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Form 990-PF

## Return of Private Foundation

OMB No 1545-0052

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning AUG 1, 2013, and ending JUL 31, 2014

Name of foundation  
**Jeffrey P. Ossen Family Foundation**

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite  
**Cummings & Lockwood LLC, PO Box 271820**

City or town, state or province, country, and ZIP or foreign postal code  
**West Hartford, CT 06127**

**A** Employer identification number  
**26-1243178**

**B** Telephone number  
**860.313.4928**

**C** If exemption application is pending, check here ☐

**D** 1. Foreign organizations, check here ☐  
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 18,750,892.** (Part I, column (d) must be on cash basis.)

**J** Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_

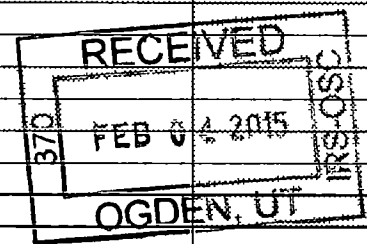
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	614,651.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	24.	24.		Statement 1
	4 Dividends and interest from securities	371,399.	371,399.		Statement 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	918,343.			
	b Gross sales price for all assets on line 6a	3,529,980.			
	7 Capital gain net income (from Part IV, line 2)		918,343.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	1,904,417.	1,289,766.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	84,994.	84,994.		0.
	14 Other employee salaries and wages	6,777.	6,777.		0.
	15 Pension plans, employee benefits				
	16a Legal fees Stmt 3	8,798.	8,798.		0.
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes Stmt 4	3,594.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	192.	192.		0.
	22 Printing and publications				
	23 Other expenses Stmt 5	78,166.	78,166.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	182,521.	178,927.		0.
	25 Contributions, gifts, grants paid	850,001.			850,001.
26 Total expenses and disbursements. Add lines 24 and 25	1,032,522.	178,927.		850,001.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	871,895.				
b Net investment income (if negative, enter -0-)		1,110,839.			
c Adjusted net income (if negative, enter -0-)			N/A		

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LHA For Paperwork Reduction Act Notice, see instructions.

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**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	414,833.	734,439.	734,439.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 6	14,473,645.	15,025,934.	18,016,453.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	14,888,478.	15,760,373.	18,750,892.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	14,888,478.	15,760,373.		
30 Total net assets or fund balances	14,888,478.	15,760,373.		
31 Total liabilities and net assets/fund balances	14,888,478.	15,760,373.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	14,888,478.
2 Enter amount from Part I, line 27a	2	871,895.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	15,760,373.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	15,760,373.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b See Attached Statements			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 3,529,980.		2,611,637.	918,343.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			918,343.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	918,343.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	}	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	776,692.	15,897,013.	.048858
2011	300,000.	15,128,537.	.019830
2010	122,837.	5,936,707.	.020691
2009	32.	1,828.	.017505
2008	28.	639.	.043818

2 Total of line 1, column (d)	2	.150702
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.030140
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	17,929,489.
5 Multiply line 4 by line 3	5	540,395.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	11,108.
7 Add lines 5 and 6	7	551,503.
8 Enter qualifying distributions from Part XII, line 4	8	850,001.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate  
See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	11,108.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		3	11,108.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	11,108.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 7,006.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 4,102.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	11,108.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	
11 Enter the amount of line 10 to be credited to 2014 estimated tax. Refunded		11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses Stmt 7	X	

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► Suzanne B. Walsh, Esquire Telephone no ► 860.313.4928 Located at ► Cummings & Lockwood PO Box 271820, W Hartford, CT ZIP+4 ► 06127			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

**5b**

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b**

X

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**7b****Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Eileen M. Ossen 49 Brookside Lane Mansfield Center, CT 06250	Trustee 30.00	84,994.	0.	0.
Richard Brvenik 72 Chatham Drive Storrs Mansfield, CT 06268	Trustee 0.00	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Pair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	17,561,130.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	641,397.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	18,202,527.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	18,202,527.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	273,038.
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	17,929,489.
<b>6</b>	Minimum investment return. Enter 5% of line 5	<b>6</b>	896,474.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	896,474.
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	11,108.
<b>b</b>	Income tax for 2013 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	11,108.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	885,366.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	885,366.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	885,366.

**Part XII** Qualifying Distributions (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	850,001.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	850,001.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	11,108.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	838,893.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				885,366.
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only			630,955.	
<b>b</b> Total for prior years		0.		
<b>3</b> Excess distributions carryover, if any, to 2013				
<b>a</b> From 2008				
<b>b</b> From 2009				
<b>c</b> From 2010				
<b>d</b> From 2011				
<b>e</b> From 2012				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 850,001.				
<b>a</b> Applied to 2012, but not more than line 2a			630,955.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2013 distributable amount				219,046.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e. Subtract line 5	0.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr			0.	
<b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				666,320.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009				
<b>b</b> Excess from 2010				
<b>c</b> Excess from 2011				
<b>d</b> Excess from 2012				
<b>e</b> Excess from 2013				



**Part XV. Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Center for Medicare Advocacy Inc. P.O. Box 350 Willimantic, CT 06226	None	Public Charity	Current Use for General Charitable Purposes	15,000.
Community Foundation of Eastern CT, Ossen Fund for the Arts P.O. Box 769 New London, CT 06320	None	Public Charity	Current Use for General Charitable Purposes	25,000.
Community Foundation of Eastern CT, Windham Women & Girls Fund P.O. Box 769 New London, CT 06320	None	Public Charity	Current Use for General Charitable Purposes	39,096.
Community Foundation of Eastern CT, Windham Women & Girls Fund P.O. Box 769 New London, CT 06320	None	Public Charity	Current Use for General Charitable Purposes	26,904.
Connecticut Food Bank Inc. 150 Bradley Street East Haven, CT 06512	None	Public Charity	Current Use for General Charitable Purposes	50,000.
Total			See continuation sheet(s) ▶ 3a	850,001.
b Approved for future payment				
None				
Total			▶ 3b	0.



## Part XVII

## Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |   |   | Yes   | No |
|---|---|-------|----|
| <b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |   |       |    |
| <b>a</b>  | Transfers from the reporting foundation to a noncharitable exempt organization of |       |    |
|   | (1) Cash  | 1a(1) | X  |
|   | (2) Other assets  | 1a(2) | X  |
| <b>b</b>  | Other transactions  |       |    |
|   | (1) Sales of assets to a noncharitable exempt organization                        | 1b(1) | X  |
|   | (2) Purchases of assets from a noncharitable exempt organization                  | 1b(2) | X  |
|   | (3) Rental of facilities, equipment, or other assets                              | 1b(3) | X  |
|   | (4) Reimbursement arrangements  | 1b(4) | X  |
|   | (5) Loans or loan guarantees  | 1b(5) | X  |
|   | (6) Performance of services or membership or fundraising solicitations            | 1b(6) | X  |
| <b>c</b>  | Sharing of facilities, equipment, mailing lists, other assets, or paid employees  | 1c    | X  |
| <b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |   |       |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee Gileen M Ossen

1/28/2015  
Date

Trustee  
Title

May the IRS discuss this return with the preparer shown below (see instr)?

<input checked="checked" type="checkbox"/> <b>X</b>	<b>Yes</b>	<input type="checkbox"/>	<b>No</b>
---	------------	--------------------------	-----------

**Paid  
Preparer  
Use Only**

Print/Type preparer's name <b>Carol J. DeRosier</b>	Preparer's signature <i>Carol J. DeRosier</i>	Date <b>12/19/14</b>	Check <input type="checkbox"/> if self-employed	PTIN <b>P01246704</b>
Firm's name ▶ <b>Cummings &amp; Lockwood LLC</b>			Firm's EIN ▶ <b>06-0312590</b>	
Firm's address ▶ <b>PO Box 271820 West Hartford, CT 06127</b>			Phone no <b>860.313.4926</b>	

## Jeffrey P. Ossen Family Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	20,653.751 Shs. Smith Group L/C Core GTH	P	12/31/13	07/23/14
b	6,097.095 Shs. Smith Group L/C Core GTH	P	05/17/11	05/28/14
c	11,943.794 Shs. Smith Group L/C Core GTH	P	05/25/11	05/28/14
d	23,529.412 Shs. Smith Group L/C Core GTH	P	05/27/11	05/28/14
e	23,720.93 Shs. Smith Group L/C Core GTH	P	06/02/11	05/28/14
f	11,564.626 Shs. Smith Group L/C Core GTH	P	07/05/11	05/28/14
g	43,357.856 Shs. Smith Group L/C Core GTH	P	08/23/11	05/28/14
h	23,963.322 Shs. Smith Group L/C Core GTH	P	08/23/11	07/23/14
i	15,957.447 Shs. Smith Group L/C Core GTH	P	09/28/11	07/23/14
j	10,975.61 Shs. Smith Group L/C Core GTH	P	11/08/11	07/23/14
k	17,543.86 Shs. Smith Group L/C Core GTH	P	11/16/12	07/23/14
l	9,165.903 Shs. Vanguard GNMA Fund Adm	P	05/20/11	08/09/13
m	5,160.745 Shs. Vanguard GNMA Fund Adm	P	05/25/11	08/09/13
n	3,988.386 Shs. Vanguard GNMA Fund Adm	P	05/25/11	01/29/14
o	18,248.175 Shs. Vanguard GNMA Fund Adm	P	05/27/11	01/29/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 239,377.		222,234.	17,143.
b 68,836.		52,679.	16,157.
c 134,845.		102,000.	32,845.
d 265,647.		204,000.	61,647.
e 267,809.		204,000.	63,809.
f 130,564.		102,000.	28,564.
g 489,510.		309,142.	180,368.
h 277,735.		170,859.	106,876.
i 184,947.		120,000.	64,947.
j 127,207.		90,000.	37,207.
k 203,333.		150,000.	53,333.
l 96,058.		100,000.	<3,942.>
m 54,084.		56,407.	<2,323.>
n 42,157.		43,593.	<1,436.>
o 192,883.		200,000.	<7,117.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			17,143.
b			16,157.
c			32,845.
d			61,647.
e			63,809.
f			28,564.
g			180,368.
h			106,876.
i			64,947.
j			37,207.
k			53,333.
l			<3,942.>
m			<2,323.>
n			<1,436.>
o			<7,117.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8

3

Jeffrey P. Ossen Family Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 10,938.794 Shs. Vanguard GNMA Fund Adm		P	06/02/11	01/29/14
b 30,864.198 Shs. Vanguard Interm Trm Bd Indx-Si		P	09/28/11	08/09/13
c Capital Gains Dividends				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 115,623.		120,217.	<4,594.>
b 350,000.		364,506.	<14,506.>
c 289,365.			289,365.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<4,594.>
b			<14,506.>
c			289,365.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	918,343.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A



**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Glastonbury ABC Inc. P.O. Box 502 Glastonbury, CT 06033	None	Public Charity	Current Use for General Charitable Purposes	5,000.
Habitat for Humanity Windham Area P.O. Box 214 Willimantic, CT 06226	None	Public Charity	Current Use for General Charitable Purposes	10,000.
Horizons P.O. Box 323 South Windham, CT 06266	None	Public Charity	Current Use for General Charitable Purposes	75,000.
Jewish Federation of Greater Hartford 333 Bloomfield Avenue, Zachs Campus West Hartford, CT 06117	None	Public Charity	Current Use for General Charitable Purposes	5,000.
Jewish Family Services of Greater Hartford Inc. 335 Bloomfield Avenue, Suite A West Hartford, CT 06117	None	Public Charity	Current Use for General Charitable Purposes	12,000.
Town of Lebanon (Elementary School PTA - Bailey's Garden) P.O. Box 50 Lebanon, CT 06249	None	Public Charity	Current Use for General Charitable Purposes	25,000.
Nutmeg Big Brothers-Big Sisters, Inc. 30 Laurel St., Ste 3 Hartford, CT 06106	None	Public Charity	Current Use for General Charitable Purposes	10,000.
Perception Program, Inc. P.O. Box 407 Willimantic, CT 06226	None	Public Charity	Current Use for General Charitable Purposes.	20,461.
Mansfield Downtown Partnership Inc 4 South Eagleville Road Mansfield, CT 06268	None	Public Charity	Current Use for General Charitable Purposes.	190,650.
Protectors of Animals Inc. 144 Main Street East Hartford, CT 06118	None	Public Charity	Current Use for General Charitable Purposes.	10,000.
Total from continuation sheets				694,001.

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
University of Connecticut Foundation UCONN Opera for Children 2390 Alumni Drive, Unit 3206 Storrs, CT 06269	None	Public Charity	Current Use for General Charitable Purposes	35,000.
University of Connecticut Jorgensen Outreach for Youth 2132 Hillside Road, Unit 3104 Storrs, CT 06269	None	Public Charity	Current Use for General Charitable Purposes	30,000.
Windham Hospital Foundation Inc 112 Mansfield Avenue Willimantic, CT 06226	None	Public Charity	Current Use for General Charitable Purposes	1,292.
Windham Hospital Foundation Inc 112 Mansfield Avenue Willimantic, CT 06226	None	Public Charity	Current Use for General Charitable Purposes	100,000.
Windham Region No Freeze Project P.O. Box 46 Willimantic, CT 06226	None	Public Charity	Current Use for General Charitable Purposes	10,000.
2Seeds Network, Inc. 901 U St, NW Washington, DC 20001	None	Public Charity	Current Use for General Charitable Purposes	4,000.
Altrusa International Foundation Inc. PO Box 825 Storrs, CT 06268	None	Public Charity	Current Use for General Charitable Purposes	3,600.
Community Foundation of Eastern CT, Ossen Fund for the Arts P.O. Box 769 New London, CT 06320	None	Public Charity	Current Use for General Charitable Purposes	116,283.
Town of Willington, Dept. of Youth, Family & Social Services 40 Old Farms Road Willington, CT 06279	None	Public Charity	Current Use for General Charitable Purposes	2,000.
Windham Area Interfaith Ministry P.O. Box 221 Willimantic, CT 06226	None	Public Charity	Current Use for General Charitable Purposes	18,715.
Total from continuation sheets				

### 3 Grants and Contributions Paid During the Year (Continuation)

323631  
05-01-13

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

OMB No 1545-0047

**2013**

Name of the organization

Jeffrey P. Ossen Family Foundation

Employer identification number

26-1243178

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

Jeffrey P. Ossen Family Foundation

26-1243178

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Jeffrey P. Ossen Revocable Trust 49 Brookside Lane Mansfield Center, CT 06250	\$ 161,287.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	Jeffrey P. Ossen Revocable Trust 49 Brookside Lane Mansfield Center, CT 06250	\$ 200,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	Jeffrey P. Ossen Revocable Trust 49 Brookside Lane Mansfield Center, CT 06250	\$ 253,364.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

Jeffrey P. Ossen Family Foundation

26-1243178

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

Jeffrey P. Ossen Family Foundation

26-1243178

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

## Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
First Niagara, Account #7900581161	24.	24.	
Total to Part I, line 3	24.	24.	

## Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Allianz NFJ Dividend Val Ins	50,296.	0.	50,296.	50,296.	
Eaton Vance Floating Rate-I	15,966.	0.	15,966.	15,966.	
Federated Gov Obl TX Mgd-I	53.	0.	53.	53.	
Federated Ultra Short Fd-Ins	18,351.	0.	18,351.	18,351.	
JP Morgan Realty Income In	10,821.	3,198.	7,623.	7,623.	
PIMCO Commodity RR Strat Ins	3,423.	0.	3,423.	3,423.	
Scout International Fund	5,308.	0.	5,308.	5,308.	
Smith Group L/C Core GTH I	238,999.	222,234.	16,765.	16,765.	
T. Rowe Price Emerging Markets	4,216.	0.	4,216.	4,216.	
T. Rowe Price High Yield Fund	39,177.	896.	38,281.	38,281.	
T. Rowe Price Mid-Cap Value Fund	24,413.	17,299.	7,114.	7,114.	
Vanguard 500 Index Fund-Sign	84,624.	0.	84,624.	84,624.	
Vanguard GNMA Fund Adm	20,319.	0.	20,319.	20,319.	
Vanguard International VA IV	7,698.	0.	7,698.	7,698.	
Vanguard Intrm Trm Bd Idx-Si	65,536.	12,958.	52,578.	52,578.	
Vanguard Mid Cap Growth-Inv	47,567.	32,682.	14,885.	14,885.	



Vanguard REIT	9,709.	0.	9,709.	9,709.
Vanguard S/T Investment Grade Fd-Adm	3,098.	98.	3,000.	3,000.
Vanguard Sml Cap Indx-Signal	5,875.	0.	5,875.	5,875.
William Blair Intl Growth Fund-I	5,315.	0.	5,315.	5,315.
To Part I, line 4	660,764.	289,365.	371,399.	371,399.

Form 990-PF	Legal Fees	Statement	3
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Cummings & Lockwood LLC - Legal Fees	8,798.	8,798.		0.
To Fm 990-PF, Pg 1, ln 16a	8,798.	8,798.		0.

Form 990-PF	Taxes	Statement	4
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
United States Treasury - Estimated Excise Tax fye 7/31/14	3,594.	0.		0.
To Form 990-PF, Pg 1, ln 18	3,594.	0.		0.

Form 990-PF	Other Expenses	Statement	5
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Connecticut Council for Philanthropy - Membership	2,500.	2,500.		0.
First Niagara Private Client Services - Investment Fees	68,759.	68,759.		0.
First Niagara - Bank Fee	15.	15.		0.

United States Postal Service			
- Postage	83.	83.	0.
Verizon Wireless - Telephone	960.	960.	0.
North Windham Self Storage	1,225.	1,225.	0.
Pam Miller - Work on			
Foundation's Website	3,000.	3,000.	0.
Straight Talk - Website	500.	500.	0.
WB Mason - Office Supplies	106.	106.	0.
Connecticut Department of			
Revenue - Late payment			
penalty	25.	25.	0.
United States Liability			
Insurance Co.	993.	993.	0.
To Form 990-PF, Pg 1, ln 23	78,166.	78,166.	0.

Form 990-PF	Corporate Stock	Statement	6
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Description	Book Value	Fair Market Value
3,500 Shs. Vanguard REIT ETF	222,121.	262,150.
135,891.81 Shs. Allianzgi NFJ Dividend		
Value-Instl	1,537,663.	2,302,007.
9,506.26 Shs. Vanguard Sml Cap Indx-Signal	318,650.	456,965.
10,605.37 Shs. Scout International Fund	326,000.	389,641.
17,568.17 Shs. T Rowe Price Emerging Markets		
Stock	566,806.	611,548.
11,044.11 Shs. Vanguard International VA IV	329,224.	423,984.
14,478.85 Shs. William Blair Intl Growth Fund-I	304,633.	396,865.
21,126.04 Shs. JP Morgan Realty Income	221,194.	274,850.
33,737.81 Shs. PIMCO Commodity RR Strat Ins	256,807.	193,318.
16,889.87 Shs. T Rowe Price Mid-Cap Value Fund	383,325.	540,645.
21,027.30 Shs. Vanguard Mid Cap Growth-Inv	400,228.	528,626.
36,115.65 Shs. Vanguard 500 Index Fund-Signal	3,739,983.	5,320,196.
21,362.96 Shs. WFA Large Cap Growth-I	1,000,000.	978,423.
48,960.41 Shs. Eaton Vance Floating Rate-I	450,000.	446,519.
170,435.97 Shs. Federated Ultra Short Fd-Ins	1,570,000.	1,564,602.
89,723.67 Shs. T Rowe Price High Yield Fund	615,896.	646,908.
54,117.78 Shs. Vanguard GNMA Fund Adm	597,187.	576,895.
153,539.64 Shs. Vanguard Intrm Trm Bd Indx-Si	1,836,119.	1,751,887.
32,627.95 Shs. Vanguard S/T Investment Grade		
Fd-Adm	350,098.	350,424.
Total to Form 990-PF, Part II, line 10b	15,025,934.	18,016,453.

Form 990-PF

List of Substantial Contributors  
Part VII-A, Line 10

Statement 7

Name of ContributorAddressJeffrey P. Ossen Revocable Trust,  
Eileen Ossen Trustee49 Brookside Lane  
Mansfield Center, CT 06250

	(Line 1a)		(Line 1b)	
	FMV of Securities	Calculated Averages	Cash Balances	Calculated Averages
<b>Beginning (optional):</b>				
1st month	16,738,100.		414,833.	
<b>Ending:</b>				
1st month	16,335,663.	16,536,882.	393,526.	404,180.
2nd month	16,780,733.	16,558,198.	427,529.	410,528.
3rd month	17,318,929.	17,049,831.	587,574.	507,552.
4th month	17,564,295.	17,441,612.	544,673.	566,124.
5th month	17,704,576.	17,634,436.	690,415.	617,544.
6th month	17,343,030.	17,523,803.	678,958.	684,687.
7th month	17,920,513.	17,631,772.	670,717.	674,838.
8th month	17,983,837.	17,952,175.	673,156.	671,937.
9th month	18,085,276.	18,034,557.	673,652.	673,404.
10th month	18,030,724.	18,058,000.	1,005,174.	839,413.
11th month	18,288,704.	18,159,714.	776,746.	890,960.
12th month	18,016,455.	18,152,580.	734,439.	755,593.
Total of all months		210,733,560.		7,696,760.
Number of months in year		12		12
Average monthly value		17,561,130.		641,397.
Number of months in year - override				

# Application for Extension of Time To File an Exempt Organization Return

► **File a separate application for each return.**  
► **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868)**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file)** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

## **Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).**

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions.	Enter filer's identifying number
File by the due date for filing your return. See instructions.	Jeffrey P. Ossen Family Foundation	Employer identification number (EIN) or 26-1243178
	Number, street, and room or suite no. If a P.O. box, see instructions. Cummings & Lockwood LLC, PO Box 271820	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. West Hartford, CT 06127	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

Suzanne B. Walsh, Esquire

- The books are in the care of ► **Cummings & Lockwood PO Box 271820 - W Hartford, CT 06127**  
Telephone No. ► **860.313.4928** Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **March 15, 2015**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year \_\_\_\_\_ or
- ☒ tax year beginning **AUG 1, 2013**, and ending **JUL 31, 2014**.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	11,108.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	7,006.
c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	4,102.

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.