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**Return of Private Foundation**

**2013**

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

Open to Public Inspection

For calendar year 2013 or tax year beginning **07/01, 2013**, and ending **06/30, 2014**

Name of foundation: **DAVID L. ROWE FOUNDATION 02-76811**

Number and street (or P O box number if mail is not delivered to street address): **P.O. BOX 803878**

Room/suite: \_\_\_\_\_

City or town, state or province, country, and ZIP or foreign postal code: **CHICAGO, IL 60680**

**A Employer identification number**  
65-6304279

**B Telephone number (see instructions)**  
312-630-6000

**C** If exemption application is pending, check here

**D** 1 Foreign organizations, check here   
2 Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**G** Check all that apply:  
 Initial return  
 Final return  
 Address change  
 Initial return of a former public charity  
 Amended return  
 Name change

**H** Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 3,598,335.**

**J** Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
 (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule) . . . . .				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments . . . . .				
	4 Dividends and interest from securities . . . . .	77,581.	77,429.		STMT 1
	5a Gross rents . . . . .				
	b Net rental income or (loss) . . . . .				
	6a Net gain or (loss) from sale of assets not on line 10 . . . . .	226,409.			
	b Gross sales price for all assets on line 6a . . . . .	1,264,807.			
	7 Capital gain net income (from Part IV, line 2) . . . . .		226,409.		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10 a Gross sales less returns and allowances . . . . .				
b Less Cost of goods sold . . . . .					
c Gross profit or (loss) (attach schedule) . . . . .					
11 Other income (attach schedule) . . . . .					
12 Total. Add lines 1 through 11 . . . . .	303,990.	303,838.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc . . . . .	48,956.	19,803.		29,153.
	14 Other employee salaries and wages . . . . .		NONE	NONE	
	15 Pension plans, employee benefits . . . . .		NONE	NONE	
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) STMT. 2 . . . . .	2,000.	1,000.	NONE	1,000.
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) STMT. 3 . . . . .	5,855.	1,751.		
	19 Depreciation (attach schedule) and depletion . . . . .				
	20 Occupancy . . . . .				
21 Travel, conferences, and meetings . . . . .		NONE	NONE		
22 Printing and publications . . . . .		NONE	NONE		
23 Other expenses (attach schedule) STMT. 4 . . . . .	15.			15.	
24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	56,826.	22,554.	NONE	30,168.	
25 Contributions, gifts, grants paid . . . . .	135,630.			135,630.	
26 Total expenses and disbursements. Add lines 24 and 25 . . . . .	192,456.	22,554.	NONE	165,798.	
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements . . . . .	111,534.				
b Net investment income (if negative, enter -0-) . . . . .		281,284.			
c Adjusted net income (if negative, enter -0-) . . . . .					

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SCANNED AUG 29 2014

AUG 18 2013

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .	48,161.	45,163.	45,163.
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶	NONE		
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10 a	Investments - U.S. and state government obligations (attach schedule) . . . . .			
	b	Investments - corporate stock (attach schedule) . . . . .	STMT 5 1,787,080.	1,976,410.	2,421,795.
	c	Investments - corporate bonds (attach schedule) . . . . .	STMT 6 872,120.	904,179.	912,653.
	11	Investments - land, buildings, and equipment basis Less: accumulated depreciation ▶ (attach schedule)			
	12	Investments - mortgage loans . . . . .			
	13	Investments - other (attach schedule) . . . . .	STMT 7 124,417.	132,724.	130,091.
	14	Land, buildings, and equipment basis Less: accumulated depreciation ▶ (attach schedule)			
15	Other assets (describe ▶ SEE ATTACHMENT SCHEDULE )	208,483.	92,955.	88,633.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	3,040,261.	3,151,431.	3,598,335.	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ )			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	3,040,261.	3,151,431.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .			
29	Retained earnings, accumulated income, endowment, or other funds . . . . .				
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	3,040,261.	3,151,431.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	3,040,261.	3,151,431.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1 3,040,261.
2	Enter amount from Part I, line 27a . . . . .	2 111,534.
3	Other increases not included in line 2 (itemize) ▶	3
4	Add lines 1, 2, and 3 . . . . .	4 3,151,795.
5	Decreases not included in line 2 (itemize) ▶ COST ADJUSTMENT	5 364.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6 3,151,431.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b> 1,264,807.		1,038,398.	226,409.	
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
<b>a</b>			226,409.	
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2 Capital gain net income or (net capital loss)</b>		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	226,409.
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b> If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	153,240.	3,287,631.	0.046611
2011	160,106.	3,164,058.	0.050601
2010	131,222.	3,189,170.	0.041146
2009	147,680.	2,940,555.	0.050222
2008	152,634.	2,754,268.	0.055417

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.243997
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.048799
<b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	<b>4</b>	3,387,023.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	165,283.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	2,813.
<b>7</b> Add lines 5 and 6	<b>7</b>	168,096.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	165,798.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	1	5,626.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0) . . . . .	2	
3	Add lines 1 and 2 . . . . .	3	5,626.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0) . . . . .	4	NONE
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0 . . . . .	5	5,626.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 . . . . .	6a	2,783.
b	Exempt foreign organizations - tax withheld at source . . . . .	6b	NONE
c	Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	NONE
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments. Add lines 6a through 6d . . . . .	7	2,783.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9	2,843.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10	
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> NONE Refunded <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> _____ FL IL _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i> . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV.</i> . . . . .		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> . . . . .		X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . . 11 X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . . 12 X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . . 13 X
Website address N/A
14 The books are in care of THE NORTHERN TRUST COMPANY Telephone no. (312) 630-6000
Located at P.O. BOX 803878, CHICAGO, IL ZIP+4 60680
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . 15
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . 16 Yes No X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . Yes X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . Yes X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . Yes X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . X Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . Yes X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . Yes X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . 1b X
Organizations relying on a current notice regarding disaster assistance check here . . . . .
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . . 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . . Yes X No
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) . . . . . 2b X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . Yes X No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) . . . . . 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . . 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? . . . . . 4b X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  **5b**

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No **6b** X  
If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ARIEA MIZRAHI 9554 NW 52ND MANOR, SUNRISE, FL 33351	CO-TRUSTEE 10	26,953.	-0-	-0-
THE NORTHERN TRUST COMPANY 50 S. LASALLE AVE, CHICAGO, IL 60603	CO-TRUSTEE 40	22,003.	-0-	-0-
-----				
-----				

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE
-----				
-----				
-----				
-----				

Total number of other employees paid over \$50,000  **NONE**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
-----		
-----		
-----		
-----		
-----		
<b>Total number of others receiving over \$50,000 for professional services . . . . .</b>		<b>NONE</b>

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount	
1 N/A		
2		
All other program-related investments See instructions 3 NONE		
<b>Total. Add lines 1 through 3 . . . . .</b>		

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	3,438,602.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	NONE
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	3,438,602.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	3,438,602.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	51,579.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	3,387,023.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	169,351.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	169,351.
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	5,626.
<b>b</b>	Income tax for 2013. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	5,626.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	163,725.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4	<b>5</b>	163,725.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	163,725.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	165,798.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	165,798.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	N/A
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	165,798.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7 . . . . .				163,725.
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only . . . . .			NONE	
<b>b</b> Total for prior years: 20____, 20____, 20____		NONE		
<b>3</b> Excess distributions carryover, if any, to 2013:				
<b>a</b> From 2008 . . . . .		NONE		
<b>b</b> From 2009 . . . . .		NONE		
<b>c</b> From 2010 . . . . .		NONE		
<b>d</b> From 2011 . . . . .		NONE		
<b>e</b> From 2012 . . . . .		NONE		
<b>f</b> Total of lines 3a through e . . . . .	NONE			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ <u>165,798.</u>				
<b>a</b> Applied to 2012, but not more than line 2a . . . . .			NONE	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .		NONE		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .	NONE			
<b>d</b> Applied to 2013 distributable amount . . . . .				163,725.
<b>e</b> Remaining amount distributed out of corpus . . . . .	2,073.			
<b>5</b> Excess distributions carryover applied to 2013 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a) )	NONE			NONE
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	2,073.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .			NONE	
<b>f</b> Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 . . . . .				NONE
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .	NONE			
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .	NONE			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	2,073.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2009 . . . . .	NONE			
<b>b</b> Excess from 2010 . . . . .	NONE			
<b>c</b> Excess from 2011 . . . . .	NONE			
<b>d</b> Excess from 2012 . . . . .	NONE			
<b>e</b> Excess from 2013 . . . . .	2,073.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
(3) Largest amount of support from an exempt organization. . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
HADASSAH WOMENS ZIONIST ORG OF AMERICA 50 WEST 58TH STREET NEW YORK NY 10019	N/A	PUBLIC	GENERAL	67,815.
AMERICAN FRIENDS OF MAGEN DAVID ADOM 352 SEVENTH AVE, SUITE 400 NEW YORK NY 10001	N/A	PUBLIC	GENERAL	67,815.
<b>Total</b> . . . . . ▶ <b>3a</b>				135,630.
<b>b Approved for future payment</b>				
<b>Total</b> . . . . . ▶ <b>3b</b>				





FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS AND INTEREST	77,429.	77,429.
RETURN OF CAPITAL	152.	
TOTAL	77,581.	77,429.

FORM 990PF, PART I - ACCOUNTING FEES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE	2,000.	1,000.		1,000.
TOTALS	2,000.	1,000.	NONE	1,000.

FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
PRIOR YEAR TAXES	1,321.	
ESTIMATED TAXES	2,783.	
FOREIGN TAXES	1,751.	1,751.
	-----	-----
TOTALS	5,855.	1,751.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES

=====

REVENUE  
AND  
EXPENSES  
PER BOOKS  
-----

CHARITABLE  
PURPOSES  
-----

DESCRIPTION  
-----

IL AG FILING FEE

15.

15.

TOTALS

-----  
15.  
=====

-----  
15.  
=====

FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
SEE ATTACHED SCHEDULE	1,976,410.	2,421,795.
TOTALS	1,976,410.	2,421,795.
	=====	=====

DAVID L. ROWE FOUNDATION 02-76811

65-6304279

FORM 990PF, PART II - CORPORATE BONDS  
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
SEE ATTACHED SCHEDULE	904,179.	912,653.
TOTALS	904,179.	912,653.
	=====	=====

FORM 990PF, PART II - OTHER INVESTMENTS  
=====

DESCRIPTION -----	COST/ FMV C OR F -----	ENDING BOOK VALUE -----	ENDING FMV ----
SEE ATTACHED SCHEDULE	C	132,724.	130,091.
TOTALS		132,724.	130,091.
		=====	=====

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at [www.irs.gov/form1041](http://www.irs.gov/form1041)

OMB No 1545-0092

**2013**

Name of estate or trust

DAVID L. ROWE FOUNDATION 02-76811

Employer identification number

65-6304279

Note: Form 5227 filers need to complete only Parts I and II.

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
<b>1a</b> Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
<b>1b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box A</b> checked . . . . .				
<b>2</b> Totals for all transactions reported on Form(s) 8949 with <b>Box B</b> checked . . . . .				
<b>3</b> Totals for all transactions reported on Form(s) 8949 with <b>Box C</b> checked . . . . .	183,753.	173,684.		10,069.
<b>4</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .				<b>4</b>
<b>5</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .				<b>5</b>
<b>6</b> Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet . . . . .				<b>6</b> ( )
<b>7</b> Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back . . . . . ▶				<b>7</b> 10,069.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
<b>8a</b> Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
<b>8b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box D</b> checked . . . . .				
<b>9</b> Totals for all transactions reported on Form(s) 8949 with <b>Box E</b> checked . . . . .				
<b>10</b> Totals for all transactions reported on Form(s) 8949 with <b>Box F</b> checked . . . . .	1,054,702.	864,714.		189,988.
<b>11</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .				<b>11</b>
<b>12</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts. . . . .				<b>12</b>
<b>13</b> Capital gain distributions. . . . .				<b>13</b> 26,352.
<b>14</b> Gain from Form 4797, Part I. . . . .				<b>14</b>
<b>15</b> Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet . . . . .				<b>15</b> ( )
<b>16</b> Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back . . . . . ▶				<b>16</b> 216,340.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

JSA  
3F1210 1 000

<b>Part III Summary of Parts I and II</b> <i>Caution: Read the instructions before completing this part.</i>		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
<b>17</b>	Net short-term gain or (loss) . . . . .	<b>17</b>		10,069.
<b>18</b>	Net long-term gain or (loss):			
<b>a</b>	Total for year . . . . .	<b>18a</b>		216,340.
<b>b</b>	Unrecaptured section 1250 gain (see line 18 of the wrksht.) . . . .	<b>18b</b>		
<b>c</b>	28% rate gain . . . . .	<b>18c</b>		
<b>19</b>	Total net gain or (loss). Combine lines 17 and 18a. . . . . ▶	<b>19</b>		226,409.

**Note:** If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

**Part IV Capital Loss Limitation**

<b>20</b>	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 19, column (3) or b \$3,000 . . . . .	<b>20</b>	( )
-----------	---	-----------	-----

**Note:** If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

**Form 990-T trusts.** Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

<b>21</b>	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . .	<b>21</b>		
<b>22</b>	Enter the smaller of line 18a or 19 in column (2) but not less than zero . . . . .	<b>22</b>		
<b>23</b>	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . .	<b>23</b>		
<b>24</b>	Add lines 22 and 23 . . . . .	<b>24</b>		
<b>25</b>	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	<b>25</b>		
<b>26</b>	Subtract line 25 from line 24. If zero or less, enter -0- . . . . .	<b>26</b>		
<b>27</b>	Subtract line 26 from line 21. If zero or less, enter -0- . . . . .	<b>27</b>		
<b>28</b>	Enter the smaller of the amount on line 21 or \$2,450 . . . . .	<b>28</b>		
<b>29</b>	Enter the smaller of the amount on line 27 or line 28 . . . . .	<b>29</b>		
<b>30</b>	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% . . . . . ▶	<b>30</b>		
<b>31</b>	Enter the smaller of line 21 or line 26 . . . . .	<b>31</b>		
<b>32</b>	Subtract line 30 from line 26 . . . . .	<b>32</b>		
<b>33</b>	Enter the smaller of line 21 or \$11,950 . . . . .	<b>33</b>		
<b>34</b>	Add lines 27 and 30 . . . . .	<b>34</b>		
<b>35</b>	Subtract line 34 from line 33. If zero or less, enter -0- . . . . .	<b>35</b>		
<b>36</b>	Enter the smaller of line 32 or line 35 . . . . .	<b>36</b>		
<b>37</b>	Multiply line 36 by 15%. . . . . ▶	<b>37</b>		
<b>38</b>	Enter the amount from line 31 . . . . .	<b>38</b>		
<b>39</b>	Add lines 30 and 36 . . . . .	<b>39</b>		
<b>40</b>	Subtract line 39 from line 38. If zero or less, enter -0- . . . . .	<b>40</b>		
<b>41</b>	Multiply line 40 by 20% . . . . . ▶	<b>41</b>		
<b>42</b>	Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . .	<b>42</b>		
<b>43</b>	Add lines 37, 41, and 42 . . . . .	<b>43</b>		
<b>44</b>	Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . .	<b>44</b>		
<b>45</b>	Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . . ▶	<b>45</b>		

**Sales and Other Dispositions of Capital Assets**

► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).

**2013**

Department of the Treasury  
Internal Revenue Service

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Attachment  
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

DAVID L. ROWE FOUNDATION 02-76811

65-6304279

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

**Part I Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

- (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
48.	ABBOTT LABORATORIES	11/19/2013	01/17/2014	1,888.00	1,684.00			204.00
24.	ADOBE SYS INC	11/13/2013	01/17/2014	1,469.00	1,314.00			155.00
2.	ALEXION PHARMACEUTICALS	03/08/2013	01/17/2014	280.00	182.00			98.00
10.	ALLERGAN INC	10/15/2013	01/17/2014	1,206.00	942.00			264.00
3.	ALLIANCE DATA SYSTEMS C	08/06/2013	11/07/2013	718.00	586.00			132.00
1.	ALLIANCE DATA SYSTEMS C	07/23/2013	11/08/2013	241.00	191.00			50.00
5.	AMAZON COM INC	05/01/2013	01/17/2014	2,014.00	1,255.00			759.00
2.	AMERICAN TOWER CORP	01/09/2014	01/17/2014	167.00	164.00			3.00
7.	APPLE COMPUTER INC	12/30/2013	01/17/2014	3,814.00	3,328.00			486.00
2.	ARM HLDGS PLC SPONSORED	07/22/2013	11/13/2013	90.00	84.00			6.00
21.	ARM HLDGS PLC SPONSORE	07/23/2013	01/17/2014	1,026.00	866.00			160.00
11.	BIOMARIN PHARMACEUTICA ISIN CH0008107010	10/02/2013	11/04/2013	690.00	804.00			-114.00
30.	BIOMARIN PHARMACEUTICA ISIN CH0008107010	10/25/2013	01/17/2014	2,208.00	1,869.00			339.00
2.	BIOGEN IDEC INC COM STK IDEC SEC#2005597 EFF 11	09/25/2013	01/17/2014	595.00	400.00			195.00
<p><b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if <b>Box A</b> above is checked), line 2 (if <b>Box B</b> above is checked), or line 3 (if <b>Box C</b> above is checked) ►</p>								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

# Sales and Other Dispositions of Capital Assets

► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)

**2013**

Department of the Treasury  
Internal Revenue Service

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Attachment  
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

DAVID L. ROWE FOUNDATION 02-76811

65-6304279

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**Part I** Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
54.	BRISTOL MYERS SQUIBB C	12/12/2013	01/17/2014	2,953.00	2,709.00			244.00
1.	BROADCOM CORP CL A	07/18/2013	07/25/2013	27.00	34.00			-7.00
25.	BROADCOM CORP CL A	07/18/2013	11/04/2013	665.00	841.00			-176.00
119.	BROADCOM CORP CL A	07/18/2013	01/17/2014	3,459.00	3,988.00			-529.00
3.	CANADIAN PACIFIC RAILWA	11/04/2013	11/04/2013	437.00	404.00			33.00
9.	CANADIAN PACIFIC RAILWA	01/14/2014	01/17/2014	1,342.00	1,100.00			242.00
4.	CELGENE CORP	04/04/2013	11/04/2013	606.00	466.00			140.00
14.	CELGENE CORP	11/21/2013	01/17/2014	2,344.00	1,556.00			788.00
15.	CONCHO RES INC COM STK	10/02/2013	01/17/2014	1,490.00	1,706.00			-216.00
322.	MFO DELAWARE VALUE FU	01/17/2014	03/05/2014	5,255.00	5,184.00			71.00
323.	MFO DELAWARE VALUE FU	01/17/2014	06/25/2014	5,665.00	5,200.00			465.00
2.	ADR DIAGEO PLC SPONSORE	03/20/2013	10/15/2013	246.00	246.00			
2.	ADR DIAGEO PLC SPONSORE	03/20/2013	10/16/2013	247.00	244.00			3.00
4.	ADR DIAGEO PLC SPONSORE	03/06/2013	12/06/2013	505.00	484.00			21.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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**Sales and Other Dispositions of Capital Assets**

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**2013**

Department of the Treasury  
Internal Revenue Service

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Attachment  
Sequence No **12A**

Name(s) shown on return  
DAVID L. ROWE FOUNDATION 02-76811

Social security number or taxpayer identification number  
65-6304279

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- (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	ADR DIAGEO PLC SPONSORE	03/06/2013	01/16/2014	258.00	240.00			18.00
4.	ADR DIAGEO PLC SPONSORE	03/08/2013	01/17/2014	510.00	478.00			32.00
4.	WALT DISNEY CO	04/09/2013	01/17/2014	296.00	237.00			59.00
6.	DISCOVERY COMMUNICATION COM SERA STK	08/09/2013	11/04/2013	517.00	493.00			24.00
23.	DISCOVERY COMMUNICATIO COM SERA STK	12/31/2013	01/17/2014	1,863.00	1,823.00			40.00
3.	DUNKIN BRANDS GROUP INC	05/15/2013	01/17/2014	141.00	126.00			15.00
12.	EMC CORP MASS	05/31/2013	10/22/2013	288.00	299.00			-11.00
18.	EMC CORP MASS	05/31/2013	10/23/2013	425.00	448.00			-23.00
4.	EBAY INC	12/10/2012	11/13/2013	211.00	204.00			7.00
18.	ENBRIDGE INC COM	07/24/2013	10/02/2013	742.00	810.00			-68.00
15.	EXPRESS SCRIPTS HLDG C	08/20/2013	01/17/2014	1,105.00	985.00			120.00
14.	FMC TECHNOLOGIES INC	04/25/2013	10/23/2013	741.00	752.00			-11.00
17.	FMC TECHNOLOGIES INC	04/25/2013	01/17/2014	866.00	863.00			3.00
18.	FACEBOOK INC CL A CL A	11/13/2013	01/17/2014	1,016.00	575.00			441.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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**2013**

Department of the Treasury  
Internal Revenue Service

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Attachment  
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

DAVID L. ROWE FOUNDATION 02-76811

65-6304279

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
21.	MFC FLEXSHARES TR MORN GLOBAL UPSTREAM NAT RES	07/16/2013	11/04/2013	729.00	688.00			41.00
181.	MFC FLEXSHARES TR MOR GLOBAL UPSTREAM NAT RES	01/17/2014	03/05/2014	6,308.00	5,989.00			319.00
92.	MFC FLEXSHARES TR MORN GLOBAL UPSTREAM NAT RES	07/16/2013	06/25/2014	3,417.00	3,014.00			403.00
8.	GILEAD SCIENCES INC	02/12/2013	01/17/2014	628.00	327.00			301.00
4.	GOLDMAN SACHS GROUP INC	10/25/2013	01/17/2014	706.00	655.00			51.00
207.	HARBOR FUND CAP APPRE	01/17/2014	03/05/2014	12,503.00	11,805.00			698.00
87.	HARBOR FUND CAP APPREC	01/17/2014	06/25/2014	5,126.00	4,962.00			164.00
3.	ILLUMINA INC	05/21/2013	01/17/2014	417.00	219.00			198.00
4.	INTL BUSINESS MACHINES	11/28/2012	07/16/2013	776.00	771.00			5.00
1.	INTUITIVE SURGICAL INC	02/21/2013	07/09/2013	418.00	562.00			-144.00
2.	INTUITIVE SURGICAL INC	06/18/2013	07/10/2013	841.00	1,002.00			-161.00
7.	ISHARES RUSSELL 1000 GR	11/09/2012	07/23/2013	533.00	448.00			85.00
24.	JOHNSON CONTROLS INC	07/19/2013	11/04/2013	1,119.00	762.00			357.00
112.	JOHNSON CONTROLS INC	02/06/2013	01/17/2014	5,745.00	3,509.00			2,236.00
<p><b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ▶</p>								

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**Sales and Other Dispositions of Capital Assets**

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**2013**

Department of the Treasury  
Internal Revenue Service

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Attachment  
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

DAVID L. ROWE FOUNDATION 02-76811

65-6304279

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr.)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	KANSAS CITY SOUTHERN IN	01/07/2013	09/13/2013	220.00	173.00			47.00
2.	KANSAS CITY SOUTHERN IN	01/07/2013	09/17/2013	223.00	173.00			50.00
1.	KANSAS CITY SOUTHERN IN	01/07/2013	09/18/2013	113.00	86.00			27.00
2.	KANSAS CITY SOUTHERN IN	01/14/2013	11/04/2013	247.00	171.00			76.00
3.	LAS VEGAS SANDS CORP	01/16/2014	01/17/2014	245.00	242.00			3.00
3.	LAUDER ESTEE COS INC CL	01/17/2013	01/17/2014	217.00	194.00			23.00
1.	LULULEMON ATHLETICA INC	05/15/2013	09/10/2013	70.00	81.00			-11.00
6.	LULULEMON ATHLETICA INC	05/15/2013	09/13/2013	409.00	460.00			-51.00
9.	LUXOTTICA GROUP S P A S	03/15/2013	11/04/2013	480.00	459.00			21.00
6.	LUXOTTICA GROUP S P A S	03/21/2013	01/16/2014	304.00	304.00			
28.	LUXOTTICA GROUP S P A ADR	03/28/2013	01/17/2014	1,406.00	1,333.00			73.00
6.	MASTERCARD INC CL A	07/23/2013	01/17/2014	4,903.00	3,590.00			1,313.00
5.	MEAD JOHNSON NUTRITION	09/18/2013	11/04/2013	408.00	392.00			16.00
17.	MEAD JOHNSON NUTRITION	09/17/2013	01/17/2014	1,402.00	1,309.00			93.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked)								

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Department of the Treasury  
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Name(s) shown on return

Social security number or taxpayer identification number

DAVID L. ROWE FOUNDATION 02-76811

65-6304279

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
30.	MERCK & CO INC	01/14/2014	01/17/2014	1,554.00	1,556.00			-2.00
45.	MONDELEZ INTL INC COM	06/18/2013	01/17/2014	1,576.00	1,382.00			194.00
12.	MONSANTO CO NEW	04/16/2013	01/17/2014	1,354.00	1,262.00			92.00
4.	MONSTER BEVERAGE CORP C	04/25/2013	10/01/2013	210.00	227.00			-17.00
7.	MONSTER BEVERAGE CORP C	05/01/2013	10/02/2013	364.00	395.00			-31.00
3.	MONSTER BEVERAGE CORP C	05/01/2013	10/03/2013	155.00	167.00			-12.00
19.	MORGAN STANLEY DEAN WI NEW	12/17/2012	09/24/2013	520.00	350.00			170.00
8.	MORGAN STANLEY DEAN WIT	12/13/2012	10/25/2013	234.00	143.00			91.00
6.	MORGAN STANLEY DEAN WIT	12/13/2012	10/28/2013	174.00	107.00			67.00
2.	NETFLIX INC COM STK	10/29/2013	11/04/2013	669.00	653.00			16.00
5.	NETFLIX INC COM STK	07/23/2013	01/17/2014	1,653.00	1,137.00			516.00
4.	NIKE INC CLASS B	02/21/2013	01/17/2014	295.00	218.00			77.00
13.	NOBLE ENERGY INC	10/01/2013	01/14/2014	837.00	882.00			-45.00
1222.	MFB NORTHERN MULTI M GLOBAL LISTEDINFRASTRUC	11/04/2013	03/05/2014	15,471.00	14,701.00			770.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked).								

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**Sales and Other Dispositions of Capital Assets**

Department of the Treasury  
Internal Revenue Service

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**2013**

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Attachment  
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Name(s) shown on return

DAVID L. ROWE FOUNDATION 02-76811

Social security number or taxpayer identification number

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1	(a) Description of property (Example: 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	374. MFB NORTHERN MULTI MA LISTEDINFRASTRUCTURE FU	11/04/2013	06/25/2014	5,105.00	4,499.00			606.00
	5. NOVO NORDISK A S ADR	04/25/2013	01/17/2014	191.00	170.00			21.00
	6. O REILLY AUTOMOTIVE INC USD0.01	01/15/2014	01/17/2014	810.00	799.00			11.00
	6. PERRIGO CO	07/30/2013	12/19/2013	913.00	760.00			153.00
	5915.39 PIMCO COMMODITY RE STRATEGY FUND	01/14/2013	07/16/2013	34,250.00	39,467.00			-5,217.00
	1. PRICELINE COM INC COM	04/25/2013	01/17/2014	1,178.00	712.00			466.00
	4. RACKSPACE HOSTING INC C	07/17/2013	12/02/2013	151.00	175.00			-24.00
	4. RED HAT INC	06/12/2013	01/17/2014	235.00	185.00			50.00
	20. SALESFORCE COM INC COM	01/14/2014	01/17/2014	1,197.00	987.00			210.00
	15. SCHLUMBERGER LTD ISIN 6	10/23/2013	01/17/2014	1,350.00	1,405.00			-55.00
	3. SPLUNK INC COMSTK COM U	07/16/2013	01/17/2014	237.00	149.00			88.00
	9. SPROUTS FMRS MKT INC CO	11/11/2013	01/17/2014	344.00	410.00			-66.00
	7. STARBUCKS CORP	09/11/2013	11/04/2013	562.00	466.00			96.00
	10. STARBUCKS CORP	02/19/2013	01/17/2014	747.00	546.00			201.00

**2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

**Sales and Other Dispositions of Capital Assets**

Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).

**2013**

Department of the Treasury  
Internal Revenue Service

File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Attachment  
Sequence No **12A**

Name(s) shown on return

DAVID L. ROWE FOUNDATION 02-76811

Social security number or taxpayer identification number

65-6304279

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part I Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
4.	TABLEAU SOFTWARE INC CL	11/07/2013	01/17/2014	291.00	256.00			35.00
8.	TESLA MTRS INC COM	12/12/2013	01/17/2014	1,359.00	1,264.00			95.00
12.	TRIPADVISOR INC COM CO	12/17/2013	01/17/2014	1,013.00	911.00			102.00
30.	TWENTY-FIRST CENTY FOX A	01/06/2014	01/17/2014	960.00	1,046.00			-86.00
13.	TWITTER INC COM	12/04/2013	01/17/2014	815.00	558.00			257.00
5.	UNDER ARMOUR INC CL A	10/29/2013	11/04/2013	402.00	409.00			-7.00
17.	UNDER ARMOUR INC CL A	10/29/2013	01/17/2014	1,401.00	1,353.00			48.00
1.	UNION PACIFIC CORP	01/09/2014	01/17/2014	168.00	169.00			-1.00
11.	VMWARE INC CL A COM CL	07/19/2013	01/17/2014	1,080.00	897.00			183.00
8.	WORKDAY INC CL A COM US	04/03/2013	01/17/2014	743.00	492.00			251.00
14.	MICHAEL KORS HOLDINGS	01/08/2014	01/17/2014	1,080.00	1,053.00			27.00
10.	PERRIGO COMPANY LIMITE EURO.001	12/19/2013	01/17/2014	1,566.00	1,522.00			44.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ▶				183,753.	173,684.			10,069.

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

DAVID L. ROWE FOUNDATION 02-76811

65-6304279

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**Part II Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box D, E, or F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- (E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo., day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
19.	AT&T INC	11/07/2011	11/04/2013	689.00	499.00			190.00
86.	AT&T INC	05/11/2010	01/17/2014	2,906.00	2,239.00			667.00
16.	ABBOTT LABORATORIES	10/10/2012	11/04/2013	589.00	542.00			47.00
6.	ABBOTT LABORATORIES	10/03/2012	01/17/2014	236.00	201.00			35.00
7.	ALEXION PHARMACEUTICALS	02/02/2012	11/04/2013	863.00	504.00			359.00
15.	ALEXION PHARMACEUTICAL	12/19/2011	01/17/2014	2,097.00	882.00			1,215.00
6.	ALLERGAN INC	01/11/2012	11/04/2013	540.00	468.00			72.00
12.	ALLERGAN INC	01/07/2013	01/17/2014	1,448.00	881.00			567.00
16.	ALLSTATE CORP	04/23/2010	11/04/2013	850.00	558.00			292.00
74.	ALLSTATE CORP	11/07/2011	01/17/2014	3,928.00	2,405.00			1,523.00
5.	AMAZON COM INC	04/26/2012	11/04/2013	1,785.00	752.00			1,033.00
1.	AMAZON COM INC	07/15/2010	12/30/2013	394.00	121.00			273.00
10.	AMAZON COM INC	07/15/2010	01/17/2014	4,028.00	1,213.00			2,815.00
20.	AMERICAN TOWER CORP	03/10/2011	01/17/2014	1,671.00	1,030.00			641.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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DAVID L. ROWE FOUNDATION 02-76811

Social security number or taxpayer identification number

65-6304279

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**Part II Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- (E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
15.	ANADARKO PETROLEUM COR	12/29/2011	10/01/2013	1,397.00	1,141.00			256.00
2.	APPLE COMPUTER INC	11/14/2011	09/04/2013	994.00	738.00			256.00
4.	APPLE COMPUTER INC	06/16/2010	11/04/2013	2,083.00	1,075.00			1,008.00
2.	APPLE COMPUTER INC	11/15/2012	12/20/2013	1,099.00	793.00			306.00
3.	APPLE COMPUTER INC	08/20/2010	01/17/2014	1,635.00	767.00			868.00
18.	ARCHER DANIELS MIDLAND	04/23/2010	11/04/2013	736.00	509.00			227.00
81.	ARCHER DANIELS MIDLAND	05/11/2010	01/17/2014	3,331.00	2,278.00			1,053.00
6.	ARM HLDGS PLC SPONSORED	09/17/2012	10/24/2013	280.00	170.00			110.00
6.	ARM HLDGS PLC SPONSORED	09/17/2012	10/28/2013	279.00	168.00			111.00
8.	ARM HLDGS PLC SPONSORED	08/03/2011	10/29/2013	384.00	223.00			161.00
8.	ARM HLDGS PLC SPONSORED	08/03/2011	11/04/2013	372.00	223.00			149.00
3.	ARM HLDGS PLC SPONSORED	08/03/2011	11/08/2013	137.00	84.00			53.00
3.	ARM HLDGS PLC SPONSORED	08/03/2011	11/12/2013	134.00	84.00			50.00
1.	ARM HLDGS PLC SPONSORED	08/03/2011	11/13/2013	45.00	28.00			17.00
<p><b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p>								

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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DAVID L. ROWE FOUNDATION 02-76811

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**You must check Box D, E, or F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- (E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
18.	BANK NEW YORK MELLON C	04/23/2010	11/04/2013	583.00	575.00			8.00
84.	BANK NEW YORK MELLON C	11/07/2011	01/17/2014	2,762.00	2,512.00			250.00
12.	BAXTER INTL INC	07/21/2010	11/04/2013	791.00	500.00			291.00
57.	BAXTER INTL INC	07/21/2010	01/17/2014	3,963.00	2,377.00			1,586.00
4.	BIOGEN IDEC INC COM STK IDEC SEC#2005597 EFF 11	09/18/2012	11/04/2013	972.00	623.00			349.00
12.	BIOGEN IDEC INC COM ST IDEC SEC#2005597 EFF 11	09/18/2012	01/17/2014	3,571.00	1,689.00			1,882.00
5.	BOEING CO	04/19/2011	11/04/2013	663.00	366.00			297.00
16.	BOEING CO	04/19/2011	01/17/2014	2,241.00	1,139.00			1,102.00
10.	BRISTOL MYERS SQUIBB C	10/06/2011	11/04/2013	529.00	326.00			203.00
13.	BRISTOL MYERS SQUIBB C	10/06/2011	01/17/2014	711.00	422.00			289.00
13.	BROADCOM CORP CL A	07/05/2012	07/23/2013	412.00	437.00			-25.00
9.	BROADCOM CORP CL A	07/19/2012	07/24/2013	244.00	292.00			-48.00
5.	BROADCOM CORP CL A	07/24/2012	07/25/2013	137.00	152.00			-15.00
13.	CVS CORP	04/23/2010	11/04/2013	807.00	479.00			328.00
<p><b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p>								

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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Social security number or taxpayer identification number

DAVID L. ROWE FOUNDATION 02-76811

65-6304279

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- (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- (E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
66.	CVS CORP	05/11/2010	01/17/2014	4,488.00	2,427.00			2,061.00
4.	CANADIAN PACIFIC RAILWA	01/07/2013	01/17/2014	597.00	428.00			169.00
19.	CARDINAL HEALTH INC	04/23/2010	11/04/2013	1,158.00	683.00			475.00
88.	CARDINAL HEALTH INC	05/11/2010	01/17/2014	5,991.00	3,156.00			2,835.00
8.	CHEVRONTEXACO CORP	11/07/2011	11/04/2013	940.00	678.00			262.00
34.	CHEVRONTEXACO CORP	04/23/2010	01/17/2014	4,081.00	2,779.00			1,302.00
1.	CHIPOTLE MEXICAN GRILL	04/25/2011	11/04/2013	533.00	273.00			260.00
1.	CHIPOTLE MEXICAN GRILL	04/25/2011	11/19/2013	538.00	273.00			265.00
3.	CHIPOTLE MEXICAN GRILL	04/25/2011	01/17/2014	1,588.00	718.00			870.00
42.	CISCO SYS INC	06/22/2011	11/04/2013	947.00	649.00			298.00
196.	CISCO SYS INC	06/22/2011	01/17/2014	4,452.00	3,030.00			1,422.00
12.	CONOCOPHILLIPS	09/18/2012	11/04/2013	877.00	693.00			184.00
57.	CONOCOPHILLIPS	07/02/2012	01/17/2014	3,866.00	2,507.00			1,359.00
6.	COSTCO WHSL CORP NEW	09/20/2011	11/04/2013	720.00	511.00			209.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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- (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example. 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	19. COSTCO WHSL CORP NEW	09/20/2011	01/17/2014	2,213.00	986.00			1,227.00
	12. WALT DISNEY CO	06/17/2010	11/04/2013	825.00	420.00			405.00
	32. WALT DISNEY CO	01/14/2013	01/17/2014	2,372.00	1,294.00			1,078.00
	16. E I DU PONT DE NEMOURS	11/07/2011	11/04/2013	957.00	662.00			295.00
	75. E I DU PONT DE NEMOURS	05/11/2010	01/17/2014	4,799.00	2,971.00			1,828.00
	13. DUNKIN BRANDS GROUP IN	04/04/2012	11/04/2013	620.00	392.00			228.00
	6. DUNKIN BRANDS GROUP INC	04/04/2012	12/02/2013	291.00	176.00			115.00
	29. DUNKIN BRANDS GROUP IN	12/06/2011	01/17/2014	1,360.00	729.00			631.00
	12. EMC CORP MASS	01/26/2012	09/24/2013	311.00	307.00			4.00
	18. EMC CORP MASS	01/26/2012	10/16/2013	444.00	451.00			-7.00
	5. EMC CORP MASS	05/24/2012	10/22/2013	120.00	121.00			-1.00
	8. EBAY INC	10/19/2012	10/28/2013	416.00	399.00			17.00
	8. EBAY INC	10/19/2012	11/04/2013	411.00	399.00			12.00
	5. EBAY INC	11/08/2012	11/13/2013	264.00	241.00			23.00
<p><b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p>								

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Social security number or taxpayer identification number

DAVID L. ROWE FOUNDATION 02-76811

65-6304279

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- (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
6.	EBAY INC	11/20/2012	11/26/2013	293.00	290.00			3.00
12.	EBAY INC	12/10/2012	01/17/2014	636.00	585.00			51.00
15.	EDISON INTL	11/07/2011	11/04/2013	743.00	530.00			213.00
71.	EDISON INTL	04/23/2010	01/17/2014	3,328.00	2,417.00			911.00
18.	EXPRESS SCRIPTS HLDG C	05/14/2012	10/25/2013	1,094.00	979.00			115.00
2.	EXPRESS SCRIPTS HLDG CO	05/09/2012	01/17/2014	147.00	109.00			38.00
17.	FACEBOOK INC CL A CL A	05/25/2012	11/04/2013	828.00	553.00			275.00
10.	FACEBOOK INC CL A CL A	10/24/2012	12/30/2013	541.00	285.00			256.00
36.	FACEBOOK INC CL A CL A	01/08/2013	01/17/2014	2,032.00	813.00			1,219.00
1260.	MFC FLEXSHARES TR TR TARGET DURATION TIPS IN	07/24/2012	11/04/2013	31,399.00	31,850.00			-451.00
78.	MFC FLEXSHARES TR TR I TARGET DURATION TIPS IN	07/24/2012	03/05/2014	1,952.00	1,972.00			-20.00
132.	MFC FLEXSHARES TR TR TARGET DURATION TIPS IN	04/04/2013	06/25/2014	3,325.00	3,371.00			-46.00
14.	GILEAD SCIENCES INC	10/04/2012	11/04/2013	977.00	488.00			489.00
6.	GILEAD SCIENCES INC	11/15/2012	12/10/2013	437.00	219.00			218.00
<p><b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p>								

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						(f) Code(s) from instructions	(g) Amount of adjustment	
	6. GILEAD SCIENCES INC	10/04/2012	12/12/2013	424.00	209.00			215.00
	26. GILEAD SCIENCES INC	10/04/2012	01/17/2014	2,040.00	867.00			1,173.00
	4. GOLDMAN SACHS GROUP INC	03/22/2012	11/04/2013	650.00	502.00			148.00
	9. GOLDMAN SACHS GROUP INC	03/22/2012	01/17/2014	1,588.00	1,099.00			489.00
	1. GOOGLE INC CL A	01/05/2012	09/20/2013	901.00	658.00			243.00
	1. GOOGLE INC CL A	04/23/2010	11/04/2013	1,024.00	545.00			479.00
	6. GOOGLE INC CL A	11/30/2012	01/17/2014	6,910.00	3,684.00			3,226.00
	23. HALLIBURTON CO	06/20/2012	11/04/2013	1,227.00	680.00			547.00
	107. HALLIBURTON CO	06/20/2012	01/17/2014	5,440.00	3,165.00			2,275.00
	7. ILLUMINA INC	12/06/2011	11/04/2013	670.00	252.00			418.00
	17. ILLUMINA INC	11/20/2012	01/17/2014	2,360.00	570.00			1,790.00
	26. INTEL CORP	04/23/2010	11/04/2013	629.00	622.00			7.00
	122. INTEL CORP	11/07/2011	01/17/2014	3,115.00	2,873.00			242.00
	2. INTL BUSINESS MACHINES	08/15/2011	07/12/2013	384.00	346.00			38.00
<p><b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p>								

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2.	INTL BUSINESS MACHINES	05/25/2010	07/16/2013	388.00	248.00			140.00
37.	ISHARES RUSSELL 1000 G	05/04/2012	07/23/2013	2,818.00	2,176.00			642.00
8.	JOHNSON & JOHNSON	08/15/2011	11/04/2013	740.00	516.00			224.00
39.	JOHNSON & JOHNSON	11/07/2011	01/17/2014	3,702.00	2,468.00			1,234.00
15.	KRAFT FOODS GROUP INC	10/01/2012	11/04/2013	819.00	589.00			230.00
70.	KRAFT FOODS GROUP INC	12/05/2012	01/17/2014	3,819.00	3,036.00			783.00
1.	KRAFT FOODS GROUP INC C	07/14/2010	01/22/2014	54.00	31.00			23.00
12.	LAUDER ESTEE COS INC C	12/10/2010	10/31/2013	854.00	460.00			394.00
2.	LAUDER ESTEE COS INC CL	11/26/2010	11/01/2013	140.00	76.00			64.00
3.	LAUDER ESTEE COS INC CL	11/30/2010	11/05/2013	212.00	113.00			99.00
1.	LAUDER ESTEE COS INC CL	12/03/2012	12/12/2013	73.00	59.00			14.00
24.	LAUDER ESTEE COS INC C	01/15/2013	01/17/2014	1,735.00	1,078.00			657.00
1.	LINKEDIN CORP CL A	01/19/2012	07/11/2013	196.00	74.00			122.00
2.	LINKEDIN CORP CL A	11/18/2011	07/25/2013	408.00	144.00			264.00
<p><b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p>								

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4.	LINKEDIN CORP CL A	11/18/2011	11/04/2013	885.00	288.00			597.00
14.	LINKEDIN CORP CL A	11/28/2011	01/17/2014	3,096.00	904.00			2,192.00
25.	LOWES COMPANIES INC	02/15/2012	11/04/2013	1,237.00	685.00			552.00
114.	LOWES COMPANIES INC	02/09/2012	01/17/2014	5,456.00	2,888.00			2,568.00
3.	LULULEMON ATHLETICA INC	06/15/2011	09/06/2013	209.00	137.00			72.00
2.	LULULEMON ATHLETICA INC	06/15/2011	09/10/2013	140.00	91.00			49.00
21.	MARATHON OIL CORP	11/07/2011	11/04/2013	738.00	615.00			123.00
96.	MARATHON OIL CORP	11/07/2011	01/17/2014	3,275.00	1,921.00			1,354.00
22.	MARSH & MCLENNAN COS I	09/10/2010	11/04/2013	1,008.00	532.00			476.00
100.	MARSH & MCLENNAN COS	09/10/2010	01/17/2014	4,864.00	2,420.00			2,444.00
1.	MASTERCARD INC CL A	04/23/2010	08/09/2013	640.00	267.00			373.00
4.	MASTERCARD INC CL A	10/08/2012	10/31/2013	2,895.00	1,272.00			1,623.00
7.	MASTERCARD INC CL A	04/23/2010	01/17/2014	5,720.00	1,867.00			3,853.00
16.	MERCK & CO INC	04/23/2010	11/04/2013	732.00	547.00			185.00
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73.	MERCK & CO INC	11/07/2011	01/17/2014	3,782.00	2,490.00			1,292.00
44.	MONDELEZ INTL INC COM	10/10/2012	11/04/2013	1,474.00	1,202.00			272.00
141.	MONDELEZ INTL INC COM	11/09/2012	01/17/2014	4,939.00	3,057.00			1,882.00
5.	MONSANTO CO NEW	11/07/2011	08/07/2013	475.00	352.00			123.00
9.	MONSANTO CO NEW	10/11/2012	11/04/2013	933.00	676.00			257.00
17.	MONSANTO CO NEW	03/10/2011	01/17/2014	1,918.00	1,120.00			798.00
49.	MORGAN STANLEY DEAN WI NEW	12/13/2012	01/17/2014	1,638.00	872.00			766.00
17.	MOTOROLA SOLUTIONS INC	02/11/2011	11/04/2013	1,065.00	568.00			497.00
74.	MOTOROLA SOLUTIONS INC	05/11/2010	01/17/2014	4,906.00	2,154.00			2,752.00
1.	MOTOROLA SOLUTIONS INC	05/11/2010	01/22/2014	64.00	28.00			36.00
1.	NIKE INC CLASS B	11/07/2011	07/23/2013	63.00	47.00			16.00
2.	NIKE INC CLASS B	11/07/2011	07/25/2013	125.00	94.00			31.00
10.	NIKE INC CLASS B	08/16/2012	11/04/2013	760.00	474.00			286.00
30.	NIKE INC CLASS B	07/08/2010	01/17/2014	2,212.00	1,057.00			1,155.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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59.	MFB NORTHN MID CAP IND	03/05/2012	01/17/2014	1,001.00	761.00			240.00
404.	MFB NORTHN MID CAP IN	03/05/2012	03/05/2014	7,058.00	5,208.00			1,850.00
159.	MFB NORTHN MID CAP IN	03/05/2012	06/25/2014	2,862.00	2,050.00			812.00
1395.	MFB NORTHN INTL EQTY	04/23/2010	06/25/2014	17,982.00	14,187.00			3,795.00
3496.	MFB NORTHN FDS MULTI GLOBAL REALESTATE FD	05/08/2012	07/16/2013	65,865.00	60,311.00			5,554.00
674.	MFB NORTHN FDS MULTI- GLOBAL REALESTATE FD	01/14/2013	01/17/2014	11,087.00	12,536.00			-1,449.00
282.	MFB NORTHN FDS MULTI- GLOBAL REALESTATE FD	01/14/2013	06/25/2014	5,025.00	5,245.00			-220.00
2754.	MFB NORTHN FDS MULTI EMERGING MKTS EQTY FD	04/23/2010	11/04/2013	53,731.00	59,239.00			-5,508.00
529.	MFB NORTHN FDS MULTI- EMERGING MKTS EQTY FD	04/23/2010	03/05/2014	9,718.00	11,379.00			-1,661.00
477.	MFB NORTHN FDS MULTI- EMERGING MKTS EQTY FD	04/23/2010	06/25/2014	9,488.00	10,260.00			-772.00
2483.	MFB NORTHERN FUNDS B	11/07/2011	11/04/2013	26,220.00	27,239.00			-1,019.00
1939.	MFB NORTHERN FUNDS B	12/19/2012	06/25/2014	20,670.00	21,353.00			-683.00
1287.	MFB NORTHN MULTI-MAN EQTY FD FD	04/04/2013	06/25/2014	14,569.00	12,021.00			2,548.00
4954.	MFB NORTHN MULTIMGR	06/30/2011	11/04/2013	64,253.00	54,197.00			10,056.00
<p><b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p>								

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Social security number or taxpayer identification number

DAVID L. ROWE FOUNDATION 02-76811

65-6304279

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- (E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
404.	MFB NORTHN MULTIMGR S	12/19/2012	01/17/2014	4,634.00	3,908.00			726.00
101.	MFB NORTHN MULTIMGR S	11/08/2012	06/25/2014	1,173.00	927.00			246.00
407.	MFB NORTHN MULTIMGR M	12/19/2012	01/17/2014	5,531.00	4,585.00			946.00
108.	MFB NORTHN MULTIMGR M	04/23/2010	06/25/2014	1,538.00	1,172.00			366.00
1853.	MFB NORTHN FUNDS EME EQTY EQTY INDEX FD	03/05/2012	11/04/2013	21,717.00	22,051.00			-334.00
402.	MFB NORTHN FUNDS EMER EQTY EQTY INDEX FD	01/14/2013	03/05/2014	4,330.00	4,856.00			-526.00
438.	MFB NORTHN FUNDS EMER EQTY EQTY INDEX FD	01/14/2013	06/25/2014	5,173.00	5,291.00			-118.00
4871.	MFB NORTHN FDS SMALL FD	03/05/2012	11/04/2013	59,426.00	43,157.00			16,269.00
70.	MFB NORTHN FDS SMALL C	03/05/2012	01/17/2014	861.00	620.00			241.00
387.	MFB NORTHN FDS SMALL	03/05/2012	03/05/2014	4,919.00	3,429.00			1,490.00
94.	MFB NORTHN FDS SMALL C	03/05/2012	06/25/2014	1,176.00	833.00			343.00
6096.	MFB NORTHERN FDS STK	04/23/2010	11/04/2013	133,929.00	91,867.00			42,062.00
915.	MFB NORTHERN FDS STK	04/23/2010	03/05/2014	21,283.00	13,789.00			7,494.00
850.	MFB NORTHERN FDS STK	04/23/2010	06/25/2014	20,613.00	12,810.00			7,803.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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Social security number or taxpayer identification number

DAVID L. ROWE FOUNDATION 02-76811

65-6304279

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	10. NORTHROP GRUMMAN CORP	04/23/2010	11/04/2013	1,085.00	619.00			466.00
	50. NORTHROP GRUMMAN CORP	11/07/2011	01/17/2014	5,903.00	3,006.00			2,897.00
	4. NOVO NORDISK A S ADR	04/27/2012	09/09/2013	656.00	555.00			101.00
	4. NOVO NORDISK A S ADR	03/15/2011	11/04/2013	668.00	482.00			186.00
	60. NOVO NORDISK A S ADR	01/14/2013	01/17/2014	2,290.00	1,626.00			664.00
	9. OCCIDENTAL PETROLEUM CO	10/24/2012	11/04/2013	874.00	726.00			148.00
	40. OCCIDENTAL PETROLEUM C	10/24/2012	01/17/2014	3,654.00	3,225.00			429.00
	2530. PIMCO FDS HIGH YIELD	03/05/2012	03/05/2014	24,668.00	23,605.00			1,063.00
	965. PIMCO FDS HIGH YIELD	04/04/2013	06/25/2014	9,447.00	9,380.00			67.00
	3. PERRIGO CO	08/01/2012	11/04/2013	429.00	343.00			86.00
	4. PERRIGO CO	09/17/2012	12/19/2013	609.00	448.00			161.00
	30. PFIZER INC	03/21/2011	11/04/2013	934.00	596.00			338.00
	142. PFIZER INC	11/07/2011	01/17/2014	4,412.00	2,482.00			1,930.00
	9241.61 PIMCO COMMODITY RE STRATEGY FUND	05/08/2012	07/16/2013	53,509.00	70,378.00			-16,869.00
<p><b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p>								

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DAVID L. ROWE FOUNDATION 02-76811

65-6304279

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	1735. PIMCO COMMODITY REAL STRATEGY FUND	01/14/2013	03/05/2014	10,393.00	11,572.00			-1,179.00
	568. PIMCO COMMODITY REALR STRATEGY FUND	01/14/2013	06/25/2014	3,482.00	3,789.00			-307.00
	1752. MFO PIMCO FDS EMERGI FD INSTLCL USD	01/14/2013	03/05/2014	16,153.00	18,986.00			-2,833.00
	204. MFO PIMCO FDS EMERGIN FD INSTLCL USD	04/04/2013	06/25/2014	1,977.00	2,217.00			-240.00
	2. PRECISION CASTPARTS COR	04/23/2010	11/04/2013	505.00	265.00			240.00
	10. PRECISION CASTPARTS CO	04/23/2010	01/17/2014	2,705.00	1,324.00			1,381.00
	1. PRICELINE COM INC COM	08/16/2012	11/04/2013	1,062.00	588.00			474.00
	1. PRICELINE COM INC COM	11/08/2011	12/30/2013	1,153.00	551.00			602.00
	3. PRICELINE COM INC COM	01/11/2012	01/17/2014	3,534.00	1,445.00			2,089.00
	10. QUEST DIAGNOSTICS INC	04/23/2010	11/04/2013	620.00	576.00			44.00
	44. QUEST DIAGNOSTICS INC	11/07/2011	01/17/2014	2,404.00	2,491.00			-87.00
	13. RACKSPACE HOSTING INC	05/25/2012	11/25/2013	481.00	672.00			-191.00
	5. RACKSPACE HOSTING INC C	07/02/2012	12/02/2013	189.00	234.00			-45.00
	1. RALPH LAUREN CORP CL A	07/02/2012	07/23/2013	181.00	140.00			41.00
<p><b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p>								

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DAVID L. ROWE FOUNDATION 02-76811

65-6304279

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1	(a) Description of property (Example: 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
4.	RALPH LAUREN CORP CL A	05/18/2010	08/14/2013	708.00	354.00			354.00
4.	RALPH LAUREN CORP CL A	05/18/2010	09/18/2013	672.00	344.00			328.00
5.	RALPH LAUREN CORP CL A	06/16/2010	01/17/2014	814.00	402.00			412.00
13.	RAYTHEON CO NEW	04/15/2011	11/04/2013	1,092.00	636.00			456.00
63.	RAYTHEON CO NEW	11/07/2011	01/17/2014	5,674.00	3,037.00			2,637.00
8.	RED HAT INC	04/26/2012	09/24/2013	376.00	400.00			-24.00
14.	RED HAT INC	01/30/2012	11/04/2013	606.00	617.00			-11.00
24.	RED HAT INC	01/11/2012	01/17/2014	1,410.00	944.00			466.00
15.	SALESFORCE COM INC COM	11/23/2011	11/04/2013	827.00	394.00			433.00
5.	SALESFORCE COM INC COM	04/23/2010	11/18/2013	277.00	110.00			167.00
38.	SALESFORCE COM INC COM	04/23/2010	01/17/2014	2,275.00	833.00			1,442.00
23.	SPLUNK INC COMSTK COM	11/20/2012	01/17/2014	1,815.00	702.00			1,113.00
14.	STARBUCKS CORP	12/14/2012	01/17/2014	1,046.00	745.00			301.00
1.	TJX COS INC NEW	05/16/2012	08/08/2013	53.00	43.00			10.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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DAVID L. ROWE FOUNDATION 02-76811	65-6304279

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1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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	3. TJX COS INC NEW	05/16/2012	08/13/2013	158.00	128.00			30.00
	12. TJX COS INC NEW	09/28/2012	11/04/2013	729.00	542.00			187.00
	44. TJX COS INC NEW	10/24/2012	01/17/2014	2,729.00	1,859.00			870.00
	1. TRANSDIGM GROUP INC COM	05/30/2012	09/23/2013	135.00	103.00			32.00
	5. TRANSDIGM GROUP INC COM	05/30/2012	09/24/2013	685.00	514.00			171.00
	10. THE TRAVELERS COMPANIE	04/23/2010	11/04/2013	866.00	531.00			335.00
	47. THE TRAVELERS COMPANIE	04/23/2010	01/17/2014	4,079.00	2,494.00			1,585.00
	3. UNION PACIFIC CORP	01/23/2012	10/25/2013	457.00	334.00			123.00
	3. UNION PACIFIC CORP	12/29/2011	10/28/2013	457.00	316.00			141.00
	3. UNION PACIFIC CORP	12/29/2011	10/30/2013	451.00	316.00			135.00
	9. UNION PACIFIC CORP	12/29/2011	01/17/2014	1,514.00	807.00			707.00
	6. UNITED TECHNOLOGIES COR	02/09/2012	11/04/2013	645.00	502.00			143.00
	18. UNITED TECHNOLOGIES CO	02/10/2012	01/17/2014	2,057.00	1,428.00			629.00
	3. UNITEDHEALTH GROUP INC	02/17/2012	10/18/2013	207.00	164.00			43.00
<p><b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p>								

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1	(a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
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9.	UNITEDHEALTH GROUP INC	02/17/2012	10/21/2013	613.00	492.00			121.00
8.	UNITEDHEALTH GROUP INC	02/17/2012	10/22/2013	551.00	437.00			114.00
16.	VERIZON COMMUNICATIONS	11/07/2011	11/04/2013	814.00	462.00			352.00
77.	VERIZON COMMUNICATIONS	05/11/2010	01/17/2014	3,736.00	2,079.00			1,657.00
4.	VERTEX PHARMACEUTICALS	05/14/2012	07/30/2013	328.00	170.00			158.00
2.	VERTEX PHARMACEUTICALS	10/07/2010	08/09/2013	154.00	70.00			84.00
2.	VERTEX PHARMACEUTICALS	12/06/2011	08/13/2013	155.00	65.00			90.00
2.	VERTEX PHARMACEUTICALS	12/06/2011	10/14/2013	147.00	60.00			87.00
8.	VERTEX PHARMACEUTICALS	12/06/2011	11/04/2013	533.00	239.00			294.00
6.	VERTEX PHARMACEUTICALS	12/06/2011	11/04/2013	396.00	179.00			217.00
20.	VERTEX PHARMACEUTICALS	12/17/2012	01/17/2014	1,643.00	747.00			896.00
6.	VMWARE INC CL A COM CL	05/24/2012	11/04/2013	489.00	458.00			31.00
10.	VMWARE INC CL A COM CL	04/23/2010	01/17/2014	982.00	611.00			371.00
16.	WASTE MGMT INC DEL	11/07/2011	11/04/2013	698.00	466.00			232.00
<p><b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p>								

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)	Social security number or taxpayer identification number
DAVID L. ROWE FOUNDATION 02-76811	65-6304279

*Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.*

**Part II Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box D, E, or F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	79. WASTE MGMT INC DEL	08/05/2009	01/17/2014	3,411.00	2,278.00			1,133.00
	11. WHOLE FOODS MKT INC	08/24/2010	11/04/2013	699.00	196.00			503.00
	38. WHOLE FOODS MKT INC	10/11/2010	01/17/2014	1,986.00	672.00			1,314.00
	9. WORKDAY INC CL A COM US	12/05/2012	01/17/2014	835.00	444.00			391.00
	66. XEROX CORP	04/23/2010	11/04/2013	664.00	749.00			-85.00
	313. XEROX CORP	11/07/2011	01/17/2014	3,817.00	3,287.00			530.00
	10. MICHAEL KORS HOLDINGS	03/23/2012	11/04/2013	739.00	476.00			263.00
	28. MICHAEL KORS HOLDINGS	07/18/2012	01/17/2014	2,160.00	1,219.00			941.00
	6. AVAGO TECHNOLOGIES LTD	06/26/2012	08/09/2013	221.00	197.00			24.00
	5. AVAGO TECHNOLOGIES LTD	03/01/2011	08/29/2013	194.00	160.00			34.00
	4. AVAGO TECHNOLOGIES LTD	03/01/2011	09/10/2013	158.00	128.00			30.00
	4. AVAGO TECHNOLOGIES LTD	03/01/2011	09/17/2013	160.00	128.00			32.00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				1,054,702.	864,714.			189,988.

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment

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## ◆ Asset Detail - Base Currency

Description / Asset ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
<b>Equity Securities</b>							
<b>Equity funds</b>							
<b>Emerging Markets Region - USD</b>							
MFB NORTHN FDS MULTI-MANAGER EMERGING MKTS EQTY FD CUSIP 665162483							
12,283 730	20 05	0 00	246,288 78	228,349 99	17,938 79	0 00	17,938 79
MFB NORTHN FUNDS EMERGING MKTS EQTY INDEX FD CUSIP 665162582							
11,292 810	11 89	0 00	134,271 51	132,564 54	1,706 87	0 00	1,706 87
Total USD		0 00	380,560 29	360,914 53	19,645 76	0 00	19,645 76
Total Emerging Markets Region		0 00	380,560 29	360,914 53	19,645 76	0 00	19,645 76
<b>Global Region - USD</b>							
MFC FLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD CUSIP 33939L407							
2,379 000	37 38	0 00	88,927 02	77,935 10	10,991 92	0 00	10,991 92
Total USD		0 00	88,927 02	77,935 10	10,991 92	0 00	10,991 92
Total Global Region		0 00	88,927 02	77,935 10	10,991 92	0 00	10,991 92
<b>International Region - USD</b>							
MFB NORTHN INTL EQTY INDEX FD CUSIP 665130209							
35,677 450	12 93	0 00	481,309 42	379,813 20	81,496 22	0 00	81,496 22
MFB NORTHN MULTI-MANAGER INTL EQTY FD CUSIP 665162558							
32,898 460	11 36	0 00	373,726 50	318,246 02	55,480 48	0 00	55,480 48
Total USD		0 00	835,035 92	698,059 22	136,976 70	0 00	136,976 70
Total International Region		0 00	835,035 92	698,059 22	136,976 70	0 00	136,976 70
<b>United States - USD</b>							

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## ◆ Asset Detail - Base Currency

Description / Asset ID	Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss		
							Translation	Total	
<i>Equity Securities</i>									
<b>Equity funds</b>									
<b>United States - USD</b>									
MFB NORTHERN FDS SMALL CAP INDEX FD CUSIP 665162723									
2,430 150	12 63	30,692 79	0 00	21,854 31	8,838 48	0 00	0 00	8,838 48	
MFB NORTHERN FDS STK INDEX FD CUSIP 665162772									
21,896 970	24 26	531,220 49	0 00	328,577 56	202,642 91	0 00	0 00	202,642 91	
MFB NORTHERN MULTI MANAGER GLOBAL LISTEDINFRASTRUCTURE FUND CUSIP 665162384									
9,636 200	13 77	132,690 47	0 00	110,615 75	22,074 72	0 00	0 00	22,074 72	
MFB NORTHN MID CAP INDEX FD CUSIP 665130100									
4,069 740	18 14	74,187 88	0 00	58,216 50	15,971 38	0 00	0 00	15,971 38	
MFB NORTHN MULTI-MANAGER MID CAP FD CUSIP 665162574									
2,764 040	14 32	39,581 05	0 00	34,536 39	5,044 66	0 00	0 00	5,044 66	
MFB NORTHN MULTI-MANAGER SMALL CAP FD CUSIP 665162568									
2,611 020	11 74	30,653 37	0 00	24,232 31	6,421 06	0 00	0 00	6,421 06	
MFO DELAWARE VALUE FUND-I CUSIP 24610C857									
8,298 210	17 53	145,467 62	0 00	133,601 10	11,866 52	0 00	0 00	11,866 52	
MFO HBR FD CAP APPRECIATION FD CUSIP 411511504									
2,242 110	59 22	132,777 75	0 00	127,867 48	4,910 27	0 00	0 00	4,910 27	
Total USD		1,117,271 42	0 00	839,501 42	277,770 00	0 00	0 00	277,770 00	
Total United States		1,117,271 42	0 00	839,501 42	277,770 00	0 00	0 00	277,770 00	

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## ◆ Asset Detail - Base Currency

Description / Assg ID	Investment Migr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
<b>Equity Securities</b>								
<b>Total Equity Funds</b>								
	148,499,890		0.00	2,421,784.65	1,976,410.27	445,384.38	0.00	445,384.38
<b>Total Equity Securities</b>								
	148,499,890		0.00	2,421,784.65	1,976,410.27	445,384.38	0.00	445,384.38
<b>Fixed Income Securities</b>								
<b>Corporate/government</b>								
<b>International Region - USD</b>								
MFO PIMCO FDS EMERGING LOCAL BD FD INSTLCL USD CUSIP 72201F516								
Issue Date	29 Aug 08	9 70	0.00	50,954 10	55,140 60	-4,186 50	0.00	-4,186 50
<b>Total USD</b>								
			0.00	50,954 10	55,140 60	-4,186 50	0.00	-4,186 50
<b>Total International Region</b>								
			0.00	50,954 10	55,140 60	-4,186 50	0.00	-4,186 50
<b>United States - USD</b>								
MFB NORTHERN FDS BD INDEX FD CUSIP 665162533								
Issue Date	25 Aug 08	10 68	0.00	533,555 71	526,817 03	6,738 68	0.00	6,738 68
<b>Total USD</b>								
			0.00	533,555 71	526,817 03	6,738 68	0.00	6,738 68
<b>Total United States</b>								
			0.00	533,555 71	526,817 03	6,738 68	0.00	6,738 68
<b>Total International Region</b>								
			0.00	50,954 10	55,140 60	-4,186 50	0.00	-4,186 50
<b>Total United States</b>								
			0.00	776,328 58	763,379 03	12,949 55	0.00	12,949 55
<b>Total United States</b>								
			0.00	776,328 58	763,379 03	12,949 55	0.00	12,949 55

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Description / Asset ID	Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
<b>Fixed Income Securities</b>								
<b>Total Corporate/Government</b>								
80,060.210			0.00	827,282.68	818,519.63	8,763.05	0.00	8,763.05
<b>Other bonds</b>								
<b>United States - USD</b>								
MFC FLEXSHARES TR TR IBOXX 3 YR TARGET DURATION TIPS INDEX FD CUSIP 33839L506								
3,381,000		25.25	0.00	85,370.25	85,659.25	-289.00	0.00	-289.00
Issue Date 07 Dec 12								
<b>Total USD</b>								
			0.00	85,370.25	85,659.25	-289.00	0.00	-289.00
<b>Total United States</b>								
			0.00	85,370.25	85,659.25	-289.00	0.00	-289.00
<b>Total Other Bonds</b>								
3,381,000			0.00	85,370.25	85,659.25	-289.00	0.00	-289.00
<b>Total Fixed Income Securities</b>								
83,441.210			0.00	912,652.93	904,178.88	8,474.05	0.00	8,474.05
<b>Real Estate</b>								
<b>Real estate funds</b>								
<b>Global Region - USD</b>								
MFB NORTHN FDS MULTI-MANAGER GLOBAL REAL ESTATE FD CUSIP 665162475								
7,267,660		17.90	0.00	130,091.11	132,724.02	-2,632.91	0.00	-2,632.91
<b>Total USD</b>								
			0.00	130,091.11	132,724.02	-2,632.91	0.00	-2,632.91
<b>Total Global Region</b>								
			0.00	130,091.11	132,724.02	-2,632.91	0.00	-2,632.91
<b>Total Real Estate Funds</b>								
7,267,660			0.00	130,091.11	132,724.02	-2,632.91	0.00	-2,632.91

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## ◆ Asset Detail - Base Currency

Description / Asset ID	Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Total Real Estate	7,267,660		0.00	130,091.11	132,724.02	-2,632.91	0.00	-2,632.91

## Commodities

### Commodities

#### United States - USD

MFO PIMCO FDS PAC INVT MGMT SER COMMODITY REALRETURN STRATEGY FD INSTL CUSIP 722005667

14,650,090	6.05		0.00	88,633.04	92,954.57	-4,321.53	0.00	-4,321.53
Total USD			0.00	88,633.04	92,954.57	-4,321.53	0.00	-4,321.53
Total United States			0.00	88,633.04	92,954.57	-4,321.53	0.00	-4,321.53
Total Commodities			0.00	88,633.04	92,954.57	-4,321.53	0.00	-4,321.53
14,650,090			0.00	88,633.04	92,954.57	-4,321.53	0.00	-4,321.53
Total Commodities			0.00	88,633.04	92,954.57	-4,321.53	0.00	-4,321.53

## Cash and Short Term Investments

### Short term

USD - United States dollar	1.00		0.28	45,163.38	45,163.38	0.00	0.00	0.00
Total short term - all currencies			0.28	45,163.38	45,163.38	0.00	0.00	0.00
Total short term - all countries			0.28	45,163.38	45,163.38	0.00	0.00	0.00
Total Short Term			0.28	45,163.38	45,163.38	0.00	0.00	0.00

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## ◆ Asset Detail - Base Currency

Description / Asset ID	Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
<b>Total Cash and Short Term Investments</b>								
	45,163,380		0.28	45,163.38	45,163.38	0.00	0.00	0.00
<b>Total</b>	<b>298,022,230</b>		<b>0.28</b>	<b>3,598,335.11</b>	<b>3,151,431.12</b>	<b>446,903.99</b>	<b>0.00</b>	<b>446,903.99</b>

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