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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 07-01-2013 , and ending 06-30-2014

Name of foundation
SJ UNGAR J SHAPIRO FAMILY FOUNDATION

A Employer identification number
99-0275249

Number and street (or P O box number if mail is not delivered to street address)
P O BOX 37850

Room/suite

B Telephone number (see instructions)
(808) 280-6788

City or town, state or province, country, and ZIP or foreign postal code
HONOLULU, HI 96837

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,355,074

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	230			
	4	Dividends and interest from securities	58,637	58,637		
	5a	Gross rents	27,193	27,193		
	b	Net rental income or (loss) 11,199				
	6a	Net gain or (loss) from sale of assets not on line 10	11,786			
	b	Gross sales price for all assets on line 6a 481,326				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	97,846	85,830		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	20,000	10,000		10,000
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	1,571	786		785
	c	Other professional fees (attach schedule)	4,091	4,091		0
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	2,627	2,377		250
	19	Depreciation (attach schedule) and depletion	9,905	9,466		
	20	Occupancy	4,000	2,000		2,000
	21	Travel, conferences, and meetings	2,746	1,373		1,373
	22	Printing and publications				
	23	Other expenses (attach schedule)	23,078	21,580		1,498
	24	**Total operating and administrative expenses.** Add lines 13 through 23	68,018	51,673		15,906
	25	Contributions, gifts, grants paid	86,284			86,284
	26	**Total expenses and disbursements.** Add lines 24 and 25	154,302	51,673		102,190
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-56,456			
	b	**Net investment income** (if negative, enter -0-)		34,157		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	607,235	583,599	583,599
2	Savings and temporary cash investments			
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	863,622	452,109	592,991
c	Investments—corporate bonds (attach schedule)	206,421	211,837	218,533
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶	410,726		
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	91,770	83,227	84,455
14	Land, buildings, and equipment basis ▶ 813,637 Less accumulated depreciation (attach schedule) ▶ 16,616	1,850	797,021	875,496
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,181,624	2,127,793	2,355,074
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)	0	2,625	
23	**Total liabilities** (add lines 17 through 22)	0	2,625	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	2,717,282	2,717,282	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29	Retained earnings, accumulated income, endowment, or other funds	-535,658	-592,114	
30	**Total net assets or fund balances** (see page 17 of the instructions)	2,181,624	2,125,168	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,181,624	2,127,793	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,181,624
2	Enter amount from Part I, line 27a	2	-56,456
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	2,125,168
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,125,168

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	89,107	2,353,583	0 037860
2011	171,575	2,116,814	0 081053
2010	134,799	2,223,943	0 060613
2009	115,890	2,580,937	0 044902
2008	117,007	2,531,412	0 046222

2	**Total** of line 1, column (d).	2	0 270650
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 054130
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	2,189,413
5	Multiply line 4 by line 3.	5	118,513
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	342
7	Add lines 5 and 6.	7	118,855
8	Enter qualifying distributions from Part XII, line 4.	8	102,190

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	683
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	683
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	683
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	897		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	897
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	7
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	207
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 207 **Refunded** ▶			11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶THE FOUNDATION Telephone no ▶(808) 280-6788

Located at ▶PO BOX 37850 HONOLULU HI ZIP+4 ▶96837

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b	Yes	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20___, 20___, 20___, 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20___, 20___, 20___, 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JOAN SHAPIRO PO BOX 37850 HONOLULU,HI 96837	TRUSTEE 20 00	7,500	0	0
ILANA HARRIS PHD 3515 MORNINGSIDE DRIVE FAIRFAX,VA 22031	EXECUTIVE DIRECTOR 15 00	12,500	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	596,931
b	Average of monthly cash balances			1b	596,931
c	Fair market value of all other assets (see instructions)			1c	1,028,892
d	**Total** (add lines 1a, b, and c)			1d	2,222,754
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	2,222,754
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	33,341
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	2,189,413
6	**Minimum investment return.** Enter 5% of line 5			6	109,471

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	109,471
2a	Tax on investment income for 2013 from Part VI, line 5	2a	683		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	683
3	Distributable amount before adjustments Subtract line 2c from line 1			3	108,788
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	108,788
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	108,788

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	102,190
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	102,190
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	102,190

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				108,788
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			79,535	
b Total for prior years 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 102,190				
a Applied to 2012, but not more than line 2a			79,535	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				22,655
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				86,133
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				86,284
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	230	
4 Dividends and interest from securities. . . .			14	58,637	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.			16	11,199	
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	11,786	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a FEDERAL REFUND OF TAXES			41		
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		81,852	0

13 Total. Add line 12, columns (b), (d), and (e). 13 81,852

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	**1a(1)**		No
	(2) Other assets	**1a(2)**		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		No
	(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		No
	(3) Rental of facilities, equipment, or other assets	**1b(3)**		No
	(4) Reimbursement arrangements	**1b(4)**		No
	(5) Loans or loan guarantees	**1b(5)**		No
	(6) Performance of services or membership or fundraising solicitations	**1b(6)**		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2015-03-05	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
JAMES JENNINGS		2015-03-05		P00290849

Firm's name	JAMES D JENNINGS CPA INC	Firm's EIN 43-1952338
Firm's address	1600 KAPIOLANI BLVD SUITE 1311 HONOLULU, HI 96814	Phone no (808) 942-8813

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
MAZON A JEWISH RESPONSE TO HUNGER 10495 SANTA MONICA BLVD STE 100 LOS ANGELES,CA 90025	NONE	GENERAL CHARITABLE	UNRESTRICTED	200
AMERICAN DIABETES ASSOCIATION 1701 NORTH BEAUREGARD STREET ALEXANDRIA,VA 22311	NONE	GENERAL CHARITABLE	UNRESTRICTED	200
AMERICAN FRIENDS OF RABIN MEDICAL CENTER 220 5TH AVE SUITE 1301 NEW YORK,NY 10001	NONE	GENERAL CHARITABLE	UNRESTRICTED	250
HAWAII PUBLIC RADIO 738 KAHEKA ST HONOLULU,HI 96814	NONE	GENERAL CHARITABLE	UNRESTRICTED	700
NEW YORK PEACE INSTITUTE 111 JOHN STREET SUITE 600 NEW YORK,NY 10038	NONE	GENERAL CHARITABLE	UNRESTRICTED	500
ANTI-DEFAMATION LEAGUE 1101 14TH STREET NW 11TH FLOOR NEW YORK,NY 10001	NONE	GENERAL CHARITABLE	UNRESTRICTED	250
CHARLES ARMSTRONG SCHOOL 1405 SOLANA DRIVE BELMONT,CA 94002	NONE	GENERAL CHARITABLE	UNRESTRICTED	250
IMTD 1901 NORTH FORT MYER DRIVE SUITE 405 ARLINGTON,VA 22209	NONE	GENERAL CHARITABLE	UNRESTRICTED	300
ENVIORNMENTAL DEFENSE 257 PARK AVENUE SOUTH NEW YORK,NY 10010	NONE	GENERAL CHARITABLE	UNRESTRICTED	250
FINCA 1101 14TH STREET NW 11TH FLOOR WASHINGTON,DC 20005	NONE	GENERAL CHARITABLE	UNRESTRICTED	200
MANATUA ELEMENTARY 8115 GATEHOUSE ROAD FALLS CHURCH,VA 22042	NONE	GENERAL CHARITABLE	UNRESTRICTED	400
HAVURAH SHIR HADASH PO BOX 1262 ASHLAND,OR 97520	NONE	GENERAL CHARITABLE	UNRESTRICTED	1,500
HAVURAH SHIR HADASH PO BOX 1262 ASHLAND,OR 97520	NONE	GENERAL CHARITABLE	UNRESTRICTED	1,000
AMERICAN INDIAN COLLEGE FUND 8333 GREENWOOD BLVD DENVER,CO 80221	NONE	GENERAL CHARITABLE	UNRESTRICTED	200
HERZOG HOSPITAL 145 EAST 26TH ST SUITE 918 NEW YORK,NY 10010	NONE	GENERAL CHARITABLE	UNRESTRICTED	250
Total . . . . ▶ **3a**				86,284

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND STREET NEW YORK, NY 10168	NONE	GENERAL CHARITABLE	UNRESTRICTED	250
HOSPICE MAUI 400 MAHALANI STREET WAILUKU, HI 96793	NONE	GENERAL CHARITABLE	UNRESTRICTED	5,130
LOVING SERVICE FOUNDATION 73-4340 HUEHUE ST KAILUA KONA, HI 96740	NONE	GENERAL CHARITABLE	UNRESTRICTED	10,000
NORTH HAWAII COMMUNITY HOSPITAL 67-1125 MAMALAHOA HWY PO BOX 2799 KAMUELA, HI 96743	NONE	GENERAL CHARITABLE	UNRESTRICTED	500
NOVA ARTS FOUNDATION 130 ULUPA STREET KAILUA, HI 96734	NONE	GENERAL CHARITABLE	UNRESTRICTED	8,052
NRDC 40 WEST 20TH ST NEW YORK, NY 10011	NONE	GENERAL CHARITABLE	UNRESTRICTED	1,000
PACIIC PRIMATE SANCTUARY 500A HALOA ROAD HAIKU, HI 96708	NONE	GENERAL CHARITABLE	UNRESTRICTED	3,000
PACIIC PRIMATE SANCTUARY 500A HALOA ROAD HAIKU, HI 96708	NONE	GENERAL CHARITABLE	UNRESTRICTED	4,000
PACIIC PRIMATE SANCTUARY 500A HALOA ROAD HAIKU, HI 96708	NONE	GENERAL CHARITABLE	UNRESTRICTED	4,000
PARTNERS FOR DEMOCRATIC 1726 M STREET SUITE 902 WASHINGTON, DC 20036	NONE	GENERAL CHARITABLE	UNRESTRICTED	33,000
PBS HAWAII 2350 DOLE STREET HONOLULU, HI 96822	NONE	GENERAL CHARITABLE	UNRESTRICTED	250
PACIFIC PRIDE & ISLAND HEARTS PO BOX 93 COLORADO SPRINGS, CO 80916	NONE	GENERAL CHARITABLE	UNRESTRICTED	1,021
PLANNED PARENTHOOD 34 WEST 33RD STREET NEW YORK, NY 10001	NONE	GENERAL CHARITABLE	UNRESTRICTED	2,721
ST JUDE HOSPITAL 262 DANNY THOMAS PLACE MEMPHIS, TN 38105	NONE	GENERAL CHARITABLE	UNRESTRICTED	750
UNION OF CONCERNED SCIENTISTS 2 BRATTLE SQUARE CAMBRIDGE, MA 02138	NONE	GENERAL CHARITABLE	UNRESTRICTED	250
Total . . . . ▶ **3a**				86,284

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WEIZMAN INSTITUTE OF SCIENCE 234HERZL STREET REHOVOT,ISRAEL 7610001 IS	NONE	GENERAL CHARITABLE	UNRESTRICTED	250
WORLDS JEWISH CONGRESS PO BOX 90400 WASHINGTON,DC 20090	NONE	GENERAL CHARITABLE	UNRESTRICTED	2,200
COPAKE FIRE DEPARTMENT 230 MOUNTAIN VIEW ROAD COPAKE,NY 12516	NONE	GENERAL CHARITABLE	UNRESTRICTED	250
NORTH AMERICAN CONFERENCE ON ETHIOPIAN JEWRY 255 WEST 36TH STREET SUITE 701 NEW YORK,NY 10018	NONE	GENERAL CHARITABLE	UNRESTRICTED	200
MUSEUM OF NATURAL HISTORY CNTRAL PARK WEST AT 79TH STREET NEW YORK,NY 10024	NONE	GENERAL CHARITABLE	UNRESTRICTED	200
PAWS PO BOX 1037 LYNNWOOD,WA 98046	NONE	GENERAL CHARITABLE	UNRESTRICTED	200
FRIENDS OF THE SMITHSONIAN PO BOX 37012 MRC 712 WASHINGTON,DC 20013	NONE	GENERAL CHARITABLE	UNRESTRICTED	390
BISHOP MUSEUM 1525 BERNICE STREET HONOLULU,HI 96817	NONE	GENERAL CHARITABLE	UNRESTRICTED	120
HAWAII THEATRE 1130 BETHEL STREET HONOLULU,HI 96813	NONE	GENERAL CHARITABLE	UNRESTRICTED	250
WORLD WILDLIFE FUND 1250 24TH STREET NW WASHINGTON,DC 20037	NONE	GENERAL CHARITABLE	UNRESTRICTED	200
HAWAIIAN ISLANDS LAND TRUST PO BOX 965 WAILUKU,HI 96793	NONE	GENERAL CHARITABLE	UNRESTRICTED	800
NATURE CONSERVATIVE 4245 NORTH FAIRFAX DRIVE SUITE 100 ARLINGTON,VA 22203	NONE	GENERAL CHARITABLE	UNRESTRICTED	200
ARTHRITIS NATIONAL RESEARCH FOUNDATION 200 OCEANGATE SUITE 830 LONG BEACH,CA 90802	NONE	GENERAL CHARITABLE	UNRESTRICTED	200
SIMON WISENTHAL CENTER 1399 SOUTH ROXBURY DRIVE LOS ANGELES,CA 90035	NONE	GENERAL CHARITABLE	UNRESTRICTED	250
HIAS 333 SEVENTH AVE 16TH FLOOR NEW YORK,NY 10001	NONE	GENERAL CHARITABLE	UNRESTRICTED	200
Total . . . . ▶ **3a**				86,284

TY 2013 Accounting Fees Schedule

Name: SJ UNGAR J SHAPIRO FAMILY FOUNDATION

EIN: 99-0275249

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	1,571	786		785

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: SJ UNGAR J SHAPIRO FAMILY FOUNDATION

EIN: 99-0275249

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
APPLE IPAD	2012-01-10	629	189	SL	5 000000000000	126	0		
PANAMA APARTMENT	2011-07-01	130,000	6,365	ADS	40 000000000000	3,250	3,250		
PANAMA LAND	2011-07-01	71,000		L		0	0		
KULA LAND	2009-06-30	216,091		L		0	0		
FURNITURE	2013-01-01	1,567	157	SL	5 000000000000	313	0		
DC - CONDO	2013-12-01	384,076		SL	40 000000000000	5,601	5,601		
DC - CONDO IMPROVEMENTS	2013-12-01	5,716		SL	40 000000000000	83	83		
DC - CONDO FURNISHINGS	2013-12-01	4,558		SL	5 000000000000	532	532		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: SJ UNGAR J SHAPIRO FAMILY FOUNDATION

EIN: 99-0275249

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
BUCKEYE PARTNERS LP		PURCHASED	2014-05		1,072	994		0	78	
BUCKEYE PARTNERS LP		PURCHASED	2014-05		23,594	10,798		0	12,796	
DOUBLELINE INCOME SOLUTIONS		PURCHASED	2014-05		8,853	10,006		0	-1,153	
DOUBLELINE INCOME SOLUTIONS		PURCHASED	2014-05		708	684		0	24	
GENERAL ELECTRIC CO		PURCHASED	2014-05		648	635		0	13	
GENERAL ELECTRIC CO		PURCHASED	2014-05		26,464	45,019		0	-18,555	
GLAXOSMITHKLINE PLC ADR		PURCHASED	2014-05		11,091	10,231		0	860	
GLAXOSMITHKLINE PLC ADR		PURCHASED	2014-05		289	275		0	14	
ROYAL DUTCH SHELL PLC ADR		PURCHASED	2014-05		417	362		0	55	
ROYAL DUTCH SHELL PLC ADR		PURCHASED	2014-05		15,858	13,129		0	2,729	
AMERICAN CAPITAL AGENCY	2013-04	PURCHASED	2013-10		6,912	9,798		0	-2,886	
INTEL CORP	2013-05	PURCHASED	2013-10		4,558	4,874		0	-316	
INTEL CORP		PURCHASED	2013-10		6,837	9,936		0	-3,099	
TEVA PHARMACEUTICAL	2010-12	PURCHASED	2013-10		11,529	15,024		0	-3,495	
KKR & CO LP DEL	2013-04	PURCHASED	2013-10		10,415	9,933		0	482	
AMERICAN CENTURY ULTRA	2012-12	PURCHASED	2013-08		222	187		0	35	
AMERICAN CENTURY ULTRA		PURCHASED	2013-08		44,227	35,585		0	8,642	
AMERICAN CENTURY GROWTH INV CL	2012-12	PURCHASED	2013-10		1,074	921		0	153	
CITIGROUP INC NEW	2012-11	PURCHASED	2013-10		3	2		0	1	
CITIGROUP INC NEW	2013-02	PURCHASED	2013-10		2	2		0		
CITIGROUP INC NEW	2013-05	PURCHASED	2013-10		2	2		0		
CITIGROUP INC NEW	2013-08	PURCHASED	2013-10		2	2		0		
GENERAL ELECTRIC CO	2012-10	PURCHASED	2013-10		610	545		0	65	
GENERAL ELECTRIC CO	2013-01	PURCHASED	2013-10		654	614		0	40	
GENERAL ELECTRIC CO	2013-04	PURCHASED	2013-10		666	619		0	47	
GENERAL ELECTRIC CO	2013-07	PURCHASED	2013-10		21	21		0		
GENERAL ELECTRIC CO	2013-07	PURCHASED	2013-10		584	603		0	-19	
AMERICAN CENTURY GROWTH INV CL		PURCHASED	2013-10		26,064	21,285		0	4,779	
AMPAL-AMER ISRAEL NEW	1972-02	PURCHASED	2013-10		106	4,216		0	-4,110	
BOH CORP	2011-05	PURCHASED	2013-10		10,752	9,453		0	1,299	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
BOH CORP	2011-11	PURCHASED	2013-10		5,376	4,009		0	1,367	
BOH CORP	2012-06	PURCHASED	2013-10		10,752	9,166		0	1,586	
CITIGROUP INC NEW	1998-10	PURCHASED	2013-10		1,296	4,482		0	-3,186	
CITIGROUP INC NEW	1998-10	PURCHASED	2013-10		1,942	6,207		0	-4,265	
CITIGROUP INC NEW	1998-11	PURCHASED	2013-10		3	15		0	-12	
CITIGROUP INC NEW	1998-11	PURCHASED	2013-10		39	187		0	-148	
CITIGROUP INC NEW	1999-02	PURCHASED	2013-10		7	41		0	-34	
CITIGROUP INC NEW	1999-02	PURCHASED	2013-10		29	162		0	-133	
CITIGROUP INC NEW	1999-05	PURCHASED	2013-10		9	57		0	-48	
CITIGROUP INC NEW	1999-05	PURCHASED	2013-10		29	180		0	-151	
CITIGROUP INC NEW	1999-08	PURCHASED	2013-10		4	28		0	-24	
CITIGROUP INC NEW	1999-08	PURCHASED	2013-10		26	184		0	-158	
CITIGROUP INC NEW	1999-11	PURCHASED	2013-10		1	7		0	-6	
CITIGROUP INC NEW	1999-11	PURCHASED	2013-10		26	206		0	-180	
CITIGROUP INC NEW	2000-02	PURCHASED	2013-10		2	14		0	-12	
CITIGROUP INC NEW	2000-02	PURCHASED	2013-10		32	230		0	-198	
CITIGROUP INC NEW	2000-05	PURCHASED	2013-10		2	20		0	-18	
CITIGROUP INC NEW	2000-05	PURCHASED	2013-10		26	225		0	-199	
CITIGROUP INC NEW	2000-08	PURCHASED	2013-10			1		0	-1	
CITIGROUP INC NEW	2000-08	PURCHASED	2013-10		26	285		0	-259	
CITIGROUP INC NEW	2000-11	PURCHASED	2013-10		2	20		0	-18	
CITIGROUP INC NEW	2000-11	PURCHASED	2013-10		29	267		0	-238	
CITIGROUP INC NEW	2001-02	PURCHASED	2013-10		3	25		0	-22	
CITIGROUP INC NEW	2001-02	PURCHASED	2013-10		29	263		0	-234	
CITIGROUP INC NEW	2001-05	PURCHASED	2013-10		4	42		0	-38	
CITIGROUP INC NEW	2001-05	PURCHASED	2013-10		24	247		0	-223	
CITIGROUP INC NEW	2001-08	PURCHASED	2013-10		3	23		0	-20	
CITIGROUP INC NEW	2001-08	PURCHASED	2013-10		34	308		0	-274	
CITIGROUP INC NEW	2001-11	PURCHASED	2013-10		1	5		0	-4	
CITIGROUP INC NEW	2001-11	PURCHASED	2013-10		34	327		0	-293	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
CITIGROUP INC NEW	2002-02	PURCHASED	2013-10		2	16		0	-14	
CITIGROUP INC NEW	2002-02	PURCHASED	2013-10		34	287		0	-253	
CITIGROUP INC NEW	2002-05	PURCHASED	2013-10			2		0	-2	
CITIGROUP INC NEW	2002-05	PURCHASED	2013-10		29	255		0	-226	
CITIGROUP INC NEW	2002-08	PURCHASED	2013-10		4	32		0	-28	
CITIGROUP INC NEW	2002-08	PURCHASED	2013-10		34	245		0	-211	
CITIGROUP INC NEW	2001-11	PURCHASED	2013-10		1	7		0	-6	
CITIGROUP INC NEW	2002-11	PURCHASED	2013-10		34	270		0	-236	
CITIGROUP INC NEW	2003-02	PURCHASED	2013-10		2	16		0	-14	
CITIGROUP INC NEW	2003-02	PURCHASED	2013-10		44	294		0	-250	
CITIGROUP INC NEW	2003-05	PURCHASED	2013-10		5	39		0	-34	
CITIGROUP INC NEW	2003-05	PURCHASED	2013-10		34	273		0	-239	
CITIGROUP INC NEW	2003-08	PURCHASED	2013-10		2	19		0	-17	
CITIGROUP INC NEW	2003-08	PURCHASED	2013-10		44	401		0	-357	
CITIGROUP INC NEW	2003-11	PURCHASED	2013-10			2		0	-2	
CITIGROUP INC NEW	2003-11	PURCHASED	2013-10		44	422		0	-378	
CITIGROUP INC NEW	2004-02	PURCHASED	2013-10		4	40		0	-36	
CITIGROUP INC NEW	2004-02	PURCHASED	2013-10		44	448		0	-404	
CITIGROUP INC NEW	2004-05	PURCHASED	2013-10		43	412		0	-369	
CITIGROUP INC NEW	2004-08	PURCHASED	2013-10		43	415		0	-372	
CITIGROUP INC NEW	2004-11	PURCHASED	2013-10		45	419		0	-374	
CITIGROUP INC NEW	2005-02	PURCHASED	2013-10		47	465		0	-418	
CITIGROUP INC NEW	2005-05	PURCHASED	2013-10		48	469		0	-421	
CITIGROUP INC NEW	2005-08	PURCHASED	2013-10		49	433		0	-384	
CITIGROUP INC NEW	2009-02	PURCHASED	2013-10		1,044	856		0	188	
CITIGROUP INC NEW	2009-04	PURCHASED	2013-10		4,370	2,934		0	1,436	
CITIGROUP INC NEW	2011-06	PURCHASED	2013-10		3	2		0	1	
CITIGROUP INC NEW	2011-08	PURCHASED	2013-10		3	2		0	1	
CITIGROUP INC NEW	2011-11	PURCHASED	2013-10		4	2		0	2	
CITIGROUP INC NEW	2012-02	PURCHASED	2013-10		3	2		0	1	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
CITIGROUP INC NEW	2012-05	PURCHASED	2013-10		4	2		0	2	
CITIGROUP INC NEW	2012-08	PURCHASED	2013-10		3	2		0	1	
ELDORADO GOLD CORP	2001-11	PURCHASED	2013-10		634	1,809		0	-1,175	
GENERAL ELECTRIC CO	2000-02	PURCHASED	2013-10		14,290	27,111		0	-12,821	
GENERAL ELECTRIC CO	2000-02	PURCHASED	2013-10		14,290	25,395		0	-11,105	
GENERAL ELECTRIC CO	2004-04	PURCHASED	2013-10		7,145	9,699		0	-2,554	
GENERAL ELECTRIC CO	2007-07	PURCHASED	2013-10		11,908	19,829		0	-7,921	
GENERAL ELECTRIC CO	2011-09	PURCHASED	2013-10		11,908	7,588		0	4,320	
GENERAL ELECTRIC CO	2011-11	PURCHASED	2013-10		7,145	4,946		0	2,199	
GENERAL ELECTRIC CO	2011-11	PURCHASED	2013-10		7,145	4,724		0	2,421	
GENERAL ELECTRIC CO	2011-10	PURCHASED	2013-10		544	375		0	169	
GENERAL ELECTRIC CO	2012-01	PURCHASED	2013-10		658	531		0	127	
GENERAL ELECTRIC CO	2012-04	PURCHASED	2013-10		653	536		0	117	
GENERAL ELECTRIC CO	2012-07	PURCHASED	2013-10		632	540		0	92	
JPMORGAN CHASE & CO	1998-04	PURCHASED	2013-10		57	43		0	14	
JPMORGAN CHASE & CO	1998-04	PURCHASED	2013-10		193	147		0	46	
JPMORGAN CHASE & CO	1998-07	PURCHASED	2013-10		95	63		0	32	
JPMORGAN CHASE & CO	1998-07	PURCHASED	2013-10		193	128		0	65	
JPMORGAN CHASE & CO	1998-10	PURCHASED	2013-10		54	24		0	30	
JPMORGAN CHASE & CO	1998-10	PURCHASED	2013-10		386	169		0	217	
JPMORGAN CHASE & CO	1999-01	PURCHASED	2013-10		182	98		0	84	
JPMORGAN CHASE & CO	1999-01	PURCHASED	2013-10		193	105		0	88	
JPMORGAN CHASE & CO	1999-04	PURCHASED	2013-10		100	70		0	30	
JPMORGAN CHASE & CO	1999-04	PURCHASED	2013-10		193	135		0	58	
JPMORGAN CHASE & CO	1999-07	PURCHASED	2013-10		93	67		0	26	
JPMORGAN CHASE & CO	1999-07	PURCHASED	2013-10		193	139		0	54	
JPMORGAN CHASE & CO	1999-10	PURCHASED	2013-10		177	99		0	78	
JPMORGAN CHASE & CO	1999-10	PURCHASED	2013-10		193	109		0	84	
JPMORGAN CHASE & CO	2000-01	PURCHASED	2013-10		141	89		0	52	
JPMORGAN CHASE & CO	2000-01	PURCHASED	2013-10		193	123		0	70	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
JPMORGAN CHASE & CO	2000-04	PURCHASED	2013-10		120	82		0	38	
JPMORGAN CHASE & CO	2000-04	PURCHASED	2013-10		193	132		0	61	
JPMORGAN CHASE & CO	2000-07	PURCHASED	2013-10		141	91		0	50	
JPMORGAN CHASE & CO	2000-07	PURCHASED	2013-10		193	125		0	68	
JPMORGAN CHASE & CO	2000-10	PURCHASED	2013-10		105	76		0	29	
JPMORGAN CHASE & CO	2000-10	PURCHASED	2013-10		193	141		0	52	
JPMORGAN CHASE & CO	2002-02	PURCHASED	2013-10		4,805	2,575		0	2,230	
JPMORGAN CHASE & CO	2002-02	PURCHASED	2013-10		5,223	2,804		0	2,419	
JPMORGAN CHASE & CO	2002-02	PURCHASED	2013-10		25,561	14,335		0	11,226	
MOTOROLA SOLUTIONS INC	2000-04	PURCHASED	2013-10		440	1,042		0	-602	
MOTOROLA SOLUTIONS INC	2000-05	PURCHASED	2013-10		5,192	9,983		0	-4,791	
MOTOROLA SOLUTIONS INC	2000-07	PURCHASED	2013-10		4	10		0	-6	
MOTOROLA SOLUTIONS INC	2000-07	PURCHASED	2013-10		17	40		0	-23	
MOTOROLA SOLUTIONS INC	2000-10	PURCHASED	2013-10		5	6		0	-1	
MOTOROLA SOLUTIONS INC	2000-10	PURCHASED	2013-10		35	44		0	-9	
MOTOROLA SOLUTIONS INC	2001-01	PURCHASED	2013-10		364	475		0	-111	
OGE ENERGY CP HLDG CO	1998-04	PURCHASED	2013-10		24	9		0	15	
OGE ENERGY CP HLDG CO	1998-04	PURCHASED	2013-10		872	324		0	548	
OGE ENERGY CP HLDG CO	1998-07	PURCHASED	2013-10		64	23		0	41	
OGE ENERGY CP HLDG CO	1998-07	PURCHASED	2013-10		872	314		0	558	
OGE ENERGY CP HLDG CO	1998-10	PURCHASED	2013-10		24	9		0	15	
OGE ENERGY CP HLDG CO	1998-10	PURCHASED	2013-10		726	266		0	460	
OGE ENERGY CP HLDG CO	1999-01	PURCHASED	2013-10		50	18		0	32	
OGE ENERGY CP HLDG CO	1999-01	PURCHASED	2013-10		726	260		0	466	
OGE ENERGY CP HLDG CO	1999-04	PURCHASED	2013-10		5	2		0	3	
OGE ENERGY CP HLDG CO	1999-04	PURCHASED	2013-10		872	280		0	592	
OGE ENERGY CP HLDG CO	1999-07	PURCHASED	2013-10		54	18		0	36	
OGE ENERGY CP HLDG CO	1999-07	PURCHASED	2013-10		799	267		0	532	
OGE ENERGY CP HLDG CO	1999-10	PURCHASED	2013-10		54	17		0	37	
OGE ENERGY CP HLDG CO	1999-10	PURCHASED	2013-10		872	272		0	600	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
OGE ENERGY CP HLDG CO	2000-01	PURCHASED	2013-10		7	2		0	5	
OGE ENERGY CP HLDG CO	2000-01	PURCHASED	2013-10		1,089	292		0	797	
OGE ENERGY CP HLDG CO	2000-04	PURCHASED	2013-10		44	12		0	32	
OGE ENERGY CP HLDG CO	2000-04	PURCHASED	2013-10		1,017	286		0	731	
OGE ENERGY CP HLDG CO	2000-07	PURCHASED	2013-10		48	13		0	35	
OGE ENERGY CP HLDG CO	2000-07	PURCHASED	2013-10		1,089	291		0	798	
OGE ENERGY CP HLDG CO	2000-10	PURCHASED	2013-10		71	19		0	52	
OGE ENERGY CP HLDG CO	2000-10	PURCHASED	2013-10		1,089	290		0	799	
OGE ENERGY CP HLDG CO	2001-01	PURCHASED	2013-10		47	15		0	32	
OGE ENERGY CP HLDG CO	2001-01	PURCHASED	2013-10		944	299		0	645	
OGE ENERGY CP HLDG CO	2001-04	PURCHASED	2013-10		44	13		0	31	
OGE ENERGY CP HLDG CO	2001-04	PURCHASED	2013-10		1,017	305		0	712	
OGE ENERGY CP HLDG CO	2001-07	PURCHASED	2013-10		25	7		0	18	
OGE ENERGY CP HLDG CO	2001-07	PURCHASED	2013-10		1,089	316		0	773	
OGE ENERGY CP HLDG CO	2001-10	PURCHASED	2013-10		28	8		0	20	
OGE ENERGY CP HLDG CO	2001-10	PURCHASED	2013-10		1,089	320		0	769	
OGE ENERGY CP HLDG CO	2002-01	PURCHASED	2013-10		23	7		0	16	
OGE ENERGY CP HLDG CO	2002-01	PURCHASED	2013-10		1,089	327		0	762	
OGE ENERGY CP HLDG CO	2002-04	PURCHASED	2013-10		34	11		0	23	
OGE ENERGY CP HLDG CO	2002-04	PURCHASED	2013-10		1,017	328		0	689	
OGE ENERGY CP HLDG CO	2002-07	PURCHASED	2013-10		3	1		0	2	
OGE ENERGY CP HLDG CO	2002-07	PURCHASED	2013-10		1,307	343		0	964	
OGE ENERGY CP HLDG CO	2002-10	PURCHASED	2013-10		1			0	1	
OGE ENERGY CP HLDG CO	2002-10	PURCHASED	2013-10		1,670	349		0	1,321	
OGE ENERGY CP HLDG CO	2003-01	PURCHASED	2013-10		57	13		0	44	
OGE ENERGY CP HLDG CO	2003-01	PURCHASED	2013-10		1,453	344		0	1,109	
OGE ENERGY CP HLDG CO	2003-04	PURCHASED	2013-10		30	7		0	23	
OGE ENERGY CP HLDG CO	2003-04	PURCHASED	2013-10		1,453	357		0	1,096	
OGE ENERGY CP HLDG CO	2003-07	PURCHASED	2013-10		58	16		0	42	
OGE ENERGY CP HLDG CO	2003-07	PURCHASED	2013-10		1,307	355		0	952	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
OGE ENERGY CP HLDG CO	2003-10	PURCHASED	2013-10		3	1		0	2	
OGE ENERGY CP HLDG CO	2003-10	PURCHASED	2013-10		1,235	376		0	859	
OGE ENERGY CP HLDG CO	2004-01	PURCHASED	2013-10		53	18		0	35	
OGE ENERGY CP HLDG CO	2004-01	PURCHASED	2013-10		1,089	365		0	724	
OGE ENERGY CP HLDG CO	2004-04	PURCHASED	2013-10		67	23		0	44	
OGE ENERGY CP HLDG CO	2004-04	PURCHASED	2013-10		1,089	365		0	724	
OGE ENERGY CP HLDG CO	2004-07	PURCHASED	2013-10		1,163	393		0	770	
OGE ENERGY CP HLDG CO	2004-10	PURCHASED	2013-10		1,146	399		0	747	
OGE ENERGY CP HLDG CO	2005-01	PURCHASED	2013-10		1,120	404		0	716	
OGE ENERGY CP HLDG CO	2005-04	PURCHASED	2013-10		1,093	409		0	684	
OGE ENERGY CP HLDG CO	2005-07	PURCHASED	2013-10		1,006	414		0	592	
OGE ENERGY CP HLDG CO	2008-11	PURCHASED	2013-10		24,783	11,216		0	13,567	
CEMEX SAB ADR	2009-02	PURCHASED	2014-04		12	6		0	6	
CITIGRP CAP		PURCHASED	2013-07		276	278		0	-2	
VORNADO RLTY LP		PURCHASED	2013-07		237	245		0	-8	
JP MORGANCHASE		PURCHASED	2013-07		179	182		0	-3	
JP MORGANCHASE		PURCHASED	2013-08		276	287		0	-11	
JP MORGANCHASE		PURCHASED	2013-08		650	678		0	-28	
WELLS FARGO		PURCHASED	2013-08		142	150		0	-8	
WELLS FARGO		PURCHASED	2013-08		339	359		0	-20	
UBS PFD FUNDING TRUST		PURCHASED	2013-09		116	128		0	-12	
UBS PFD FUNDING TRUST		PURCHASED	2013-09		45	48		0	-3	
DEUTSCHE BK		PURCHASED	2013-10		186	201		0	-15	
DEUTSCHE BK		PURCHASED	2013-10		207	223		0	-16	
WELLS FARGO		PURCHASED	2013-10		21	24		0	-3	
WELLS FARGO		PURCHASED	2013-10		171	186		0	-15	
WELLS FARGO		PURCHASED	2013-10		21	23		0	-2	
DEUTSCHE BK		PURCHASED	2013-10		186	195		0	-9	
DEUTSCHE BK		PURCHASED	2013-11		288	306		0	-18	
PNC FINL SVC		PURCHASED	2013-11		227	280		0	-53	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
PNC FINL SVC		PURCHASED	2013-11		165	199		0	-34	
WELLS FARGO		PURCHASED	2013-11		85	93		0	-8	
WELLS FARGO		PURCHASED	2013-11		105	117		0	-12	
JP MORGANCHASE		PURCHASED	2013-12		324	406		0	-82	
JP MORGANCHASE		PURCHASED	2013-12		20	25		0	-5	
JP MORGANCHASE		PURCHASED	2013-12		204	254		0	-50	
DEUTSCHE BK		PURCHASED	2014-01		399	418		0	-19	
BARCLAYS BANK 7 1%		PURCHASED	2014-01		170	178		0	-8	
CIRIGROUP CAP		PURCHASED	2014-01		409	428		0	-19	
ING GROEP NV		PURCHASED	2014-01		233	244		0	-11	
WELLS FARGO CO		PURCHASED	2014-01		368	385		0	-17	
DEUTSCHE BK		PURCHASED	2014-02		475	501		0	-26	
WELLS FARGO		PURCHASED	2014-02		297	319		0	-22	
ROYAL BK SCOT		PURCHASED	2014-02		204	200		0	4	
GOLDMAN SACHS		PURCHASED	2014-03		274	305		0	-31	
STATE STREET		PURCHASED	2014-03		242	280		0	-38	
JP MORGANCHASE		PURCHASED	2014-03		235	277		0	-42	
CIRIGROUP CAP		PURCHASED	2014-03		133	150		0	-17	
US BANCORP		PURCHASED	2014-03		89	100		0	-11	
ROYAL BK SCOT		PURCHASED	2014-03		191	210		0	-19	
US BANCORP		PURCHASED	2014-03		222	250		0	-28	
CIRIGROUP CAP		PURCHASED	2014-03		894	1,002		0	-108	
CIRIGROUP CAP		PURCHASED	2014-03		112	126		0	-14	
CIRIGROUP CAP		PURCHASED	2014-03		157	177		0	-20	
HSBC HOLDING PL		PURCHASED	2014-03		511	536		0	-25	
GOLDMAN SACHS		PURCHASED	2014-04		323	355		0	-32	
PRUDENTIAL PUB		PURCHASED	2014-04		25	25		0		
PRUDENTIAL PUB		PURCHASED	2014-04		25	25		0		
PRUDENTIAL PUB		PURCHASED	2014-04		153	150		0	3	
WEINGARTEN RLT		PURCHASED	2014-04		765	851		0	-86	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
VONADO REAL		PURCHASED	2014-04		465	490		0	-25	
ING GROEP NV		PURCHASED	2014-04		305	304		0	1	
ING GROEP NV		PURCHASED	2014-04		333	329		0	4	
ING GROEP NV		PURCHASED	2014-04		229	228		0	1	
AEGON NV		PURCHASED	2014-04		452	526		0	-74	
DEUTSCHE BK		PURCHASED	2014-04		364	373		0	-9	
ING GROEP NV		PURCHASED	2014-04		231	228		0	3	
PRUDENTIAL PUB		PURCHASED	2014-05		178	175		0	3	
PRUDENTIAL PUB		PURCHASED	2014-05		178	176		0	2	
MORGAN STANLEY		PURCHASED	2014-05		327	327		0		
DOMINION RES		PURCHASED	2014-05		841	886		0	-45	
GOLDMAN SACHS		PURCHASED	2014-05		327	355		0	-28	
BARCLAYS BANK 7 1%		PURCHASED	2014-05		260	255		0	5	
AMERIPRISE		PURCHASED	2014-05		255	274		0	-19	
GOLDMAN SACHS		PURCHASED	2014-06		354	381		0	-27	
CIRIGROUP CAP		PURCHASED	2014-06		274	286		0	-12	
AMERIPRISE		PURCHASED	2014-06		350	384		0	-34	
ALLIED CAPITAL PFD	2013-04	PURCHASED	2014-05		10,024	10,056		0	-32	
ALLIED CAPITAL PFD		PURCHASED	2014-05		554	525		0	29	
ABERDEEN ASIA PACIFIC INCOM		PURCHASED	2014-05		1,317	1,278		0	39	
ABERDEEN ASIA PACIFIC INCOM		PURCHASED	2014-05		31,947	28,788		0	3,159	

TY 2013 Investments Corporate Bonds Schedule

Name: SJ UNGAR J SHAPIRO FAMILY FOUNDATION

EIN: 99-0275249

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CANADIAN GOVERNMENT BONDS	44,737	49,526
PIMCO INCM CL D	106,746	108,338
QUEENSLAND TREAS 6%	45,874	49,306
TOYS R US INC 7.375%	14,480	11,363

TY 2013 Investments Corporate Stock Schedule

Name: SJ UNGAR J SHAPIRO FAMILY FOUNDATION

EIN: 99-0275249

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABERDEEN ASIA PAC INCOME	211	188
ABERDEEN ASIA PAC INCOME	6,298	6,280
ABERDEEN ASIA PAC INCOME	5,411	6,280
ABERDEEN ASIA PAC INCOME	7,659	6,280
ABERDEEN ASIA PAC INCOME	2,443	2,198
ABERDEEN ASIA PAC INCOME	4,536	4,082
ABERDEEN ASIA PAC INCOME	6,059	6,280
ABERDEEN ASIA PAC INCOME	141	144
ABERDEEN ASIA PAC INCOME	2,924	3,140
ABERDEEN ASIA PAC INCOME	177	179
ABERDEEN ASIA PAC INCOME	2,983	3,140
ABERDEEN ASIA PAC INCOME	195	202
ABERDEEN ASIA PAC INCOME	214	214
ABERDEEN ASIA PAC INCOME	215	216
ABERDEEN ASIA PAC INCOME	216	230
ABERDEEN ASIA PAC INCOME	218	231
ABERDEEN ASIA PAC INCOME	219	229
ABERDEEN ASIA PAC INCOME	220	230
ABERDEEN ASIA PAC INCOME	222	227
ABERDEEN ASIA PAC INCOME	223	224
ABERDEEN ASIA PAC INCOME	224	225
AEGON NV CAP 6.375%	2,610	2,530
AEGON NV CAP 6.5%	678	687
ALLSTATE CORP	272	273
ALLSTATE CORP	545	584
ALLSTATE CORP	273	285
ALLSTATE CORP	289	292
ALLSTATE CORP	300	310
AMERICAN CENTURY BALA	14,927	17,465
AMERICAN CENTURY HERITAGE INV	15,749	21,424

Name of Stock	End of Year Book Value	End of Year Fair Market Value
APPLE INC	6,837	6,505
APPLE INC	4,859	6,505
APPLE INC	3,909	6,505
ARCH CAPITAL 6.75%	1,361	1,292
ASPEN INS 5.95%	616	598
ASPEN INS 7.25%	575	549
ASTORIA FINL CP 6.5%	100	99
ASTORIA FINL CP 6.5%	125	123
AXIS CAP HLDG 6.875%	1,127	1,073
BANK MONTREAL QUEBEC	11,767	14,718
BANK MONTREAL QUEBEC	16,559	22,077
BANK OF AMER SER D	788	777
BANK OF AMER SER I	1,640	1,510
BANK OF AMERICA	2,464	2,443
BB&T CORP	1,572	1,448
BB&T CORPORATION	223	199
BB&T CORPORATION	223	199
BB&T CORPORATION	250	221
BB&T CORPORATION	175	155
BB&T CORP	234	237
BB&T CORP	713	671
BB&T CORP	226	224
BB&T CORP	202	199
BB&T CORP	174	177
BB&T CORP	166	166
BK NY MELLON	1,835	1,687
BK NY MELLON	270	258
BK NY MELLON	114	117
BK NY MELLON	197	211
BK NY MELLON	115	117

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BRISTOL-MYERS SQUIBB CO	2,689	3,985
BRISTOL-MYERS SQUIBB CO	11	19
BRISTOL-MYERS SQUIBB CO	228	388
BRISTOL-MYERS SQUIBB CO	11	23
BRISTOL-MYERS SQUIBB CO	272	582
BRISTOL-MYERS SQUIBB CO	12	23
BRISTOL-MYERS SQUIBB CO	274	534
BRISTOL-MYERS SQUIBB CO	8	16
BRISTOL-MYERS SQUIBB CO	282	582
BRISTOL-MYERS SQUIBB CO	15	29
BRISTOL-MYERS SQUIBB CO	278	534
BRISTOL-MYERS SQUIBB CO	5	9
BRISTOL-MYERS SQUIBB CO	291	534
BRISTOL-MYERS SQUIBB CO	22	41
BRISTOL-MYERS SQUIBB CO	278	534
BRISTOL-MYERS SQUIBB CO	21	35
BRISTOL-MYERS SQUIBB CO	282	485
BRISTOL-MYERS SQUIBB CO	3	5
BRISTOL-MYERS SQUIBB CO	303	582
BRISTOL-MYERS SQUIBB CO	309	663
BRISTOL-MYERS SQUIBB CO	313	644
BRISTOL-MYERS SQUIBB CO	316	651
BRISTOL-MYERS SQUIBB CO	320	604
BRISTOL-MYERS SQUIBB CO	324	626
CAPITAL ONE FINL DEP	2,386	2,269
CAPITAL ONE FINL DEP	264	266
CAPITAL ONE FINL DEP	124	121
CAPITAL ONE FINL DEP	97	97
CAPITAL ONE FINL DEP	169	169
CAPITAL ONE FINL DEP	193	193

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CEMEX SAB ADR	2,492	5,477
CITIGROUP INC	50	49
CITIGROUP INC	177	171
CITIGROUP INC	102	98
CITIGROUP INC	128	122
CITIGROUP INC	72	73
CITIGROUP INC	959	976
CITIGROUP INC	140	146
CITIGROUP INC	329	342
CITIGROUP INC	104	111
CITIGROUP INC	201	217
CITIGROUP INC	124	136
CITIGROUP INC	324	359
CITIGROUP INC	199	217
CITIGROUP INC	150	155
CITIGROUP INC	450	465
CITIGROUP INC	174	181
CITIGROUP INC	232	244
CITY NATL CORP	1,124	1,032
CITY NATL CORP	100	92
CITY NATL CORP	101	92
CITY NATL CORP	173	161
CONOCOPHILLIPS	5,789	8,573
CONOCOPHILLIPS	9,927	17,146
CULLEN FROST BANKERS	454	429
CULLEN FROST BANKERS	257	238
CULLEN FROST BANKERS	175	167
DEUTSCHE BANK	2,343	2,299
DIGITAL REALTY TR E	241	229
DIGITAL REALTY TR G	173	154

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DUKE ENERGY CORP	448	499
DUKE ENERGY CORP	4,564	5,798
DUKE ENERGY CORP	3,363	4,885
DUKE ENERGY CORP	118	131
DUKE ENERGY CORP	119	129
DUKE ENERGY CORP	120	126
DUKE ENERGY CORP	122	127
FIRST NIAGARA	1,009	986
FREPORT MCMORAN COPPER	156	150
FREPORT MCMORAN COPPER	513	536
FREPORT MCMORAN COPPER	2,254	7,300
FREPORT MCMORAN COPPER	5,615	3,650
FREPORT MCMORAN COPPER	2,909	3,650
FREPORT MCMORAN COPPER	131	163
FREPORT MCMORAN COPPER	132	130
FREPORT MCMORAN COPPER	133	157
FREPORT MCMORAN COPPER	135	142
GAXOSMITHKLINE PLC ADRF	21	23
GAXOSMITHKLINE PLC ADRF	304	329
GAXOSMITHKLINE PLC ADRF	45	52
GAXOSMITHKLINE PLC ADRF	515	588
GAXOSMITHKLINE PLC ADRF	26	35
GAXOSMITHKLINE PLC ADRF	402	535
GAXOSMITHKLINE PLC ADRF	8	11
GAXOSMITHKLINE PLC ADRF	457	588
GAXOSMITHKLINE PLC ADRF	3	4
GAXOSMITHKLINE PLC ADRF	460	642
GAXOSMITHKLINE PLC ADRF	22	31
GAXOSMITHKLINE PLC ADRF	683	963
GAXOSMITHKLINE PLC ADRF	1	1

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GAXOSMITHKLINE PLC ADRF	484	642
GAXOSMITHKLINE PLC ADRF	24	30
GAXOSMITHKLINE PLC ADRF	467	588
GAXOSMITHKLINE PLC ADRF	4	4
GAXOSMITHKLINE PLC ADRF	514	588
GAXOSMITHKLINE PLC ADRF	16	21
GAXOSMITHKLINE PLC ADRF	886	1,177
GAXOSMITHKLINE PLC ADRF	620	805
GAXOSMITHKLINE PLC ADRF	649	795
GAXOSMITHKLINE PLC ADRF	653	747
GAXOSMITHKLINE PLC ADRF	712	824
GAXOSMITHKLINE PLC ADRF	615	672
GLDMAN SACHS	381	369
GOLDMAN SACHS	26	24
GOLDMAN SACHS	310	294
GOLDMAN SACHS	188	196
GOLDMAN SACHS	167	171
GOLDMAN SACHS	358	367
GOLDMAN SACHS	214	220
GOLDMAN SACHS	276	290
GOLDMAN SACHS	229	237
GOLDMAN SACHS	479	490
GOLDMAN SACHS	153	158
GOLDMAN SACHS	428	447
GOLDMAN SACHS GP DEP SHS	281	240
GOLDMAN SACHS SER D	2,698	2,347
HSBC HLDGS PLC	3,131	3,005
HSBC HLDGS PLC	171	162
HSBC HLDGS PLC	86	81
HSBC HLDGS PLC	173	162

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ING GROEP NV	927	962
ING GROEP NV	125	127
ING GROEP NV	622	636
ING GROEP NV	175	178
ING GROEP NV	221	228
ING GROEP NV	125	127
INTEGRYS ENERGY GRP	542	579
INTERSTATE P&L	584	573
INVESCO TECH SECTOR FD A	0	9,898
JDS UNIPHASE CORP	0	1,559
JOHNSON & JOHNSON	2,110	2,928
JOHNSON & JOHNSON	2,353	3,808
JOHNSON & JOHNSON	15	45
JOHNSON & JOHNSON	285	837
JOHNSON & JOHNSON	24	66
JOHNSON & JOHNSON	227	628
JOHNSON & JOHNSON	6	14
JOHNSON & JOHNSON	246	628
JOHNSON & JOHNSON	77	182
JOHNSON & JOHNSON	176	418
JOHNSON & JOHNSON	93	203
JOHNSON & JOHNSON	191	418
JOHNSON & JOHNSON	82	169
JOHNSON & JOHNSON	203	418
JOHNSON & JOHNSON	92	200
JOHNSON & JOHNSON	193	418
JOHNSON & JOHNSON	70	202
JOHNSON & JOHNSON	217	628
JOHNSON & JOHNSON	69	167
JOHNSON & JOHNSON	260	628

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JOHNSON & JOHNSON	45	100
JOHNSON & JOHNSON	284	628
JOHNSON & JOHNSON	42	92
JOHNSON & JOHNSON	288	628
JOHNSON & JOHNSON	42	91
JOHNSON & JOHNSON	290	628
JOHNSON & JOHNSON	71	147
JOHNSON & JOHNSON	304	628
JOHNSON & JOHNSON	42	78
JOHNSON & JOHNSON	334	628
JOHNSON & JOHNSON	39	73
JOHNSON & JOHNSON	338	628
JOHNSON & JOHNSON	33	54
JOHNSON & JOHNSON	255	418
JOHNSON & JOHNSON	36	64
JOHNSON & JOHNSON	293	523
JOHNSON & JOHNSON	4	8
JOHNSON & JOHNSON	326	628
JOHNSON & JOHNSON	48	90
JOHNSON & JOHNSON	222	418
JOHNSON & JOHNSON	51	98
JOHNSON & JOHNSON	220	418
JOHNSON & JOHNSON	2	3
JOHNSON & JOHNSON	317	628
JOHNSON & JOHNSON	14	28
JOHNSON & JOHNSON	306	628
JOHNSON & JOHNSON	22	47
JOHNSON & JOHNSON	299	628
JOHNSON & JOHNSON	1	3
JOHNSON & JOHNSON	322	628

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JOHNSON & JOHNSON	385	715
JOHNSON & JOHNSON	387	699
JOHNSON & JOHNSON	332	562
JOHNSON & JOHNSON	334	512
JOHNSON & JOHNSON	388	610
JOHNSON & JOHNSON	388	628
JOHNSON & JOHNSON	31,377	64,039
JOHNSON & JOHNSON	570	674
JOHNSON & JOHNSON	575	642
JOHNSON & JOHNSON	579	650
JOHNSON & JOHNSON	618	623
JP MORGAN	1,058	958
JP MORGAN	165	160
JPMORGAN CHASE	1,447	1,306
JPMORGAN CHASE	125	115
JPMORGAN CHASE	179	183
JPMORGAN CHASE	167	160
JPMORGAN CHASE	256	252
JPMORGAN CHASE	175	181
JPMORGAN CHASE	323	336
JPMORGAN CHASE	321	336
JPMORGAN CHASE	225	233
JPMORGAN CHASE	226	233
JPMORGAN CHASE	327	336
JPMORGAN CHASE	76	78
JPMORGAN CHASE	410	414
JPMORGAN CHASE	474	475
KIMCO REALTY	265	248
KRAFT FOODS GROUP	5,361	9,172
LILLY ELI & COMPANY	994	10,787

Name of Stock	End of Year Book Value	End of Year Fair Market Value
LILLY ELI & COMPANY	50	49
LILLY ELI & COMPANY	190	187
LILLY ELI & COMPANY	15	12
LILLY ELI & COMPANY	226	187
LILLY ELI & COMPANY	65	46
LILLY ELI & COMPANY	177	124
LILLY ELI & COMPANY	86	56
LILLY ELI & COMPANY	192	124
LILLY ELI & COMPANY	63	55
LILLY ELI & COMPANY	216	187
LILLY ELI & COMPANY	61	52
LILLY ELI & COMPANY	219	187
LILLY ELI & COMPANY	11	11
LILLY ELI & COMPANY	269	249
LILLY ELI & COMPANY	25	27
LILLY ELI & COMPANY	293	311
LILLY ELI & COMPANY	26	22
LILLY ELI & COMPANY	294	249
LILLY ELI & COMPANY	43	39
LILLY ELI & COMPANY	278	249
LILLY ELI & COMPANY	48	33
LILLY ELI & COMPANY	274	187
LILLY ELI & COMPANY	52	43
LILLY ELI & COMPANY	228	187
LILLY ELI & COMPANY	21	15
LILLY ELI & COMPANY	260	187
LILLY ELI & COMPANY	48	38
LILLY ELI & COMPANY	234	187
LILLY ELI & COMPANY	37	28
LILLY ELI & COMPANY	246	187

Name of Stock	End of Year Book Value	End of Year Fair Market Value
LILLY ELI & COMPANY	3	2
LILLY ELI & COMPANY	312	249
LILLY ELI & COMPANY	11	11
LILLY ELI & COMPANY	243	249
LILLY ELI & COMPANY	31	34
LILLY ELI & COMPANY	224	249
LILLY ELI & COMPANY	55	51
LILLY ELI & COMPANY	201	187
LILLY ELI & COMPANY	53	59
LILLY ELI & COMPANY	225	249
LILLY ELI & COMPANY	38	39
LILLY ELI & COMPANY	242	249
LILLY ELI & COMPANY	36	36
LILLY ELI & COMPANY	246	249
LILLY ELI & COMPANY	4	3
LILLY ELI & COMPANY	279	249
LILLY ELI & COMPANY	10	9
LILLY ELI & COMPANY	291	249
LILLY ELI & COMPANY	303	255
LILLY ELI & COMPANY	304	289
LILLY ELI & COMPANY	306	353
LILLY ELI & COMPANY	330	366
LILLY ELI & COMPANY	332	359
LILLY ELI & COMPANY	281	311
M D U RESOURCES GROUP	24	78
M D U RESOURCES GROUP	148	474
M D U RESOURCES GROUP	32	107
M D U RESOURCES GROUP	142	474
M D U RESOURCES GROUP	16	48
M D U RESOURCES GROUP	107	316

Name of Stock	End of Year Book Value	End of Year Fair Market Value
M D U RESOURCES GROUP	17	51
M D U RESOURCES GROUP	106	316
M D U RESOURCES GROUP	8	29
M D U RESOURCES GROUP	116	395
M D U RESOURCES GROUP	11	37
M D U RESOURCES GROUP	115	395
M D U RESOURCES GROUP	21	73
M D U RESOURCES GROUP	112	395
M D U RESOURCES GROUP	14	57
M D U RESOURCES GROUP	120	474
M D U RESOURCES GROUP	17	66
M D U RESOURCES GROUP	119	474
M D U RESOURCES GROUP	5	19
M D U RESOURCES GROUP	132	474
M D U RESOURCES GROUP	28	75
M D U RESOURCES GROUP	117	316
M D U RESOURCES GROUP	17	41
M D U RESOURCES GROUP	129	316
M D U RESOURCES GROUP	5	12
M D U RESOURCES GROUP	142	316
M D U RESOURCES GROUP	19	47
M D U RESOURCES GROUP	129	316
M D U RESOURCES GROUP	15	51
M D U RESOURCES GROUP	141	474
M D U RESOURCES GROUP	19	54
M D U RESOURCES GROUP	139	395
M D U RESOURCES GROUP	5	12
M D U RESOURCES GROUP	154	395
M D U RESOURCES GROUP	3	8
M D U RESOURCES GROUP	157	474

Name of Stock	End of Year Book Value	End of Year Fair Market Value
M D U RESOURCES GROUP	12	44
M D U RESOURCES GROUP	156	553
M D U RESOURCES GROUP	17	52
M D U RESOURCES GROUP	153	474
M D U RESOURCES GROUP	3	8
M D U RESOURCES GROUP	169	474
M D U RESOURCES GROUP	6	15
M D U RESOURCES GROUP	167	395
M D U RESOURCES GROUP	16	38
M D U RESOURCES GROUP	169	395
M D U RESOURCES GROUP	7	15
M D U RESOURCES GROUP	144	316
M D U RESOURCES GROUP	11	25
M D U RESOURCES GROUP	141	316
M D U RESOURCES GROUP	153	336
M D U RESOURCES GROUP	163	326
M D U RESOURCES GROUP	128	251
M D U RESOURCES GROUP	129	248
M D U RESOURCES GROUP	130	242
M D U RESOURCES GROUP	12,607	22,530
MONDELEZ INTL CL	9,939	17,301
MORGAN STANLEY	378	418
MORGAN STANLEY	103	111
MORGAN STANLEY	232	244
MORGAN STANLEY	130	136
MORGAN STANLEY	79	81
MORGAN STANLEY	25	26
MORGAN STANLEY	226	230
MORGAN STANLEY	225	230
MORGAN STANLEY	350	358

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MORGAN STANLEY	350	358
MORGAN STANLEY	275	275
MORGAN STANLEY	174	175
MORGAN STANLEY A DEP	1,155	1,012
MOTOROLA SOLUTIONS INC	835	704
MOTOROLA SOLUTIONS INC	5,167	4,354
MOTOROLA SOLUTIONS INC	5	4
MOTOROLA SOLUTIONS INC	45	38
MOTOROLA SOLUTIONS INC	5	8
MOTOROLA SOLUTIONS INC	57	86
MOTOROLA SOLUTIONS INC	8	9
MOTOROLA SOLUTIONS INC	54	57
MOTOROLA SOLUTIONS INC	8	9
MOTOROLA SOLUTIONS INC	54	57
MOTOROLA SOLUTIONS INC	4	5
MOTOROLA SOLUTIONS INC	59	76
MOTOROLA SOLUTIONS INC	0	1
MOTOROLA SOLUTIONS INC	62	86
MOTOROLA SOLUTIONS INC	6	8
MOTOROLA SOLUTIONS INC	57	76
MOTOROLA SOLUTIONS INC	2	4
MOTOROLA SOLUTIONS INC	61	114
MOTOROLA SOLUTIONS INC	4	8
MOTOROLA SOLUTIONS INC	59	114
MOTOROLA SOLUTIONS INC	2	6
MOTOROLA SOLUTIONS INC	61	143
MOTOROLA SOLUTIONS INC	3	6
MOTOROLA SOLUTIONS INC	61	114
MOTOROLA SOLUTIONS INC	1	1
MOTOROLA SOLUTIONS INC	63	86

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MOTOROLA SOLUTIONS INC	6	7
MOTOROLA SOLUTIONS INC	59	67
MOTOROLA SOLUTIONS INC	1	1
MOTOROLA SOLUTIONS INC	64	67
MOTOROLA SOLUTIONS INC	65	70
MOTOROLA SOLUTIONS INC	65	64
MOTOROLA SOLUTIONS INC	73	71
MOTOROLA SOLUTIONS INC	73	78
MOTOROLA SOLUTIONS INC	73	61
NATL RETAIL PPTYS DEP	75	69
NATL RETAIL PPTYS DEP	492	457
PARTNERR LTD 7.25%	1,054	1,017
PARTNERRE LTD	1,044	1,111
PARTNERRE LTD	452	440
PEPSICO INCORPORATED	13	28
PEPSICO INCORPORATED	13	38
PEPSICO INCORPORATED	13	29
PEPSICO INCORPORATED	13	29
PEPSICO INCORPORATED	14	32
PEPSICO INCORPORATED	14	39
PEPSICO INCORPORATED	14	35
PEPSICO INCORPORATED	14	36
PEPSICO INCORPORATED	14	30
PEPSICO INCORPORATED	14	28
PEPSICO INCORPORATED	15	27
PEPSICO INCORPORATED	15	30
PEPSICO INCORPORATED	15	30
PEPSICO INCORPORATED	15	28
PEPSICO INCORPORATED	15	28
PEPSICO INCORPORATED	15	27

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PEPSICO INCORPORATED	16	29
PEPSICO INCORPORATED	16	38
PEPSICO INCORPORATED	16	34
PEPSICO INCORPORATED	16	36
PEPSICO INCORPORATED	17	35
PEPSICO INCORPORATED	17	33
PEPSICO INCORPORATED	17	33
PEPSICO INCORPORATED	17	29
PEPSICO INCORPORATED	25	41
PEPSICO INCORPORATED	25	47
PEPSICO INCORPORATED	25	43
PEPSICO INCORPORATED	25	43
PEPSICO INCORPORATED	29	47
PEPSICO INCORPORATED	3,118	8,847
PFIZER INCORPORATED	26,809	29,680
PHILLIPS 66	1,720	4,022
PHILLIPS 66	2,950	8,043
PNC FINL SVCS	3,875	3,844
PNC FINL SVCS	103	110
PROCTER & GAMBLE	4,808	7,859
PS BUSINESS PARKS	259	241
PUBLIC STORAGE	280	250
PUBLIC STORAGE 5.625%	258	238
REGENCY CENTERS CORP	151	143
REGIONS BANK	524	541
REGIONS BANK	126	129
REGIONS BANK	127	129
RENAISSANCERE 5.375%	1,171	1,063
RENAISSANCERE 5.375%	221	203
RENAISSANCERE6.08%	557	550

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ROYAL BK SCOT	672	712
STATE STREET	229	208
STATE STREET	196	185
STATE STREET	213	208
STATE STREET	148	139
STATE STREET	170	162
STATE STREET	378	393
STATE STREET	178	183
STATE STREET	289	288
TCF FINANCIAL	731	718
TEMPLETN EMRG MKTS INCOME	7	7
TEMPLETN EMRG MKTS INCOME	117	124
TEMPLETN EMRG MKTS INCOME	3	4
TEMPLETN EMRG MKTS INCOME	124	138
TEMPLETN EMRG MKTS INCOME	7	10
TEMPLETN EMRG MKTS INCOME	124	179
TEMPLETN EMRG MKTS INCOME	0	1
TEMPLETN EMRG MKTS INCOME	134	207
TEMPLETN EMRG MKTS INCOME	7	10
TEMPLETN EMRG MKTS INCOME	132	179
TEMPLETN EMRG MKTS INCOME	5	7
TEMPLETN EMRG MKTS INCOME	138	179
TEMPLETN EMRG MKTS INCOME	4	6
TEMPLETN EMRG MKTS INCOME	143	207
TEMPLETN EMRG MKTS INCOME	0	0
TEMPLETN EMRG MKTS INCOME	152	221
TEMPLETN EMRG MKTS INCOME	4	5
TEMPLETN EMRG MKTS INCOME	154	221
TEMPLETN EMRG MKTS INCOME	4	5
TEMPLETN EMRG MKTS INCOME	158	207

Name of Stock	End of Year Book Value	End of Year Fair Market Value
TEMPLETN EMRG MKTS INCOME	9	13
TEMPLETN EMRG MKTS INCOME	158	221
TEMPLETN EMRG MKTS INCOME	9	12
TEMPLETN EMRG MKTS INCOME	188	248
TEMPLETN EMRG MKTS INCOME	2	2
TEMPLETN EMRG MKTS INCOME	177	234
TEMPLETN EMRG MKTS INCOME	3	4
TEMPLETN EMRG MKTS INCOME	180	248
TEMPLETN EMRG MKTS INCOME	1	2
TEMPLETN EMRG MKTS INCOME	188	248
TEMPLETN EMRG MKTS INCOME	6	7
TEMPLETN EMRG MKTS INCOME	201	248
TEMPLETN EMRG MKTS INCOME	10	12
TEMPLETN EMRG MKTS INCOME	190	221
TEMPLETN EMRG MKTS INCOME	1	1
TEMPLETN EMRG MKTS INCOME	205	262
TEMPLETN EMRG MKTS INCOME	4	5
TEMPLETN EMRG MKTS INCOME	208	276
TEMPLETN EMRG MKTS INCOME	7	8
TEMPLETN EMRG MKTS INCOME	211	248
TEMPLETN EMRG MKTS INCOME	5	6
TEMPLETN EMRG MKTS INCOME	175	207
TEMPLETN EMRG MKTS INCOME	2	3
TEMPLETN EMRG MKTS INCOME	182	207
TEMPLETN EMRG MKTS INCOME	9	9
TEMPLETN EMRG MKTS INCOME	179	193
TEMPLETN EMRG MKTS INCOME	1	1
TEMPLETN EMRG MKTS INCOME	191	193
TEMPLETN EMRG MKTS INCOME	1	1
TEMPLETN EMRG MKTS INCOME	194	221

Name of Stock	End of Year Book Value	End of Year Fair Market Value
TEMPLETN EMRG MKTS INCOME	199	231
TEMPLETN EMRG MKTS INCOME	204	209
TEMPLETN EMRG MKTS INCOME	207	204
TEMPLETN EMRG MKTS INCOME	211	220
TEMPLETN EMRG MKTS INCOME	215	215
TEMPLETN EMRG MKTS INCOME	0	5,509
TEVA PHARM INDS LTD ADRF	5,063	5,242
TEVA PHARM INDS LTD ADRF	9,509	10,484
TEVA PHARM INDS LTD ADRF	7,909	10,484
UBS PFD FUNDING TRUST	1,215	1,487
US BANCOR DEP SHS	1,668	1,554
US BANCORP	725	713
US BANCORP	100	91
US BANCORP	329	295
US BANCORP	150	136
US BANCORP 6.5%	927	876
US BANCORP 6.5%	181	198
US BANCORP 6.5%	193	198
US BANCORP 6.5%	489	480
USEC INC	21,375	182
USEC INC	5,548	61
USEC INC	1,134	182
VERIZON COMMS	1,703	1,752
VERIZON COMMS	327	335
VORNADO REALTY	174	160
VORNADO REALTY TR	281	259
WELLS FORGO	551	571
WELLS FORGO	149	156
WELLS FORGO	122	130
WELLS FORGO	147	156

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WELLS FORGO	217	233
WELLS FORGO	233	259
WELLS FORGO	3,294	3,271
WELLS FORGO	26	28
WELLS FORGO	157	168
WELLS FORGO	315	336
WELLS FORGO	163	168
WELLS FORGO	164	168
Y P F SOCIEDAD SPON ADRF	4,485	3,268

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TY 2013 Investments - Other Schedule

Name: SJ UNGAR J SHAPIRO FAMILY FOUNDATION

EIN: 99-0275249

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AEGON NV PFD	AT COST	751	720
AFFILIATE MGRS	AT COST	294	285
AFLAC INC	AT COST	289	270
AMERICAN FINL GP	AT COST	556	519
AXIS CAP HLDG	AT COST	1,173	1,051
BARCLAYS BANK 7.1%	AT COST	1,067	1,076
BARCLAYS BANK 7.75%	AT COST	561	567
BARCLAYS BANKS 7.75%	AT COST	26,206	25,770
BARCLAYS BK	AT COST	2,159	2,156
BUCKEY PARTNERS	AT COST	2,635	2,635
CIRIGROUP CAP	AT COST	1,142	1,108
COMCAST CORP NEW	AT COST	281	277
DEUTSCHE BK CAP 7.6%	AT COST	25,453	28,000
DTE ENERGY CO 5.25%	AT COST	283	263
DTE ENERGY CO 6.5%	AT COST	249	235
DUKE ENERGY	AT COST	565	526
ENTER GY LA LLC	AT COST	279	279
ENTERGY ARKANSAS	AT COST	176	164
ENTERGY ARKANSAS 4.75%	AT COST	222	205
ENTERGY LA	AT COST	161	155
ENTERGY LA LLC 5.25%	AT COST	176	177
ENTERGY LA LLC 5.25%	AT COST	387	369
GEN ELEC CAP	AT COST	283	273
GEN ELEC CAP 4.7%	AT COST	1,176	1,091
GENERAL ELEC	AT COST	1,741	1,646
HARTFORD FINANCIAL	AT COST	307	299
HSBC HLDGS	AT COST	762	760
ING GROUP NV 7.05	AT COST	911	923
ING GROUP NV 7.2%	AT COST	657	671
LLOYDS BANKING	AT COST	2,266	2,183

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MORGAN STNLY CAP	AT COST	252	252
MS CAP TR III	AT COST	152	152
NEXTERA EGY CAP	AT COST	263	240
NEXTERA EGY I	AT COST	577	510
NEXTERA ENERGY	AT COST	224	195
PRUDENTIAL FIN	AT COST	559	548
PRUDENTIAL FINL	AT COST	757	740
QWEST CORP	AT COST	873	849
QWEST CORP 7.375%	AT COST	406	396
QWEST CORPORATION	AT COST	565	544
RAYMOND JAMES	AT COST	166	162
ROYAL BANK	AT COST	1,937	1,915
ROYAL BANK 6.35%	AT COST	755	816
ROYAL BANK 6.35%	AT COST	140	168
STANLEY BLACK	AT COST	303	292
SUNTRUST BANKS	AT COST	287	287
SUNTRUST BANKS	AT COST	167	167
VENTAS REALTY LP	AT COST	177	167
VORNADO RLTY LP	AT COST	1,198	1,123
WR BERKLEY CP	AT COST	301	279

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TY 2013 Land, Etc. Schedule

Name: SJ UNGAR J SHAPIRO FAMILY FOUNDATION

EIN: 99-0275249

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
APPLE IPAD	629	315	314	300
PANAMA APARTMENT	130,000	9,615	120,385	145,500
PANAMA LAND	71,000	0	71,000	79,500
KULA LAND	216,091	0	216,091	250,000
FURNITURE	1,567	470	1,097	1,200
DC - CONDO	384,076	5,601	378,475	388,796
DC - CONDO IMPROVEMENTS	5,716	83	5,633	5,700
DC - CONDO FURNISHINGS	4,558	532	4,026	4,500

TY 2013 Other Expenses Schedule

Name: SJ UNGAR J SHAPIRO FAMILY FOUNDATION

EIN: 99-0275249

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TELEPHONE	2,260	1,130		1,130
POSTAGE	301	151		150
SUPPLIES	1,149	931		218
PRINTING	197	197		0
OFFICE EXPENSE	2,157	2,157		0
MISCELLANEOUS	1,020	1,020		0
CONDO FEES	2,819	2,819		0
MANAGEMENT FEES	1,800	1,800		0
REAL PROPERTY TAXES	126	126		0
MISCELLANEOUS	0	0		0
CLEANING	0	0		0
REPAIRS	2,427	2,427		0
PARKING CONTROL	0	0		0
COMMISSIONS	0	0		0
UTILITIES	242	242		0
BANK FEES	16	16		0
DC CONDO - REPAIRS	100	100		0
DC CONDO - SUPPLIES	333	333		0
DC CONDO - PROPERTY TAXES	1,399	1,399		0
DC CONDO - SPECIAL ASSESSMENT	350	350		0
DC CONDO - HOA FEES	5,178	5,178		0
DC CONDO - INSURANCE	1,204	1,204		0

TY 2013 Other Liabilities Schedule

Name: SJ UNGAR J SHAPIRO FAMILY FOUNDATION

EIN: 99-0275249

Description	Beginning of Year - Book Value	End of Year - Book Value
TENANT SECURITY DEPOSIT	0	2,625

TY 2013 Other Professional Fees Schedule

Name: SJ UNGAR J SHAPIRO FAMILY FOUNDATION

EIN: 99-0275249

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	4,091	4,091		0

TY 2013 Taxes Schedule

Name: SJ UNGAR J SHAPIRO FAMILY FOUNDATION

EIN: 99-0275249

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
REAL PROPERTY TAXES	1,823	1,823		0
NY DEPARTMENT OF LAW	250	0		250
FOREIGN TAX WITHHELD	554	554		0