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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 08-01-2013 , and ending 07-31-2014

Name of foundation Albert J & Flora H Ellinger Foundation		A Employer identification number 23-7098671	
Number and street (or P O box number if mail is not delivered to street address) PO Box 2980		B Telephone number (see instructions) (414) 287-8700	
City or town, state or province, country, and ZIP or foreign postal code Milwaukee, WI 53201		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶ \$ 2,027,901	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities. . . . .	39,767	39,767		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	80,820			
	b Gross sales price for all assets on line 6a 236,343				
	7 Capital gain net income (from Part IV , line 2) . . . .		80,820		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .	387	387		
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	120,974	120,974		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .	2,244			2,244
	b Accounting fees (attach schedule) . . . . .	900			900
	c Other professional fees (attach schedule) . . . . .	16,543	16,543		
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions)	1,990	336		
	19 Depreciation (attach schedule) and depletion . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .	68			68
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	10			10
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	21,755	16,879		3,222
	25 Contributions, gifts, grants paid . . . . .	52,000			52,000
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	73,755	16,879		55,222
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	47,219			
	b <b>Net investment income</b> (if negative, enter -0-)		104,095		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	7,919	5,947	5,947
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	783,506	807,536	1,315,621
	c	Investments—corporate bonds (attach schedule). . . . .	662,049	687,170	704,852
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)	1,441	1,481	1,481
	16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	1,454,915	1,502,134	2,027,901
	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	
		<b>Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/></b> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
		<b>Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/></b> <b>and complete lines 27 through 31.</b>			
	27	Capital stock, trust principal, or current funds . . . . .	1,454,915	1,502,134	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	1,454,915	1,502,134	
	31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	1,454,915	1,502,134	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	1,454,915
2	Enter amount from Part I, line 27a . . . . .	2	47,219
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	1,502,134
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	1,502,134

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 a	SEE ATTACHED SALE SCHEDULE	P	1990-01-01	2014-07-31
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 236,343	0	155,523	80,820
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a 0	0	0	80,820
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	80,820
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	67,787	1,792,510	0 037817
2011	69,500	1,663,336	0 041784
2010	73,000	1,718,029	0 042491
2009	75,754	1,601,351	0 047306
2008	97,883	1,496,203	0 065421

2	Total of line 1, column (d). . . . .	2	0 234819
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 046964
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .	4	1,963,943
5	Multiply line 4 by line 3. . . . .	5	92,235
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	1,041
7	Add lines 5 and 6. . . . .	7	93,276
8	Enter qualifying distributions from Part XII, line 4. . . . .	8	55,222

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	2,082
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2. . . . .		3	2,082
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	2,082
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,320	
b Exempt foreign organizations—tax withheld at source . . . . .	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments Add lines 6a through 6d. . . . .		7	1,320
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	762
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 0 Refunded		11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?. . . . .		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?. . . . .		4b	No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none"><li>By language in the governing instrument, or</li><li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .</li></ul>		6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions)			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	No
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		No
Website address N/A				
14	The books are in care of BMO HARRIS BANK NA Telephone no (414) 287-8700 Located at 111 E KILBOURN AVE SUITE 200 MILWAUKEE WI ZIP+4 53202			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> ).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		No
	Organizations relying on a current notice regarding disaster assistance check here.			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
James Holcomb	President	0	0	0
C/O MI Trust CO 111 E Kilbourn Ave Milwaukee, WI 53201	1 00			
Patric Condon	Secretary/Treasurer	0	0	0
735 North Water St Milwaukee, WI 53202	1 00			
Victor Schultz	Director	0	0	0
111 East Kilbourn Ave Suite 200 Milwaukee, WI 53202	1 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . .		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . .	



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	1,993,851
b	Average of monthly cash balances. . . . .	1b	0
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	1,993,851
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	1,993,851
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	29,908
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,963,943
6	Minimum investment return. Enter 5% of line 5. . . . .	6	98,197

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	98,197
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	2,082
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	2,082
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	96,115
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	96,115
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	96,115

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	55,222
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	55,222
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	55,222
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				96,115
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only. . . . .			20,684	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008. . . . .				
b From 2009. . . . .				
c From 2010. . . . .				
d From 2011. . . . .				
e From 2012. . . . .				
f Total of lines 3a through e. . . . .				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 55,222				
a Applied to 2012, but not more than line 2a			20,684	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2013 distributable amount. . . . .				34,538
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				61,577
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . .

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a . . . . .					
c	Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d	Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets . . . . .					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NA

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

BMO HARRIS BANK NA ATTN DEIRDRE SNY  
111 E KILBOURN AVE SUITE 200  
Milwaukee,WI 53202  
(414) 287-8700

b

The form in which applications should be submitted and information and materials they should include

NONE

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

### **3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Form **990-PF** (2013)

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)



Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: Albert J & Flora H Ellinger Foundation

EIN: 23-7098671

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
SEE ATTACHED SALES SCHEDULE	1990-01	Purchased	2014-07		236,343	155,523			80,820	

TY 2013 Other Expenses Schedule

**Name:** Albert J & Flora H Ellinger Foundation

**EIN:** 23-7098671

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CORP FILING FEE	10			10



TY 2013 Other Income Schedule

**Name:** Albert J & Flora H Ellinger Foundation

**EIN:** 23-7098671

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEE REBATES	387	387	

## TY 2013 Other Professional Fees Schedule

**Name:** Albert J & Flora H Ellinger Foundation

**EIN:** 23-7098671

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BMO HARRIS BANK NA INVESTMENT MGMT	16,543	16,543		

**TY 2013 Taxes Schedule****Name:** Albert J & Flora H Ellinger Foundation**EIN:** 23-7098671

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ESTIMATED TAX	1,320			
PRIOR YEAR EXCISE TAX	334			
FOREIGN TAX	336	336		

# ALBERT & FLORA ELLINGER FDN INC AGY

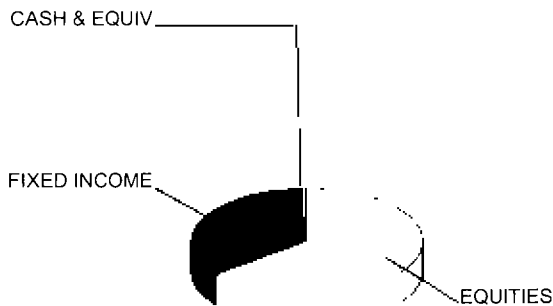
Account Number:  
Statement Period:

08/01/13 - 07/31/14

A J & F H ELLINGER FDN  
ATTN: VICTOR SCHULTZ  
PO BOX 648  
WAUKESHA WI 53187-0648

**Administrator:**  
Joseph Brzycki (414) 287-7317  
Joseph.Brzycki@bmo.Com  
**Portfolio Manager:**  
Joseph A. Olson Cima (414) 287-8545  
Joseph.A.Olson@bmo.Com

## Asset Allocation



## Asset Valuation

Description	Market Value	% of Account
Cash & Equiv	5,947.42	0.3%
Fixed Income	704,851.88	34.8%
Equities	1,315,620.95	64.9%
Miscellaneous	0.00	
<b>Total Portfolio</b>	<b>\$ 2,026,420.25</b>	<b>100.0%</b>

## Market Reconciliation

	Current Period	Year To Date
<b>Beginning Market Value</b>	<b>\$ 1,887,535.86</b>	<b>\$ 1,887,535.86</b>
Income		
Interest	0.63	0.63
Dividends	36,249.58	36,249.58
Other Income	386.67	386.67
Disbursements		
To/for Beneficiary	-52,067.77	-52,067.77
Fees/Expenses	-21,350.82	-21,350.82
Realized Gains/(Losses)	83,961.39	83,961.39
Change In Market Appreciation/(Depreciation)	91,704.71	91,704.71
Non-Cash Asset Changes	0.00	0.00
<b>Ending Market Value</b>	<b>\$ 2,026,420.25</b>	<b>\$ 2,026,420.25</b>

## ALBERT &amp; FLORA ELLINGER FDN INC AGY

Account Number:  
Statement Period:

08/01/13 - 07/31/14

## Asset Position As of 07/31/14

Asset Description	Units	Market Value Cost	Est. Annual Income	Current Yield
<b>Cash Equivalents</b>				
BMO Prime Money Market CI Y #200	5,947 420	5,947 42 5,947 42	0 00	0 01%
<b>Total Cash Equivalents</b>		<b>\$ 5,947.42 \$ 5,947.42</b>	<b>0.00</b>	<b>0.01%</b>
<b>Equities</b>				
Artisan Mid Cap Value Fund - Inv #1464	1,090 870	29,868 02 23,868.87	255 00	0 85%
BMO Small-Cap Growth Fund CI Y #612	1,305 934	28,351.83 25,882 71	0 00	0 00%
Dodge & Cox International Stock Fund #1048	2,285.902	105,151 49 60,750 06	1,588 00	1 51%
Fidelity Advisor New Insights Fund Class I #1281	3,292 269	91,426 31 59,794 72	0 00	0 00%
Harbor International Fund Inst #2011	681 013	48,712 86 50,000 00	1,018 00	2 09%
Ishares MCSI EAFE Growth ETF	1,079 000	75,767 38 70,920 76	1,661 00	2 19%
MFS Value Fund Class I #893	2,820 790	95,596 57 57,285 13	1,994.00	2 09%
T Rowe Price Growth Stock Fund #40	1,763 456	94,644 68 45,640 80	35 00	0 04%
Scout International Fund #29	943 654	34,669 85 25,165 09	471 00	1 36%
Vanguard Equity Income Fund #65	2,774 424	85,480 00 63,662 59	2,205 00	2 58%
Vanguard Institutional Index Fd #94	2,267 581	401,770 00 222,764 36	7,453.00	1 86%
Vanguard Small-Cap Index Fund - Sig #1345	1,485.978	71,430 96 28,017.90	918 00	1 29%
Vanguard Mid Cap Index Fund Class I #864	4,066 888	128,717.01 50,362 98	1,443 00	1 12%

# ALBERT & FLORA ELLINGER FDN INC AGY

Account Number:  
Statement Period:

08/01/13 - 07/31/14

## Asset Position As of 07/31/14

Asset Description	Units	Market Value Cost	Est. Annual Income	Current Yield
Wells Fargo Advantage Emerging Markets Equity Fund Class I #4146	1,034 165	24,033 99 23,419 74	92 00	0 38%
<b>Total Equities</b>		<b>\$ 1,315,620.95</b> <b>\$ 807,535.71</b>	<b>19,133.00</b>	<b>1.45%</b>
<b>Fixed Income</b>				
BMO Short-Term Income Fund CI I #993	8,617 709	81,092 64 81,056 83	1,146 00	1 41%
Federated Total Return Bd Fd Is #328	19,525 547	216,538 32 214,000 00	7,635 00	3 53%
Fidelity Advisor Floating Rate High Income Fund Class I #279	5,015 045	49,799 40 50,000 00	1,689 00	3 39%
Fidelity Advisor Strategic Real Return Fund Class I #1490	4,302 764	41,091 40 41,472 04	821 00	2 00%
PIMCO Low Duration Fund #36	8,597 230	88,723 41 80,563.95	1,361.00	1 53%
PIMCO Total Return Fund Inst #35	20,900 524	227,606 71 220,077.58	4,967 00	2.18%
<b>Total Fixed Income</b>		<b>\$ 704,851.88</b> <b>\$ 687,170.40</b>	<b>17,619.00</b>	<b>2.50%</b>
<b>Miscellaneous</b>				
Investment Agency Agreement	1 000	0 00 0 00	0 00	0 00%
<b>Total Miscellaneous</b>		<b>\$ 0.00</b> <b>\$ 0.00</b>	<b>0.00</b>	<b>0.00%</b>
<b>Cash</b>				
Principal Cash		639,036 91 639,036 91	0 00	0 00%
Income Cash		-639,036 91 -639,036 91	0 00	0 00%
<b>Total Cash</b>		<b>\$ 0.00</b> <b>\$ 0.00</b>	<b>0.00</b>	<b>0.00%</b>

**ALBERT & FLORA ELLINGER FDN INC AGY**

Account Number:  
Statement Period:

08/01/13 - 07/31/14

**Asset Position As of 07/31/14**

Asset Description	Units	Market Value Cost	Est. Annual Income	Current Yield
<b>Total Market Value</b>		<b>\$ 2,026,420.25</b> <b>\$ 1,500,653.53</b>	<b>36,752.00</b>	<b>1.81%</b>

**Income Activity**

	Date	Income Cash	Principal Cash
<b>Interest Income</b>			
BMO Prime Money Market CI Y #200			
Int To 07/31/13	08/01/13	0 14	
Int To 08/31/13	09/03/13	0 06	
Int To 09/30/13	10/01/13	0 04	
Int To 10/31/13	11/01/13	0 04	
Int To 11/30/13	12/02/13	0 03	
Int To 12/31/13	01/02/14	0 03	
Int To 01/31/14	02/03/14	0 03	
Int To 02/28/14	03/03/14	0 03	
Int To 03/31/14	04/01/14	0 14	
Int To 04/30/14	05/01/14	0 04	
Int To 05/31/14	06/02/14	0 03	
Int To 06/30/14	07/01/14	0 02	
<b>Total Interest Income</b>		<b>\$ 0.63</b>	<b>\$ 0.00</b>
<b>Dividend Income</b>			
Artisan Mid Cap Value Fund - Inv #1464			
Div 109 Per Sh on 1,043 Shs	11/22/13	114 45	
BMO Short-Term Income Fund CI I #993			
Div To 07/31/13	08/01/13	94.42	
Div To 08/31/13	09/03/13	97 51	
Div To 09/30/13	10/01/13	93 40	
Div To 10/31/13	11/01/13	90 07	
Div To 11/30/13	12/02/13	88 01	
Div To 12/31/13	01/02/14	86 23	
Div To 01/31/14	02/03/14	75 79	
Div To 02/28/14	03/03/14	73 36	
Div To 03/31/14	04/01/14	83.58	
Div To 04/30/14	05/01/14	91.10	
Div To 05/31/14	06/02/14	90 04	
Div To 06/30/14	07/01/14	93 28	
Dodge & Cox International Stock Fund #1048			
Div 695 Per Sh on 2,114 Shs	12/23/13	1,469 67	

FORM 967-113  
12/01/14

BMO HARRIS BANK N A  
STATEMENT OF CAPITAL GAINS AND LOSSES  
ALBERT & FLORA ELLINGER FDN INC A3Y

TPUST 1-2AP FNDING 07/31/2014

TAX PREPARER RSL  
TRUST ADMINISTRATOR JMB  
INVESTMENT OFFICER

LEGEND F - FEDERAL S - STATE I - INHERITED  
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM	NC	649
106 1025 DODGE & COY INTERNATIONAL STOCK FUND #1048 - 256226103 - MINOR = 572									
SOLD		07/23/2014	5000 00						
ACQ	9 3750	12/31/2007	448 68	441 98	6 70	0 00	LT	Y	F
	8 8000	12/31/2007	412 36	406 20	6 16	0 00	LT	Y	F
	2 6070	12/31/2007	122 16	120 32	1 84	0 00	LT	Y	F
	1 1270	12/29/2006	52 81	49 18	3 63	0 00	LT	Y	F
	4 4240	12/29/2006	207 31	192 97	14 34	0 00	LT	Y	F
	3 6880	12/29/2006	172 82	160 86	11 96	0 00	LT	Y	F
	27 8370	12/22/2010	1304 43	984 61	319 82	0 00	LT	Y	F
	4200	12/30/2005	19 68	14 72	4 96	0 00	LT	Y	F
	3 3130	12/30/2005	155 25	116 06	39 19	0 00	LT	Y	F
	3 3420	12/30/2005	156 60	117 06	39 54	0 00	LT	Y	F
	41 3690	12/20/2012	1947 90	1435 80	512 10	0 00	LT		F
186 9960 FIDELITY ADVISOR NEW INSIGHTS FUND CLASS I #1281 - 316071604 - MINOR = 571									
SOLD		12/20/2013	4942 28						
ACQ	2 2930	12/17/2012	589 20	507 39	81 81	0 00	LT		F
	3 5500	12/17/2012	93 03	80 11	12 92	0 00	LT		F
	161 1810	07/13/2010	4260 05	2790 08	1469 97	0 00	LT	Y	F
357 6400 FIDELITY ADVISOR NEW INSIGHTS FUND CLASS I #1281 - 316071604 - MINOR = 571									
SOLD		03/12/2014	10000 36						
ACQ	49 3880	07/13/2010	1378 09	853 17	524 92	0 00	LT	Y	F
	368 3790	06/28/2010	8622 27	5254 88	3327 39	0 00	LT	Y	F
174 8260 FIDELITY ADVISOR NEW INSIGHTS FUND CLASS I #1281 - 316071604 - MINOR = 571									
SOLD		07/23/2014	5000 00						
ACQ	174 8260	06/28/2010	5000 00	3001 77	1998 23	0 00	LT	Y	F
153 3190 MFS VALUE FUND CLASS I #893 - 552383694 - MINOR = 571									
SOLD		12/20/2013	5018 14						
ACQ	14 1970	09/25/2012	464 67	363 62	101 05	0 00	LT		F
	22 2290	12/11/2012	724 25	563 33	160 86	0 00	LT		F
	24 5530	12/11/2012	802 97	624 61	178 36	0 00	LT		F
	12 5100	03/22/2012	409 45	310 67	98 78	0 00	LT		F
	16 4110	06/26/2012	613 39	435 34	177 85	0 00	LT		F
	6 2100	07/13/2010	2003 42	1244 40	759 01	0 00	LT	Y	F
499 4100 MFS VALUE FUND CLASS I #893 - 552383694 - MINOR = 571									
SOLD		03/12/2014	10000 29						
ACQ	174 7720	07/13/2010	5837 38	3553 11	2284 27	0 00	LT	Y	F
	124 0380	06/28/2010	4162 92	2485 28	1677 63	0 00	LT	Y	F
4566 2090 PIMCO TRDS TOTAL RETURN FD INSTL CL - 693334000 - MINOR = 592									
SOLD		07/23/2014	50000 00						
ACQ	4 3430	12/02/2012	47 56	50 47	-2 91	0 00	LT		F
	70 6250	12/01/2012	773 34	820 66	-47 32	0 00	LT		F
	72 1060	10/31/2012	800 51	847 30	-46 79	0 00	LT		F
	49 7000	10/01/2012	544 98	576 34	-31 36	0 00	LT		F
	5 5930	09/03/2012	61 73	64 01	-3 08	0 00	LT		F
	56 2540	09/01/2012	615 99	646 93	-30 94	0 00	LT		F
	5 4050	08/01/2012	628 58	656 44	-29 86	0 00	LT		F
	352 9520	12/12/2012	3875 78	4020 90	-145 12	0 00	LT		F
	259 9010	12/12/2012	1844 82	2951 34	-105 52	0 00	LT		F
	76 3510	05/01/2013	841 96	871 94	-29 98	0 00	LT		F
	69 4110	07/01/2012	760 05	784 34	-24 29	0 00	LT		F
	2 2880	07/01/2012	25 05	25 85	-0 80	0 00	LT		F
	144 3230	07/07/2010	1580 34	1623 64	-43 30	0 00	LT	Y	F
	444 4440	07/13/2010	4866 66	5000 00	-133 34	0 00	LT	Y	F
	65 4150	04/01/2013	716 29	735 27	-18 98	0 00	LT		F
	6 2630	12/28/2012	2959 38	3017 76	-78 38	0 00	LT		F
	57 4620	01/01/2015	629 21	645 87	-16 66	0 00	LT		F
	1 9850	01/01/2013	21 74	21 31	-0 51	0 00	LT		F
	51 1340	05/01/2013	554 92	574 23	-19 31	0 00	LT		F
	72 5040	05/01/2012	793 92	813 50	-19 58	0 00	LT		F
	45 5020	02/01/2013	498 25	509 17	-10 92	0 00	LT		F
	50 5640	05/02/2010	553 68	562 78	-9 10	0 00	LT	Y	F
	66 0870	02/01/2012	745 55	757 13	-11 58	0 00	LT		F
	63 7500	03/01/2012	638 06	708 90	-10 84	0 00	LT		F
	46 4430	06/01/2010	508 55	515 52	-6 97	0 00	LT	Y	F
	62 8860	07/31/2011	688 60	698 03	-9 43	0 00	LT	Y	F
	2 2370	04/01/2012	24 50	24 81	-0 31	0 00	LT		F
	76 7850	04/01/2012	840 80	851 53	-10 73	0 00	LT		F
	6 3640	06/02/2011	7 5 74	7 13 58	7 84	0 00	LT		F
	50 6460	08/01/2012	762 65	770 31	-7 66	0 00	LT	Y	F
	49 3710	08/01/2010	500 61	545 00	-4 45	0 00	LT		F
	32 6550	12/01/2009	434 22	437 9	-3 77	0 00	LT	Y	F
	2 6430	05/02/2011	731 17	719 46	-5 36	0 00	LT	Y	F
	2 1980	05/01/2011	24 07	24 24	-0 17	0 00	LT		F
	39 5410	09/01/2011	651 97	655 55	-3 58	0 00	LT	Y	F
	4 1200	03/01/2010	515 96	517 85	-1 89	0 00	LT	Y	F
	67 1400	07/01/2012	735 18	737 87	-2 69	0 00	LT	Y	F
	47 8280	02/01/2010	523 72	524 19	-0 47	0 00	LT		F
	1 3600	11/01/2009	14 89	14 86	0 01	0 00	LT	Y	F
	48 0200	11/01/2009	515 82	515 34	0 48	0 00	LT	Y	F
	53 8530	10/01/2009	589 69	588 07	1 62	0 00	LT	Y	F
	67 6790	11/01/2011	741 04	738 38	2 71	0 00	LT	Y	F
	1277 1750	11/18/2009	13985 07	13921 21	63 86	0 00	LT	Y	F

\*\*CHANGED\*\* 12/04/14 RLS



FROM 04/01/2013  
TO 03/31/2014

BMO MARRIC BANK N A  
STATEMENT OF CAPITAL GAINS AND LOSSES  
ALBERT & FLORA MARRIC TRUST INC AGY

TRUST YEAR ENDING 03/31/2014

TAX DEPARTMENT PS  
TRUST ADMINISTRATOR JKB  
INVESTMENT OFFICER J O

LEGEND F - FEDERAL S - STATE I - INHERITED  
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM	NC
19 9500 PLANO INVESTMENT GRADE CORP BOND FUND CLASS I #56 - 722305816 - MINOR = 592								
SOLD		12/20/2013	205 48					
ACQ	0550	11/01/2013	0 57	0 59	-0 02	0 00	ST	C
	0510	10/01/2013	0 52	0 54	-0 02	0 00	ST	C
	0500	08/01/2013	6 06	6 23	-0 17	0 00	ST	C
	0570	12/01/2013	0 54	0 55	-0 01	0 00	ST	C
	0540	07/01/2013	191 11	196 13	-5 02	0 00	ST	C
	0570	03/02/2013	0 59	0 60	-0 01	0 00	ST	C
	1150	12/12/2013	1 42	1 73	-0 31	0 00	ST	C
	4440	12/12/2013	4 57	4 57	0 00	0 00	ST	C
**CHANGED** 01/01/14 RLS **CUND UPDATE** 01/01/14								
100 1000 PRICE T ROWE GROWTH STK FD INC COM - 741479109 - MINOR = 511								
SOLD		12/20/2013	5192 20					
ACQ	4 6460	12/17/2013	240 94	172 57	68 37	0 00	LT	F
	1 0850	07/13/2010	866 02	460 61	405 41	0 00	LT	Y F
	18 3890	06/28/2010	4065 24	2088 18	1976 96	0 00	LT	Y F
183 5000 PRICE T ROWE GROWTH STK FD INC COM - 741479109 - MINOR = 571								
SOLD		03/12/2014	20000 44					
ACQ	183 5280	06/28/2010	20000 44	4889 19	5111 25	0 00	LT	Y F
91 0190 PRICE T ROWE GROWTH STK FD INC COM - 741479109 - MINOR = 511								
SOLD		07/23/2014	5000 00					
ACQ	91 0090	06/28/2010	5000 00	2424 48	2575 52	0 00	LT	Y F
**CHANGED** 12/01/14 RLS								
125 9080 SCOTT INTERNATIONAL FUND #29 - 810635503 - MINOR = 572								
SOLD		07/23/2014	49999 99					
ACQ	19 2590	06/14/2013	726 22	658 42	67 80	0 00	LT	F
	18 9500	12/14/2012	714 60	623 37	92 23	0 00	LT	F
	10 4770	06/24/2012	590 04	336 12	253 87	0 00	LT	Y F
	17 9970	12/7/2010	778 67	571 58	207 09	0 00	LT	Y F
	14 6000	06/12/2012	550 57	417 13	133 44	0 00	LT	F
	6 5750	12/18/2010	246 12	186 93	60 50	0 00	LT	F
	17 4460	12/16/2011	616 14	485 45	130 69	0 00	LT	Y F
	16 8850	06/25/2010	536 73	455 13	81 00	0 00	LT	Y F
	396 0660	06/16/2009	37535 59	28000 00	14545 59	0 00	LT	Y F
	18 7740	06/19/2009	718 11	431 51	286 60	0 00	LT	Y F
	187 5160	06/11/2009	707 23	4301 61	2764 38	0 00	LT	Y F
157 6600 VANGUARD EQUITY INCOME FUND INC COM # 65 - 921921102 - MINOR = 571								
SOLD		12/20/2013	4433 14					
ACQ	151 5000	01/18/2012	4433 14	3407 07	1026 07	0 00	LT	F
345 7870 VANGUARD EQUITY INCOME FUND INC COM # 65 - 921921102 - MINOR = 571								
SOLD		03/12/2014	3999 74					
ACQ	345 7870	01/18/2012	9999 74	7548 30	2451 44	0 00	LT	F
117 1020 VANGUARD INSTL INDEX FUND SH BEN INT - 922041100 - MINOR = 571								
SOLD		12/20/2013	15692 73					
ACQ	13 6150	09/24/2012	22 93	1820 28	457 65	0 00	LT	F
	11 7260	03/26/2012	1961 38	1499 11	462 27	0 00	LT	F
	13 8810	06/25/2012	2122 93	1696 40	626 53	0 00	LT	F
	78 4770	07/13/2010	23129 99	7869 65	5260 34	0 00	LT	Y F
58 1910 VANGUARD INSTL INDEX FUND SH BEN INT - 922041100 - MINOR = 571								
SOLD		03/12/2014	10000 00					
ACQ	19 1620	12/24/2012	329 78	2506 50	785 88	0 00	LT	F
	39 0320	01/13/2010	6707 22	3914 11	2793 11	0 00	LT	Y F
54 8550 VANGUARD INSTL INDEX FUND SH BEN INT - 922041100 - MINOR = 571								
SOLD		07/27/2014	10000 00					
ACQ	17 3620	06/24/2013	2253 58	1601 77	451 81	0 00	LT	F
	11 5410	03/22/2013	2703 37	1637 61	471 30	0 00	LT	F
	30 9520	07/13/2010	5641 51	3103 86	2538 65	0 00	LT	Y F
TOTALS			214484 69	155522 75				

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28%	LONG TERM	ST WASH SALE	LT WASH SALE	1250 GAIN
NONCOVERED FROM ABOVE	0 00	0 00	51248 07	0 00	0 00	0 00*
COVERED FROM ABOVE	-5 26	0 00	7719 13	0 00	0 00	0 00
COMMON TRUST FUND	0 00	0 00	0 00			0 00
CAPITAL GAIN DIV/DIST	0 00	0 00	21858 43			0 00
	-5 26	0 00	80825 63	0 00	0 00	0 00
NONCOVERED FROM ABOVE	0 00	0 00	51248 07	0 00	0 00	0 00*
COVERED FROM ABOVE	-5 26	0 00	7719 13	0 00	0 00	0 00
COMMON TRUST FUND	0 00	0 00	0 00			0 00
CAPITAL GAIN DIV/DIST	0 00	0 00	21858 43			0 00
	-5 26	0 00	80825 63	0 00	0 00	0 00

\* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE

<u>Organization</u>	<u>Address 1</u>	<u>Address 2</u>	<u>City, State Zip</u>	<u>Grant Award</u>
Above the Clouds	P O Box 16122		Milwaukee, WI 53216	500 00
Adaptive Sportsmen, Inc	8727 W Coldspring Rd		Greenfield, WI 53228	1,000 00
Audio & Braille Literacy Enhancement	Central Library Building	803 W. Wells St	Milwaukee, WI 53233	500 00
Benedict Center	135 W Wells St	Suite 700	Milwaukee, WI 53203	500 00
Best Buddies	10425 W North Ave.	Suite 340	Wauwatosa, WI 53226	1,000 00
Big Brothers Big Sisters	10855 W. Potter Rd	#14	Wauwatosa, WI 53226	3,750 00
Blood Center of Wisconsin	P O. Box 2178		Milwaukee, WI 53201-2178	500 00
Boys & Girls Club of Greater Milwaukee	10335 N Port Washington Rd.		Mequon, WI 53092	2,000 00
Center for Deaf Blind Persons, Inc	3195 S Superior St		Milwaukee, WI 53207	500 00
Easter Seals Southeast Wisconsin	3090 N. 53rd St		Milwaukee, WI 53210	500 00
Feeding American Eastern Wisconsin	1700 W Fond Du Lac Ave		Milwaukee, WI 53205	1,000 00
First Stage	325 W Walnut St		Milwaukee, WI 53212	500 00
Florentine Opera Company	930 E Burleigh St		Milwaukee, WI 53212	1,000 00
Hunger Task Force	201 S Hawley Ct	Lower Level	Milwaukee, WI 53214	1,000 00
Independence First	540 S. 1st St		Milwaukee, WI 53204-1605	1,000 00
Literacy Services	555 N. Plankington Ave		Milwaukee, WI 53203	1,000 00
Make A Difference - Wisconsin	710 N. Plankington Ave.	Suite 310	Milwaukee, WI 53203	1,250 00
Medical College of Wisconsin	8701 Watertown Plank Rd.		Milwaukee, WI 53226	2,000 00
Milwaukee Achiever Literacy Services	5566 N 69th St.		Milwaukee, WI 53218	500 00
Milwaukee Art Museum	700 N. Art Museum Dr		Milwaukee, WI 53202	2,000 00
Milwaukee Ballet	504 W National Ave.		Milwaukee, WI 53204	1,000 00
Milwaukee Center for Independence	2020 W Wells St.		Milwaukee, WI 53233	500 00
Milwaukee Public Museum	800 W Wells St		Milwaukee, WI 53233	2,000 00
Milwaukee Rescue Mission	830 N 19th St		Milwaukee, WI 53233	500 00
Musical Symphony Orchestra	1101 N Market St	Suite 100	Milwaukee, WI 53202-3148	1,000 00
Muscular Dystrophy Association	330 S Executive Dr	Suite 100-A	Brookfield, WI 53005	500 00
Next Door	2545 N 29th St		Milwaukee, WI 53210	1,500 00
Rawhide	E7475 Rawhide Rd.		New London, WI 54961	500 00
Risen Savior Youth Services	9550 W. Brown Deer Rd		Milwaukee, WI 53224	500 00
Schlitz Audubon Nature Center	1111 E Brown Deer Rd.		Milwaukee, WI 53217	500 00
Serenity Inns, Inc	2825 W. Brown St		Milwaukee, WI 53208	500 00
Sharon Lynne Wilson Center for the Arts	19805 W Capitol Dr.		Brookfield, WI 53045	500 00
Skylight Music Theatre	158 N Broadway		Milwaukee, WI 53202	500 00
Sojourner Family Peace Center	P.O. Box 080319		Milwaukee, WI 53208	500 00
Teen Challenge Wisconsin	P O. Box 250771		Milwaukee, WI 53225	500 00
United Performing Arts Fund	301 W. Wisconsin Ave	Suite 600	Milwaukee, WI 53203	2,500 00
United Way of Greater Milwaukee	225 W Vine St.		Milwaukee, WI 53212-3935	13,000 00
Vision Forward Association	912 N. Hawley Rd.		Milwaukee, WI 53213	1,000 00
Walker's Point Youth & Family Center	2030 W. National Ave		Milwaukee, WI 53204-1157	500 00
Wisconsin Association of Independent Colleges & Universities	122 W Washington Ave	Suite 700	Madison, WI 53703-2723	1,000 00
Women's Care Center	1441 N. Farwell Ave		Milwaukee, WI 53202	1,000 00
<b>TOTALS</b>				<b>\$52,000.00</b>