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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 07-01-2013 , and ending 06-30-2014

Name of foundation
IRVIN F & ALICE S ETSCORN
CHARITABLE FOUNDATION

A Employer identification number
61-1314419

Number and street (or P O box number if mail is not delivered to street address) P O BOX 32760
Room/suite

B Telephone number (see instructions)
(502) 458-5393

City or town, state or province, country, and ZIP or foreign postal code
LOUISVILLE, KY 40232

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 8,283,430

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ____
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	17,000			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	37	37	37	
	4	Dividends and interest from securities	195,373	195,373	195,373	
	5a	Gross rents				
	b	Net rental income or (loss) ____				
	6a	Net gain or (loss) from sale of assets not on line 10	181,619			
	b	Gross sales price for all assets on line 6a 1,273,610				
	7	Capital gain net income (from Part IV, line 2)		181,619		
	8	Net short-term capital gain			181,619	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	394,029	377,029	377,029	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	3,700	3,700	3,700	
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	27,683	27,683	27,683	
	24	**Total operating and administrative expenses.** Add lines 13 through 23	31,383	31,383	31,383	0
	25	Contributions, gifts, grants paid	437,000			437,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	468,383	31,383	31,383	437,000
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-74,354			
	b	**Net investment income** (if negative, enter -0-)		345,646		
	c	**Adjusted net income** (if negative, enter -0-)			345,646	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	467,885	380,172	380,172
	3	Accounts receivable ▶ ________ Less allowance for doubtful accounts ▶ ________			
	4	Pledges receivable ▶ ________ Less allowance for doubtful accounts ▶ ________			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ ________ Less allowance for doubtful accounts ▶ ________			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	417,919	368,175	373,408
	b	Investments—corporate stock (attach schedule)	3,346,494	3,403,951	6,159,843
	c	Investments—corporate bonds (attach schedule)	1,033,082	1,045,831	1,055,734
	11	Investments—land, buildings, and equipment basis ▶ ________ Less accumulated depreciation (attach schedule) ▶ ________			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	303,609	296,506	314,273
	14	Land, buildings, and equipment basis ▶ ________ Less accumulated depreciation (attach schedule) ▶ ________			
	15	Other assets (describe ▶ ________)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	5,568,989	5,494,635	8,283,430
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ________)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	5,568,989	5,494,635	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	5,568,989	5,494,635	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	5,568,989	5,494,635	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,568,989
2	Enter amount from Part I, line 27a	2	-74,354
3	Other increases not included in line 2 (itemize) ▶ ________	3	
4	Add lines 1, 2, and 3	4	5,494,635
5	Decreases not included in line 2 (itemize) ▶ ________	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,494,635

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE HILLIARD LYONS STATEMENT ATTACHED PDF	D	2014-06-30	2014-06-30
b	SEE CHARLES SCHWAB STATEMENT ATTACHED PDF	P	2014-06-30	2014-06-30
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,025,011		844,226	180,785
b	248,599		247,765	834
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				180,785
b				834
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	181,619
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	181,619

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	414,887	7,062,447	0 05875
2011	313,175	5,930,717	0 05281
2010	270,000	5,152,813	0 05240
2009	255,260	4,831,437	0 05283
2008	266,232	4,470,505	0 05955

2	**Total** of line 1, column (d).	2	0 27634
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 05527
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	7,823,098
5	Multiply line 4 by line 3.	5	432,359
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	3,456
7	Add lines 5 and 6.	7	435,815
8	Enter qualifying distributions from Part XII, line 4.	8	437,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	3,456
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	3,456
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	3,456
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 3,715		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	3,715
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	259
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 259 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶KY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶HILLIARD LYONS TRUST COMPANY Telephone no ▶(502) 588-8623

Located at ▶500 WEST JEFFERSON STREET LOUISVILLE KY ZIP+4 ▶40202

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☑ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
HILLIARD LYONS TRUST COMPANY PO BOX 32760 LOUISVILLE, KY 402322760	Trustee 0 00	0		
PARTICK T ALCORN 120 MERIDIAN AVE LOUISVILLE, KY 40207	ADIVSORY COMMIT 0 00	0		
McFERRAN BARR 9900 CORPORATE CAMPUS DR LOUISVILLE, KY 40223	ADVISORY COMMIT 0 00	0		
JAMES E WRIGHT JR 331 TOWNEPARK CIRCLE STE 101 LOUISVILLE, KY 40243	ADVISORY COMMIT 0 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	7,481,367
b	Average of monthly cash balances	1b	460,864
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	7,942,231
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	7,942,231
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	119,133
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	7,823,098
6	**Minimum investment return.** Enter 5% of line 5	6	391,155

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	391,155
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a: 3,456		
b	Income tax for 2013 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	3,456
3	Distributable amount before adjustments Subtract line 2c from line 1	3	387,699
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	387,699
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	387,699

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	437,000
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	437,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	3,456
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	433,544

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				387,699
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			55,554	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 437,000				
a Applied to 2012, but not more than line 2a			55,554	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				381,446
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				6,253
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

HILLIARD LYONS TRUST COMPANY
PO BOX 32760
LOUISVILLE, KY 402322760
(502) 588-8623

b The form in which applications should be submitted and information and materials they should include

WRITTEN REQUEST DESCRIBING HOW THE MONEY WILL BE USED AND WHO WILL BENEFIT INCLUDE A DESCRIPITON OF THE ORGANIZATIONS PURPOSE AND MISSION AND HOW THE PROPOSED EXPENDITURE WILL FURTHER THE OPERATIONS

c Any submission deadlines

BEFORE THE SECOND THURSDAY IN NOVEMBER

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GENERALLY CAPITAL PROJECTS ARE PREFERRED, BUT OTHER REQUEST WILL BE EVALUATED ON AN INDIVIDUAL BASIS ONLY ORGANIZATIONS BENEFITING THE JEFFERSON CO KENTUCKY AREA WILL BE CONSIDERED

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Additional Data Table				
Total . . . . ▶ **3a**				437,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	37	
4 Dividends and interest from securities. . . .			14	195,373	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	180,785	834
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				376,195	834

13 Total. Add line 12, columns (b), (d), and (e). 13 377,029

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. . . . . ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2014-11-14 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
James E Wright Jr CPA				P00126014
Firm's name ▶ RYE WRIGHT & HIEGEL PLLC			Firm's EIN ▶	
Firm's address ▶ 331 TOWNEPARK CIR STE 101 LOUISVILLE, KY 402432350			Phone no (502) 458-5393	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LIGHTHOUSE PROMISE INC 5312 OLD SHEPHERDSVILLE ROAD LOUISVILLE,KY 40228	NONE	N/A	CAPITAL CAMPAIGN TO COMPLETE NEW FACILITY	10,000
LIONS CAMP CRESCENDO INC 1480 PINE TAVERN ROAD LEGANON JUNCTION,KY 40150	NONE	N/A	Reroofint two buildings and one pavilion	6,000
KIDS CENTER FOR PEDIATRIC THERAPIES 982 EASTERN PARKWAY PURCHASE THERAPIES AUG,KY 40217	NONE	N/A	IMPROVE HARDWARE AND SOFTWARE FOR E-MAIL AND CONFERENCE ROOM	12,000
MAKE-A-WISH FOUNDATION 1230LIBERTY BAKN LANE STE 300 LOUISVILLE,KY 40222	NONE	N/A	HELP FUND WISHES OF CHILDREN WITH LIFE-TREATENING MEDICAL CONDITIONS	5,000
VISUALLY IMPAIRED PRESCHOOL SERVICE 1906 GOLDSMITH LANE LOUISVILLE,KY 40218	NONE	N/A	SUPPORT OF NEW TECHNOLOGY UPGRADES FOR CENTER	12,000
BIG BROTHERS BIG SISTERS OF KENTUCK 1519 GARDINER LANE SUITE B LOUISIVLLE,KY 40218	NONE	N/A	Telephone system	6,000
GILDA'S CLUB LOUISVILLE 633 BAXTER AVE LOUISVILLE,KY 40204	NONE	N/A	HELP PAINT CENTER	10,000
HOME OF THE INNOCENTS 1100 EAST MARKET STREET LOUISVILLE,KY 40206	NONE	N/A	SUPPORT ONGOING TRANSPORTATION NEEDS	15,000
HOSPARUS OF LOUISVILLE 3526 EPHRAIM MCDOWELL DR LOUISVILLE,KY 40206	NONE	N/A	Support for new building for operations of the Kourageous Kids program	20,000
SENIORCARE EXPERTS 145 THIERMAN LANE LOUISVILLE,KY 40207	NONE	N/A	SUPPORT FOR THE LIFELINE MEDICAL ALERT PROJECT	16,000
YMCA-CAMP PIOMINGO PROGRAM 1950 OTTER CREEK PARK ROAD BRANDENBURG,KY 40108	NONE	N/A	TO PURCHASE MATERIALS TO REBUILD AND REFURBISH CABINS	25,000
THE LOUISVILLE ZOO 1100 TREVILIAN WAY LOUISVILLE,KY 40233	NONE	N/A	CAPITAL PROJECTS-CONSTRUCTION OF NEW ANIMAL EXHIBIT AREAS	20,000
YMCA SAFE PLACE SERVICES 2400 CRITTENDEN DRIVE LOUISVILLE,KY 40217	NONE	N/A	SUPPORT KEY PROJECTS IN SHELTER HOUSE PROGRAMS	6,000
BEDFORD ELEMENTARY SCHOOL 204 MT PLEASANT ROAD BEDFORD,KY 40006	NONE	N/A	Lego Robotice class supplies	10,000
ST MATTHEWS LIONS CLUB CHARITIES IN 108 DORCHESTER ROAD LOUISVILLE,KY 40223	NONE	N/A	PURCHASE EYE GLASSES AND CORRECTIVE SURGERY FOR LOW-INCOME PEOPLE	15,000
Total . . . . ▶ **3a**				437,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MEREDITH-DUNN SCHOOL 3023 MELBOURNE AVE LOUISVILLE,KY 40220	NONE	N/A	RENOVATE RESTROOMS IN ORIGINAL STRUCTURE	18,000
LINCOLN HERITAGE COUNCIL BSA P O BOX 36273 LOUISVILLE,KY 40233	NONE	N/A	RENOVATE LODGE FOR STEM PROGRAM AND 5 PERMANENT TROOP SHELTERS	35,000
UNIVERSITY OF LOUISVILLE ETSCORN HONORS CENTER LOUISVILLE,KY 40292	NONE	N/A	FUNDING FOR THE ETSCORN HONORS SCHOLARSHIP ENDOWMENT	25,000
HEUSER HEARING LANGUAGE ACADEMY 117 E KENTUCKY ST LOUISVILLE,KY 40203	NONE	N/A	THREE YEAR CAPITAL IMPROVEMENTS CAMPAIGN	10,000
NATIONAL MULTIPLE SCLEROSIS SOCIETY 1201 STORY AVE LOUISVILLE,KY 40206	NONE	N/A	SUPPORT FOR FAMILIES AFFECTED BY MS FAMILY ADVENTURE PROGRAM	7,000
CEDAR LAKE FOUNDATION 7984 NEW LAGRANGE ROAD LOUISVILLE,KY 40222	NONE	N/A	CAPITAL CAMPAIGN-APARTMENTS	10,000
GREEN HILL THERAPY 1410 LONG RUN ROAD LOUISVILLE,KY 40245	NONE	N/A	NEW INTEGRATED MULTI-AGE GROSS MOTOR LAB	5,000
JUNIOR ACHIEVEMENT 1401 W MUHAMMAD ALI BLVD LOUISVILLE,KY 40202	NONE	N/A	Purchase laptop computers and printers for JA Bix town and JA Finance Park	8,000
ST JOSEPH CHILDREN'S HOME 2823 FRANKFORT AVE LOUISVILLE,KY 40206	NONE	N/A	CAPITAL CAMPAIGN TOWARD CONSTRUCTION OF RESIDENTIAL COTTAGES	15,000
USPIRITUS 3121 BROOKLAWN CAMPUS DR LOUISVILLE,KY 40218	NONE	N/A	SUPPORT RENOVATION COST IN STONE COTTAGE ON BELLEWOOD CAMPUS	17,000
MARYHURST INC 1015 DORSEY LANE LOUISVILLE,KY 40223	NONE	N/A	PURCHAES DRESSERS FOR DORM ROOMS	5,000
PORTLAND PROMISE CENTER 1831 BAIRD STREET LOUISVILLE,KY 40203	NONE	N/A	For the completion of phase one of 1800 BUILDING capital renovation project	20,000
The Parklands of Floyds Fork 471 West Main Street Ste 202 Louisville, KY 40202	NONE	N/A	To support the creation of The Parklands of Floyds Fork	10,000
American Lung Association PO Box 9067 Louisville, KY 40209	NONE	N/A	Support for operations	500
LOUISVILLE YOUTH PHILANTHROPH COUNC 325 W MAIN ST SUITE 1110 LOUISVILLE,KY 40202	NONE		SUPPORT OPERATIONAL ASPECT OF THE LYPC	5,000
Total . . . . ▶ **3a**				437,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BOYS GIRLS HAVEN 2301 GOLDSMITH LANE LOUISVILLE,KY 40218	NONE		DONATION TOWARE INSTALLING WATER SOFTENING SYSTEM AND RENOVATE BATHROOMS	5,000
DOWN SYNDROME OF LOUISVILLE 5001 S HURSTBOURNE PARKWAY LOUISVILLE,KY 40291	NONE		NEW SOFTWARE SYSTEM FOR MANAGEMENT	5,000
AMERICAN PRINTING HOUSE FOR THE BLI 1839 FRANKFORT AVE LOUISVILLE,KY 40206	NONE		TO ACQUIRE ASSISTIVE TECHNOLOGY HARDWARE AND SOFTWARE	5,000
HOUSE OF RUTH 607 E ST CATHERINE ST LOUISVILLE,KY 40203	NONE		CAPITAL IMPROVEMENTS TO GLADE HOUSE	10,000
KENTUCKY LIONS EYE FOUNDATION 301 E MAUHAMMAD ALI BLVE LOUISVILLE,KY 40202	NONE		TO FUND EYE SURGERIES	15,000
LOUISVILLE ORCHESTRA 323 WEST BROADWAY STE 700 LOUISVILLE,KY 40202	NONE		CAPITAL IMPROVEMENTS TO COMPUTER TECHNOLOGY AND WEBSITE REDESIGN	10,000
RESTORATIVE JUSTICE LOUISVILLE 514 W LIBERTY ST SUITE 106 LOUISVILLE,KY 40202	NONE		TO FUND A 105 YEAR EVALUATION OF ITS PROGRAM	7,000
SOUTHEAST YMCA 5930 SIX MILE LN LOUISVILLE,KY 40218	NONE		SUPPORT COMMUNITY ACTIVITIES	1,500
Total . . . . ▶ **3a**				437,000

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

2013

Name of the organization	**Employer identification number**
IRVIN F & ALICE S ETSCORN CHARITABLE FOUNDATION	61-1314419

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
IRVIN F & ALICE S ETSCORN
CHARITABLE FOUNDATION

Employer identification number
61-1314419

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Etscorn Enterprises Inc 331 Townepark Circle Ste 101 Louisville, KY 40243	$ 17,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
IRVIN F & ALICE S ETSCORN
CHARITABLE FOUNDATION

Employer identification number
61-1314419

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
IRVIN F & ALICE S ETSCORN
CHARITABLE FOUNDATION

Employer identification number
61-1314419

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc ,
contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

TY 2013 Accounting Fees Schedule

Name: IRVIN F & ALICE S ETSCORN CHARITABLE FOUNDATION

EIN: 61-1314419

Software ID: 13000170

Software Version: 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Tax preparation	3,700	3,700	3,700	0

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TY 2013 Investments Corporate Bonds Schedule

Name: IRVIN F & ALICE S ETSCORN CHARITABLE FOUNDATION

EIN: 61-1314419

Software ID: 13000170

Software Version: 2013v3.1

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	1,045,831	1,055,734

TY 2013 Investments Corporate Stock Schedule

Name: IRVIN F & ALICE S ETSCORN CHARITABLE FOUNDATION

EIN: 61-1314419

Software ID: 13000170

Software Version: 2013v3.1

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMMON STOCKS	3,403,951	6,159,843

TY 2013 Investments Government Obligations Schedule

Name:	IRVIN F & ALICE S ETSCORN CHARITABLE FOUNDATION
EIN:	61-1314419
Software ID:	13000170
Software Version:	2013v3.1
US Government Securities - End of Year Book Value:	368,175
US Government Securities - End of Year Fair Market Value:	373,408
State & Local Government Securities - End of Year Book Value:	
State & Local Government Securities - End of Year Fair Market Value:	

TY 2013 Investments - Other Schedule

Name: IRVIN F & ALICE S ETSCORN CHARITABLE FOUNDATION

EIN: 61-1314419

Software ID: 13000170

Software Version: 2013v3.1

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CMO	AT COST	296,506	291,391
ACCRUED INTEREST AND DIVIDENDS	FMV		22,882

TY 2013 Other Expenses Schedule

Name: IRVIN F & ALICE S ETSCORN CHARITABLE FOUNDATION

EIN: 61-1314419

Software ID: 13000170

Software Version: 2013v3.1

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TRANSFER AND MAINTENANCE FEES	27,683	27,683	27,683	

PURCHASES

	DATE	INCOME CASH	PRINCIPAL CASH
JPMORGAN CHASE & CO COM			
PURCHASED 600 SHS 04/14/14 FROM HILLIARD (JJB) LYONS (WL) INC @ 54.8	04/17/14		-32,880 00
OMNICOM GROUP INC COM			
PURCHASED 700 SHS 08/05/13 FROM HILLIARD (JJB) LYONS (WL) INC @ 63.489986	08/08/13		-44,442 99
TOTAL PURCHASES		**$ -116,968.64**	**$ -585,501.23**

SALES

	DATE	PROCEEDS	COST	REALIZED GAIN/LOSS
ASSETS HELD				
TE CONNECTIVITY LTD REG SHS				
SOLD 100 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 52 8501	11/29/13	5,284 91	-4,027.90	1,257.01

HILLIARD LYONS

ETSCORN CHARITABLE FOUNDATION

ACCOUNT NUMBER: 45-0003-01-7
STATEMENT PERIOD: 07/01/13 - 06/30/14

SALES

	DATE	PROCEEDS	COST	REALIZED GAIN/LOSS
APPLE INC COM				
SOLD 10 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 523 1501	11/29/13	5,231 40	-4,085.19	1,146 21
BERKSHIRE HATHAWAY INC DEL CL B NEW				
SOLD 50 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 116.6701	11/29/13	5,833 40	-4 538 00	1,295 40
C H ROBINSON WORLDWIDE INC COM NEW				
SOLD 1200 SHS 04/14/14 TO HILLIARD (JJB) LYONS (WL) INC @ 55 322349	04/17/14	66,385 35	-70,748 40	-4 363 05
CVS CAREMARK CORP COM				
SOLD 100 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 66.7001	11/29/13	6,669 89	-4,214.00	2,455 89

SALES

	DATE	PROCEEDS	COST	REALIZED GAIN/LOSS
CISCO SYS INC COM				
SOLD 200 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 21 4601	11/29/13	4,291 94	-5,970 00	-1,678 06
COMCAST CORP CL A				
SOLD 2650 SHS 08/05/13 TO HILLIARD (JJB) LYONS (WL) INC @ 45.7925	08/08/13	121,348 01	-50,335.00	71,013 01
DISNEY WALT CO COM				
SOLD 150 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 70 1601	11/29/13	10,523 83	-3,055 50	7,468 33
EXXON MOBIL CORP COM				
SOLD 50 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 95.24	11/29/13	4,761.91	-2,932 81	1,829 10

SALES

	DATE	PROCEEDS	COST	REALIZED GAIN/LOSS
GENERAL ELEC CO COM				
SOLD 150 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 26.8901	11/29/13	4,033 44	-5,736 00	-1,702.56
GOLDMAN FINANCIAL SQ FED FUND 520				
SALES (18) 07/01/13 TO 06/30/14	06/30/14	590,402 04	-590 402 04	
HARLEY DAVIDSON INC COM				
SOLD 50 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 67 2901	11 29/13	3,364 45	-2,449 84	914 61
HOME DEPOT INC COM				
SOLD 100 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 79 5601	11/29/13	7,955.87	-3,020 00	4,935.87
JPMORGAN CHASE & CO COM				
SOLD 200 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 57 912	11/29/13	11 582 19	-8,635 76	2,946 43

SALES

	DATE	PROCEEDS	COST	REALIZED GAIN/LOSS
JACOBS ENGR GROUP INC COM				
SOLD 900 SHS 08/29/13 TO HILLIARD (JJB) LYONS (WL) INC @ 58 358833	09/04/13	52 522 03	-32,867 91	19,654.12
MATTEL INC COM				
SOLD 300 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 45.4201	11/29/13	13,625 79	-5 993.76	7,632 03
MICROSOFT CORP COM				
SOLD 300 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 37.7701	11/29/13	11,330 83	-10,230 00	1,100.83
PFIZER INC COM				
SOLD 100 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 32 352	11/29/13	3,235 14	-1,908 91	1,326 23

SALES

	DATE	PROCEEDS	COST	REALIZED GAIN/LOSS
PROGRESSIVE CORP OH COM				
SOLD 100 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 27.7901	11/29/13	2,778 96	-2,198.00	580 96
STAPLES INC COM				
SOLD 300 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 15 4901	11/29/13	4,646.94	-4,832.97	-186 03
TJX COS INC NEW COM				
SOLD 1300 SHS 08/29/13 TO HILLIARD (JJB) LYONS (WL) INC @ 53 082	09/04/13	69,005 39	-14,396 82	54,608 57
SOLD 100 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 63.76	11/29/13	6,375.88	-1,107 45	5,268 43
US BANCORP DEL COM NEW				
SOLD 250 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 39.2301	11/29/13	9 807 35	-7,972.50	1,834 85

SALES

	DATE	PROCEEDS	COST	REALIZED GAIN/LOSS
WAL MART STORES INC COM				
SOLD 50 SHS 11/25/13 TO HILLIARD (JJB) LYONS (WL) INC @ 80 2801	11/29/13	4,013 94	-2,567 50	1,446 44
TOTAL SALES		**$ 1,025,010.88**	**$ -844,226.26**	**$ 180,784.62**

NON-CASH ACTIVITY

	DATE	COST
APPLE INC COM		
RECD 2340 SHS AS A 7 FOR 1 STOCK SPLIT ON 390 SHS	06/09/14	
TOTAL NON-CASH TRANSACTIONS		**$ 0.00**

charles SCHWAB

Schwab One® Trust Account of
HILLIARD LYONS TR CO LLC IRVIN
F & ALICE S ETSCORN CHARITABLE
FOUNDATION DTD 11/27/1996

Account Number
4574-5551

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 61-1314419

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked.)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description		CUSIP Number 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FED HM LN MTG	2%40	3137ALH44	P	-- / 08/15/13	$ 749.34	Not Provided	--	$ 0.00
FED HM LN MTG	2%40	3137ALH44	P	-- / 09/15/13	$ 748.75	Not Provided	--	$ 0.00
FHLMC	2% 40	3137ALH44	P	-- / 10/15/13	$ 668.23	Not Provided	--	$ 0.00
FHLMC	2%40	3137ALH44	P	-- / 11/15/13	$ 827.39	Not Provided	--	$ 0.00
FHLMC	2%40	3137ALH44	P	-- / 12/15/13	$ 414.65	Not Provided	--	$ 0.00
Security Subtotal					**$ 8,534.19**	--	--	$ 0.00
FED HM LN MTG	2%41	3137APH60	P	-- / 01/15/13	$ 227.62	Not Provided	--	$ 0.00
FED HM LN MTG	2%41	3137APH60	P	-- / 02/15/13	$ 226.40	Not Provided	--	$ 0.00
FED HM LN MTG	2%41	3137APH60	P	-- / 03/15/13	$ 225.19	Not Provided	--	$ 0.00
FED HM LN MTG	2%41	3137APH60	P	-- / 04/15/13	$ 641.13	Not Provided	--	$ 0.00
FED HM LN MTG	2%41	3137APH60	P	-- / 05/15/13	$ 931.13	Not Provided	--	$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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charles SCHWAB

Schwab One® Trust Account of
HILLIARD LYONS TR CO LLC IRVIN
F & ALICE S ETSCORN CHARITABLE
FOUNDATION DTD 11/27/1996

Account Number
4574-5551

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 61-1314419

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked.)

SHORT-TERM HOLDING PERIOD

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8-Description		CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FED HM LN MTG	2%41	3137APH60	P	-- / 06/15/13	$ 919.54	Not Provided	-- $	0.00
FED HM LN MTG	2%41	3137APH60	P	-- / 07/15/13	$ 770 17	Not Provided	-- $	0.00
FED HM LN MTG	2%41	3137APH60	P	-- / 08/15/13	$ 751.49	Not Provided	-- $	0.00
FED HM LN MTG	2%41	3137APH60	P	-- / 09/15/13	$ 527.25	Not Provided	-- $	0.00
FHLMC	2% 41	3137APH60	P	-- / 10/15/13	$ 338 49	Not Provided	-- $	0.00
FHLMC	2%41	3137APH60	P	-- / 11/15/13	$ 279 07	Not Provided	-- $	0.00
FHLMC	2%41	3137APH60	P	-- / 12/15/13	$ 286.31	Not Provided	-- $	0.00
Security Subtotal					**$ 6,123.79**	--	-- $	0.00
FED HM LN MTG	2%42	3137ARSZ0	P	-- / 01/15/13	$ 587.72	Not Provided	-- $	0.00
FED HM LN MTG	2%42	3137ARSZ0	P	-- / 02/15/13	$ 210 78	Not Provided	-- $	0.00
FED HM LN MTG	2%42	3137ARSZ0	P	-- / 03/15/13	$ 1,015.80	Not Provided	-- $	0.00

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charles SCHWAB

Schwab One® Trust Account of
HILLIARD LYONS TR CO LLC IRVIN F & ALICE S ETSCORN CHARITABLE FOUNDATION DTD 11/27/1996

Account Number
4574-5551

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 61-1314419

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

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8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FED HM LN MTG 2%42	3137ARSZ0	P	-- / 04/15/13	$ 638 26	Not Provided	--	$ 0.00
FED HM LN MTG 2%42	3137ARSZ0	P	-- / 05/15/13	$ 252 24	Not Provided	--	$ 0.00
FED HM LN MTG 2%42	3137ARSZ0	P	-- / 06/15/13	$ 163.06	Not Provided	--	$ 0.00
FED HM LN MTG 2%42	3137ARSZ0	P	-- / 07/15/13	$ 280.85	Not Provided	--	$ 0.00
FED HM LN MTG 2%42	3137ARSZ0	P	-- / 08/15/13	$ 1,055 96	Not Provided	--	$ 0.00
FED HM LN MTG 2%42	3137ARSZ0	P	-- / 09/15/13	$ 1,656.83	Not Provided	--	$ 0.00
FHLMC 2% 42	3137ARSZ0	P	-- / 10/15/13	$ 140.29	Not Provided	--	$ 0.00
FHLMC 2%42	3137ARSZ0	P	-- / 11/15/13	$ 150.95	Not Provided	--	$ 0.00
FHLMC 2%42	3137ARSZ0	P	-- / 12/15/13	$ 985.14	Not Provided	--	$ 0.00
Security Subtotal				**$ 7,137.88**	--	--	$ 0.00
FED NATL MTG 2%42	3136AEEZ6	P	-- / 06/25/13	$ 75 01	Not Provided	--	$ 0.00

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charles SCHWAB

Schwab One® Trust Account of
HILLIARD LYONS TR CO LLC IRVIN F & ALICE S ETSCORN CHARITABLE FOUNDATION DTD 11/27/1996

Account Number
4574-5551

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 61-1314419

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked.)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description		CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FED NATL MTG	2%42	3136AEEZ6	P	-- / 07/25/13	$ 84.40	Not Provided	--	$ 0.00
FED NATL MTG	2%42	3136AEEZ6	P	-- / 08/25/13	$ 93 75	Not Provided	--	$ 0.00
FED NATL MTG	2%42	3136AEEZ6	P	-- / 09/25/13	$ 103 06	Not Provided	--	$ 0.00
FNMA	2%42	3136AEEZ6	P	-- / 10/25/13	$ 112 33	Not Provided	--	$ 0.00
FNMA	2%42	3136AEEZ6	P	-- / 11/25/13	$ 121 53	Not Provided	--	$ 0.00
FNMA	2%42	3136AEEZ6	P	-- / 12/25/13	$ 130 67	Not Provided	--	$ 0.00
Security Subtotal					**$ 720.75**	--	--	$ 0.00
FED NATL MTG	7.7%22	31358LTT3	P	-- / 01/25/13	$ 6.11	Not Provided	--	$ 0.00
FED NATL MTG	7.7%22	31358LTT3	P	-- / 02/25/13	$ 7.37	Not Provided	--	$ 0.00
FED NATL MTG	7.7%22	31358LTT3	P	-- / 03/25/13	$ 6 88	Not Provided	--	$ 0.00
FED NATL MTG	7.7%22	31358LTT3	P	-- / 04/25/13	$ 12.20	Not Provided	--	$ 0.00

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DST 00085566W STP67399W

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss. Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FED NATL MTG 7.7%22	31358LTT3	P	-- / 05/25/13	$ 10.73	Not Provided	-- $	0.00
FED NATL MTG 7.7%22	31358LTT3	P	-- / 06/25/13	$ 10 20	Not Provided	-- $	0.00
FED NATL MTG 7.7%22	31358LTT3	P	-- / 07/25/13	$ 9 47	Not Provided	-- $	0.00
FED NATL MTG 7 7%22	31358LTT3	P	-- / 08/25/13	$ 6.19	Not Provided	-- $	0.00
FED NATL MTG 7.7%22	31358LTT3	P	-- / 09/25/13	$ 6.57	Not Provided	-- $	0.00
FNMA 7.7%22	31358LTT3	P	-- / 10/25/13	$ 5.73	Not Provided	-- $	0.00
FNMA 7.7%22	31358LTT3	P	-- / 11/25/13	$ 10.97	Not Provided	-- $	0.00
FNMA 7.7%22	31358LTT3	P	-- / 12/25/13	$ 5.53	Not Provided	-- $	0.00
Security Subtotal				**$ 97.95**	--	-- $	0.00
FED NATL MTG 2%39	3136A4UZ0	P	-- / 01/25/13	$ 358.78	Not Provided	-- $	0.00
FED NATL MTG 2%39	3136A4UZ0	P	-- / 02/25/13	$ 374.06	Not Provided	-- $	0.00

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Taxpayer ID Number: 61-1314419

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description		CUSIP Number 1d-Stock or other symbol	**	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FED NATL MTG	2%39	3136A4UZ0	P	-- 03/25/13	$ 389 14	Not Provided	--	$ 0.00
FED NATL MTG	2%39	3136A4UZ0	P	-- 04/25/13	$ 404.03	Not Provided	--	$ 0.00
FED NATL MTG	2%39	3136A4UZ0	P	-- 05/25/13	$ 418 71	Not Provided	--	$ 0.00
FED NATL MTG	2%39	3136A4UZ0	P	-- 06/25/13	$ 433 18	Not Provided	--	$ 0.00
FED NATL MTG	2%39	3136A4UZ0	P	-- 07/25/13	$ 447 42	Not Provided	--	$ 0.00
FED NATL MTG	2%39	3136A4UZ0	P	-- 08/25/13	$ 461.42	Not Provided	--	$ 0.00
FED NATL MTG	2%39	3136A4UZ0	P	-- 09/25/13	$ 475 19	Not Provided	--	$ 0.00
FNMA	2%39	3136A4UZ0	P	-- 10/25/13	$ 488.71	Not Provided	--	$ 0.00
FNMA	2%39	3136A4UZ0	P	-- 11/25/13	$ 501.97	Not Provided	--	$ 0 00
FNMA	2%39	3136A4UZ0	P	-- 12/25/13	$ 511 94	Not Provided	--	$ 0.00
Security Subtotal					$ 5,264.55	–	--	$ 0.00

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DST 0008556EW STP67399W

charles SCHWAB

Schwab One® Trust Account of
HILLIARD LYONS TR CO LLC IRVIN
F & ALICE S ETSCORN CHARITABLE
FOUNDATION DTD 11/27/1996

Account Number
4574-5551

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 61-1314419

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked.)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

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8-Description		CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FED NATL MTG	2%41	3136A4TG4	P	-- / 01/25/13	$ 427.25	Not Provided	--	$ 0.00
FED NATL MTG	2%41	3136A4TG4	P	-- / 02/25/13	$ 443.41	Not Provided	--	$ 0.00
FED NATL MTG	2%41	3136A4TG4	P	-- / 03/25/13	$ 459.20	Not Provided	--	$ 0.00
FED NATL MTG	2%41	3136A4TG4	P	-- / 04/25/13	$ 474.60	Not Provided	--	$ 0.00
FED NATL MTG	2%41	3136A4TG4	P	-- / 05/25/13	$ 489.60	Not Provided	--	$ 0.00
FED NATL MTG	2%41	3136A4TG4	P	-- / 06/25/13	$ 504.18	Not Provided	--	$ 0.00
FED NATL MTG	2%41	3136A4TG4	P	-- / 07/25/13	$ 518.32	Not Provided	--	$ 0.00
FED NATL MTG	2%41	3136A4TG4	P	-- / 08/25/13	$ 522.72	Not Provided	--	$ 0.00
FED NATL MTG	2%41	3136A4TG4	P	-- / 09/25/13	$ 377.94	Not Provided	--	$ 0.00
FNMA	2%41	3136A4TG4	P	-- / 10/25/13	$ 381.97	Not Provided	--	$ 0.00
FNMA	2%41	3136A4TG4	P	-- / 11/25/13	$ 452.74	Not Provided	--	$ 0.00

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charles SCHWAB

Schwab One® Trust Account of
HILLIARD LYONS TR CO LLC IRVIN
F & ALICE S ETSCORN CHARITABLE
FOUNDATION DTD 11/27/1996

Account Number
4574-5551

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 61-1314419

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked.)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

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8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FNMA 2%41	3136A4TG4	P	-- / 12/25/13	$ 353 09	Not Provided	-- $	0.00
Security Subtotal				**$ 5,405.02**	–	-- $	0.00
GOVT NATL MTG 2.5%39	38377RPQ2	P	-- / 03/20/13	$ 554.08	Not Provided	-- $	0.00
GOVT NATL MTG 2 5%39	38377RPQ2	P	-- / 04/20/13	$ 548.59	Not Provided	-- $	0.00
GOVT NATL MTG 2.5%39	38377RPQ2	P	-- / 05/20/13	$ 543.15	Not Provided	-- $	0.00
GOVT NATL MTG 2.5%39	38377RPQ2	P	-- / 06/20/13	$ 537.76	Not Provided	-- $	0.00
GOVT NATL MTG 2.5%39	38377RPQ2	P	-- / 07/20/13	$ 532 43	Not Provided	-- $	0.00
GOVT NATL MTG 2 5%39	38377RPQ2	P	-- / 08/20/13	$ 527.15	Not Provided	-- $	0.00
GOVT NATL MTG 2.5%39	38377RPQ2	P	-- / 09/20/13	$ 521 91	Not Provided	-- $	0.00
GNMA 2.5%39	38377RPQ2	P	-- / 10/20/13	$ 516 73	Not Provided	-- $	0.00
GNMA 2.5%39	38377RPQ2	P	-- / 11/20/13	$ 511.60	Not Provided	-- $	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

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charles SCHWAB

Schwab One® Trust Account of
HILLIARD LYONS TR CO LLC IRVIN
F & ALICE S ETSCORN CHARITABLE
FOUNDATION DTD 11/27/1996

Account Number
4574-5551

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 61-1314419

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

LONG-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
EI DUPONT DE NEMOUR 5%13 DUE	263534BU2	MT	09/28/08 / 07/15/13	$ 30,000.00 / 30,000 00	$ 30,000.00	$ 0.00	$ 0.00
Security Subtotal				**$ 30,000.00**	**$ 30,000.00**	**$ 0.00**	**$ 0.00**
FHLB 4 5XXX**MATURED**	3133X1BV8	MT	12/19/03 / 09/16/13	$ 50,000.00 / 50,000.00	$ 49,744.00	$ 0 00	$ 0.00
Security Subtotal				**$ 50,000.00**	**$ 49,744.00**	**$ 0.00**	**$ 0.00**
GENERAL ELECTRIC 5.45XXX**MATURED**	36962GZY3	MT	07/19/07 / 01/15/13	$ 50,000.00 / 50,000.00	$ 49,517.50	$ 0.00	$ 0.00
Security Subtotal				**$ 50,000.00**	**$ 49,517.50**	**$ 0.00**	**$ 0.00**
MORGAN STANLEY 5.3XXX**MATURED**	617446HR3	MT	09/21/06 / 03/01/13	$ 25,000.00 / 25,000.00	$ 24,904.75	$ 0.00	$ 0.00
Security Subtotal				**$ 25,000.00**	**$ 24,904.75**	**$ 0.00**	**$ 0.00**
WALGREEN CO. 4.875%13 DUE	931422AD1	MT	09/29/08 / 08/01/13	$ 30,000.00 / 30,000.00	$ 30,000.00	$ 0.00	$ 0.00
Security Subtotal				**$ 30,000.00**	**$ 30,000.00**	**$ 0.00**	**$ 0.00**

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

charles SCHWAB

Schwab One® Trust Account of
HILLIARD LYONS TR CO LLC IRVIN
F & ALICE S ETSCORN CHARITABLE
FOUNDATION DTD 11/27/1996

Account Number
4574-5551

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 61-1314419

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

8-Description		CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange		2a-Sales price of stocks, bonds, etc (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed		4-Federal income tax withheld
GNMA	2.5%39	38377RPQ2	P	-- / 12/20/13	$	521.03	Not Provided	--	$	0.00
Security Subtotal					$	5,314.43	–	–	$	0.00
Total Short-Term (Cost basis is missing and not reported to the IRS)					$	38,598.56	--			
Total Short-Term Sales Price of Stocks, Bonds, etc.					$	38,598.56	38598.56			

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

Bond Payments

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported

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Taxpayer ID Number: 61-1314419

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

LONG-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
WELLS FARGO BANK 4.95%13 DUE	949746FJ5	MT	07/19/07 10/16/13	$ 25,000.00 25,000.00	$ 25,000.00	$ 0.00	$ 0.00
Security Subtotal				**$ 25,000.00**	**$ 25,000.00**	**$ 0.00**	**$ 0.00**
Total Long-Term (Cost basis is available but not reported to the IRS)				**$ 210,000.00**	**$ 209,166.25**		
Total Long-Term Sales Price of Stocks, Bonds, etc.				**$ 210,000.00**	**$ 209,166.25**		
Total Sales Price of Stocks, Bonds, etc.				**$ 248,598.56**	247764.81		
Total Federal Income Tax Withheld				**$ 0.00**			

Notes for your Form 1099-B

Schwab has provided cost basis information whenever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. When value for the report is unavailable, it is noted as follows: "Missing" or "Not Provided"

Not Provided Schwab is not providing Cost Basis on this security type.

Missing Cost Basis may be missing due to one of the following reasons:
- Cost basis data may not be available for a number of reasons (for example, the security was purchased outside of Schwab and we did not receive cost basis from the transferring firm).
- The security was purchased more than 10 years ago.

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

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YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

The Information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return

REALIZED GAIN OR (LOSS)

The information in the following sections include all your realized gain or (loss) transactions during the tax year They may be helpful for, but not limited to, Schedule D
Please consult with your tax advisor or financial advisor regarding specific questions.

Long-Term Realized Gain or (Loss)

This section is for noncovered securities and corresponds to transactions reported on your 1099-B as **"cost basis is available but not reported to the IRS."** Report on Form 8949, Part II, with Box B checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis *Adjusted*	Wash Sale Loss Disallowed	Realized Gain or (Loss) *Adjusted*
EI DUPONT DE NEMOUR 5%1	263534BU2	30,000.00	09/28/08	07/15/13	$ 30,000.00	$ 30,063.00[a]	$ 0.00	$ (63 00)
						$ 30,000.00		*$ 0.00*[b]
Security Subtotal					**$ 30,000.00**	**$ 30,063.00**	**$ 0.00**	**$ (63.00)**
						$ 30,000.00		***$ 0.00***[b]
FHLB 4.5XXX**MATUR	3133X1BV8	50,000.00	12/19/03	09/16/13	$ 50,000.00	$ 49,744.00[a]	$ 0 00	$ 256 00
						$ 49,744.00		*$ 256.00*[b]
Security Subtotal					**$ 50,000.00**	**$ 49,744.00**	**$ 0.00**	**$ 256.00**
						$ 49,744.00		***$ 256.00***[b]
GENERAL ELECTRIC 5.45XXX	36962GZY3	50,000.00	07/19/07	01/15/13	$ 50,000.00	$ 49,517.50[a]	$ 0.00	$ 482.50
						$ 49,517.50		*$ 482.50*[b]
Security Subtotal					**$ 50,000.00**	**$ 49,517.50**	**$ 0.00**	**$ 482.50**
						$ 49,517.50		***$ 482.50***[b]
MORGAN STANLEY 5 3XXX*	617446HR3	25,000.00	09/21/06	03/01/13	$ 25,000.00	$ 24,904.75[a]	$ 0.00	$ 95.25
						$ 24,904.75		*$ 95 25*[b]
Security Subtotal					**$ 25,000.00**	**$ 24,904.75**	**$ 0.00**	**$ 95.25**
						$ 24,904.75		***$ 95.25***[b]

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

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YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

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Long-Term Realized Gain or (Loss) (continued)

This section is for noncovered securities and corresponds to transactions reported on your 1099-B as **"cost basis is available but not reported to the IRS."** Report on Form 8949, Part II, with Box B checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis *Adjusted*	Wash Sale Loss Disallowed	Realized Gain or (Loss) *Adjusted*
WALGREEN CO 4 875%13	931422AD1	30,000.00	09/29/08	08/01/13	$ 30,000.00	$ 30,354 00[a] $ *30,000.00*	$ 0.00	$ (354.00) $ *0.00*[b]
Security Subtotal					**$ 30,000.00**	**$ 30,354.00** **$ *30,000.00***	**$ 0.00**	**$ (354.00)** **$ *0.00*** [b]
WELLS FARGO BANK 4 95%1	949746FJ5	25,000.00	07/19/07	10/16/13	$ 25,000 00	$ 24,080.75[a] $ *25,000.00*	$ 0.00	$ 919 25 $ *0 00*[b]
Security Subtotal					**$ 25,000.00**	**$ 24,080.75** **$ *25,000.00***	**$ 0.00**	**$ 919.25** **$ *0.00*** [b]
Total Long-Term *(Cost basis is available but not reported to the IRS)*					**$ 210,000.00**	**$ 208,664.00** **$ *209,166.25***	**$ 0.00**	**$ 1,336.00** **$ *833.75*** [b]
Total Long-Term					**$ 210,000.00**	**$ 208,664.00** **$ *209,166.25***	**$ 0.00**	**$ 1,336.00** **$ *833.75*** [b]

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YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return

Realized Gain or (Loss) Summary

Total Realized Gain or (Loss)

Description	Total Proceeds	Cost Basis *Adjusted*	Wash Sale Loss Disallowed	Realized Gain or (Loss) *Adjusted*
Total Long-Term Realized Gain or (Loss) *(Cost basis is available but not reported to the IRS Report on Form 8949, Part II, with Box B checked.)*	$ 210,000.00	$ 208,664.00 $ *209,166.25*	$ 0.00	$ 1,336.00 $ *833.75*[b]
Total Long-Term Realized Gain or (Loss)	$ 210,000.00	$ 208,664.00 $ *209,166.25*	$ 0.00	$ 1,336.00 $ *833.75*[b]
TOTAL REALIZED GAIN OR (LOSS)	$ 210,000.00	$ 208,664.00 $ *209,166.25*	$ 0.00	$ 1,336.00 $ *833.75*[b]

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