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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Department of the Treasury
Internal Revenue ServiceDo not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning AUG 1, 2013, and ending JUL 31, 2014

Name of foundation
C. STEVEN DUNCKER FOUNDATION
C/O RAICH ENDE MALTER & CO. LLP

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
475 PARK AVENUE SOUTH, 31ST FL

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10016

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ☐ Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

\$ 3,126,958. (Part I, column (d) must be on cash basis)

A Employer identification number
13-3932635

B Telephone number
212-695-7003

C If exemption application is pending, check here ☐

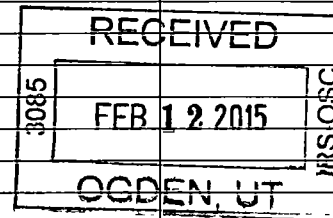
D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		54.	54.		STATEMENT 1
4 Dividends and interest from securities		42,451.	42,451.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		102,696.			
b Gross sales price for all assets on line 6a		153,389.			
7 Capital gain net income (from Part IV, line 2)			102,696.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		145,201.	145,201.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		5,270.	2,635.		2,635.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		3,445.	220.		3,225.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		15,758.	15,508.		250.
24 Total operating and administrative expenses Add lines 13 through 23		24,473.	18,363.		6,110.
25 Contributions, gifts, grants paid		247,250.			247,250.
26 Total expenses and disbursements Add lines 24 and 25		271,723.	18,363.		253,360.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<126,522.>			
b Net investment income (if negative, enter -0-)			126,838.		
c Adjusted net income (if negative, enter -0-)				N/A	

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P 11

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	122,066.	46,253.	46,253.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶	23.		
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	1,504,179.	1,453,493.	3,080,705.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment; basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item 1)		1,626,268.	1,499,746.	3,126,958.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31 ▶ ☒			
	27 Capital stock, trust principal, or current funds	1,626,268.	1,499,746.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 Total net assets or fund balances	1,626,268.	1,499,746.		
31 Total liabilities and net assets/fund balances	1,626,268.	1,499,746.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,626,268.
2 Enter amount from Part I, line 27a	2	<126,522.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,499,746.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,499,746.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 300 SHS - FMC CORPORATION	P	10/19/06	03/10/14
b 500 SHS - DARDEN RESTAURANTS INC	P	12/09/11	03/10/14
c 200 SHS - PIONEER NATURAL RESOURCES CO	P	03/24/06	03/10/14
d 500 SHS - MASTERCARD INCORPORATED	P	05/31/06	03/10/14
e 400 SHS - BHP BILLITON LIMITED	P	09/16/05	03/10/14

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 24,882.		5,085.	19,797.
b 24,977.		21,802.	3,175.
c 38,935.		8,292.	30,643.
d 38,687.		2,254.	36,433.
e 25,908.		13,260.	12,648.

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			19,797.
b			3,175.
c			30,643.
d			36,433.
e			12,648.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	102,696.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	261,071.	2,672,310.	.097695
2011	318,377.	2,584,722.	.123176
2010	127,773.	2,641,405.	.048373
2009	107,754.	2,305,169.	.046745
2008	91,150.	1,994,182.	.045708

2 Total of line 1, column (d)	2	.361697
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.072339
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	3,048,629.
5 Multiply line 4 by line 3	5	220,535.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,268.
7 Add lines 5 and 6	7	221,803.
8 Enter qualifying distributions from Part XII, line 4	8	253,360.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	1,268.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		3	1,268.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	1,268.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		6a	2,151.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		6b	
6 Credits/Payments.		6c	
a 2013 estimated tax payments and 2012 overpayment credited to 2013		6d	
b Exempt foreign organizations - tax withheld at source		7	2,151.
c Tax paid with application for extension of time to file (Form 8868)		8	
d Backup withholding erroneously withheld		9	
7 Total credits and payments Add lines 6a through 6d		10	883.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		11	0.
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax 883. Refunded 883.			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of Section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► RAICH ENDE MALTER & CO. LLP Telephone no. ► 212-695-7003 Located at ► 475 PARK AVE SOUTH, 31ST FL, NEW YORK, NY ZIP+4 ► 10016			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No **N/A** **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No **N/A**

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No **6b**

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **X**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No **7b**

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **N/A**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
C. STEVEN DUNCKER	TRUSTEE			
102 REEF ROAD				
PALM BEACH, FL 33480	10.00	0.	0.	0.
CHRISTY HELEN BLUMENHORST	TRUSTEE			
27 EMFIELD RD				
ST LOUIS, MO 63132	10.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER INTERNAL REVENUE CODE SECTION 501 (C)(3).	0.
2	0.
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2013)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a 3,003,248.
b	Average of monthly cash balances	1b 91,807.
c	Fair market value of all other assets	1c
d	Total (add lines 1a, b, and c)	1d 3,095,055.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.
2	Acquisition indebtedness applicable to line 1 assets	2 0.
3	Subtract line 2 from line 1d	3 3,095,055.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4 46,426.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5 3,048,629.
6	Minimum investment return Enter 5% of line 5	6 152,431.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 152,431.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 1,268.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b
c	Add lines 2a and 2b	2c 1,268.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 151,163.
4	Recoveries of amounts treated as qualifying distributions	4 0.
5	Add lines 3 and 4	5 151,163.
6	Deduction from distributable amount (see instructions)	6 0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 151,163.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a 253,360.
b	Program-related investments - total from Part IX-B	1b 0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 253,360.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5 1,268.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 252,092.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				151,163.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years.		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				182,649.
e From 2012				131,713.
f Total of lines 3a through e	314,362.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$				253,360.
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount	102,197.			151,163.
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	416,559.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	416,559.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				182,649.
d Excess from 2012				131,713.
e Excess from 2013				102,197.

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 8

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ADOPT A FAMILY 1712 SECOND AVE NORTH LAKE WORTH, FL 33460	N/A	EXEMPT	SEE STATEMENT 6 ATTACHED	2,000.
DUKE UNIVERSITY PO BOX 90581 DURHAM, NC 27708	N/A	EXEMPT	SEE STATEMENT 6 ATTACHED	220,000.
EMILY KRZYZEWSKI LIFE CENTER 904 WEST CHAPEL HILL STREET DURHAM, NC 27701	N/A	EXEMPT	SEE STATEMENT 6 ATTACHED	1,000.
JOHN BURROUGHS SCHOOL 755 SOUTH PRICE ROAD ST. LOUIS, MO 63124	N/A	EXEMPT	SEE STATEMENT 6 ATTACHED	3,500.
PALM BEACH FELLOWSHIP OF CHRISTIANS 139 NORTH COUNTY ROAD, SUITE 36 PALM BEACH, FL 33480	N/A	EXEMPT	SEE STATEMENT 6 ATTACHED	150.
Total	SEE CONTINUATION SHEET(S)			247,250.
b Approved for future payment				
NONE				
Total				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		Related or exempt function income
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	54.		
4 Dividends and interest from securities			14	42,451.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	102,696.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		145,201.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	145,201.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

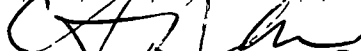
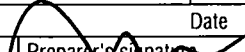
Part XVII Information Regarding Transfers To and Transactions With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No		
	 Signature of officer or trustee		2-6-15 Date		TRUSTEE Title		
Paid Preparer Use Only	Print/Type preparer's name MICHAEL J. ROMEO		Preparer's signature 		Date 02/05/15	Check ☐ if self-employed	PTIN P00482624
	Firm's name ▶ RAICH ENDE MALTER & CO., LLP					Firm's EIN ▶ 11-2336434	
	Firm's address ▶ 475 PARK AVENUE SOUTH 31ST FL NEW YORK, NY 10016					Phone no. 212-695-7003	

Jeffrey Brennan

From: Iris M. Bonilla <Iris.Bonilla@ag.ny.gov> on behalf of Charities Extensions
<Charities.Extensions@ag.ny.gov>
Sent: 12/11/2014 11:00 AM
To: Jeffrey Brennan
Subject: RE: 90, C. Steven Duncker Foundation, 06-03-54, 13-3932635

Your extension request has been received.

Effective March 17, 2014, the Charities Bureau has changed the extension request policy to accept requests electronically by email only, no IRS Form 8868 or hardcopy is required. Furthermore, the Charities Bureau will now accept email requests for a full 180 day extension term. Please review the new extension request instructions posted online.

Please note that extension requests submitted in accordance with the guidelines and received by the Charities Bureau are considered granted. You will receive notification under separate cover only if your request for an extension is denied. Extension requests will no longer be posted in the Charities Bureau's Registry.

If you have any questions regarding the extension process, please email the Charities Bureau at Charities.Bureau@ag.ny.gov or call the Attorney General's Helpline at 1-800-771-7755.

Thank you,

Charities Bureau

File Online: Visit Form990.org to learn how to file your IRS Form 990 and New York Form CHAR500 together electronically.

From: Jeffrey Brennan [<mailto:JBrennan@rem-co.com>]
Sent: Wednesday, December 10, 2014 6:39 PM
To: Charities Extensions
Subject: Re: 90, C. Steven Duncker Foundation, 06-03-54, 13-3932635

On behalf of our client, The C. Steven Duncker Foundation, we hereby request a ninety day extension of time within which to file its "Annual Filing for Charitable Organizations" Form CHAR500. This report covering the year beginning August 1, 2013 and ending July 31, 2014 is due December 15, 2014. The organization requires additional time in which to compile the information necessary for the completion of the tax return.

Jeffrey Brennan, CPA

Raich Ende Malter & Co. LLP
475 Park Avenue South, 31st Floor | New York, NY 10016
Phone: (212) 695-7003 Ext. 4631 | Fax: (212) 695-3031
jbrennan@rem-co.com | www.rem-co.com

**C. STEVEN DUNCKER FOUNDATION
C/O RAICH ENDE MALTER & CO. LLP**

13-3932635

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PALM BEACH PUBLIC SCHOOL 239 COCOANUT ROW PALM BEACH, FL 33480	N/A	EXEMPT	SEE STATEMENT 6 ATTACHED	2,100.
ROSARIAN ACADEMY 807 N FLAGLER DRIVE WEST PALM BEACH, FL 33401	N/A	EXEMPT	SEE STATEMENT 6 ATTACHED	5,000.
SOUTH FLORIDA SCIENCE MUSEUM 4801 DREHER TRAIL NORTH WEST PALM BEACH, FL 33405	N/A	EXEMPT	SEE STATEMENT 6 ATTACHED	2,500.
JOCKEY CLUB SAFETY NET FOUNDATION 40 EAST 52ND STREET NEW YORK, NY 10022	N/A	EXEMPT	SEE STATEMENT 6 ATTACHED	10,000.
MAKE A WISH FOUNDATION 4742 N. 24TH STREET, SUITE 400 PHOENIX, AZ 85016	N/A	EXEMPT	SEE STATEMENT 6 ATTACHED	1,000.
Total from continuation sheets				20,600.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS	54.	54.	
TOTAL TO PART I, LINE 3	54.	54.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS	42,451.	0.	42,451.	42,451.	
TO PART I, LINE 4	42,451.	0.	42,451.	42,451.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	5,270.	2,635.		2,635.
TO FORM 990-PF, PG 1, LN 16B	5,270.	2,635.		2,635.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES THRU GOLDMAN SACHS	220.	220.		0.
FEDERAL TAXES	3,225.	0.		3,225.
TO FORM 990-PF, PG 1, LN 18	3,445.	220.		3,225.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT ADVISORY FEES	15,508.	15,508.		0.	
FILING FEE	250.	0.		250.	
TO FORM 990-PF, PG 1, LN 23	15,758.	15,508.		250.	

FOOTNOTES

STATEMENT 6

SUPPLEMENTARY STATEMENT

PART: XV LINE: 2 SUPPLEMENTARY INFORMATION

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES. NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS ETC. ARE MADE TO INDIVIDUALS. THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES.

SUPPLEMENTARY STATEMENT

FORM CHAR 003: SUPPLEMENTARY INFORMATION

PUBLIC INSPECTION

DATE THE NOTICE OF AVAILABILITY OF THE ANNUAL RETURN
APPEARED IN A NEWSPAPER: NOVEMBER 17, 2014
NAME OF NEWSPAPER: NEW YORK LAW JOURNAL
A COPY OF THE NEWSPAPER NOTICE IS ATTACHED.

STATE OF NEW YORK

County of New York, ss:

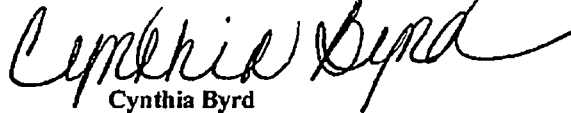
THE ANNUAL RETURN
OF THE C. STEVEN
DUNCKER FOUNDATION
for the fiscal year ended
July 31, 2014 is available at
its principal office located
at Raich Ende Malter & Co.
LLP, 475 Park Avenue
South, 31st Floor, New
York, NY 10016 (212) 695-
7003 for inspection during
business hours by any citi-
zen who requests it within
180 days hereof. Principal
Manager of the Foundation
is C. STEVEN DUNCKER.
0000004631 n17

Stephanie Batten, being duly sworn, says that she is the PRINCIPAL CLERK of the
Publisher of the NEW YORK LAW JOURNAL, a Daily Newspaper; that the
Advertisement hereto annexed has been published in the said NEW YORK LAW
JOURNAL one time on the 17th day of November 2014.



TO WIT: November 17, 2014

SWORN TO BEFORE ME, this 17th day
Of November, 2014.



Cynthia Byrd

Notary Public, State of New York

No. 01BY6056945

Qualified in Kings County

Commission Expires April 09, 2015

FORM 990-PF

CORPORATE STOCK

STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT A ATTACHED	1,453,493.	3,080,705.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,453,493.	3,080,705.

**DUNCKER C STEVEN FOUNDATION**
Holdings

Period Ended July 31, 2014

FIXED INCOME

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
OTHER FIXED INCOME								
PREFERRED FIXED INCOME SECURITIES								
(GS) GOLDMAN SACHS GROUP, INC PFD USD1 5500(6 20%) SERIES B DEP SHS REPR 1/1000 PFD SER B S&P BB+ /Moody's Ba2	2,000.00	24.6700	49,340.00 775.00	24.9900	49,980.00	(640.00)		3,100.00

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
US STOCKS								
ALLERGAN INC CMN (AGN)	1,000.00	165.8600	165,860.00	54.3868	54,386.80	111,473.20	0.1206	200.00
AMETEK INC (NEW) CMN (AME)	2,400.00	48.6900	116,856.00	17.7435	42,584.43	74,271.57	0.7394	864.00
AMPHENOL CORP CL-A (NEW) CMN CLASS A (APH)	700.00	96.1700	67,319.00	33.2921	23,304.47	44,014.53	1.0398	700.00
APOLLO INVESTMENT CORPORATION MUTUAL FUND (AINV)	3,400.000	8.4900	28,866.00	22.1482	75,303.88	(46,437.88)	9.4229	2,720.00
BARD C R INC N J CMN (BCR)	500.00	149.2300	74,615.00 110.00	79.2291	39,614.55	35,000.45	0.5897	440.00
CISCO SYSTEMS, INC. CMN (CSCO)	1,200.00	25.2300	30,276.00	20.6900	24,828.00	5,448.00	3.0123	912.00

¹⁴ This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account. Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit. Not a Deposit. Not FDIC Insured. May Lose Value (Excluding Goldman Sachs Bank Deposit Account and Certificates of Deposit).

Brokerage and securities services provided by Goldman, Sachs & Co. Bank Deposit Account and Certificate of Deposit Account offered by Goldman Sachs Bank USA, Member FDIC.

Portfolio No XXX-XX184-5

Page 6 of 27

STATEMENT A



Statement Detail

DUNCKER C STEVEN FOUNDATION
Holdings (Continued)

Period Ended July 31, 2014

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
US STOCKS								
CME GROUP INC. CMN CLASS A (CME)	500.00	73.9400	36,970.00	15.2480	7,624.02	29,345.98	2.5426	940.00
DANAHER CORPORATION CMN (DHR)	2,000.00	73.8800	147,760.00	17.4075	34,815.00	112,945.00	0.5414	800.00
DIRECTV CMN (DTV)	1,000.00	86.0500	86,050.00	27.4227	27,422.70	58,627.30		
ECOLAB INC CMN (ECL)	700.00	108.5300	75,971.00	43.5643	30,495.01	45,475.99	1.0135	770.00
EXXON MOBIL CORPORATION CMN (XOM)	1,064.00	98.9400	105,272.16	28.4986	30,322.53	74,949.63	2.7896	2,936.64
FASTENAL CO CMN (FAST)	1,200.00	44.3550	53,226.00	21.6802	26,016.18	27,209.82	2.2545	1,200.00
			300.00					
FISERV INC CMN (FISV)	1,600.00	61.6700	98,672.00	25.7575	41,212.00	57,460.00		
FMC CORPORATION CMN (FMC)	900.00	65.2200	58,698.00	16.9500	15,255.00	43,443.00	0.9200	540.00
GOLDMAN SACHS GROUP, INC (THE) CMN (GS)	840.00	172.8700	145,210.80	10.3870	8,725.10	136,485.70	1.2726	1,848.00
HENRY SCHEIN INC COMMON STOCK (HSIC)	900.00	116.2500	104,625.00	29.1900	26,271.00	78,354.00		
IDEX CORPORATION COMMON STOCK (IEX)	750.00	75.8200	56,865.00	33.9160	25,437.00	31,428.00	1.4772	840.00
ILLINOIS TOOL WORKS CMN (ITW)	1,000.00	82.3700	82,370.00	40.7300	40,730.00	41,640.00	2.0396	1,680.00
KINDER MORGAN INC CMN CLASS P (KMI)	1,000.00	35.9800	35,980.00	37.6300	37,630.00	(1,650.00)	4.7804	1,720.00
			430.00					
MASTERCARD INCORPORATED CMN CLASS A (MA)	2,500.00	74.1500	185,375.00	4.5080	11,270.00	174,105.00	0.5934	1,100.00
			275.00					
NATIONAL OILWELL VARCO, INC COMMON STOCK CMN (NOV)	800.00	81.0400	64,832.00	64.4805	51,584.39	13,247.61	2.2705	1,472.00
NOW INC CMN (NOW)	200.00	32.1900	6,438.00	29.0031	5,800.61	637.39		
NUCOR CORPORATION CMN (NUE)	500.00	50.2200	25,110.00	43.5164	21,758.19	3,351.81	2.9470	740.00
			185.00					
ORACLE CORPORATION CMN (ORCL)	1,900.00	40.3900	76,741.00	22.7200	43,168.00	33,573.00	1.1884	912.00
PARKER-HANNIFIN CORP. CMN (PH)	600.00	114.9500	68,970.00	47.9917	28,795.00	40,175.00	1.6703	1,152.00
PEABODY ENERGY CORPORATION CMN (BTU)	1,000.00	15.1700	15,170.00	36.9922	36,992.22	(21,822.22)	2.2413	340.00
PEPSICO INC CMN (PEP)	800.00	88.1000	70,480.00	33.6250	26,900.00	43,580.00	2.9739	2,096.00
PIONEER NATURAL RESOURCES CO CMN (PXO)	900.00	221.4600	199,314.00	41.4600	37,314.00	162,000.00	0.0361	72.00
PROGENICS PHARMACEUTICALS INC CMN (PGNX)	6,500.00	4.7800	31,070.00	30.1481	195,962.50	(164,892.50)		
QUALCOMM INC CMN (QCOM)	400.00	73.7200	29,488.00	38.6450	15,458.00	14,030.00	2.2789	672.00
STRYKER CORP CMN (SYK)	600.00	79.7700	47,862.00	47.6900	28,614.00	19,248.00	1.5294	732.00

STATEMENT A



Statement Detail
DUNCKER C STEVEN FOUNDATION
Holdings (Continued)

Period Ended July 31, 2014

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
US STOCKS								
THERMO FISHER SCIENTIFIC INC CMN (TMO)	2,000.00	121 5000	243,000.00	30 3855	60,771 00	182,229 00	0.4938	1,200.00
VF CORP CMN (VFC)	1,600.00	61 2700	98,032.00	14 9294	23,887 00	74,145 00	1.7137	1,680.00
WESCO INTERNATIONAL INC. CMN (WCC)	1,000.00	78 4900	78,490.00	45 1994	45,199 42	33,290 58		
WESTERN UNION COMPANY (THE) CMN (WU)	2,300.00	17 4700	40,181 00	19 8670	45,894 10	(5,513 10)	2 8620	1,150.00
TOTAL US STOCKS			2,852,014 96		1,291,146 10	1,560,868 86	1.3254	32,428 64
NON-US EQUITY								
NON-US STOCKS								
BHP BILLITON LIMITED SPONSORED ADR CMN (BHP)	600.00	71 0900	42,654 00	33 1500	19,890 00	22,764 00	3 3197	1,416 00
COVIDIEN PUBLIC LIMITED COMPAN CMN (COV)	900.00	86 5100	77,859 00	42 3607	38,124 61	39,734 39		
			230.40					
MALLINCKRODT PUBLIC LIMITED CO CMN (MINK)	112.00	69 6200	7,797 44	32 6107	3,652 40	4,145 04		
TOTAL NON-US STOCKS			128,310 44		61,667 01	66,643 43	3.3197	1,416 00
			230.40					
OTHER EQUITY								
PREFERRED SECURITIES								
METLIFE, INC PERPETUAL PFD 6 5000 SERIES B CALLABLE 9/15/10 @ 100 (METPRE)	2,000.00	25 5200	51,040 00	25 3500	50,700 00	340 00	6 3676	3,250 00
TOTAL PUBLIC EQUITY			3,031,365.40		1,403,513.11	1,627,852.29	1.4602	37,094.64
			1,530.40					

MARKET VALUE	
49,980.00	1,291,146.10
2,852,014.96	61,667.01
128,310.44	50,700.00
230.40	1,453,493.11

COST BASIS	
49,980.00	1,291,146.10
2,852,014.96	61,667.01
128,310.44	50,700.00
230.40	1,453,493.11

⁶ Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capitalization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date

Portfolio No XXX-XX184-6

Page 8 of 27

STATEMENT A

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 8

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

SEE STATEMENT ATTACHED 6

FORM AND CONTENT OF APPLICATIONS

SEE STATEMENT ATTACHED 6

ANY SUBMISSION DEADLINES

SEE STATEMENT ATTACHED 6

SEE STATEMENT ATTACHED 6

RESTRICTIONS AND LIMITATIONS ON AWARDS

SEE STATEMENT ATTACHED 6

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ☒ **X**
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions.	Enter filer's identifying number
	C. STEVEN DUNCKER FOUNDATION C/O RAICH ENDE MALTER & CO. LLP	Employer identification number (EIN) or 13-3932635
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 475 PARK AVENUE SOUTH, 31ST FL	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10016	

Enter the Return code for the return that this application is for (file a separate application for each return) **0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

RAICH ENDE MALTER & CO. LLP

- The books are in the care of ► **475 PARK AVE SOUTH, 31ST FL - NEW YORK, NY 10016**
Telephone No. ► **212-695-7003** Fax No. ► **212-695-3031**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **MARCH 15, 2015**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year ☐ or
- ☒ tax year beginning **AUG 1, 2013**, and ending **JUL 31, 2014**.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	1,268.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	2,151.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

Department of the Treasury
Internal Revenue Service

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

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- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print	Name of exempt organization or other filer, see instructions. C. STEVEN DUNCKER FOUNDATION C/O RAICH ENDE MALTER & CO. LLP	Employer identification number (EIN) or 13-3932635
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. 475 PARK AVENUE SOUTH, 31ST FL	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10016	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
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Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

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Telephone No. ► **212-695-7003** Fax No. ► **212-695-3031**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **MARCH 15, 2015**, to file the exempt organization return for the organization named above. The extension is for the organization's return for.

- ☐ calendar year _____ or
► ☒ tax year beginning **AUG 1, 2013**, and ending **JUL 31, 2014**

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
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3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	1,268.
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c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	0.

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