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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning JUL 1, 2013, and ending JUN 30, 2014

Name of foundation
MARSHALL H. & NELLIE ALWORTH MEMORIAL
FUND

A Employer identification number

41-0797340

Number and street (or P.O. box number if mail is not delivered to street address)

402 ALWORTH BUILDING

Room/suite

B Telephone number

218-722-9366

City or town, state or province, country, and ZIP or foreign postal code

DULUTH, MN 55802

C If exemption application is pending, check here ☐

G Check all that apply

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization

☒

Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust☐

Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐I Fair market value of all assets at end of year
(from Part II, col. (c), line 16)

\$ 7,229,613.

J Accounting method

☐

Cash

☒

Accrual

☐ Other (specify)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	1,314,995.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	1,088.	1,088.		
4 Dividends and interest from securities	106,288.	106,288.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	98,637.			
b Gross sales price for all assets on line 6a	626,568.			
7 Capital gain net income (from Part IV, line 2)		98,637.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	46,366.	46,366.		STATEMENT 1
12 Total. Add lines 1 through 11	1,567,374.	252,379.		
13 Compensation of officers, directors, trustees, etc.	57,375.	8,606.		48,769.
14 Other employee salaries and wages	26,561.	3,984.		22,577.
15 Pension plans, employee benefits				
16a Legal fees	STMT 2 497.	497.		0.
b Accounting fees	STMT 3 2,450.	2,450.		0.
c Other professional fees	STMT 4 55,267.	55,267.		0.
17 Interest				
18 Taxes	STMT 5 13,495.	2,271.		5,556.
19 Depreciation and depletion	127.	127.		
20 Occupancy	12,767.	6,384.		6,384.
21 Travel, conferences, and meetings	5,695.	0.		5,695.
22 Printing and publications	5,661.	0.		5,661.
23 Other expenses	STMT 6 27,907.	7,069.		20,838.
24 Total operating and administrative expenses. Add lines 13 through 23	207,802.	86,655.		115,480.
25 Contributions, gifts, grants paid	1,149,700.			1,149,700.
26 Total expenses and disbursements. Add lines 24 and 25	1,357,502.	86,655.		1,265,180.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	209,872.			
b Net investment income (if negative, enter -0-)		165,724.		
c Adjusted net income (if negative, enter -0-)			N/A	

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LHA For Paperwork Reduction Act Notice, see instructions

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets

1	Cash - non-interest-bearing			
2	Savings and temporary cash investments	877,900.	118,772.	118,772.
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons			
7	Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U S and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
11	Investments - land, buildings, and equipment basis ▶ 534,827.			
	Less: accumulated depreciation ▶ 484,303.	50,524.	50,524.	50,524.
12	Investments - mortgage loans			
13	Investments - other STMT 8	5,272,391.	7,045,168.	7,045,168.
14	Land, buildings, and equipment basis ▶ 19,483.			
	Less: accumulated depreciation ▶ 19,474.	136.	9.	9.
15	Other assets (describe ▶ STATEMENT 9)	142,498.	15,140.	15,140.
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	6,343,449.	7,229,613.	7,229,613.

Liabilities

17	Accounts payable and accrued expenses	2,088.	3,874.	
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable			
22	Other liabilities (describe ▶)	1,533.	0.	
23	Total liabilities (add lines 17 through 22)	3,621.	3,874.	

Net Assets or Fund Balances

	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31			
24	Unrestricted	6,339,828.	7,225,739.	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 27 through 31			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances	6,339,828.	7,225,739.	
31	Total liabilities and net assets/fund balances	6,343,449.	7,229,613.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,339,828.
2	Enter amount from Part I, line 27a	2	209,872.
3	Other increases not included in line 2 (itemize) ▶ MARKET VALUE CHANGE	3	680,838.
4	Add lines 1, 2, and 3	4	7,230,538.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	4,799.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	7,225,739.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	P		
b CAPITAL GAINS DIVIDENDS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 483,579.		527,931.	-44,352.
b 142,989.			142,989.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-44,352.
b			142,989.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	98,637.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	}	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	71,242.	4,577,427.	.015564
2011	1,330,378.	4,308,574.	.308775
2010	1,512,763.	4,032,168.	.375174
2009	1,569,657.	3,952,171.	.397163
2008	1,752,747.	3,674,640.	.476985

2 Total of line 1, column (d)	2	1.573661
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.314732
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	5,369,692.
5 Multiply line 4 by line 3	5	1,690,014.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,657.
7 Add lines 5 and 6	7	1,691,671.
8 Enter qualifying distributions from Part XII, line 4	8	1,265,180.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	3,314.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	3,314.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	3,314.
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	6,300.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	6,300.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	11.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid <u>\$1,025.00</u>	10	2,975.
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <u>2,975.</u> Refunded	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <u>\$ 0.</u> (2) On foundation managers <u>\$ 0.</u>		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <u>\$ 0.</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>MN</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.ALWORTHSCHOLARSHIP.ORG	13	X	
14	The books are in care of ► PATTY SALO DOWNS Telephone no ► 218-722-9366 Located at ► 402 ALWORTH BUILDING, DULUTH, MN ZIP+4 ► 55802			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		57,375.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

☐ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SCHOLARSHIPS PROGRAM FOR STUDENTS WHO HAVE OUTSTANDING SCHOLASTIC ABILITY AND THE POTENTIAL FOR ACHEIVEMENT IN THE SCIENCES.	1,149,700.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
3 All other program-related investments See instructions	
4	
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MARSHALL H. & NELLIE ALWORTH MEMORIAL

Form 990-PF (2013)

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Page 8

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	5,869,900.
b	Average of monthly cash balances	1b	789,739.
c	Fair market value of all other assets	1c	50,533.
d	Total (add lines 1a, b, and c)	1d	6,710,172.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,710,172.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) STMT 11	4	1,340,480.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,369,692.
6	Minimum investment return. Enter 5% of line 5	6	268,485.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	268,485.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	3,314.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	3,314.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	265,171.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	265,171.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	265,171.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,265,180.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,265,180.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,265,180.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2013)

MARSHALL H. & NELLIE ALWORTH MEMORIAL

Form 990-PF (2013)

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI line 7				265,171.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008	1,573,449.			
b From 2009	1,380,671.			
c From 2010	1,316,472.			
d From 2011	1,119,977.			
e From 2012				
f Total of lines 3a through e	5,390,569.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 1,265,180.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				265,171.
e Remaining amount distributed out of corpus	1,000,009.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	6,390,578.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	1,573,449.			
9 Excess distributions carryover to 2014 Subtract lines 7 and 8 from line 6a	4,817,129.			
10 Analysis of line 9				
a Excess from 2009	1,380,671.			
b Excess from 2010	1,316,472.			
c Excess from 2011	1,119,977.			
d Excess from 2012				
e Excess from 2013	1,000,009.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(i)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

SEE STATEMENT 12

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

MARSHALL H. & NELLIE ALWORTH MEMORIAL

Form 990-PF (2013)

FUND

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Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SEE ATTACHED			SCHOLARSHIPS	1,149,700.
Total			▶ 3a	1,149,700.
b <i>Approved for future payment</i>				
NONE				
Total			▶ 3b	0.

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
		14	1,088.	
		14	106,288.	
		15	46,366.	
		18	98,637.	
	0.		252,379.	0.
			13	252,379.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

323621
10-10-13

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990

OMB No 1545-0047

2013

Name of the organization

MARSHALL H. & NELLIE ALWORTH MEMORIAL
FUND

Employer identification number

41-0797340

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules☐

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)**

Name of organization MARSHALL H. & NELLIE ALWORTH MEMORIAL FUND	Employer identification number 41-0797340
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MARSHALL W. ALWORTH MEMORIAL TRUST 280 PARK AVENUE, 7TH FLOOR NEW YORK, NY 10017-1270	\$ 1,314,995.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
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		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization

MARSHALL H. & NELLIE ALWORTH MEMORIAL
FUND

Employer identification number

41-0797340

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

MARSHALL H. & NELLIE ALWORTH MEMORIAL
FUND

Employer identification number

41-0797340

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	<div style="border-bottom: 1px solid black; height: 15px;"></div> <div style="border-bottom: 1px solid black; height: 15px;"></div> <div style="border-bottom: 1px solid black; height: 15px;"></div>		<div style="border-bottom: 1px solid black; height: 15px;"></div> <div style="border-bottom: 1px solid black; height: 15px;"></div> <div style="border-bottom: 1px solid black; height: 15px;"></div>

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	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	<div style="border-bottom: 1px solid black; height: 15px;"></div> <div style="border-bottom: 1px solid black; height: 15px;"></div> <div style="border-bottom: 1px solid black; height: 15px;"></div>		<div style="border-bottom: 1px solid black; height: 15px;"></div> <div style="border-bottom: 1px solid black; height: 15px;"></div> <div style="border-bottom: 1px solid black; height: 15px;"></div>

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	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	<div style="border-bottom: 1px solid black; height: 15px;"></div> <div style="border-bottom: 1px solid black; height: 15px;"></div> <div style="border-bottom: 1px solid black; height: 15px;"></div>		<div style="border-bottom: 1px solid black; height: 15px;"></div> <div style="border-bottom: 1px solid black; height: 15px;"></div> <div style="border-bottom: 1px solid black; height: 15px;"></div>

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	<div style="border-bottom: 1px solid black; height: 15px;"></div> <div style="border-bottom: 1px solid black; height: 15px;"></div> <div style="border-bottom: 1px solid black; height: 15px;"></div>		<div style="border-bottom: 1px solid black; height: 15px;"></div> <div style="border-bottom: 1px solid black; height: 15px;"></div> <div style="border-bottom: 1px solid black; height: 15px;"></div>

FORM 990-PF

OTHER INCOME

STATEMENT 1

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ROYALTIES	46,366.	46,366.	
TOTAL TO FORM 990-PF, PART I, LINE 11	46,366.	46,366.	

FORM 990-PF

LEGAL FEES

STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	497.	497.		0.
TO FM 990-PF, PG 1, LN 16A	497.	497.		0.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,450.	2,450.		0.
TO FORM 990-PF, PG 1, LN 16B	2,450.	2,450.		0.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	48,187.	48,187.		0.
MINE INSPECTION	7,080.	7,080.		0.
TO FORM 990-PF, PG 1, LN 16C	55,267.	55,267.		0.

FORM 990-PF

TAXES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
REAL ESTATE TAXES	1,291.	1,291.		0.
PAYROLL TAXES	6,536.	980.		5,556.
EXCISE TAX	5,668.	0.		0.
TO FORM 990-PF, PG 1, LN 18	13,495.	2,271.		5,556.

FORM 990-PF

OTHER EXPENSES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS	5,124.	0.		5,124.
TELEPHONE	800.	0.		800.
COMPUTER CONSULTING	6,654.	6,654.		0.
INSURANCE	2,841.	0.		2,841.
COUNSELOR RECEPTION	3,127.	0.		3,127.
DUES AND SUBSCRIPTIONS	2,767.	415.		2,352.
MARKETING	6,594.	0.		6,594.
TO FORM 990-PF, PG 1, LN 23	27,907.	7,069.		20,838.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	7
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DESCRIPTION

AMOUNT

EQUITY IN LOSSES OF SLOCUM RESEARCH PORTFOLIO

4,799.

TOTAL TO FORM 990-PF, PART III, LINE 5

4,799.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
DB INVESTMENT ADVISORY	FMV	7,045,168.	7,045,168.
TOTAL TO FORM 990-PF, PART II, LINE 13		7,045,168.	7,045,168.

FORM 990-PF

OTHER ASSETS

STATEMENT 9

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ROYALTIES RECEIVABLE	142,498.	12,165.	12,165.
PREPAID EXCISE TAX	0.	2,975.	2,975.
TO FORM 990-PF, PART II, LINE 15	142,498.	15,140.	15,140.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
PATTY SALO DOWNS 402 ALWORTH BUILDING DULUTH, MN 55802	EXECUTIVE DIRECTOR/SECRETARY 30.00	54,375.	0.	0.
NICK ALWORTH 402 ALWORTH BUILDING DULUTH, MN 55802	CO-TRUSTEE 1.00	0.	0.	0.
TERE IVANCA 402 ALWORTH BUILDING DULUTH, MN 55802	PRESIDENT 1.00	400.	0.	0.
PAT ALTRICHER 402 ALWORTH BUILDING DULUTH, MN 55802	VICE PRESIDENT/PRESIDENT 1.00	400.	0.	0.
LINDA NISKANEN 402 ALWORTH BUILDING DULUTH, MN 55802	DIRECTOR/VICE PRESIDENT 1.00	400.	0.	0.
JERRY DODD 402 ALWORTH BUILDING DULUTH, MN 55802	DIRECTOR/TREASURER 1.00	400.	0.	0.
ERIC NORBERG 402 ALWORTH BUILDING DULUTH, MN 55802	TREASURER 1.00	200.	0.	0.
TOM RENIER 402 ALWORTH BUILDING DULUTH, MN 55802	DIRECTOR 1.00	400.	0.	0.
CHARLES H ANDRESEN 402 ALWORTH BUILDING DULUTH, MN 55802	COUNSEL 1.00	0.	0.	0.
LONNIE SWARTZ 402 ALWORTH BUILDING DULUTH, MN 55802	DIRECTOR 1.00	400.	0.	0.
PAM THOMSEN 402 ALWORTH BUILDING DULUTH, MN 55802	DIRECTOR 1.00	400.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		57,375.	0.	0.

FORM 990-PF CASH DEEMED CHARITABLE EXPLANATION STATEMENT STATEMENT 11
PART X, LINE 4

CASH NEEDED FOR PAYMENT OF SCHOLARSHIPS. \$1,225,000

CASH NEEDED FOR PAYMENT OF PROGRAM EXPENSES. \$115,480

THE AMOUNTS ABOVE REPRESENT CASH BALANCES
NECESSARY TO COVER PAYMENTS OF SCHOLARSHIP
AWARDS AND ADMINISTRATIVE EXPENSES DIRECTLY
CONNECTED WITH THE EDUCATIONAL SCHOLARSHIP
PROGRAM.

TOTAL \$1,340,480

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

PATTY SALO DOWNS
402 ALWORTH BUILDING
DULUTH, MN 55802

TELEPHONE NUMBER

218-722-9366

FORM AND CONTENT OF APPLICATIONS

THE ORGANIZATION HAS A STANDARD APPLICATION FORM WHICH IS WIDELY DISTRIBUTED TO HIGH SCHOOL COUNSELORS IN THE NORTHERN PORTION OF MINNESOTA. A MEMO TO COUNSELORS IS PROVIDED WHICH OUTLINES INSTRUCTIONS FOR PHOTOS, APPROVED FIELDS OF STUDY, TRANSCRIPTS SHOWING GRADE POINT AVERAGE, SIZE OF GRADUATING CLASS AND RANK IN CLASS, AVAILABLE TEST SCORES, A RECOMMENDATION FROM THE SCHOOL, AND BASIC INFORMATION AS TO WHY THERE IS A NEED FOR A GRANT.

ANY SUBMISSION DEADLINES

THE SUBMISSION DEADLINE IS MARCH 1 OF EACH CALENDAR YEAR FOR SUBMISSION OF APPLICATIONS.

RESTRICTIONS AND LIMITATIONS ON AWARDS

GRANTS ARE LIMITED TO STUDENTS FROM NORTHERN MINNESOTA ATTENDING ACCREDITED COLLEGES AND UNIVERSITIES OF THEIR CHOICE WHO ARE MAJORING IN ONE OF THE BASIC SCIENCES OR MEDICINE AND CAN SHOW EVIDENCE OF NEED FOR FINANCIAL ASSISTANCE.

MARSHALL H & NELLIE ALWORTH MEMORIAL FUND

Ein 41-0797340

ATTACHMENT TO FORM 990-PF, PART II, LINES 11 & 14, COLUMN B

	<u>COST</u>	<u>ACCUMULATED DEPRECIATION</u>	<u>BOOK VALUE</u>
FURNITURE & FIXTURES	19,483	(19,474)	9
MINERAL PROPERTIES	534,827	(484,303)	50,524

Marshall H. & Nellie Alworth Memorial Fund
EIN 41-0797340
Attachment to Form 990-PF, Part XV

Courtney D Bauer	2,500 00
Shelby N. Andrist	2,500.00
Ryan C Bell	2,500.00
Michelle M Benson	2,500.00
Erin M Brine-Doyle	2,500.00
Jennah J Calverly	2,500.00
Mackenzie L. Carlson	2,500.00
Marcus M. Comstock	2,500.00
Colin L Cottingham	2,500.00
Alexandra H. Crum	2,500.00
Marc J. Cuva	2,500.00
William R. Deer	2,500.00
Erik B Dreyer	2,500.00
Nathan D. Emerson	2,500.00
Alayna E. Ernster	2,500.00
Shane R Fenske	2,500.00
Mitchell L Fontaine	2,500.00
Nicholas S. Giuliani	2,500.00
Katrina R. Hagemeyer	2,500.00
Reilly W. Hallstrom	2,500.00
Annika E. Hansen	2,500.00
Stephanie M. Hart	2,500.00
Luke D Jacobsen	2,500.00
John A Jenkins	2,500.00
Ryan M. Johnson	2,500.00
Mathew I Jones	2,500.00
Ryan J. Kilbride	2,500.00
Jeffrey M Klow	2,500.00
Allison R. Kosobud	2,500.00
Michael C. LaRoque	2,500.00
Isabel G Lawrence	2,500 00
Andrew M Mahonen	2,500.00
Corrine K Maki	2,500 00
Hannah L Marty	2,500 00
Kelsey E McKanna	2,500 00
Connor J McNallan	2,500 00
Wyatt A. Miller	2,500 00
Matthew L. Muhich	2,500 00
Timothy P Nelson	2,500 00
Rachel I. Norwood	2,500 00

Matthew J. O'Connor	2,500 00
Danny G. O'Neil	2,500 00
Kendra A. Pallin	2,500.00
Thomas Z. Paull	2,500.00
Matthew A. Peske	2,500.00
Alexander M. Prochnow	2,500.00
Colin D. Richards	2,500.00
Carly J. Ritchie	2,500.00
Samuel J. Ruohoniemi	2,500.00
Devon A. Shustarich	2,500.00
Mariah N. Siddiqui	2,500 00
Kari E. Smerud	2,500 00
Brett E. Sterk	2,500.00
Benjamin C. Stubbs	2,500 00
Erica S. Swenson	2,500.00
Sarah A. Todd	2,500 00
Anthony J. Treichel	2,500 00
Zachary J. Turner	2,500.00
Micah T. Van Zee	2,500.00
Emily A. Warner	2,500 00
Megan E. Wiens	2,500 00
Jordan M. Wolf	2,500.00
Christopher D. Zimmer	2,500.00
Garrett M. Skrbich	2,500.00
Anthony J. Treichel	2,500.00
Mackenzie L. Carlson	2,500.00
Shelby N. Andrist	2,500.00
Colin L. Cottingham	2,500.00
Corrine K. Maki	2,500.00
Sarah A. Todd	2,500.00
Marcus M. Comstock	2,500.00
Nathan D. Emerson	2,500.00
Sierra Moen	2,500.00
Matthew A. Peske	2,500.00
Jennah J. Calverly	2,500.00
William R. Deer	2,500 00
Erik B. Dreyer	2,500.00
Stephanie M. Hart	2,500 00
Ryan M. Johnson	2,500.00
Mathew I. Jones	2,500 00
Isabel G. Lawrence	2,500 00
Courtney D. Bauer	2,500 00
Wyatt A. Miller	2,500 00
Timothy P. Nelson	2,500 00

Matthew J. O'Connor	2,500 00
Kendra A. Pallin	2,500.00
Thomas Z. Pauli	2,500.00
Colin D. Richards	2,500.00
Devon A. Shustarich	2,500 00
Mariah N. Siddiqui	2,500.00
Benjamin C. Stubbs	2,500.00
Erica S. Swenson	2,500 00
Emily A. Warner	2,500.00
Christopher D. Zimmer	2,500.00
Michael C. LaRoque	2,500.00
Kari E. Smerud	2,500.00
Luke D. Jacobsen	2,500 00
Ryan J. Kilbride	2,500 00
Andrew M. Mahonen	2,500.00
Matthew L. Muhich	2,500.00
Danny G. O'Neil	2,500.00
Samuel J. Ruohoniemi	2,500.00
Megan E. Wiens	2,500.00
Brett E. Sterk	2,500.00
Michelle M. Benson	2,500.00
Shane R. Fenske	2,500 00
Katrina R. Hagemeyer	2,500 00
Allison R. Kosobud	2,500 00
Micah T. Van Zee	2,500.00
Rachel I. Norwood	2,500 00
Ryan C. Bell	2,500 00
Erin M. Brine-Doyle	2,500.00
Reilly W. Hallstrom	2,500.00
Kelsey E. McKanna	2,500 00
Carly J. Ritchie	2,500 00
Jordan M. Wolf	2,500 00
Nicholas S. Giuliani	2,500 00
Marc J. Cuva	2,500 00
Alexandra H. Crum	2,500 00
Mitchell L. Fontaine	2,500 00
Jeffrey M. Klow	2,500 00
Zachary J. Turner	2,500 00
Hannah L. Marty	2,500 00
John A. Jenkins	2,500.00
Garrett M. Skrbich	2,500.00
Connor J. McNallan	2,500 00
Alayna E. Ernster	2,500 00
Annika E. Hansen	2,500 00

Andrew M Mahonen	2,500.00
Alex M Anderson	2,500.00
Mark M. Anderson	2,500.00
Hannah R. Arntson	2,500.00
Carter K. Bagley	2,500.00
Andrea N. Baker	2,500.00
Kelsey T. Binggeli	2,500 00
Garrett R. Busch	2,500 00
Elizabeth M. Cable	2,500.00
Michael V. Chmielewski	2,500.00
Christian S. Coffman	2,500.00
Austin G. Cossalter	2,500.00
Adam M. Dirks	2,500.00
Karianna J Duesler	2,500.00
Benjamin D. Fultz	2,500.00
Cole C. Gillum	2,500.00
Spencer R. Goble	2,500 00
Maya T. Grantier	2,500.00
Zachary L. Hewitt	2,500.00
Patrick J. Jarvi	2,500.00
Dorealyss A. Johnson	2,500.00
Drew C. Johnson	2,500.00
Amanda M. Kurtz	2,500.00
Ashley M. Larson	2,500.00
Clare E. Lawrence	2,500.00
Anneka J. Littler	2,500.00
Dillon R. Lundstrom	2,500.00
Lauren E. Makey	2,500.00
Alan V Manivannan	2,500 00
Daniel J McGuire	2,500 00
Joshua N Muhich	2,500 00
Jordan G. Peratalo	2,500 00
Brian R. Radtke	2,500 00
Sarah M. Rajala	2,500 00
Matthew J Randolph	2,500 00
Jack A. Reeves	2,500 00
Kimberly R Rice	2,500 00
Rachel J Rowenhorst	2,500.00
Kristin L. Salmonson	2,500 00
Kate L Shelerud	2,500.00
Kole P Spencer	2,500 00
Joshua R Warren	2,500.00
Courtney R. Wirtanen	2,500.00
Kylie E Zacharias	2,500.00

Brianna P. Zurich	2,500.00
Katelyn H. Myhre	2,500.00
Nelson D. Isaacson	2,500.00
Ian L. Sweeney Smith	2,500.00
Ashley A. Boyd	2,500.00
Brendan D. Pelkey	2,500 00
Matthew T. Soderberg	2,500.00
Elisa C. Muller	2,500.00
Kaija R. Roy	2,500.00
Carter K. Bagley	2,500.00
Amanda M. Kurtz	2,500.00
Rachel J. Rowenhorst	2,500.00
Courtney R. Wirtanen	2,500 00
Andrea N. Baker	2,500 00
Garrett R. Busch	2,500 00
Cole C. Gillum	2,500.00
Zachary L. Hewitt	2,500.00
Nelson D. Isaacson	2,500.00
Dillon R. Lundstrom	2,500.00
Elisa C. Muller	2,500.00
Jack A. Reeves	2,500.00
Ian L. Sweeney Smith	2,500 00
Kylie E. Zacharias	2,500 00
Dorealyss A. Johnson	2,500 00
Joshua N. Muhich	2,500 00
Alex M. Anderson	2,500 00
Katelyn H. Myhre	2,500 00
Christian S. Coffman	2,500.00
Adam M. Dirks	2,500.00
Patrick J. Jarvi	2,500 00
Brendan D. Pelkey	2,500 00
Jordan G. Peratalo	2,500 00
Brian R. Radtke	2,500 00
Kristin L. Salmonson	2,500 00
Austin G. Cossalter	2,500 00
Ashley M. Larson	2,500 00
Daniel J. McGuire	2,500 00
Spencer R. Goble	2,500 00
Benjamin D. Fultz	2,500 00
Brianna P. Zurich	2,500 00
Clare E. Lawrence	2,500 00
Ashley A. Boyd	2,500 00
Kimberly R. Rice	2,500.00
Karianna J. Duesler	2,500 00

Maya T. Grantier	2,500.00
Mark M. Anderson	2,500.00
Hannah R. Arntson	2,500.00
Lauren E. Makey	2,500.00
Kate L. Shelerud	2,500.00
Alan V. Manivannan	2,500.00
Kelsey T. Binggeli	2,500.00
Michael V. Chmielewski	2,500.00
Anneka J. Littler	2,500.00
Sarah M. Rajala	2,500.00
Matthew T. Soderberg	2,500.00
Drew C. Johnson	2,500.00
Kole P. Spencer	2,500.00
Matthew J. Randolph	2,500.00
Rachel J. Rowenhorst	2,500.00
Megan P. Twite	2,500.00
Eric D. Anderson	2,500.00
Jennifer L. Bankers	2,500.00
Thomas J. Battaglia	2,500.00
Elizabeth S. Berge	2,500.00
Charles M. Biberg	2,500.00
Abigail G. Blake	2,500.00
Dain R. Brademan	2,500.00
Lucas H. Brokl	2,500.00
Catie J. Burkhead	2,500.00
Shannon L. Currier	2,500.00
Natasha D. Gallett	2,500.00
Tyler M. Gregg	2,500.00
Anna C. Grimes	2,500.00
Alexis M. Gunderson	2,500.00
Ashley K. Heikes	2,500.00
Samuel K. Holmes	2,500.00
Kevin R. Hughes	2,500.00
Amy L. Kampa	2,500.00
Charles W. Kampa	2,500.00
Peter N. Kampa	2,500.00
Jill M. LaBine	2,500.00
Kaitlin M. Larson	2,500.00
Jessica K. Loucks	2,500.00
Timothy W. Madzey	2,500.00
Sarah E. Manka	2,500.00
Taylor E. McLean	2,500.00
Rachael A. Mills	2,500.00
Allison B. Mueller	2,500.00

Aaron K. Nisley	2,500.00
Paul D. Noll	2,500.00
Amy M. Odendahl	2,500 00
Kush D. Patel	2,500 00
Margaret R. Quinn	2,500.00
Megan M. Rubbelke	2,500.00
Katherine H. Schulze	2,500.00
Sophie L. Shogren	2,500.00
Kathryn J. Smith	2,500.00
Casey A. Stage	2,500.00
Joseph A. Toninato	2,500 00
Courtney L. Tusler	2,500.00
Tyler J. Wass	2,500.00
Hailey A. Wilson	2,500.00
Grace K. Larson	2,500.00
Cody W. Mauston	2,500.00
Bailey M. Drewes	2,500.00
Asa D. Bromenschenkel	2,500.00
Melody N. Balke	2,500.00
Nathan M. DeTurk	2,500.00
Katie K. McLaughlin	2,500.00
Jessica K. Loucks	2,500.00
Lucas H. Brokl	2,500.00
Charles M. Biberg	2,500.00
Peter N. Kampa	2,500.00
Jill M. LaBine	2,500.00
Allison B. Mueller	2,500 00
Megan M. Rubbelke	2,500 00
Natasha D. Gallett	2,500.00
Eric D. Anderson	2,500 00
Bailey M. Drewes	2,500 00
Tyler M. Gregg	2,500.00
Alexis M. Gunderson	2,500.00
Amy L. Kampa	2,500.00
Charles W. Kampa	2,500.00
Cody W. Mauston	2,500 00
Katie K. McLaughlin	2,500 00
Ashley K. Heikes	2,500 00
Samuel K. Holmes	2,500 00
Rachael A. Mills	2,500 00
Paul D. Noll	2,500.00
Kaitlin M. Larson	2,500.00
Amy M. Odendahl	2,500.00
Thomas J. Battaglia	2,500 00

Shannon L. Currier	2,500.00
Taylor E. McLean	2,500.00
Aaron K. Nisley	2,500 00
Kush D. Patel	2,500.00
Courtney L. Tusler	2,500.00
Anna C. Grimes	2,500.00
Kevin R. Hughes	2,500.00
Timothy W. Madzey	2,500.00
Sophie L. Shogren	2,500.00
Catie J. Burkhead	2,500.00
Tyler J. Wass	2,500.00
Melody N. Balke	2,500.00
Dain R. Brademan	2,500.00
Kathryn J. Smith	2,500.00
Joseph A. Toninato	2,500.00
Hailey A. Wilson	2,500.00
Elizabeth B. Berg	2,500 00
Sarah E. Manka	2,500.00
Katherine H. Schulze	2,500.00
Asa D. Bromenschenkel	2,500.00
Catie J. Burkhead	-2,500 00
Abigail G. Blake	2,500.00
Margaret R. Quinn	2,500.00
Jennifer L. Bankers	2,500 00
Grace K. Larson	2,500 00
Caleb D. Vogt	2,500.00
Nathan E. Baer	2,500 00
Elizabeth C. Balke	2,500 00
Christopher B. Bisping	2,500.00
Matthew G. Bonnema	2,500 00
Gabriel A. Browning	2,500.00
Anastasia F. Buscher	2,500 00
Emma L. Carter	2,500.00
Maria E. Damiani	2,500 00
Sarah E. Dens	2,500.00
Casie A. Ecklund	2,500 00
Sonia M. Ellison	2,500.00
Amanda J. Evans	2,500.00
Timothy J. Garay	2,500.00
Thea B. Gessler	2,500.00
Heather J. Goodwater	2,500 00
Jacob D. Grimes	2,500 00
Jacob C. Headlee	2,500 00
Isaac M. Heath	2,500 00

Casey R Johnson	2,500.00
Emily A Johnson	2,500.00
Joel A. Johnson	2,500.00
Benjamin T. Jussila	2,500 00
Mary E. Kading	2,500.00
Emily T Krulc	2,500.00
Emily M Lawrence	2,500.00
Kyle J. McBrady	2,500.00
Gregory A Nagel	2,500.00
Jillian D. Nelson	2,500.00
Kali R. O'Brien	2,500.00
Elisabeth S. Pederson	2,500.00
Brianna M Peterson	2,500.00
Morgan R. Reno	2,500.00
Elizabeth K Richards	2,500.00
Kipp J. Sande	2,500.00
Angela M. Savela	2,500.00
Benedict L. Scheuer	2,500.00
Matthew J. Shalley	2,500.00
Lauren M. Skrbich	2,500.00
Ariel C. Smith	2,500.00
Ryan J. Telander	2,500.00
Margaret K. Tierney	2,500.00
Emmalee A Toldo	2,500.00
Megan P. Twite	2,500.00
Emma C. Reeves	2,500.00
Nathaniel C. Schulz	2,500 00
Ann M. Kennedy	2,500.00
Emily K. Stolan	2,500.00
Carter J. Rohling	2,500.00
Brian J. Munson	2,500.00
Katie L. Laugtug	2,500.00
Thomas J. Miller	2,500.00
Casie A. Ecklund	2,500 00
Katie K. Scholl	2,500 00
Maria E. Damiani	2,500 00
Thea B. Gessler	2,500 00
Heather J. Goodwater	2,500 00
Matthew J. Shalley	2,500.00
Nathaniel C. Schulz	2,500.00
Christopher B. Bisping	2,500.00
Kipp J. Sande	2,500 00
Ariel C. Smith	2,500 00
Jacob C. Headlee	2,500 00

Isaac M. Heath	2,500.00
Ann M. Kennedy	2,500.00
Katie L. Laugtug	2,500.00
Emily T. Krulc	2,500.00
Brianna M. Peterson	2,500.00
Angela M. Savela	2,500 00
Benedict L. Scheuer	2,500 00
Jillian D. Nelson	2,500.00
Emma C. Reeves	2,500.00
Benjamin T. Jussila	2,500.00
Timothy J. Garay	2,500.00
Emmalee A. Toldo	2,500.00
Emily K. Stolan	2,500.00
Matthew G. Bonnema	2,500.00
Sarah E. Dens	2,500.00
Margaret K. Tierney	2,500.00
Elisabeth S. Pederson	2,500.00
Jacob D. Grimes	2,500.00
Joel A. Johnson	2,500.00
Elizabeth K. Richards	2,500.00
Gabriel A. Browning	2,500.00
Morgan R. Reno	2,500.00
Kali R. O'Brien	2,500.00
Amanda J. Evans	2,500.00
Mary A. Weinzierl	2,150.00
Mary A. Weinzierl	2,150.00
Stephanie V. Aldrin	2,300.00
Carly E. Hudelson	2,300.00
Glen C. Gross	2,300.00
Josh M. Prebeck	2,300 00
Rachel A. Cameron	2,300.00
Blake E. Collison	2,300 00
John P. Conely	2,300 00
Samantha M. Harder	2,300.00
Daniel O. Johnsrud	2,300 00
Ashley R. Lundblad Bishop	2,300 00
Christopher D. Nevala-Plagemann	2,300.00
Nicholas S. Selden	2,300 00
Anna H. Stomberg	2,300 00
Tori L. Bahr	2,300 00
Victoria K. Zbikowski	2,300 00
Elizabeth J. Kent	2,300 00
Jenna C. Klein Keller	2,300.00
Thomas B. Knowles	2,300 00

Ellen A. Overson	2,300.00
Naomi G. Scherfenberg	2,300.00
Lindsay A Taute	2,300.00
Josef N Tofte	2,300.00
Johnathan C. Valesano	2,300.00
John G Williams	2,300.00
Blake E. Collison	2,300.00
Ellen A. Overson	2,300.00
Tori L Bahr	2,300.00
Samantha M Harder	2,300.00
Elizabeth J. Kent	2,300.00
Ashley R. Lundblad Bishop	2,300.00
Josh M Prebeck	2,300.00
Naomi G. Scherfenberg	2,300.00
Johnathan C Valesano	2,300.00
Stephanie V Aldrin	2,300.00
John P. Conely	2,300.00
John G. Williams	2,300.00
Rachel A Cameron	2,300.00
Victoria K Zbikowski	2,300.00
Glen C Gross	2,300.00
Daniel O. Johnsrud	2,300.00
Nicholas S Selden	2,300.00
Lindsay A Taute	2,300.00
Anna H. Stomberg	2,300.00
Carly E Hudelson	2,300.00
Christopher D Nevala-Plagemann	2,300.00
Jenna C Klein Keller	2,300.00
Nicholas S Selden	2,300.00
Daniel O. Johnsrud	2,300.00
	<hr/>
	1,149,700.00

**Application for Extension of Time To File an
Exempt Organization Return**► **File a separate application for each return.**► **Information about Form 8868 and its instructions is at www.irs.gov/form8868**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization or other filer, see instructions.	Enter filer's identifying number
File by the due date for filing your return. See instructions.	MARSHALL H. & NELLIE ALWORTH MEMORIAL FUND	Employer identification number (EIN) or 41-0797340
	Number, street, and room or suite no. If a P.O. box, see instructions. 402 ALWORTH BUILDING	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. DULUTH, MN 55802	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

PATTY SALO DOWNS

- The books are in the care of ►
- 402 ALWORTH BUILDING - DULUTH, MN 55802**

Telephone No ► **218-722-9366**

Fax No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **FEBRUARY 15, 2015**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☐ calendar year _____ or
- ☒ tax year beginning **JUL 1, 2013**, and ending **JUN 30, 2014**

- 2** If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	3,314.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	6,300.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions