



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990



Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter Social Security numbers on this form as it may be made public By law, the IRS generally cannot redact the information on the form

Information about Form 990 and its instructions is at www.irs.gov/form990

OMB No 1545-0047

2013

Open to Public Inspection

A For the 2013 calendar year, or tax year beginning 07-01-2013, 2013, and ending 06-30-2014

B Check if applicable

☐ Address change

☐ Name change

☐ Initial return

☐ Terminated

☐ Amended return

☐ Application pending

C Name of organization

DOMINICAN UNIVERSITY

Doing Business As

Number and street (or P O box if mail is not delivered to street address)

7900 W DIVISION

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

RIVER FOREST, IL 603051099

F Name and address of principal officer

AMY MCCORMACK

7900 W DIVISION

RIVER FOREST,IL 603051099

H(a) Is this a group return for subordinates?

☐ Yes☒ No

H(b) Are all subordinates included?

☐ Yes☐ No

If "No," attach a list (see instructions)

H(c) Group exemption number

0928

I Tax-exempt status

☒ 501(c)(3) ☐ 501(c) () ☐ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website:

WWW DOM EDU

K Form of organization

☒ Corporation☐ Trust☐ Association☐ Other ☐

L Year of formation

1901

M State of legal domicile

IL

Part I		Summary	
Activities & Governance	1	Briefly describe the organization's mission or most significant activities SEE SCHEDULE O FOR THE UNIVERSITY'S MISSION	
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets	
	3	Number of voting members of the governing body (Part VI, line 1a)	29
	4	Number of independent voting members of the governing body (Part VI, line 1b)	27
Revenue	5	Total number of individuals employed in calendar year 2013 (Part V, line 2a)	1,817
	6	Total number of volunteers (estimate if necessary)	200
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	0
	b	Net unrelated business taxable income from Form 990-T, line 34	0
	8	Contributions and grants (Part VIII, line 1h)	8,426,543
	9	Program service revenue (Part VIII, line 2g)	73,876,037
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	1,198,872
Expenses	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	1,537,603
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	85,039,055
	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	25,937,992
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	37,859,118
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0
	b	Total fundraising expenses (Part IX, column (D), line 25) <input type="checkbox"/> 4,446,903	
Net Assets or Fund Balances	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	19,638,617
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	83,435,727
	19	Revenue less expenses Subtract line 18 from line 12	1,603,328
		Beginning of Current Year	End of Year
	20	Total assets (Part X, line 16)	118,233,926
	21	Total liabilities (Part X, line 26)	45,692,349
	22	Net assets or fund balances Subtract line 21 from line 20	72,541,577

Part II

Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

Signature of officer

2014-11-14

Date

AMY MCCORMACK SR VP FOR FINANCE & ADMINISTRATION

Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name

CAROL LALONDE CPA

Preparer's signature

Date

Check ☐ if self-employed

PTIN P00181637

Firm's name

PLANTE & MORAN PLLC

Firm's EIN

38-1357951

Firm's address

750 TRADE CENTRE WAY STE 300

PORTAGE, MI 49002

Phone no

(269) 567-4500

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No 11282Y

Form 990 (2013)

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

☐

☒

1

Briefly describe the organization's mission

SEE SCHEDULE O FOR THE UNIVERSITY'S MISSION

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ 57,161,155 including grants of \$ 28,610,110) (Revenue \$ 56,113,962)

INSTRUCTIONAL - SEE SCHEDULE O FOR DETAIL ON THE PROGRAM SERVICE ACCOMPLISHMENTS

4b

(Code) (Expenses \$ 8,973,648 including grants of \$) (Revenue \$ 8,809,251)

ACADEMIC SUPPORT - SEE SCHEDULE O FOR DETAIL ON THE PROGRAM SERVICE ACCOMPLISHMENTS

4c

(Code) (Expenses \$ 7,045,289 including grants of \$) (Revenue \$ 6,916,219)

STUDENT SERVICES AND ATHLETICS - SEE SCHEDULE O FOR DETAIL ON THE PROGRAM SERVICE ACCOMPLISHMENTS

(Code) (Expenses \$ 5,151,997 including grants of \$) (Revenue \$ 5,956,267)

AUXILIARY SERVICES - AUXILIARY SERVICES INCLUDES STUDENT HOUSING AND CAMPUS DINING THE UNIVERSITY OFFERS FOUR CAMPUS RESIDENCE HALL OPTIONS, AS WELL AS NEARBY OFF-SITE HOUSING (IF NEEDED) HOUSING INCLUDES A VARIETY OF OPTIONS RANGING FROM SUITES TO SINGLE ROOMS THE STUDENT MEAL PLAN CAN BE TAILORED FOR A NUMBER OF FLEX MEAL ARRANGEMENTS AND CAN BE USED AT SEVERAL CAMPUS DINING FACILITIES APPROXIMATELY 40% OF THE STUDENTS UNDERGRADUATE POPULATION TAKES ADVANTAGES OF HOUSING OPPORTUNITIES AUXILIARY SERVICES ALSO INCLUDES THE ACTIVITY OF THE PERFORMING ARTS CENTER, WHICH OFFERS A VARIETY OF MUSICAL AND THEATER ACTS TO THE UNIVERSITY AND NEARBY COMMUNITIES THEATER PRODUCTIONS ARE INTEGRATED WITH THE UNDERGRADUATE THEATER PROGRAM AND UTILIZE MANY STUDENTS AS PART OF THE PRODUCTIONS THEATER STUDENTS ALSO LEARN AND ASSIST WITH BOX OFFICE, STAGE CREW, AND AUDIENCE/GUEST ARTIST, AND SUPPORT ALL MUSICAL EVENTS

4d

Other program services (Describe in Schedule O)

(Expenses \$ 5,151,997 including grants of \$) (Revenue \$ 5,956,267)




















4e

Total program service expenses

78,332,089

Form 990 (2013)

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? 	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4	No
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8	No
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9 Yes	
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 	11b	No
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII 	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX 	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 	11f Yes	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII 	12a Yes	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 	12b	No
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E 	13 Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b	No
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15	No
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16	No
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) 	17	No
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21		No
22	Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If so, complete Schedule L, Part II</i>	26	Yes	
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	124	
1b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	0	
1c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	1,817	
2b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	Yes	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?		No
3b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	Yes	
b	If "Yes," enter the name of the foreign country UK See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		No
5b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		No
5c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		No
6b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	Organizations that may receive deductible contributions under section 170(c).		
7a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	Yes	
7b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	Yes	
7c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	Yes	
7d	If "Yes," indicate the number of Forms 8282 filed during the year	1	
7e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		No
7f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		No
7g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
7h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		
9	Sponsoring organizations maintaining donor advised funds.		
9a	Did the organization make any taxable distributions under section 4966?		
9b	Did the organization make a distribution to a donor, donor advisor, or related person?		
10	Section 501(c)(7) organizations. Enter		
10a	Initiation fees and capital contributions included on Part VIII, line 12		
10b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities		
11	Section 501(c)(12) organizations. Enter		
11a	Gross income from members or shareholders		
11b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)		
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		
12b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year		
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
13a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O		
13b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans		
13c	Enter the amount of reserves on hand		
14a	Did the organization receive any payments for indoor tanning services during the tax year?		No
14b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O		
b	Enter the number of voting members included in line 1a, above, who are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	Yes
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	No
6	Did the organization have members or stockholders?	6	Yes
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	Yes
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	Yes
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
a	The governing body?	8a	Yes
b	Each committee with authority to act on behalf of the governing body?	8b	Yes
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes
13	Did the organization have a written whistleblower policy?	13	Yes
14	Did the organization have a written document retention and destruction policy?	14	Yes
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	15a	Yes
b	Other officers or key employees of the organization	15b	Yes
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed▶
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request <input type="checkbox"/> Other (explain in Schedule O)
19	Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶RICHARD WALSTRA 7900 W DIVISION RIVER FOREST, IL 603051099 (708) 524-6772

Check if Schedule O contains a response or note to any line in this Part VII ☐

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

Form **990** (2013)

Part VII

1b	Sub-Total	▼			
c	Total from continuation sheets to Part VII, Section A	▼			
d	Total (add lines 1b and 1c)	▼	2,131,220	0	472,796

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 33

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3 Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4 Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
CHARTWELLS 3 INTERNATIONAL DRIVE RYE BROOK NY 10573	FOOD SERVICES MANAGEMENT	2,034,846
KIMCO 135 S LASALLE STREET CHICAGO IL 60674	JANITORIAL SERVICES	878,309
JP MORGAN CHASE 300 S RIVERSIDE PLAZA CHICAGO IL 60606	BANKING	370,171
MB FINANCIAL BANK 6111 N RIVER ROAD ROSEMONT IL 60018	BANKING	339,896
ROYALL AND COMPANY 1920 E PARHAM ROAD RICHMOND VA 23228	ENROLLMENT MANAGEMENT CONSULTING	259,575

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 10

Part VIII

Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns 1a				
	b	Membership dues 1b				
	c	Fundraising events 1c	153,525			
	d	Related organizations 1d				
	e	Government grants (contributions) 1e	6,801,954			
	f	All other contributions, gifts, grants, and similar amounts not included above 1f	8,818,590			
	g	Noncash contributions included in lines 1a-1f \$	391,027			
	h	Total. Add lines 1a-1f	15,774,069			
Program Service Revenue	2a	TUITION & FEES	Business Code 900099	71,839,432	71,839,432	
	b	ROOM & BOARD	721310	5,386,094	5,386,094	
	c	PERFORMING ARTS	900099	570,173	570,173	
	d					
	e					
	f	All other program service revenue				
	g	Total. Add lines 2a-2f	77,795,699			
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)	839,269			839,269
	4	Income from investment of tax-exempt bond proceeds				
	5	Royalties				
	6a	Gross rents	(i) Real 522,555	(ii) Personal		
	b	Less rental expenses	410,197			
	c	Rental income or (loss)	112,358			
	d	Net rental income or (loss)	112,358			112,358
	7a	Gross amount from sales of assets other than inventory	(i) Securities 1,838,694	(ii) Other		
	b	Less cost or other basis and sales expenses	563,227			
	c	Gain or (loss)	1,275,467			
	d	Net gain or (loss)	1,275,467			1,275,467
	8a	Gross income from fundraising events (not including \$ 153,525 of contributions reported on line 1c) See Part IV, line 18	a 305,033			
	b	Less direct expenses b	210,568			
	c	Net income or (loss) from fundraising events	94,465			94,465
	9a	Gross income from gaming activities See Part IV, line 19	a			
	b	Less direct expenses b				
	c	Net income or (loss) from gaming activities				
	10a	Gross sales of inventory, less returns and allowances	a			
	b	Less cost of goods sold b				
	c	Net income or (loss) from sales of inventory				
		Miscellaneous Revenue	Business Code			
	11a	PAYMENT PLAN FEES	900099	207,040		207,040
	b	MONTHLY FINANCE CHARGES	900099	150,462		150,462
	c	PARKING AND SECURITY	900099	143,199		143,199
	d	All other revenue		365,461		365,461
	e	Total. Add lines 11a-11d	866,162			
	12	Total revenue. See Instructions	96,757,489	77,795,699	0	3,187,721

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States. See Part IV, line 21.				
2	Grants and other assistance to individuals in the United States. See Part IV, line 22.	28,610,110	28,610,110		
3	Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16.				
4	Benefits paid to or for members.				
5	Compensation of current officers, directors, trustees, and key employees.	1,901,170	1,235,540	314,940	350,690
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).				
7	Other salaries and wages.	27,625,535	24,072,986	1,616,326	1,936,223
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).	1,792,590	1,437,905	231,382	123,303
9	Other employee benefits.	4,123,324	3,614,874	203,613	304,837
10	Payroll taxes.	2,198,334	1,911,461	153,312	133,561
11	Fees for services (non-employees):				
a	Management.	853,277	243,646	298,581	311,050
b	Legal.	95,411		95,411	
c	Accounting.	85,692		85,692	
d	Lobbying.				
e	Professional fundraising services. See Part IV, line 17.				
f	Investment management fees.				
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	2,889,177	2,678,645	113,826	96,706
12	Advertising and promotion.	780,066	348,460		431,606
13	Office expenses.	3,012,389	2,792,122	49,790	170,477
14	Information technology.	1,193,405	1,125,551	25,180	42,674
15	Royalties.				
16	Occupancy.	2,488,728	2,098,025	268,195	122,508
17	Travel.	983,838	957,747	13,764	12,327
18	Payments of travel or entertainment expenses for any federal, state, or local public officials.				
19	Conferences, conventions, and meetings.	621,473	477,855	78,522	65,096
20	Interest.	1,879,739	1,575,200	211,880	92,659
21	Payments to affiliates.				
22	Depreciation, depletion, and amortization.	3,170,773	2,684,003	328,887	157,883
23	Insurance.	648,318	553,170	63,432	31,716
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O).				
a	UNCOLLECTABLE ACCOUNTS	400,665		400,665	
b	ACCREDITATION AND ACADE	260,031	260,031		
c	HONORARIUM	193,229	113,810	79,419	
d	INTERCOLLEGIATE SPORTS	144,473	144,473		
e	All other expenses	1,720,235	1,396,475	260,173	63,587
25	Total functional expenses. Add lines 1 through 24e.	87,671,982	78,332,089	4,892,990	4,446,903
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X

Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

				(A)		(B)
				Beginning of year		End of year
Assets	1	Cash—non-interest-bearing			1	
	2	Savings and temporary cash investments		3,162,957	2	4,618,978
	3	Pledges and grants receivable, net		5,285,164	3	8,504,708
	4	Accounts receivable, net		2,879,860	4	4,298,184
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		60,000	5	45,000
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L			6	
	7	Notes and loans receivable, net		782,594	7	712,769
	8	Inventories for sale or use			8	
	9	Prepaid expenses and deferred charges		808,521	9	744,716
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a123,734,479			
	b	Less accumulated depreciation	10b44,526,866	78,940,810	10c	79,207,613
	11	Investments—publicly traded securities		22,926,683	11	28,053,948
	12	Investments—other securities See Part IV, line 11		50,000	12	50,000
	13	Investments—program-related See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets See Part IV, line 11		3,337,337	15	3,904,595
	16	Total assets. Add lines 1 through 15 (must equal line 34)		118,233,926	16	130,140,511
Liabilities	17	Accounts payable and accrued expenses		3,225,795	17	3,923,290
	18	Grants payable			18	
	19	Deferred revenue		102,221	19	71,815
	20	Tax-exempt bond liabilities		33,978,193	20	33,102,818
	21	Escrow or custodial account liability Complete Part IV of Schedule D		582,028	21	778,128
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L			22	
	23	Secured mortgages and notes payable to unrelated third parties			23	
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D		7,804,112	25	8,805,691
	26	Total liabilities. Add lines 17 through 25		45,692,349	26	46,681,742
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.					
	27	Unrestricted net assets		46,597,262	27	51,267,176
	28	Temporarily restricted net assets		8,863,907	28	13,114,943
	29	Permanently restricted net assets		17,080,408	29	19,076,650
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.					
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		72,541,577	33	83,458,769
	34	Total liabilities and net assets/fund balances		118,233,926	34	130,140,511

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	96,757,489
2	Total expenses (must equal Part IX, column (A), line 25)	2	87,671,982
3	Revenue less expenses Subtract line 2 from line 1	3	9,085,507
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	72,541,577
5	Net unrealized gains (losses) on investments	5	1,831,685
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	83,458,769

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	2a	No
b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	2b	Yes
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	2c	Yes
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b	Yes

Additional Data

Software ID:
Software Version:
EIN: 36-2167855
Name: DOMINICAN UNIVERSITY

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
DONNA CARROLL PRESIDENT/TRUSTEE	65 00 0 00	X		X				323,716	0	142,597
KEVIN M KILLIPS TRUSTEE	3 00 0 00	X						0	0	0
DANIEL C HILL TRUSTEE	3 00 0 00	X						0	0	0
TOM ABRAHAMSON TRUSTEE	1 00 0 00	X						0	0	0
JOHN H BARRETT TRUSTEE	1 00 0 00	X						0	0	0
LAURIE BRINK OP TRUSTEE	1 00 0 00	X						0	0	0
MARY E CALLOW TRUSTEE	1 00 0 00	X						0	0	0
GREGORY W CAPPELLI TRUSTEE	1 00 0 00	X						0	0	0
MARK CARROLL TRUSTEE	1 00 0 00	X						0	0	0
ROBERT F COLEMAN TRUSTEE	1 00 0 00	X						0	0	0
DANIEL J CRONIN TRUSTEE	1 00 0 00	X						0	0	0
THOMAS R DEE TRUSTEE	1 00 0 00	X						0	0	0
MANUEL FLORES TRUSTEE	1 00 0 00	X						0	0	0
PAUL C GEAREN TRUSTEE	1 00 0 00	X						0	0	0
MEGAN GRAVES STUDENT TRUSTEE	1 00 0 00	X						2,310	0	0
MARCELLA C HERMESDORF OP FACULTY TRUSTEE	1 00 0 00	X						55,182	0	12,303
JUDITH A JEWISON OP TRUSTEE	1 00 0 00	X						0	0	0
ANNE M KOHLER TRUSTEE	1 00 0 00	X						0	0	0
LILY ELI TRUSTEE	1 00 0 00	X						0	0	0
PATRICIA A MULCAHEYOP TRUSTEE	1 00 0 00	X						0	0	0
RAYMOND C PARMER TRUSTEE	1 00 0 00	X						0	0	0
JDAVID PEPPER TRUSTEE	1 00 0 00	X						0	0	0
MARY RATHERT OP TRUSTEE	1 00 0 00	X						0	0	0
NANCY C RODRIGUEZ TRUSTEE	1 00 0 00	X						0	0	0
GAIL L ROSSEAUD TRUSTEE	1 00 0 00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

[illegible]

SCHEDULE A

(Form 990 or 990EZ)

Department of the
Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions.**
▶ **Information about Schedule A (Form 990 or 990-EZ) and its instructions is at**
www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Name of the organization DOMINICAN UNIVERSITY	Employer identification number 36-2167855
---	---

Part I

Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1	<input type="checkbox"/>	A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
2	<input checked="" type="checkbox"/>	A school described in section 170(b)(1)(A)(ii). (Attach Schedule E)
3	<input type="checkbox"/>	A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
4	<input type="checkbox"/>	A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state _____
5	<input type="checkbox"/>	An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II)
6	<input type="checkbox"/>	A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
7	<input type="checkbox"/>	An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II)
8	<input type="checkbox"/>	A community trust described in section 170(b)(1)(A)(vi) (Complete Part II)
9	<input type="checkbox"/>	An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2). (Complete Part III)
10	<input type="checkbox"/>	An organization organized and operated exclusively to test for public safety See section 509(a)(4).
11	<input type="checkbox"/>	An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h a <input type="checkbox"/> Type I b <input type="checkbox"/> Type II c <input type="checkbox"/> Type III - Functionally integrated d <input type="checkbox"/> Type III - Non-functionally integrated
e	<input type="checkbox"/>	By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)
f	<input type="checkbox"/>	If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
g	<input type="checkbox"/>	Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons? (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? (ii) A family member of a person described in (i) above? (iii) A 35% controlled entity of a person described in (i) or (ii) above?
h	<input type="checkbox"/>	Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 Total support (Add lines 7 through 10)						
12 Gross receipts from related activities, etc (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage						
14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))		14				
15 Public support percentage for 2012 Schedule A, Part II, line 14		15				
16a 33 1/3% support test—2013. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶						
b 33 1/3% support test—2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶						
17a 10%-facts-and-circumstances test—2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶						
b 10%-facts-and-circumstances test—2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶						
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶						

Part IIISupport Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6.)						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage		
15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage		
17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2012 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
b 33 1/3% support tests—2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶		

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference	Explanation	
------------------	-------------	--

SCHEDULE D
(Form 990)

Supplemental Financial Statements

OMB No 1545-0047

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

► Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b

► Attach to Form 990. ► See separate instructions. ► Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization DOMINICAN UNIVERSITY	Employer identification number 36-2167855
--	--

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate contributions to (during year)	
3	Aggregate grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <div><input type="checkbox"/> Yes <input type="checkbox"/> No</div>	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <div><input type="checkbox"/> Yes <input type="checkbox"/> No</div>	

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education) ☐ Preservation of an historically important land area

☐ Protection of natural habitat ☐ Preservation of a certified historic structure

☐ Preservation of open space

2

Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►

4

Number of states where property subject to conservation easement is located ►

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ►

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ► \$

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9

In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1

► \$

(ii) Assets included in Form 990, Part X

► \$

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a

Revenues included in Form 990, Part VIII, line 1

► \$

b

Assets included in Form 990, Part X

► \$

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)
- a

☐ Public exhibition

d

☐ Loan or exchange programs

b

☐ Scholarly research

e

☐ Other

c

☐ Preservation for future generations
- 4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII
- 5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☒ No
- b

If "Yes," explain the arrangement in Part XIII and complete the following table
- c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	
- 2a

Did the organization include an amount on Form 990, Part X, line 21?

☒ Yes

☐ No
- b

If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII

☒

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	b (c)Two years back	(d)Three years back	(e)Four years back
1a Beginning of year balance	24,589,468	21,825,919	22,813,514	18,757,836	16,327,426
b Contributions	2,588,349	1,400,249	319,677	2,909,852	77,326
c Net investment earnings, gains, and losses	3,606,503	2,078,300	-526,772	4,329,670	2,701,842
d Grants or scholarships	740,000	715,000	780,500	3,183,844	348,758
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance	30,044,320	24,589,468	21,825,919	22,813,514	18,757,836

- 2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as
- a

Board designated or quasi-endowment

36 830 %

b

Permanent endowment

55 020 %

c

Temporarily restricted endowment

8 150 %
- The percentages in lines 2a, 2b, and 2c should equal 100%
- 3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by
- (i) unrelated organizations

3a(i)

☐ Yes

☐ No

(ii) related organizations

3a(ii)

☐ Yes

☐ No
- b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

☐ Yes

☐ No
- 4

Describe in Part XIII the intended uses of the organization's endowment funds

Part VI

Land, Buildings, and Equipment. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		3,070,652		3,070,652
b Buildings		101,876,589	33,038,905	68,837,684
c Leasehold improvements		6,353,300	4,045,488	2,307,812
d Equipment		11,897,986	7,442,473	4,455,513
e Other		535,952		535,952
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				79,207,613

Part XI

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	71,547,907
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments	2a	1,831,685
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII)	2d	
e	Add lines 2a through 2d	2e	1,831,685
3	Subtract line 2e from line 1	3	69,716,222
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII)	4b	27,041,267
c	Add lines 4a and 4b	4c	27,041,267
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)	5	96,757,489

Part XII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	60,630,715
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII)	2d	
e	Add lines 2a through 2d	2e	0
3	Subtract line 2e from line 1	3	60,630,715
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII)	4b	27,041,267
c	Add lines 4a and 4b	4c	27,041,267
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18)	5	87,671,982

Part XIII

Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
PART IV, LINE 2B	THE BALANCE INCLUDES A) STUDENT TUITION PREPAYMENTS FOR THE JULY/AUGUST SUMMER TERM, B) FUNDS ON DEPOSIT FOR VARIOUS STUDENT ORGANIZATIONS, AND C) CHARITABLE GIFT ANNUITY OBLIGATIONS. DEPOSIT AMOUNTS RELATED TO STUDENTS INCLUDE STUDENT TUITION AND HOUSING DEPOSITS FOR SUBSEQUENT ACADEMIC TERMS. THE UNIVERSITY ALSO HOLDS THESE AMOUNTS IN INTERNALLY DESIGNATED ACCOUNTS. STUDENT ORGANIZATIONS DEPOSIT FUNDS TO AND REQUEST FUNDS FROM THEIR INTERNAL ACCOUNTS THROUGH THE UNIVERSITY'S NORMAL RECEIPTING AND ACCOUNTS PAYABLE PROCEDURES. THE BALANCE ALSO INCLUDES THE UNIVERSITY'S OBLIGATION UNDER TWO CHARITABLE GIFT ANNUITIES. ANNUAL DISBURSEMENTS ARE MADE TO THE ANNUITANTS OUT OF INVESTED ANNUITY FUNDS.
PART V, LINE 4	THE UNIVERSITY'S ENDOWMENT CONSISTS OF 136 INDIVIDUAL FUNDS ESTABLISHED FOR A VARIETY OF PURPOSES. ITS ENDOWMENT INCLUDES DONOR-RESTRICTED ENDOWMENT FUNDS, ENDOWMENT PLEDGES RECEIVABLE AND FUNDS DESIGNATED BY THE BOARD OF TRUSTEES TO FUNCTION AS ENDOWMENTS. NET ASSETS ASSOCIATED WITH ENDOWMENT FUNDS, INCLUDING FUNDS DESIGNATED BY THE BOARD OF TRUSTEES TO FUNCTION AS ENDOWMENTS, ARE CLASSIFIED AND REPORTED BASED ON THE EXISTENCE OR ABSENCE OF DONOR-IMPOSED RESTRICTIONS. UNIVERSITY MANAGEMENT, SUBJECT TO BOARD RATIFICATION, HAS INTERPRETED THE UNIFORM PRUDENT MANAGEMENT OF INSTITUTIONAL FUNDS ACT (UPMIFA) AS REQUIRING THE PRESERVATION OF THE FAIR VALUE OF THE ORIGINAL GIFT AS OF THE GIFT DATE OF THE DONOR-RESTRICTED ENDOWMENT FUNDS ABSENT EXPLICIT DONOR STIPULATIONS TO THE CONTRARY. AS A RESULT OF THIS INTERPRETATION, THE UNIVERSITY CLASSIFIES AS PERMANENTLY RESTRICTED NET ASSETS (A) THE ORIGINAL VALUE OF THE GIFTS DONATED TO THE PERMANENT ENDOWMENT, (B) THE ORIGINAL VALUE OF SUBSEQUENT GIFTS TO THE PERMANENT ENDOWMENT, AND (C) ACCUMULATIONS TO THE PERMANENT ENDOWMENT MADE IN ACCORDANCE WITH THE DIRECTION OF THE APPLICABLE DONOR GIFT INSTRUMENT AT THE TIME THE ACCUMULATION IS ADDED TO THE FUND. THE REMAINING PORTION OF THE DONOR-RESTRICTED ENDOWMENT FUND THAT IS NOT CLASSIFIED AS PERMANENT RESTRICTED NET ASSETS IS CLASSIFIED AS TEMPORARILY RESTRICTED NET ASSETS UNTIL THOSE AMOUNTS ARE APPROPRIATED FOR EXPENDITURE BY THE UNIVERSITY IN A MANNER CONSISTENT WITH THE STANDARD PRUDENCE PRESCRIBED BY UPMIFA. IN ACCORDANCE WITH UPMIFA, THE UNIVERSITY WILL CONSIDER THE FOLLOWING FACTORS IN MAKING A DETERMINATION TO APPROPRIATE OR ACCUMULATE DONOR-RESTRICTED ENDOWMENT FUNDS: (1) THE DURATION AND PRESERVATION OF THE FUND; (2) THE PURPOSES OF THE ORGANIZATION AND THE DONOR-RESTRICTED ENDOWMENT FUND; (3) GENERAL ECONOMIC CONDITIONS; (4) THE POSSIBLE EFFECT OF INFLATION AND DEFLATION; (5) THE EXPECTED TOTAL RETURN FROM INCOME AND THE APPRECIATION OF INVESTMENTS; (6) OTHER RESOURCES OF THE ORGANIZATION; (7) THE INVESTMENT POLICIES OF THE ORGANIZATION. FROM TIME TO TIME, THE FAIR VALUE OF ASSETS ASSOCIATED WITH INDIVIDUAL DONOR-RESTRICTED ENDOWMENT FUNDS MAY FALL BELOW THE LEVEL THAT THE DONOR OR UPMIFA REQUIRES THE UNIVERSITY TO RETAIN AS A FUND OF PERPETUAL DURATION. THERE WERE NO DEFICIENCIES OF THIS NATURE AS OF JUNE 30, 2014 AND 2013, RESPECTIVELY.
PART X, LINE 2	THE UNIVERSITY HAS RECEIVED A FAVORABLE DETERMINATION LETTER FROM THE INTERNAL REVENUE SERVICE, STATING THAT THE UNIVERSITY IS EXEMPT FROM FEDERAL INCOME TAXES UNDER THE PROVISIONS OF SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE (IRC) OF 1986, AS AMENDED, EXCEPT FOR INCOME TAXES PERTAINING TO UNRELATED BUSINESS INCOME. THE FINANCIAL ACCOUNTING STANDARDS BOARD ISSUED GUIDANCE THAT REQUIRES TAX EFFECTS FROM UNCERTAIN TAX POSITIONS TO BE RECOGNIZED IN THE FINANCIAL STATEMENTS ONLY IF THE POSITION IS MORE LIKELY THAN NOT TO BE SUSTAINED IF THE POSITION WERE TO BE CHALLENGED BY A TAXING AUTHORITY. MANAGEMENT HAS DETERMINED THERE ARE NO MATERIAL UNCERTAIN POSITIONS THAT REQUIRE RECOGNITION IN THE FINANCIAL STATEMENTS, AND HAS PROPERLY NOT ACCRUED ANY PROVISION FOR INCOME TAXES FOR THE UNIVERSITY. THERE ARE NO INTEREST OR PENALTIES RECOGNIZED IN THE STATEMENTS OF ACTIVITIES OR STATEMENTS OF FINANCIAL POSITION. THE TAX YEARS ENDED 2010, 2011, 2012 AND 2013 ARE STILL OPEN TO AUDIT FOR BOTH FEDERAL AND STATE PURPOSES.
PART XI, LINE 4B - OTHER ADJUSTMENTS	RENTAL EXPENSES -410,197 FUNDRAISING EXPENSES -210,568 DISCOUNTS ON TUITION & FEES 27,662,032
PART XII, LINE 4B - OTHER ADJUSTMENTS	RENTAL EXPENSES -410,197 DISCOUNTS ON TUITION & FEES 27,662,032 FUNDRAISING EXPENSE -210,568

[illegible]

SCHEDULE E
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.
► Attach to Form 990 or Form 990-EZ.
► Information about Schedule E (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
DOMINICAN UNIVERSITY

Employer identification number
36-2167855

Part I		YES	NO
<div>1</div> <div>Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?</div>	1	Yes	
	2	Yes	
	3	Yes	
	4a	Yes	
	4b	Yes	
<div>4</div> <div>Does the organization maintain the following?</div> <div>a Records indicating the racial composition of the student body, faculty, and administrative staff?</div> <div>b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?</div> <div>c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?</div> <div>d Copies of all material used by the organization or on its behalf to solicit contributions?</div> <div>If you answered "No" to any of the above, please explain If you need more space, use Part II</div>	4c	Yes	
	4d	Yes	
	5a		No
	5b		No
	5c		No
	5d		No
	5e		No
	5f		No
	5g		No
	5h		No
<div>6a</div> <div>Does the organization receive any financial aid or assistance from a governmental agency?</div> <div>b Has the organization's right to such aid ever been revoked or suspended?</div> <div>If you answered "Yes" to either line 6a or line 6b, explain on Part II</div>	6a	Yes	
	6b		No
	7	Yes	

Part III Supplemental Information. Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also complete this part to provide any other additional information (see instructions)

Return Reference	Explanation
SCHEDULE E, PART I, LINE 3	THE SCHOOL'S NONDISCRIMINATION POLICY IS PUBLISHED IN A NEWSPAPER OF GENERAL CIRCULATION THAT SERVES ALL RACIAL SEGMENTS OF THE COMMUNITY, AND IN UNIVERSITY VIEWBOOKS, THE BROCHURE DEALING WITH STUDENT ADMISSIONS AND PROGRAMS
SCHEDULE E, PART I, LINE 6	THE UNIVERSITY RECEIVES FUNDS FROM THE U.S. DEPARTMENT OF EDUCATION FOR SEVERAL STUDENT FINANCIAL ASSISTANCE PROGRAMS, INCLUDING THE FEDERAL PELL GRANT PROGRAM, FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANT PROGRAM, FEDERAL WORK-STUDY PROGRAM, FEDERAL PERKINS LOAN PROGRAM, FEDERAL FAMILY EDUCATION LOAN PROGRAM, TEACHER EDUCATION ASSISTANCE FOR COLLEGE AND HIGHER EDUCATION GRANT PROGRAM. THE UNIVERSITY ALSO RECEIVES STATE FUNDING FROM THE ILLINOIS MONETARY ASSISTANCE PROGRAM.

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding
Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Name of the organization DOMINICAN UNIVERSITY	Employer identification number 36-2167855
--	--

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.
Form 990-EZ filers are not required to complete this part.

- 1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a

☐ Mail solicitations
- e

☐ Solicitation of non-government grants
- b

☐ Internet and email solicitations
- f

☐ Solicitation of government grants
- c

☐ Phone solicitations
- g

☐ Special fundraising events
- d

☐ In-person solicitations
- 2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

☐ Yes ☐ No
- b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
Total ▶						

- 3

List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.
-
-

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		<u>BENEFIT CONCERT</u> (event type)	 (event type)	 (total number)	(add col (a) through col (c))
Revenue	1	Gross receipts	458,558		458,558
	2	Less Contributions . . .	153,525		153,525
	3	Gross income (line 1 minus line 2)	305,033		305,033
Direct Expenses	4	Cash prizes	7,000		7,000
	5	Noncash prizes . . .	1,801		1,801
	6	Rent/facility costs . . .			
	7	Food and beverages .	86,563		86,563
	8	Entertainment	52,500		52,500
	9	Other direct expenses .	62,704		62,704
	10	Direct expense summary Add lines 4 through 9 in column (d) ▶			
	11	Net income summary Subtract line 10 from line 3, column (d) ▶			
					94,465

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1	Gross revenue			
	2	Cash prizes			
	3	Non-cash prizes			
	4	Rent/facility costs			
	5	Other direct expenses . . .			
Direct Expenses	6	Volunteer labor			
	7	Direct expense summary Add lines 2 through 5 in column (d) ▶			
	8	Net gaming income summary Subtract line 7 from line 1, column (d) ▶			

9 Enter the state(s) in which the organization operates gaming activities _____

a Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain _____

Does the organization operate gaming activities with nonmembers? ☐ Yes ☐ No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No

13 Indicate the percentage of gaming activity operated in

a The organization's facility

13a

%

b An outside facility

13b

%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name

Address

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No

b If "Yes," enter the amount of gaming revenue received by the organization \$ and the amount of gaming revenue retained by the third party \$

c If "Yes," enter name and address of the third party

Name

Address

16 Gaming manager information

Name

Gaming manager compensation \$

Description of services provided

Director/officer

Employee

Independent contractor

17 Mandatory distributions

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year \$

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
------------------	-------------

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
DOMINICAN UNIVERSITY

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Employer identification number
36-2167855

Part I

General Information on Grants and Assistance

1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No

2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance

2

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

▶

3

Enter total number of other organizations listed in the line 1 table

▶

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) UNDERGRADUATE SCHOLARSHIPS & GRANTS	1655	21,803,182		AMOUNT OF CASH GRANTED	
(2) GRADUATE SCHOLARSHIPS & GRANTS	439	1,903,809		AMOUNT OF CASH GRANTED	
(3) FEDERAL PELL GRANTS	126	4,651,897		AMOUNT OF CASH GRANTED	
(4) FEDERAL SEOG GRANTS	948	251,222		AMOUNT OF CASH GRANTED	

Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.	
Return Reference	Explanation
PART I, LINE 2	THE AWARDING OF STUDENT FINANCIAL AID GRANTS UNDER THE U S DEPARTMENT OF EDUCATION'S STUDENT FINANCIAL ASSISTANCE PROGRAM ARE MONITORED BY THE UNIVERSITY'S FINANCIAL AID OFFICE, WHICH ENSURES THAT THE UNIVERSITY MEETS THE COMPLIANCE REQUIREMENTS OF OMB CIRCULAR A-133 THE FINANCIAL AID OFFICE IS RESPONSIBLE FOR ESTABLISHING AND MAINTAINING EFFECTIVE INTERNAL CONTROL OVER COMPLIANCE WITH THE REQUIREMENTS OF LAWS, REGULATIONS, CONTRACTS, AND GRANTS APPLICABLE TO FEDERAL PROGRAMS ADMINISTRATION OF GRANT ACTIVITIES IS COORDINATED BETWEEN THE ACADEMIC OFFICE OF RESEARCH AND SPONSORED PROJECTS, THE UNIVERSITY ADVANCEMENT OFFICE, AND THE BUSINESS OFFICE THESE OFFICES ENSURE THAT APPROPRIATE CONTROLS ARE ESTABLISHED AND THAT GRANT REQUIREMENTS FOR DOCUMENTATION, TRACKING, EXPENDITURE OF FUNDS, AND REPORTING ARE MET

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.
▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
DOMINICAN UNIVERSITY

Employer identification number
36-2167855

Part I

Questions Regarding Compensation

	Yes	No
<div><div>1a</div><div>Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items</div><div><div><div><input type="checkbox"/> First-class or charter travel</div><div><input type="checkbox"/> Travel for companions</div><div><input type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Discretionary spending account</div></div><div><div><input type="checkbox"/> Housing allowance or residence for personal use</div><div><input checked="" type="checkbox"/> Payments for business use of personal residence</div><div><input checked="" type="checkbox"/> Health or social club dues or initiation fees</div><div><input type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div></div></div>		
<div><div>b</div><div>If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain</div></div>	1bYes	
<div><div>2</div><div>Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?</div></div>	2Yes	
<div><div>3</div><div>Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III</div><div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input type="checkbox"/> Independent compensation consultant</div><div><input type="checkbox"/> Form 990 of other organizations</div><div><input type="checkbox"/> Written employment contract</div><div><input checked="" type="checkbox"/> Compensation survey or study</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div></div>		
<div><div>4</div><div>During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization</div></div>		
<div><div>a</div><div>Receive a severance payment or change-of-control payment?</div></div>	4a	No
<div><div>b</div><div>Participate in, or receive payment from, a supplemental nonqualified retirement plan?</div></div>	4bYes	
<div><div>c</div><div>Participate in, or receive payment from, an equity-based compensation arrangement?</div></div> <div>If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III</div>	4c	No
<div><div></div><div>Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.</div></div>		
<div><div>5</div><div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of</div></div>		
<div><div>a</div><div>The organization?</div></div>	5a	No
<div><div>b</div><div>Any related organization?</div></div> <div>If "Yes," to line 5a or 5b, describe in Part III</div>	5b	No
<div><div>6</div><div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of</div></div>		
<div><div>a</div><div>The organization?</div></div>	6a	No
<div><div>b</div><div>Any related organization?</div></div> <div>If "Yes," to line 6a or 6b, describe in Part III</div>	6b	No
<div><div>7</div><div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III</div></div>	7	No
<div><div>8</div><div>Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III</div></div>	8	No
<div><div>9</div><div>If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?</div></div>	9	

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table								

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINE 1A	PAYMENTS FOR BUSINESS USE OF PERSONAL RESIDENCE ANNUALLY, THE UNIVERSITY PAYS \$15,000 TO THE PRESIDENT FOR THE USE OF HER HOME FOR UNIVERSITY FUNCTIONS THIS ARRANGEMENT IS PART OF THE PRESIDENT'S EMPLOYMENT CONTRACT AND THE PAYMENT WAS INCLUDED IN HER W-2 REPORTABLE COMPENSATION SOCIAL CLUB DUES THE UNIVERSITY PAYS FOR THE PRESIDENT'S ANNUAL MEMBERSHIP DUES TO THE ECONOMIC CLUB AND THE UNIVERSITY CLUB OF CHICAGO IN FY 2014, \$1,200 WAS PAID TO THE ECONOMIC CLUB AND \$3,840 WAS PAID TO THE UNIVERSITY CLUB OF CHICAGO
PART I, LINE 4B	THE UNIVERSITY HAS A NON-QUALIFIED PLAN AND A QUALIFIED 457(F) PLAN FOR THE UNIVERSITY'S PRESIDENT, DONNA CARROLL THE DEFERRED AMOUNT FOR THE NON-QUALIFIED PLAN FOR FY 2014 WAS \$69,332 AND THE DEFERRED AMOUNT FOR THE QUALIFIED 457(F) PLAN FOR FY 2014 WAS \$41,376 70

Additional Data

Software ID:
Software Version:
EIN: 36-2167855
Name: DOMINICAN UNIVERSITY

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
DONNA CARROLL PRESIDENT/TRUSTEE	(i)	305,729	0	17,987	133,709	8,888	466,313	0
	(ii)	0	0	0	0	0	0	0
CHERYL JOHNSON- ODIM SENIOR VICE PRESIDENT OF ACADEMIC AF	(i)	212,519	0	0	21,252	21,926	255,697	0
	(ii)	0	0	0	0	0	0	0
AMY MCCORMACK SENIOR VICE PRESIDENT OF FINANCE AND	(i)	181,380	0	18,128	18,140	815	218,463	0
	(ii)	0	0	0	0	0	0	0
GRACZYNA CICHOMSKA VICE PRESIDENT UNIVERSITY ADVANCEMEN	(i)	180,353	0	1,969	18,035	1,738	202,095	0
	(ii)	0	0	0	0	0	0	0
KHALID RAZAKI PROFESSOR OF ACCOUNTANCY	(i)	135,265	0	15,379	14,600	625	165,869	0
	(ii)	0	0	0	0	0	0	0
MARY ALYCE BURKE DEAN BRENNAN SCHOOL OF BUSINESS	(i)	103,234	0	37,986	14,122	14,390	169,732	0
	(ii)	0	0	0	0	0	0	0
RICHARD WALSTRA CONTROLLER AND ADJUNCT PROFESSOR	(i)	98,674	0	40,568	11,136	12,321	162,699	0
	(ii)	0	0	0	0	0	0	0
CYRUS GRANT PROFESSOR OF COMPUTER SCIENCE AND AS	(i)	111,406	0	20,050	13,146	17,699	162,301	0
	(ii)	0	0	0	0	0	0	0
DANIEL P CONDON PROFESSOR OF ECONOMICS	(i)	89,838	0	45,941	1,713	21,475	158,967	0
	(ii)	0	0	0	0	0	0	0
WILLIAM GEORGE FACULTY TRUSTEE - FORMER	(i)	68,130	0	5,717	7,385	11,099	92,331	0
	(ii)	0	0	0	0	0	0	0
THERESE HOGAN FACULTY TRUSTEE - FORMER	(i)	87,472	0	4,900	8,313	8,229	108,914	0
	(ii)	0	0	0	0	0	0	0
CHAD ROHMAN FACULTY TRUSTEE - FORMER	(i)	78,670	0	9,030	8,770	21,183	117,653	0
	(ii)	0	0	0	0	0	0	0

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
DOMINICAN UNIVERSITY

Employer identification number
36-2167855

Part I

Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	VILLAGE OF RIVER FOREST COOK COUNTY IL	36-6006070		12-01-2009	16,200,000	REFUND OF BONDS		X	X			X
B	ILLINOIS FINANCE AUTHORITY	86-1091967	45200BYKB	03-15-2006	30,000,000	CONSTRUCTION & RENNOVATIONS		X	X			X

Part II

Proceeds

		A		B		C		D	
1	Amount of bonds retired	16,200,000							
2	Amount of bonds legally defeased								
3	Total proceeds of issue								
4	Gross proceeds in reserve funds								
5	Capitalized interest from proceeds								
6	Proceeds in refunding escrows								
7	Issuance costs from proceeds	314,000		314,000					
8	Credit enhancement from proceeds								
9	Working capital expenditures from proceeds								
10	Capital expenditures from proceeds	29,686,000		29,686,000					
11	Other spent proceeds								
12	Other unspent proceeds								
13	Year of substantial completion	2009		2007		YesNoYesNo			
		Yes	No	Yes	No				
14	Were the bonds issued as part of a current refunding issue?	X			X				
15	Were the bonds issued as part of an advance refunding issue?		X		X				
16	Has the final allocation of proceeds been made?	X			X				
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X			X				

Part III

Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X				
2	Are there any lease arrangements that may result in private business use of bond-financed property?		X		X				

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	X			X				
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X							
c	Are there any research agreements that may result in private business use of bond-financed property?		X		X				
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government								
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	4 600 %							
6	Total of lines 4 and 5	4 600 %							
7	Does the bond issue meet the private security or payment test?		X		X				
8a	Has there been a sale or disposition of any of the bond financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X				
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?		X		X				

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T?		X		X				
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X				
b	Exception to rebate?	X			X				
c	No rebate due?		X	X					
	If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?	X		X					
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X				
b	Name of provider								
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV

Arbitrage (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X				
b	Name of provider								
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X				
7	Has the organization established written procedures to monitor the requirements of section 148?		X		X				

Part V

Procedures To Undertake Corrective Action

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
	Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?		X		X				

Part VI

Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
SCHEDULE K, PART III, LINE 3B, COLUMN A	BOND COUNSEL WAS ENGAGED TO REVIEW MANAGEMENT AND SERVICE CONTRACTS RELATED TO FINANCED PROPERTY AT THE INITIAL BOND OFFERING THERE HAVE BEEN NO CHANGES IN MANAGEMENT AND SERVICE CONTRACTS SINCE THE INITIAL BOND OFFERING AND NO ADDITIONAL BOND COUNSEL REVIEW HAS BEEN PERFORMED
SCHEDULE K, PART IV, LINE 2C, COLUMN B	REBATE COMPUTATION WAS PERFORMED SEPTEMBER 15, 2007
SCHEDULE K, PART V	DOMINICAN UNIVERSITY HAS NOT VIOLATED APPLICABLE REQUIREMENTS FOR TAX EXEMPT BONDS BENEFITING THE UNIVERSITY THE UNIVERSITY IS IN THE PROCESS OF ESTABLISHING WRITTEN PROCEDURES TO ENSURE TIMELY IDENTIFICATION OF VIOLATIONS OF FEDERAL TAX REQUIREMENTS OR TIMELY CORRECTION OF ANY IDENTIFIED VIOLATIONS

Schedule L
(Form 990 or 990-EZ)

Transactions with Interested Persons

OMB No 1545-0047

2013

Open to Public Inspection

► Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

► Information about Schedule L (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Name of the organization
DOMINICAN UNIVERSITY

Employer identification number

36-2167855

Part I Excess Benefit Transactions (section 501(c)(3) and section 501(c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2 Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958 ► \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ► \$

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
(1) AMY MCCORMACK	OFFICER	(SEE BELOW)		X	75,000	45,000		No	Yes		Yes	
Total ► \$						45,000						

Part III Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

Part IV

Business Transactions Involving Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No

Part V

Supplemental Information
Provide additional information for responses to questions on Schedule L (see instructions)

Return Reference	Explanation
FORM 990, SCHEDULE L, PART II	THE PURPOSE OF THE LOAN WAS TO PROVIDE A VICE PRESIDENT SUPPORT FOR ADVANCED EDUCATION IN THE FIELD OF EDUCATIONAL LEADERSHIP, AND TO ENHANCE HER PORTFOLIO FOR GREATER LEADERSHIP RESPONSIBILITIES IN THE FUTURE

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

►Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
► Attach to Form 990.

►Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
DOMINICAN UNIVERSITY

Employer identification number
36-2167855

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	26	356,642	COMPARABLE SALE
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► (MISCELLANEOUS)	X	29	34,386	COMPARABLE SALE
26 Other ► ()				
27 Other ► ()				
28 Other ► ()				

29

Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

0

30a

During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

30a

Yes

No

b

If "Yes," describe the arrangement in Part II

31

Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

31

Yes

32a

Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

32a

Yes

b

If "Yes," describe in Part II

33

If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

Part II

Supplemental Information.

Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINE 32B	THE UNIVERSITY USES AN INDEPENDENT THIRD PARTY BROKER TO RECEIVE DONATIONS OF STOCK THE BROKER SELLS THE SECURITIES BASED ON THE DIRECTIONS FROM THE UNIVERSITY

SCHEDULE O

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.
▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at
www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Name of the organization
DOMINICAN UNIVERSITY

Employer identification number

36-2167855

Return Reference	Explanation
FORM 990, PART I, LINE 1	AS A SINSINAWA DOMINICAN-SPONSORED INSTITUTION, DOMINICAN UNIVERSITY PREPARES STUDENTS TO PURSUE TRUTH, TO GIVE COMPASSIONATE SERVICE AND TO PARTICIPATE IN THE CREATION OF A MORE JUST AND HUMANE WORLD

Return Reference	Explanation
FORM 990, PART III, LINE 1	DOMINICAN UNIVERSITY PROVIDES UNDERGRADUATE AND GRADUATE INSTRUCTION TO STUDENTS AND ADMINISTERS ALL OF ITS PROGRAMS WITHOUT DISCRIMINATION AS TO RACE, COLOR, GENDER, RELIGION, NATIONAL OR ETHNIC ORIGIN, DISABILITY , AGE, MARITAL STATUS OR SEXUAL ORIENTATION AS A SINSINAWA DOMINICAN-SPONSORED INSTITUTION, DOMINICAN UNIVERSITY PREPARES STUDENTS TO PURSUE TRUTH, TO GIVE COMPASSIONATE SERVICE AND TO PARTICIPATE IN THE CREATION OF A MORE JUST AND HUMANE WORLD

Return Reference	Explanation
FORM 990, PART III, LINE 4A	<p>INSTRUCTIONAL - BACHELOR OF ARTS AND BACHELOR OF SCIENCE DEGREES THE UNIVERSITY OFFERS INSTRUCTION THROUGH THE UNDERGRADUATE COLLEGE OF ARTS AND SCIENCES WITH OVER 50 MAJORS, AS WELL AS EIGHT PROFESSIONAL AND PRE-PROFESSIONAL PROGRAMS, INCLUDING NURSING AND A POST BACCALAUREATE PRE-MEDICAL STUDIES PROGRAM AND ALSO OFFERS SIXTEEN DEGREE PROGRAMS IN BUSINESS, EDUCATION, LIBRARY AND INFORMATION SCIENCE, SOCIAL WORK, CONFLICT RESOLUTION, AND FAMILY MINISTRY THE ROSARY COLLEGE OF ARTS AND SCIENCES STRIVES TO EMBODY A COMMUNITY OF LEARNERS SEEKING TRUTH THROUGH FREE AND OPEN INQUIRY AND DIALOGUE WITH A DIVERSE ARRAY OF PERSONS, PLACES, TEXTS, OBJECTS, IDEAS AND EVENTS, PAST AND PRESENT, SUPPORTIVE OF EACH LEARNER'S DEVELOPMENT AND COMMITTED TO USING TALENTS TO MAKE A POSITIVE CONTRIBUTION OT THE WORLD THE COLLEGE STRIVES TO PRODUCE GRADUATES OF A LIBERAL ARTS AND SCIENCES PROGRAM WHO CAN THINK CRITICALLY, COMMUNICATE IDEAS WELL, ORALLY AND IN WRITING, AND ACHIEVE BOTH BREADTH OF UNDERSTANDING ACROSS FIELDS AND DEPTH OF KNOWLEDGE IN ONE FIELD THE BRENNAN SCHOOL OF BUSINESS PROVIDES ETHICS-CENTERED MANAGEMENT EDUCATION FOR STUDENTS WHO ARE EITHER ENTERING BUSINESS PROFESSIONS OR CONTINUING THEIR PROFESSIONAL DEVELOPMENT THE CURRICULUM PROVIDES STUDENTS WITH AN ENDURING FOUNDATION IN BUSINESS AND LEADERSHIP SKILLS AND AN UNDERSTANDING OF THE BEST IN CURRENT BUSINESS PRACTICES RESONANT WITH THE UNIVERSITY'S CORE VALUES OF CARITAS ET VERITAS MORE THAN 500 STUDENTS PURSUE UNDERGRADUATE AND GRADUATE DEGREES IN THE FIELDS OF ACCOUNTING, BUSINESS, ECONOMICS, AND INTERNATIONAL BUSINESS THE STUDENT BODY, WITH REPRESENTATIVES FROM AROUND THE WORLD, IS DIVERSE IN TERMS OF BOTH BUSINESS EXPERIENCE AND CULTURAL BACKGROUNDS IN RECENT YEARS, THE BRENNAN SCHOOL OF BUSINESS HAS EXPANDED ITS GLOBAL REACH BY PARTNERING WITH TOP-RANKED UNIVERSITIES ABROAD TO OFFER EXECUTIVE MBA PROGRAMS IN POLAND AND CZECH REPUBLIC THE GOALS OF THE GRADUATE SCHOOL OF LIBRARY AND INFORMATION SCIENCE ARE TO EDUCATE INDIVIDUALS FOR POSITIONS IN A WIDE SPECTRUM OF ESTABLISHED AND EMERGING LIBRARY, INFORMATION, KNOWLEDGE, AND MEDIA CONTEXTS, TO PROVIDE CONTINUING EDUCATION FOR THE PROFESSIONAL COMMUNITY, AND TO ADVANCE AND ENHANCE TEACHING BY MEANS OF FACULTY PUBLICATION, SERVICE IN PROFESSIONAL ORGANIZATIONS AND OTHER VENUES THE SCHOOL OF EDUCATION HAS BEEN DEDICATED TO PRODUCING TEACHERS WHO HAVE GONE ON TO BE THE LEADERS, CONTINUING SCHOLARS AND ROLE MODELS IN AMERICAN SCHOOLS WHILE THE PROGRAMS HAVE ADVANCED TO MEET EVER CHANGING NEEDS, THE CORE VALUES OF SCHOLARSHIP, LEADERSHIP, AND SERVICE HAVE REMAINED CONSTANT THE GRADUATE SCHOOL OF SOCIAL WORK OFFERS A RIGOROUS AND CHALLENGING CURRICULUM, WHICH EMPHASIZES A GLOBALLY FOCUSED AND FAMILY-CENTERED PRACTICE THE PROGRAM EQUIPS STUDENTS WITH NEW KNOWLEDGE TO ADDRESS DOMESTIC AND INTERNATIONAL SOCIAL WORK ISSUES DOMINICAN UNIVERSITY WAS REACCREDITED BY THE NORTH CENTRAL ASSOCIATION IN 2005 FOR THE MAXIMUM TEN-YEAR TERM THE UNIVERSITY RECEIVED APPROVAL FOR ITS FIRST PH.D. IN THE GRADUATE SCHOOL OF LIBRARY AND INFORMATION SCIENCE AND THE INAUGURAL CLASS BEGAN IN FALL 2009 THE ENROLLMENT FOR THE FALL 2013 (FALL) YEAR WAS 3,470 TOTAL STUDENTS OF WHICH 71% WERE FULL-TIME AND 29% PART-TIME THIS POPULATION IS SPLIT WITH 2,069 UNDERGRADUATE STUDENTS AND 1,401 GRADUATE STUDENTS 100% OF ALL INCOMING FRESHMEN RECEIVE SOME FORM OF FINANCIAL AID WITH AN AVERAGE NEED-BASED SCHOLARSHIP/GRANT AWARD OF \$20,555 THE AVERAGE TOTAL AWARD, INCLUDING INSTITUTIONAL SCHOLARSHIP/GRANTS, FEDERAL GRANTS, STATE GRANTS AND STUDENT LOANS FOR FRESHMEN IS \$26,995 THE FACULTY CONSISTS OF 158 INSTRUCTIONAL (FULL-TIME), 7 LIBRARIANS, 238 PART-TIME, WHICH IS AN 11 TO 1 STUDENT TO FACULTY RATIO UNDERGRADUATE TUITION IS \$28,440 FOR FULL-TIME AND \$668-895 PER CREDIT HOUR FOR GRADUATE, DEPENDING ON THE PROGRAM ROOM AND BOARD RANGES FROM \$8,818 TO \$9,916 (DOUBLE AND MEAL PLAN WITH FLEX DOLLARS) 71 OF THE FULL-TIME FACULTY ARE TENURED, AND THE OTHER 61 ARE ON TENURE-TRACK 88% OF THE FULL-TIME FACULTY HAS A DOCTORATE OR TERMINAL DEGREE IN THEIR FIELD, AND ALL FACULTY MEMBERS ARE EXPECTED TO ACTIVELY ENGAGE IN RESEARCH AND PUBLICATION</p>

Return Reference	Explanation
FORM 990, PART III, LINE 4B	<p>ACADEMIC SUPPORT - ACADEMIC SUPPORT IS PROVIDED UNDER THE LEADERSHIP OF THE PROVOST AND ASSOCIATE PROVOST. FACULTY ARE SUPPORTED BY THE CENTER FOR TEACHING AND LEARNING EXCELLENCE, WHOSE MISSION IS TO SUPPORT ALL DOMINICAN FACULTY (BOTH FULL-TIME AND PART-TIME), AT ALL STAGES OF THEIR CAREERS, IN THEIR ROLES AS TEACHERS, SCHOLARS, AND CITIZENS OF THE UNIVERSITY AND WIDER COMMUNITIES. STUDENT SUPPORT, INCLUDING UNDERGRADUATE AND GRADUATE TUTORING PROGRAMS, IS PROVIDED BY THE ACADEMIC LEARNING RESOURCES DEPARTMENT AND THE ACADEMIC ENRICHMENT CENTER. BEGINNING THE FIRST YEAR AND EXTENDING BEYOND GRADUATION, CAREER DEVELOPMENT, PART OF THE ACADEMIC ENRICHMENT CENTER, ACTIVELY ASSISTS STUDENTS OF ALL MAJORS WITH CAREER-RELATED ISSUES, EDUCATING THEM ABOUT THE CAREER PLANNING PROCESS, INTERNSHIPS, JOB SEARCH STRATEGIES AND THE GRADUATE/PROFESSIONAL SCHOOL APPLICATION PROCESS AS THEY TRANSITION FROM COLLEGE TO CAREER. THE MISSION OF THE REBECCA CROWN LIBRARY MANDATES THE ACADEMIC SUPPORT OF STUDENTS, FACULTY, AND STAFF FOR RESOURCES AND SERVICES. IN ADDITION TO SUPPLYING PRINT AND ELECTRONIC MATERIALS, SERVICES SUCH AS REFERENCE, CIRCULATION, INTERLIBRARY LOAN AND INSTRUCTION PROVIDE MEANS THROUGH WHICH THE UNIVERSITY MISSION MAY BE REALIZED. THE LIBRARY HOUSES A COLLECTION OF OVER 300,000 VOLUMES AND SUBSCRIBES TO MORE THAN 18,000 JOURNALS, MANY OF WHICH ARE AVAILABLE ELECTRONICALLY THROUGH OVER 120 DATABASES. THE LIBRARY IS A PARTIAL DEPOSITORY FOR U.S. GOVERNMENT PUBLICATIONS AND MAINTAINS SPECIAL COLLECTIONS AND ARCHIVAL MATERIALS. THE LIBRARY CURRENTLY HOUSES 34% OF ALL PUBLICATIONS PRINTED BY THE GOVERNMENT PRINTING OFFICE, THE FEDERAL AGENCY RESPONSIBLE FOR PUBLISHING INFORMATION PRODUCED BY THE FEDERAL GOVERNMENT. THE COLLECTION OFFERS A WIDE ARRAY OF PUBLICATIONS FROM ALL BRANCHES OF THE U.S. GOVERNMENT. COLLECTED FORMATS INCLUDE PRINT, MICROFICHE, AND CD. THE COLLECTION'S STRENGTHS INCLUDE CENSUS MATERIALS, THE CONGRESSIONAL RECORD AND ITS PREDECESSOR, THE CONGRESSIONAL GLOBE, DATING TO 1834, AND A PARTIAL COLLECTION OF THE U.S. SERIALS SET. INFORMATION TECHNOLOGY IS RESPONSIBLE FOR CREATING AND MAINTAINING A TECHNOLOGY ENVIRONMENT THAT SUPPORTS THE UNIVERSITY'S STRATEGIC DIRECTION BY PROVIDING A HIGH-QUALITY INFRASTRUCTURE ALONG WITH SUPERIOR SUPPORT SERVICES TO ENABLE THE USER COMMUNITY TO UTILIZE TECHNOLOGIES FOR ENHANCED TEACHING, LEARNING, AND ADMINISTRATION.</p>

Return Reference	Explanation
FORM 990, PART III, LINE 4C	<p>STUDENT SERVICES AND ATHLETICS - UNDERGRADUATE ADMISSIONS AND THE FINANCIAL AID OFFICE ARE LED BY THE VICE PRESIDENT FOR ENROLLMENT MANAGEMENT. A FRESHMAN CLASS OF 473 FULL-TIME STUDENTS WAS ENROLLED IN 2013. THE VICE PRESIDENT COORDINATES GRADUATE RECRUITMENT WITH THE INDIVIDUAL COLLEGES. FINANCIAL AID FOR ALL STUDENTS, INCLUDING PARTICIPATION IN THE U.S. DEPARTMENT OF EDUCATION'S STUDENT FINANCIAL ASSISTANCE PROGRAMS, IS MANAGED BY THE FINANCIAL AID OFFICE. THE OFFICE IS AUDITED EACH YEAR UNDER THE COMPLIANCE REQUIREMENTS OF OMB CIRCULAR A-133. STUDENT SERVICES INCLUDE THE DEAN OF STUDENTS OFFICE, PROVIDING LEADERSHIP AND SUPERVISION TO STUDENT AFFAIRS WHILE SERVING AS A LIAISON BETWEEN ADMINISTRATION AND STUDENTS AND WORKING WITH THE COMMUNITY TO DEVELOP POLICY REGARDING STUDENT LIFE, THE CAMPUS WELLNESS CENTER, OFFERING A FULL ARRAY OF HEALTH CARE, COUNSELING, AND WELLNESS SERVICES AND PROGRAMS, THE STUDENT INVOLVEMENT OFFICE, WHICH SUPPORTS STUDENT CLUBS AND ORGANIZATIONS AND OFFERS LEADERSHIP TRAINING, INTRAMURAL ACTIVITIES, AND OTHER CAMPUS ACTIVITIES, AND ATHLETICS. THE DEPARTMENT OF ATHLETICS PROVIDES INTERCOLLEGIATE COMPETITION OPPORTUNITIES FOR THE STUDENT-ATHLETES OF DOMINICAN UNIVERSITY WHO HAVE THE EXPERIENCE AND TALENT TO COMPETE AT THE INTERCOLLEGIATE LEVEL REGARDLESS OF RACE, GENDER, ETHNICITY, OR ORIENTATION. THE OVERALL MISSION OF THE DEPARTMENT IS TO PROVIDE AN EXPERIENCE THAT WILL ENHANCE THE PARTICIPANT'S LEVEL OF SELF-ESTEEM, SELF-MOTIVATION AND SELF-ACTUALIZATION THROUGH MENTAL AND PHYSICAL TRAINING, TEAM DYNAMICS AND COMPETITIVE PARTICIPATION. IT IS INTENDED THAT THE BENEFITS DERIVED FROM THE SPORT EXPERIENCE WILL POSITIVELY IMPACT MANY ASPECTS OF THE STUDENT-ATHLETE'S LIFE AND WILL SERVE AS A COMPLEMENT TO THE RIGOROUS ACADEMIC ENVIRONMENT OF DOMINICAN UNIVERSITY.</p>

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 1	<p>THE BOARD OF TRUSTEES SHALL HAVE EXCLUSIVE RESPONSIBILITY FOR THE DETERMINATION OF POLICY FOR THE CORPORATION, INCLUDING THE GENERAL OUTLINES OF PROCEDURES ESSENTIAL TO IMPLEMENT POLICY, BUT THE ADMINISTRATION OF THE ORDINARY ACTIVITIES OF THE CORPORATION SHALL BE CONDUCTED BY ITS OFFICERS AND OTHER PERSONS APPOINTED BY THE OFFICERS FOR THAT PURPOSE. SUBJECT TO THE RIGHTS OF THE MEMBERS, THE RESPONSIBILITIES OF THE BOARD OF TRUSTEES INCLUDE, BUT ARE NOT LIMITED TO, THE FOLLOWING (A) APPOINT OR REMOVE THE PRESIDENT AND OTHER OFFICERS OF THE UNIVERSITY IN ACCORDANCE WITH THESE BYLAWS, (B) APPROVE DEGREES IN COURSES UPON THE RECOMMENDATION OF FACULTY AND HONORARY DEGREES ON THE RECOMMENDATION OF THE PRESIDENT, (C) GRANT TENURE UPON THE RECOMMENDATION OF THE PRESIDENT, (D) ESTABLISH AND REVIEW THE EDUCATIONAL PROGRAMS OF THE UNIVERSITY, (E) OVERSEE LONG-RANGE PLANNING WHICH ENSURES THAT GOALS, PROGRAMS, SERVICES AND FUNCTIONS OF THE UNIVERSITY ARE CONSISTENT WITH THE MISSION AND WITH EDUCATIONAL NEEDS AND OPPORTUNITIES, (F) AUTHORIZE ANY CHANGES IN TUITION AND ANY MATERIAL CHANGES IN FEES WITHIN THE UNIVERSITY, (G) ESTABLISH ANNUALLY THE BUDGET OF THE UNIVERSITY, WHICH SHALL BE SUBMITTED TO IT UPON RECOMMENDATION OF THE FINANCE AND INVESTMENT COMMITTEE, (H) AUTHORIZE THE CONSTRUCTION OF NEW BUILDINGS AND RENOVATION OF EXISTING BUILDINGS AND ASSURE THE PROPER MANAGEMENT OF THE PHYSICAL PLANT, (I) APPROVE AND PARTICIPATE IN A COMPREHENSIVE FINANCIAL DEVELOPMENT PROGRAM TO ADD TO THE CURRENT, SPECIAL AND ENDOWMENT RESOURCES OF THE UNIVERSITY, (J) AUTHORIZE OFFICERS OR AGENTS OF THE UNIVERSITY TO ACCEPT GIFTS FOR THE UNIVERSITY, (K) RECOMMEND TO THE MEMBERS THE ACQUISITION, PURCHASE, SALE, MORTGAGE, LEASE, TRANSFER, OR ENCUMBRANCE OF THE REAL PROPERTY OWNED OR PROPOSED TO BE OWNED BY THE UNIVERSITY, (L) REQUIRE AN INDEPENDENT YEARLY CERTIFIED AUDIT OF FINANCIAL ACCOUNTS, RECORDS, AND RESOURCES BY A CERTIFIED PUBLIC ACCOUNTANT, (M) PERIODICALLY REVIEW THESE BYLAWS AND RECOMMEND CHANGES TO THE MEMBERS</p>

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 2	RAYMOND PARMER, TRUSTEE, HAS A FAMILY RELATIONSHIP WITH CAROLYN NOONAN PARMER, A TRUSTEE EMERITUS OF DOMINICAN UNIVERSITY. KEVIN M. KILLIPS IS A TRUSTEE OF THE UNIVERSITY AND THE CHIEF FINANCIAL OFFICER OF THE PRIVATE BANK. ROBERT F. COLEMAN IS A TRUSTEE OF THE UNIVERSITY AND A DIRECTOR OF THE PRIVATE BANK.

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 6	THE UNIVERSITY HAS MEMBERS

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 7A	<p>MEMBERS SHALL BE THE PRIORESS, GENERAL COUNCILORS OR DELEGATES AS DETERMINED BY THE MEMBERS, AND THE GENERAL FINANCE OFFICER OF THE SINSINAWA DOMINICAN CONGREGATION. THE MEMBERS MAY APPOINT, IN THEIR SOLE DISCRETION, DIFFERENT MEMBERS (A) WHEN THE MEMBERS, IN THEIR SOLE DISCRETION, DECIDE TO INCREASE THE NUMBERS OF MEMBERS (WITHIN LIMITATIONS SET FORTH IN ARTICLE II, SECTION 1), OR (B) WHEN VACANCIES OCCUR BY REASON OF DEATH, RESIGNATION, FAILURE OF QUALIFICATION, OR OTHERWISE. AT ALL TIMES THE MEMBERS SHALL BE COMPOSED OF A MAJORITY OF PERSONS WHO ARE ELECTED OFFICIALS OF THE SINSINAWA DOMINICAN CONGREGATION. EACH MEMBER SHALL BE ENTITLED TO ONE VOTE ON EACH MATTER SUBMITTED TO A VOTE OF THE MEMBERS. ANY MEMBER MAY RESIGN BY FILING A RESIGNATION WITH THE ELECTED CHAIR (UNLESS THE ELECTED CHAIR IS THE PERSON RESIGNING, IN WHICH CASE THE RESIGNATION SHALL BE FILED WITH THE REMAINING MEMBERS) UNLESS OTHERWISE STATED IN A RESIGNATION, IT SHALL TAKE EFFECT WHEN RECEIVED BY THE PERSON OR PERSON(S) TO WHOM DELIVERED AS SPECIFIED ABOVE, WITHOUT ANY NEED FOR ITS ACCEPTANCE. ANY MEMBER MAY BE REMOVED WITH OR WITHOUT CAUSE BY THE AFFIRMATIVE VOTE OF A MAJORITY OF THE MEMBERS.</p>

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 7B	MEMBERS AMEND, RESTATE, OR MODIFY THE ARTICLES OF INCORPORATION AND THE BYLAWS OF THE UNIVERSITY ALSO THEY APPROVE THE ACQUISITION, PURCHASE, SALE, MORTGAGE, LEASE, TRANSFER, OR ENCUMBRANCE OF THE REAL PROPERTY OWNED BY THE UNIVERSITY MEMBERS RATIFY THE APPOINTMENT, REMOVAL, OR REPLACEMENT OF ANY ONE OR MORE OF THE TRUSTEES, AND APPROVE ANY PLAN FOR DISSOLUTION, MERGER, CONSOLIDATION, OR LIQUIDATION OF THE UNIVERSITY THE RESPONSIBILITIES OF THE MEMBERS INCLUDE THE FOLLOWING (A) TO APPROVE THE MISSION OF THE UNIVERSITY, AND ASSURE CONTINUING HARMONY BETWEEN THE MISSION OF THE SINSINAWA DOMINICAN CONGREGATION AND THE MISSION OF THE UNIVERSITY (B) TO AMEND, RESTATE, OR MODIFY THE ARTICLES OF INCORPORATION AND THE BYLAWS OF THE UNIVERSITY (C) TO APPROVE THE ACQUISITION, PURCHASE, SALE, MORTGAGE, LEASE, TRANSFER, OR ENCUMBRANCE OF THE REAL PROPERTY OWNED BY THE CORPORATION (D) TO RATIFY THE APPOINTMENT, REMOVAL, OR REPLACEMENT OF ANY ONE OR MORE OF THE TRUSTEES (E) TO APPROVE ANY PLAN FOR DISSOLUTION, MERGER, CONSOLIDATION, OR LIQUIDATION OF THE CORPORATION

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 11	THE TAX RETURNS HAVE BEEN REVIEWED BY THE PLANTE MORAN TAX SERVICES TEAM AND THE RETURNS WERE PROVIDED TO THE AUDIT AND RISK MANAGEMENT COMMITTEE. AT THE MEETING, PLANTE MORAN ALONG WITH STAFF REVIEWED ANY SIGNIFICANT CHANGES. THE TAX RETURN WAS APPROVED AT THE AUDIT AND RISK MANAGEMENT MEETING WITH THE UNDERSTANDING THAT THE SENIOR VICE PRESIDENT FOR FINANCE AND ADMINISTRATION REVIEWED AND FINALIZED ANY FOLLOW-UP THAT WAS REQUESTED AT THE MEETING. ANY FOLLOW-UP TO THE ITEMS PRESENTED AT THE MEETING WAS COMPLETED BY THE STAFF AND REVIEWED BY PLANTE MORAN TAX SERVICE TEAM AND FINAL APPROVAL BY THE SENIOR VICE PRESIDENT FOR FINANCE AND ADMINISTRATION. APPROVAL BY AUDIT & RISK MANAGEMENT COMMITTEE WAS NOTED IN THE MINUTES. A COPY OF THE RETURN WILL BE MADE AVAILABLE ELECTRONICALLY TO ALL MEMBERS OF THE BOARD PRIOR TO BEING FILED.

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	<p>DOMINICAN UNIVERSITY HAS A WRITTEN CONFLICT OF INTEREST POLICY (THE POLICY) THAT HAS BEEN APPROVED BY THE BOARD OF TRUSTEES, WHICH COVERS EACH MEMBER OF THE BOARD OF TRUSTEES, OFFICERS AND KEY EMPLOYEES OF THE DOMINICAN UNIVERSITY. THE POLICY REQUIRES EACH MEMBER OF THE BOARD OF TRUSTEES AND OFFICERS ANNUALLY 1) TO REVIEW THE POLICY, 2) TO DISCLOSE ANY POSSIBLE PERSONAL, FAMILY, OR BUSINESS RELATIONSHIPS THAT REASONABLY COULD GIVE RISE TO A CONFLICT INVOLVING THE UNIVERSITY, 3) TO ACKNOWLEDGE BY HIS OR HER SIGNATURE THAT HE OR SHE IS IN ACCORDANCE WITH THE POLICY. ANNUALLY, THE POLICY IS MAILED TO ALL TRUSTEES AND OFFICERS FOR REVIEW, SIGN-OFF, AND RETURN. FOLLOW-UP CALLS AND EMAILS ARE MADE TO ENSURE ALL FORMS ARE RETURNED TIMELY. RESPONSES ARE COLLECTED AND REVIEWED BY THE COMPLIANCE AND FINANCIAL REPORTING MANAGER TO DETERMINE WHETHER CONFLICT EXISTS. THE BOARD OF TRUSTEES PERFORMS DUE DILIGENCE PROCESS AS DOCUMENTED IN THE POLICY IN DETERMINING THE PROPOSED OR DISCLOSED POTENTIAL CONFLICT OF INTEREST SITUATION. THIS WOULD INCLUDE 1) ANY MATERIAL FACTS OF THE PROPOSED OR DISCLOSED TRANSACTION NOT KNOWN TO THE BOARD OR COMMITTEE, 2) THE TRUSTEES OR OFFICER'S INTEREST OR RELATIONSHIP WITH RESPECT TO THE PROPOSED OR DISCLOSED TRANSACTION. THE PRESENCE OF A TRUSTEE OR OFFICER WHO IS DIRECTLY OR INDIRECTLY A PARTY TO A PROPOSED TRANSACTION MAY NOT BE COUNTED WHEN THE BOARD OR THE COMMITTEE OF THE BOARD TAKES ACTION ON THE PROPOSED TRANSACTION. HOWEVER, THE INDIVIDUAL MAY BE COUNTED IN DETERMINING WHETHER A QUORUM IS PRESENT FOR THAT PARTICULAR MEETING.</p>

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 15	<p>COMPENSATION FOR THE PRESIDENT OF THE UNIVERSITY IS DETERMINED BY THE BOARD OF TRUSTEES. ANNUALLY, THEY DETERMINE THE BASE COMPENSATION BASED ON THE COMPARABILITY DATA PROVIDED BY THE DIRECTOR OF HUMAN RESOURCES. BONUSES AND OTHER INDIRECT COMPENSATION ARE BASED ON MEETING STATED GOALS, WHICH ARE APPROVED BY THE EXECUTIVE COMMITTEE OF THE BOARD OF TRUSTEES ANNUALLY AND INCLUDED AS PART OF THE PRESIDENT'S CONTRACT. BONUSES FOR THE PRESIDENT ARE DETERMINED BY THE EXECUTIVE COMPENSATION COMMITTEE OF THE BOARD OF TRUSTEES ANNUALLY AS PART OF HER EVALUATION. BONUSES FOR OTHER MEMBERS OF THE SENIOR MANAGEMENT TEAM ARE DETERMINED BY THE PRESIDENT. FOR ALL OTHER TOP MANAGEMENT POSITIONS SUCH AS OFFICERS, KEY EMPLOYEES, AND ACADEMIC DEANS, THE PRESIDENT DETERMINES THE INITIAL COMPENSATION BASED ON THE DATA THAT THE DIRECTOR OF HUMAN RESOURCES GATHERED IN RELATION TO MARKET DATA FOR SIMILAR POSITIONS. ANNUALLY, THE DIRECTOR OF HUMAN RESOURCES REVIEWS ALL COMPENSATION, INCLUDING THE SENIOR OR TOP MANAGEMENT FOR EQUITY. ANY COMPENSATION RECOMMENDATION IS APPROVED BY THE PRESIDENT AND THE SENIOR VICE PRESIDENT OF ADMINISTRATION. THE COMPENSATION REVIEW PROCESS FOR THE PRESIDENT, OFFICERS, AND KEY EMPLOYEES IS COMPLETED ON AN ANNUAL BASIS. LAST REVIEW WAS IN JULY 2013.</p>

Return Reference	Explanation
FORM 990, PART VI, SECTION C, LINE 19	DOMINICAN MAKES ITS GOVERNING DOCUMENTS AND FINANCIAL DATA, SUCH AS CONFLICT OF INTEREST POLICY, BYLAWS, FINANCIAL STATEMENTS, AND ANNUAL OPERATING BUDGETS, AVAILABLE TO THE PUBLIC UPON REQUEST

Return Reference	Explanation
FORM 990, PART XII, LINE 2C	THE PROCESS HAS NOT CHANGED FROM THE PRIOR YEAR

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
DOMINICAN UNIVERSITY

Employer identification number
36-2167855

Part I Identification of Disregarded Entities

Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) SINSINAWA DOMINICAN CONGREGATION OF MOST HOLY ROSARY 585 COUNTRY ROAD Z SINSINAWA, WI 53824 39-0816854	PREACHING	WI	501(C)(3)	LINE 1	N/A		No

Part III

Identification of Related Organizations Taxable as a Partnership

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end- of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No

Part V

Transactions With Related Organizations

Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

- a** Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties or **(iv)** rent from a controlled entity
- b** Gift, grant, or capital contribution to related organization(s)
- c** Gift, grant, or capital contribution from related organization(s)
- d** Loans or loan guarantees to or for related organization(s)
- e** Loans or loan guarantees by related organization(s)

- f** Dividends from related organization(s)
- g** Sale of assets to related organization(s)
- h** Purchase of assets from related organization(s)
- i** Exchange of assets with related organization(s)
- j** Lease of facilities, equipment, or other assets to related organization(s)
- k** Lease of facilities, equipment, or other assets from related organization(s)
- l** Performance of services or membership or fundraising solicitations for related organization(s)
- m** Performance of services or membership or fundraising solicitations by related organization(s)
- n** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)
- o** Sharing of paid employees with related organization(s)
- p** Reimbursement paid to related organization(s) for expenses
- q** Reimbursement paid by related organization(s) for expenses
- r** Other transfer of cash or property to related organization(s)
- s** Other transfer of cash or property from related organization(s)

	Yes	No
1a		No
1b		No
1c	Yes	
1d		No
1e		No
1f		No
1g		No
1h		No
1i		No
1j		No
1k		No
1l		No
1m		No
1n	Yes	
1o	Yes	
1p		No
1q		No
1r		No
1s		No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII

Supplemental Information

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation
------------------	-------------