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Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter Social Security numbers on this form as it may be made public By law, the IRS generally cannot redact the information on the form

▶ Information about Form 990 and its instructions is at *www.IRS.gov/form990*

OMB No 1545-0047

2013

Open to Public Inspection

A For the 2013 calendar year, or tax year beginning 07-01-2013 , 2013, and ending 06-30-2014

B Check if applicable
☑ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organization
NATIONAL ASSOCIATION OF CHARTER SCHOOL AUTHORIZERS

Doing Business As

Number and street (or P O box if mail is not delivered to street address) | Room/suite
105 WEST ADAMS STREET NO 1900

City or town, state or province, country, and ZIP or foreign postal code
CHICAGO, IL 60603

D Employer identification number
84-1553195

E Telephone number
(312) 376-2300

G Gross receipts $ 7,807,529

F Name and address of principal officer
GREG RICHMOND
105 WEST ADAMS STREET NO 1900
CHICAGO, IL 60603

H(a) Is this a group return for subordinates? ☐ Yes ☑ No

H(b) Are all subordinates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW QUALITYCHARTERS ORG

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 2000 | **M** State of legal domicile CO

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
PROMOTE ESTABLISHMENT & OPERATION OF QUALITY CHARTER SCHOOLS THROUGH OVERSIGHT IN THE PUBLIC INTEREST

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	9
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	9
5	Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	39
6	Total number of volunteers (estimate if necessary)	6	9
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	8,275,463	6,380,541
	9	Program service revenue (Part VIII, line 2g)	1,959,855	1,294,493
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	4,735	-233,063
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	2,674	113,174
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	10,242,727	7,555,145
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	525,719	685,238
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	3,226,491	3,635,635
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0	0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶158,873		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	3,435,516	2,854,419
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	7,187,726	7,175,292
	19	Revenue less expenses Subtract line 18 from line 12	3,055,001	379,853

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	9,637,467	9,760,993
	21	Total liabilities (Part X, line 26)	1,022,156	765,829
	22	Net assets or fund balances Subtract line 21 from line 20	8,615,311	8,995,164

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

****** | 2014-11-04
Signature of officer | Date

GREG RICHMOND CEO
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
ANDREW T TWARDOWSKI CPA				P00377357
Firm's name ▶ SIKICH LLP			Firm's EIN ▶ 36-3168081	
Firm's address ▶ 1415 W DIEHL RD SUITE 400 NAPERVILLE, IL 605632349			Phone no (630) 566-8400	

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III . . . . ☑

1 Briefly describe the organization's mission

TO PROMOTE THE ESTABLISHMENT AND OPERATION OF QUALITY CHARTER SCHOOLS THROUGH OVERSIGHT IN THE PUBLIC INTEREST IN ALIGNMENT WITH NACSAS MISSION STATEMENT, THE INTENDED IMPACT OF PROGRAMMING AND ACTIVITIES IS TO DEVELOP QUALITY AUTHORIZING ENVIRONMENTS THAT LEAD TO A GREATER NUMBER OF QUALITY CHARTER SCHOOLS WORKING CLOSELY WITH OUR AUTHORIZER MEMBERS, NACSA DEVELOPED AND CONTINUES TO MAINTAIN PRINCIPLES & STANDARDS THAT DEFINE PROFESSIONAL PRACTICE FOR QUALITY AUTHORIZING ACROSS AMERICA NACSA WORKS WITH LOCAL EXPERTS TO CREATE THE CONDITIONS NEEDED FOR QUALITY SCHOOLS TO THRIVE SINCE OUR FOUNDING IN 2000, WE HAVE ATTRACTED THE NATIONS STRONGEST AUTHORIZERS, OVERSEEING THE MAJORITY OF CHARTER SCHOOLS IN OPERATION NACSA PROVIDES TRAINING, CONSULTATION AND POLICY GUIDANCE TO AUTHORIZERS AND OTHERS INTERESTED IN USING PUBLIC CHARTER SCHOOLS TO IMPROVE STUDENT OUTCOMES

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 2,092,142 including grants of $) (Revenue $ 1,053,050)

TECHNICAL SUPPORT TECHNICAL SUPPORT SERVICES RANGE FROM PLANNING AND EVALUATION TO RESOURCE DEVELOPMENT TO DECISION MANAGEMENT PLANNING AND EVALUATION SERVICES PROVIDE AUTHORIZERS WITH A COMPREHENSIVE EVALUATION OF EXISTING PRACTICES AND GUIDANCE ON IMPROVING THOSE PRACTICES RESOURCE DEVELOPMENT INCLUDES POLICIES, PROTOCOLS, AND KEY CHARTERING TEMPLATES SUCH AS THE CHARTER CONTRACT IT ALSO INCLUDES GUIDANCE ON EFFECTIVE PRACTICES RELATED TO APPLICATION DECISION MAKING, SCHOOL MONITORING AND OVERSIGHT, PERFORMANCE MANAGEMENT, RENEWAL DECISION MAKING, AND SCHOOL CLOSURE DECISION MANAGEMENT SERVICES ALLOW AUTHORIZERS TO RELY ON NACSA TO DEVELOP AND MANAGE RIGOROUS, MERIT BASED PROCESSES FOR KEY AUTHORIZER DECISIONS INCLUDING DECISIONS ABOUT WHETHER TO APPROVE NEW SCHOOL APPLICATIONS NACSA'S PURPOSE IS TO HELP AUTHORIZERS MAINTAIN HIGH STANDARDS AND PUBLIC ACCOUNTABILITY FOR CHARTER SCHOOLS WHILE RESPECTING THE AUTONOMY THAT IS AN ESSENTIAL COMPONENT OF THE CHARTER IDEA IN PURSUIT OF THAT GOAL, NACSA'S PRINCIPLES & STANDARDS OF QUALITY CHARTER AUTHORIZING (PRINCIPLES & STANDARDS) PROVIDE THE FOUNDATION FOR THE DELIVERY OF TECHNICAL SUPPORT SERVICES FIRST PUBLISHED IN 2004 AND REGULARLY UPDATED, THESE PROFESSIONAL STANDARDS ARE USED TO GUIDE AUTHORIZING PRACTICES ACROSS THE COUNTRY AND ARE REFERENCED IN STATE STATUTES NACSA'S PRINCIPLES & STANDARDS REFLECT BEST PRACTICES IDENTIFIED THROUGH YEARS OF EXPERIENCE IN WORKING WITH AUTHORIZING AGENCIES OF ALL TYPES AND SIZES ACROSS THE COUNTRY THE PRINCIPLES ARTICULATE CORE BELIEFS THAT UNDERGIRD QUALITY CHARTER SCHOOL AUTHORIZING, WHILE THE STANDARDS DESCRIBE ESSENTIAL AUTHORIZING RESPONSIBILITIES AND IMPORTANT ELEMENTS IN CARRYING THEM OUT LAST UPDATED IN 2012, THE PRINCIPLES & STANDARDS ARE A PRACTICAL GUIDE TO UNDERSTANDING AND FULFILLING THE CRITICAL RESPONSIBILITIES OF CHARTER SCHOOL AUTHORIZERS THESE GUIDELINES ARE DISSEMINATED AND SHARED WITH AUTHORIZERS, INFORM STATE AND FEDERAL LEGISLATION, AND SERVE AS THE BASIS FOR EVALUATION OF AUTHORIZER PRACTICES

4b (Code) (Expenses $ 1,798,828 including grants of $ 685,238) (Revenue $ 31,250)

FUND FOR AUTHORIZER EXCELLENCE (GRANT PROGRAM) & HUMAN CAPITAL NACSA HAS INITIATED AN AUTHORIZER GRANT AWARD PROGRAM "THE FUND FOR AUTHORIZING EXCELLENCE" WHICH IS DESIGNED TO PROVIDE LOCAL LEADERS FLEXIBLE RESOURCES WITHIN A PROGRESSIVELY TIERED STRUCTURE, FROM ASSESSING THEIR CURRENT PRACTICES, TO GENERATING A PLAN FOR IMPROVEMENT, TO ADDRESSING HIGH PRIORITY NEEDS IDENTIFIED IN THEIR PLAN THE FUND'S GOAL IS TO CREATE ENVIRONMENTS IN WHICH AUTHORIZING AGENCIES WORK IN COLLABORATION WITH EXTERNAL STAKEHOLDERS TO CREATE QUALITY SCHOOLS THAT MEET HIGH STANDARDS FOR STUDENT ACHIEVEMENT THROUGH THE AWARDING OF PLANNING AND IMPLEMENTATION GRANTS AND THE PROVISION OF TARGETED PROFESSIONAL SERVICES, NACSA SEEKS TO IMPACT THE PRACTICES AND POLICIES OF THE NATION'S LARGEST AND MOST ACTIVE AUTHORIZERS WITH A GRANT FROM A PRIVATE FOUNDATION, NACSA WAS ABLE TO AWARD SUB-GRANTS TO ORGANIZATIONS REPRESENTING CITIES ENGAGED IN A DISTRICT-CHARTER COLLABORATION COMPACT PROGRAM (COMPACT PROGRAM) THESE ONE-TIME, LUMP SUM GRANTS RANGING FROM $25,000 TO $150,000 WERE AWARDED TO EACH CITY BASED ON COMPLETION OF A COMPACT AGREEMENT BETWEEN THE LOCAL SCHOOL DISTRICT AND ITS CHARTER SCHOOLS ALONG WITH THE SUBMISSION OF AN APPROVED APPLICATION FOR FISCAL YEAR ENDED JUNE 30, 2014, $725,000 IN SUB-GRANTS UNDER THIS PROGRAM WAS AWARDED, WHILE $100,000 WAS AWARDED FOR FISCAL YEAR ENDED JUNE 30, 2013 INVESTMENTS MADE UNDER THIS PROGRAM ARE TARGETED TO ACTIVITIES SUPPORTING THE OBJECTIVES OF THE DISTRICT'S COMPLETED COMPACT APPLICANTS WERE EVALUATED IN TERMS OF THEIR PROPOSAL'S ALIGNMENT WITH THE GOALS OF THE COMPACT PROGRAM, POTENTIAL FOR IMPACT ON COMPACT PROGRAM OBJECTIVES, LEVEL OF DETAIL IN PROJECT PLAN, AS WELL AS CLARITY AROUND GOALS, DELIVERABLES AND MEASURES OF SUCCESS NACSA RECOGNIZES THE IMPACT OF HUMAN CAPITAL NEEDS OF THE NATION'S CHARTER SCHOOL AUTHORIZERS ON THE OVERALL IMPROVEMENT OF QUALITY IN THE SECTOR THE NACSA TALENT AND ENGAGEMENT DIVISION WORKS TO INCREASE THE NUMBER OF QUALITY INDIVIDUALS ENTERING THE FIELD, TO CULTIVATE AND DEVELOP HIGH-POTENTIAL TALENT ALREADY WORKING IN AUTHORIZING, AND TO BUILD A POWERFUL NETWORK OF CURRENT AND FUTURE EFFECTIVE LEADERS TO HELP RETAIN THEM IN THE SECTOR CURRENTLY, TWO INITIATIVES FOCUS ON THESE EFFORTS NACSA'S FELLOWS AND LEADERS PROGRAMS

4c (Code) (Expenses $ 1,519,240 including grants of $) (Revenue $)

POLICY & COMMUNICATION NACSA ENGAGES PUBLIC OFFICIALS AND POLICY ORGANIZATIONS ACROSS THE NATION TO INFORM THEM OF PROFESSIONAL AUTHORIZING PRACTICES NACSA COLLABORATES WITH CHARTER AND EDUCATION POLICY ORGANIZATIONS TO HOLISTICALLY STRENGTHEN THE CHARTER SCHOOL SECTOR POLICYMAKERS PURSUE NACSA'S ADVICE ON REFINEMENTS TO CHARTER SCHOOL LEGISLATION AT THE STATE AND FEDERAL LEVELS AND THE PRESS LOOKS TO NACSA TO INTERPRET HOW EVENTS IN THE NEWS ARE IMPACTING EDUCATIONAL PERFORMANCE AND ACCOUNTABILITY NACSA PRODUCES A RANGE OF PUBLICATIONS DESIGNED TO IMPROVE AND PROMOTE AUTHORIZING PUBLICATIONS INCLUDE PERIODIC ISSUE BRIEFS AND POLICY GUIDES, AND A SET OF MODEL RESOURCES IN KEY PRACTICE AREAS

(Code) (Expenses $ 377,310 including grants of $) (Revenue $)

RESEARCH AND EVALUATION NACSA'S RESEARCH FOCUSES ON IDENTIFYING AND TRACKING ACTIVE CHARTER AUTHORIZERS NATIONWIDE, ANALYZING THE ACADEMIC PERFORMANCE OF AUTHORIZER CHARTER SCHOOL PORTFOLIOS, INVESTIGATING THE IMPLEMENTATION OF CHARTER SCHOOL AUTHORIZING PRACTICES NATIONALLY, AND PURSUING RESEARCH THAT INFORMS NACSA'S POLICY AND ADVOCACY OBJECTIVES NACSA'S RESEARCH AND EVALUATION TEAM IS ALSO CHARGED WITH EVALUATING NACSA'S PROGRESS TOWARD ITS STRATEGIC PLAN GOALS, INCLUDING PROGRESS ON THE ONE MILLION LIVES CAMPAIGN

(Code) (Expenses $ 435,684 including grants of $) (Revenue $ 210,193)

ANNUAL CONFERENCE NACSA HOSTS ITS OWN ANNUAL CONFERENCE EACH FALL FEATURING NATIONALLY RECOGNIZED KEYNOTE AND PLENARY SPEAKERS AND A CHOICE OF MORE THAN 40 HIGHLY INTERACTIVE AND PRACTICE-ORIENTED WORKSHOPS DEVELOPED BY AND FOR CHARTER AUTHORIZERS AND FOCUSED ON ISSUES OF TOPICAL IMPORTANCE TO ALL WHO ARE DEDICATED TO ADVANCING CHARTER SCHOOLS

(Code) (Expenses $ 295,968 including grants of $) (Revenue $)

MEMBERSHIP NACSA WAS FOUNDED BY AND CONTINUES TO SERVE A DIVERSE ARRAY OF AUTHORIZING AGENCIES INCLUDING STATE EDUCATION AGENCIES, SCHOOL DISTRICTS, COLLEGES AND UNIVERSITIES, SPECIAL PURPOSE OR INDEPENDENT CHARTERING BOARDS, MUNICIPALITIES, AND PRIVATE NOT-FOR-PROFIT ORGANIZATIONS SEVERAL ACTIVE AND FORMER AUTHORIZERS SERVE ALONG WITH NON-AUTHORIZERS ON THE NACSA BOARD OF DIRECTORS NACSA PUBLISHES ISSUE BRIEFS, CASE STUDIES, MONOGRAPHS AND A WEEKLY NEWSLETTER - MEMBER NOTES NACSA ALSO HOSTS A WEBINAR SERIES, CREATES ONLINE DISCUSSION FORUMS, AND FACILITATES MEETINGS THAT BRING TOGETHER MEMBERS TO DISCUSS SPECIAL TOPICS OF COMMON CONCERN MEMBER FEEDBACK IS ALSO SOLICITED THROUGHOUT THE YEAR AND NACSA CONTINUES TO FIND WAYS TO FACILITATE SHARING AND DIALOGUE AMONG MEMBERS THE MEMBERSHIP TEAM ALSO DIRECTS MEMBERS TO NACSA DIVISIONS IF THEY SEEK ADDITIONAL KNOWLEDGE OR ARE LOOKING FOR MORE CUSTOMIZED RESOURCES

4d Other program services (Describe in Schedule O)

(Expenses $ 1,108,962 including grants of $) (Revenue $ 210,193)

4e **Total program service expenses** ▶ 6,519,172

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	Yes	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		No
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10		No
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI.*	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b		No
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e		No
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a	Yes	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b		No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b		No
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16		No
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I (see instructions)*	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	Yes	
22	Did the organization report more than $5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? *If so, complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34		No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V . . . . ☐

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	1a	87			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	39			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			2b	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?			3a		No
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O*			3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		No
b	If "Yes," enter the name of the foreign country ▶ See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?			6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a		No
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**					
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O			13a		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b				
c	Enter the amount of reserves on hand	13c				
14a	Did the organization receive any payments for indoor tanning services during the tax year?			14a		No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*			14b		

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response or note to any line in this Part VI . . . . ☑

Section A. Governing Body and Management

					Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	**1a**	9			
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O					
b	Enter the number of voting members included in line 1a, above, who are independent	**1b**	9			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?			**2**		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? .			**3**		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?			**4**		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets? .			**5**		No
6	Did the organization have members or stockholders?			**6**	Yes	
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?			**7a**	Yes	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?			**7b**	Yes	
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following					
a	The governing body?			**8a**	Yes	
b	Each committee with authority to act on behalf of the governing body?			**8b**	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*			**9**		No

Section B. Policies (*This Section B requests information about policies not required by the Internal Revenue Code.*)

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	**10a**		No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	**10b**		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	**11a**		No
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	**12a**	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	**12b**	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done*	**12c**	Yes	
13	Did the organization have a written whistleblower policy?	**13**	Yes	
14	Did the organization have a written document retention and destruction policy?	**14**	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	**15a**	Yes	
b	Other officers or key employees of the organization	**15b**	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	**16a**		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	**16b**		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶IL

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☐ Own website ☐ Another's website ☑ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization
▶MICHAEL CERNAUSKAS CFO 105 WEST ADAMS ST
CHICAGO,IL 60603 (312) 376-2300

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII . . . . ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid

- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations

- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) LISA GRAHAM KEEGAN CHAIR	50	X		X				0	0	0
(2) JIM PEYSER VICE CHAIR	50	X		X				0	0	0
(3) ALAN COVERSTONE SECRETARY	50	X		X				0	0	0
(4) STEVE CANAVERO TREASURER	50	X		X				0	0	0
(5) JOSE COLE-GUTIERREZ BOARD MEMBER	50	X						0	0	0
(6) RICK HESS BOARD MEMBER	50	X						0	0	0
(7) SCOTT PEARSON BOARD MEMBER	50	X						0	0	0
(8) M KAREGA RAUSCH BOARD MEMBER	50	X						0	0	0
(9) HANNA SKANDERA BOARD MEMBER	50	X						0	0	0
(10) GREG RICHMOND PRESIDENT & CEO	40 00			X				226,611	0	24,874
(11) MICHAEL CERNAUSKAS CFO	40 00			X				141,384	0	11,867
(12) KATIE KELLY VP OF COMMUNICATIONS	40 00					X		137,583	0	21,426
(13) ALEX MEDLER VP OF POLICY	40 00					X		137,204	0	13,198
(14) WILLIAM HAFT VP OF AUTHORIZER DEVELOPMENT	40 00					X		129,628	0	20,330
(15) MARGARET LIN VP OF RESEARCH	40 00					X		128,914	0	7,720
(16) JAMEY ROBERTS VP OF HUMAN CAPITAL	40 00					X		124,978	0	18,195

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations

		(D)	(E)	(F)
1b	Sub-Total . . . . ▶			
c	Total from continuation sheets to Part VII, Section A . . . . ▶			
d	Total (add lines 1b and 1c) . . . . ▶	1,026,302	0	117,610

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶7

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
MATTOON & ASSOCIATES LLC 660 PENN AVE SE STE 301 WASHINGTON DC 20003	LOBBYING	120,000

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶1

Part VIII **Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII . . . . ☐

					(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns	1a					
	b	Membership dues	1b	192,330				
	c	Fundraising events	1c					
	d	Related organizations	1d					
	e	Government grants (contributions)	1e	780,565				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	5,407,646				
	g	Noncash contributions included in lines 1a-1f $						
	h	**Total.** Add lines 1a-1f			6,380,541			
Program Service Revenue				Business Code				
	2a	CONTRACT REVENUE		900099	1,053,050	1,053,050		
	b	CONFERENCE FEES		900099	210,193	210,193		
	c	REGISTRATION FEES LEADERS PROGRA		900099	31,250	31,250		
	d							
	e							
	f	All other program service revenue						
	g	**Total.** Add lines 2a–2f			1,294,493			
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)			4,363			4,363
	4	Income from investment of tax-exempt bond proceeds						
	5	Royalties						
			(i) Real	(ii) Personal				
	6a	Gross rents	30,035					
	b	Less rental expenses	14,958					
	c	Rental income or (loss)	15,077					
	d	Net rental income or (loss)			15,077			15,077
			(i) Securities	(ii) Other				
	7a	Gross amount from sales of assets other than inventory						
	b	Less cost or other basis and sales expenses		237,426				
	c	Gain or (loss)		-237,426				
	d	Net gain or (loss)			-237,426			-237,426
	8a	Gross income from fundraising events (not including $ ____ of contributions reported on line 1c) See Part IV, line 18	a					
	b	Less direct expenses	b					
	c	Net income or (loss) from fundraising events						
	9a	Gross income from gaming activities See Part IV, line 19	a					
	b	Less direct expenses	b					
	c	Net income or (loss) from gaming activities						
	10a	Gross sales of inventory, less returns and allowances	a					
	b	Less cost of goods sold	b					
	c	Net income or (loss) from sales of inventory						
		Miscellaneous Revenue		Business Code				
	11a	OTHER INCOME		900001	98,097			98,097
	b							
	c							
	d	All other revenue						
	e	**Total.** Add lines 11a–11d			98,097			
	12	**Total revenue.** See Instructions			7,555,145	1,294,493	0	-119,889

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX . . . . ☑

	Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States See Part IV, line 21	675,238	675,238		
2	Grants and other assistance to individuals in the United States See Part IV, line 22	10,000	10,000		
3	Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16				
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees	421,009	257,755	156,970	6,284
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7	Other salaries and wages	2,546,499	1,874,235	584,257	88,007
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	82,988		82,988	
9	Other employee benefits	370,510		370,510	
10	Payroll taxes	214,629		214,629	
11	Fees for services (non-employees)				
a	Management	36,040	23,884	12,156	
b	Legal	5,567	2,562	3,005	
c	Accounting	26,841	2,486	24,355	
d	Lobbying	120,000	120,000		
e	Professional fundraising services See Part IV, line 17				
f	Investment management fees				
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	1,040,541	1,021,151	19,390	
12	Advertising and promotion				
13	Office expenses	221,922	111,007	110,876	39
14	Information technology	158,139	77,475	80,664	
15	Royalties . .				
16	Occupancy	251,882		251,882	
17	Travel	604,101	531,448	70,160	2,493
18	Payments of travel or entertainment expenses for any federal, state, or local public officials				
19	Conferences, conventions, and meetings	205,275	185,411	19,657	207
20	Interest				
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	99,759	5,820	93,939	
23	Insurance	13,227	1,573	11,654	
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a	PROGRAM PUBS & MTLS PRI	25,997	25,497	500	0
b	SUBSCRIPTIONS, DUES	19,836	16,077	3,164	595
c	TRAINING, EDUCATION, AN	11,479	3,186	8,293	0
d	NEWSWIRE SERVICES	8,201	8,201	0	0
e	All other expenses	5,612	1,566,166	-1,621,802	61,248
25	**Total functional expenses.** Add lines 1 through 24e	7,175,292	6,519,172	497,247	158,873
26	**Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720)				

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X . . . . ☐

				(A) Beginning of year		(B) End of year
Assets	1	Cash–non-interest-bearing		77,109	1	100,031
	2	Savings and temporary cash investments		1,230,002	2	2,110,914
	3	Pledges and grants receivable, net		7,006,232	3	6,675,332
	4	Accounts receivable, net		719,442	4	339,552
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L			5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use			8	
	9	Prepaid expenses and deferred charges		160,524	9	173,414
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a 729,407			
	b	Less accumulated depreciation	10b 367,657	444,158	10c	361,750
	11	Investments—publicly traded securities			11	
	12	Investments—other securities See Part IV, line 11			12	
	13	Investments—program-related See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets See Part IV, line 11			15	
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		9,637,467	16	9,760,993
Liabilities	17	Accounts payable and accrued expenses		548,721	17	508,837
	18	Grants payable		232,587	18	110,895
	19	Deferred revenue		140,283	19	146,097
	20	Tax-exempt bond liabilities			20	
	21	Escrow or custodial account liability Complete Part IV of Schedule D			21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L			22	
	23	Secured mortgages and notes payable to unrelated third parties			23	
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D		100,565	25	0
	26	**Total liabilities.** Add lines 17 through 25		1,022,156	26	765,829
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		853,028	27	1,050,709
	28	Temporarily restricted net assets		7,762,283	28	7,944,455
	29	Permanently restricted net assets			29	
		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		8,615,311	33	8,995,164
	34	Total liabilities and net assets/fund balances		9,637,467	34	9,760,993

Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . .

1	Total revenue (must equal Part VIII, column (A), line 12)	1	7,555,145
2	Total expenses (must equal Part IX, column (A), line 25)	2	7,175,292
3	Revenue less expenses Subtract line 2 from line 1	3	379,853
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	8,615,311
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	8,995,164

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . .

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ____________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		No
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	Yes	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b	Yes	

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
NATIONAL ASSOCIATION OF CHARTER SCHOOL AUTHORIZERS

Employer identification number
84-1553195

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Non-functionally integrated

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	**11g(i)**		
(ii) A family member of a person described in (i) above?	**11g(ii)**		
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	**(ii)** EIN	**(iii)** Type of organization (described on lines 1- 9 above or IRC section **(see instructions))**	**(iv)** Is the organization in col **(i)** listed in your governing document?		**(v)** Did you notify the organization in col **(i)** of your support?		**(vi)** Is the organization in col **(i)** organized in the U S ?		**(vii)** Amount of monetary support
			Yes	**No**	**Yes**	**No**	**Yes**	**No**	
Total									

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")	3,125,254	3,547,220	5,971,791	8,275,463	6,380,541	27,300,269
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3	3,125,254	3,547,220	5,971,791	8,275,463	6,380,541	27,300,269
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						21,271,662
6 **Public support.** Subtract line 5 from line 4						6,028,607

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4	3,125,254	3,547,220	5,971,791	8,275,463	6,380,541	27,300,269
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	5,405	11,070	12,904	16,735	34,398	80,512
9 Net income from unrelated business activities, whether or not the business is regularly carried on	520	680	405			1,605
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)	2,515	15,784			98,097	116,396
11 **Total support** (Add lines 7 through 10)						27,498,782

12 Gross receipts from related activities, etc (see instructions) **12** 6,695,164

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** . . . . ▶☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f)) **14** 21 920 %

15 Public support percentage for 2012 Schedule A, Part II, line 14 **15** 17 930 %

16a **33 1/3% support test—2013.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support test—2012.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

17a **10%-facts-and-circumstances test—2013.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☑

b **10%-facts-and-circumstances test—2012.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support.** (Add lines 9, 10c, 11, and 12)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2013** (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from **2012** Schedule A, Part III, line 17	18	

19a **33 1/3% support tests—2013.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support tests—2012.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶☐

Part IV Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test
NACSA MEETS THE "FACTS AND CIRCUMSTANCES" TEST AS OUTLINED IN TEMPORARY REGULATIONS SECTION 1 170A-9T BASED ON THE FOLLOWING CRITERIA TEN PERCENT SUPPORT LIMITATION THE PUBLIC SUPPORT PERCENTAGE FOR NACSA HAD BEEN GREATER THAN 33% PRIOR TO THE YEAR ENDING JUNE 30, 2009 SINCE THEN, THE PUBLIC SUPPORT PERCENTAGE HAS BEEN IN EXCESS OF 17% ATTRACTION OF PUBLIC SUPPORT SINCE IT WAS FOUNDED, NACSA HAS CONSISTENTLY AND CONTINUOUSLY ENGAGED IN EFFORTS TO SOLICIT GRANT FUNDS FROM FOUNDATIONS AND GOVERNMENT UNITS THIS EFFORT HAS INVOLVED SEVERAL TYPES OF ACTIVITIES UNDERTAKEN BY NACSA STAFF YEAR-ROUND, INCLUDING -RESEARCH INTO POTENTIAL NEW SOURCES OF FOUNDATION SUPPORT, -REVIEW OF GOVERNMENT-ISSUED REQUESTS-FOR-PROPOSALS, -DRAFTING AND SUBMISSION OF LETTERS OF INQUIRY AND GRANT PROPOSALS TO POTENTIAL AND CURRENT FUNDERS, AND -DRAFTING AND SUBMISSION OF REPORTS TO FUNDERS ON GRANT EXPENSES AND ACTIVITIES NACSA NOW HAS A STAFF PERSON (TITLED THE DIRECTOR OF DEVELOPMENT) WHOSE JOB DESCRIPTION INCLUDES THESE DUTIES, AND WHO IS TASKED WITH EXPANDING NACSA'S FUNDING BASE TO INCLUDE MORE FOUNDATIONS AND GOVERNMENT SUPPORT THESE EFFORTS ARE SUPPORTED BY A NATIONAL POOL OF VOLUNTEERS AND PROFESSIONAL PHILANTHROPY CONSULTANTS DURING THE PAST 36 MONTHS, SOLICITATION EFFORTS HAVE GENERATED FUNDING FROM ADDITIONAL NATIONALLY RECOGNIZED FOUNDATIONS NACSA HAS ALSO RECEIVED NEW GOVERNMENT FUNDING THROUGH THE AWARDING OF A $2 2 MILLION THREE-YEAR GRANT (BEGAN 10/1/10) FROM THE U S DEPARTMENT OF EDUCATION SOURCES OF SUPPORT NACSA RECEIVES ITS REVENUE THROUGH CONTRIBUTIONS, MEMBERSHIP DUES, AND PROGRAM REVENUE NACSA SEEKS SUPPORT FROM ORGANIZATIONS THAT HAVE AN INTEREST IN CHARTER SCHOOL AUTHORIZERS AND ORGANIZATIONS THAT SUPPORT THE MISSION OF THE CHARTER SCHOOL MOVEMENT OVER THE PAST 5 YEARS, NACSA HAS RECEIVED OVER $23 MILLION OF SUPPORT FROM 4 LARGE NATIONALLY RECOGNIZED FOUNDATIONS THESE FOUNDATIONS ARE NOT RELATED TO NACSA OR TO EACH OTHER THESE CONTRIBUTIONS WERE A SIGNIFICANT SOURCE OF REVENUE TO NACSA THE SUPPORT FROM THESE FOUNDATIONS WERE CONSIDERED EXCESS CONTRIBUTIONS WHICH WAS THE CONTRIBUTING FACTOR IN REDUCING NACSA'S PUBLIC SUPPORT PERCENTAGE BELOW 33 1/3 % EVEN THOUGH THE CONTRIBUTIONS WERE SIGNIFICANT TO THE OPERATIONS OF NACSA, THE CONTRIBUTIONS WERE LESS THAN 1% OF TOTAL CONTRIBUTIONS GIVEN TO CHARITABLE ORGANIZATIONS FROM EACH OF THE 4 FOUNDATIONS BASED ON THE PERCENTAGE OF FUNDS GIVEN TO NACSA FROM THE FOUNDATIONS OUT OF THE TOTAL CONTRIBUTIONS GIVEN TO CHARITABLE ORGANIZATIONS THROUGHOUT THE NATION, THE CONTRIBUTIONS RECEIVED WERE NOT INTENDED TO PROVIDE A MEANS FOR THE FOUNDATIONS TO EXERCISE CONTROL OVER THE OPERATIONS OF NACSA NACSA RECEIVED THE CONTRIBUTIONS AS SUPPORT OF NACSA'S MISSION AND THE CHARTER SCHOOL SYSTEM IN ADDITION TO THE CONTRIBUTION REVENUE FROM PRIVATE DONORS AND FOUNDATIONS, NACSA HAS RECEIVED MORE THAN $1,900,000 OF GOVERNMENT GRANTS, $922,000 OF MEMBERSHIP SUPPORT AND $6 6 MILLION OF PROGRAM REVENUE OVER THE PAST 5 YEARS THE DONORS FOR NACSA ARE THOSE THAT HAVE AN INTEREST IN EDUCATION AND IN PARTICULAR CHARTER SCHOOLS FOR THE UNITED STATES THE MAJORITY OF THE MEMBERSHIP SUPPORT IS FROM TAX EXEMPT ENTITIES SUCH AS SCHOOLS AND GOVERNMENT AGENCIES THROUGHOUT THE UNITED STATES THE MAJORITY OF THE PROGRAM REVENUE IS RECEIVED FROM CHARTER SCHOOL AUTHORIZERS AND VARIOUS GOVERNMENTAL AGENCIES THROUGHOUT THE UNITED STATES FOR PROVIDING TECHNICAL ASSISTANCE AND MANAGEMENT SERVICES REPRESENTATIVE GOVERNING BODY THE BOARD OF DIRECTORS CONSISTS OF PERSONS HAVING SPECIAL KNOWLEDGE AND EXPERTISE IN THE FIELD OF CHARTER SCHOOLS AND CHARTER SCHOOL AUTHORIZERS NACSA'S BOARD OF DIRECTORS INCLUDES LEADING AUTHORIZERS FROM A VARIETY OF CHARTERING ENTITIES THAT HAIL FROM SOME OF THE MOST ACTIVE AND IMPORTANT CHARTER SCHOOL STATES TWO-THIRDS OF THE BOARD CONSISTS OF NACSA MEMBERS WHO ARE CHARTER SCHOOL AUTHORIZERS, WHILE ONE-THIRD OF THE BOARD CONSISTS OF NACSA ASSOCIATE MEMBERS WHO WORK AT INSTITUTIONS, CORPORATIONS, UNITS OF GOVERNMENT, CHARTER SCHOOL RESOURCE CENTERS, STATE ASSOCIATIONS AND OTHER ORGANIZATIONS THAT ARE NOT AUTHORIZERS BUT HAVE AN INTEREST IN CHARTER SCHOOL AUTHORIZING PUBLIC ACCESS TO SERVICES NACSA PROVIDES PUBLIC ACCESS TO ITS RESEARCH AND PUBLICATIONS VIA THE INTERNET NACSA OFFERS PUBLICATIONS THAT ADDRESS POLICES AND STANDARDS FOR CHARTER SCHOOLS THE RESEARCH NACSA COMPILES THROUGH SURVEYS FROM THE CHARTER SCHOOLS IS AVAILABLE FOR PUBLIC USE NACSA OFFERS THE PUBLICATIONS AND RESEARCH TO THE PUBLIC AT NO COST NACSA PROVIDES ADDITIONAL INFORMATION REGARDING THE CHARTER SCHOOL INDUSTRY TO THE PUBLIC THROUGH PUBLISHED NEWSPAPERS AND PERIODICALS KEY EMPLOYEES OF NACSA HAVE BEEN INTERVIEWED AND QUOTED IN WIDELY KNOWN PERIODICALS SUCH AS THE NEW YORK TIMES, THE DENVER DAILY NEWS, EDUCATION WEEK AND THE ASSOCIATED PRESS PUBLIC PARTICIPATION IN PROGRAMS OR POLICIES NACSA OFFERS VARIOUS PROGRAMS THAT ACCOMPLISHMENT THE CHARITABLE MISSION OF PROMOTING THE ESTABLISHMENT AND OPERATION OF QUALITY CHARTER SCHOOLS THROUGH OVERSIGHT IN THE PUBLIC INTEREST THESE PROGRAMS INCLUDE RESEARCH AND EVALUATION, CHARTER SCHOOL AUTHORIZING, STANDARDS AND EVALUATION, POLICY AND COMMUNICATION, AUTHORIZER EXCELLENCE INITIATIVE, AND ANNUAL CONFERENCE THE ACCOMPLISHMENTS OF EACH OF THESE PROGRAMS ARE OUTLINED ON FORM 990 PART III MEMBERSHIP DUES AND SERVICES NACSA WAS FOUNDED BY AND CONTINUES TO SERVE AND BE GOVERNED BY A DIVERSE ARRAY OF AUTHORIZING AGENCIES INCLUDING STATE EDUCATION AGENCIES, SCHOOL DISTRICTS, COLLEGES AND UNIVERSITIES, SPECIAL-PURPOSE OR INDEPENDENT CHARTERING BOARDS, MUNICIPALITIES, AND PRIVATE NOT-FOR-PROFIT ORGANIZATIONS FULL MEMBERSHIP IS RESTRICTED TO CHARTER SCHOOL AUTHORIZERS, PUBLIC SCHOOLS, SCHOOL DISTRICTS AND OTHER GOVERNMENT ENTITIES MEMBERSHIP DUES FOR FULL MEMBERS ARE $500 - $3,000 PER YEAR DEPENDENT UPON THE NUMBER OF CHARTER SCHOOLS AUTHORIZED BY A MEMBER ASSOCIATE MEMBERSHIP IS AVAILABLE FOR INSTITUTIONS, CORPORATIONS, UNITS OF GOVERNMENT, CHARTER SCHOOL RESOURCE CENTERS, STATE ASSOCIATIONS AND OTHER ORGANIZATIONS THAT ARE NOT AUTHORIZERS BUT HAVE AN INTEREST IN CHARTER SCHOOL AUTHORIZING MEMBERSHIP DUES FOR ASSOCIATE MEMBERS ARE $500 ANNUALLY INDIVIDUAL MEMBERSHIP IS AVAILABLE TO THOSE WHO ARE NOT AFFILIATED WITH AN ORGANIZATION BUT HAVE AN INTEREST IN CHARTER SCHOOL AUTHORIZING MEMBERSHIP DUE INFORMATION FOR INDIVIDUALS IS AVAILABLE UPON REQUEST THE MEMBERS OF NACSA ARE LOCATED IN 37 STATES THROUGHOUT THE COUNTRY THE MEMBERS OF THE ORGANIZATION PARTICIPATE IN THE PROGRAMS OF NACSA WHICH INCLUDES WORKSHOPS AND SEMINARS IN COLLABORATION WITH STATE EDUCATION AGENCIES NACSA ALSO PROVIDES DIRECT CUSTOMIZED GUIDANCE TO CHARTER SCHOOL AUTHORIZERS NACSA ALSO ENGAGES PUBLIC OFFICIALS AND POLICY ORGANIZATIONS ACROSS THE NATION TO INFORM THEM OF PROFESSIONAL AUTHORIZING PRACTICES ALL PROGRAMS DIRECTLY BENEFIT CHARTER SCHOOL AUTHORIZERS WHICH IN TURN BENEFITS THE GENERAL PUBLIC THROUGH THE EDUCATION FROM THESE SCHOOLS THE DUES ARE DESIGNED TO ENROLL A SUBSTANTIAL NUMBER OF MEMBERS OF THE COMMUNITY WHO HAVE AN INTEREST IN CHARTER SCHOOLS THIS IS EVIDENT BY THE RATE STRUCTURE OF THE MEMBERSHIP DUES THE ACTIVITIES OF NASCA APPEAL TO MEMBERS OF THE COMMUNITY WHO ARE INTERESTED IN CHARTER SCHOOLS

Return Reference	Explanation	

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**
▶ **See separate instructions.** ▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.**

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2013

Open to Public Inspection

If the organization answered "Yes" to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization	**Employer identification number**
NATIONAL ASSOCIATION OF CHARTER SCHOOL AUTHORIZERS	84-1553195

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures ▶ $

3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ **Yes** ☐ **No**

4a Was a correction made? ☐ **Yes** ☐ **No**

b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? ☐ **Yes** ☐ **No**

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds If none, enter -0-	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

B Check ☐ if the filing organization checked box A and "limited control" provisions apply

	Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing organization's totals	**(b)** Affiliated group totals
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b	Total lobbying expenditures to influence a legislative body (direct lobbying)	158,058	
c	Total lobbying expenditures (add lines 1a and 1b)	158,058	
d	Other exempt purpose expenditures	7,017,234	
e	Total exempt purpose expenditures (add lines 1c and 1d)	7,175,292	
f	Lobbying nontaxable amount Enter the amount from the following table in both columns	508,765	

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

		(a)	(b)
g	Grassroots nontaxable amount (enter 25% of line 1f)	127,191	
h	Subtract line 1g from line 1a If zero or less, enter -0-	0	
i	Subtract line 1f from line 1c If zero or less, enter -0-	0	
j	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	☐ Yes	☐ No

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

	Calendar year (or fiscal year beginning in)	**(a)** 2010	**(b)** 2011	**(c)** 2012	**(d)** 2013	**(e)** Total
2a	Lobbying nontaxable amount	396,555	484,985	509,830	508,765	1,900,135
b	Lobbying ceiling amount (150% of line 2a, column(e))					2,850,203
c	Total lobbying expenditures	134,436	164,314	157,809	158,058	614,617
d	Grassroots nontaxable amount	99,139	121,246	127,458	127,191	475,034
e	Grassroots ceiling amount (150% of line 2d, column (e))					712,551
f	Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities?			
j	Total Add lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, line 2, and Part II-B, line 1 Also, complete this part for any additional information

Return Reference	Explanation

Part IV Supplemental Information *(continued)*

Return Reference	Explanation

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93493308016694

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b
▶ Attach to Form 990. ▶ See separate instructions. ▶ Information about Schedule D (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047
2013
Open to Public Inspection

Name of the organization
NATIONAL ASSOCIATION OF CHARTER SCHOOL AUTHORIZERS

Employer identification number
84-1553195

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
☐ Preservation of land for public use (e g , recreation or education) ☐ Preservation of an historically important land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶________

4 Number of states where property subject to conservation easement is located ▶________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ________

(ii) Assets included in Form 990, Part X ▶ $ ________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ________

b Assets included in Form 990, Part X ▶ $ ________

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table

			Amount
c	Beginning balance	1c	
d	Additions during the year	1d	
e	Distributions during the year	1e	
f	Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . ☐

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

		(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a	Beginning of year balance					
b	Contributions					
c	Net investment earnings, gains, and losses					
d	Grants or scholarships					
e	Other expenditures for facilities and programs					
f	Administrative expenses					
g	End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ▶

b Permanent endowment ▶

c Temporarily restricted endowment ▶

The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

			Yes	No
	(i) unrelated organizations	3a(i)		
	(ii) related organizations	3a(ii)		
b	If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		47,358		47,358
d Equipment		682,049	367,657	314,392
e Other				
Total. Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				361,750

Part VII Investments—Other Securities. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
Other		
Total. *(Column (b) must equal Form 990, Part X, col (B) line 12)* ▶		

Part VIII Investments—Program Related. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) must equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11d See Form 990, Part X, line 15

(a) Description	(b) Book value
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 15.)* . . . . ▶	

Part X Other Liabilities. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1 (a) Description of liability	(b) Book value
Federal income taxes	
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25)* ▶	

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☑

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	7,570,103
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a			
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII)	2d	14,958		
e	Add lines **2a** through **2d**			2e	14,958
3	Subtract line **2e** from line **1**			3	7,555,145
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	0
5	Total revenue Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12)			5	7,555,145

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return. Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	7,190,250
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII)	2d	14,958		
e	Add lines **2a** through **2d**			2e	14,958
3	Subtract line **2e** from line **1**			3	7,175,292
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	0
5	Total expenses Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18)			5	7,175,292

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

Return Reference	Explanation
PART X, LINE 2	NACSA IS A NOT-FOR-PROFIT ORGANIZATION THAT IS EXEMPT FROM INCOME TAXES UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE ACCORDINGLY, NO PROVISION FOR INCOME TAX EXPENSE IS INCLUDED IN THE ACCOMPANYING FINANCIAL STATEMENTS IN ACCORDANCE WITH FASB GUIDANCE, NASCA BELIEVES THERE ARE NO SIGNIFICANT UNCERTAIN TAX POSITIONS RESULTING IN LIABILITIES THAT WOULD HAVE BEEN REQUIRED TO BE RECORDED FOR THE YEARS ENDED JUNE 30, 2014 AND 2013 NACSA'S INCOME TAX AND INFORMATION RETURNS FOR YEARS SUBSEQUENT TO FISCAL 2010 ARE OPEN, BY STATUTE, FOR REVIEW BY AUTHORITIES HOWEVER, AT PRESENT, THERE ARE NO ONGOING INCOME TAX AUDITS OR UNRESOLVED DISPUTES WITH THE VARIOUS TAX AUTHORITIES THAT NACSA CURRENTLY FILES OR HAS FILED WITH BASED UPON THE GUIDANCE FOR ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES, NACSA DID NOT HAVE ANY UNCERTAIN TAX POSITIONS REQUIRING ACCRUAL IN THE FINANCIAL STATEMENTS AT JUNE 30, 2014
PART XI, LINE 2D - OTHER ADJUSTMENTS	RENTAL EXPENSES 14,958
PART XII, LINE 2D - OTHER ADJUSTMENTS	RENTAL EXPENSES 14,958

Part XIII Supplemental Information ***(continued)***

Return Reference	Explanation

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990
▶ Information about Schedule I (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
NATIONAL ASSOCIATION OF CHARTER SCHOOL AUTHORIZERS

Employer identification number
84-1553195

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) FLORIDA EDUCATION FOUNDATION INC 325 WEST GAINES ST ROOM 1524 TALLAHASSEE, FL 32399	59-2718509	501(C)(3)	150,000				DISTRICT CHARTER COMPACT
(2) EMPOWER SCHOOLS 24 SCHOOL ST 3RD FLOOR BOSTON, MA 02108	46-3193990	501(C)(3)	150,000				DISTRICT CHARTER COMPACT
(3) FRANKLIN MCKINLEY SCHOOL DISTRICT 645 WOOL CREEK DRIVE SAN JOSE, CA 95112	77-0059025	501(C)(1)	51,000				DISTRICT CHARTER COMPACT
(4) INNOVATE PUBLIC SCHOOLS 2440 WEST EL CAMINO REAL 300 MOUNTAIN VIEW, CA 94040	46-2155826		49,000				DISTRICT CHARTER COMPACT
(5) ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 GAY AVE SAN JOSE, CA 95127	77-0016360	501(C)(1)	25,000				DISTRICT CHARTER COMPACT
(6) TULSA PUBLIC SCHOOLS 3027 S NEW HAVEN AVE TULSA, OK 74114	73-6021242	501(C)(3)	100,000				DISTRICT CHARTER COMPACT
(7) YES PREP PUBLIC SCHOOLS 6201 BONHOMME SUITE 168N HOUSTON, TX 77036	76-0563825	501(C)(3)	72,500				DISTRICT CHARTER COMPACT
(8) ALDINE INDEPENDENT SCHOOL DISTRICT 14910 ALDINE WESTFIELD HOUSTON, TX 77032	74-6001110	501(C)(1)	27,500				DISTRICT CHARTER COMPACT
(9) SPOKANE PUBLIC SCHOOLS 200 NORTH BERNARD ST SPOKANE, WA 99201	91-6001582	501(C)(3)	100,000				DISTRICT CHARTER COMPACT
(10) THE RESEARCH FOUNDATION OF THE STATE UNIVERSITY OF NY 35 STATE STREET ALBANY, NY 12207	14-1368361	501(C)(1)	-35,520				WRITE OFF OF DISTRICT CHARTER COMPACT
(11) FRIENDS OF EDUCATION 200 E LAKE STREET WAYZATA, MN 55391	31-1646135	501(C)(3)	-14,242				WRITE OFF OF DISTRICT CHARTER COMPACT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . ▶ 10

3 Enter total number of other organizations listed in the line 1 table . . . ▶ 1

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	**(b)** Number of recipients	**(c)** Amount of cash grant	**(d)** Amount of non-cash assistance	**(e)** Method of valuation (book, FMV, appraisal, other)	**(f)** Description of non-cash assistance
(1) SCHOLARSHIPS	6	10,000	0		

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	**Explanation**
SCHEDULE I PART II	MONITORING OF FUND GRANTEES OCCURS BOTH DURING AND AFTER THE GRANT DURING THE GRANT, THE MONITORING PROCESS - IN THE FORM OF BOTH WRITTEN REPORTS AND PHONE CALLS - OFFERS THE OPPORTUNITY FOR THE GRANTEE TO REFLECT ON CURRENT ACTIVITIES, BEST PRACTICES AND ROOM FOR IMPROVEMENT THE REFLECTION PROCESS ALLOWS GRANTEES TO MAKE NECESSARY CHANGES MOVING FORWARD THAT WILL ENSURE THE SUCCESS OF THE GRANT AND PROVIDES A FEEDBACK MECHANISM FOR THE FUND TO BE MADE AWARE OF AND WEIGH IN ON CRITICAL ISSUES FOLLOWING THE GRANT'S COMPLETION, THE MONITORING PROCESS ALLOWS THE FUND TO DETERMINE IF GRANTEES SUCCESSFULLY COMPLETED THE PROJECT DELIVERABLES AND DISTRIBUTE THE FINAL GRANT PAYMENT THE GRANT AWARD IS DISTRIBUTED IN TWO INSTALLMENTS THE FIRST FOLLOWS THE RECEIPT OF THE SIGNED GRANT AGREEMENT, AND THE SECOND FOLLOWS THE RECEIPT OF THE FINAL GRANT REPORT AND THE SUCCESSFUL COMPLETION OF THE GRANT PROJECT
SCHEDULE I PART III	NACSA'S LEADERS PROGRAM PROVIDED SCHOLARSHIPS TO PARTICIPANTS DEMONSTRATING FINANCIAL HARDSHIP THE SCHOLARSHIPS WERE RESTRICTED TO COVERING THE COST OF TRAVEL TO AND FROM THE LEADERS PROGRAM COHORT SCHOLARSHIP AMOUNTS WERE DETERMINED BASED ON DEMONSTRATED NEED AND AVAILABILITY OF FUNDING

Additional Data

Software ID:
Software Version:
EIN: 84-1553195
Name: NATIONAL ASSOCIATION OF CHARTER SCHOOL AUTHORIZERS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
FLORIDA EDUCATION FOUNDATION INC 325 WEST GAINES ST ROOM 1524 TALLAHASSEE, FL 32399	59-2718509	501(C)(3)	150,000				DISTRICT CHARTER COMPACT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
EMPOWER SCHOOLS 24 SCHOOL ST 3RD FLOOR BOSTON,MA 02108	46-3193990	501(C)(3)	150,000				DISTRICT CHARTER COMPACT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
FRANKLIN MCKINLEY SCHOOL DISTRICT 645 WOOL CREEK DRIVE SAN JOSE, CA 95112	77-0059025	501(C)(1)	51,000				DISTRICT CHARTER COMPACT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
INNOVATE PUBLIC SCHOOLS 2440 WEST EL CAMINO REAL 300 MOUNTAIN VIEW,CA 94040	46-2155826		49,000				DISTRICT CHARTER COMPACT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 GAY AVE SAN JOSE,CA 95127	77-0016360	501(C)(1)	25,000				DISTRICT CHARTER COMPACT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
TULSA PUBLIC SCHOOLS 3027 S NEW HAVEN AVE TULSA, OK 74114	73-6021242	501(C)(3)	100,000				DISTRICT CHARTER COMPACT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
YES PREP PUBLIC SCHOOLS 6201 BONHOMME SUITE 168N HOUSTON,TX 77036	76-0563825	501(C)(3)	72,500				DISTRICT CHARTER COMPACT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALDINE INDEPENDENT SCHOOL DISTRICT 14910 ALDINE WESTFIELD HOUSTON,TX 77032	74-6001110	501(C)(1)	27,500				DISTRICT CHARTER COMPACT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
SPOKANE PUBLIC SCHOOLS 200 NORTH BERNARD ST SPOKANE, WA 99201	91-6001582	501(C)(3)	100,000				DISTRICT CHARTER COMPACT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
THE RESEARCH FOUNDATION OF THE STATE UNIVERSITY OF NY 35 STATE STREET ALBANY,NY 12207	14-1368361	501(C)(1)	-35,520				WRITE OFF OF DISTRICT CHARTER COMPACT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
FRIENDS OF EDUCATION 200 E LAKE STREET WAYZATA,MN 55391	31-1646135	501(C)(3)	-14,242				WRITE OFF OF DISTRICT CHARTER COMPACT

Schedule J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
NATIONAL ASSOCIATION OF CHARTER SCHOOL AUTHORIZERS

Employer identification number
84-1553195

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☐ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax idemnification and gross-up payments ☐ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?	2		
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III ☐ Compensation committee ☑ Written employment contract ☑ Independent compensation consultant ☑ Compensation survey or study ☐ Form 990 of other organizations ☑ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	4a		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		No
c	Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	4c		No
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	5a		No
b	Any related organization? If "Yes," to line 5a or 5b, describe in Part III	5b		No
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	6a		No
b	Any related organization? If "Yes," to line 6a or 6b, describe in Part III	6b		No
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7		No
8	Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III	8		No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	9		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) GREG RICHMOND PRESIDENT & CEO	(i)	215,446	11,165	0	0	24,874	251,485	0
	(ii)	0	0	0	0	0	0	0
(2) MICHAEL CERNAUSKAS CFO	(i)	131,978	9,406	0	0	11,867	153,251	0
	(ii)	0	0	0	0	0	0	0
(3) KATIE KELLY VP OF COMMUNICATIONS	(i)	129,306	8,277	0	0	21,426	159,009	0
	(ii)	0	0	0	0	0	0	0
(4) ALEX MEDLER VP OF POLICY	(i)	129,372	7,832	0	0	13,198	150,402	0
	(ii)	0	0	0	0	0	0	0

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II
Also complete this part for any additional information

Return Reference	Explanation

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93493308016694

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.
▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization	Employer identification number
NATIONAL ASSOCIATION OF CHARTER SCHOOL AUTHORIZERS	84-1553195

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 6	NACSA'S BYLAWS ESTABLISH TWO CLASSES OF MEMBERSHIP 1 REGULAR MEMBERSHIP IS OPEN TO INSTITUTIONS, AGENCIES, AND OTHER ENTITIES ACROSS THE UNITED STATES THAT ARE LEGISLATIVELY AUTHORIZED TO GRANT CHARTERS FOR OPERATION OF PUBLIC CHARTER SCHOOLS AND TO OVERSEE AND EVALUATE PUBLIC CHARTER SCHOOL PERFORMANCE 2 ASSOCIATE MEMBERSHIP IS OPEN TO ORGANIZATIONS THAT SUPPORT THE MISSION OF NACSA BUT ARE NOT LEGISLATIVELY AUTHORIZED TO GRANT CHARTERS AND TO ANY INDIVIDUALS THAT SUPPORT THE MISSION OF NACSA

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 7A	NACSA'S BYLAWS PROVIDE FOR THE ELECTION OF BOARD MEMBERS BY A VOTE OF THE BOARD OF DIRECTORS THE COMPOSITION OF THE BOARD IS RESTRICTED TO NACSA MEMBERS NOMINATIONS FOR BOARD MEMBERS ARE SOLICITED FROM NACSA'S MEMBERSHIP

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 7B	NACSA'S BYLAWS PROVIDE FOR THE FOLLOWING OVERSIGHT BY THE MEMBERSHIP (DURING THE ANNUAL MEETING) OF GOVERNANCE DECISIONS 1 REGULAR MEMBERS WILL VOTE ON ANY RESOLUTIONS PROPOSED FOR THE ASSOCIATION THAT HAVE BEEN DULY FILED IN WRITING IN ACCORDANCE WITH ARTICLE X(RESOLUTIONS), AND MAY PASS SUCH RESOLUTIONS BY A MAJORITY VOTE OF ALL REGULAR MEMBERS PRESENT AND VOTING 2 ANY DULY FILED AMENDMENTS TO THE BYLAWS MAY BE ADOPTED BY A MAJORITY VOTE OF ALL REGULAR MEMBERS PRESENT AND VOTING 3 THE ASSOCIATIONS MEMBERSHIP MAY ACT TO REPEAL THE BYLAWS OR ADOPT NEW BYLAWS BY MAJORITY VOTE OF ALL REGULAR MEMBERS PRESENT AND VOTING

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 11	NACSA HAS ESTABLISHED A FINANCE COMMITTEE (FC) WHICH IS COMPOSED OF 5 MEMBERS OF THE BOARD OF DIRECTORS THE DUTIES AND RESPONSIBILITY OF THE FC INCLUDE THE INDEPENDENT AUDIT REPORT REVIEW FUNCTION AND THE FORM 990 REVIEW SPECIFICALLY, THE FORM 990 IS PROVIDED ELECTRONICALLY TO ALL FC MEMBERS MEMBERS FORWARD ANY COMMENTS OR QUESTIONS DIRECTLY TO NACSAS CFO PRIOR TO FILING

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	NACSA'S PRESIDENT & CEO WILL MONITOR PROPOSED OR ONGOING CONFLICTS OF INTEREST AND DISCLOSE THEM TO THE FINANCE COMMITTEE (FC) IN ORDER TO DEAL WITH POTENTIAL OR ACTUAL CONFLICTS THE PRESIDENT & CEO, AFTER CONSULTATION WITH THE FC, SHALL DETERMINE WHETHER A CONFLICT EXISTS AND IS MATERIAL, AND IN THE PRESENCE OF AN EXISTING MATERIAL CONFLICT, WHETHER THE CONTEMPLATED TRANSACTION MAY BE AUTHORIZED AS BEING NECESSARY FOR A COMPELLING REASON, JUST, FAIR, AND REASONABLE TO NACSA THE DECISION OF THE PRESIDENT & CEO ON THESE MATTERS WILL REST IN HIS SOLE DISCRETION, AND HIS CONCERN MUST BE THE WELFARE OF NACSA AND THE ADVANCEMENT OF ITS PURPOSE THE PRESIDENT & CEO WILL PROVIDE AN ANNUAL UPDATE TO THE BOARD OF DIRECTORS ON CONFLICT OF INTEREST DISCLOSURES

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 15	THE PROCESS FOR DETERMINING EMPLOYEE COMPENSATION OF THE NATIONAL ASSOCIATION OF CHARTER SCHOOL AUTHORIZERS APPLIES TO THE COMPENSATION OF THE PRESIDENT & CHIEF EXECUTIVE OFFICER OF THE ORGANIZATION THE PROCESS INCLUDES ALL OF THESE ELEMENTS 1) REVIEW AND APPROVAL BY THE BOARD OF DIRECTORS OF THE ORGANIZATION, 2) USE OF DATA AS TO COMPARABLE COMPENSATION, AND 3) CONTEMPORANEOUS DOCUMENTATION AND RECORDKEEPING 1 REVIEW AND APPROVAL THE COMPENSATION OF THE PRESIDENT & CEO IS REVIEWED AND APPROVED BY AN APPOINTED SUBCOMMITTEE OF THE BOARD OF DIRECTORS OF THE ORGANIZATION THE COMPENSATION IS THEN APPROVED BY THE ENTIRE BOARD OF DIRECTORS ANY PERSONS WITH CONFLICT OF INTERESTS WITH RESPECT TO THE COMPENSATION ARRANGEMENT AT ISSUE ARE NOT INVOLVED IN THIS REVIEW AND APPROVAL 2 USE OF DATA AS TO COMPARABLE COMPENSATION THE COMPENSATION OF THE PRESIDENT & CEO IS REVIEWED AND APPROVED USING DATA AS TO COMPARABLE COMPENSATION FOR SIMILARLY QUALIFIED PERSONS IN FUNCTIONALLY COMPARABLE POSITIONS AT SIMILARLY SITUATED ORGANIZATIONS 3 CONTEMPORANEOUS DOCUMENTATION AND RECORDKEEPING THERE IS CONTEMPORANEOUS DOCUMENTATION AND RECORDKEEPING WITH RESPECT TO THE DELIBERATIONS AND DECISIONS REGARDING THE COMPENSATION ARRANGEMENT

Return Reference	Explanation
FORM 990, PART VI, SECTION C, LINE 19	GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS ARE MADE AVAILABLE TO THE PUBLIC UPON REQUEST

Return Reference	Explanation
FORM 990, PART IX, LINE 11G	TECHNICAL SERVICES PROGRAM SERVICE EXPENSES 1,021,151 MANAGEMENT AND GENERAL EXPENSES 19,390 FUNDRAISING EXPENSES 0 TOTAL EXPENSES 1,040,541