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Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1

Briefly describe the organization's mission

TO PROVIDE A SUPERIOR, STUDENT-CENTERED LEARNING EXPERIENCE INTEGRATING LIBERAL ARTS AND PROFESSIONAL EDUCATION AND PREPARING INDIVIDUALS FOR LASTING ACHIEVEMENT AND RESPONSIBLE LEADERSHIP IN THEIR CAREERS AND COMMUNITIES

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

Yes

No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

Yes

No

If "Yes," describe these changes on Schedule O

4

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ 198,949,595 including grants of \$ 0) (Revenue \$ 329,673,414)

INSTRUCTION, DEPARTMENTAL AND ACADEMIC SUPPORT INCLUDES THE SALARIES, BENEFITS, SUPPLIES AND DEPARTMENTAL SUPPORT NECESSARY TO DELIVER HIGHER EDUCATION FOR THE UNIVERSITY'S APPROXIMATELY 6,400 UNDERGRADUATE, GRADUATE AND PROFESSIONAL STUDENTS ACROSS THREE CALIFORNIA CAMPUSES THE UNIVERSITY'S MAIN CAMPUS IN STOCKTON COMBINES MANY OF THE ADVANTAGES OF A LARGER UNIVERSITY WITH THOSE OF A SMALL LIBERAL ARTS COLLEGE, OFFERING A BROAD ARRAY OF UNDERGRADUATE, GRADUATE AND PROFESSIONAL DEGREE PROGRAMS THROUGH SEVEN SCHOOLS, INCLUDING THE COLLEGE OF THE PACIFIC, THE SCHOOL OF INTERNATIONAL STUDIES, THE SCHOOL OF ENGINEERING AND COMPUTER SCIENCE, THE CONSERVATORY OF MUSIC, THE EBERHARDT SCHOOL OF BUSINESS, THE GLADYS L BENERD SCHOOL OF EDUCATION, AND THE THOMAS J LONG SCHOOL OF PHARMACY AND HEALTH SCIENCES THE SAN FRANCISCO CAMPUS IS HOME TO THE ARTHUR A DUGONI SCHOOL OF DENTISTRY, ONE OF THE LEADING DENTAL SCHOOLS IN THE NATION THE SACRAMENTO CAMPUS IS HOME TO THE MCGEORGE SCHOOL OF LAW

4b

(Code) (Expenses \$ 88,440,634 including grants of \$ 62,905,166) (Revenue \$)

STUDENT SERVICES, SCHOLARSHIPS AND FINANCIAL AID INCLUDES EXPENDITURES FOR ALL FORMS OF STUDENT AID AND EXPENDITURES FOR THE EDUCATION AND SUPPORT OF THE UNIVERSITY'S APPROXIMATELY 6,400 STUDENTS THE UNIVERSITY'S FINANCIAL AID PROGRAM DEMONSTRATES AN ONGOING COMMITMENT TO PUT A QUALITY EDUCATION WITHIN REACH, PROVIDING A WIDE RANGE OF SCHOLARSHIPS AND GRANTS, INCLUDING MATCHING CAL GRANTS FOR STUDENTS WHO QUALIFY THE UNIVERSITY'S FOUR-YEAR GUARANTEE PROVIDES STUDENTS ASSURANCE THEY WILL BE ABLE TO GET THE CLASSES THEY NEED TO GRADUATE ON TIME

4c

(Code) (Expenses \$ 32,329,432 including grants of \$ 0) (Revenue \$)

AUXILIARY ACTIVITIES INCLUDES SERVICES THAT SUPPORT EDUCATIONAL ACTIVITIES AND ATHLETICS ACTIVITIES, INCLUDING CAMPUS BOOKSTORES, FITNESS CENTER, DINING SERVICES, RESIDENTIAL LIFE AND HOUSING

(Code) (Expenses \$ 14,829,579 including grants of \$ 0) (Revenue \$)

RESEARCH INCLUDES EXPENDITURES FOR ACTIVITIES SPECIFICALLY DESIGNED TO PRODUCE HIGH-QUALITY RESEARCH OUTCOMES WHILE PROVIDING HANDS-ON RESEARCH TRAINING TO BOTH UNDERGRADUATE AND GRADUATE STUDENTS

4d

Other program services (Describe in Schedule O)

(Expenses \$ 14,829,579 including grants of \$ 0) (Revenue \$)















4e

Total program service expenses

334,549,240

Part IV

Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? 	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II 	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8 Yes	
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 	11b Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 	11f Yes	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII 	12a Yes	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b	No
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E 	13 Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV 	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15	No
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16	No
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) 	17 Yes	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21		No
22	Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If so, complete Schedule L, Part II</i>	26	Yes	
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	27	Yes	
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a	Yes	
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	34		No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b		No
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	1a	1,028
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b	4
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	Yes
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	5,499
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b	Yes
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	Yes
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	3b	Yes
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	Yes
b	If "Yes," enter the name of the foreign country AU See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a	No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	Yes
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Yes
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d	
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8	
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966?	9a	
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b	
10	Section 501(c)(7) organizations. Enter		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	Section 501(c)(12) organizations. Enter		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
c	Enter the amount of reserves on hand	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	No
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b	

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O		
b	Enter the number of voting members included in line 1a, above, who are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	Yes
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	No
6	Did the organization have members or stockholders?	6	No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
a	The governing body?	8a	Yes
b	Each committee with authority to act on behalf of the governing body?	8b	Yes
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes
13	Did the organization have a written whistleblower policy?	13	Yes
14	Did the organization have a written document retention and destruction policy?	14	Yes
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	15a	Yes
b	Other officers or key employees of the organization	15b	Yes
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed	CA
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input checked="" type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request <input type="checkbox"/> Other (explain in Schedule O)	
19	Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year	
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization Kenneth M Mullen 3601 Pacific Avenue Stockton, CA 95211 (209) 946-7372	

Check if Schedule O contains a response or note to any line in this Part VII ☐

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

• List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

• List all of the organization's **current** key employees, if any See instructions for definition of "key employee "

• List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations

• List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations

• List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

[illegible]

Part VII

1b	Sub-Total			
c	Total from continuation sheets to Part VII, Section A			
d	Total (add lines 1b and 1c)	8,313,520	0	1,187,942

2 Total number of individuals (including but not limited to those listed in Item 1) who received more than \$100,000 of reportable compensation from the organization. 305

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3 Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4 Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A)	(B)	(C)
Name and business address	Description of services	Compensation
PLANT CONSTRUCTION LP 300 NEWHALL ST SAN FRANCISCO CA 941241498	CONSTRUCTION	49,930,582
DOWNEY BRAND ATTORNEYS LLP 621 CAPITOL MALL 18TH FL SACRAMENTO CA 95814	LEGAL	744,839
BRI INVESTORS CO CBRE INC 1776 W MARCH LN SUITE 170 STOCKTON CA 952076421	PROPERTY MANAGEMENT	590,418
ELLUCIAN COMPANY LP 4 COUNTRY VIEW RD MALVERN PA 193551408	TECHNOLOGY	568,627
KPMG LLP DEPT 0922 PO BOX 120001 DALLAS TX 753120922	ACCOUNTING	449,198

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶32

Part VIII

Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c	209,588			
	d	Related organizations	1d				
	e	Government grants (contributions)	1e	14,233,517			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	24,866,614			
	g	Noncash contributions included in lines 1a-1f \$		1,199,274			
	h	Total. Add lines 1a-1f		39,309,719			
Program Service Revenue			Business Code				
	2a	TUITION	900099	284,950,757	284,950,757		
	b	DENTAL CLINIC	900099	13,628,511	13,628,511		
	c	AUXILIARY	541800	24,565,726	24,565,726		
	d			0			
	e			0			
	f	All other program service revenue		0	0	0	0
	g	Total. Add lines 2a-2f		323,144,994			
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		7,133,248			7,133,248
	4	Income from investment of tax-exempt bond proceeds . .		0			
	5	Royalties		0			
	6a	(i) Real					
		(ii) Personal					
		Gross rents					
		697,218					
	b	Less rental expenses					
		27,684					
	c	Rental income or (loss)					
		669,534					
	d	Net rental income or (loss)		669,534		60,184	609,350
	7a	(i) Securities					
		(ii) Other					
		Gross amount from sales of assets other than inventory					
		492,118,884					
	b	Less cost or other basis and sales expenses					
		424,288,813					
	c	Gain or (loss)					
		0					
	d	Net gain or (loss)		67,830,071			67,830,071
	8a	Gross income from fundraising events (not including \$ 209,588 of contributions reported on line 1c) See Part IV, line 18		-54,814			-54,814
		a					
		257,587					
	b	Less direct expenses					
		b					
		312,401					
	c	Net income or (loss) from fundraising events . .					
	9a	Gross income from gaming activities See Part IV, line 19		0			
		a					
	b	Less direct expenses					
	b						
c	Net income or (loss) from gaming activities . .						
10a	Gross sales of inventory, less returns and allowances		1,239,361	1,167,163	72,198		
	a						
	3,451,834						
b	Less cost of goods sold						
	b						
	2,212,473						
c	Net income or (loss) from sales of inventory . .						
Miscellaneous Revenue		Business Code					
11a	ADVERTISING & FACILITY RENTAL	900099	215,018		215,018		
b	PARKING	812930	605,446	605,446			
c	OTHER	900099	4,755,811	4,755,811			
d	All other revenue		0	0	0	0	
e	Total. Add lines 11a-11d		5,576,275				
12	Total revenue. See Instructions		444,848,388	329,673,414	347,400	75,517,855	

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States. See Part IV, line 21.	0			
2	Grants and other assistance to individuals in the United States. See Part IV, line 22.	62,905,166	62,905,166		
3	Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16.	0			
4	Benefits paid to or for members.	0			
5	Compensation of current officers, directors, trustees, and key employees.	9,276,528	6,509,042	1,735,559	1,031,927
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	236,938		236,938	
7	Other salaries and wages.	134,298,363	121,597,422	5,973,161	6,727,780
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).	12,259,480	11,083,571	578,923	596,986
9	Other employee benefits.	20,840,332	18,265,868	1,353,454	1,221,010
10	Payroll taxes.	13,014,961	11,558,813	727,247	728,901
11	Fees for services (non-employees):				
a	Management.	0			
b	Legal.	1,519,106	0	1,519,106	0
c	Accounting.	369,723	0	369,723	0
d	Lobbying.	47,417		47,417	
e	Professional fundraising services. See Part IV, line 17.	237,341			237,341
f	Investment management fees.	1,756,907	1,537,110	107,064	112,733
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	18,393,671	17,541,046	351,350	501,275
12	Advertising and promotion.	2,298,757	1,752,911	296,668	249,178
13	Office expenses.	25,382,934	24,054,521	260,820	1,067,593
14	Information technology.	6,898,453	5,995,477	375,251	527,725
15	Royalties.	0			
16	Occupancy.	8,009,062	7,145,630	408,867	454,565
17	Travel.	4,795,772	4,075,450	283,794	436,528
18	Payments of travel or entertainment expenses for any federal, state, or local public officials.	0			
19	Conferences, conventions, and meetings.	0			
20	Interest.	7,150,448	6,333,734	380,715	435,999
21	Payments to affiliates.	0			
22	Depreciation, depletion, and amortization.	18,001,166	15,945,099	958,444	1,097,623
23	Insurance.	1,509,189	1,359,902	65,250	84,037
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O):				
a	HOSPITALITY STUDENT ROOM/BOARD	5,530,258	5,530,258		
b	CATERING & OTHER HOSPITALITY	4,107,389	3,160,232	109,178	837,979
c	BLDG/GROUNDS REPAIR/MAINT	1,733,702	1,575,988	72,609	85,105
d	ATHLETIC ACTIVITIES	4,984,520	4,859,857	56,771	67,892
e	All other expenses	1,813,589	1,762,143	24,258	27,188
25	Total functional expenses. Add lines 1 through 24e.	367,371,172	334,549,240	16,292,567	16,529,365
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).	0			

Part X

Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

				(A)		(B)
				Beginning of year		End of year
Assets	1	Cash—non-interest-bearing		5,210,315	1	3,550,696
	2	Savings and temporary cash investments		8,764,176	2	6,909,127
	3	Pledges and grants receivable, net		15,736,648	3	19,804,207
	4	Accounts receivable, net		4,549,868	4	7,100,893
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L.		303,569	5	252,899
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L.		0	6	0
	7	Notes and loans receivable, net		32,247,437	7	31,978,973
	8	Inventories for sale or use		3,047,333	8	2,948,980
	9	Prepaid expenses and deferred charges		3,822,419	9	2,094,541
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D.	10a574,518,776			
	b	Less: accumulated depreciation	10b184,756,932	336,309,834	10c	389,761,844
	11	Investments—publicly traded securities		305,574,399	11	498,110,545
	12	Investments—other securities. See Part IV, line 11.		45,310,000	12	64,750,701
	13	Investments—program-related. See Part IV, line 11.		0	13	0
	14	Intangible assets			14	
	15	Other assets. See Part IV, line 11.		115,658,083	15	6,601,521
	16	Total assets. Add lines 1 through 15 (must equal line 34).		876,534,081	16	1,033,864,927
Liabilities	17	Accounts payable and accrued expenses		37,266,807	17	46,814,883
	18	Grants payable		774,804	18	753,694
	19	Deferred revenue		13,255,004	19	12,667,074
	20	Tax-exempt bond liabilities		131,302,295	20	161,495,252
	21	Escrow or custodial account liability. Complete Part IV of Schedule D.			21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L.		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties		680,462	23	19,500,000
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D.		77,521,093	25	65,531,975
	26	Total liabilities. Add lines 17 through 25.		260,800,465	26	306,762,878
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.					
	27	Unrestricted net assets		282,550,984	27	342,987,000
	28	Temporarily restricted net assets		54,126,945	28	103,818,933
	29	Permanently restricted net assets		279,055,687	29	280,296,116
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.					
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		615,733,616	33	727,102,049
	34	Total liabilities and net assets/fund balances		876,534,081	34	1,033,864,927

Part XI

Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI ☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	444,848,388
2	Total expenses (must equal Part IX, column (A), line 25)	2	367,371,172
3	Revenue less expenses Subtract line 2 from line 1	3	77,477,216
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	615,733,616
5	Net unrealized gains (losses) on investments	5	33,188,803
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	702,414
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	727,102,049

Part XII

Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII ☐

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

Additional Data

Software ID: 13000248
Software Version: 2013v3.1
EIN: 94-1156266
Name: UNIVERSITY OF THE PACIFIC

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
EIBECK PAMELA PRESIDENT	50 00 0 00	X		X				481,514	0	98,735
ENGLAND MORRISON BOARD MEMBER - SECRETARY	10 00 0 00	X		X				0	0	0
JANSSEN KATHLEEN BOARD MEMBER - CHAIR	15 00 0 00	X		X				0	0	0
MAIR JAMES BOARD MEMBER - TREASURER	10 00 0 00	X		X				0	0	0
NELSON FREDRIC BOARD MEMBER - VICE CHAIR, COMMITTEE CHAIR	15 00 0 00	X		X				0	0	0
AL-SALEH FAWZI BOARD MEMBER	5 00 0 00	X						0	0	0
BERBERIAN RONALD BOARD MEMBER	5 00 0 00	X						0	0	0
BOWMAN D KIRKWOOD BOARD MEMBER	5 00 0 00	X						0	0	0
CALLAHAN CONNIE BOARD MEMBER, COMMITTEE CHAIR	10 00 0 00	X						0	0	0
CHAN TONY BOARD MEMBER	5 00 0 00	X						0	0	0
CORKERN ROBERT BOARD MEMBER, COMMITTEE CHAIR	10 00 0 00	X						0	0	0
FERRIS NOEL BOARD MEMBER	5 00 0 00	X						0	0	0
FLEMING RICHARD BOARD MEMBER, COMMITTEE CHAIR	10 00 0 00	X						0	0	0
FLORES ARMANDO BOARD MEMBER, COMMITTEE CHAIR	10 00 0 00	X						0	0	0
GUSTAFSON CLARK BOARD MEMBER	5 00 0 00	X						0	0	0
HAYASHI RANDY BOARD MEMBER	5 00 0 00	X						0	0	0
HERNANDEZ JOSE BOARD MEMBER	5 00 0 00	X						0	0	0
HUBER KEVIN BOARD MEMBER, COMMITTEE CHAIR	10 00 0 00	X						0	0	0
LEASURE LARRY BOARD MEMBER	5 00 0 00	X						0	0	0
MCSHANE KATHLEEN BOARD MEMBER	5 00 0 00	X						0	0	0
MILLER DIANE BOARD MEMBER, COMMITTEE CHAIR	10 00 0 00	X						0	0	0
MITCHELL GARY BOARD MEMBER	5 00 0 00	X						0	0	0
PHILIBOSIAN DIANNE BOARD MEMBER	5 00 0 00	X						0	0	0
REDMOND RONALD BOARD MEMBER	5 00 0 00	X						0	0	0
ROBB WALTER BOARD MEMBER	5 00 0 00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

[illegible]

2013

Open to Public Inspection

SCHEDULE A

(Form 990 or 990EZ)

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

- ▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.
- ▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Department of the Treasury

Internal Revenue Service

Name of the organization UNIVERSITY OF THE PACIFIC	Employer identification number 94-1156266
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Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1	<input type="checkbox"/>	A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
2	<input checked="" type="checkbox"/>	A school described in section 170(b)(1)(A)(ii). (Attach Schedule E)
3	<input type="checkbox"/>	A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
4	<input type="checkbox"/>	A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state _____
5	<input type="checkbox"/>	An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II)
6	<input type="checkbox"/>	A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
7	<input type="checkbox"/>	An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II)
8	<input type="checkbox"/>	A community trust described in section 170(b)(1)(A)(vi) (Complete Part II)
9	<input type="checkbox"/>	An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2). (Complete Part III)
10	<input type="checkbox"/>	An organization organized and operated exclusively to test for public safety See section 509(a)(4).
11	<input type="checkbox"/>	An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h a <input type="checkbox"/> Type I b <input type="checkbox"/> Type II c <input type="checkbox"/> Type III - Functionally integrated d <input type="checkbox"/> Type III - Non-functionally integrated
e	<input type="checkbox"/>	By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)
f	<input type="checkbox"/>	If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
g	<input type="checkbox"/>	Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons? (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? (ii) A family member of a person described in (i) above? (iii) A 35% controlled entity of a person described in (i) or (ii) above?
h	<input type="checkbox"/>	Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 Total support (Add lines 7 through 10)						
12 Gross receipts from related activities, etc (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage						
14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))		14				
15 Public support percentage for 2012 Schedule A, Part II, line 14		15				
16a 33 1/3% support test—2013. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization						▶
b 33 1/3% support test—2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization						▶
17a 10%-facts-and-circumstances test—2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization						▶
b 10%-facts-and-circumstances test—2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization						▶
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions						▶

Part IIISupport Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6.)						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage		
15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage		
17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2012 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
b 33 1/3% support tests—2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶		

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference	Explanation	
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SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**
▶ **See separate instructions.** ▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

2013

Open to Public Inspection

If the organization answered "Yes" to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization
UNIVERSITY OF THE PACIFIC

Employer identification number
94-1156266

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1

Provide a description of the organization's direct and indirect political campaign activities in Part IV
- 2

Political expenditures

▶ \$
- 3

Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1

Enter the amount of any excise tax incurred by the organization under section 4955

▶ \$
- 2

Enter the amount of any excise tax incurred by organization managers under section 4955

▶ \$
- 3

If the organization incurred a section 4955 tax, did it file Form 4720 for this year?

☐ Yes

☐ No
- 4a

Was a correction made?

☐ Yes

☐ No
- b

If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1

Enter the amount directly expended by the filing organization for section 527 exempt function activities

▶ \$
- 2

Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities

▶ \$
- 3

Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b

▶ \$
- 4

Did the filing organization file **Form 1120-POL** for this year?

☐ Yes

☐ No
- 5

Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A
- Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B
- Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)															
b Total lobbying expenditures to influence a legislative body (direct lobbying)															
c Total lobbying expenditures (add lines 1a and 1b)															
d Other exempt purpose expenditures															
e Total exempt purpose expenditures (add lines 1c and 1d)															
f Lobbying nontaxable amount Enter the amount from the following table in both columns															
<table><tr><td>If the amount on line 1e, column (a) or (b) is:</td><td>The lobbying nontaxable amount is:</td></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f)															
h Subtract line 1g from line 1a If zero or less, enter -0-															
i Subtract line 1f from line 1c If zero or less, enter -0-															
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No													

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?		No	
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c	Media advertisements?		No	
d	Mailings to members, legislators, or the public?		No	
e	Publications, or published or broadcast statements?		No	
f	Grants to other organizations for lobbying purposes?		No	
g	Direct contact with legislators, their staffs, government officials, or a legislative body?		No	
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?	Yes		2,496
i	Other activities?	Yes		44,921
j	Total Add lines 1c through 1i			47,417
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, line 2, and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation
Schedule C, Part II-B, Line 1, Description of the activities reported on Lines 1a through 1i	THE UNIVERSITY DID PARTICIPATE IN SOME LOBBYING ACTIVITIES SUCH AS VISITS TO OUR CONGRESSIONAL REPRESENTATIVES IN SACRAMENTO AND IN WASHINGTON DC REGARDING CAL GRANTS. CAL GRANTS ARE NEED-BASED GRANTS FOR LOW-INCOME AND MIDDLE-INCOME STUDENTS WHO ARE CALIFORNIA RESIDENTS AND ATTEND CALIFORNIA COLLEGES AND UNIVERSITIES. IN ADDITION, THE UNIVERSITY IS A MEMBER OF THE ASSOCIATION OF INDEPENDENT CALIFORNIA COLLEGES AND UNIVERSITIES (AICCU), THE NATIONAL ASSOCIATION OF INDEPENDENT COLLEGES & UNIVERSITIES, THE NATIONAL ASSOCIATION OF COLLEGE AND UNIVERSITY BUSINESS OFFICERS (NACUBO) AND THE ASSOCIATION OF GOVERNING BOARDS (AGB). THESE ORGANIZATIONS LOBBY ON BEHALF OF HIGHER EDUCATION. THE AMOUNTS SHOWN ON SCHEDULE C, PART II, LINE 1I PERTAIN TO DUES PAID TO THE ABOVE-NOTED ASSOCIATIONS.

[illegible]

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b
▶ Attach to Form 990. ▶ See separate instructions. ▶ Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization UNIVERSITY OF THE PACIFIC	Employer identification number 94-1156266
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Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate contributions to (during year)	
3	Aggregate grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <div><input type="checkbox"/> Yes <input type="checkbox"/> No</div>	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <div><input type="checkbox"/> Yes <input type="checkbox"/> No</div>	

Part II

Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education) ☐ Preservation of an historically important land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2

Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4

Number of states where property subject to conservation easement is located ▶ _____

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9

In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

(ii) Assets included in Form 990, Part X

▶ \$ 38,711,755

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a

Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

b

Assets included in Form 990, Part X

▶ \$ _____

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat No 52283D

Schedule D (Form 990) 2013

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☒ Public exhibition

d

☐ Loan or exchange programs

b

☒ Scholarly research

e

☐ Other

c

☒ Preservation for future generations

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes☒ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes☐ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table

	Amount
1c	
1d	
1e	
1f	

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes☐ No

b

If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII

☐

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	b (c)Two years back	(d)Three years back	(e)Four years back
1a	Beginning of year balance	223,274,000	199,306,000	211,693,000	178,683,000
b	Contributions	109,770,012	5,609,000	2,788,000	3,082,000
c	Net investment earnings, gains, and losses	64,513,344	27,667,000	-7,339,000	38,012,000
d	Grants or scholarships	8,674,469	8,259,000	7,836,000	8,084,000
e	Other expenditures for facilities and programs			0	0
f	Administrative expenses	2,269,938	1,049,000	0	0
g	End of year balance	386,612,949	223,274,000	199,306,000	211,693,000

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a

Board designated or quasi-endowment

7 000 %

b

Permanent endowment

72 000 %

c

Temporarily restricted endowment

21 000 %

The percentages in lines 2a, 2b, and 2c should equal 100%

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

3a(i)

Yes

No

(ii) related organizations

3a(ii)

No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

4

Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		6,263,174		6,263,174
b Buildings		455,887,215	174,536,848	281,350,367
c Leasehold improvements				0
d Equipment		101,615,557	10,220,084	91,395,473
e Other		10,752,830		10,752,830
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				389,761,844

Part XI

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	325,001,394
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12	2e	0
a	Net unrealized gains on investments2a		
b	Donated services and use of facilities2b		
c	Recoveries of prior year grants2c		
d	Other (Describe in Part XIII)2d0		
e	Add lines 2a through 2d	2e	0
3	Subtract line 2e from line 1	3	325,001,394
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1	4c	119,846,994
a	Investment expenses not included on Form 990, Part VIII, line 7b4a		
b	Other (Describe in Part XIII)4b119,846,994		
c	Add lines 4a and 4b		
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)	5	444,848,388

Part XII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	306,678,479
2	Amounts included on line 1 but not on Form 990, Part IX, line 25	2e	0
a	Donated services and use of facilities2a		
b	Prior year adjustments2b		
c	Other losses2c		
d	Other (Describe in Part XIII)2d0		
e	Add lines 2a through 2d	2e	0
3	Subtract line 2e from line 1	3	306,678,479
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:	4c	60,692,693
a	Investment expenses not included on Form 990, Part VIII, line 7b4a		
b	Other (Describe in Part XIII)4b60,692,693		
c	Add lines 4a and 4b		
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18)	5	367,371,172

Part XIII

Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

Return Reference	Explanation
Schedule D, Part III, Line 4, Collections of art - description of collections	THE UNIVERSITY'S HOLT-ATHERTON SPECIAL COLLECTIONS DEPARTMENT HOUSES THE UNIVERSITY LIBRARY'S NON-CIRCULATING RARE AND UNIQUE RESEARCH MATERIALS THE MISSION OF SPECIAL COLLECTIONS IS TO COLLECT, PRESERVE, AND PROVIDE ACCESS TO MANUSCRIPT COLLECTIONS, A SPECIALIZED BOOK COLLECTION, AND THE UNIVERSITY ARCHIVES FOR STUDENTS AND FACULTY OF THE UNIVERSITY OF THE PACIFIC AND THE GENERAL PUBLIC THE BRUBECK COLLECTION UNIVERSITY OF THE PACIFIC ALUMNI DAVE AND IOLA BRUBECK ESTABLISHED THE BRUBECK COLLECTION AND THE BRUBECK INSTITUTE IN CONJUNCTION WITH THEIR ALMA MATER TO PRESERVE, PROMOTE, AND PASS ON THE RICH LEGACY OF JAZZ TO FUTURE GENERATIONS THE BRUBECK COLLECTION IS A UNIQUE ACCUMULATION OF MATERIALS REPRESENTING OVER SEVENTY YEARS IN THE CREATIVE LIFE OF ONE OF JAZZ'S MOST RENOWNED PRACTITIONERS IT INCLUDES, IN ITS 350+ LINEAR FEET, A VAST ARRAY OF BUSINESS PAPERS, CORRESPONDENCE, MUSICAL SCORES, PHOTOGRAPHS, AND AUDIO AND VIDEO RECORDINGS PACIFIC OWNS ONLY A MINOR PORTION WITH THE REMAINDER ON LOAN AT THE STOCKTON CAMPUS JOHN MUIR PAPERS SINCE 1970, HOLT-ATHERTON SPECIAL COLLECTIONS HAS BEEN THE REPOSITORY FOR THE JOHN MUIR PAPERS TODAY, APPROXIMATELY 75% OF THE EXTANT PAPERS OF MUIR ARE HOUSED AT THE HOLT-ATHERTON SPECIAL COLLECTIONS THE JOHN MUIR PAPERS INCLUDE CORRESPONDENCE, JOURNALS AND SKETCHBOOKS, MANUSCRIPTS, DRAWINGS AND SKETCHES, PHOTOGRAPHS, MAPS, MEMORABILIA, SCRAPBOOKS, AND MISCELLANEOUS PAPERS PACIFIC OWNS ONLY CERTAIN PIECES WITH THE REMAINDER ON LOAN AT THE STOCKTON CAMPUS WESTERN AMERICANA COLLECTIONS THE PRIMARY FOCUS OF THE MANUSCRIPT COLLECTIONS IS THE HISTORY OF CALIFORNIA, EMPHASIZING STOCKTON AND THE NORTHERN SAN JOAQUIN VALLEY MORE THAN 400 COLLECTIONS OF UNIQUE MATERIALS SUPPORT RESEARCH IN A WIDE VARIETY OF DISCIPLINES LETTERS, PHOTOGRAPHS, DIARIES, BOOKS, MAPS, BUSINESS RECORDS, AND OTHER ARCHIVAL RECORDS DOCUMENT TOPICS SUCH AS THE GOLD RUSH AND MINING INDUSTRY, PIONEER EXPERIENCES, OVERLAND JOURNEYS, AGRICULTURE, EDUCATION, BUSINESS, CRIME, RELIGION, LITERATURE, TRANSPORTATION, POLITICS, WATER DEVELOPMENT, THEATER, AND WOMEN'S AND ETHNIC HISTORY FROM THE MID-NINETEENTH THROUGH THE TWENTIETH CENTURY INCLUDED WITHIN THIS CATEGORY IS JANET LEIGH MEMORABILIA, WHICH IS HELD BY THE THEATER ARTS DEPARTMENT IT INCLUDES HISTORIC PHOTOGRAPHS AND MEMORABILIA FROM ACTRESS JANET LEIGH'S LIFE DATING BACK TO 1950, LOBBY CARDS, POSTERS, AND OTHER MEMORABILIA FROM JANET LEIGH'S FILMS, AND NUMEROUS AWARDS INCLUDING HER 1961 GOLDEN GLOBE FOR "BEST SUPPORTING ACTRESS" IN THE FILM PSYCHO THE PHOTOGRAPHY COLLECTION OVER 50,000 IMAGES IN THE HOLT-ATHERTON SPECIAL COLLECTIONS PHOTOGRAPHY COLLECTION PICTORIALY DOCUMENTS THE HISTORY OF THE GREAT CENTRAL VALLEY AND SAN JOAQUIN COUNTY, AS WELL AS THE MOTHER LODE REGION, THE SAN FRANCISCO BAY AREA, CALIFORNIA IN GENERAL, AND THE AMERICAN CIVIL WAR THE PHOTOGRAPHS COVER THE PERIOD FROM THE CIVIL WAR TO THE PRESENT POWELL ART IN OCTOBER 2013, THE ESTATE OF THE LATE ROBERT AND JEANNETTE POWELL ENDOWED A \$125MM GIFT TO THE UNIVERSITY THIS BEQUEST INCLUDED APPROXIMATELY 18 WORKS OF ART FROM THE POWELL'S PERSONAL COLLECTION THE ITEMS ARE INTENDED TO BE HELD FOR VISUAL DISPLAY THROUGHOUT THE UNIVERSITY'S THREE CAMPUSES
Schedule D, Part V, Line 4, Intended uses of endowment funds	THE UNIVERSITY'S ENDOWMENT FUNDS INCLUDE QUASI-ENDOWED FUNDS ESTABLISHED BY THE BOARD OF REGENTS AND PERMANENTLY RESTRICTED ENDOWMENT FUNDS ESTABLISHED BY DONORS ENDOWED FUNDS ARE INVESTED IN PERPETUITY IN ACCORDANCE WITH THE UNIVERSITY'S INVESTMENT AND SPENDING POLICIES QUASI-ENDOWED FUNDS ARE RESTRICTED TO VARIOUS USES AS APPROVED BY THE BOARD OF REGENTS DONOR-RESTRICTED FUNDS INCLUDE FUNDS INVESTED FOR PURPOSES OF FUNDING STUDENT SCHOLARSHIPS, INVESTMENT IN PLANT, AND PROGRAM SUPPORT
Schedule D, Part X, Line 2, FIN 48 (ASC 740) footnote	THE UNIVERSITY FOLLOWS FINANCIAL ACCOUNTING STANDARDS BOARD INTERPRETATION (FASB) ASC SUBTOPIC 740-10, INCOME TAXES - OVERALL (FORMERLY KNOWN AS FASB INTERPRETATION NO 48, ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES, AN INTERPRETATION OF FASB STATEMENT NO 109), AND ESTABLISHES FOR ALL ENTITIES, INCLUDING PASS-THROUGH ENTITIES, A MINIMUM THRESHOLD FOR FINANCIAL STATEMENT RECOGNITION OF THE BENEFIT OF POSITIONS TAKEN IN FILING TAX RETURNS (INCLUDING WHETHER AN ENTITY IS TAXABLE IN A PARTICULAR JURISDICTION) AND REQUIRES CERTAIN EXPANDED TAX DISCLOSURES NO SUCH UNCERTAIN TAX POSITIONS EXIST FOR THE UNIVERSITY AS OF JUNE 30, 2014
Schedule D, Part XI, Line 4b, Other revenues in form 990 not in audited financial statements	FINANCIAL AID - 62905166, INVESTMENT GAINS - 51556220, UNREALIZED INVESTMENT GAINS - -33188803, COST OF GOODS SOLD - -2212473, GAIN ON SALE OF REAL ESTATE - 40786884,
Schedule D, Part XII, Line 4b, Other expenses in form 990 not in audited financial statements	FINANCIAL AID - 62905166, COST OF GOODS SOLD - -2212473,

[illegible]

SCHEDULE E
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.
► Attach to Form 990 or Form 990-EZ.
► Information about Schedule E (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
UNIVERSITY OF THE PACIFIC

Employer identification number

94-1156266

Part I		YES	NO
<div>1</div> <div>Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?</div>	1	Yes	
	2	Yes	
	3	Yes	
	4a	Yes	
	4b	Yes	
<div>4</div> <div>Does the organization maintain the following?</div> <div>a Records indicating the racial composition of the student body, faculty, and administrative staff?</div> <div>b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?</div> <div>c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?</div> <div>d Copies of all material used by the organization or on its behalf to solicit contributions?</div> <div>If you answered "No" to any of the above, please explain If you need more space, use Part II</div>	4c	Yes	
	4d	Yes	
	5a		No
	5b		No
	5c		No
	5d		No
	5e		No
	5f		No
	5g		No
	5h		No
<div>6a</div> <div>Does the organization receive any financial aid or assistance from a governmental agency?</div> <div>b Has the organization's right to such aid ever been revoked or suspended?</div> <div>If you answered "Yes" to either line 6a or line 6b, explain on Part II</div>	6a	Yes	
	6b		No
	7	Yes	

Part III Supplemental Information. Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also complete this part to provide any other additional information (see instructions)

Return Reference	Explanation
Schedule E, Part I, Line 3, Racially nondiscriminatory policy	NEWSPAPER MEDIA DISPLAYS INCLUDE STATEMENT OF RACIAL NONDISCRIMINATORY POLICY OF THE UNIVERSITY IN THE SOLICITATION OF STUDENTS
Schedule E, Part I, Line 6a, Financial aid or assistance from a governmental agency	DURING THE TAX YEAR ENDING ON 06/30/2014, THE UNIVERSITY RECEIVED SUPPORT FROM VARIOUS FEDERAL AND STATE GOVERNMENTAL AGENCIES TOTALING \$14,233,517

SCHEDULE F
(Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.
► Attach to Form 990. ► See separate instructions.
► Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Name of the organization
UNIVERSITY OF THE PACIFIC

Employer identification number
94-1156266

Part I

General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

- 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☐ Yes ☐ No
- 2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.
- 3 Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) See Add'l Data					
(2)					
(3)					
(4)					
(5)					
3a Sub-total					
b Total from continuation sheets to Part I					
c Totals (add lines 3a and 3b)	1	5			33,647,706

Part II

Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)									
(2)									
(3)									
(4)									

- 2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ▶
- 3 Enter total number of other organizations or entities ▶

Part III

Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*

☒ Yes

☐ No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)*

☐ Yes

☒ No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)*

☐ Yes

☒ No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)*

☒ Yes

☐ No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships. (see Instructions for Form 8865)*

☒ Yes

☐ No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713).*

☐ Yes

☒ No

Part V

Supplemental Information
Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

990 Schedule F, Supplemental Information

Return Reference	Explanation
SCHEDULE F, PART I, LINE 3(F), GENERAL INFORMATION ON ACTIVITIES OUTSIDE THE UNITED STATES	THE AMOUNTS LISTED IN COLUMN (F) FOR THE UNIVERSITY'S INVESTMENTS IN CENTRAL AMERICAN/CARI BBEAN REFER TO THE FAIR MARKET VALUE OF INVESTMENTS FOR THAT PARTICULAR REGION, NOT SOLELY THE EXPENDITURES FOR THE FISCAL TAX YEAR ENDED 6/30/14, AS REQUIRED BY THE IRS

990 Schedule F, Supplemental Information

Return Reference	Explanation
Schedule F, Part I, Line 3, Method to account for expenditures on org 's financial statements	CENTRAL AMERICA AND THE CARIBBEAN ACCRUAL EAST ASIA AND THE PACIFIC ACCRUAL EUROPE (INCL UDING ICELAND AND GREENLAND) ACCRUAL SOUTH AMERICA ACCRUAL SUB-SAHARAN AFRICA ACCRUAL

990 Schedule F, Supplemental Information

Return Reference	Explanation
Schedule F, Part I, Line 3, Method to account for expenditures on org 's financial statements	CENTRAL AMERICA AND THE CARIBBEAN ACCRUAL EAST ASIA AND THE PACIFIC ACCRUAL EUROPE (INCL UDING ICELAND AND GREENLAND) ACCRUAL SOUTH AMERICA ACCRUAL SUB-SAHARAN AFRICA ACCRUAL

Additional Data

Software ID: 13000248
Software Version: 2013v3.1
EIN: 94-1156266
Name: UNIVERSITY OF THE PACIFIC

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	RESEARCH	4,100
CENTRAL AMERICA AND THE CARIBBEAN	0		INVESTMENTS		33,361,148
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	STUDY ABROAD	7,889

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND AND GREENLAND)	0	0	PROGRAM SERVICES	MARKETING & STUDENT RECRUITMENT	2,736
EUROPE (INCLUDING ICELAND AND GREENLAND)	0	0	PROGRAM SERVICES	RESEARCH	7,899
EUROPE (INCLUDING ICELAND AND GREENLAND)	1	5	PROGRAM SERVICES	STUDY ABROAD	219,647

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH AMERICA	0	0	PROGRAM SERVICES	STUDY ABROAD	34,287
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	MARKETING & STUDENT RECRUITMENT	2,500
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	STUDY ABROAD	7,500

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding
Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.
▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
UNIVERSITY OF THE PACIFIC

Employer identification number
94-1156266

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.
Form 990-EZ filers are not required to complete this part.

1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a

☒

Mail solicitations

b

☒

Internet and email solicitations

c

☒

Phone solicitations

d

☒

In-person solicitations

e

☒

Solicitation of non-government grants

f

☒

Solicitation of government grants

g

☒

Special fundraising events

2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

☒ Yes ☐ No

b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1 RUFFALO CODY LLC PO BOX 3018 CEDAR RAPIDS, IA 52406	ALUMNI FUNDRAISING		No	308,866	208,937	99,929
2 THE STOCKADE CONSULTING GROUP INC 650 FRANKLIN ST STE 201 SCHENECTADY, NY 123052168	ALUMNI FUNDRAISING		No	49,062	28,404	20,658
3						
4						
5						
6						
7						
8						
9						
10						
Total ▶				357,928	237,341	120,587

3

List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

AL, CA, CO, FL, GA, HI, ID, IL, IN, IA, KS, MD, MA, MN, MO, NJ, NM, NY, NC, OK, OR, RI, SD, UT, WA, WV, WI

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

			(a) Event #1	(b) Event #2	(c) Other events	(d) Total events	
			<u>ORANGE & BLACK BALL</u> (event type)	<u>MCGEORGE 90TH ANNIV. CELEBRATION</u> (event type)	<u>13</u> (total number)	(add col (a) through col (c))	
Revenue	1	Gross receipts	187,207	67,523	212,445	467,175	
	2	Less Contributions	81,507	31,780	96,301	209,588	
	3	Gross income (line 1 minus line 2)	105,700	35,743	116,144	257,587	
Direct Expenses	4	Cash prizes	29,487	0	5,237	34,724	
	5	Noncash prizes	84,513	0	27,866	112,379	
	6	Rent/facility costs	0	31,492	11,570	43,062	
	7	Food and beverages	30,476	0	36,208	66,684	
	8	Entertainment	1,100	498	0	1,598	
	9	Other direct expenses	26,614	13,980	13,360	53,954	
	10	Direct expense summary Add lines 4 through 9 in column (d) ►					(312,401)
	11	Net income summary Subtract line 10 from line 3, column (d) ►					-54,814

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1	Gross revenue			
	2	Cash prizes			
Direct Expenses	3	Non-cash prizes			
	4	Rent/facility costs			
	5	Other direct expenses . . .			
	6	Volunteer labor <input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7	Direct expense summary Add lines 2 through 5 in column (d) ▶			
	8	Net gaming income summary Subtract line 7 from line 1, column (d) ▶			

9 Enter the state(s) in which the organization operates gaming activities _____

a Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain _____

Does the organization operate gaming activities with nonmembers? ☐ **Yes** ☐ **No**

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ **Yes** ☐ **No**



13 Indicate the percentage of gaming activity operated in		
a The organization's facility	13a	%
b An outside facility	13b	%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name 

Address 

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ **Yes** ☐ **No**

b If "Yes," enter the amount of gaming revenue received by the organization  \$ _____ and the amount of gaming revenue retained by the third party  \$ _____


c If "Yes," enter name and address of the third party

Name 

Address 

16 Gaming manager information

Name 


Gaming manager compensation  \$

Description of services provided 

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ **Yes** ☐ **No**

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year  \$ _____

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
SCHEDULE G, PART I, LINE 2A, DISTINGUISHING PAYMENTS FOR PROFESSIONAL FUNDRAISING SERVICES	RUFFALO CODY LLC PROVIDES MANAGEMENT SERVICES FOR AN ON-CAMPUS PHONATHON PROGRAM AND A MAIL SOLICITATION PROGRAM RUFFALO CODY CHARGES A FLAT PROFESSIONAL FEE AND IS NOT COMPENSATED BASED ON THE AMOUNT OF FUNDS RAISED OR ON A PERCENTAGE BASIS RUFFALO CODY DOES NOT SOLICIT ON BEHALF OF THE UNIVERSITY THE STOCKADE CONSULTING GROUP INC IS A REGISTERED COMMERCIAL FUNDRAISER FOR CHARITABLE PURPOSES IN THE STATE OF CALIFORNIA AND PROVIDES ALUMNI FUNDRAISING SERVICES TO THE UNIVERSITY FINANCIAL REPORTS AND THE STOCKADE GROUP INC ACTIVITIES CONDUCTED ON BEHALF OF THE UNIVERSITY ARE FILED ANNUALLY WITH THE CALIFORNIA STATE ATTORNEY GENERAL'S OFFICE IN ACCORDANCE WITH CHARITABLE SOLICITATION REGULATIONS

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Name of the organization
UNIVERSITY OF THE PACIFIC

Employer identification number
94-1156266

Part I

General Information on Grants and Assistance

1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No

2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance

2

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

3

Enter total number of other organizations listed in the line 1 table

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) DONOR SPONSORED FINANCIAL AID	213	3,537,218	0	N/A	N/A
(2) UNIVERSITY SPONSORED FINANCIAL AID	3567	59,367,948	0	N/A	N/A

Part IV

Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
Schedule I, Part I, Line 2, Procedures for monitoring use of grant funds	FEDERAL AND STATE PROGRAMS ARE ADMINISTERED ACCORDING TO THE LAWS, RULES, STATUTES, AND REGULATIONS AS ISSUED BY THE STATE OF CALIFORNIA AND THE U S DEPARTMENT OF EDUCATION AS WELL AS THE U S DEPARTMENT OF HEALTH AND HUMAN SERVICES UNIVERSITY SCHOLARSHIPS AND GRANTS ARE ADMINISTERED ACCORDING TO THE POLICIES AND PROCEDURES DEVELOPED AND IMPLEMENTED BY THE FINANCIAL AID OFFICE IN SUPPORT OF THE UNIVERSITY'S STRATEGIC ENROLLMENT PLAN THE FINANCIAL AID OFFICE USES THE FREE APPLICATION FOR FEDERAL STUDENT AID (FAFSA) AS WELL AS A NUMBER OF SUPPORTING DOCUMENTS OF VERIFICATION TO DETERMINE A STUDENT'S ELIGIBILITY FOR ALL NEED BASED PROGRAMS IN ADDITION, STUDENTS ARE REVIEWED BASED ON ACADEMIC QUALIFICATIONS AND SPECIAL TALENTS FOR MERIT BASED PROGRAMS SUCH AS MUSIC, ATHLETICS, REGENTS SCHOLARSHIPS AND SIMILAR PROGRAMS POLICIES AND PROCEDURES ENSURE THAT THE DETERMINATION OF AID ELIGIBILITY AND THE SUBSEQUENT DELIVERY OF AID FROM ANY AND ALL PROGRAMS ARE DONE IN A FAIR AND EQUITABLE MANNER AND IN ACCORDANCE WITH THE RULES AND REGULATIONS THAT GOVERN THE INDIVIDUAL PROGRAMS

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.
▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
UNIVERSITY OF THE PACIFIC

Employer identification number
94-1156266

Part I

Questions Regarding Compensation

	Yes	No
<div><div>1a</div><div>Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items</div><div><div><div><input checked="" type="checkbox"/> First-class or charter travel</div><div><input checked="" type="checkbox"/> Travel for companions</div><div><input checked="" type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Discretionary spending account</div></div><div><div><input checked="" type="checkbox"/> Housing allowance or residence for personal use</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input checked="" type="checkbox"/> Health or social club dues or initiation fees</div><div><input checked="" type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div></div></div>		
<div><div>b</div><div>If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain</div></div>	<div>1b</div> Yes	
<div><div>2</div><div>Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?</div></div>	<div>2</div> Yes	
<div><div>3</div><div>Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III</div><div><div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input type="checkbox"/> Form 990 of other organizations</div></div><div><div><input checked="" type="checkbox"/> Written employment contract</div><div><input checked="" type="checkbox"/> Compensation survey or study</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div></div></div>		
<div><div>4</div><div>During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization</div></div>		
<div><div>a</div><div>Receive a severance payment or change-of-control payment?</div></div>	<div>4a</div> Yes	
<div><div>b</div><div>Participate in, or receive payment from, a supplemental nonqualified retirement plan?</div></div>	<div>4b</div>	No
<div><div>c</div><div>Participate in, or receive payment from, an equity-based compensation arrangement?</div><div>If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III</div></div>	<div>4c</div>	No
<div><div></div><div>Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.</div></div>		
<div><div>5</div><div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of</div></div>		
<div><div>a</div><div>The organization?</div></div>	<div>5a</div>	No
<div><div>b</div><div>Any related organization?</div><div>If "Yes," to line 5a or 5b, describe in Part III</div></div>	<div>5b</div>	No
<div><div>6</div><div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of</div></div>		
<div><div>a</div><div>The organization?</div></div>	<div>6a</div>	No
<div><div>b</div><div>Any related organization?</div><div>If "Yes," to line 6a or 6b, describe in Part III</div></div>	<div>6b</div>	No
<div><div>7</div><div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III</div></div>	<div>7</div> Yes	
<div><div>8</div><div>Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III</div></div>	<div>8</div>	No
<div><div>9</div><div>If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?</div></div>	<div>9</div>	

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table								

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
Schedule J, Part I, Line 1a, First-class or charter travel	THE UNIVERSITY DOES NOT PAY THE COST OF FIRST CLASS TRAVEL. WHILE INDIVIDUALS MAY CHOOSE TO TRAVEL FIRST CLASS, THE UNIVERSITY WILL PAY UP TO THE COST OF COACH OR ECONOMY CLASS TRAVEL. ANY EXCEPTIONS TO THIS POLICY REQUIRE THE WRITTEN APPROVAL OF THE PRESIDENT OR VICE PRESIDENT FOR BUSINESS & FINANCE, OBTAINED IN ADVANCE OF TRAVEL. EMPLOYEES ARE PERMITTED TO USE PERSONAL AIRLINE MILES, "POINTS," OR OTHER FOR UPGRADES, HOWEVER, THE UNIVERSITY WILL NOT REIMBURSE EMPLOYEES FOR THE VALUE OF THESE UPGRADES. DURING THE FISCAL YEAR ENDED JUNE 30, 2014, THERE WERE TWO EXCEPTIONS APPROVED GIVEN THE CIRCUMSTANCES WHERE FIRST CLASS FARE WAS THE ONLY AVAILABLE FLIGHT OPTION. THE VALUE OF THE FIRST CLASS TRAVEL WAS CONSIDERED A NECESSARY BUSINESS EXPENSE AND THEREFORE, WAS NOT INCLUDED WITHIN THE EMPLOYEES' W2 FOR THE TWO EXCEPTIONS NOTED.
Schedule J, Part I, Line 1a, Travel for companions	COMPANION TRAVEL IS THE FINANCIAL RESPONSIBILITY OF THE TRAVELER EXCEPT IN CASES WHERE THE PRESENCE OF THE COMPANION IS REQUIRED FOR UNIVERSITY BUSINESS REASONS.
Schedule J, Part I, Line 1a, Tax indemnification and gross-up payments	PRESIDENT EMERITUS DEROSA RECEIVED COMPENSATION TO COVER THE TAXES ASSOCIATED WITH THE RENTAL VALUE OF THE UNIVERSITY OWNED APARTMENT LOCATED IN SAN FRANCISCO WHICH WAS PROVIDED TO PRESIDENT EMERITUS DEROSA FOR THE CONVENIENCE OF THE UNIVERSITY.
Schedule J, Part I, Line 1a, Housing allowance or residence for personal use	THE UNIVERSITY HAS THREE CAMPUSES, LOCATED IN STOCKTON, SACRAMENTO AND SAN FRANCISCO. AT THE MAIN CAMPUS IN STOCKTON AND THE SACRAMENTO CAMPUS, ON-CAMPUS HOUSING IS PROVIDED TO THE PRESIDENT AND DEAN, RESPECTIVELY. FOR STOCKTON AND SACRAMENTO, THE HOUSING QUALIFIES FOR EXCLUSION FROM EMPLOYEE TAXABLE INCOME. AT THE SAN FRANCISCO CAMPUS, A HOUSING ALLOWANCE IS PROVIDED TO THE DEAN IN THE AMOUNT OF \$6,200 PER MONTH, WHICH IS TREATED AS TAXABLE COMPENSATION. AS PART OF PRESIDENT EMERITUS DEROSA'S POST-PRESIDENTIAL DUTIES FOR THE UNIVERSITY, HE IS PROVIDED HOUSING IN SAN FRANCISCO AT A UNIVERSITY OWNED APARTMENT. THE ESTIMATED MARKET VALUE OF THE APARTMENT, INCLUDING FURNISHINGS AND GENERAL MAINTENANCE, IS \$6,200 PER MONTH, AND THIS AMOUNT WAS PAID TO DEROSA IN THE FORM OF A HOUSING ALLOWANCE, WHICH IS FULLY TAXABLE AND INCLUDED IN HIS "OTHER REPORTABLE COMPENSATION" COLUMN OF SCHEDULE J. A HOUSING ALLOWANCE IN THE AMOUNT OF \$3,000 PER MONTH IS PROVIDED TO THE VICE PRESIDENT FOR EXTERNAL RELATIONS AND IS TREATED AS TAXABLE COMPENSATION. THE PROVOST RECEIVED A \$40,000 FORGIVENESS ON A HOUSING LOAN THAT WAS INCLUDED IN HER "OTHER REPORTABLE COMPENSATION" COLUMN OF SCHEDULE J.
Schedule J, Part I, Line 1a, Health or social club dues or initiation fees	SOME UNIVERSITY OFFICERS AND KEY EMPLOYEES HAVE UNIVERSITY PAID MEMBERSHIPS IN SOCIAL, GOLF AND COUNTRY CLUBS TO FACILITATE BUSINESS PURPOSES, INCLUDING DONOR CULTIVATION, NETWORKING AND UNIVERSITY MEETINGS. ANY PERSONAL USE OF SUCH CLUBS IS TAXABLE TO THE EMPLOYEES AND REPORTED AS A PORTION OF THE "OTHER REPORTABLE COMPENSATION" ON SCHEDULE J PART II COLUMN B(III).
Schedule J, Part I, Line 1a, Personal services	THE "OTHER REPORTABLE COMPENSATION" FOR PRESIDENT EIBECK INCLUDES \$9,590 FOR THE VALUE OF ALL HOUSEKEEPING SERVICES PROVIDED BY THE UNIVERSITY AT HER ON-CAMPUS RESIDENCE. HOUSEKEEPING SERVICES FOR THE SACRAMENTO CAMPUS HOME IS PERSONALLY PAID BY THE DEAN.
SCHEDULE J, PART I, LINE 3, METHODS USED TO ESTABLISH COMPENSATION FOR THE PRESIDENT	PLEASE SEE SCHEDULE O FOR THE RESPONSE TO FORM 990, PART VI, SECTION B, LINE 15A.
Schedule J, Part I, Line 4a, Severance or change-of-control payment	THE FOLLOWING EMPLOYEES RECEIVED A SEPARATION PAYMENT: GERALD CAPLAN \$167,468; THOMAS LEACH \$137,557; MALIK RAHMAN \$104,142; GLEN FAIT \$93,000.
Schedule J, Part I, Line 7, Non-fixed payments	PRESIDENT EIBECK RECEIVED A NON-FIXED PAYMENT BASED ON SATISFACTION OF A PERFORMANCE TARGET AT THE BOARD OF REGENT'S DISCRETION.

Additional Data

Software ID: 13000248
Software Version: 2013v3.1
EIN: 94-1156266
Name: UNIVERSITY OF THE PACIFIC

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
PALLAVICINI MARIA PROVOST	(i) (ii)	338,757 0	0 0	69,542 0	43,000 0	645 0	451,944 0	0 0
FERRILLO PATRICK DEAN, DUGONI SCHOOL OF DENTISTRY	(i) (ii)	365,468 0	0 0	81,272 0	25,500 0	5,937 0	478,177 0	0 0
NADERSHAHI NADER EXEC ASSOC DEAN/ASSOC DEAN FOR ACADEMIC AFFAIRS	(i) (ii)	291,860 0	0 0	446 0	25,500 0	16,640 0	334,446 0	0 0
RAHMAN MALIK ASSOCIATE VP, CIO (PARTIAL YEAR)	(i) (ii)	122,198 0	0 0	125,521 0	14,393 0	6,622 0	268,734 0	0 0
INDRESANO A THOMAS PROFESSOR, CHAIR ORAL SURGERY	(i) (ii)	418,368 0	0 0	5,220 0	25,500 0	17,030 0	466,118 0	0 0
LACKEY MARY LOU VP AND SEC TO BD OF REGENTS	(i) (ii)	286,819 0	0 0	13,663 0	42,086 0	600 0	343,168 0	0 0
CAVANAUGH PATRICK VP, BUSINESS & FINANCE (OUTGOING)	(i) (ii)	363,961 0	0 0	26,455 0	43,000 0	13,421 0	446,837 0	0 0
JAIN RAVINDER DEAN, ENGINEERING & COMPUTER SCIENCE (OUTGOING)	(i) (ii)	240,032 0	0 0	25,787 0	25,500 0	12,336 0	303,655 0	0 0
LELAND EDWARD VP, EXTERNAL RELATIONS AND DIRECTOR OF ATHLETICS	(i) (ii)	265,542 0	0 0	65,714 0	43,000 0	12,747 0	387,003 0	0 0
GRIEGO ELIZABETH VP, STUDENT LIFE (OUTGOING)	(i) (ii)	111,623 0	0 0	25,344 0	21,664 0	3,741 0	162,372 0	0 0
YARBOROUGH CRAIG ASSOC DEAN FOR INSTITUTIONAL ADVANCEMENT	(i) (ii)	241,018 0	0 0	1,799 0	25,331 0	17,639 0	285,787 0	0 0
BOYD ROBERT PROFESSOR, CHAIR ORTHODONTICS	(i) (ii)	316,576 0	0 0	2,797 0	25,500 0	17,607 0	362,480 0	0 0
BECK LYNN DEAN, SCHOOL OF EDUCATION	(i) (ii)	167,983 0	0 0	1,119 0	17,166 0	5,988 0	192,256 0	0 0
EIBECK PAMELA PRESIDENT	(i) (ii)	403,659 0	25,000 0	52,855 0	43,000 0	55,735 0	580,249 0	0 0
NATTESTAD ANDERS PROFESSOR OF ORAL SURGERY	(i) (ii)	445,761 0	0 0	760 0	25,500 0	16,852 0	488,873 0	0 0
FAIT GLENN ASSOCIATE DEAN & SPECIAL COUNSEL, MCGEORGE	(i) (ii)	125,040 0	0 0	103,987 0	23,091 0	4,510 0	256,628 0	0 0
RINDSKOPF-PARKER ELIZABETH DEAN, MCGEORGE SCHOOL OF LAW (OUTGOING)	(i) (ii)	160,040 0	0 0	31,591 0	18,232 0	16,737 0	226,600 0	0 0
WEICK CYNTHIA DIRECTOR POWELL SCHOLAR PROGRAM	(i) (ii)	154,074 0	0 0	666 0	15,407 0	180 0	170,327 0	0 0
ATTERBURY GEORGE VP, DEVELOPMENT	(i) (ii)	277,333 0	0 0	22,017 0	43,000 0	16,787 0	359,137 0	0 0
GALE LEWIS DEAN, EBERHARDT SCHOOL OF BUSINESS	(i) (ii)	222,528 0	0 0	815 0	22,930 0	17,659 0	263,932 0	0 0

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
OPPENHEIMER PHILLIP DEAN, PHARMACY & HEALTH SCIENCES	(i) (ii)	237,662 0	0 0	3,178 0	24,571 0	12,557 0	277,968 0	0 0
DEROSA DONALD PRESIDENT EMERITUS	(i) (ii)	48,000 0	0 0	173,754 0	5,810 0	17,374 0	244,938 0	0 0
CAPLAN GERALD PROFESSOR OF LAW	(i) (ii)	137,321 0	0 0	169,397 0	25,500 0	16,930 0	349,148 0	0 0
FRADEN RENA DEAN, COLLEGE OF THE PACIFIC	(i) (ii)	194,553 0	15,000 0	25,040 0	21,223 0	14,009 0	269,825 0	0 0
HOWELL STEVEN DEAN, ENGINEERING & COMPUTER SCIENCE (INCOMING)	(i) (ii)	166,262 0	0 0	925 0	17,187 0	8,849 0	193,223 0	0 0
LEACH THOMAS PROFESSOR OF LAW	(i) (ii)	163,565 0	0 0	139,139 0	25,500 0	17,556 0	345,760 0	0 0
MANILAY BAYANI ASSISTANT VICE PRESIDENT, TREASURY	(i) (ii)	149,836 0	0 0	332 0	15,044 0	1,334 0	166,546 0	0 0
MOOTZ FRANCIS DEAN, MCGEORGE SCHOOL OF LAW (INCOMING)	(i) (ii)	293,496 0	0 0	6,840 0	25,500 0	35,740 0	361,576 0	0 0
MULLEN KENNETH VP, BUSINESS & FINANCE (INCOMING)	(i) (ii)	145,477 0	0 0	431 0	15,285 0	17,566 0	178,759 0	0 0
ONGARO GIULIO DEAN, CONSERVATORY OF MUSIC	(i) (ii)	158,066 0	0 0	1,034 0	16,124 0	16,721 0	191,945 0	0 0

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
UNIVERSITY OF THE PACIFIC

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Employer identification number
94-1156266

Part I

Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY	52-1705592	130175R46	08-12-2004	11,703,853	SEE PART VI		X		X		X
B	CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY	52-1705592	130178DT0	06-01-2006	77,180,000	SEE PART VI		X		X		X
C	CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY	52-1705592	130178TA4	05-28-2009	14,934,717	SEE PART VI		X		X		X
D	CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY	52-1705592	130178J80	01-26-2012	37,987,510	SEE PART VI		X		X		X

Part II

Proceeds

		A		B		C		D	
1	Amount of bonds retired	0		51,725,000		0		20,715,000	
2	Amount of bonds legally defeased	0		0		0		0	
3	Total proceeds of issue	11,997,251		78,477,762		14,966,760		38,011,671	
4	Gross proceeds in reserve funds	0		0		0		0	
5	Capitalized interest from proceeds	0		0		0		204,276	
6	Proceeds in refunding escrows	0		53,529,861		0		19,974,981	
7	Issuance costs from proceeds	234,077		1,070,356		298,693		759,750	
8	Credit enhancement from proceeds	0		0		0		0	
9	Working capital expenditures from proceeds	0		0		0		0	
10	Capital expenditures from proceeds	11,763,174		25,297,563		14,668,066		15,500,000	
11	Other spent proceeds	0		0		0		0	
12	Other unspent proceeds	0		0		0		0	
13	Year of substantial completion	2008		2008		2010		2014	
14	Were the bonds issued as part of a current refunding issue?	Yes	No	Yes	No	Yes	No	Yes	No
			X		X		X	X	
15	Were the bonds issued as part of an advance refunding issue?		X	X			X		X
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III

Private Business Use

		A		B		C		D	
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?	Yes	No	Yes	No	Yes	No	Yes	No
			X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X			X

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	X		X			X		X
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X					
c	Are there any research agreements that may result in private business use of bond-financed property?		X	X		X			X
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?			X		X			
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	1 450 %		0 %		0 960 %		0 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 290 %		0 %		0 %		0 %	
6	Total of lines 4 and 5	1 740 %		0 %		0 960 %		0 %	
7	Does the bond issue meet the private security or payment test?		X		X		X		X
8a	Has there been a sale or disposition of any of the bond financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2?								
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?	X		X		X		X	

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T?		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X		X		X
b	Exception to rebate?	X			X	X		X	
c	No rebate due?		X		X		X		X
	If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X		X		X		X
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider								
c	Term of hedge			0 0		0 0		0 0	
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV Arbitrage (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b	Name of provider								
c	Term of GIC	0 0						0 0	
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?		X		X		X		X
6	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7	Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
SCHEDULE K, PART I, COLUMN (F), SUPPLEMENTAL INFORMATION	PART I, COLUMN (F) - DESCRIPTION OF PURPOSE SERIES 2004 (ISSUE DATE AUGUST 12, 2004) RENOVATION OF STUDENT RESIDENTIAL FACILITIES, FIRE SAFETY UPGRADES SERIES 2006 (ISSUE DATE JUNE 1, 2006) ADVANCE REFUND OF SERIES 2000 AND 2002 BOND ISSUANCES, CONSTRUCTION OF DONALD V DEROSA AND KAREN M DEROSA UNIVERSITY CENTER, CONSTRUCTION OF BIOLOGICAL SCIENCES CENTER, CONSTRUCTION AND EQUIPPING OF OTHER FACILITY ADDITIONS AND RENOVATION, CONSOLIDATION AND EXPANSION OF LAW LIBRARY THE REFUNDED SERIES 2000 BOND WAS ISSUED ON JUNE 6, 2000 THE REFUNDED SERIES 2002 BOND WAS ISSUED ON MAY 8, 2002 SERIES 2009 (ISSUE DATE MAY 28, 2009) CONSTRUCTION OF JOHN T CHAMBERS ENGINEERING TECHNOLOGY CENTER, CONSTRUCTION OF JANSSEN-LAGORIO GYMNASIUM, RELOCATION OF DATA CENTER, UPGRADE OF INFRASTRUCTURE IMPROVEMENTS SERIES 2012A (ISSUE DATE JANUARY 26, 2012) TO REFUND ALL OF THE SERIES 1998 AND 2000 BOND ISSUANCES AND FINANCE THE COST OF THE ACQUISITION, CONSTRUCTION, EXPANSION, REPLACEMENT, RENOVATION, IMPROVEMENT AND/OR EQUIPPING OF CERTAIN EDUCATIONAL FACILITIES OF PACIFIC, FUND CAPITALIZED INTEREST ON A PORTION OF THE BONDS, AND PAY CERTAIN COST IN CONNECTION WITH THE ISSUANCE OF THE BONDS THE REFUNDED SERIES 1998 AND 2000 WAS ISSUED ON FEBRUARY 25, 2012 SERIES 2014 (ISSUE DATE MAY 12, 2014) TO PAY OFF A PRIOR LINE OF CREDIT LOAN WITH WELLS FARGO BANK AND TO PAY FOR THE COSTS OF ACQUIRING AND DEVELOPING A SEVEN-STORY BUILDING FOR THE SAN FRANCISCO CAMPUS AT 155 FIFTH STREET

Return Reference	Explanation
SCHEDULE K, PART II, LINE 3, SUPPLEMENTAL INFORMATION	PART II, LINE 3, COLUMNS A-D - TOTAL PROCEEDS OF ISSUE PROCEEDS ARE NOT IDENTICAL TO THE ISSUE PRICE LISTED IN PART I COLUMN (E), THE DIFFERENCE IS DUE TO INVESTMENT EARNINGS BEING INCLUDED IN THE "TOTAL PROCEEDS OF ISSUE" AMOUNTS

Return Reference	Explanation
SCHEDULE K, PART III, LINE 9, WRITTEN PROCEDURES	AS NOTED IN SCHEDULE K, PART III, LINE 9, THE UNIVERSITY HAS ADOPTED MANAGEMENT PRACTICES AND PROCEDURES TO ENSURE POST-ISSUANCE COMPLIANCE OF ITS TAX-EXEMPT BOND LIABILITIES THE UNIVERSITY'S WRITTEN PROCEDURES HAVE BEEN UPDATED TO ENSURE THAT ANY VIOLATIONS OF FEDERAL TAX REQUIREMENTS ARE TIMELY IDENTIFIED AND CORRECTED THROUGH THE VOLUNTARY CLOSING AGREEMENT PROGRAM IF SELF-REMEDIATION IS NOT AVAILABLE UNDER APPLICABLE REGULATIONS

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
UNIVERSITY OF THE PACIFIC

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Employer identification number
94-1156266

Part I Bond Issues

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY	52-1705592		05-12-2014	36,500,000	SEE PART VI		X		X		X

Part II Proceeds

		A		B		C		D	
1	Amount of bonds retired	36,500,000							
2	Amount of bonds legally defeased	0							
3	Total proceeds of issue	36,500,000							
4	Gross proceeds in reserve funds	0							
5	Capitalized interest from proceeds	0							
6	Proceeds in refunding escrows	0							
7	Issuance costs from proceeds	251,792							
8	Credit enhancement from proceeds	0							
9	Working capital expenditures from proceeds	0							
10	Capital expenditures from proceeds	36,248,208							
11	Other spent proceeds	0							
12	Other unspent proceeds	0							
13	Year of substantial completion	2014							
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?		X						
15	Were the bonds issued as part of an advance refunding issue?		X						
16	Has the final allocation of proceeds been made?	X							
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X							

Part III Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X						
2	Are there any lease arrangements that may result in private business use of bond-financed property?		X						

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?		X						
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?								
c	Are there any research agreements that may result in private business use of bond-financed property?		X						
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	0 %							
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶	0 %							
6	Total of lines 4 and 5	0 %							
7	Does the bond issue meet the private security or payment test?		X						
8a	Has there been a sale or disposition of any of the bond financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X						
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X							

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T?	X							
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X						
b	Exception to rebate?		X						
c	No rebate due?		X						
	If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X						
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X						
b	Name of provider								
c	Term of hedge	0 0							
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV

Arbitrage (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X						
b	Name of provider								
c	Term of GIC	0 0							
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X						
7	Has the organization established written procedures to monitor the requirements of section 148?	X							

Part V

Procedures To Undertake Corrective Action

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?		X							

Part VI

Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Schedule L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons
▶ Complete if the organization answered
"Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c,
or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.
▶ Information about Schedule L (Form 990 or 990-EZ) and its instructions is at
www.irs.gov/form990.

OMB No 1545-0047
2013
Open to Public Inspection

Name of the organization
UNIVERSITY OF THE PACIFIC

Employer identification number
94-1156266

Part I Excess Benefit Transactions (section 501(c)(3) and section 501(c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1 (a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
			Yes	No

2 Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958 ▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
(1) MARIA PALLAVICINI	EMPLOYEE	HOME LOAN		X	400,000	252,899		No	Yes		Yes	
Total ▶ \$						252,899						

Part III Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance
(1) TUITION ASSISTANCE	N/A	87,337		

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) JOSE HERNANDEZ	BOARD MEMBER	26,902	SEE SUPPLEMENTAL INFORMATION		No
(2) CYNTHIA WEICK	FORMER KEY EMPLOYEE	123,527	SEE SUPPLEMENTAL INFORMATION		No
(3) DONALD DEROSA	FORMER OFFICER	12,000	SEE SUPPLEMENTAL INFORMATION		No
(4) RONALD REDMOND	BOARD MEMBER	99,554	SEE SUPPLEMENTAL INFORMATION		No

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions)

Return Reference	Explanation
SCHEDULE L, PART II, LOANS TO AND/OR FROM INTERESTED PERSONS	THE HOME LOAN PROVIDED TO THE PROVOST IS FOR A TERM OF 30 YEARS WITH A THEN MARKET INTEREST RATE OF 4% THE AMOUNT SHOWN IN COLUMN (D) IS THE BALANCE DUE AT THE END OF THE UNIVERSITY'S TAX YEAR
SCHEDULE L, PART III, GRANTS OR ASSISTANCE BENEFITING INTERESTED PERSONS	PER THE 990 INSTRUCTIONS, THE UNIVERSITY IS NOT REQUIRED TO IDENTIFY THE INTERESTED PERSONS WHO RECEIVED SCHOLARSHIPS, FELLOWSHIPS, AND SIMILAR FINANCIAL ASSISTANCE INSTEAD, THE UNIVERSITY MUST GROUP EACH TYPE OF ASSISTANCE PROVIDED TO INTERESTED PERSONS THIS IS DONE IN ORDER TO PROTECT THE IDENTITY OF THE STUDENTS
SCHEDULE L, PART IV, BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS	JOSE HERNANDEZ, A BOARD MEMBER, IS RELATED TO LETICIA CORONA, HIS SISTER, WHO IS AN EMPLOYEE AT THE UNIVERSITY'S MAIN CAMPUS IN STOCKTON THE AMOUNT SHOWN REPRESENTS IRS FORM W-2 BOX 5 WAGES FOR CALENDAR YEAR 2013 FOR LETICIA CORONA CYNTHIA WEICK, DIRECTOR OF POWELL SCHOLARS PROGRAM, A FORMER KEY EMPLOYEE, IS RELATED TO BRIAN WEICK, HER HUSBAND, WHO IS ALSO AN EMPLOYEE AT THE UNIVERSITY'S MAIN CAMPUS IN STOCKTON BRIAN WEICK REPORTS DIRECTLY TO THE DEAN OF ENGINEERING THE AMOUNT SHOWN REPRESENTS IRS FORM W-2 BOX 5 WAGES FOR CALENDAR YEAR 2013 FOR BRIAN WEICK DONALD DEROSA, PRESIDENT EMERITUS, A FORMER OFFICER, IS RELATED TO KAREN DEROSA, HIS WIFE, WHO IS ALSO AN EMPLOYEE AT THE UNIVERSITY THE AMOUNT SHOWN REPRESENTS IRS FORM W-2 BOX 5 WAGES FOR CALENDAR YEAR 2013 FOR KAREN DEROSA RON REDMOND, A BOARD MEMBER, IS RELATED TO SUZANNE SCHWARTZ, HIS DAUGHTER, WHO WAS PAID AS AN INDEPENDENT CONTRACTOR FOR PROVIDING SIGNAGE AT THE NEW SAN FRANCISCO BUILDING THE AMOUNT SHOWN REPRESENTS THE AMOUNT PAID BY THE UNIVERSITY FOR CALENDAR YEAR 2013

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

▶Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.

▶Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990.

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Employer identification number
94-1156266

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art	X	2	5,775	MARKET VALUE
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods	X		369	MARKET VALUE
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	35	547,013	MARKET VALUE
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous	X	1	5,112	MARKET VALUE
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies	X	8	302,711	MARKET VALUE
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (LABORATORY EQUIPMENT)	X	2	14,464	MARKET VALUE
26 Other ▶ (LOCKER ROOM CABINETS)	X	1	1,898	MARKET VALUE
27 Other ▶ (EDUCATION EQUIPMENT)	X	3	321,932	MARKET VALUE
28 Other ▶ ()				

29

Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

1

30a

During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

30a

No

b

If "Yes," describe the arrangement in Part II

31

Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

31

Yes

32a

Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

32a

No

b

If "Yes," describe in Part II

33

If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

Part II

Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
Schedule M, Part I, Explanations of reporting method for number of contributions	ART - WORKS OF ART NUMBER OF CONTRIBUTIONS CLOTHING AND HOUSEHOLD GOODS NUMBER OR CONTRIBUTIONS SECURITIES - PUBLICLY TRADED NUMBER OF CONTRIBUTIONS SECURITIES - MISCELLANEOUS NUMBER OF CONTRIBUTIONS DRUGS AND MEDICAL SUPPLIES NUMBER OF CONTRIBUTIONS OTHER LAB EQUIPMENT - NUMBER OF CONTRIBUTIONS OTHER LOCKER ROOM CABINETS - NUMBER OF CONTRIBUTIONS OTHER EDUCATION EQUIPMENT - NUMBER OF CONTRIBUTIONS
Schedule M, part I, column (b), Line 1, Number of contributions or items contributed	NUMBER OF CONTRIBUTIONS
Schedule M, part I, column (b), Line 5, Number of contributions or items contributed	NUMBER OR CONTRIBUTIONS
Schedule M, part I, column (b), Line 9, Number of contributions or items contributed	NUMBER OF CONTRIBUTIONS
Schedule M, part I, column (b), Line 12, Number of contributions or items contributed	NUMBER OF CONTRIBUTIONS

SCHEDULE O

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.
▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at
www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Name of the organization
UNIVERSITY OF THE PACIFIC

Employer identification number
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Return Reference	Explanation
FORM 990, PART I, LINE 6, TOTAL NUMBER OF VOLUNTEERS	THE UNIVERSITY IS FORTUNATE TO BENEFIT FROM THE SERVICES OF VOLUNTEERS ACROSS ITS NUMEROUS SCHOOLS, DEPARTMENTS, AND PROGRAMS, BUT THE UNIVERSITY DOES NOT FORMALLY TRACK THIS POPULATION

Return Reference	Explanation
FORM 990, PART IV, LINE 11B, INVESTMENTS - OTHER SECURITIES 5% OR MORE OF TOTAL ASSETS	THE INCREASE WAS DUE TO ADDITIONAL INVESTMENTS OF \$20MM IN HEDGE FUNDS, DUE TO A REBALANCE OF THE GENERAL ENDOWMENT THE ADDITIONAL POOL OF POWELL FUNDS HAD NO BEARING ON THE HEDGE FUND CONTRIBUTIONS

Return Reference	Explanation
FORM 990, PART VI, LINE 1A, EXECUTIVE COMMITTEE	THE EXECUTIVE COMMITTEE IS EMPOWERED TO ACT FOR THE BOARD BETWEEN REGULAR BOARD MEETINGS ON ALL MATTERS EXCEPT THE FOLLOWING, WHICH SHALL BE RESERVED FOR THE BOARD (I) PRESIDENTIAL SELECTION AND TERMINATION, (II) BOARD MEMBER AND BOARD OFFICER ELECTION, (III) CHANGES IN MISSION AND PURPOSES OF THE INSTITUTION, (IV) AMENDMENTS TO THE ARTICLES OF INCORPORATION AND BYLAWS, (V) INCURRENCE OF CORPORATE INDEBTNESS, (VI) ACQUISITION, SALE AND OTHER DISPOSITION OF REAL ESTATE, EXCEPT THE ACQUISITION, SALE OR OTHER DISPOSITION OF REAL ESTATE WHICH MEETS CERTAIN CONDITIONS SET FORTH IN BOARD POLICY, (VII) ADOPTION OF THE ANNUAL BUDGET, AND (VIII) CONFERRAL OF DEGREES IN ADDITION TO ITS AUTHORITY TO TAKE ACTION ON EMERGENCY MATTERS THAT CANNOT OR SHOULD NOT BE DEFERRED TO THE NEXT SCHEDULED MEETING OF THE BOARD, THE EXECUTIVE COMMITTEE SHALL (I) OVERSEE THE WORK OF THE BOARD COMMITTEES, (II) PERIODICALLY REVIEW THE BYLAWS AND RECOMMEND ANY APPROPRIATE CHANGES TO THE BOARD, AND (III) SUPPORT THE PRESIDENT, AND ANNUALLY EVALUATE HIS OR HER PERFORMANCE, COMPENSATION AND CONDITIONS OF EMPLOYMENT

Return Reference	Explanation
Form 990, Part VI, Sec A, Line 4, Significant changes to organizational documents	IN OCTOBER 2013, THE BOARD OF REGENTS APPROVED AMENDED AND RESTATED BY LAWS FOR THE UNIVERSITY. THE FINAL AMENDED AND RESTATED BY LAWS ARE A RESULT OF THE BOARD'S EFFORTS TO ENSURE THAT THE BYLAWS COMPORT WITH THE BEST PRACTICES REGARDING GOVERNANCE OF A NONPROFIT PUBLIC BENEFIT CORPORATION AND A TAX-EXEMPT PUBLIC CHARITY. THE REVIEW ALSO INVOLVED MAKING SURE THE BYLAWS WERE CONSISTENT WITH THE APPLICABLE PROVISIONS OF THE CALIFORNIA CORPORATIONS CODE INCLUDING ANY CHANGES TO THE CORPORATIONS CODE SINCE THE LAST TIME THE BYLAWS WERE REVIEWED AND UPDATED. THE AMENDMENTS MADE TO THE BYLAWS DID NOT INVOLVE ANY CHANGES TO THE UNIVERSITY'S NAME, EXEMPT PURPOSES, OR MISSION, THE QUALIFICATIONS, AUTHORITY, OR DUTIES OF THE MEMBERS OF THE BOARD OF REGENTS, THE DISTRIBUTION OF ASSETS UPON DISSOLUTION, THE PROVISIONS TO AMEND THE BYLAWS OR THE UNIVERSITY'S ARTICLES OF INCORPORATION, OR THE QUORUM, VOTING RIGHTS, OR VOTING APPROVAL REQUIREMENTS OF THE MEMBERS OF THE BOARD OF REGENTS.

Return Reference	Explanation
Form 990, Part VI, Sec B, Line 11b, Review of form 990 by governing body	THE FULL BOARD OF REGENTS OF THE UNIVERSITY ANNUALLY REVIEWS THE IRS 990 PRIOR TO FILING USING THE FOLLOWING PROCESS REVISED BY THE BOARD AUDIT COMMITTEE IN OCTOBER OF 2011 - A DRAFT OF THE RETURN IS ELECTRONICALLY SUBMITTED TO THE BOARD AUDIT COMMITTEE FOR REVIEW THE AUDIT COMMITTEE CHAIR THEN SENDS COMMENTS AND QUESTIONS TO THE CONTROLLER FOR RESOLUTION - THE CONTROLLER SUMMARIZES THE AUDIT COMMITTEE'S QUESTIONS IN WRITING AND SUBMITS THE EXPLANATIONS AND A DRAFT OF THE RETURN TO THE FULL BOARD FOR ANY FURTHER COMMENT - BOARD MEMBERS SEND COMMENTS AND QUESTIONS TO THE AUDIT COMMITTEE CHAIR THE CHAIR FORWARDS QUESTIONS TO THE CONTROLLER FOR RESOLUTION - THE CONTROLLER SUMMARIZES THE BOARD'S QUESTIONS IN WRITING AND SUBMITS THE EXPLANATIONS TO THE AUDIT COMMITTEE CHAIR FOR ANY FURTHER COMMENT - THE RETURN IS FINALIZED AND FILED WITH THE IRS

Return Reference	Explanation
Form 990, Part VI, Sec B, Line 12c, Conflict of interest policy	<p>THE UNIVERSITY REGULARLY AND CONSISTENTLY MONITORS AND ENFORCES COMPLIANCE WITH ITS WRITTEN CONFLICT OF INTEREST POLICY. EACH YEAR, ALL BOARD OF REGENTS MEMBERS AND KEY EMPLOYEES IDENTIFIED BY THE BOARD'S AUDIT COMMITTEE, INCLUSIVE OF THOSE REPORTED AS BOARD MEMBERS, OFFICERS, KEY EMPLOYEES, HIGHLY COMPENSATED EMPLOYEES AND FORMER KEY AND/OR HIGHLY COMPENSATED EMPLOYEES IN THIS IRS 990, ARE REQUIRED TO COMPLETE AND SIGN A CONFLICT OF INTEREST SURVEY. SURVEYS ARE SUBMITTED CONFIDENTIALLY TO THE DIRECTOR OF INTERNAL AUDIT FOR REVIEW. ALL INDIVIDUALS SURVEYED ARE REQUIRED TO SIGN AN ANNUAL DISCLOSURE OF ANY DIRECT OR FIDUCIARY RELATIONSHIPS THAT THEY (OR MEMBERS OF THEIR FAMILY) MAINTAIN WITH ORGANIZATIONS THAT DO BUSINESS WITH THE UNIVERSITY WHICH COULD BE REASONABLY CONSTRUED TO AFFECT THEIR INDEPENDENT, UNBIASED JUDGMENT IN LIGHT OF THEIR DECISION-MAKING AUTHORITY OR RESPONSIBILITY. THESE INDIVIDUALS ("COVERED PERSONS") AFFIRM THEY - HAVE RECEIVED A COPY OF THE CONFLICT OF INTEREST POLICY, - HAVE READ AND UNDERSTAND THE POLICY, - AGREE TO COMPLY WITH THE POLICY AND - HAVE DISCLOSED ANY DIRECT OR INDIRECT FINANCIAL INTEREST RELATIONSHIP. ANY POTENTIAL CONFLICTS ARE ADDRESSED THROUGH FURTHER DISCUSSION WITH THE RESPONDENT AND RESOLVED AND DISCLOSED AS APPROPRIATE. IF THE DIRECTOR OF INTERNAL AUDIT HAS REASONABLE CAUSE TO BELIEVE A COVERED PERSON HAS FAILED TO DISCLOSE ACTUAL OR POSSIBLE CONFLICTS OF INTERESTS, HE OR SHE SHALL INFORM THE PERSON OF THE BASIS FOR SUCH BELIEF AND ALLOW THE COVERED PERSON AN OPPORTUNITY TO EXPLAIN THE ALLEGED FAILURE TO DISCLOSE. COVERED PERSONS WHO HAVE DECLARED OR BEEN FOUND TO HAVE A CONFLICT OF INTEREST MUST REFRAIN FROM PARTICIPATION IN THE CONSIDERATION OF PROPOSED TRANSACTIONS, UNLESS FOR SPECIAL REASONS THE BOARD OR ADMINISTRATION REQUESTS CLARIFYING INFORMATION OR INTERPRETATION. PERSONS WITH CONFLICTS MAY NOT VOTE OR BE PRESENT AT THE TIME OF A VOTE. IF AFTER SUCH NOTICE AND OPPORTUNITY TO DISCLOSE IS PROVIDED, THE CHAIR OF THE AUDIT COMMITTEE DETERMINES THAT A FAILURE TO MAKE THE REQUIRED DISCLOSURE CONTINUES, THE MATTER IS REFERRED TO THE AUDIT COMMITTEE OF THE BOARD OF REGENTS, WHO REVIEW THE MATTER AND IF IT IS DETERMINED THAT THERE IS A CONFLICT REFERS THE MATTER TO THE FULL BOARD OF REGENTS, WHICH TAKES THE NECESSARY ACTION TO MITIGATE THE CONFLICT TO PROTECT THE INTEREST OF THE UNIVERSITY. IN ADDITION, THE UNIVERSITY SEPARATELY SURVEYS ALL BOARD MEMBERS, OFFICERS, KEY EMPLOYEES, HIGHLY COMPENSATED EMPLOYEES AND FORMER KEY AND/OR HIGHLY COMPENSATED EMPLOYEES AS PART OF THE ANNUAL IRS 990 REVIEW PROCESS TO INFORM THE ANSWERS TO CONFLICT OF INTEREST AND GOVERNANCE.</p>

Return Reference	Explanation
Form 990, Part VI, Sec B, Line 15a, Process to establish compensation of top management official	THE UNIVERSITY'S BOARD OF REGENTS IS RESPONSIBLE FOR SETTING THE PRESIDENT'S COMPENSATION. EACH YEAR, THE BOARD'S EXECUTIVE COMMITTEE APPOINTS A COMPENSATION COMMITTEE COMPOSED OF THREE TO FOUR NON-EMPLOYEE MEMBERS OF THE BOARD TO STUDY AND MAKE PRESIDENTIAL COMPENSATION RECOMMENDATIONS TO THE EXECUTIVE COMMITTEE. IN ACCORDANCE WITH THE BYLAWS (ARTICLE IV), THE EXECUTIVE COMMITTEE IN TURN REVIEWS THE RECOMMENDATIONS, AND APPROVES THE COMPENSATION PACKAGE FOR THE PRESIDENT. THE COMPENSATION COMMITTEE BASES ITS RECOMMENDATIONS ON THE FOLLOWING CONSULTATIONS WITH INDEPENDENT COMPENSATION CONSULTANTS, STUDIES OF THE ANNUAL SALARIES OF PRESIDENTS OF COMPARABLE INSTITUTIONS AS REPORTED IN THE COMPENSATION SURVEY BY THE ASSOCIATION OF INDEPENDENT CALIFORNIA COLLEGES AND UNIVERSITIES (AICCU), AND STUDIES OF THE ANNUAL SALARIES OF PRESIDENTS OF COMPARABLE INSTITUTIONS AS REPORTED IN THE COMPENSATION SURVEYS BY THE COLLEGE AND UNIVERSITY PERSONNEL ADMINISTRATORS (CUPA). THE EXECUTIVE COMMITTEE MINUTES DOCUMENT THE APPROVAL OF THE COMPENSATION FOR EACH YEAR.

Return Reference	Explanation
Form 990, Part VI, Sec B, Line 15b, Process to establish compensation of other employees	THE BOARD OF REGENTS IS RESPONSIBLE FOR ESTABLISHING THE CONDITIONS OF EMPLOYMENT FOR OTHER KEY INSTITUTIONAL OFFICERS WHO SERVE UNDER THE DIRECTION OF THE PRESIDENT, INCLUDING THE PROVOST AND THE VICE PRESIDENTS. THE PRESIDENT EVALUATES AND RECOMMENDS COMPENSATION AND BENEFITS FOR EACH OFFICER. EACH YEAR, THE PRESIDENT REVIEWS THE MOST RECENT ANNUAL COMPENSATION SURVEYS PREPARED BY THE ASSOCIATION OF CALIFORNIA COLLEGES AND UNIVERSITIES (AICCU) AND THE COLLEGE AND UNIVERSITY PERSONNEL ADMINISTRATORS (CUPA) IN ORDER TO ESTABLISH COMPARABLE RATES OF PAY FOR SIMILARLY-SIZED PRIVATE DOCTORAL INSTITUTIONS. COMPENSATION FOR OTHER KEY EMPLOYEES IS ESTABLISHED BY THE PROVOST OR VICE PRESIDENT WITH OVERSIGHT RESPONSIBILITY FOR THE RELATED SCHOOL OR DIVISION, USING MARKET INFORMATION FROM AICCU, CUPA, AND OTHER SOURCES RELEVANT TO THE RESPONSIBILITIES OF THE SCHOOL OR DIVISION. KEY EMPLOYEE POSITION PERFORMANCE REVIEWS, ALONG WITH ANY MERIT AND EQUITY SALARY ADJUSTMENTS, WERE COMPLETED DURING THE FISCAL YEAR ENDED 6/30/14.

Return Reference	Explanation
Form 990, Part VI, Sec C, Line 19, Required documents available to the public	THE UNIVERSITY MAKES ITS CONFLICT OF INTEREST POLICY AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC THROUGH POSTING ON THE UNIVERSITY'S WEBSITE

Return Reference	Explanation
FORM 990, PART VII, SECTION A, LINE 1A, COLUMN (B), AVERAGE HOURS PER WEEK	THE UNIVERSITY DOES NOT TRACK HOURS WORKED BY TRUSTEES, OFFICERS, KEY EMPLOYEES, AND HIGHEST COMPENSATED EMPLOYEES. FULL-TIME EXEMPT EMPLOYEES OF THE UNIVERSITY ARE EXPECTED TO WORK NO LESS THAN 40 HOURS PER WEEK. AMOUNTS PROVIDED IN PART VII ARE BASED UPON UNIVERSITY ESTIMATES.

Return Reference	Explanation
Form 990 , Part XI, Line 9, Other changes in net assets or fund balances	ACTUARIAL GAIN ON TRUST & ANNUITY - 702414,

Return Reference	Explanation
Schedule M, part I, column (b), Line 20, Number of contributions or items contributed	NUMBER OF CONTRIBUTIONS

Return Reference	Explanation
Schedule M, part I, column (b), Line other, Number of contributions or items contributed	OTHER=LABORATORY EQUIPMENT LAB EQUIPMENT - NUMBER OF CONTRIBUTIONS

Return Reference	Explanation
Schedule M, part I, column (b), Line other, Number of contributions or items contributed	OTHER=LOCKER ROOM CABINETS LOCKER ROOM CABINETS - NUMBER OF CONTRIBUTIONS

Return Reference	Explanation
Schedule M, part I, column (b), Line other, Number of contributions or items contributed	OTHER=EDUCATION EQUIPMENT EDUCATION EQUIPMENT - NUMBER OF CONTRIBUTIONS

Return Reference	Explanation
Schedule M, part I, column (b), Line 1, Number of contributions or items contributed	NUMBER OF CONTRIBUTIONS

Return Reference	Explanation
Schedule M, part I, column (b), Line 5, Number of contributions or items contributed	NUMBER OR CONTRIBUTIONS

Return Reference	Explanation
Schedule M, part I, column (b), Line 9, Number of contributions or items contributed	NUMBER OF CONTRIBUTIONS

Return Reference	Explanation
Schedule M, part I, column (b), Line 12, Number of contributions or items contributed	NUMBER OF CONTRIBUTIONS

Return Reference	Explanation
Schedule M, part I, column (b), Line 20, Number of contributions or items contributed	NUMBER OF CONTRIBUTIONS

Return Reference	Explanation
Schedule M, part I, column (b), Line other, Number of contributions or items contributed	OTHER=LABORATORY EQUIPMENT LAB EQUIPMENT - NUMBER OF CONTRIBUTIONS

Return Reference	Explanation
Schedule M, part I, column (b), Line other, Number of contributions or items contributed	OTHER=LOCKER ROOM CABINETS LOCKER ROOM CABINETS - NUMBER OF CONTRIBUTIONS

Return Reference	Explanation
Schedule M, part I, column (b), Line other, Number of contributions or items contributed	OTHER=EDUCATION EQUIPMENT EDUCATION EQUIPMENT - NUMBER OF CONTRIBUTIONS