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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2013

► Do not enter Social Security numbers on this form as it may be made public.

► Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

Open to Public Inspection

For calendar year 2013 or tax year beginning

Jul 01, 2013, and ending

Jun 30, 2014

SCANNED AUG 07 2014 Revenue	Name of foundation <b>Helen R. Ernst Charitable Trust</b>																																																																																																																																																																																																																																
	Number and street (or P O box number if mail is not delivered to street address) <b>PO Box 160</b>		Room/suite																																																																																																																																																																																																																														
City or town, state or province, country, and ZIP or foreign postal code <b>Devils Lake ND 58301-0160</b>				A Employer identification number <b>45-6050423</b>																																																																																																																																																																																																																													
G Check all that apply		<input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change																																																																																																																																																																																																																															
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation				B Telephone number (see instructions) <b>701-662-5547</b>																																																																																																																																																																																																																													
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>► \$ 153,255.</b>		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____																																																																																																																																																																																																																															
(Part I, column (d) must be on cash basis)				C If exemption application is pending, check here <input type="checkbox"/>  <b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instr.))																																																																																																																																																																																																																													
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For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2013)

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See inst.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	7,172.	7,328.	7,328.
	3	Accounts receivable ► 140.			
		Less allowance for doubtful accts ► 291.	291.	140.	140.
	4	Pledges receivable ►			
		Less allowance for doubtful accts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7	Other notes and loans receivable (attach schedule) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state govt obligations (attach schedule) <i>SCA ATT</i>	42,772.	45,772.	47,745.
	b	Investments - corporate stock (attach schedule) <i>SCA ATT</i>	60,712.	54,113.	89,839.
	c	Investments - corporate bonds (attach schedule) <i>SCA ATT</i>	8,304.	8,304.	8,203.
	11	Investments - land, buildings, and equipment basis (attach schedule) ►			
		Less accumulated depreciation (attach schedule) ►			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ► )			
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	119,251.	115,657.	153,255.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ► )			
	23	Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, ck. here ► <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	119,251.	115,657.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	119,251.	115,657.	
	31	Total liabilities and net assets/fund balances (see instructions)	119,251.	115,657.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	119,251.
2	Enter amount from Part I, line 27a	2	(3,442.)
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	115,809.
5	Decreases not included in line 2 (itemize) ► Accounts receivable adj	5	152.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	115,657.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a Schedule attached		P	VA/RI/OUS	Various
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 9,704.		6,598.	3,106.	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a			3,106.	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	3,106.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	27.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beg. in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	8,233.	138,221.	0.0596
2011	8,156.	132,551.	0.0615
2010	7,946.	126,415.	0.0629
2009	7,946.	126,415.	0.0629
2008	15,757.	124,533.	0.1265

2 Total of line 1, column (d)	2 0.3734
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3 0.0747
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4 149,413.
5 Multiply line 4 by line 3	5 11,161.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 50.
7 Add lines 5 and 6	7 11,211.
8 Enter qualifying distributions from Part XII, line 4	8 8,482.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ►  and enter "N/A" on line 1  
Date of ruling or determination letter \_\_\_\_\_ (attach copy of letter if necessary - see instr.)

1b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ►  and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-

6 Credits/Payments

a 2013 estimated tax payments and 2012 overpayment credited to 2013

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax Check here  if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be Credited to 2014 estimated tax ► Refunded ► 11

1	101.
2	0
3	101.
4	0
5	101.
6a	
6b	
6c	
6d	
7	
8	
9	101.
10	
11	

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  Yes  No

1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?  Yes  No

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

1c Did the foundation file Form 1120-POL for this year?  Yes  No

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year

(1) On the foundation ► \$ \_\_\_\_\_ (2) On foundation managers ► \$ \_\_\_\_\_

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ \_\_\_\_\_

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?  Yes  No

If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes  Yes  No

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?  Yes  No

b If "Yes," has it filed a tax return on Form 990-T for this year?  Yes  No

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  Yes  No

If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

- By language in the governing instrument, or
- By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  Yes  No

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV  Yes  No

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► ND  Yes  No

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  Yes  No

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV  Yes  No

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses  Yes  No

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	13	X
14	The books are in care of ► Karen Hausmann Located at ► Ramsey Natl Bank Dev ND Devils Lake	Telephone no ► 701-662-5547 ZIP+4 ► 58301-0160	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes X No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/>	Yes X No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/>	Yes X No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/>	Yes X No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/>	Yes X No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/>	Yes X No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/>	Yes X No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ►	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))	2b	
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	<input type="checkbox"/>	Yes X No
	If "Yes," list the years ► 20____, 20____, 20____, 20____	2b	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see the instructions)	3b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____	3b	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/>	Yes X No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a	During the year did the foundation pay or incur any amount to				
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/>	No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ►				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d)	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?				

## **Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred comp	(e) Expense account, other allowances
Schedule attached		100.		

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

**Total number of other employees paid over \$50,000**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ►

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical info such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	Provide college scholarships to Native Americans from the Fort Totten Spirit Lake Nation	
		6,835.
2		
3		
4		

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	None	
2		
3	All other program-related investments. See instructions	
Total. Add lines 1 through 3		►

**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions )

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	144,501.
b	Average of monthly cash balances	1b	7,187.
c	Fair market value of all other assets (see instructions)	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	151,688.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	151,688.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,275.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	149,413.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	7,471.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations  
and certain foreign organizations check here ►  and do not complete this part )

1	Minimum investment return from Part X, line 6	1	7,471.
2 a	Tax on investment income for 2013 from Part VI, line 5	2a	101.
b	Income tax for 2013 (This does not include the tax from Part VI )	2b	
c	Add lines 2a and 2b	2c	101.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	7,370.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	7,370.
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	7,370.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc -total from Part I, column (d), line 26	1a	8,482.
b	Program-related investments-total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	8,482.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	8,482.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				7,370.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior yrs 20____, 20____, 20____				
3 Excess distribs carryover, if any, to 2013				
a From 2008 9,598.				
b From 2009 1,676.				
c From 2010 1,425.				
d From 2011 1,577.				
e From 2012 1,398.				
f Total of lines 3a through e 15,674.				
4 Qualifying distributions for 2013 from Part XII, line 4. ► \$ 8,482.				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				7,370.
e Remaining amt distributed out of corpus 1,112.				
5 Excess distribs carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in col (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, & 4e Subtract line 5 16,786.				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a 9,598.				
10 Analysis of line 9:				
a Excess from 2009 1,676.				
b Excess from 2010 1,425.				
c Excess from 2011 1,577.				
d Excess from 2012 1,398.				
e Excess from 2013 1,112.				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling				<input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)
1b	Check box to indicate whether the foundation is a private operating foundation described in section				
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
2b	85% of line 2a				
2c	Qualifying distributions from Part XII, line 4 for each year listed				
2d	Amounts included in line 2c not used directly for active conduct of exempt activities				
2e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				
3	Complete 3a, b, or c for the alternative test relied upon				
3a	"Assets" alternative test - enter				
	(1) Value of all assets				
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
3b	"Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
3c	"Support" alternative test - enter				
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
	(3) Largest amount of support from an exempt organization				
	(4) Gross investment income				

*N/A*

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)****1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Bernadette Brown 701-766-4347, PO Box 344 Fort Totten ND 58335

b The form in which applications should be submitted and information and materials they should include

**Schedule attached**

c Any submission deadlines

**Applications are accepted through 9/26/14 for the 2014-2015 school year**

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Schedule attached**

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Eunice Davidson 1004 13th St NE				
58301 ND DEVILS LAKE Geraldine Draper 1625 E Bdwy Blvd	None	None	Scholarship	1,709.
85719 AZ TUCSON Deshawn Lawrence 1024 Wash St SE	None	None	Scholarship	1,709.
58301 ND DEVILS LAKE Patrick Schmid 824 3rd Ave NE	None	None	Scholarship	1,709.
58301 ND DEVILS LAKE	None	None	Scholarship	1,708.
<b>Total</b>			► 3a	6,835.
b Approved for future payment				
None				
<b>Total</b>			► 3b	

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514	(e) Related or exempt function income (See instructions )	
		(a) Business code	(b) Amount	(c) Excl code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					3,581.
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					3,106.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue. a					
b						
c						
d						
e						
12	Subtotal Add columns (b), (d), and (e)					6,687.
13	Total. Add line 12, columns (b), (d), and (e)					6,687.
		13				

(See worksheet in line 13 instructions to verify calculations )

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions )
4	Provide funds for distributions
8	Provide funds for distributions

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

	Yes	No
1a(1)	X	
1a(2)	X	
1b(1)	X	
1b(2)	X	
1b(3)	X	
1b(4)	X	
1b(5)	X	
1b(6)	X	
1c	X	

**a Transfers from the reporting foundation to a noncharitable exempt organization of**

- (1) Cash
- (2) Other assets

### **b Other transactions**

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations

**c. Sharing of facilities, equipment, mailing lists, other assets, or paid employees**

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes  No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

**Sign  
Here**

**Karen Hausmann, TO**

07/22/2014

Trust Offic

May the IRS discuss this return  
with the preparer shown below  
(see instructions)?  Yes  No

Signature of officer or trustee		Date	Title	Yes	No
<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Mark Flaig CPA	Mark Flaig CPA	07/22/2014		P00219475
	Firm's name	►Ramsey National Bank		Firm's EIN ► 45-0183848	
	Firm's address	►300 4th Street DEVILS LAKE ND 58301-		Phone no 701-665-2518	

## **List of Officers, Directors, Trustees, and Key Employees**

US 990-PF

990PF: Page 6, Part VIII

2013

For the Account of: **HELEN BERGSTROM ERNST SCHOLARSHIP TRUST**  
 Account Number: **23 00 0578 0 09**  
 Report Date: **August 08, 2013**

For the 12 Month(s) Ended 06/30/2013

## Schedule of Assets

Asset Type	Shares Or Face	Investment Cost Basis	Unit Price	Current Market Value	Value As Of 07/01/2012 or Acquisition	Change in Value since 07/01/2012	Estimated Accrued Income
<b>TOTAL CASH</b>		<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>CASH EQUIVALENTS</b>							
MISC CASH EQUIV-TAX EXEMPT							
FED MUN OBLIG FD #852 INCOME	1,755.6800	1,755.68	1.000	1,755.68	1,755.68	0.00	0.02
FED MUN OBLIG FD #852 PRINCIPAL	5,416.6800	5,416.68	1.000	5,416.68	5,416.68	0.00	0.03
<b>TOTAL MISC CASH EQUIV-TAX EXEMPT</b>		<b>7,172.26</b>		<b>7,172.26</b>	<b>7,172.26</b>	<b>0.00</b>	<b>0.05</b>
<b>TOTAL CASH EQUIVALENTS</b>		<b>7,172.26</b>		<b>7,172.26</b>	<b>7,172.26</b>	<b>0.00</b>	<b>0.05</b>
<b>MUTUAL FUNDS</b>							
<b>STOCK FUNDS</b>							
HARBOR INTERNATIONAL FD #11	122.2180	4,014.57	62.350	7,620.29	6,808.76	811.53	0.00
VANGUARD TOTAL INTL STK#1769	151.5770	4,600.00	29.450	4,463.94	4,493.34	-29.40	0.00
SIGNAL SHARES							
VANGUARD EQUITY INC FD #565	345.0150	17,517.88	57.530	19,848.71	17,540.57	2,308.14	165.13
ADMIRAL SHARES							
VANGUARD SMALL-CAP IDX #1345	177.0920	3,131.48	40.490	7,170.48	5,808.61	1,361.85	0.00
SIGNAL SHARES							
VANGUARD MID-CAP INDEX #1344	193.1780	2,769.47	37.170	7,180.43	5,826.24	1,354.19	0.00
SIGNAL SHARES							
VANGUARD GROWTH INDEX #1347	380.6950	8,366.49	37.280	14,195.12	12,342.13	1,853.99	0.00
SIGNAL SHARES							
VANGUARD 500 INDEX FD #1340	178.0610	19,412.26	122.280	21,775.08	18,464.82	3,310.16	0.00
SIGNAL SHARES							
<b>TOTAL STOCK FUNDS</b>		<b>60,712.14</b>		<b>82,256.03</b>	<b>71,284.57</b>	<b>10,970.46</b>	<b>165.13</b>
<b>TAXABLE BOND FUNDS</b>							
FED INCOME TR FD 36 (C)	1,287.4440	13,317.16	10.240	13,183.43	13,683.14	-499.71	24.59
INSTL SHRS							
VANGUARD TOTAL BOND FD #1351	2,900.5190	29,455.04	10.670	30,948.54	32,185.76	-1,247.22	81.75
SIGNAL SHARES							
VANGUARD HI-YLD CORP BD #529	1,331.6650	8,303.63	5.910	7,870.14	8,043.26	-173.12	38.01
ADMIRAL SHARES							
<b>TOTAL TAXABLE BOND FUNDS</b>		<b>51,075.83</b>		<b>52,002.11</b>	<b>63,922.16</b>	<b>-1,920.06</b>	<b>125.35</b>
<b>TOTAL MUTUAL FUNDS</b>		<b>111,787.97</b>		<b>134,267.14</b>	<b>125,206.73</b>	<b>9,050.41</b>	<b>290.48</b>
<b>TOTAL INVESTMENTS</b>		<b>118,960.23</b>		<b>141,429.40</b>	<b>132,378.99</b>	<b>9,050.41</b>	<b>290.53</b>
<b>CURRENT PERIOD ACCRUED INCOME</b>				<b>290.53</b>			
<b>TOTAL INVESTMENTS, ACCRUED INCOME &amp; CASH</b>				<b>141,719.93</b>			

290.53  
119,719.93

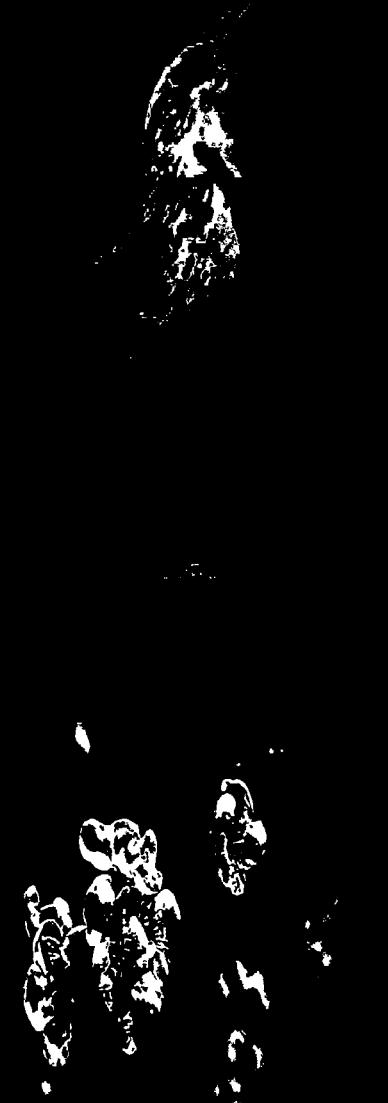
For the Account of: **HELEN BERGSTROM ERNST SCHOLARSHIP TRUST**  
 Account Number: **23 00 0578 0 09**  
 Report Date: **July 22, 2014**

For the 12 Month(s) Ended 06/30/2014

## ***Schedule of Assets***

Asset Type	Shares Or Face	Investment Cost Basis	Unit Price	Current Market Value	Value As Of 07/01/2013 or Acquisition	Change in Value since 07/01/2013	Estimated Accrued Income
<b>TOTAL CASH</b>		<b>0.00</b>		<b>0.00</b>	<b>0.00</b>		
<b>CASH EQUIVALENTS</b>							
<b>MISC CASH EQUIV-TAX EXEMPT</b>							
FED MUN OBLIG FD #852 INCOME	1,890 3800	1,890 38	1 000	1,890 38	1,890 38	0 00	0 01
FED MUN OBLIG FD #852 PRINCIPAL	5,437 4300	5,437 43	1 000	5,437 43	5,437 43	0 00	0 04
<b>TOTAL MISC CASH EQUIV-TAX EXEMPT</b>		<b>7,327.81</b>		<b>7,327.81</b>	<b>7,327.81</b>	<b>0 00</b>	<b>0.05</b>
<b>TOTAL CASH EQUIVALENTS</b>		<b>7,327.81</b>		<b>7,327.81</b>	<b>7,327.81</b>	<b>0.00</b>	<b>0.05</b>
<b>MUTUAL FUNDS</b>							
<b>STOCK FUNDS</b>							
HARBOR INTERNATIONAL FD #11	122 2180	4,014 57	74 160	9,063 69	7,620 29	1,443 40	0 00
VANGUARD TOTAL INTL STK #569 ADMIRAL SHARES	181 7960	4,500 00	29 120	5,293 90	5,206 67	87 23	0 00
VANGUARD EQUITY INC FD #565 ADMIRAL SHARES	298 3080	15,023 83	66 210	19,750 97	17,161 66	2,589 31	0 00
VANGUARD 500 INDEX FD #1340 SIGNAL SHARES	157 2250	17,338 24	149 370	23,484 70	19,227 05	4,257 65	0 00
VANGUARD MID-CAP INDEX #5859 ADMIRAL SHARES-CASH	54 6260	2,448 55	146 960	8,027 84	7,788 58	239 26	0 00
VANGUARD GROWTH INDEX #509 ADMIRAL SHARES CASH	317 9910	8,063 33	50 920	16,192 10	16,084 02	108 08	0 00
VANGUARD SMALL-CAP IDX #548 ADMIRAL SHARES-CASH	143 0100	2,724 90	56 120	8,025 72	7,636 75	388 97	0 00
<b>TOTAL STOCK FUNDS</b>		<b>54,113.42</b>		<b>89,838.92</b>	<b>80,726.02</b>	<b>9,113.90</b>	<b>0 00</b>
<b>TAXABLE BOND FUNDS</b>							
FED INCOME TR FD 36 (C) INSTL SHRS	1,384 4370	14,317 16	10 350	14,328 92	14,183 43	145 49	32 15
VANGUARD TOTAL BOND FD #1351 SIGNAL SHARES	3,085 5330	31,455 04	10 830	33,416 32	32,948 54	467 78	71 08
VANGUARD HI-YLD CORP BD #529 ADMIRAL SHARES	1,331 6650	8,303 63	6 160	8,203 06	7,870 14	332 92	36 95
<b>TOTAL TAXABLE BOND FUNDS</b>		<b>54,075.83</b>		<b>55,948.30</b>	<b>55,002.11</b>	<b>946.19</b>	<b>140.18</b>
<b>TOTAL MUTUAL FUNDS</b>		<b>108,189.25</b>		<b>145,787.22</b>	<b>135,727.13</b>	<b>10,060 09</b>	<b>140.18</b>
<b>TOTAL INVESTMENTS</b>		<b>115,617.06</b>		<b>153,115.03</b>	<b>143,054.94</b>	<b>10,060.09</b>	<b>140.23</b>
<b>CURRENT PERIOD ACCRUED INCOME</b>		<u>140.23</u>					
<b>TOTAL INVESTMENTS, ACCRUED INCOME &amp; CASH</b>				<u>153,255.26</u>			
		<u>115,657.29</u>					

# Helen Bergstrom Ernst Scholarship



The Helen Bergstrom Ernst Scholarship Trust was established by the Will of Helen Bergstrom Ernst to further the education of Students who are members of The Spirit Lake Nation.

## Qualifications Include:

- Applicant must be currently enrolled or enrolling in an accredited post-secondary institution.
- Applicant must be a member of the Spirit Lake Nation.
- Required to carry 12 or more credits per term.
- Term GPA of 2.0 or higher on a 4.0 basis.
- Applications are due on or before September 26, 2014
- Incomplete applications will not be considered.
- One half of the amount awarded will be paid in the fall semester. Spring semester payment is conditioned on proof of full time enrollment and term grade point of 2.0 on 4.0 scale.

*To obtain an application or further information regarding the Helen Bergstrom Ernst Scholarship please contact:*

Bernadette Brown  
Spirit Lake Tribe Education Office  
P.O. Box 359  
Fort Totten, ND 58335-0359  
Phone: 701-766-4347