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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

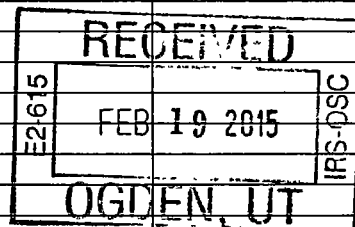
For calendar year 2013 or tax year beginning

07/01, 2013, and ending

06/30, 2014

Name of foundation HARVEY W. PETERS RESEARCH FOUNDATION		A Employer identification number 46-0459671
Number and street (or P O box number if mail is not delivered to street address) C/O FIRST PREMIER BANK TRUST DEPARTMENT 601 S. MINNESOTA	Room/suite	B Telephone number (see instructions) (540) 774-8800
City or town, state or province, country, and ZIP or foreign postal code SIOUX FALLS, SD 57101		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply.		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation		
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 49,282,036.		J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc. received (attach schedule)		235,000.	ATCH 1		
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		60.	60.		ATCH 2
4 Dividends and interest from securities		99,931.	99,931.		ATCH 3
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		519,602.			
b Gross sales price for all assets on line 6a 858,485.					
7 Capital gain net income (from Part IV, line 2)			519,602.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) ATCH 4		1,914,670.			
12 Total. Add lines 1 through 11		2,769,263.	619,593.		
13 Compensation of officers, directors, trustees, etc.		0			
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule) ATCH 5		24,447.	24,447.		
b Accounting fees (attach schedule) ATCH 6		135,694.	135,694.		
c Other professional fees (attach schedule) *		83,278.	83,278.		
17 Interest, ATCH 8		738,191.			
18 Taxes (attach schedule) (see instructions) ATCH 9		1,688.	1,688.		
19 Depreciation (attach schedule) and depletion		913,735.			
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) ATCH 10		248,035.	129,693.		
24 Total operating and administrative expenses. Add lines 13 through 23		2,145,068.	374,800.		
25 Contributions, gifts, grants paid		271,000.			271,000.
26 Total expenses and disbursements. Add lines 24 and 25		2,416,068.	374,800.	0	271,000.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		353,195.			
b Net investment income (if negative, enter -0-)			244,793.		
c Adjusted net income (if negative, enter -0-)					



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		1,329,792.	8,375,238.	8,375,238.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) ATCH 11		2,380,370.	2,458,895.	2,458,895.
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH 12		2,263,264.	2,870,378.	2,870,378.	
14	Land, buildings, and equipment basis		30,360,267.			
	Less: accumulated depreciation (attach schedule)		2,561,326.	23,723,207.	27,798,941.	27,798,941.
15	Other assets (describe ATCH 13)		2,533,521.	7,778,584.	7,778,584.	
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		32,230,154.	49,282,036.	49,282,036.	
Liabilities	17	Accounts payable and accrued expenses		347,024.	589,216.	
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)		21,753,817.	33,912,470.	ATCH 14
	22	Other liabilities (describe ATCH 15)		4,806,081.	8,785,206.	
23	Total liabilities (add lines 17 through 22)		26,906,922.	43,286,892.		
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted		5,323,232.	5,995,144.	
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		5,323,232.	5,995,144.	
31	Total liabilities and net assets/fund balances (see instructions)		32,230,154.	49,282,036.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,323,232.
2	Enter amount from Part I, line 27a	2	353,195.
3	Other increases not included in line 2 (itemize) ATCH 16	3	465,659.
4	Add lines 1, 2, and 3	4	6,142,086.
5	Decreases not included in line 2 (itemize) ATCH 17	5	146,942.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,995,144.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	519,602.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	145,000.	4,740,578.	0.030587
2011		4,545,991.	
2010	62,486.	4,650,637.	0.013436
2009	80,000.	4,698,741.	0.017026
2008	210,245.	4,300,399.	0.048890

2 Total of line 1, column (d)	2	0.109939
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.021988
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	5,487,037.
5 Multiply line 4 by line 3	5	120,649.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,448.
7 Add lines 5 and 6	7	123,097.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	271,000.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	2,448.
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	2,448.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,448.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 3,331.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 6,500.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	9,831.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	7,383.
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input checked="" type="checkbox"/> 7,383. Refunded <input type="checkbox"/>		11	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> SC, SD, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> THE FOUNDATION C/O FPB	Telephone no.	<input type="checkbox"/> 605 335-1786	
	Located at <input type="checkbox"/> SIOUX FALLS, SOUTH DAKOTA	ZIP+4	<input type="checkbox"/> 57101	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year. <input type="checkbox"/> 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="checkbox"/>	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 18		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 19		134,508.
Total number of others receiving over \$50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	4,587,858.
b	Average of monthly cash balances	1b	982,738.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	5,570,596.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	5,570,596.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	83,559.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,487,037.
6	Minimum investment return. Enter 5% of line 5	6	274,352.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	274,352.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,448.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,448.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	271,904.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	271,904.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	271,904.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	271,000.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	271,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,448.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	268,552.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Page **9****Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				271,904.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20 <u>11</u> , 20 <u>10</u> , 20 <u>09</u>				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ <u>271,000.</u>				
a Applied to 2012, but not more than line 2a . . .				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				271,000.
e Remaining amount distributed out of corpus . .				
5 Excess distributions carryover applied to 2013. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				904.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . .				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009 . . .				
b Excess from 2010 . . .				
c Excess from 2011 . . .				
d Excess from 2012 . . .				
e Excess from 2013 . . .				

Form **990-PF** (2013)

NOT APPLICABLE

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(1)(3) or		4942(1)(5)
---------------	--	------------

(4) Gross investment income

[illegible]

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATCH 20				
Total			▶ 3a	271,000.
b Approved for future payment				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	60.	
4 Dividends and interest from securities			20	99,932.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property .					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	519,601.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a _____					
b ATCH 21					1,914,670.
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)				619,593.	1,914,670.
13 Total. Add line 12, columns (b), (d), and (e)					2,534,263.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
11	THE HARVEY W. PETERS RESEARCH FOUNDATION (THE FOUNDATION) IS ORGANIZED EXCLUSIVELY FOR CHARITABLE, EDUCATIONAL AND SCIENTIFIC PURPOSES WITHIN THE MEANING OF SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE OF 1986, AS AMENDED OR ANY CORRESPONDING SECTION OF ANY FUTURE FEDERAL TAX CODE. THE FOUNDATION'S PURPOSE IS TO SUPPORT EDWARD VIA VIRGINIA COLLEGE OF OSTEOPATHIC MEDICINE, A VIRGINIA NONSTOCK CORPORATION, AND ITS PROGRAMS, CONSISTING OF PROVIDING PROGRAMS OF INSTRUCTION AND TRAINING IN THE ART, SCIENCE AND PRACTICE OF OSTEOPATHIC MEDICINE, AND IN THE CONDUCT OF MEDICAL AND SCIENTIFIC RESEARCH.
	THE LEASE OF PROPERTY TO THE COLLEGE IS IN FURTHERANCE OF THE FOUNDATION'S EXEMPT MISSION. THROUGH A SINGLE MEMBER LIMITED LIABILITY COMPANY, THE FOUNDATION ACQUIRED A PARCEL OF LAND IN SPARTANBURG, SC AND CONSTRUCTED BUILDINGS TO HOUSE THE SC CAMPUS OF THE EDWARD VIA VA COLLEGE OF OSTEOPATHIC MEDICINE AND TO ACQUIRE CERTAIN OTHER PROPERTY NECESSARY FOR THE OPERATION OF THE CAMPUS. FOLLOWING COMPLETION OF CONSTRUCTION, THE FOUNDATION BEGAN LEASING THE PROPERTY TO THE COLLEGE FOR IT TO OPERATE.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

<p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p>		Yes	No
<p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p>			
<p>(1) Cash</p>	1a(1)		X
<p>(2) Other assets</p>	1a(2)		X
<p>b Other transactions:</p>			
<p>(1) Sales of assets to a noncharitable exempt organization</p>	1b(1)		X
<p>(2) Purchases of assets from a noncharitable exempt organization</p>	1b(2)		X
<p>(3) Rental of facilities, equipment, or other assets</p>	1b(3)		X
<p>(4) Reimbursement arrangements</p>	1b(4)		X
<p>(5) Loans or loan guarantees</p>	1b(5)	X	
<p>(6) Performance of services or membership or fundraising solicitations</p>	1b(6)		X
<p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p>	1c		X
<p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p>			




(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
1B(5)	1,332,749.	HARVEY W PETERS	A LOAN WAS GIVEN BY THE
		(VIRGINIA) HOLDING	REPORTING ENTITY
		CORPORATION	
1B(5)	3,307,082.	HARVEY W PETERS	A LOAN WAS GIVEN TO THE
		(VIRGINIA) HOLDING	REPORTING ENTITY
		CORPORATION	
1B(5)	449,019.	HARVEY W. PETERS	A LOAN WAS GUARANTEED BY THE
		(VIRGINIA) HOLDING	REPORTING ENTITY
		CORPORATION	
		(VIRGINIA) HOLDING	REPORTING ENTITY
		CORPORATION	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☒ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
HARVEY W. PETERS	501 (C) (2)	THE REPORTING ENTITY IS THE
(VIRGINIA) HOLDING		SOLE MEMBER OF THE HARVEY W.
CORPORATION		PETERS (VIRGINIA) HOLDING
		CORPORATION

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here  Signature of officer or trustee  Date **2/11/15**  Title **Chairman**

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name CHRISTINE G TAUCHEN	Preparer's signature <i>Christine G. Tauchen</i>	Date 2/9/15	Check <input type="checkbox"/> if self-employed	PTIN P01320000
	Firm's name ▶ KPMG LLP			Firm's EIN ▶ 13-5565207	
	Firm's address ▶ 1021 EAST CARY STREET, SUITE 2000 RICHMOND, VA 23219			Phone no 804-782-4200	

Schedule B(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service**Schedule of Contributors**▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

HARVEY W. PETERS RESEARCH FOUNDATION

Employer identification number

46-0459671

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization HARVEY W. PETERS RESEARCH FOUNDATION

Employer identification number
46-0459671**Part I** Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	EDWARD BECHER VIA 6541 TALLWOOD DRIVE ROANOKE, VA 24018	\$ 235,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization **HARVEY W. PETERS RESEARCH FOUNDATION**

Employer identification number

46-0459671

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----

Name of organization HARVEY W. PETERS RESEARCH FOUNDATION

Employer identification number

46-0459671

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

FORM 990PF, PART I - CONTRIBUTIONS, GIFTS AND GRANTS RECEIVED

ATTACHMENT 1

<u>NAME AND ADDRESS</u>	<u>DATE</u>	<u>DIRECT PUBLIC SUPPORT</u>
EDWARD BECHER VIA 6541 TALLWOOD DRIVE ROANOKE, VA 24018	VAR	235,000.
TOTAL CONTRIBUTION AMOUNTS		<u>235,000.</u>

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

ATTACHMENT 2

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST - GOLDMAN SACHS	25.	25.
INTEREST - SOUTH DONAHUE	35.	35.
TOTAL	<u>60.</u>	<u>60.</u>

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

ATTACHMENT 3

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDENDS - MONEY MARKET	9.	9.
DOMESTIC DIVIDENDS	34,995.	34,995.
DOM DIVIDENDS - VIA GROUP FIDUCIARY MGMT	34,569.	34,569.
FOREIGN DIVIDENDS	30,358.	30,358.
TOTAL	<u>99,931.</u>	<u>99,931.</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
OTHER EXEMPT FUNCTION INCOME	1,914,670.
TOTALS	<u>1,914,670.</u>

HARVEY W PETERS RESEARCH FOUNDATION

46-0459671

ATTACHMENT 5

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
OTHER LEGAL FEES	24,447.	24,447.		
TOTALS	<u>24,447.</u>	<u>24,447.</u>		

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

ATTACHMENT 6

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
OTHER ACCOUNTING FEES	135,694.	135,694.		
TOTALS	<u>135,694.</u>	<u>135,694.</u>		

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

ATTACHMENT 7

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
OTHER PROFESSIONAL FEES	83,278.	83,278.
TOTALS	<u>83,278.</u>	<u>83,278.</u>

ATTACHMENT 8FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
INTEREST EXPENSE	738,191.
TOTALS	<u>738,191.</u>

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

ATTACHMENT 9

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAX	1,687.	1,687.
FOREIGN CONVERSION EXPENSE	1.	1.
TOTALS	<u>1,688.</u>	<u>1,688.</u>

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

ATTACHMENT 10

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT MANAGEMENT FEES	50,731.	50,731.
UTILITIES/RENT EXPENSE	145.	145.
CUSTODIAN FEES	13,393.	13,393.
AMORTIZATION EXPENSE	49,234.	
OTHER EXPENSES	134,532.	65,424.
TOTALS	<u>248,035.</u>	<u>129,693.</u>

HARVEY W PETERS RESEARCH FOUNDATION

46-0459671

ATTACHMENT 11

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
COMMON AND OTHER STOCKS	2,380,370.	2,458,895.	2,458,895.
TOTALS	<u>2,380,370.</u>	<u>2,458,895.</u>	<u>2,458,895.</u>

HARVEY W PETERS RESEARCH FOUNDATION

46-0459671

ATTACHMENT 12

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
INV - VIA GROUP FIDUCIARY MGMT	2,263,264.	2,870,378.	2,870,378.
TOTALS	<u>2,263,264.</u>	<u>2,870,378.</u>	<u>2,870,378.</u>

ATTACHMENT 13FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
DUE FROM HARVEY W. PETERS HOLD	642,403.	642,403.	642,403.
DIVIDENDS & INTEREST RECEIVABL	2,640.	1,711.	1,711.
PREPAID EXPENSES & OTHER ASSET	12,302.	914,456.	914,456.
CAPITAL LEASE RECEIVABLE	672,674.	156,412.	156,412.
CURRENT CAPITAL LEASE RECEIVAB	353,071.	395,405.	395,405.
DUE FROM VE HOLDINGS	325,000.	325,000.	325,000.
DEFERRED FINANCING COSTS	525,431.	476,197.	476,197.
DUE FROM COLLEGE OF ENGINEERIN		4,867,000.	4,867,000.
TOTALS	<u>2,533,521.</u>	<u>7,778,584.</u>	<u>7,778,584.</u>

FORM 990PF, PART II – MORTGAGES AND OTHER NOTES PAYABLE

LENDER: TD Bank
ORIGINAL AMOUNT: 10,000,000
INTEREST RATE: 3.75 %
DATE OF NOTE: 12/31/2010
MATURITY DATE: 12/31/2027
REPAYMENT TERMS: 54 monthly interest payments of \$31,250 through 12/31/2017;
120 principal payments of \$83,333, plus accrued interest, due
beginning 01/01/2018
SECURITY PROVIDED: Certain assets of VCOM Enterprises; guaranteed unconditionally
by the Harvey W. Peters Research Foundation and the Via-
Bradley College of Engineering Foundation, a related party
PURPOSE OF LOAN: Finance construction of campus in South Carolina
DESCRIPTION AND FMV
OF CONSIDERATION: Cash - \$10,000,000

BEGINNING BALANCE DUE 10,000,000
ENDING BALANCE DUE 10,000,000

LENDER: TD Bank
ORIGINAL AMOUNT: 3,000,000
INTEREST RATE: 2.75%
DATE OF NOTE: 10/24/2011
MATURITY DATE: 12/31/2050
REPAYMENT TERMS: Monthly interest payments of \$6,875 through 12/31/2017;
principal payments, plus accrued interest, due beginning
01/01/2018
SECURITY PROVIDED: Certain assets of VCOM Enterprises; guaranteed unconditionally
by the Harvey W. Peters Research Foundation and the Via-
Bradley College of Engineering Foundation, a related party
PURPOSE OF LOAN: Finance construction of campus in South Carolina
DESCRIPTION AND FMV
OF CONSIDERATION: Cash - \$3,000,000

BEGINNING BALANCE DUE 3,000,000
ENDING BALANCE DUE 3,000,000

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

FORM 990PF, PART II – MORTGAGES AND OTHER NOTES PAYABLE

LENDER: TD Bank
ORIGINAL AMOUNT: 3,450,000
INTEREST RATE: 3.75%
DATE OF NOTE: 12/31/2010
MATURITY DATE: 12/31/2017
REPAYMENT TERMS: 54 monthly principal payments of \$63,889, plus accrued interest
SECURITY PROVIDED: Certain assets of VCOM Enterprises; guaranteed unconditionally by the Harvey W. Peters Research Foundation and the Via-Bradley College of Engineering Foundation, a related party

PURPOSE OF LOAN: Finance construction of campus in South Carolina
DESCRIPTION AND FMV
OF CONSIDERATION: Cash - \$3,450,000

BEGINNING BALANCE DUE 3,450,000
ENDING BALANCE DUE 2,683,333

LENDER: Valley Bank
ORIGINAL AMOUNT: 3,000,000
INTEREST RATE: 5.75 %
DATE OF NOTE: 10/24/2011
MATURITY DATE: 11/01/2018
REPAYMENT TERMS: 65 monthly payments of \$44,448
SECURITY PROVIDED: Property and equipment owned by VCOM Enterprises; guaranteed unconditionally by the Harvey W. Peters Research Foundation and the Via-Bradley College of Engineering Foundation, a related party

PURPOSE OF LOAN: Finance fixed asset purchases for campus in South Carolina
DESCRIPTION AND FMV
OF CONSIDERATION: Cash - \$3,000,000

BEGINNING BALANCE DUE 2,471,585
ENDING BALANCE DUE 2,037,137

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

FORM 990PF, PART II – MORTGAGES AND OTHER NOTES PAYABLE

LENDER: Dakotas XIV, LLC
ORIGINAL AMOUNT: 4,867,000
INTEREST RATE: 1.00 %
DATE OF NOTE: 12/23/2013
MATURITY DATE: 12/23/2043
REPAYMENT TERMS: Quarterly interest payments of \$12,168 through 12/31/2020; quarterly principal payments, plus accrued interest, due beginning 01/01/2021
SECURITY PROVIDED: Guaranteed unconditionally by the Harvey W. Peters Research Foundation and the Via-Bradley College of Engineering Foundation, a related party
PURPOSE OF LOAN: Finance construction of campus in Alabama
DESCRIPTION AND FMV OF CONSIDERATION: Cash - \$4,867,000
BEGINNING BALANCE DUE NONE
ENDING BALANCE DUE 4,867,000

LENDER: Dakotas XIV, LLC
ORIGINAL AMOUNT: 2,854,000
INTEREST RATE: 1.00 %
DATE OF NOTE: 12/23/2013
MATURITY DATE: 12/23/2043
REPAYMENT TERMS: Monthly interest payments of \$7,135 through 12/31/2020; quarterly principal payments, plus accrued interest, due beginning 01/01/2021
SECURITY PROVIDED: Guaranteed unconditionally by the Harvey W. Peters Research Foundation and the Via-Bradley College of Engineering Foundation, a related party
PURPOSE OF LOAN: Finance construction of campus in Alabama
DESCRIPTION AND FMV OF CONSIDERATION: Cash - \$2,854,000
BEGINNING BALANCE DUE NONE
ENDING BALANCE DUE 2,854,000

FORM 990PF, PART II – MORTGAGES AND OTHER NOTES PAYABLE

LENDER: Dakotas XIV, LLC
ORIGINAL AMOUNT: 2,279,000
INTEREST RATE: 1.00 %
DATE OF NOTE: 12/23/2013
MATURITY DATE: 12/23/2043
REPAYMENT TERMS: Quarterly interest payments of \$5,698 through 12/31/2020;
quarterly principal payments, plus accrued interest, due
beginning 01/01/2021
SECURITY PROVIDED: Guaranteed unconditionally by the Harvey W. Peters Foundation
and the Via-Bradley College of Engineering Foundation, a
related party
PURPOSE OF LOAN: Finance construction of campus in Alabama
DESCRIPTION AND FMV
OF CONSIDERATION: Cash - \$ 2,279,000
BEGINNING BALANCE DUE NONE
ENDING BALANCE DUE 2,279,000

LENDER: BMO Bank
ORIGINAL AMOUNT: 15,000,000
INTEREST RATE: 3.11%
DATE OF NOTE: 3/3/2010
MATURITY DATE: 3/2/2015
REPAYMENT TERMS: Line of credit – none
SECURITY PROVIDED: Investment assets held by the Marion Bradley Glass Trust and
the Marion Bradley Glass Partition Trust
PURPOSE OF LOAN: Fund various expenses of the Edward Via Virginia College of
Osteopathic Medicine and the construction of the South Carolina
and Auburn campuses
DESCRIPTION AND FMV
OF CONSIDERATION: Cash - \$15,000,000
BEGINNING BALANCE DUE 2,832,232
ENDING BALANCE DUE 6,192,000

ATTACHMENT 15FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
DUE TO HARVEY W. PETERS (VA) HOLDING CORPORATION	3,307,082.	3,975,164.
DUE TO EDWARD VIA VA COLLEGE OF OSTEOPATHIC MEDICINE	16,814.	
UNEARNED RENTAL INCOME	1,371,784.	3,302,736.
UNEARNED CAPITAL LEASE INCOME	110,401.	73,600.
CAPITAL LEASE OBLIGATION - SOUTH DONAHUE PROPERTIES		1,433,706.
TOTALS	<u>4,806,081.</u>	<u>8,785,206.</u>

ATTACHMENT 16FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED GAIN	465,659.
TOTAL	<u>465,659.</u>

ATTACHMENT 17FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
OTHER RECONCILING ITEM	1,940.
ROUNDING	2.
BOOK-TAX DIFFERENCE: CONTRIBUTIONS	145,000.
TOTAL	<u>146,942.</u>

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 18

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>
J. TRACY O'ROURKE 145 FAWN LANE PORTOLA VALLEY, CA 94026	DIRECTOR
DAVID G. HOTTMANN FIRST PREMIER BANK TRUST DEPARTMENT 6010 S. MINNESOTA SIOUX FALLS, SD 57101-1348	DIRECTOR
FREDERICK P. STRATTON, JR 9608 N. JUNIPER CIRCLE MEQUON, WI 50392	DIRECTOR
JOHN G ROCOVICH 5264 FALCON RIDGE ROAD ROANOKE, VA 24014	DIRECTOR/PRESIDENT

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 19

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
KPMG LLP 1021 EAST CARY STREET, SUITE 2000 RICHMOND, VA 23219	AUDIT & TAX SERVICES	134,508.
TOTAL COMPENSATION		<u>134,508.</u>

HARVEY W PETERS RESEARCH FOUNDATION

46-0459671

FORM 990PP, PART IV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 20

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>		<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
EDWARD VIA VA COLLEGE OF OSTEOPATHIC MEDICINE 2265 KRAFT DRIVE BLACKSBURG, VA 24060	PC		FINANCIAL ASSISTANCE	271,000
TOTAL CONTRIBUTIONS PAID				<u>271,000</u>

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 21

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
OTHER EXEMPT FUNCTION INCOME					1,914,670.
TOTALS					<u>1,914,670.</u>

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ATTACHMENT 21
PAGE 44

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041.

OMB No 1545-0092

2013

Name of estate or trust

HARVEY W. PETERS RESEARCH FOUNDATION

Employer identification number

46-0459671

Note: Form 5227 filers need to complete **only** Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below

This form may be easier to complete if you round off cents to whole dollars

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked	385,935.			385,935.
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824				4
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts				5
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet				6 ()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶				7 385,935.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked	472,284.	338,883.		133,401.
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked				
LONG-TERM CAPITAL GAIN DIVIDENDS ATTACHMENT 1				266.
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824				11
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.				12
13 Capital gain distributions.				13
14 Gain from Form 4797, Part I.				14
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet				15 ()
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶				16 133,667.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
17	Net short-term gain or (loss)	17		385,935.
18	Net long-term gain or (loss):			
a	Total for year	18a		133,667.
b	Unrecaptured section 1250 gain (see line 18 of the wrkshet.)	18b		
c	28% rate gain	18c		
19	Total net gain or (loss). Combine lines 17 and 18a. ▶	19		519,602.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation	
20	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 19, column (3) or b \$3,000 20 ()

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates	
Form 1041 filers. Complete this part only if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero. Caution: Skip this part and complete the Schedule D Tax Worksheet in the instructions if: • Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or • Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.	
Form 990-T trusts. Complete this part only if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the Schedule D Tax Worksheet in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.	

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . .	21		
22	Enter the smaller of line 18a or 19 in column (2) but not less than zero. 22			
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T). . . 23			
24	Add lines 22 and 23 24			
25	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0-. . . ▶ 25			
26	Subtract line 25 from line 24. If zero or less, enter -0-. 26			
27	Subtract line 26 from line 21. If zero or less, enter -0-. 27			
28	Enter the smaller of the amount on line 21 or \$2,450 28			
29	Enter the smaller of the amount on line 27 or line 28 29			
30	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶ 30			
31	Enter the smaller of line 21 or line 26 31			
32	Subtract line 30 from line 26. 32			
33	Enter the smaller of line 21 or \$11,950. 33			
34	Add lines 27 and 30 34			
35	Subtract line 34 from line 33. If zero or less, enter -0-. 35			
36	Enter the smaller of line 32 or line 35. 36			
37	Multiply line 36 by 15%. ▶ 37			
38	Enter the amount from line 31 38			
39	Add lines 30 and 36 39			
40	Subtract line 39 from line 38. If zero or less, enter -0-. 40			
41	Multiply line 40 by 20% ▶ 41			
42	Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041). . . . 42			
43	Add lines 37, 41, and 42 43			
44	Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041). . . . 44			
45	Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36). ▶ 45			

Schedule D (Form 1041) 2013

HARVEY W PETERS RESEARCH FOUNDATION
EIN: 46-0459671

HARVEY W PETERS RESEARCH FOUNDATION
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
25. ABBOTT LABS COM	11/08/1993	05/19/2014	986.47	158.03	828.44
125. ABBVIE INC COM	11/08/1993	01/21/2014	6,259.89	856.85	5,403.04
25. ABBVIE INC COM	11/08/1993	05/19/2014	1,347.85	171.37	1,176.48
5. AMAZON COM INC COM	09/03/2009	11/20/2013	1,803.90	391.75	1,412.15
10. AMAZON COM INC COM	09/03/2009	01/21/2014	4,036.72	783.50	3,253.22
5. AMAZON COM INC COM	09/03/2009	02/19/2014	1,763.01	391.75	1,371.26
50. AMERICAN EXPRESS CO COM	VAR	01/21/2014	4,497.42	3,001.50	1,495.92
5. APPLE COMPUTER INC COM	10/15/2008	05/19/2014	3,030.03	519.35	2,510.68
200. CISCO SYS INC COM	05/06/1997	08/12/2013	5,261.90	1,263.89	3,998.01
25. CUMMINS ENGINE INC COM	09/03/2009	08/12/2013	3,156.44	1,137.18	2,019.26
25. CUMMINS ENGINE INC COM	09/03/2009	01/21/2014	3,401.44	1,137.18	2,264.26
25. CUMMINS ENGINE INC COM	09/03/2009	02/19/2014	3,538.18	1,137.18	2,401.00
25. DANAHER CORP	01/21/2003	01/21/2014	1,943.96	394.88	1,549.08
25. DANAHER CORP	01/21/2003	02/19/2014	1,892.96	394.87	1,498.09
25. DISNEY WALT CO COM	08/22/2008	02/19/2014	1,986.71	805.50	1,181.21
25. DISNEY WALT CO COM	08/22/2008	03/14/2014	1,998.45	805.50	1,192.95
25. DISNEY WALT CO COM	08/22/2008	05/19/2014	2,028.95	805.50	1,223.45
25. DOMINION RESOURCES INC/VA	08/08/2002	02/19/2014	1,769.71	752.00	1,017.71
25. DOMINION RESOURCES INC/VA	08/08/2002	03/14/2014	1,743.96	752.00	991.96
50. E M C CORP MASS COM	11/04/2010	05/19/2014	1,312.72	1,081.50	231.22
24. EXPRESS SCRIPTS HLDG CO	10/23/2012	05/19/2014	1,653.08	1,487.76	165.32
75. EXXON MOBIL CORP	VAR	02/19/2014	7,039.38	5,740.12	1,299.26
50. GENERAL DYNAMICS CORP COM	10/25/2007	08/12/2013	4,297.42	4,520.00	-222.58
25. GENERAL DYNAMICS CORP COM	10/25/2007	02/19/2014	2,657.45	2,260.00	397.45
5. GOOGLE INC CL A	09/03/2009	02/19/2014	6,026.24	2,285.36	3,740.88
75. INTERNATIONAL BUSINESS MACHS COM	10/15/2008	01/21/2014	14,039.75	6,986.25	7,053.50
125. ISHARES TRUST-S&P SM-CAP 600 INDEX FUND	08/22/2008	08/12/2013	12,194.78	7,982.31	4,212.47
350. ISHARES TRUST-S&P SM-CAP 600 INDEX FUND	08/22/2008	11/20/2013	36,356.87	22,350.48	14,006.39
50. ISHARES TRUST-S&P SM-CAP 600 INDEX FUND	08/22/2008	01/21/2014	5,423.90	3,192.92	2,230.98
Totals					

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ATTACHMENT A 1/4

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STATEMENT 8

HARVEY W. PETERS RESEARCH FOUNDATION
EIN: 46-0459671

HARVEY W PETERS RESEARCH FOUNDATION
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
25. ISHARES TRUST-S&P SM-CAP 600 INDEX FUND	08/22/2008	02/19/2014	2,678.95	1,596.46	1,082.49
50. ISHARES TRUST-S&P SM-CAP 600 INDEX FUND	08/22/2008	03/14/2014	5,505.37	3,192.93	2,312.44
125. ISHARES IBOXX \$ HIGH YIELD CORPORATE BOND ETF	10/23/2012	03/14/2014	11,722.24	11,590.00	132.24
75. ISHARES IBOXX \$ HIGH YIELD CORPORATE BOND ETF	10/23/2012	04/16/2014	7,058.09	6,954.00	104.09
125. J P MORGAN CHASE & CO COM	VAR	01/21/2014	7,219.87	5,790.50	1,429.37
50. JOHNSON & JOHNSON	11/28/2001	04/16/2014	4,918.89	3,003.50	1,915.39
50. JOHNSON & JOHNSON	11/28/2001	05/19/2014	5,013.88	3,003.50	2,010.38
5. MASTERCARD INC CL A	01/17/2012	01/21/2014	4,108.02	1,705.95	2,402.07
100. MEDTRONIC INC COM	10/23/2012	05/19/2014	6,050.36	4,177.00	1,873.36
150. MICROSOFT CORP COM	07/17/1996	08/12/2013	4,918.41	1,096.88	3,821.53
25. MICROSOFT CORP COM	07/17/1996	05/19/2014	990.10	182.81	807.29
50. NATIONAL-OILWELL INC COM	02/14/2008	08/12/2013	3,647.43	3,154.50	492.93
25. NIKE INC CLASS B COM	02/04/2008	02/19/2014	1,869.46	777.75	1,091.71
75. NOW INC COM	03/29/2010	06/19/2014	25.09	12.30	12.79
50. ORACLE CORP COM	10/25/2007	05/19/2014	2,097.20	1,067.00	1,030.20
350. PG&E CORP COM	VAR	01/21/2014	14,274.26	13,303.99	970.27
25. PRAXAIR INC COM	10/15/2008	02/19/2014	3,242.44	1,661.00	1,581.44
25. PRAXAIR INC COM	10/15/2008	04/16/2014	3,281.92	1,661.00	1,620.92
25. PROCTER & GAMBLE CO COM	11/28/2001	04/16/2014	2,039.70	948.14	1,091.56
100. SPDR DOW JONES REIT ETF	07/17/2012	02/19/2014	7,683.86	7,510.69	173.17
150. SPDR DOW JONES REIT ETF	07/17/2012	03/14/2014	11,675.74	11,266.04	409.70
775. SPDR DOW JONES REIT ETF	VAR	05/19/2014	64,114.33	57,795.24	6,319.09
50. SPDR S&P MIDCAP 400 ETF TR UNIT SER 1 STANDARD & POOR	09/08/2011	08/12/2013	11,286.80	7,747.50	3,539.30
125. SPDR S&P MIDCAP 400 ETF TR UNIT SER 1 STANDARD & POOR	VAR	11/20/2013	29,260.74	19,014.75	10,245.99
25. SPDR S&P MIDCAP 400 ETF TR UNIT SER 1 STANDARD & POOR	04/13/2010	01/21/2014	6,125.39	3,696.75	2,428.64
25. SPDR S&P MIDCAP 400 ETF TR UNIT SER 1 STANDARD & POOR	04/13/2010	02/19/2014	6,149.39	3,696.75	2,452.64
Totals					

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STATEMENT 9

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ATTACHMENT A 2/4

HARVEY W. PETERS RESEARCH FOUNDATION
EIN 46-0459671

HARVEY W PETERS RESEARCH FOUNDATION
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
25. SPDR S&P MIDCAP 400 ETF TR					
UNIT SER 1 STANDARD & POOR	04/13/2010	04/16/2014	6,108.61	3,696.75	2,411.86
150. SPECTRA ENERGY CORP COM	02/04/2010	08/12/2013	5,211.40	3,169.50	2,041.90
50. STATE STR CORP COM	07/26/1993	01/21/2014	3,693.93	448.92	3,245.01
200. TEVA PHARMACEUTICAL INDS					
LTD ADR	VAR	01/21/2014	9,033.84	9,384.93	-351.09
175. WELLS FARGO CO COM	08/08/2002	01/21/2014	8,120.73	4,481.75	3,638.98
50. WELLS FARGO CO COM	08/08/2002	04/16/2014	2,440.94	1,280.50	1,160.44
8000. GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	01/02/2014	8,000.00	8,000.00	NONE
11400. GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	01/07/2014	11,400.00	11,400.00	NONE
171.93 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	01/09/2014	171.93	171.93	NONE
112. GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	01/10/2014	112.00	112.00	NONE
2097.14 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	01/16/2014	2,097.14	2,097.14	NONE
7659.44 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	01/28/2014	7,659.44	7,659.44	NONE
5399.13 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	02/07/2014	5,399.13	5,399.13	NONE
1330. GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	02/14/2014	1,330.00	1,330.00	NONE
16.66 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	03/06/2014	16.66	16.66	NONE
1348. GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	03/12/2014	1,348.00	1,348.00	NONE
1509.33 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	04/10/2014	1,509.33	1,509.33	NONE
2117.98 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	04/14/2014	2,117.98	2,117.98	NONE
7990.47 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	04/22/2014	7,990.47	7,990.47	NONE
Totals					

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STATEMENT 10

ATTACHMENT A 3/4 13

HARVEY W PETERS RESEARCH FOUNDATION
Schedule D Detail of Long-term Capital Gains and Losses

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FEDERAL CAPITAL GAIN DIVIDENDSATTACHMENT 1

15% RATE CAPITAL GAIN DIVIDENDS		
LONG-TERM CAPITAL GAIN DIVIDENDS	266.	
TOTAL 15% RATE CAPITAL GAIN DIVIDENDS		266.
TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS		<u>266.</u>

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					266.	
472,284.		SEE ATTACHMENT A - L/T PROPERTY TYPE: SECURITIES 338,883.				P	VAR 133,401.	VAR
385,935.		VIA GROUP - S/T PROPERTY TYPE: SECURITIES				P	VAR 385,935.	VAR
TOTAL GAIN(LOSS)							<u>519,602.</u>	

Form **4562**Department of the Treasury
Internal Revenue Service (99)**Depreciation and Amortization**
(Including Information on Listed Property)

▶ See separate instructions.

▶ Attach to your tax return.

OMB No 1545-0172

2013Attachment
Sequence No **179**

Name(s) shown on return

HARVEY W. PETERS RESEARCH FOUNDATION

Identifying number

46-0459671

Business or activity to which this form relates

RENTAL INCOME**Part I Election To Expense Certain Property Under Section 179****Note:** If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	
4	Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1. If zero or less, enter -0- If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014 Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.**Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions.)**

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	913,316.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

Section B - Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	

Section C - Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a Class life	SEE				S/L	418.
b 12-year	DETAIL		12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations - see instructions	22	913,734.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.

Section A - Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.)

24a Do you have evidence to support the business/investment use claimed?				Yes	<input checked="" type="checkbox"/>	No	24b If "Yes," is the evidence written?		Yes	<input checked="" type="checkbox"/>	No
(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/Convention	(h) Depreciation deduction	(i) Elected section 179 cost			
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)								25			
26 Property used more than 50% in a qualified business use:											
		%									
		%									
		%									
27 Property used 50% or less in a qualified business use:											
		%				S/L -					
		%				S/L -					
		%				S/L -					
28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1.								28			
29 Add amounts in column (i), line 26. Enter here and on line 7, page 1.								29			

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
30 Total business/investment miles driven during the year (do not include commuting miles). . .												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year. Add lines 30 through 32												
34 Was the vehicle available for personal use during off-duty hours?	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons (see instructions).

37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?	Yes	No
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions.)		

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2013 tax year (see instructions):					
					49,234.
43 Amortization of costs that began before your 2013 tax year					43
44 Total. Add amounts in column (f). See the instructions for where to report.					44
					49,234.

Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

HARVEY W. PETERS RESEARCH FOUNDATION

Social security number or taxpayer identification number

46-0459671

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You **must** check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	VIA GROUP - S/T	VAR	VAR	385,935.				385,935.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►				385,935.				385,935.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

HARVEY W. PETERS RESEARCH FOUNDATION

Social security number or taxpayer identification number

46-0459671

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	SEE ATTACHMENT A - L/T	VAR	VAR	472,284.	338,883.			133,401.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				472,284.	338,883.			133,401.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.