | EXXON MOBIL CORP COM | 30231G102 | | 11/5/2012 | 12/9/2013 | 96 | | 0 | 89 | 7 | 0 | 0 | 0 | |
| 623 | EXXON MOBIL CORP COM | 30231G102 | | 7/10/2012 | 12/9/2013 | 672 | | 0 | 590 | 82 | 0 | 0 | 0 | |
| 624 | EXXON MOBIL CORP COM | 30231G102 | | 4/17/2013 | 2/5/2014 | 449 | | 0 | 426 | 23 | 0 | 0 | 0 | |
| 625 | EXXON MOBIL CORP COM | 30231G102 | | 4/29/2013 | 2/5/2014 | 90 | | 0 | 88 | 2 | 0 | 0 | 0 | |
| 626 | EXXON MOBIL CORP COM | 30231G102 | | 11/5/2013 | 2/5/2014 | 269 | | 0 | 279 | -10 | 0 | 0 | 0 | |
| 627 | EXXON MOBIL CORP COM | 30231G102 | | 11/5/2012 | 2/5/2014 | 359 | | 0 | 354 | 5 | 0 | 0 | 0 | |
| 628 | GILEAD SCIENCES INC COM | 375558103 | | 1/2/2014 | 2/5/2014 | 1,035 | | 0 | 977 | 58 | 0 | 0 | 0 | |
| 629 | GILEAD SCIENCES INC COM | 375558103 | | 1/2/2014 | 4/7/2014 | 9 093 | | 0 | 9,547 | -454 | 0 | 0 | 0 | |
| 630 | GOLDMAN SACHS STRATEGIC | 38145C646 | | 8/14/2013 | 9/9/2013 | 733 | | 0 | 732 | 1 | 0 | 0 | 0 | |
| 631 | GOLDMAN SACHS STRATEGIC | 38145C646 | | 8/14/2013 | 9/9/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 632 | GOLDMAN SACHS STRATEGIC | 38145C646 | | 8/14/2013 | 11/11/2013 | 5,892 | | 0 | 5 842 | 50 | 0 | 0 | 0 | |
| 633 | GOLDMAN SACHS STRATEGIC | 38145C646 | | 9/18/2013 | 11/11/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 634 | GOLDMAN SACHS STRATEGIC | 38145C646 | | 9/18/2013 | 12/9/2013 | 5 | | 0 | 5 | 0 | 0 | 0 | 0 | |
| 635 | GOLDMAN SACHS STRATEGIC | 38145C646 | | 8/14/2013 | 12/9/2013 | 2,391 | | 0 | 2,362 | 29 | 0 | 0 | 0 | |
| 636 | EXXON MOBIL CORP COM | 30231G102 | | 11/23/2012 | 2/5/2014 | 269 | | 0 | 284 | -15 | 0 | 0 | 0 | |
| 637 | FLUOR CORP NEWDEL COM | 343412102 | | 1/5/2012 | 9/20/2013 | 1,041 | | 0 | 725 | 316 | 0 | 0 | 0 | |
| 638 | EXXON MOBIL CORP COM | 30231G102 | | 2/12/2013 | 2/5/2014 | 629 | | 0 | 618 | 11 | 0 | 0 | 0 | |
| 639 | EXXON MOBIL CORP COM | 30231G102 | | 11/19/2012 | 2/5/2014 | 5 209 | | 0 | 5 063 | 146 | 0 | 0 | 0 | |
| 640 | EXXON MOBIL CORP COM | 30231G102 | | 7/10/2012 | 6/9/2014 | 1,221 | | 0 | 1,012 | 209 | 0 | 0 | 0 | |
| 641 | EXXON MOBIL CORP COM | 30231G102 | | 11/19/2012 | 6/9/2014 | 916 | | 0 | 786 | 130 | 0 | 0 | 0 | |
| 642 | EXXON MOBIL CORP COM | 30231G102 | | 11/19/2012 | 7/24/2014 | 627 | | 0 | 524 | 103 | 0 | 0 | 0 | |
| 643 | FLUOR CORP NEWDEL COM | 343412102 | | 5/8/2012 | 9/20/2013 | 446 | | 0 | 328 | 118 | 0 | 0 | 0 | |
| 644 | FLUOR CORP NEWDEL COM | 343412102 | | 7/9/2012 | 11/5/2013 | 601 | | 0 | 387 | 214 | 0 | 0 | 0 | |
| 645 | FLUOR CORP NEWDEL COM | 343412102 | | 11/19/2012 | 11/11/2013 | 1,325 | | 0 | 899 | 426 | 0 | 0 | 0 | |
| 646 | FIFTH THIRD BANCORP | 316773100 | | 4/7/2014 | 6/9/2014 | 3,462 | | 0 | 3,682 | -220 | 0 | 0 | 0 | |
| 647 | FIFTH THIRD BANCORP | 316773100 | | 4/7/2014 | 6/9/2014 | 325 | | 21 | 345 | 1 | 0 | 0 | 0 | |
| 648 | FLUOR CORP NEWDEL COM | 343412102 | | 9/20/2011 | 9/9/2013 | 260 | | 0 | 238 | 22 | 0 | 0 | 0 | |
| 649 | FLUOR CORP NEWDEL COM | 343412102 | | 9/28/2011 | 9/9/2013 | 65 | | 0 | 58 | 7 | 0 | 0 | 0 | |
| 650 | FLUOR CORP NEWDEL COM | 343412102 | | 7/9/2012 | 9/20/2013 | 74 | | 0 | 48 | 26 | 0 | 0 | 0 | |
| 651 | FLUOR CORP NEWDEL COM | 343412102 | | 7/9/2012 | 11/11/2013 | 702 | | 0 | 435 | 267 | 0 | 0 | 0 | |
| 652 | FLUOR CORP NEWDEL COM | 343412102 | | 11/19/2012 | 12/9/2013 | 309 | | 0 | 211 | 98 | 0 | 0 | 0 | |
| 653 | FLUOR CORP NEWDEL COM | 343412102 | | 11/19/2012 | 4/7/2014 | 1,083 | | 0 | 740 | 343 | 0 | 0 | 0 | |
| 654 | FLUOR CORP NEWDEL COM | 343412102 | | 11/19/2012 | 6/9/2014 | 1,985 | | 0 | 1,322 | 663 | 0 | 0 | 0 | |
| 655 | FLUOR CORP NEWDEL COM | 343412102 | | 4/17/2013 | 6/16/2014 | 2,305 | | 0 | 1,642 | 663 | 0 | 0 | 0 | |
| 656 | DRIEHAUS ACTIVE INCOME | 262028855 | | 8/14/2013 | 12/9/2013 | 3 | | 0 | 3 | 0 | 0 | 0 | 0 | |
| 657 | DRIEHAUS ACTIVE INCOME | 262028855 | | 8/14/2013 | 12/9/2013 | 8 | | 0 | 8 | 0 | 0 | 0 | 0 | |
| 658 | FLUOR CORP NEWDEL COM | 343412102 | | 11/19/2012 | 6/16/2014 | 4,149 | | 0 | 2,855 | 1,294 | 0 | 0 | 0 | |
| 659 | MATERIALS SELECT SECTOR | 81369Y100 | | 7/9/2012 | 12/9/2013 | 89 | | 0 | 70 | 19 | 0 | 0 | 0 | |
| 660 | MATERIALS SELECT SECTOR | 81369Y100 | | 11/19/2012 | 4/7/2014 | 330 | | 0 | 250 | 80 | 0 | 0 | 0 | |
| 661 | MATERIALS SELECT SECTOR | 81369Y100 | | 11/19/2012 | 6/9/2014 | 2 283 | | 0 | 1,643 | 640 | 0 | 0 | 0 | |
| 662 | HEALTH CARE SELECT SPDR | 81369Y209 | | 3/3/2011 | 9/9/2013 | 151 | | 0 | 98 | 53 | 0 | 0 | 0 | |
| 663 | HEALTH CARE SELECT SPDR | 81369Y209 | | 1/5/2012 | 9/9/2013 | 906 | | 0 | 630 | 276 | 0 | 0 | 0 | |
| 664 | HEALTH CARE SELECT SPDR | 81369Y209 | | 1/5/2012 | 9/20/2013 | 52 | | 0 | 35 | 17 | 0 | 0 | 0 | |
| 665 | HEALTH CARE SELECT SPDR | 81369Y209 | | 1/5/2012 | 11/5/2013 | 318 | | 0 | 210 | 108 | 0 | 0 | 0 | |
| 666 | HEALTH CARE SELECT SPDR | 81369Y209 | | 1/5/2012 | 11/11/2013 | 3,460 | | 0 | 2 274 | 1,186 | 0 | 0 | 0 | |
| 667 | HEALTH CARE SELECT SPDR | 81369Y209 | | 2/10/2012 | 11/11/2013 | 1,916 | | 0 | 1 291 | 625 | 0 | 0 | 0 | |
| 668 | HEALTH CARE SELECT SPDR | 81369Y209 | | 2/10/2012 | 12/9/2013 | 1,441 | | 0 | 932 | 509 | 0 | 0 | 0 | |
| 669 | HEALTH CARE SELECT SPDR | 81369Y209 | | 9/6/2012 | 12/9/2013 | 222 | | 0 | 157 | 65 | 0 | 0 | 0 | |
| 670 | HEALTH CARE SELECT SPDR | 81369Y209 | | 11/19/2012 | 12/9/2013 | 1 274 | | 0 | 905 | 369 | 0 | 0 | 0 | |
| 671 | HEALTH CARE SELECT SPDR | 81369Y209 | | 11/19/2012 | 6/9/2014 | 7,971 | | 0 | 5,236 | 2,735 | 0 | 0 | 0 | |
| 672 | SECTOR SPDR CONSMRS STPL | 81369Y308 | | 1/5/2012 | 9/9/2013 | 915 | | 0 | 744 | 171 | 0 | 0 | 0 | |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | 0 |

| | Description of Property Sold | CUSIP # | How Acquired | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Excess of FMV Over Adjusted Basis or Losses |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | 1,610,271 | 0 | 395 | 1,482,913 | 127,753 | 0 | 0 | 0 | 127, |
| 673 | SECTOR SPDR CONSMRS STPL | 81369Y308 | | 1/5/2012 | 11/5/2013 | 85 | | 0 | 65 | 20 | 0 | 0 | 0 | |
| 674 | SECTOR SPDR CONSMRS STPL | 81369Y308 | | 2/3/2012 | 11/11/2013 | 1,450 | | 0 | 1,108 | 342 | 0 | 0 | 0 | |
| 675 | SECTOR SPDR CONSMRS STPL | 81369Y308 | | 1/5/2012 | 11/11/2013 | 3,325 | | 0 | 2,524 | 801 | 0 | 0 | 0 | |
| 676 | SECTOR SPDR CONSMRS STPL | 81369Y308 | | 2/3/2012 | 12/9/2013 | 389 | | 0 | 293 | 96 | 0 | 0 | 0 | |
| 677 | SECTOR SPDR CONSMRS STPL | 81369Y308 | | 2/10/2012 | 12/9/2013 | 1,427 | | 0 | 1,077 | 350 | 0 | 0 | 0 | |
| 678 | SECTOR SPDR CONSMRS STPL | 81369Y308 | | 9/6/2012 | 2/5/2014 | 361 | | 0 | 322 | 39 | 0 | 0 | 0 | |
| 679 | SECTOR SPDR CONSMRS STPL | 81369Y308 | | 2/10/2012 | 2/5/2014 | 241 | | 0 | 196 | 45 | 0 | 0 | 0 | |
| 680 | SECTOR SPDR CONSMRS STPL | 81369Y308 | | 11/19/2012 | 2/5/2014 | 2,008 | | 0 | 1,747 | 261 | 0 | 0 | 0 | |
| 681 | SECTOR SPDR CONSMRS STPL | 81369Y308 | | 11/19/2012 | 4/7/2014 | 514 | | 0 | 419 | 95 | 0 | 0 | 0 | |
| 682 | SECTOR SPDR CONSMRS STPL | 81369Y308 | | 11/19/2012 | 6/9/2014 | 6,547 | | 0 | 5 066 | 1,481 | 0 | 0 | 0 | 1, |
| 683 | SECTOR SPDR CONSMRS STPL | 81369Y308 | | 11/19/2012 | 6/16/2014 | 134 | | 0 | 105 | 29 | 0 | 0 | 0 | |
| 684 | CONSUMER DISCRETIONARY | 81369Y407 | | 11/4/2010 | 9/9/2013 | 999 | | 0 | 618 | 381 | 0 | 0 | 0 | |
| 685 | CONSUMER DISCRETIONARY | 81369Y407 | | 11/4/2010 | 9/20/2013 | 61 | | 0 | 36 | 25 | 0 | 0 | 0 | |
| 686 | CONSUMER DISCRETIONARY | 81369Y407 | | 11/4/2010 | 9/20/2013 | 491 | | 0 | 301 | 190 | 0 | 0 | 0 | |
| 687 | CONSUMER DISCRETIONARY | 81369Y407 | | 11/4/2010 | 11/5/2013 | 318 | | 0 | 188 | 130 | 0 | 0 | 0 | |
| 688 | CONSUMER DISCRETIONARY | 81369Y407 | | 11/24/2010 | 11/5/2013 | 572 | | 0 | 344 | 228 | 0 | 0 | 0 | |
| 689 | CONSUMER DISCRETIONARY | 81369Y407 | | 11/17/2010 | 11/5/2013 | 127 | | 0 | 72 | 55 | 0 | 0 | 0 | |
| 690 | CONSUMER DISCRETIONARY | 81369Y407 | | 2/3/2011 | 11/11/2013 | 1,457 | | 0 | 869 | 588 | 0 | 0 | 0 | |
| 691 | CONSUMER DISCRETIONARY | 81369Y407 | | 1/5/2012 | 11/11/2013 | 697 | | 0 | 434 | 263 | 0 | 0 | 0 | |
| 692 | CONSUMER DISCRETIONARY | 81369Y407 | | 1/5/2011 | 11/11/2013 | 1,647 | | 0 | 986 | 661 | 0 | 0 | 0 | |
| 693 | CONSUMER DISCRETIONARY | 81369Y407 | | 11/24/2010 | 11/11/2013 | 887 | | 0 | 536 | 351 | 0 | 0 | 0 | |
| 694 | FLUOR CORP NEW DEL COM | 343412102 | | 7/12/2013 | 6/16/2014 | 615 | | 0 | 493 | 122 | 0 | 0 | 0 | |
| 695 | FLUOR CORP NEW DEL COM | 343412102 | | 4/29/2013 | 6/16/2014 | 230 | | 0 | 170 | 60 | 0 | 0 | 0 | |
| 696 | FORD MOTOR CO | 345370860 | | 1/28/2013 | 9/20/2013 | 265 | | 0 | 205 | 60 | 0 | 0 | 0 | |
| 697 | FORD MOTOR CO | 345370860 | | 1/28/2013 | 11/11/2013 | 1,059 | | 0 | 862 | 197 | 0 | 0 | 0 | |
| 698 | FORD MOTOR CO | 345370860 | | 1/28/2013 | 12/9/2013 | 266 | | 0 | 219 | 47 | 0 | 0 | 0 | |
| 699 | FORD MOTOR CO | 345370860 | | 1/28/2013 | 6/9/2014 | 2,542 | | 0 | 2,038 | 504 | 0 | 0 | 0 | |
| 700 | FORD MOTOR CO | 345370860 | | 1/28/2013 | 7/24/2014 | 770 | | 0 | 588 | 182 | 0 | 0 | 0 | |
| 701 | GAMESTOP CORP NEW CL A | 36467W109 | | 11/5/2013 | 11/11/2013 | 1,306 | | 0 | 1,330 | -24 | 0 | 0 | 0 | |
| 702 | GAMESTOP CORP NEW CL A | 36467W109 | | 11/5/2013 | 12/9/2013 | 46 | | 0 | 55 | -9 | 0 | 0 | 0 | |
| 703 | GAMESTOP CORP NEW CL A | 36467W109 | | 11/5/2013 | 1/28/2014 | 7 055 | | 0 | 10 862 | -3 807 | 0 | 0 | 0 | -3, |
| 704 | GENL DYNAMICS CORP COM | 369550108 | | 7/9/2012 | 9/9/2013 | 254 | | 0 | 195 | 59 | 0 | 0 | 0 | |
| 705 | GENL DYNAMICS CORP COM | 369550108 | | 7/9/2012 | 9/20/2013 | 269 | | 0 | 195 | 74 | 0 | 0 | 0 | |
| 706 | GENL DYNAMICS CORP COM | 369550108 | | 7/9/2012 | 11/11/2013 | 1,046 | | 0 | 779 | 267 | 0 | 0 | 0 | |
| 707 | GENL DYNAMICS CORP COM | 369550108 | | 7/9/2012 | 12/9/2013 | 545 | | 0 | 389 | 156 | 0 | 0 | 0 | |
| 708 | GENL DYNAMICS CORP COM | 369550108 | | 9/6/2012 | 12/9/2013 | 182 | | 0 | 131 | 51 | 0 | 0 | 0 | |
| 709 | GENL DYNAMICS CORP COM | 369550108 | | 9/6/2012 | 2/5/2014 | 99 | | 0 | 65 | 34 | 0 | 0 | 0 | |
| 710 | GENL DYNAMICS CORP COM | 369550108 | | 11/19/2012 | 2/5/2014 | 792 | | 0 | 511 | 281 | 0 | 0 | 0 | |
| 711 | GENL DYNAMICS CORP COM | 369550108 | | 11/19/2012 | 6/9/2014 | 2,667 | | 0 | 1,405 | 1,262 | 0 | 0 | 0 | 1, |
| 712 | GENERAL ELECTRIC | 369604103 | | 1/28/2013 | 10/10/2013 | 168 | | 0 | 158 | 10 | 0 | 0 | 0 | |
| 713 | GENERAL ELECTRIC | 369604103 | | 1/28/2013 | 11/11/2013 | 1,669 | | 0 | 1,397 | 272 | 0 | 0 | 0 | |
| 714 | GENERAL ELECTRIC | 369604103 | | 1/28/2013 | 12/9/2013 | 245 | | 0 | 203 | 42 | 0 | 0 | 0 | |
| 715 | GENERAL ELECTRIC | 369604103 | | 1/28/2013 | 6/9/2014 | 1,398 | | 0 | 1,150 | 248 | 0 | 0 | 0 | |
| 716 | GENERAL MOTORS CO | 37045V100 | | 2/5/2014 | 6/9/2014 | 2,196 | | 0 | 2,132 | 64 | 0 | 0 | 0 | |
| 717 | GOLDMAN SACHS STRATEGIC | 38145C646 | | 9/18/2013 | 6/9/2014 | 2 | | 0 | 2 | 0 | 0 | 0 | 0 | |
| 718 | GOLDMAN SACHS STRATEGIC | 38145C646 | | 8/14/2013 | 6/9/2014 | 6,313 | | 0 | 6 166 | 147 | 0 | 0 | 0 | |
| 719 | HDFC BANK LTD ADR | 40415F101 | | 7/18/2013 | 11/11/2013 | 458 | | 0 | 533 | -75 | 0 | 0 | 0 | |
| 720 | HDFC BANK LTD ADR | 40415F101 | | 7/18/2013 | 12/10/2013 | 72 | | 0 | 76 | -4 | 0 | 0 | 0 | |
| 721 | CONSUMER DISCRETIONARY | 81369Y407 | | 2/10/2012 | 12/9/2013 | 977 | | 0 | 636 | 341 | 0 | 0 | 0 | |
| 722 | CONSUMER DISCRETIONARY | 81369Y407 | | 1/5/2012 | 12/9/2013 | 1 367 | | 0 | 829 | 538 | 0 | 0 | 0 | |
| 723 | CONSUMER DISCRETIONARY | 81369Y407 | | 7/9/2012 | 2/5/2014 | 1 859 | | 0 | 1,307 | 552 | 0 | 0 | 0 | |
| 724 | CONSUMER DISCRETIONARY | 81369Y407 | | 2/10/2012 | 2/5/2014 | 248 | | 0 | 170 | 78 | 0 | 0 | 0 | |
| 725 | CONSUMER DISCRETIONARY | 81369Y407 | | 10/3/2012 | 6/9/2014 | 201 | | 0 | 141 | 60 | 0 | 0 | 0 | |
| 726 | CONSUMER DISCRETIONARY | 81369Y407 | | 7/9/2012 | 6/9/2014 | 403 | | 0 | 261 | 142 | 0 | 0 | 0 | |
| 727 | CONSUMER DISCRETIONARY | 81369Y407 | | 11/19/2012 | 6/9/2014 | 6,038 | | 0 | 4,147 | 1,891 | 0 | 0 | 0 | 1, |
| 728 | CONSUMER DISCRETIONARY | 81369Y407 | | 11/19/2012 | 6/16/2014 | 789 | | 0 | 553 | 236 | 0 | 0 | 0 | |
| 729 | SECTOR SPDR ENERGY | 81369Y506 | | 11/4/2010 | 9/9/2013 | 83 | | 0 | 61 | 22 | 0 | 0 | 0 | |
| 730 | ISHARES U S | 464287713 | | 1/5/2012 | 11/11/2013 | 980 | | 0 | 710 | 270 | 0 | 0 | 0 | |
| 731 | ISHARES U S | 464287713 | | 1/5/2012 | 12/9/2013 | 171 | | 0 | 125 | 46 | 0 | 0 | 0 | |
| 732 | ISHARES U S | 464287713 | | 2/10/2012 | 12/9/2013 | 57 | | 0 | 44 | 13 | 0 | 0 | 0 | |
| 733 | ISHARES U S | 464287713 | | 10/3/2012 | 2/5/2014 | 28 | | 0 | 26 | 2 | 0 | 0 | 0 | |
| 734 | ISHARES U S | 464287713 | | 5/8/2012 | 2/5/2014 | 196 | | 0 | 151 | 45 | 0 | 0 | 0 | |
| 735 | ISHARES U S | 464287713 | | 11/19/2012 | 2/5/2014 | 1,515 | | 0 | 1,257 | 258 | 0 | 0 | 0 | |
| 736 | ISHARES U S | 464287713 | | 2/10/2012 | 2/5/2014 | 505 | | 0 | 397 | 108 | 0 | 0 | 0 | |
| 737 | ISHARES U S | 464287713 | | 11/19/2012 | 4/7/2014 | 59 | | 0 | 47 | 12 | 0 | 0 | 0 | |
| 738 | ISHARES U S | 464287713 | | 11/19/2012 | 6/9/2014 | 1,075 | | 0 | 838 | 237 | 0 | 0 | 0 | |
| 739 | ISHARES U S TECHNOLOGY | 464287721 | | 11/4/2010 | 9/9/2013 | 1 568 | | 0 | 1 267 | 301 | 0 | 0 | 0 | |
| 740 | ISHARES U S TECHNOLOGY | 464287721 | | 11/17/2010 | 9/20/2013 | 402 | | 0 | 309 | 93 | 0 | 0 | 0 | |
| 741 | ISHARES U S TECHNOLOGY | 464287721 | | 11/4/2010 | 9/20/2013 | 804 | | 0 | 633 | 171 | 0 | 0 | 0 | |
| 742 | ISHARES U S TECHNOLOGY | 464287721 | | 11/18/2010 | 9/20/2013 | 80 | | 0 | 59 | 21 | 0 | 0 | 0 | |
| 743 | ISHARES U S TECHNOLOGY | 464287721 | | 12/13/2010 | 11/5/2013 | 1,156 | | 0 | 904 | 252 | 0 | 0 | 0 | |
| 744 | ISHARES U S TECHNOLOGY | 464287721 | | 11/18/2010 | 11/5/2013 | 83 | | 0 | 59 | 24 | 0 | 0 | 0 | |
| 745 | ISHARES U S TECHNOLOGY | 464287721 | | 3/3/2011 | 11/11/2013 | 1,908 | | 0 | 1,569 | 339 | 0 | 0 | 0 | |
| 746 | ISHARES U S TECHNOLOGY | 464287721 | | 1/5/2012 | 11/11/2013 | 5 308 | | 0 | 4,172 | 1,136 | 0 | 0 | 0 | 1 |
| 747 | ISHARES U S TECHNOLOGY | 464287721 | | 12/13/2010 | 11/11/2013 | 498 | | 0 | 387 | 111 | 0 | 0 | 0 | |
| 748 | ISHARES U S TECHNOLOGY | 464287721 | | 1/5/2012 | 12/9/2013 | 259 | | 0 | 196 | 63 | 0 | 0 | 0 | |
| 749 | TEMPLETON GLBL BOND FD | 880208400 | | 7/24/2012 | 8/14/2013 | 904 | | 0 | 898 | 6 | 0 | 0 | 0 | |
| 750 | TEMPLETON GLBL BOND FD | 880208400 | | 7/9/2012 | 8/14/2013 | 9 | | 0 | 9 | 0 | 0 | 0 | 0 | |
| 751 | TEMPLETON GLBL BOND FD | 880208400 | | 11/19/2012 | 9/9/2013 | 850 | | 0 | 887 | -37 | 0 | 0 | 0 | |
| 752 | NEXTERA ENERGY INC SHS | 65339F101 | | 10/10/2013 | 7/24/2014 | 297 | | 0 | 241 | 56 | 0 | 0 | 0 | |
| 753 | NEXTERA ENERGY INC SHS | 65339F101 | | 1/28/2013 | 7/24/2014 | 890 | | 0 | 654 | 236 | 0 | 0 | 0 | |
| 754 | NIKE INC CL B | 654106103 | | 5/3/2013 | 9/20/2013 | 1,176 | | 0 | 1 104 | 72 | 0 | 0 | 0 | |
| 755 | NIKE INC CL B | 654106103 | | 5/3/2013 | 10/10/2013 | 1,171 | | 0 | 1 039 | 132 | 0 | 0 | 0 | |
| 756 | NIKE INC CL B | 654106103 | | 5/3/2013 | 11/11/2013 | 1,697 | | 0 | 1,429 | 268 | 0 | 0 | 0 | |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | 0 |

| | Description of Property Sold | CUSIP # | How Acquired | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Excess of FMV Over Adjusted Basis or Losses |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | 1,610,271 | 0 | 395 | 1,482,913 | 127,753 | 0 | 0 | 0 | 127, |
| 757 | NIKE INC CL B | 654106103 | | 5/3/2013 | 12/9/2013 | 798 | | 0 | 650 | 148 | 0 | 0 | 0 | |
| 758 | NIKE INC CL B | 654106103 | | 5/3/2013 | 2/5/2014 | 8,916 | | 0 | 8,184 | 732 | 0 | 0 | 0 | |
| 759 | O'REILLY AUTOMOTIVE INC | 67103H107 | | 11/5/2012 | 9/9/2013 | 245 | | 0 | 178 | 67 | 0 | 0 | 0 | |
| 760 | O'REILLY AUTOMOTIVE INC | 67103H107 | | 11/5/2012 | 11/11/2013 | 1,241 | | 0 | 889 | 352 | 0 | 0 | 0 | |
| 761 | O'REILLY AUTOMOTIVE INC | 67103H107 | | 11/5/2012 | 12/9/2013 | 496 | | 0 | 356 | 140 | 0 | 0 | 0 | |
| 762 | O'REILLY AUTOMOTIVE INC | 67103H107 | | 11/5/2012 | 2/5/2014 | 806 | | 0 | 533 | 273 | 0 | 0 | 0 | |
| 763 | O'REILLY AUTOMOTIVE INC | 67103H107 | | 11/19/2012 | 2/5/2014 | 269 | | 0 | 183 | 86 | 0 | 0 | 0 | |
| 764 | O'REILLY AUTOMOTIVE INC | 67103H107 | | 11/19/2012 | 4/7/2014 | 1,736 | | 0 | 1 096 | 640 | 0 | 0 | 0 | |
| 765 | O'REILLY AUTOMOTIVE INC | 67103H107 | | 11/19/2012 | 6/9/2014 | 2,129 | | 0 | 1,279 | 850 | 0 | 0 | 0 | |
| 766 | DASSAULT SYSTEMES SA | F2457H100 | | 7/19/2013 | 8/1/2013 | 263 | | 0 | 269 | -6 | 0 | 0 | 0 | |
| 767 | DASSAULT SYSTEMES SA | F2457H100 | | 7/19/2013 | 9/23/2013 | 133 | | 0 | 134 | -1 | 0 | 0 | 0 | |
| 768 | DASSAULT SYSTEMES SA | F2457H100 | | 7/19/2013 | 11/12/2013 | 117 | | 18 | 134 | 1 | 0 | 0 | 0 | |
| 769 | TEMPLETON GLBL BOND FD | 880208400 | | 7/9/2012 | 9/9/2013 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | |
| 770 | TEMPLETON GLBL BOND FD | 880208400 | | 11/19/2012 | 9/9/2013 | 5 | | 0 | 6 | -1 | 0 | 0 | 0 | |
| 771 | TEMPLETON GLBL BOND FD | 880208400 | | 11/19/2012 | 9/18/2013 | 8 | | 0 | 8 | 0 | 0 | 0 | 0 | |
| 772 | TEMPLETON GLBL BOND FD | 880208400 | | 6/20/2013 | 9/18/2013 | 4 | | 0 | 4 | 0 | 0 | 0 | 0 | |
| 773 | TEMPLETON GLBL BOND FD | 880208400 | | 4/1/2013 | 9/18/2013 | 1 907 | | 0 | 1,953 | -46 | 0 | 0 | 0 | |
| 774 | TEMPLETON GLBL BOND FD | 880208400 | | 6/20/2013 | 9/18/2013 | 22,633 | | 0 | 22,113 | 520 | 0 | 0 | 0 | |
| 775 | TEMPLETON GLBL BOND FD | 880208400 | | 11/19/2012 | 9/18/2013 | 11,467 | | 0 | 11,800 | -333 | 0 | 0 | 0 | |
| 776 | TEMPLETON GLBL BOND FD | 880208400 | | 6/20/2013 | 11/11/2013 | 2,559 | | 0 | 2,514 | 45 | 0 | 0 | 0 | |
| 777 | TEMPLETON GLBL BOND FD | 880208400 | | 6/20/2013 | 11/11/2013 | 4 | | 0 | 4 | 0 | 0 | 0 | 0 | |
| 778 | TCW TOTAL RETURN | 87234N880 | | 2/10/2012 | 9/9/2013 | 799 | | 0 | 786 | 13 | 0 | 0 | 0 | |
| 779 | TCW TOTAL RETURN | 87234N880 | | 3/29/2012 | 11/11/2013 | 353 | | 0 | 342 | 11 | 0 | 0 | 0 | |
| 780 | TCW TOTAL RETURN | 87234N880 | | 11/19/2012 | 11/11/2013 | 1,584 | | 0 | 1,597 | -13 | 0 | 0 | 0 | |
| 781 | TCW TOTAL RETURN | 87234N880 | | 7/9/2012 | 11/11/2013 | 525 | | 0 | 507 | 18 | 0 | 0 | 0 | |
| 782 | TCW TOTAL RETURN | 87234N880 | | 3/29/2012 | 11/11/2013 | 10 | | 0 | 10 | 0 | 0 | 0 | 0 | |
| 783 | TCW TOTAL RETURN | 87234N880 | | 7/24/2012 | 11/11/2013 | 10 | | 0 | 10 | 0 | 0 | 0 | 0 | |
| 784 | DASSAULT SYSTEMES SA | F2457H100 | | 7/19/2013 | 11/12/2013 | 583 | | 0 | 672 | -89 | 0 | 0 | 0 | |
| 785 | DASSAULT SYSTEMES SA | F2457H100 | | 7/19/2013 | 12/11/2013 | 116 | | 0 | 134 | -18 | 0 | 0 | 0 | |
| 786 | DASSAULT SYSTEMES SA | F2457H472 | | 7/19/2013 | 1/30/2014 | 121 | | 0 | 134 | -13 | 0 | 0 | 0 | |
| 787 | DASSAULT SYSTEMES SA | F2457H472 | | 7/19/2013 | 6/12/2014 | 894 | | 0 | 941 | -47 | 0 | 0 | 0 | |
| 788 | GDF SUEZ | F42768105 | | 7/19/2013 | 8/1/2013 | 65 | | 0 | 62 | 3 | 0 | 0 | 0 | |
| 789 | GDF SUEZ | F42768105 | | 7/19/2013 | 9/23/2013 | 175 | | 0 | 144 | 31 | 0 | 0 | 0 | |
| 790 | GDF SUEZ | F42768105 | | 7/19/2013 | 11/12/2013 | 322 | | 0 | 267 | 55 | 0 | 0 | 0 | |
| 791 | GDF SUEZ | F42768105 | | 7/19/2013 | 12/11/2013 | 69 | | 0 | 62 | 7 | 0 | 0 | 0 | |
| 792 | GDF SUEZ | F42768105 | | 7/19/2013 | 1/30/2014 | 22 | | 0 | 20 | 2 | 0 | 0 | 0 | |
| 793 | GDF SUEZ | F42768105 | | 7/19/2013 | 6/12/2014 | 641 | | 0 | 472 | 169 | 0 | 0 | 0 | |
| 794 | L V M H EUR 0 3 | F58485115 | | 7/19/2013 | 8/1/2013 | 183 | | 0 | 175 | 8 | 0 | 0 | 0 | |
| 795 | L V M H EUR 0 3 | F58485115 | | 7/19/2013 | 11/12/2013 | 749 | | 0 | 700 | 49 | 0 | 0 | 0 | |
| 796 | L V M H EUR 0 3 | F58485115 | | 7/19/2013 | 6/12/2014 | 782 | | 0 | 700 | 82 | 0 | 0 | 0 | |
| 797 | PERNOD RICARD | F72027109 | | 7/19/2013 | 9/23/2013 | 126 | | 0 | 114 | 12 | 0 | 0 | 0 | |
| 798 | PERNOD RICARD | F72027109 | | 7/19/2013 | 11/12/2013 | 352 | | 0 | 342 | 10 | 0 | 0 | 0 | |
| 799 | PERNOD RICARD | F72027109 | | 7/19/2013 | 6/12/2014 | 716 | | 0 | 685 | 31 | 0 | 0 | 0 | |
| 800 | PUBLICIS GROUPE | F7607Z165 | | 7/19/2013 | 8/1/2013 | 558 | | 0 | 545 | 13 | 0 | 0 | 0 | |
| 801 | PUBLICIS GROUPE | F7607Z165 | | 7/19/2013 | 11/12/2013 | 514 | | 0 | 467 | 47 | 0 | 0 | 0 | |
| 802 | PUBLICIS GROUPE | F7607Z165 | | 7/19/2013 | 12/11/2013 | 175 | | 0 | 156 | 19 | 0 | 0 | 0 | |
| 803 | PUBLICIS GROUPE | F7607Z165 | | 7/19/2013 | 4/29/2014 | 3,991 | | 0 | 3,738 | 253 | 0 | 0 | 0 | |
| 804 | SCHNEIDER ELECTRIC SE | F86921107 | | 1/21/2014 | 1/30/2014 | 82 | | 0 | 89 | -7 | 0 | 0 | 0 | |
| 805 | SCHNEIDER ELECTRIC SE | F86921107 | | 1/21/2014 | 3/24/2014 | 264 | | 0 | 266 | -2 | 0 | 0 | 0 | |
| 806 | SCHNEIDER ELECTRIC SE | F86921107 | | 1/21/2014 | 6/12/2014 | 1,407 | | 0 | 1,323 | 84 | 0 | 0 | 0 | |
| 807 | ARCOS DORADOS HOLDNG INC | G0457F107 | | 7/18/2013 | 11/11/2013 | 674 | | 0 | 704 | -30 | 0 | 0 | 0 | |
| 808 | SECTOR SPDR ENERGY | 81369Y506 | | 10/5/2011 | 12/9/2013 | 346 | | 0 | 233 | 113 | 0 | 0 | 0 | |
| 809 | SECTOR SPDR ENERGY | 81369Y506 | | 5/8/2012 | 4/7/2014 | 810 | | 0 | 604 | 206 | 0 | 0 | 0 | |
| 810 | SECTOR SPDR ENERGY | 81369Y506 | | 2/10/2012 | 4/7/2014 | 540 | | 0 | 439 | 101 | 0 | 0 | 0 | |
| 811 | SECTOR SPDR ENERGY | 81369Y506 | | 5/8/2012 | 6/9/2014 | 97 | | 0 | 67 | 30 | 0 | 0 | 0 | |
| 812 | SECTOR SPDR ENERGY | 81369Y506 | | 11/19/2012 | 6/9/2014 | 8,074 | | 0 | 5,835 | 2,239 | 0 | 0 | 0 | 2, |
| 813 | SECTOR SPDR FINANCIAL | 81369Y605 | | 2/10/2012 | 9/9/2013 | 1,331 | | 0 | 985 | 346 | 0 | 0 | 0 | |
| 814 | SECTOR SPDR FINANCIAL | 81369Y605 | | 2/10/2012 | 11/11/2013 | 6,395 | | 0 | 4,498 | 1,897 | 0 | 0 | 0 | 1, |
| 815 | SECTOR SPDR FINANCIAL | 81369Y605 | | 7/9/2012 | 11/11/2013 | 1,003 | | 0 | 696 | 307 | 0 | 0 | 0 | |
| 816 | SECTOR SPDR FINANCIAL | 81369Y605 | | 11/19/2012 | 12/9/2013 | 537 | | 0 | 391 | 146 | 0 | 0 | 0 | |
| 817 | SECTOR SPDR FINANCIAL | 81369Y605 | | 7/9/2012 | 12/9/2013 | 2 601 | | 0 | 1,755 | 846 | 0 | 0 | 0 | |
| 818 | SECTOR SPDR FINANCIAL | 81369Y605 | | 10/3/2012 | 12/9/2013 | 107 | | 0 | 79 | 28 | 0 | 0 | 0 | |
| 819 | SECTOR SPDR FINANCIAL | 81369Y605 | | 11/19/2012 | 4/7/2014 | 750 | | 0 | 531 | 219 | 0 | 0 | 0 | |
| 820 | SECTOR SPDR FINANCIAL | 81369Y605 | | 11/19/2012 | 6/9/2014 | 10,094 | | 0 | 6,891 | 3 203 | 0 | 0 | 0 | 3, |
| 821 | SECTOR SPDR INDUSTRIAL | 81369Y704 | | 11/4/2010 | 9/9/2013 | 810 | | 0 | 594 | 216 | 0 | 0 | 0 | |
| 822 | SECTOR SPDR INDUSTRIAL | 81369Y704 | | 11/4/2010 | 9/20/2013 | 1,192 | | 0 | 825 | 367 | 0 | 0 | 0 | |
| 823 | SECTOR SPDR INDUSTRIAL | 81369Y704 | | 11/4/2010 | 11/5/2013 | 637 | | 0 | 429 | 208 | 0 | 0 | 0 | |
| 824 | TCW TOTAL RETURN | 87234N880 | | 2/10/2012 | 11/11/2013 | 928 | | 0 | 891 | 37 | 0 | 0 | 0 | |
| 825 | TCW TOTAL RETURN | 87234N880 | | 7/9/2012 | 11/11/2013 | 10 | | 0 | 10 | 0 | 0 | 0 | 0 | |
| 826 | TCW TOTAL RETURN | 87234N880 | | 7/24/2012 | 11/11/2013 | 3,834 | | 0 | 3,761 | 73 | 0 | 0 | 0 | |
| 827 | TCW TOTAL RETURN | 87234N880 | | 9/18/2013 | 11/11/2013 | 5 | | 0 | 4 | 1 | 0 | 0 | 0 | |
| 828 | TCW TOTAL RETURN | 87234N880 | | 11/19/2012 | 12/9/2013 | 5 | | 0 | 4 | 1 | 0 | 0 | 0 | |
| 829 | TCW TOTAL RETURN | 87234N880 | | 9/18/2013 | 12/9/2013 | 4 | | 0 | 4 | 0 | 0 | 0 | 0 | |
| 830 | TCW TOTAL RETURN | 87234N880 | | 11/19/2012 | 12/9/2013 | 2,276 | | 0 | 2,298 | -22 | 0 | 0 | 0 | |
| 831 | TCW TOTAL RETURN | 87234N880 | | 11/19/2012 | 6/9/2014 | 7,355 | | 0 | 7,312 | 43 | 0 | 0 | 0 | |
| 832 | TCW TOTAL RETURN | 87234N880 | | 11/19/2012 | 6/9/2014 | 4 | | 0 | 4 | 0 | 0 | 0 | 0 | |
| 833 | TCW TOTAL RETURN | 87234N880 | | 11/19/2012 | 6/9/2014 | 5 | | 0 | 5 | 0 | 0 | 0 | 0 | |
| 834 | TAIWAN S MANUFCTRING ADR | 874039100 | | 11/10/2010 | 9/20/2013 | 139 | | 0 | 91 | 48 | 0 | 0 | 0 | |
| 835 | TAIWAN S MANUFCTRING ADR | 874039100 | | 11/19/2012 | 11/11/2013 | 481 | | 0 | 444 | 37 | 0 | 0 | 0 | |
| 836 | TAIWAN S MANUFCTRING ADR | 874039100 | | 11/10/2010 | 11/11/2013 | 392 | | 0 | 249 | 143 | 0 | 0 | 0 | |
| 837 | TAIWAN S MANUFCTRING ADR | 874039100 | | 11/19/2012 | 12/10/2013 | 139 | | 0 | 132 | 7 | 0 | 0 | 0 | |
| 838 | TAIWAN S MANUFCTRING ADR | 874039100 | | 11/19/2012 | 1/29/2014 | 68 | | 0 | 66 | 2 | 0 | 0 | 0 | |
| 839 | TAIWAN S MANUFCTRING ADR | 874039100 | | 7/18/2013 | 6/10/2014 | 598 | | 0 | 477 | 121 | 0 | 0 | 0 | |
| 840 | TAIWAN S MANUFCTRING ADR | 874039100 | | 11/19/2012 | 6/10/2014 | 855 | | 0 | 658 | 197 | 0 | 0 | 0 | |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | 0 |

| | Description of Property Sold | CUSIP # | How Acquired | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Excess of FMV Over Adjusted Basis or Losses |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | 1,610,271 | 0 | 395 | 1,482,913 | 127,753 | 0 | 0 | 0 | 127, |
| 841 | TEMPLETON GLBL BOND FD | 880208400 | | 11/19/2012 | 8/14/2013 | 8,165 | | 0 | 8,494 | -329 | 0 | 0 | 0 | |
| 842 | ISHARES U S TECHNOLOGY | 464287721 | | 2/10/2012 | 12/9/2013 | 862 | | 0 | 722 | 140 | 0 | 0 | 0 | |
| 843 | ISHARES U S TECHNOLOGY | 464287721 | | 7/9/2012 | 12/9/2013 | 3,017 | | 0 | 2,470 | 547 | 0 | 0 | 0 | |
| 844 | ISHARES U S TECHNOLOGY | 464287721 | | 7/9/2012 | 6/9/2014 | 673 | | 0 | 494 | 179 | 0 | 0 | 0 | |
| 845 | ISHARES U S TECHNOLOGY | 464287721 | | 11/19/2012 | 6/9/2014 | 13,268 | | 0 | 9,507 | 3,761 | 0 | 0 | 0 | 3, |
| 846 | ISHARES U S TECHNOLOGY | 464287721 | | 10/3/2012 | 6/9/2014 | 385 | | 0 | 305 | 80 | 0 | 0 | 0 | |
| 847 | JPMORGAN CHASE & CO | 46625H100 | | 4/29/2013 | 8/9/2013 | 55 | | 0 | 49 | 6 | 0 | 0 | 0 | |
| 848 | JPMORGAN CHASE & CO | 46625H100 | | 4/17/2013 | 8/9/2013 | 386 | | 0 | 330 | 56 | 0 | 0 | 0 | |
| 849 | JPMORGAN CHASE & CO | 46625H100 | | 7/12/2013 | 8/9/2013 | 275 | | 0 | 278 | -3 | 0 | 0 | 0 | |
| 850 | JPMORGAN CHASE & CO | 46625H100 | | 2/5/2013 | 8/9/2013 | 8,482 | | 0 | 7,409 | 1,073 | 0 | 0 | 0 | 1, |
| 851 | JP MORGAN STRATEGIC | 4812A4351 | | 8/14/2013 | 9/9/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 852 | JP MORGAN STRATEGIC | 4812A4351 | | 8/14/2013 | 9/9/2013 | 260 | | 1 | 261 | 0 | 0 | 0 | 0 | |
| 853 | JP MORGAN STRATEGIC | 4812A4351 | | 8/14/2013 | 9/9/2013 | 474 | | 0 | 475 | -1 | 0 | 0 | 0 | |
| 854 | JP MORGAN STRATEGIC | 4812A4351 | | 8/14/2013 | 11/11/2013 | 1 | | 0 | 0 | 1 | 0 | 0 | 0 | |
| 855 | ARCOS DORADOS HOLDNG INC | G0457F107 | | 7/18/2013 | 11/11/2013 | 23 | | 1 | 24 | 0 | 0 | 0 | 0 | |
| 856 | ARCOS DORADOS HOLDNG INC | G0457F107 | | 7/18/2013 | 12/10/2013 | 94 | | 0 | 95 | -1 | 0 | 0 | 0 | |
| 857 | ARCOS DORADOS HOLDNG INC | G0457F107 | | 7/18/2013 | 4/29/2014 | 1,336 | | 0 | 1,706 | -370 | 0 | 0 | 0 | |
| 858 | ARCOS DORADOS HOLDNG INC | G0457F107 | | 8/1/2013 | 4/30/2014 | 18 | | 0 | 25 | -7 | 0 | 0 | 0 | |
| 859 | ARCOS DORADOS HOLDNG INC | G0457F107 | | 7/18/2013 | 4/30/2014 | 1,632 | | 0 | 2,123 | -491 | 0 | 0 | 0 | |
| 860 | BARCLAYS ORD GBP 0 25 | G08036124 | | 7/19/2013 | 8/1/2013 | 348 | | 0 | 383 | -35 | 0 | 0 | 0 | |
| 861 | BARCLAYS ORD GBP 0 25 | G08036124 | | 7/19/2013 | 9/23/2013 | 192 | | 0 | 197 | -5 | 0 | 0 | 0 | |
| 862 | BARCLAYS ORD GBP 0 25 | G08036124 | | 7/19/2013 | 11/12/2013 | 800 | | 0 | 877 | -77 | 0 | 0 | 0 | |
| 863 | JP MORGAN STRATEGIC | 4812A4351 | | 8/14/2013 | 11/11/2013 | 5 760 | | 0 | 5,745 | 15 | 0 | 0 | 0 | |
| 864 | JP MORGAN STRATEGIC | 4812A4351 | | 9/18/2013 | 11/11/2013 | 6 | | 0 | 6 | 0 | 0 | 0 | 0 | |
| 865 | JP MORGAN STRATEGIC | 4812A4351 | | 8/14/2013 | 12/9/2013 | 2,190 | | 0 | 2 184 | 6 | 0 | 0 | 0 | |
| 866 | JP MORGAN STRATEGIC | 4812A4351 | | 8/14/2013 | 12/9/2013 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | |
| 867 | JP MORGAN STRATEGIC | 4812A4351 | | 8/14/2013 | 6/9/2014 | 2 | | 0 | 2 | 0 | 0 | 0 | 0 | |
| 868 | JP MORGAN STRATEGIC | 4812A4351 | | 8/14/2013 | 6/9/2014 | 5,984 | | 0 | 5,959 | 25 | 0 | 0 | 0 | |
| 869 | KDDI CORP SHS | 48667L106 | | 2/21/2012 | 11/11/2013 | 978 | | 0 | 562 | 416 | 0 | 0 | 0 | |
| 870 | KDDI CORP SHS | 48667L106 | | 2/21/2012 | 12/10/2013 | 183 | | 0 | 99 | 84 | 0 | 0 | 0 | |
| 871 | KDDI CORP SHS | 48667L106 | | 2/21/2012 | 1/29/2014 | 72 | | 0 | 41 | 31 | 0 | 0 | 0 | |
| 872 | KDDI CORP SHS | 48667L106 | | 11/19/2012 | 3/24/2014 | 99 | | 0 | 67 | 32 | 0 | 0 | 0 | |
| 873 | KDDI CORP SHS | 48667L106 | | 2/21/2012 | 3/24/2014 | 142 | | 0 | 83 | 59 | 0 | 0 | 0 | |
| 874 | KDDI CORP SHS | 48667L106 | | 11/19/2012 | 6/10/2014 | 926 | | 0 | 623 | 303 | 0 | 0 | 0 | |
| 875 | KDDI CORP SHS | 48667L106 | | 11/19/2012 | 6/11/2014 | 101 | | 0 | 67 | 34 | 0 | 0 | 0 | |
| 876 | KRAFT FOODS GROUP INC | 50076Q106 | | 1/28/2013 | 11/11/2013 | 942 | | 0 | 857 | 85 | 0 | 0 | 0 | |
| 877 | KRAFT FOODS GROUP INC | 50076Q106 | | 1/28/2013 | 12/9/2013 | 767 | | 0 | 667 | 100 | 0 | 0 | 0 | |
| 878 | KRAFT FOODS GROUP INC | 50076Q106 | | 1/28/2013 | 2/5/2014 | 8,959 | | 0 | 8,379 | 580 | 0 | 0 | 0 | |
| 879 | KRAFT FOODS GROUP INC | 50076Q106 | | 10/10/2013 | 2/5/2014 | 356 | | 0 | 371 | -15 | 0 | 0 | 0 | |
| 880 | KRAFT FOODS GROUP INC | 50076Q106 | | 4/17/2013 | 2/5/2014 | 102 | | 0 | 101 | 1 | 0 | 0 | 0 | |
| 881 | ELI LILLY & CO | 532457108 | | 1/28/2013 | 11/11/2013 | 1,795 | | 0 | 1 912 | -117 | 0 | 0 | 0 | |
| 882 | ELI LILLY & CO | 532457108 | | 1/28/2013 | 12/9/2013 | 712 | | 31 | 744 | -1 | 0 | 0 | 0 | |
| 883 | ELI LILLY & CO | 532457108 | | 2/21/2013 | 2/5/2014 | 633 | | 0 | 638 | -5 | 0 | 0 | 0 | |
| 884 | ELI LILLY & CO | 532457108 | | 1/2/2014 | 5/5/2014 | 8 333 | | 0 | 7,232 | 1,101 | 0 | 0 | 0 | 1, |
| 885 | ELI LILLY & CO | 532457108 | | 2/21/2013 | 5/5/2014 | 117 | | 0 | 106 | 11 | 0 | 0 | 0 | |
| 886 | TEMPLETON GLBL BOND FD | 880208400 | | 6/20/2013 | 12/9/2013 | 942 | | 0 | 919 | 23 | 0 | 0 | 0 | |
| 887 | TEMPLETON GLBL BOND FD | 880208400 | | 6/20/2013 | 12/9/2013 | 2 | | 0 | 2 | 0 | 0 | 0 | 0 | |
| 888 | TEMPLETON GLBL BOND FD | 880208400 | | 6/20/2013 | 6/9/2014 | 3,055 | | 0 | 2,909 | 146 | 0 | 0 | 0 | |
| 889 | TEMPLETON GLBL BOND FD | 880208400 | | 6/20/2013 | 6/9/2014 | 2 | | 0 | 2 | 0 | 0 | 0 | 0 | |
| 890 | TERADATA CORP DEL | 88076W103 | | 1/5/2012 | 9/9/2013 | 344 | | 0 | 294 | 50 | 0 | 0 | 0 | |
| 891 | TERADATA CORP DEL | 88076W103 | | 1/5/2012 | 11/5/2013 | 344 | | 0 | 392 | -48 | 0 | 0 | 0 | |
| 892 | TEVA PHARMACTCL INDS ADR | 881624209 | | 1/28/2013 | 10/10/2013 | 883 | | 0 | 832 | 51 | 0 | 0 | 0 | |
| 893 | TERADATA CORP DEL | 88076W103 | | 11/19/2012 | 11/5/2013 | 4,562 | | 0 | 6,584 | -2,022 | 0 | 0 | 0 | -2, |
| 894 | TERADATA CORP DEL | 88076W103 | | 2/12/2013 | 11/5/2013 | 1,119 | | 0 | 1,611 | -492 | 0 | 0 | 0 | |
| 895 | TERADATA CORP DEL | 88076W103 | | 7/9/2012 | 11/5/2013 | 344 | | 0 | 524 | -180 | 0 | 0 | 0 | |
| 896 | TERADATA CORP DEL | 88076W103 | | 4/17/2013 | 11/5/2013 | 1,721 | | 0 | 2,110 | -389 | 0 | 0 | 0 | |
| 897 | TERADATA CORP DEL | 88076W103 | | 4/29/2013 | 11/5/2013 | 732 | | 0 | 877 | -145 | 0 | 0 | 0 | |
| 898 | TEVA PHARMACTCL INDS ADR | 881624209 | | 1/28/2013 | 11/11/2013 | 371 | | 0 | 378 | -7 | 0 | 0 | 0 | |
| 899 | TEVA PHARMACTCL INDS ADR | 881624209 | | 1/28/2013 | 12/9/2013 | 1,520 | | 0 | 1,438 | 82 | 0 | 0 | 0 | |
| 900 | TEVA PHARMACTCL INDS ADR | 881624209 | | 1/28/2013 | 2/5/2014 | 5,054 | | 0 | 4,238 | 816 | 0 | 0 | 0 | |
| 901 | TEVA PHARMACTCL INDS ADR | 881624209 | | 1/28/2013 | 6/9/2014 | 2,482 | | 0 | 1 816 | 666 | 0 | 0 | 0 | |
| 902 | TEVA PHARMACTCL INDS ADR | 881624209 | | 1/28/2013 | 7/24/2014 | 822 | | 0 | 568 | 254 | 0 | 0 | 0 | |
| 903 | TEXAS INSTRUMENTS | 882508104 | | 4/17/2013 | 9/20/2013 | 613 | | 0 | 518 | 95 | 0 | 0 | 0 | |
| 904 | TEXAS INSTRUMENTS | 882508104 | | 4/17/2013 | 10/10/2013 | 522 | | 0 | 449 | 73 | 0 | 0 | 0 | |
| 905 | TEXAS INSTRUMENTS | 882508104 | | 4/17/2013 | 11/11/2013 | 2,172 | | 0 | 1,794 | 378 | 0 | 0 | 0 | |
| 906 | TEXAS INSTRUMENTS | 882508104 | | 4/17/2013 | 12/9/2013 | 954 | | 0 | 759 | 195 | 0 | 0 | 0 | |
| 907 | TEXAS INSTRUMENTS | 882508104 | | 4/17/2013 | 6/9/2014 | 3 356 | | 0 | 2,415 | 941 | 0 | 0 | 0 | |
| 908 | TEXAS INSTRUMENTS | 882508104 | | 4/17/2013 | 7/24/2014 | 3 395 | | 0 | 2,450 | 945 | 0 | 0 | 0 | |
| 909 | 3M COMPANY | 88579Y101 | | 2/5/2014 | 6/9/2014 | 2,032 | | 0 | 1,794 | 238 | 0 | 0 | 0 | |
| 910 | 3M COMPANY | 88579Y101 | | 2/5/2014 | 7/24/2014 | 291 | | 0 | 256 | 35 | 0 | 0 | 0 | |
| 911 | TURKCELL ILETISIM ADR | 900111204 | | 2/11/2014 | 6/10/2014 | 747 | | 0 | 618 | 129 | 0 | 0 | 0 | |
| 912 | UBS AG REG | 917998304 | | 7/19/2013 | 9/23/2013 | 821 | | 0 | 718 | 103 | 0 | 0 | 0 | |
| 913 | UBS AG REG | 917998304 | | 7/19/2013 | 11/12/2013 | 736 | | 0 | 737 | -1 | 0 | 0 | 0 | |
| 914 | UBS AG REG | 917998304 | | 7/19/2013 | 11/12/2013 | 92 | | 0 | 92 | 0 | 0 | 0 | 0 | |
| 915 | UBS AG REG | 917998304 | | 7/19/2013 | 12/11/2013 | 222 | | 0 | 221 | 1 | 0 | 0 | 0 | |
| 916 | UBS AG REG | 917998304 | | 7/19/2013 | 1/30/2014 | 20 | | 0 | 18 | 2 | 0 | 0 | 0 | |
| 917 | UBS AG REG | 917998304 | | 7/19/2013 | 6/12/2014 | 1,095 | | 0 | 998 | 97 | 0 | 0 | 0 | |
| 918 | VALERO ENERGY CORP NEW | 91913Y100 | | 7/9/2013 | 9/9/2013 | 258 | | 0 | 245 | 13 | 0 | 0 | 0 | |
| 919 | VALERO ENERGY CORP NEW | 91913Y100 | | 10/16/2012 | 10/10/2013 | 386 | | 0 | 298 | 88 | 0 | 0 | 0 | |
| 920 | VALERO ENERGY CORP NEW | 91913Y100 | | 7/9/2013 | 11/5/2013 | 1 237 | | 0 | 1,049 | 188 | 0 | 0 | 0 | |
| 921 | VALERO ENERGY CORP NEW | 91913Y100 | | 10/16/2012 | 11/11/2013 | 680 | | 0 | 461 | 219 | 0 | 0 | 0 | |
| 922 | VALERO ENERGY CORP NEW | 91913Y100 | | 11/19/2012 | 11/11/2013 | 2 039 | | 0 | 1,422 | 617 | 0 | 0 | 0 | |
| 923 | VALERO ENERGY CORP NEW | 91913Y100 | | 7/9/2013 | 11/11/2013 | 920 | | 0 | 804 | 116 | 0 | 0 | 0 | |
| 924 | VALERO ENERGY CORP NEW | 91913Y100 | | 11/19/2012 | 12/9/2013 | 2 248 | | 0 | 1 338 | 910 | 0 | 0 | 0 | |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | 0 |

| | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | 1,610,271 | 0 | 395 | 1,482,913 | 127,753 | 0 | 0 | 0 | 127, |
| Description of Property Sold | CUSIP # | How Acquired | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Exces of FMV Over Adjust Basis or Losses |
| 925 VALERO ENERGY CORP NEW | 91913Y100 | | 7/9/2013 | 12/9/2013 | 1,733 | | 0 | 1,293 | 440 | 0 | 0 | 0 | |
| 926 ELI LILLY & CO | 532457108 | | 4/7/2014 | 5/5/2014 | 704 | | 0 | 716 | -12 | 0 | 0 | 0 | |
| 927 ELI LILLY & CO | 532457108 | | 1/28/2013 | 6/9/2014 | 3 634 | | 0 | 3,240 | 394 | 0 | 0 | 0 | |
| 928 ELI LILLY & CO | 532457108 | | 1/28/2013 | 7/24/2014 | 4,323 | | 0 | 3,559 | 764 | 0 | 0 | 0 | |
| 929 LORD ABBETT INCOME | 543916365 | | 7/31/2012 | 8/14/2013 | 32,687 | | 0 | 34,178 | -1,491 | 0 | 0 | 0 | |
| 930 LORD ABBETT INCOME | 543916365 | | 7/31/2012 | 8/14/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 931 LORD ABBETT INCOME | 543916365 | | 7/31/2012 | 8/14/2013 | 2 | | 0 | 2 | 0 | 0 | 0 | 0 | |
| 932 LORD ABBETT INCOME | 543916365 | | 7/31/2012 | 9/9/2013 | 1,087 | | 0 | 1,153 | -66 | 0 | 0 | 0 | |
| 933 LORD ABBETT INCOME | 543916365 | | 7/31/2012 | 9/9/2013 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | |
| 934 LORD ABBETT INCOME | 543916365 | | 11/19/2012 | 9/18/2013 | 1,159 | | 0 | 1,236 | -77 | 0 | 0 | 0 | |
| 935 LORD ABBETT INCOME | 543916365 | | 7/31/2012 | 9/18/2013 | 9,344 | | 0 | 9,804 | -460 | 0 | 0 | 0 | |
| 936 LORD ABBETT INCOME | 543916365 | | 7/31/2012 | 9/18/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 937 LORD ABBETT INCOME | 543916365 | | 11/19/2012 | 9/18/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 938 LORD ABBETT INCOME | 543916365 | | 11/19/2012 | 11/11/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 939 LORD ABBETT INCOME | 543916365 | | 11/19/2012 | 11/11/2013 | 8,000 | | 0 | 8 505 | -505 | 0 | 0 | 0 | |
| 940 LORD ABBETT INCOME | 543916365 | | 11/19/2012 | 12/9/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 941 LORD ABBETT INCOME | 543916365 | | 11/19/2012 | 12/9/2013 | 2,809 | | 0 | 2,975 | -166 | 0 | 0 | 0 | |
| 942 LORD ABBETT INCOME | 543916365 | | 11/19/2012 | 12/9/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 943 LORD ABBETT INCOME | 543916365 | | 11/19/2012 | 6/9/2014 | 9 114 | | 0 | 9,393 | -279 | 0 | 0 | 0 | |
| 944 LORD ABBETT INCOME | 543916365 | | 11/19/2012 | 6/9/2014 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 945 LOWE'S COMPANIES INC | 548661107 | | 10/16/2012 | 9/20/2013 | 1 129 | | 0 | 746 | 383 | 0 | 0 | 0 | |
| 946 LOWE'S COMPANIES INC | 548661107 | | 10/16/2012 | 10/10/2013 | 238 | | 0 | 162 | 76 | 0 | 0 | 0 | |
| 947 LOWE'S COMPANIES INC | 548661107 | | 10/16/2012 | 11/11/2013 | 1,471 | | 0 | 973 | 498 | 0 | 0 | 0 | |
| 948 LOWE'S COMPANIES INC | 548661107 | | 10/16/2012 | 12/9/2013 | 96 | | 0 | 65 | 31 | 0 | 0 | 0 | |
| 949 LOWE'S COMPANIES INC | 548661107 | | 10/16/2012 | 6/9/2014 | 1,529 | | 0 | 1,037 | 492 | 0 | 0 | 0 | |
| 950 LOWE'S COMPANIES INC | 548661107 | | 10/16/2012 | 7/24/2014 | 288 | | 0 | 195 | 93 | 0 | 0 | 0 | |
| 951 MFS EMERGING MARKETS | 55273E640 | | 9/18/2013 | 11/11/2013 | 7 | | 0 | 7 | 0 | 0 | 0 | 0 | |
| 952 MFS EMERGING MARKETS | 55273E640 | | 9/18/2013 | 11/11/2013 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | |
| 953 BARCLAYS ORD GBP 0 25 | G08036124 | | 7/19/2013 | 11/12/2013 | 167 | | 16 | 184 | -1 | 0 | 0 | 0 | |
| 954 SECTOR SPDR INDUSTRIAL | 81369Y704 | | 11/4/2010 | 11/11/2013 | 2 464 | | 0 | 1,760 | 704 | 0 | 0 | 0 | |
| 955 SECTOR SPDR INDUSTRIAL | 81369Y704 | | 11/4/2010 | 11/11/2013 | 1,429 | | 0 | 957 | 472 | 0 | 0 | 0 | |
| 956 INTEL CORP | 458140100 | | 11/19/2012 | 11/11/2013 | 72 | | 0 | 61 | 11 | 0 | 0 | 0 | |
| 957 INTEL CORP | 458140100 | | 10/16/2012 | 11/11/2013 | 555 | | 0 | 515 | 40 | 0 | 0 | 0 | |
| 958 INTEL CORP | 458140100 | | 6/3/2011 | 11/11/2013 | 338 | | 0 | 307 | 31 | 0 | 0 | 0 | |
| 959 INTEL CORP | 458140100 | | 4/6/2011 | 11/11/2013 | 555 | | 0 | 460 | 95 | 0 | 0 | 0 | |
| 960 INTEL CORP | 458140100 | | 11/19/2012 | 12/9/2013 | 869 | | 0 | 709 | 160 | 0 | 0 | 0 | |
| 961 INTEL CORP | 458140100 | | 11/19/2012 | 2/5/2014 | 2,727 | | 0 | 2,329 | 398 | 0 | 0 | 0 | |
| 962 MFS EMERGING MARKETS | 55273E640 | | 9/18/2013 | 11/11/2013 | 2,370 | | 0 | 2,372 | -2 | 0 | 0 | 0 | |
| 963 MFS EMERGING MARKETS | 55273E640 | | 9/18/2013 | 12/9/2013 | 726 | | 0 | 732 | -6 | 0 | 0 | 0 | |
| 964 MFS EMERGING MARKETS | 55273E640 | | 9/18/2013 | 12/9/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 965 MFS EMERGING MARKETS | 55273E640 | | 9/18/2013 | 6/9/2014 | 3,537 | | 0 | 3,367 | 170 | 0 | 0 | 0 | |
| 966 MFS EMERGING MARKETS | 55273E640 | | 9/18/2013 | 6/9/2014 | 13 | | 0 | 12 | 1 | 0 | 0 | 0 | |
| 967 MAINSTAY HIGH YIELD | 56062X708 | | 11/3/2010 | 8/14/2013 | 3,992 | | 0 | 3,926 | 66 | 0 | 0 | 0 | |
| 968 MAINSTAY HIGH YIELD | 56062X708 | | 7/24/2012 | 8/14/2013 | 627 | | 0 | 623 | 4 | 0 | 0 | 0 | |
| 969 MAINSTAY HIGH YIELD | 56062X708 | | 3/29/2012 | 8/14/2013 | 223 | | 0 | 220 | 3 | 0 | 0 | 0 | |
| 970 MAINSTAY HIGH YIELD | 56062X708 | | 11/3/2010 | 8/14/2013 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | |
| 971 VALERO ENERGY CORP NEW | 91913Y100 | | 7/9/2013 | 2/5/2014 | 7,705 | | 0 | 5,768 | 1,937 | 0 | 0 | 0 | |
| 972 VALERO ENERGY CORP NEW | 91913Y100 | | 11/19/2012 | 6/9/2014 | 2,832 | | 0 | 1 422 | 1,410 | 0 | 0 | 0 | |
| 973 VERTEX PHARMCTLS INC | 92532F100 | | 11/5/2013 | 11/11/2013 | 483 | | 0 | 523 | -40 | 0 | 0 | 0 | |
| 974 VERTEX PHARMCTLS INC | 92532F100 | | 11/5/2013 | 12/9/2013 | 1,529 | | 0 | 1,504 | 25 | 0 | 0 | 0 | |
| 975 INTEL CORP | 458140100 | | 11/19/2012 | 6/9/2014 | 2,872 | | 0 | 2,066 | 806 | 0 | 0 | 0 | |
| 976 INTEL CORP | 458140100 | | 11/19/2012 | 7/24/2014 | 2,227 | | 0 | 1,316 | 911 | 0 | 0 | 0 | |
| 977 INTERCONTINENTAL | 45866F104 | | 4/7/2014 | 6/9/2014 | 949 | | 0 | 983 | -34 | 0 | 0 | 0 | |
| 978 HDFC BANK LTD ADR | 40415F101 | | 7/18/2013 | 6/10/2014 | 615 | | 0 | 495 | 120 | 0 | 0 | 0 | |
| 979 HESS CORP | 42809H107 | | 1/8/2013 | 9/9/2013 | 233 | | 0 | 166 | 67 | 0 | 0 | 0 | |
| 980 HESS CORP | 42809H107 | | 1/8/2013 | 9/20/2013 | 398 | | 0 | 277 | 121 | 0 | 0 | 0 | |
| 981 HESS CORP | 42809H107 | | 1/8/2013 | 11/5/2013 | 81 | | 0 | 55 | 26 | 0 | 0 | 0 | |
| 982 HESS CORP | 42809H107 | | 10/10/2013 | 11/11/2013 | 886 | | 0 | 889 | -3 | 0 | 0 | 0 | |
| 983 HESS CORP | 42809H107 | | 1/8/2013 | 11/11/2013 | 1,209 | | 0 | 832 | 377 | 0 | 0 | 0 | |
| 984 HESS CORP | 42809H107 | | 1/8/2013 | 12/9/2013 | 322 | | 0 | 222 | 100 | 0 | 0 | 0 | |
| 985 HESS CORP | 42809H107 | | 10/10/2013 | 12/9/2013 | 402 | | 0 | 404 | -2 | 0 | 0 | 0 | |
| 986 HESS CORP | 42809H107 | | 10/10/2013 | 6/9/2014 | 2,640 | | 0 | 2,262 | 378 | 0 | 0 | 0 | |
| 987 HESS CORP | 42809H107 | | 1/8/2013 | 6/9/2014 | 2,546 | | 0 | 1 497 | 1,049 | 0 | 0 | 0 | |
| 988 HESS CORP | 42809H107 | | 1/8/2013 | 6/16/2014 | 288 | | 0 | 166 | 122 | 0 | 0 | 0 | |
| 989 HESS CORP | 42809H107 | | 10/10/2013 | 7/24/2014 | 1,008 | | 0 | 808 | 200 | 0 | 0 | 0 | |
| 990 HONEYWELL INTL INC DEL | 438516106 | | 11/19/2012 | 9/20/2013 | 260 | | 0 | 181 | 79 | 0 | 0 | 0 | |
| 991 HONEYWELL INTL INC DEL | 438516106 | | 11/19/2012 | 11/11/2013 | 1,394 | | 0 | 966 | 428 | 0 | 0 | 0 | |
| 992 HONEYWELL INTL INC DEL | 438516106 | | 11/19/2012 | 12/9/2013 | 440 | | 0 | 302 | 138 | 0 | 0 | 0 | |
| 993 VERTEX PHARMCTLS INC | 92532F100 | | 11/5/2013 | 2/5/2014 | 2,035 | | 0 | 1,700 | 335 | 0 | 0 | 0 | |
| 994 VERTEX PHARMCTLS INC | 92532F100 | | 11/5/2013 | 4/7/2014 | 8,355 | | 0 | 8,434 | -79 | 0 | 0 | 0 | |
| 995 WAL-MART STORES INC | 931142103 | | 2/10/2012 | 11/11/2013 | 631 | | 0 | 493 | 138 | 0 | 0 | 0 | |
| 996 WAL-MART STORES INC | 931142103 | | 6/3/2011 | 11/11/2013 | 1,026 | | 0 | 693 | 333 | 0 | 0 | 0 | |
| 997 WAL-MART STORES INC | 931142103 | | 3/29/2012 | 12/9/2013 | 160 | | 0 | 122 | 38 | 0 | 0 | 0 | |
| 998 WAL-MART STORES INC | 931142103 | | 2/10/2012 | 12/9/2013 | 401 | | 0 | 308 | 93 | 0 | 0 | 0 | |
| 999 WAL-MART STORES INC | 931142103 | | 11/19/2012 | 6/9/2014 | 1,154 | | 0 | 1,034 | 120 | 0 | 0 | 0 | |
| 1000 WAL-MART STORES INC | 931142103 | | 3/29/2012 | 6/9/2014 | 385 | | 0 | 304 | 81 | 0 | 0 | 0 | |
| 1001 WAL-MART STORES INC | 931142103 | | 11/19/2012 | 7/24/2014 | 153 | | 0 | 138 | 15 | 0 | 0 | 0 | |
| 1002 WELLS FARGO & CO NEW DEL | 949746101 | | 8/3/2012 | 9/9/2013 | 249 | | 0 | 204 | 45 | 0 | 0 | 0 | |
| 1003 WELLS FARGO & CO NEW DEL | 949746101 | | 5/3/2013 | 10/10/2013 | 206 | | 0 | 189 | 17 | 0 | 0 | 0 | |
| 1004 WELLS FARGO & CO NEW DEL | 949746101 | | 11/19/2012 | 10/10/2013 | 7,947 | | 0 | 6,235 | 1,712 | 0 | 0 | 0 | |
| 1005 WELLS FARGO & CO NEW DEL | 949746101 | | 10/16/2012 | 10/10/2013 | 2,717 | | 0 | 2,237 | 480 | 0 | 0 | 0 | |
| 1006 WELLS FARGO & CO NEW DEL | 949746101 | | 1/28/2013 | 10/10/2013 | 494 | | 0 | 424 | 70 | 0 | 0 | 0 | |
| 1007 WELLS FARGO & CO NEW DEL | 949746101 | | 9/6/2012 | 11/11/2013 | 128 | | 0 | 102 | 26 | 0 | 0 | 0 | |
| 1008 WELLS FARGO & CO NEW DEL | 949746101 | | 8/3/2012 | 11/11/2013 | 1,026 | | 0 | 814 | 212 | 0 | 0 | 0 | |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | 0 |

| # | Description of Property Sold | CUSIP # | How Acquired | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Excess of FMV Over Adjusted Basis or Losses |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | 1,610,271 | 0 | 395 | 1,482,913 | 127,753 | 0 | 0 | 0 | 127, |
| 1009 | WELLS FARGO & CO NEW DEL | 949746101 | | 9/6/2012 | 12/9/2013 | 619 | | 0 | 478 | 141 | 0 | 0 | 0 | |
| 1010 | WELLS FARGO & CO NEW DEL | 949746101 | | 9/6/2012 | 2/5/2014 | 178 | | 0 | 136 | 42 | 0 | 0 | 0 | |
| 1011 | WELLS FARGO & CO NEW DEL | 949746101 | | 11/19/2012 | 6/9/2014 | 2,308 | | 0 | 1,421 | 887 | 0 | 0 | 0 | |
| 1012 | WHIRLPOOL CORP | 963320106 | | 8/9/2013 | 9/9/2013 | 266 | | 0 | 269 | -3 | 0 | 0 | 0 | |
| 1013 | WHIRLPOOL CORP | 963320106 | | 8/9/2013 | 11/5/2013 | 10,470 | | 0 | 9 547 | 923 | 0 | 0 | 0 | |
| 1014 | WHIRLPOOL CORP | 963320106 | | 5/5/2014 | 6/9/2014 | 429 | | 0 | 461 | -32 | 0 | 0 | 0 | |
| 1015 | WHIRLPOOL CORP | 963320106 | | 2/5/2014 | 6/9/2014 | 1,716 | | 0 | 1,564 | 152 | 0 | 0 | 0 | |
| 1016 | WHIRLPOOL CORP | 963320106 | | 5/5/2014 | 6/16/2014 | 7,300 | | 0 | 8,146 | -846 | 0 | 0 | 0 | |
| 1017 | WHIRLPOOL CORP | 963320106 | | 2/5/2014 | 7/24/2014 | 436 | | 0 | 391 | 45 | 0 | 0 | 0 | |
| 1018 | ERSTE GROUP BANK AG | A19494102 | | 7/19/2013 | 8/1/2013 | 183 | | 0 | 168 | 15 | 0 | 0 | 0 | |
| 1019 | ERSTE GROUP BANK AG | A19494102 | | 7/19/2013 | 9/23/2013 | 32 | | 0 | 28 | 4 | 0 | 0 | 0 | |
| 1020 | ERSTE GROUP BANK AG | A19494102 | | 7/19/2013 | 11/12/2013 | 483 | | 0 | 393 | 90 | 0 | 0 | 0 | |
| 1021 | ERSTE GROUP BANK AG | A19494102 | | 7/19/2013 | 12/11/2013 | 102 | | 0 | 84 | 18 | 0 | 0 | 0 | |
| 1022 | ERSTE GROUP BANK AG | A19494102 | | 7/19/2013 | 6/12/2014 | 526 | | 0 | 421 | 105 | 0 | 0 | 0 | |
| 1023 | KBC GROUP NV | B5337G162 | | 2/11/2014 | 6/12/2014 | 802 | | 0 | 883 | -81 | 0 | 0 | 0 | |
| 1024 | BAYER AG NAMEN-AKT | D0712D163 | | 7/19/2013 | 8/1/2013 | 930 | | 0 | 896 | 34 | 0 | 0 | 0 | |
| 1025 | MAINSTAY HIGH YIELD | 56062X708 | | 11/20/2010 | 8/14/2013 | 145 | | 0 | 141 | 4 | 0 | 0 | 0 | |
| 1026 | MAINSTAY HIGH YIELD | 56062X708 | | 7/24/2012 | 8/14/2013 | 2 | | 0 | 2 | 0 | 0 | 0 | 0 | |
| 1027 | MAINSTAY HIGH YIELD | 56062X708 | | 6/3/2011 | 8/14/2013 | 295 | | 0 | 293 | 2 | 0 | 0 | 0 | |
| 1028 | MAINSTAY HIGH YIELD | 56062X708 | | 3/29/2012 | 8/14/2013 | 6 | | 0 | 6 | 0 | 0 | 0 | 0 | |
| 1029 | MAINSTAY HIGH YIELD | 56062X708 | | 5/9/2011 | 8/14/2013 | 4,884 | | 0 | 4,884 | 0 | 0 | 0 | 0 | |
| 1030 | MAINSTAY HIGH YIELD | 56062X708 | | 11/20/2010 | 8/14/2013 | 6 | | 0 | 6 | 0 | 0 | 0 | 0 | |
| 1031 | MAINSTAY HIGH YIELD | 56062X708 | | 5/9/2011 | 8/14/2013 | 6 | | 0 | 6 | 0 | 0 | 0 | 0 | |
| 1032 | HONEYWELL INTL INC DEL | 438516106 | | 11/19/2012 | 2/5/2014 | 269 | | 0 | 181 | 88 | 0 | 0 | 0 | |
| 1033 | HONEYWELL INTL INC DEL | 438516106 | | 11/19/2012 | 6/9/2014 | 1,634 | | 0 | 1 026 | 608 | 0 | 0 | 0 | |
| 1034 | HONEYWELL INTL INC DEL | 438516106 | | 11/19/2012 | 7/24/2014 | 387 | | 0 | 241 | 146 | 0 | 0 | 0 | |
| 1035 | HUNTNGTN BANCSHS INC MD | 446150104 | | 8/9/2013 | 9/9/2013 | 204 | | 0 | 209 | -5 | 0 | 0 | 0 | |
| 1036 | HUNTNGTN BANCSHS INC MD | 446150104 | | 8/9/2013 | 11/11/2013 | 1,356 | | 0 | 1,326 | 30 | 0 | 0 | 0 | |
| 1037 | HUNTNGTN BANCSHS INC MD | 446150104 | | 8/9/2013 | 12/9/2013 | 697 | | 0 | 655 | 42 | 0 | 0 | 0 | |
| 1038 | HUNTNGTN BANCSHS INC MD | 446150104 | | 4/7/2014 | 5/5/2014 | 593 | | 0 | 659 | -66 | 0 | 0 | 0 | |
| 1039 | HUNTNGTN BANCSHS INC MD | 446150104 | | 8/9/2013 | 5/5/2014 | 5 634 | | 0 | 5,393 | 241 | 0 | 0 | 0 | |
| 1040 | HUNTNGTN BANCSHS INC MD | 446150104 | | 11/5/2013 | 5/5/2014 | 1,942 | | 0 | 1,885 | 57 | 0 | 0 | 0 | |
| 1041 | HUNTNGTN BANCSHS INC MD | 446150104 | | 2/5/2014 | 5/5/2014 | 210 | | 0 | 207 | 3 | 0 | 0 | 0 | |
| 1042 | INTEL CORP | 458140100 | | 4/8/2011 | 10/10/2013 | 69 | | 0 | 60 | 9 | 0 | 0 | 0 | |
| 1043 | INTEL CORP | 458140100 | | 2/10/2012 | 11/11/2013 | 749 | | 0 | 828 | -79 | 0 | 0 | 0 | |
| 1044 | INTERCONTINENTAL | 45866F104 | | 4/7/2014 | 6/16/2014 | 384 | | 0 | 393 | -9 | 0 | 0 | 0 | |
| 1045 | SECTOR SPDR INDUSTRIAL | 81369Y704 | | 3/3/2011 | 11/11/2013 | 394 | | 0 | 295 | 99 | 0 | 0 | 0 | |
| 1046 | SECTOR SPDR INDUSTRIAL | 81369Y704 | | 8/18/2011 | 12/9/2013 | 404 | | 0 | 239 | 165 | 0 | 0 | 0 | |
| 1047 | SECTOR SPDR INDUSTRIAL | 81369Y704 | | 3/3/2011 | 12/9/2013 | 656 | | 0 | 480 | 176 | 0 | 0 | 0 | |
| 1048 | MAINSTAY HIGH YIELD | 56062X708 | | 9/22/2011 | 8/14/2013 | 1,556 | | 0 | 1,481 | 75 | 0 | 0 | 0 | |
| 1049 | MAINSTAY HIGH YIELD | 56062X708 | | 2/10/2012 | 8/14/2013 | 772 | | 0 | 759 | 13 | 0 | 0 | 0 | |
| 1050 | MAINSTAY HIGH YIELD | 56062X708 | | 7/24/2012 | 9/9/2013 | 2 | | 0 | 2 | 0 | 0 | 0 | 0 | |
| 1051 | MAINSTAY HIGH YIELD | 56062X708 | | 7/24/2012 | 9/9/2013 | 425 | | 0 | 425 | 0 | 0 | 0 | 0 | |
| 1052 | MAINSTAY HIGH YIELD | 56062X708 | | 7/24/2012 | 11/11/2013 | 480 | | 0 | 473 | 7 | 0 | 0 | 0 | |
| 1053 | MAINSTAY HIGH YIELD | 56062X708 | | 11/19/2012 | 11/11/2013 | 6 | | 0 | 6 | 0 | 0 | 0 | 0 | |
| 1054 | MAINSTAY HIGH YIELD | 56062X708 | | 11/19/2012 | 11/11/2013 | 3,739 | | 0 | 3,739 | 0 | 0 | 0 | 0 | |
| 1055 | MAINSTAY HIGH YIELD | 56062X708 | | 9/18/2013 | 11/11/2013 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 1056 | MAINSTAY HIGH YIELD | 56062X708 | | 9/18/2013 | 12/9/2013 | 4 | | 0 | 4 | 0 | 0 | 0 | 0 | |
| 1057 | MAINSTAY HIGH YIELD | 56062X708 | | 11/19/2012 | 12/9/2013 | 1,481 | | 0 | 1,481 | 0 | 0 | 0 | 0 | |
| 1058 | MAINSTAY HIGH YIELD | 56062X708 | | 9/18/2013 | 6/9/2014 | 1 | | 0 | 1 | 0 | 0 | 0 | 0 | |
| 1059 | MAINSTAY HIGH YIELD | 56062X708 | | 11/19/2012 | 6/9/2014 | 4,544 | | 0 | 4,492 | 52 | 0 | 0 | 0 | |
| 1060 | MARATHON OIL CORP | 565849106 | | 7/12/2013 | 8/9/2013 | 11,697 | | 0 | 12,522 | -825 | 0 | 0 | 0 | |
| 1061 | MASCO CORP | 574599106 | | 4/7/2014 | 5/5/2014 | 8,119 | | 0 | 9,087 | -968 | 0 | 0 | 0 | |
| 1062 | MASTERCARD INC | 57636Q104 | | 10/10/2013 | 11/11/2013 | 1 484 | | 0 | 1,352 | 132 | 0 | 0 | 0 | |
| 1063 | MASTERCARD INC | 57636Q104 | | 10/10/2013 | 2/5/2014 | 146 | | 0 | 135 | 11 | 0 | 0 | 0 | |
| 1064 | MASTERCARD INC | 57636Q104 | | 10/10/2013 | 6/9/2014 | 1,622 | | 0 | 1 420 | 202 | 0 | 0 | 0 | |
| 1065 | MAINSTAY HIGH YIELD | 56062X708 | | 6/3/2011 | 8/14/2013 | 6 | | 0 | 6 | 0 | 0 | 0 | 0 | |
| 1066 | SECTOR SPDR INDUSTRIAL | 81369Y704 | | 6/3/2011 | 12/9/2013 | 808 | | 0 | 580 | 228 | 0 | 0 | 0 | |
| 1067 | SECTOR SPDR INDUSTRIAL | 81369Y704 | | 2/10/2012 | 4/7/2014 | 262 | | 0 | 185 | 77 | 0 | 0 | 0 | |
| 1068 | SECTOR SPDR INDUSTRIAL | 81369Y704 | | 8/18/2011 | 4/7/2014 | 262 | | 0 | 150 | 112 | 0 | 0 | 0 | |
| 1069 | SECTOR SPDR INDUSTRIAL | 81369Y704 | | 7/9/2012 | 6/9/2014 | 723 | | 0 | 458 | 265 | 0 | 0 | 0 | |
| 1070 | SECTOR SPDR INDUSTRIAL | 81369Y704 | | 2/10/2012 | 6/9/2014 | 834 | | 0 | 555 | 279 | 0 | 0 | 0 | |
| 1071 | SECTOR SPDR INDUSTRIAL | 81369Y704 | | 11/19/2012 | 6/9/2014 | 5,950 | | 0 | 3,871 | 2,079 | 0 | 0 | 0 | 2,( |
| 1072 | SECTOR SPDR INDUSTRIAL | 81369Y704 | | 9/6/2012 | 6/9/2014 | 445 | | 0 | 290 | 155 | 0 | 0 | 0 | |
| 1073 | SECTOR SPDR INDUSTRIAL | 81369Y704 | | 11/19/2012 | 6/16/2014 | 54 | | 0 | 36 | 18 | 0 | 0 | 0 | |
| 1074 | SECTOR SPDR UTILITIES | 81369Y886 | | 2/10/2012 | 9/9/2013 | 259 | | 0 | 244 | 15 | 0 | 0 | 0 | |
| 1075 | SECTOR SPDR UTILITIES | 81369Y886 | | 9/6/2012 | 11/11/2013 | 273 | | 0 | 256 | 17 | 0 | 0 | 0 | |
| 1076 | SECTOR SPDR UTILITIES | 81369Y886 | | 11/19/2012 | 11/11/2013 | 195 | | 0 | 173 | 22 | 0 | 0 | 0 | |
| 1077 | SECTOR SPDR UTILITIES | 81369Y886 | | 2/10/2012 | 11/11/2013 | 820 | | 0 | 733 | 87 | 0 | 0 | 0 | |
| 1078 | SECTOR SPDR UTILITIES | 81369Y886 | | 11/19/2012 | 12/9/2013 | 229 | | 0 | 207 | 22 | 0 | 0 | 0 | |
| 1079 | SECTOR SPDR UTILITIES | 81369Y886 | | 11/19/2012 | 2/5/2014 | 2,459 | | 0 | 2,208 | 251 | 0 | 0 | 0 | |
| 1080 | SECTOR SPDR UTILITIES | 81369Y886 | | 11/19/2012 | 4/7/2014 | 207 | | 0 | 173 | 34 | 0 | 0 | 0 | |
| 1081 | SECTOR SPDR UTILITIES | 81369Y886 | | 11/19/2012 | 6/9/2014 | 1,496 | | 0 | 1,208 | 288 | 0 | 0 | 0 | |
| 1082 | SUMITOMO MITSUI-UNSPONS | 86562M209 | | 7/18/2013 | 9/20/2013 | 309 | | 0 | 311 | -2 | 0 | 0 | 0 | |
| 1083 | SUMITOMO MITSUI-UNSPONS | 86562M209 | | 7/18/2013 | 11/11/2013 | 360 | | 11 | 371 | 0 | 0 | 0 | 0 | |
| 1084 | MASTERCARD INC | 57636Q104 | | 10/10/2013 | 7/24/2014 | 9 063 | | 0 | 7 913 | 1,150 | 0 | 0 | 0 | 1, |
| 1085 | MCDONALDS CORP COM | 580135101 | | 1/5/2011 | 10/10/2013 | 942 | | 0 | 750 | 192 | 0 | 0 | 0 | |
| 1086 | MCDONALDS CORP COM | 580135101 | | 5/3/2013 | 10/10/2013 | 377 | | 0 | 413 | -36 | 0 | 0 | 0 | |
| 1087 | MCDONALDS CORP COM | 580135101 | | 11/19/2012 | 10/10/2013 | 7,062 | | 0 | 6 380 | 682 | 0 | 0 | 0 | |
| 1088 | MCDONALDS CORP COM | 580135101 | | 11/3/2010 | 10/10/2013 | 1,506 | | 0 | 1,253 | 253 | 0 | 0 | 0 | |
| 1089 | MCDONALDS CORP COM | 580135101 | | 2/10/2012 | 10/10/2013 | 753 | | 0 | 796 | -43 | 0 | 0 | 0 | |
| 1090 | MCDONALDS CORP COM | 580135101 | | 1/28/2013 | 10/10/2013 | 282 | | 0 | 282 | 0 | 0 | 0 | 0 | |
| 1091 | MCDONALDS CORP COM | 580135101 | | 3/29/2012 | 10/10/2013 | 471 | | 0 | 486 | -15 | 0 | 0 | 0 | |
| 1092 | MEDTRONIC INC COM | 585055106 | | 7/10/2012 | 10/10/2013 | 162 | | 0 | 116 | 46 | 0 | 0 | 0 | |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | 0 |

| | Description of Property Sold | CUSIP # | How Acquired | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Excess of FMV Over Adjusted Basis or Losses |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | 1,610,271 | 0 | 395 | 1,482,913 | 127,753 | 0 | 0 | 0 | 127, |
| 1093 | MEDTRONIC INC COM | 585055106 | | 7/10/2012 | 11/11/2013 | 1,976 | | 0 | 1,317 | 659 | 0 | 0 | 0 | |
| 1094 | MEDTRONIC INC COM | 585055106 | | 7/10/2012 | 12/9/2013 | 289 | | 0 | 194 | 95 | 0 | 0 | 0 | |
| 1095 | BAYER AG NAMEN-AKT | D0712D163 | | 7/19/2013 | 8/13/2013 | 1,261 | | 0 | 1,232 | 29 | 0 | 0 | 0 | |
| 1096 | BAYER AG NAMEN-AKT | D0712D163 | | 7/19/2013 | 9/23/2013 | 119 | | 0 | 112 | 7 | 0 | 0 | 0 | |
| 1097 | BAYER AG NAMEN-AKT | D0712D163 | | 7/19/2013 | 11/12/2013 | 1,383 | | 0 | 1,232 | 151 | 0 | 0 | 0 | |
| 1098 | BAYER AG NAMEN-AKT | D0712D163 | | 7/19/2013 | 12/11/2013 | 263 | | 0 | 224 | 39 | 0 | 0 | 0 | |
| 1099 | BAYER AG NAMEN-AKT | D0712D163 | | 7/19/2013 | 3/24/2014 | 264 | | 0 | 224 | 40 | 0 | 0 | 0 | |
| 1100 | BAYER AG NAMEN-AKT | D0712D163 | | 7/19/2013 | 6/12/2014 | 1,559 | | 0 | 1,232 | 327 | 0 | 0 | 0 | |
| 1101 | LINDE AG 50 DM | D50348107 | | 7/19/2013 | 8/1/2013 | 384 | | 0 | 384 | 0 | 0 | 0 | 0 | |
| 1102 | LINDE AG 50 DM | D50348107 | | 7/19/2013 | 9/23/2013 | 201 | | 0 | 192 | 9 | 0 | 0 | 0 | |
| 1103 | LINDE AG 50 DM | D50348107 | | 7/19/2013 | 11/12/2013 | 1 358 | | 0 | 1,345 | 13 | 0 | 0 | 0 | |
| 1104 | LINDE AG 50 DM | D50348107 | | 7/19/2013 | 12/11/2013 | 404 | | 0 | 384 | 20 | 0 | 0 | 0 | |
| 1105 | LINDE AG 50 DM | D50348107 | | 7/19/2013 | 6/12/2014 | 1,265 | | 0 | 1,153 | 112 | 0 | 0 | 0 | |
| 1106 | SIEMENS AG GERM | D69671218 | | 7/19/2013 | 8/1/2013 | 550 | | 0 | 539 | 11 | 0 | 0 | 0 | |
| 1107 | SIEMENS AG GERM | D69671218 | | 7/19/2013 | 9/23/2013 | 242 | | 0 | 216 | 26 | 0 | 0 | 0 | |
| 1108 | SIEMENS AG GERM | D69671218 | | 7/19/2013 | 11/12/2013 | 1,660 | | 0 | 1,402 | 258 | 0 | 0 | 0 | |
| 1109 | SIEMENS AG GERM | D69671218 | | 7/19/2013 | 12/11/2013 | 388 | | 0 | 323 | 65 | 0 | 0 | 0 | |
| 1110 | SIEMENS AG GERM | D69671218 | | 7/19/2013 | 3/24/2014 | 266 | | 0 | 216 | 50 | 0 | 0 | 0 | |
| 1111 | SIEMENS AG GERM | D69671218 | | 7/19/2013 | 6/12/2014 | 1,600 | | 0 | 1,294 | 306 | 0 | 0 | 0 | |
| 1112 | BANCO SANTANDER RG S | E19790109 | | 7/19/2013 | 8/1/2013 | 182 | | 0 | 164 | 18 | 0 | 0 | 0 | |
| 1113 | SUMITOMO MITSUI-UNSPONS | 86562M209 | | 7/18/2013 | 11/11/2013 | 1,401 | | 0 | 1,444 | -43 | 0 | 0 | 0 | |
| 1114 | SUMITOMO MITSUI-UNSPONS | 86562M209 | | 7/18/2013 | 12/10/2013 | 452 | | 0 | 461 | -9 | 0 | 0 | 0 | |
| 1115 | SUMITOMO MITSUI-UNSPONS | 86562M209 | | 7/18/2013 | 1/29/2014 | 97 | | 0 | 100 | -3 | 0 | 0 | 0 | |
| 1116 | SUMITOMO MITSUI-UNSPONS | 86562M209 | | 7/18/2013 | 6/10/2014 | 1,435 | | 0 | 1,735 | -300 | 0 | 0 | 0 | |
| 1117 | SUMITOMO MITSUI-UNSPONS | 86562M209 | | 7/18/2013 | 6/11/2014 | 75 | | 0 | 90 | -15 | 0 | 0 | 0 | |
| 1118 | TCW TOTAL RETURN | 87234N880 | | 6/3/2011 | 8/14/2013 | 458 | | 0 | 454 | 4 | 0 | 0 | 0 | |
| 1119 | TCW TOTAL RETURN | 87234N880 | | 5/9/2011 | 8/14/2013 | 5 | | 0 | 5 | 0 | 0 | 0 | 0 | |
| 1120 | TCW TOTAL RETURN | 87234N880 | | 2/10/2012 | 8/14/2013 | 11,592 | | 0 | 11,322 | 270 | 0 | 0 | 0 | |
| 1121 | TCW TOTAL RETURN | 87234N880 | | 5/26/2011 | 8/14/2013 | 10 | | 0 | 10 | 0 | 0 | 0 | 0 | |
| 1122 | TCW TOTAL RETURN | 87234N880 | | 6/3/2011 | 8/14/2013 | 10 | | 0 | 10 | 0 | 0 | 0 | 0 | |
| 1123 | TCW TOTAL RETURN | 87234N880 | | 5/9/2011 | 8/14/2013 | 11,840 | | 0 | 11,767 | 73 | 0 | 0 | 0 | |
| 1124 | TCW TOTAL RETURN | 87234N880 | | 5/26/2011 | 8/14/2013 | 189 | | 0 | 187 | 2 | 0 | 0 | 0 | |
| 1125 | TCW TOTAL RETURN | 87234N880 | | 5/10/2011 | 8/14/2013 | 20 | | 0 | 19 | 1 | 0 | 0 | 0 | |
| 1126 | TCW TOTAL RETURN | 87234N880 | | 5/9/2011 | 9/9/2013 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | |
| 1127 | ISHARES SELECT | 464287168 | | 7/16/2013 | 10/10/2013 | 11,268 | | 0 | 11,282 | -14 | 0 | 0 | 0 | |
| 1128 | ISHARES 20+ YEAR | 464287432 | | 5/9/2011 | 8/14/2013 | 4,105 | | 0 | 3,712 | 393 | 0 | 0 | 0 | |
| 1129 | ISHARES 20+ YEAR | 464287432 | | 5/9/2011 | 9/9/2013 | 208 | | 0 | 190 | 18 | 0 | 0 | 0 | |
| 1130 | ISHARES 20+ YEAR | 464287432 | | 6/3/2011 | 11/11/2013 | 103 | | 0 | 97 | 6 | 0 | 0 | 0 | |
| 1131 | ISHARES 20+ YEAR | 464287432 | | 7/24/2012 | 11/11/2013 | 414 | | 0 | 528 | -114 | 0 | 0 | 0 | |
| 1132 | ISHARES 20+ YEAR | 464287432 | | 5/9/2011 | 11/11/2013 | 827 | | 0 | 762 | 65 | 0 | 0 | 0 | |
| 1133 | ISHARES 20+ YEAR | 464287432 | | 3/29/2012 | 11/11/2013 | 310 | | 0 | 343 | -33 | 0 | 0 | 0 | |
| 1134 | ISHARES 20+ YEAR | 464287432 | | 11/19/2012 | 12/9/2013 | 515 | | 0 | 629 | -114 | 0 | 0 | 0 | |
| 1135 | BANCO SANTANDER RG S | E19790109 | | 7/19/2013 | 9/23/2013 | 105 | | 0 | 85 | 20 | 0 | 0 | 0 | |
| 1136 | BANCO SANTANDER RG S | E19790109 | | 7/19/2013 | 11/12/2013 | 535 | | 0 | 407 | 128 | 0 | 0 | 0 | |
| 1137 | BANCO SANTANDER RG S | E19790109 | | 7/19/2013 | 12/11/2013 | 189 | | 0 | 144 | 45 | 0 | 0 | 0 | |
| 1138 | BANCO SANTANDER RG S | E19790109 | | 7/19/2013 | 12/12/2013 | 2,939 | | 0 | 2,289 | 650 | 0 | 0 | 0 | |
| 1139 | BANCO SANTANDER RG S | E19790109 | | 11/26/2013 | 12/12/2013 | 93 | | 0 | 95 | -2 | 0 | 0 | 0 | |
| 1140 | BANCO SANTANDER SA | E197A2442 | | 10/16/2013 | 10/22/2013 | 97 | | 0 | 95 | 2 | 0 | 0 | 0 | |
| 1141 | BNP PARIBAS | F1058Q238 | | 7/19/2013 | 8/1/2013 | 324 | | 0 | 295 | 29 | 0 | 0 | 0 | |
| 1142 | BNP PARIBAS | F1058Q238 | | 7/19/2013 | 9/23/2013 | 206 | | 0 | 177 | 29 | 0 | 0 | 0 | |
| 1143 | BNP PARIBAS | F1058Q238 | | 7/19/2013 | 11/12/2013 | 933 | | 0 | 768 | 165 | 0 | 0 | 0 | |
| 1144 | BNP PARIBAS | F1058Q238 | | 7/19/2013 | 12/11/2013 | 219 | | 0 | 177 | 42 | 0 | 0 | 0 | |
| 1145 | BNP PARIBAS | F1058Q238 | | 7/19/2013 | 1/30/2014 | 79 | | 0 | 59 | 20 | 0 | 0 | 0 | |
| 1146 | BNP PARIBAS | F1058Q238 | | 7/19/2013 | 6/12/2014 | 971 | | 0 | 827 | 144 | 0 | 0 | 0 | |
| 1147 | DANONE S A | F12033134 | | 7/19/2013 | 8/1/2013 | 394 | | 0 | 381 | 13 | 0 | 0 | 0 | |
| 1148 | DANONE S A | F12033134 | | 7/19/2013 | 9/23/2013 | 156 | | 0 | 152 | 4 | 0 | 0 | 0 | |
| 1149 | DANONE S A | F12033134 | | 7/19/2013 | 11/12/2013 | 145 | | 7 | 152 | 0 | 0 | 0 | 0 | |
| 1150 | DANONE S.A | F12033134 | | 7/19/2013 | 11/12/2013 | 798 | | 0 | 838 | -40 | 0 | 0 | 0 | |
| 1151 | ISHARES 20+ YEAR | 464287432 | | 11/19/2012 | 6/9/2014 | 3,122 | | 0 | 3,522 | -400 | 0 | 0 | 0 | |
| 1152 | ISHARES 1-3 YEAR | 464287457 | | 2/10/2012 | 8/14/2013 | 35,175 | | 0 | 35,245 | -70 | 0 | 0 | 0 | |
| 1153 | ISHARES 1-3 YEAR | 464287457 | | 2/10/2012 | 8/14/2013 | 253 | | 0 | 254 | -1 | 0 | 0 | 0 | |
| 1154 | ISHARES 1-3 YEAR | 464287457 | | 7/24/2012 | 8/14/2013 | 4,471 | | 0 | 4,483 | -12 | 0 | 0 | 0 | |
| 1155 | ISHARES 1-3 YEAR | 464287457 | | 7/9/2012 | 8/14/2013 | 590 | | 0 | 591 | -1 | 0 | 0 | 0 | |
| 1156 | ISHARES 1-3 YEAR | 464287457 | | 3/28/2012 | 8/14/2013 | 3 880 | | 0 | 3,882 | -2 | 0 | 0 | 0 | |
| 1157 | ISHARES 1-3 YEAR | 464287457 | | 7/24/2012 | 9/9/2013 | 505 | | 2 | 508 | -1 | 0 | 0 | 0 | |
| 1158 | ISHARES 1-3 YEAR | 464287457 | | 11/19/2012 | 9/9/2013 | 337 | | 1 | 338 | 0 | 0 | 0 | 0 | |
| 1159 | ISHARES 1-3 YEAR | 464287457 | | 11/19/2012 | 11/11/2013 | 8,869 | | 0 | 8 874 | -5 | 0 | 0 | 0 | |
| 1160 | ISHARES 1-3 YEAR | 464287457 | | 8/2/2012 | 11/11/2013 | 507 | | 0 | 509 | -2 | 0 | 0 | 0 | |
| 1161 | ISHARES 1-3 YEAR | 464287457 | | 11/19/2012 | 12/9/2013 | 3,548 | | 0 | 3,550 | -2 | 0 | 0 | 0 | |
| 1162 | ISHARES 1-3 YEAR | 464287457 | | 11/19/2012 | 6/9/2014 | 9,974 | | 0 | 9,973 | 1 | 0 | 0 | 0 | |
| 1163 | ISHARES U S | 464287713 | | 1/5/2012 | 9/9/2013 | 243 | | 0 | 188 | 55 | 0 | 0 | 0 | |
| 1164 | ISHARES U S | 464287713 | | 1/5/2012 | 11/5/2013 | 176 | | 0 | 125 | 51 | 0 | 0 | 0 | |
| 1165 | DANONE S A | F12033134 | | 7/19/2013 | 6/12/2014 | 949 | | 0 | 990 | -41 | 0 | 0 | 0 | |
| 1166 | MEDTRONIC INC COM | 585055106 | | 7/10/2012 | 6/9/2014 | 1,306 | | 0 | 813 | 493 | 0 | 0 | 0 | |
| 1167 | MEDTRONIC INC COM | 585055106 | | 11/19/2012 | 6/9/2014 | 933 | | 0 | 625 | 308 | 0 | 0 | 0 | |
| 1168 | MEDTRONIC INC COM | 585055106 | | 11/19/2012 | 7/24/2014 | 3,430 | | 0 | 2,252 | 1 178 | 0 | 0 | 0 | 1, |
| 1169 | MICRON TECHNOLOGY INC | 595112103 | | 11/19/2012 | 9/9/2013 | 385 | | 0 | 141 | 244 | 0 | 0 | 0 | |
| 1170 | MICRON TECHNOLOGY INC | 595112103 | | 11/19/2012 | 9/20/2013 | 3,605 | | 0 | 1,182 | 2 423 | 0 | 0 | 0 | 2, |
| 1171 | MICRON TECHNOLOGY INC | 595112103 | | 4/29/2013 | 11/5/2013 | 1,121 | | 0 | 608 | 513 | 0 | 0 | 0 | |
| 1172 | MICRON TECHNOLOGY INC | 595112103 | | 7/12/2013 | 11/5/2013 | 2,942 | | 0 | 2,176 | 766 | 0 | 0 | 0 | |
| 1173 | MICRON TECHNOLOGY INC | 595112103 | | 11/19/2012 | 11/5/2013 | 8,389 | | 0 | 2,710 | 5,679 | 0 | 0 | 0 | 5 |
| 1174 | MONSANTO CO NEW DEL COM | 61166W101 | | 10/10/2013 | 11/11/2013 | 1,065 | | 0 | 1,055 | 10 | 0 | 0 | 0 | |
| 1175 | MONSANTO CO NEW DEL COM | 61166W101 | | 10/10/2013 | 12/9/2013 | 781 | | 0 | 738 | 43 | 0 | 0 | 0 | |
| 1176 | MONSANTO CO NEW DEL COM | 61166W101 | | 10/10/2013 | 2/5/2014 | 9,732 | | 0 | 9,597 | 135 | 0 | 0 | 0 | |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| | Amount |
|---|---|
| Long Term CG Distributions | 3,400 |
| Short Term CG Distributions | 0 |

| | Description of Property Sold | CUSIP # | How Acquired | Date Acquired | Date Sold | Gross Sales Price | Depreciation Allowed | Adjustments | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Excess of FMV Over Adjust Basis or Losses |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | 1,610,271 | 0 | 395 | 1,482,913 | 127,753 | 0 | 0 | 0 | 127, |
| 1177 | MORGAN STANLEY | 617446448 | | 10/10/2013 | 11/11/2013 | 1 997 | | 0 | 1,854 | 143 | 0 | 0 | 0 | |
| 1178 | MORGAN STANLEY | 617446448 | | 10/10/2013 | 12/9/2013 | 517 | | 0 | 470 | 47 | 0 | 0 | 0 | |
| 1179 | MORGAN STANLEY | 617446448 | | 10/10/2013 | 2/5/2014 | 9 651 | | 0 | 9 160 | 491 | 0 | 0 | 0 | |
| 1180 | NEXTERA ENERGY INC SHS | 65339F101 | | 7/10/2012 | 11/11/2013 | 1,999 | | 0 | 1 572 | 427 | 0 | 0 | 0 | |
| 1181 | NEXTERA ENERGY INC SHS | 65339F101 | | 7/10/2012 | 12/9/2013 | 84 | | 0 | 68 | 16 | 0 | 0 | 0 | |
| 1182 | NEXTERA ENERGY INC SHS | 65339F101 | | 7/10/2012 | 2/5/2014 | 626 | | 0 | 479 | 147 | 0 | 0 | 0 | |
| 1183 | NEXTERA ENERGY INC SHS | 65339F101 | | 7/10/2012 | 6/9/2014 | 867 | | 0 | 615 | 252 | 0 | 0 | 0 | |
| 1184 | NEXTERA ENERGY INC SHS | 65339F101 | | 11/19/2012 | 6/9/2014 | 771 | | 0 | 542 | 229 | 0 | 0 | 0 | |
| 1185 | NEXTERA ENERGY INC SHS | 65339F101 | | 11/19/2012 | 7/24/2014 | 8,111 | | 0 | 5,554 | 2,557 | 0 | 0 | 0 | 2, |
| 1186 | NEXTERA ENERGY INC SHS | 65339F101 | | 5/3/2013 | 7/24/2014 | 99 | | 0 | 82 | 17 | 0 | 0 | 0 | |
| 1187 | DANONE S A | F12033134 | | 7/19/2013 | 12/11/2013 | 142 | | 0 | 152 | -10 | 0 | 0 | 0 | |
| 1188 | OCH-ZIFF CAPITAL MANAGEMENT GRO | 67551U105 | | 12/31/2013 | 12/31/2013 | | | | -710 | 710 | 0 | 0 | 0 | |

## Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

| | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | 0 | 0 | - |
| | Name | Check "X" if Business | Street | City | State | Zip Code | Foreign Country | Title | Avg Hrs Per Week | Compensation | Benefits | Expense Account |
| 1 | MICHAEL J ROMERSA | | 1 BROAD STREET APT 21 G | STAMFORD | CT | 06901 | | DIRECTOR | 2 00 | | | • |
| 2 | MARTIN FESTA | | 135 W 70TH STREET APT 3D | NEW YORK | NY | 10023 | | DIRECTOR | 2 00 | | | |
| 3 | EUGENIA VECCHIO | | 550 MAMARONECK AVE SUITE 210 | HARRISON | NY | 10528 | | DIRECTOR | 2 00 | | | |

## Part VI, Line 6a (990-PF) - Estimated Tax Payments

| | | Date | | Amount |
|---|---|---|---|---|
| 1 | Credit from prior year return | | 1 | 0 |
| 2 | First quarter estimated tax payment | | 2 | |
| 3 | Second quarter estimated tax payment | | 3 | |
| 4 | Third quarter estimated tax payment | | 4 | |
| 5 | Fourth quarter estimated tax payment | | 5 | |
| 6 | Other payments | | 6 | 1,754 |
| 7 | Total | | 7 | 1,754 |

Form **8868**

(Rev January 2014)

Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

▶ **Information about Form 8868 and its instructions is at *www.irs.gov/form8868.***

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ ☐
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

***Do not complete Part II unless*** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing *(e-file).*** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www.irs gov/efile* and click on *e-file for Charities & Nonprofits*

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ▶ ☐

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns*

**Enter filer's identifying number, see instructions**

| | | |
|---|---|---|
| **Type or print** | Name of exempt organization or other filer, see instructions<br>ROMETE PRIVATE FAMILY FOUNDATION INC | Employer identification number (EIN) or<br>26-2381369 |
| File by the due date for filing your return See instructions | Number, street, and room or suite no If a P O box, see instructions.<br>P O BOX 1501, NJ2-130-03-31 | Social security number (SSN) |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions<br>PENNINGTON, NJ 08534-1501 | |

Enter the Return code for the return that this application is for (file a separate application for each return) . 04

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ▶ MLTC, A DIVISION OF BANK OF AMERICA, N A

Telephone No ▶ 609-274-6834 Fax No ▶

- If the organization does not have an office or place of business in the United States, check this box . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . ▶ ☐ If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 3/15/2015, to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ ☐ calendar year _____ or

▶ ☒ tax year beginning 8/1/2013, and ending 7/31/2014

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

| | | | |
|---|---|---|---|
| 3a | If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions | 3a | $ 1,674 |
| b | If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit | 3b | $ 1,754 |
| c | **Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions | 3c | $ 0 |

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions