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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

JUL 1, 2013

, and ending

JUN 30, 2014

Name of foundation NANDANSONS CHARITABLE FOUNDATION, INC.		A Employer identification number 27-4255136
Number and street (or P.O. box number if mail is not delivered to street address) 4 RIO VISTA DRIVE	Room/suite	B Telephone number 908-561-2400
City or town, state or province, country, and ZIP or foreign postal code EDISON, NJ 08820		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 3,765,916. (Part I, column (d) must be on cash basis)	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		65,658.	65,658.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		35,079.			
b Gross sales price for all assets on line 6a		<987,828.>			
7 Capital gain net income (from Part IV, line 2)			35,079.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		100,737.	100,737.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes		16,229.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		16,229.	0.		0.
25 Contributions, gifts, grants paid		61,707.			61,707.
26 Total expenses and disbursements. Add lines 24 and 25		77,936.	0.		61,707.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		22,801.			
b Net investment income (if negative, enter -0-)			100,737.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	783,119.	906,510.	906,510.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 3	1,592,963.	2,859,406.	2,859,406.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		2,376,082.	3,765,916.	3,765,916.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	2,376,082.	3,765,916.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	2,376,082.	3,765,916.		
31 Total liabilities and net assets/fund balances	2,376,082.	3,765,916.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,376,082.
2 Enter amount from Part I, line 27a	2	22,801.
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN ON INVESTMENTS	3	1,367,033.
4 Add lines 1, 2, and 3	4	3,765,916.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,765,916.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a THROUGH FIDELITY			P		
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a <987,828.>		<1,022,907.>	35,079.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			35,079.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	35,079.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	81,192.	4,004,405.	.020276
2011	142,278.	1,466,077.	.097047
2010	8,205.	1,292,847.	.006346
2009			
2008			

2 Total of line 1, column (d)	2	.123669
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.041223
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	3,910,005.
5 Multiply line 4 by line 3	5	161,182.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,007.
7 Add lines 5 and 6	7	162,189.
8 Enter qualifying distributions from Part XII, line 4	8	61,707.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	2,015.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	2,015.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	2,015.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 9,000.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	9,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,985.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NJ</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► THE FOUNDATION Telephone no. ► 908-561-2400 Located at ► 4 RIO VISTA DRIVE, EDISON, NJ ZIP+4 ► 08820			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ► , , ,		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
AJAY GUPTA 4 RIO VISTA DRIVE EDISON, NJ 08820	PRESIDENT 0.00	0.	0.	0.
NUTAN GUPTA 4 RIO VISTA DRIVE EDISON, NJ 08820	SECRETARY 0.00	0.	0.	0.
ANKUR GUPTA 4 RIO VISTA DRIVE EDISON, NJ 08820	TREASURER 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 CONTRIBUTIONS ARE MADE DIRECTLY TO THE CHARITABLE ORGANIZATION BY CHECK.	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,243,987.
b	Average of monthly cash balances	1b	725,561.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,969,548.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,969,548.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	59,543.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,910,005.
6	Minimum investment return. Enter 5% of line 5	6	195,500.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	195,500.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,015.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,015.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	193,485.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	193,485.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	193,485.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	61,707.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	61,707.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	61,707.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				193,485.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			61,234.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 61,707.				
a Applied to 2012, but not more than line 2a			61,234.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				473.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				193,012.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

N/A

▶

4942(j)(3) or 4942(j)(5)

(4) Gross investment income

[illegible]

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a. The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

4 RIO VISTA DR, EDISON, NJ 08820

b The form in which applications should be submitted and information and materials they should include:

SUBMIT A BRIEF EXPLANATION OF THE REQUEST AND NECESSARY BUDGETS.

c Any submission deadlines:

NO SUBMISSION DEADLINES. A RESPONSE WILL BE SENT WITHIN TWO 2 MONTHS.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

PREFERENCE WILL BE GIVEN TO NEW JERSEY CHARITABLE ORGANIZATIONS.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMERICAN INDIA FOUNDATION 216 EAST 45TH STREET 7TH FLOOR NEW YORK, NY 10017	N/A		GENERAL SUPPORT	1,001.
BHARATIYA VIDYA BHAVAN 305 7TH AVE NEW YORK, NY 10001	N/A		GENERAL SUPPORT	1,000.
CENTER FOR ANTI-VIOLENCE EDUCATION 327 7TH STREET #2 BROOKLYN, NY 11215	N/A		GENERAL SUPPORT	500.
AMERICAN HEART ASSOCIATION 7272 GREENVILLE AVE. DALLAS, TX 75231	N/A		GENERAL SUPPORT	200.
AMERICAN RED CROSS 2025 E STREET NW WASHINGTON, DC 20006-5009	N/A		GENERAL SUPPORT	5,000.
Total	SEE CONTINUATION SHEET(S)			61,707.
b Approved for future payment				
NONE				
Total				0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes |
|---|--|-------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | |
| | (1) Cash | 1a(1) |
| | (2) Other assets | 1a(2) |
| b | Other transactions: | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) |
| | (4) Reimbursement arrangements | 1b(4) |
| | (5) Loans or loan guarantees | 1b(5) |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | |

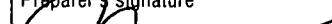
	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.			May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No
	 Signature of officer or trustee	<u>11-14-74</u> Date	PRESIDENT Title	

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	JOHN C. GUTTILLA		11/12/14		P00047355
	Firm's name ▶ ROTENBERG MERIN SOLOMON ET AL			Firm's EIN ▶ 22-3203841	
	Firm's address ▶ 250 PEHLE AVENUE - SUITE 101 SADDLE BROOK, NJ 07663			Phone no. 201-487-8383	

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FIGHTING CHILDRENS CANCER FOUNDATION 1700 ROUTE 23 NORTH STE 300 WAYNE, NJ 07470	N/A		GENERAL SUPPOR	1,000.
FOUNDATION FIGHTING BLINDNESS 7168 COLUMBIA GATEWAY DRIVE STE 100 COLUMBIA, MD 21046	N/A		GENERAL SUPPORT	4,000.
BONNIE BRAE 3415 VALLEY ROAD LIBERTY CORNER, NJ 07938-0825	N/A		GENERAL SUPPORT	1,500.
BREAST CANCER SOCIETY 6859 E REMBRANDT AVE., STE. 128 MESA, AZ 85212	N/A		GENERAL SUPPORT ;LISTTOTAL 80	500.
				100.
EKAL VIDYALAYA FOUNDATION 3908 WESTHOLLOW PARKWAY HOUSTON, TX 77082	N/A			5,000.
FEEDING AMERICA 35 EAST WACKER DRIVE, SUITE 2000 CHICAGO, IL 60601	N/A		GENERAL SUPPORT	501.
GEORGE WASHINGTON UNIVERSITY 2121 EYE STREET, NW WASHINGTON, DC 20052	N/A		GENERAL SUPPORT	1,000.
HINDU SAMAJ 247 WEST RAMAPO AVE. MAHWAH, NJ 07430			GENERAL SUPPORT ;LISTTOTAL 753	501.
JFK MEDICAL CENTER FOUNDATION 80 JAMES STREET EDISON, NJ 08820			GENERAL SUPPORT ;LISTTOTAL 26000	25,000.
Total from continuation sheets				54,006.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MARCH OF DIMES 1275 MAMARONECK AVE. WHITE PLAINS, NY 10605	N/A		GENERAL SUPPORT	202.
NEW JERSEY POLICE DEFENSE FOUNDATION 1977 NORTH OLDEN AVE TRENTON, NJ 08618	N/A		GENERAL SUPPORT	1,500.
NATIONAL POLICE DEFENCE FUND 21 KILMER DRIVE MORGANVILLE, NJ 07751	N/A		GENERAL SUPPORT	1,500.
NEW YORK LAW SCHOOL 185 W BROADWAY NEW YORK, NY 10013	N/A		GENERAL SUPPORT	1,000.
NEW YORK UNIVERSITY BOBST LIBRARY 70 WASHINGTON SQUARE SOUTH NEW YORK, NY 10012	N/A		GENERAL SUPPORT	1,000.
NPH USA 134 NORTH LA SALLE STREET STE 500 CHICAGO, IL 60602	N/A		GENERAL SUPPORT	5,000.
THE METROPOLITAN MUSEUM OF ART 1000 5TH AVE NEW YORK, NY 10028	N/A		GENERAL SUPPORT	1,200.
SATYA NARAYAN MANDIR 75-15 WOODSIDE AVENUE JACKSON HEIGHTS, NY 11373	N/A		GENERAL SUPPORT	501.
UNICEF 3 UNITED NATIONS PLAZA NEW YORK, NY 10017			GENERAL SUPPORT	1,000.
WARDLAW HARTRIDGE SCHOOL 1295 INMAN AVE. EDISON, NJ 08820			GENERAL SUPPORT	1,500.
Total from continuation sheets				

3 Grants and Contributions Paid During the Year (Continuation)Total from continuation sheets

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	65,657.	0.	65,657.	65,657.	
INTEREST	1.	0.	1.	1.	
TO PART I, LINE 4	65,658.	0.	65,658.	65,658.	

FORM 990-PF	TAXES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES/FEES	1,188.	0.		0.
TAX	15,041.	0.		0.
TO FORM 990-PF, PG 1, LN 18	16,229.	0.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	3
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
VARIOUS OPTIONS	<562,375.>	<562,375.>
VARIOUS STOCKS	3,421,781.	3,421,781.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,859,406.	2,859,406.

NANDANSON'S CHARITABLE FOUNDATION
JULY 1, 2013 - JUNE 30, 2014

Description	Date Purchased	No of Shares	Purchase Price	Date Sold	No of Shares	Sale Price	Profit/(Loss)	# of Shares Remaining	Cost per Share
STOCKS									
AMERICAL INTERNATIONAL GROUP	01/24/13	(1,000)	(34,991.26)	12/27/13	(1,000)	(51,280.00)	(16,288.74)	0.00	34.9913
AMGEN INC (AMGN)	02/13/13	(700)	(45,491.03)	12/08/13	(700)	(79,450.00)	(33,958.97)	0.00	64.9872
ASTRAZENECA ADR EACH (AZN)	01/23/14	(1,000)	(54,993.09)	01/27/14	(1,000)	(65,455.95)	(10,462.86)	0.00	54.9931
BANK OF AMERICA	01/24/13	1,500	14,408.71	01/23/14	1,500	14,993.78	585.07	0.00	9.8058
BANK OF AMERICA	01/23/14	2,000	33,191.18	01/23/14	2,000	29,993.52	(3,197.66)	0.00	16.5956
BARCLAYS ADR- EA	09/25/13	(2,733)	(36,534.50)	12/20/13	(2,733)	(44,821.20)	(8,286.70)	0.00	13.3679
PUT (CSCO) CISCO SYS INC (CSCO110416P19)	02/11/11	2,000	33,666.87	01/08/14	2,000	39,993.35	6,326.48	0.00	16.8334
PUT (C) CITIGROUP INC (C110618P4.5)	02/23/11	1,500	64,635.72	01/23/14	1,500	67,492.87	2,857.15	0.00	43.0905
COMCAST CORP NEW CL A (CMCSA)	01/02/14	(800)	(23,993.63)	12/23/13	(800)	(38,960.00)	(14,966.37)	0.00	29.9920
COMCAST CORP NEW CL A (CMCSA)	01/02/14	(200)	(3,839.94)	01/08/14	(200)	(51,044.96)	(21,815.96)	0.00	29.2290
COMCAST CORP NEW CL A (CMCSA)	01/24/13	1,000	15,358.44	01/08/14	(200)	(10,208.99)	(6,369.05)	0.00	19.1997
CORNING INC	12/16/13	(1,000)	(44,993.26)	01/23/14	1,000	16,993.75	(28,006.51)	0.00	15.3584
DISNEY	09/21/11	2,000	22,784.30	12/27/13	(1,000)	(73,000.00)	(50,215.70)	0.00	44.9933
PUT (F) FORD MOTOR CO DEL (F110917P12)	09/21/11	2,000	21,207.02	12/30/13	2,000	29,993.52	7,786.52	0.00	11.9922
PUT (F) FORD MOTOR CO DEL (F110917P12)	10/04/12	1,000	31,376.21	12/27/13	1,000	23,993.63	(7,382.58)	0.00	10.8035
GAP INC DEL (GPS)	10/04/12	(1,000)	(24,991.49)	12/27/13	(1,000)	24,991.49	0.00	0.00	31.3762
GOLDMAN SACHS GROUP INC	01/03/13	1,500	189,275.07	01/23/14	1,500	219,984.28	30,709.21	0.00	128.1834
HEWLETT-PACKARD CO DE (HPQ)	01/24/13	1,000	32,693.80	12/18/13	1,000	24,993.61	(7,700.19)	0.00	32.6936
(HD) HOME DEPOT	03/11/13	(500)	(20,993.57)	12/27/13	(500)	(39,500.43)	(18,506.86)	0.00	18.9871
ILLINOIS TOOLS WORKS (ITW)	09/25/13	(700)	(41,993.31)	12/27/13	(700)	(57,855.00)	(15,861.69)	0.00	59.9904
INTL PAPER CO (IP)	11/15/13	(500)	(19,993.70)	01/27/14	(500)	(24,675.00)	(4,681.30)	0.00	39.9874
INTL PAPER CO (IP)	01/23/14	(500)	(16,312.27)	01/27/14	(500)	(23,955.95)	(7,643.68)	0.00	32.8245
JOHNSON CTLS	12/16/13	(1,000)	(34,993.44)	12/27/13	(1,000)	(50,640.00)	(15,646.56)	0.00	34.9934
JP MORGAN CHASE & CO (JPM)	01/06/14	(1,500)	(76,732.25)	01/08/14	(1,500)	(87,958.45)	(11,226.20)	0.00	51.1948
LIBERTY GLOBAL PLC	01/23/14	(50)	(3,838.74)	01/27/14	(50)	(4,364.00)	(525.26)	0.00	76.7748
LIBERTY GLOBAL PLC	01/23/14	(200)	(15,354.97)	01/27/14	(200)	(17,461.95)	(2,106.98)	0.00	76.7748
LIBERTY GLOBAL PLC	01/23/14	(80)	(6,060.23)	01/27/14	(90)	(7,419.94)	(1,359.71)	0.00	67.3359
LIBERTY GLOBAL PLC	01/23/14	(1,000)	(6,733.59)	01/27/14	(1,000)	(8,238.00)	(1,504.41)	0.00	67.3359
LOWES COMPANIES	07/24/13	(1,000)	(29,987.56)	12/20/13	(1,000)	(47,000.00)	(17,012.44)	0.00	29.9876
MARRIOTT INTL (MAR)	01/23/14	(1,000)	(44,993.26)	01/27/14	(1,000)	(51,475.85)	(6,482.59)	0.00	44.9933
MERCK & CO (MRK)	10/31/11	(1,000)	(39,993.35)	12/19/13	(1,000)	(48,000.00)	(8,006.65)	0.00	39.9934
MICRON TECHNOLOGY (MU)	01/23/14	2,000	45,378.55	01/23/14	2,000	19,993.70	(25,384.85)	0.00	22.8893
MGM RESORTS INTL (MGM)	01/23/14	(2,000)	(29,993.52)	12/28/14	(2,000)	(50,785.95)	(20,792.43)	0.00	14.9968
MORGAN STANLEY (MS)	01/23/14	(1,000)	(19,993.70)	12/27/14	(1,000)	(32,505.95)	(12,512.25)	0.00	19.9937
MURPHY OIL (MUR)	01/16/14	(125)	(5,056.46)	12/27/14	(125)	(5,253.45)	(196.99)	0.00	40.4517
NOKIA OYJ ADR EACH	01/24/13	700	2,803.11	12/31/14	700	3,493.98	690.87	0.00	4.0044
NOKIA OYJ ADR EACH	01/24/13	1,200	4,804.43	1/3/14	1,200	5,993.04	1,188.61	0.00	4.0037
NOKIA OYJ ADR EACH	12/02/13	100	445.41	12/02/13	100	494.04	48.63	0.00	4.4541
PHILIP MORIS	11/16/12	700	60,657.12	12/27/13	700	55,998.74	(4,658.38)	0.00	86.6530
PHILIP MORIS	09/24/12	(700)	(55,998.74)	12/27/13	(700)	(55,998.74)	0.00	0.00	79.9982
PUT (RTN) RAYTHEON	08/09/13	(700)	(38,487.42)	12/27/13	(700)	(62,468.00)	(23,980.58)	0.00	54.9620
TAIWAN SEMICONDUCTOR MANUFACTURING	07/24/13	1,000	16,556.32	12/27/13	1,000	14,991.71	(1,564.61)	0.00	16.5563
TAIWAN SEMICONDUCTOR MANUFACTURING	01/24/13	(1,000)	(14,991.71)	12/27/13	(1,000)	(14,991.71)	0.00	0.00	14.9917
TEXAS INSTRUMENTS INC (TXN)	10/31/13	(1,000)	(34,993.44)	12/18/13	(1,000)	(42,150.00)	(7,156.56)	0.00	34.9934
TIFFANY & Co	12/20/13	(1,000)	(74,992.74)	12/27/13	(1,000)	(90,300.00)	(15,307.26)	0.00	74.9927
(UNF) UNION PACIFIC CORP	10/27/11	(500)	(57,487.08)	12/18/13	(500)	(80,250.00)	(22,762.92)	0.00	114.9742
UNITED PARCEL SVC INC CL B (UPS)	11/30/13	(500)	(42,493.31)	12/27/13	(500)	(51,850.00)	(9,356.69)	0.00	84.9866
WALMART STORES INC (WMT)	08/09/12	(1,000)	(62,490.65)	12/18/13	(1,000)	(78,000.00)	(15,509.35)	0.00	62.4907
WELLS FARGO (WFC)	08/09/13	(1,000)	(34,993.44)	12/20/13	(1,000)	(43,500.00)	(8,506.56)	0.00	34.9934
WHIRLPOOL CORP (WHR)	11/15/13	(300)	(25,493.60)	12/27/13	(300)	(46,848.00)	(21,354.40)	0.00	84.9787

PUT (DIS) DISNEY WALT CO (DIS140118P50)	(1,000)	(2,999.59)	01/17/14	(1,000)	0.00	2,999.59	0.00	2.9996
PUT (DIS) DISNEY WALT CO (DIS140118P52.5)	(1,000)	(3,999.57)	01/17/14	(1,000)	0.00	3,999.57	0.00	3.9996
PUT (DIS) DISNEY WALT CO (DIS140118P60)	(1,000)	(3,999.59)	01/17/14	(1,000)	0.00	3,999.59	0.00	3.9996
PUT (DOW) DOW CHEMICAL CO (DOW140118P30)	(1,000)	(2,999.55)	01/17/14	(1,000)	0.00	2,999.55	0.00	2.9996
PUT (DOW) DOW CHEMICAL CO (DOW140118P32)	(1,000)	(3,999.53)	01/17/14	(1,000)	0.00	3,999.53	0.00	3.9995
CALL (F) FORD MOTOR CO DEL (F140118C17)	(100)	(92.02)	01/17/14	(100)	0.00	92.02	0.00	0.9202
PUT (FCX) FREEPORT MCMORAN (FCX130817P30)	(1,000)	(1,399.58)	08/18/13	(1,000)	0.00	1,399.58	0.00	1.3996
PUT (FCX) FREEPORT MCMORAN (FCX140118P30)	(1,000)	(2,599.56)	01/17/14	(1,000)	0.00	2,599.56	0.00	2.5996
PUT (GS) GOLDMAN SACHS GROUP (GS140118P130)	(1,000)	(7,199.45)	01/17/14	(1,000)	0.00	7,199.45	0.00	7.1995
PUT (GS) GOLDMAN SACHS GROUP (GS140118P140)	(1,000)	(10,499.42)	01/17/14	(1,000)	0.00	10,499.42	0.00	10.4994
CALL (HAL) HALLIBURTON CO (HPQ140118C40)	(1,000)	(1,049.68)	01/06/14	(1,000)	(628.30)	223.38	0.00	1.0497
PUT (HAL) HALLIBURTON CO (HPQ 140118P35)	(1,000)	(2,499.60)	01/17/14	(1,000)	0.00	2,499.60	0.00	2.4996
PUT (HAL) HALLIBURTON CO (HPQ 140118P40)	(1,000)	(4,199.56)	01/17/14	(1,000)	0.00	4,199.56	0.00	4.1996
PUT (HD) HOME DEPOT (HD140118P60)	(500)	(1,749.77)	01/17/17	(500)	0.00	1,749.77	0.00	3.4995
PUT (HD) HOME DEPOT (HD140118P62.5)	(1,000)	(4,499.51)	01/17/14	(1,000)	0.00	4,499.51	0.00	4.4955
PUT (HD) HOME DEPOT (HD140118P65)	(1,000)	(5,499.48)	01/17/14	(1,000)	0.00	5,499.48	0.00	5.4995
CALL (IBN) ICICI BANK LIMITED (IBN140118C45)	(1,000)	(2,349.65)	01/17/14	(1,000)	0.00	2,349.65	0.00	2.3497
PUT (IBN) ICICI BANK LIMITED (IBN140118P35)	(1,000)	(1,999.61)	01/17/14	(1,000)	0.00	1,999.61	0.00	1.9996
PUT (ITW) ILLINOIS TOOL WORKS (ITW140118P57.5)	(700)	(2,799.69)	01/17/14	(700)	0.00	2,799.69	0.00	3.9996
PUT (ITW) ILLINOIS TOOL WORKS (ITW140118P60)	(700)	(3,499.68)	01/17/14	(700)	0.00	3,499.68	0.00	4.9995
PUT (INTC) INTEL CORP (INTC130720P20)	(1,000)	(999.59)	07/19/13	(1,000)	0.00	999.59	0.00	0.9996
PUT (INTC) INTEL CORP (INTC131019P20)	(1,000)	(1,399.58)	10/18/13	(1,000)	0.00	1,399.58	0.00	1.3996
PUT (INTC) INTEL CORP (INTC140118P18)	(1,000)	(1,049.59)	01/17/14	(1,000)	0.00	1,049.59	0.00	1.0496
PUT (INTC) INTEL CORP (INTC140118P20)	(1,000)	(1,991.76)	01/17/14	(1,000)	0.00	1,991.76	0.00	1.9918
PUT (INTC) INTEL CORP (INTC140118P22)	(1,000)	(2,949.64)	01/17/14	(1,000)	0.00	2,949.64	0.00	2.9496
PUT (IP) INTL PAPER CO (IP140118P30)	(1,000)	(3,241.68)	01/17/14	(1,000)	0.00	3,241.68	0.00	3.2417
PUT (IP) INTL PAPER CO (IP140118P35)	(1,000)	(3,499.54)	01/17/14	(1,000)	0.00	3,499.54	0.00	3.4995
PUT (IP) INTL PAPER CO (IP140118P48)	(300)	(158.93)	01/17/14	(300)	0.00	158.93	0.00	0.5298
PUT (IP) INTL PAPER CO (IP140118P48)	(200)	(109.92)	01/17/14	(200)	0.00	109.92	0.00	0.5496
PUT (JPM) JPMORGAN CHASE & CO (JPM140118P35)	(1,000)	(3,491.68)	01/17/14	(1,000)	0.00	3,491.68	0.00	3.4917
PUT (JPM) JPMORGAN CHASE & CO (JPM140118P42)	(1,000)	(2,999.59)	01/17/14	(1,000)	0.00	2,999.59	0.00	2.9996
PUT (JPM) JPMORGAN CHASE & CO (JPM140118P45)	(1,000)	(3,999.57)	01/17/14	(1,000)	0.00	3,999.57	0.00	3.9996
PUT (JPM) JPMORGAN CHASE & CO (JPM140118P57.5)	(1,000)	(1,146.00)	01/17/14	(1,500)	0.00	1,146.00	0.00	0.7640
PUT (JCI) JOHNSON CTLS INC (JCI140118P30)	(1,000)	(1,399.62)	01/17/14	(1,000)	0.00	1,399.62	0.00	1.3996
PUT (LOW) LOWES COMPANIES (LOW140118P30)	(1,000)	(1,599.62)	01/17/14	(1,000)	0.00	1,599.62	0.00	1.5996
PUT (LOW) LOWES COMPANIES (LOW140118P35)	(1,000)	(2,999.59)	01/17/14	(1,000)	0.00	2,999.59	0.00	2.9996
PUT (MGM) MGM RESORTS (MGM140118P10)	(2,000)	(1,199.22)	01/17/14	(2,000)	0.00	1,199.22	0.00	0.5996
PUT (MGM) MGM RESORTS (MGM140118P22)	(2,000)	(208.29)	01/17/14	(2,000)	0.00	208.29	0.00	0.1041
PUT (MGM) MGM RESORTS (MGM140118P26)	(2,000)	(1,068.03)	01/17/14	(2,000)	0.00	1,068.03	0.00	0.5440
PUT (MAR) MARRIOTT INT (MAR140118P35)	(1,000)	(2,199.61)	01/17/14	(1,000)	0.00	2,199.61	0.00	2.1996
PUT (MAR) MARRIOTT INT (MAR140118P48)	(500)	(224.81)	01/17/14	(500)	0.00	224.81	0.00	0.4496
PUT (MAR) MARRIOTT INT (MAR140118P48)	(500)	(218.86)	01/17/14	(500)	0.00	218.86	0.00	0.4377
PUT (MRK) MERCK & CO INC NEW (MRK130720P40)	(1,000)	(543.69)	01/17/14	(1,000)	0.00	543.69	0.00	0.5437
PUT (MRK) MERCK & CO INC NEW (MRK131221P45)	(1,000)	(1,849.57)	07/19/13	(1,000)	0.00	1,849.57	0.00	1.8496
PUT (MRK) MERCK & CO INC NEW (MRK140118P40)	(1,000)	(999.67)	12/20/13	(1,000)	0.00	999.67	0.00	0.9997
PUT (MRK) MERCK & CO INC NEW (MRK140118P45)	(1,000)	(3,799.62)	01/17/14	(1,000)	0.00	3,799.62	0.00	3.7996
PUT (MRK) MERCK & CO INC NEW (MRK140118P45)	(1,000)	(6,499.56)	01/17/14	(1,000)	0.00	6,499.56	0.00	6.4996
PUT (MSFT) MICROSOFT CORP (MSFT140118P23)	(1,000)	(1,949.69)	01/17/14	(1,000)	0.00	1,949.69	0.00	1.9497
PUT (MSFT) MICROSOFT CORP (MSFT140118P25)	(3,000)	(7,036.35)	01/17/14	(3,000)	0.00	7,036.35	0.00	2.3455
PUT (MSFT) MICROSOFT CORP (MSFT140118P27)	(1,000)	(3,249.66)	01/17/14	(1,000)	0.00	3,249.66	0.00	3.2497
PUT (MU) MICRON TECHNOLOGY (MU140118P20)	(2,000)	(1,188.02)	01/17/14	(2,000)	0.00	1,188.02	0.00	0.4797
CALL (MS) MORGAN STANLEY (MS140118C20)	(1,000)	(1,099.68)	01/17/14	(1,000)	0.00	1,099.68	0.00	0.5940
PUT (MS) MORGAN STANLEY (MS140118P17)	(1,000)	(849.64)	01/17/14	(1,000)	0.00	849.64	0.00	1.0997
PUT (MS) MORGAN STANLEY (MS140118P32)	(1,000)	(633.68)	01/17/14	(1,000)	0.00	633.68	0.00	0.8496
CALL (NEM) NEWMONT MNG CORP (NEM140118C60)	(500)	(2,199.66)	01/17/14	(500)	0.00	2,199.66	0.00	0.8337
PUT (ORCL) ORACLE CORPORATION (ORCL140118P32)	(1,000)	(4,241.85)	01/17/14	(1,000)	0.00	4,241.85	0.00	2.1997
PUT (ORCL) ORACLE CORPORATION (ORCL140118P32)	(1,000)	(2,199.61)	01/17/14	(1,000)	0.00	2,199.61	0.00	8.4637
PUT (ORCL) ORACLE CORPORATION (ORCL140118P32)	(1,000)	(2,199.61)	01/17/14	(1,000)	0.00	2,199.61	0.00	2.1996

PUT (ORCL) ORACLE CORPORATION (ORCL140118P35)	02/27/13	(1,000)	(3,599.57)	01/17/14	(1,000)	0.00	3,599.57	0.00	3,599.57
CALL (PBR) PETROLEO BRASILEIRO (PBR140118C30)	08/09/12	(1,000)	(749.69)	01/17/14	(1,000)	0.00	749.69	0.00	0.7497
PUT (PFE) PFIZER INC (PFE130921O26)	04/01/13	(2,000)	(1,059.30)	09/20/13	(2,000)	0.00	1,059.30	0.00	0.5297
PUT (PFE) PFIZER INC (PFE140118P20)	08/24/12	(2,000)	(2,999.35)	01/17/14	(2,000)	0.00	2,999.35	0.00	1.4997
PUT (PFE) PFIZER INC (PFE140118P22)	08/23/12	(2,000)	(4,399.32)	01/17/14	(2,000)	0.00	4,399.32	0.00	2.1997
PUT (PFE) PFIZER INC (PFE140118P25)	02/27/13	(2,000)	(2,799.26)	01/17/14	(2,000)	0.00	2,799.26	0.00	1.3996
PUT (PFE) PFIZER INC (PFE140118P27)	04/01/13	(2,000)	(2,599.27)	01/17/14	(2,000)	0.00	2,599.27	0.00	1.2996
PUT (QQQ) POWERSHARES QQQ TR (QQQ130720P65)	02/21/13	(1,000)	(2,649.56)	09/20/13	(1,000)	0.00	2,649.56	0.00	1.9996
PUT (QQQ) POWERSHARES QQQ TR (QQQ130921P65)	02/21/13	(1,000)	(3,599.53)	12/20/13	(1,000)	0.00	3,599.53	0.00	2.6496
PUT (QQQ) POWERSHARES QQQ TR (QQQ131221P65)	02/21/13	(1,000)	(3,999.53)	01/17/14	(1,000)	0.00	3,999.53	0.00	3.5995
PUT (QQQ) POWERSHARES QQQ TR (QQQ140118P65)	02/22/13	(1,000)	(1,999.57)	07/19/13	(1,000)	0.00	1,999.57	0.00	1.9996
PUT (QCOM) QUALCOMM INC (QCOM130720P55)	01/08/13	(1,000)	(1,899.61)	01/17/14	(1,000)	0.00	1,899.61	0.00	1.8996
PUT (QCOM) QUALCOMM INC (QCOM140118P55)	04/01/13	(1,000)	(2,721.78)	01/17/14	(700)	0.00	2,721.78	0.00	3.8883
PUT (RTN) RAYTHEON CO COM NEW (RTN140118P50)	11/08/12	(700)	(1,399.72)	01/17/14	(700)	0.00	1,399.72	0.00	1.9996
PUT (RTN) RAYTHEON CO COM NEW (RTN140118P52 5)	04/02/13	(700)	(2,239.74)	01/17/14	(700)	0.00	2,239.74	0.00	3.1996
CALL (RIO) RIO TINTO ADR EACH (RIO140118C60)	08/06/12	(700)	(2,799.67)	01/17/14	(700)	0.00	2,799.67	0.00	3.9995
PUT (RIO) RIO TINTO ADR EACH (RIO140118P50)	02/21/13	(700)	(3,499.66)	01/17/14	(700)	0.00	3,499.66	0.00	4.9995
PUT (SPY) SPDR S&P 500 ETF (SPY131221O140)	02/21/13	(500)	(2,899.74)	12/20/13	(500)	0.00	2,899.74	0.00	5.7995
PUT (SPY) SPDR S&P 500 ETF (SPY140118P140)	02/22/13	(500)	(3,049.74)	01/17/14	(500)	0.00	3,049.74	0.00	6.0995
PUT (SCCO) SOUTHERN COPPER CORP (SCCO130921P35)	02/20/13	(1,000)	(1,499.58)	09/20/13	(1,000)	0.00	1,499.58	0.00	1.4996
PUT (SCCO) SOUTHERN COPPER CORP (SCCO130921P37)	02/20/13	(800)	(1,679.66)	09/20/13	(800)	0.00	1,679.66	0.00	2.0996
CALL (SPLS) STAPLES INC (SPLS140118C15)	06/07/12	(1,000)	(1,099.68)	01/17/14	(1,000)	0.00	1,099.68	0.00	1.0997
PUT (TSM) TAIWAN SEMICONDUCTOR (TSM140118P15)	03/19/13	(1,000)	(699.64)	01/17/14	(1,000)	0.00	699.64	0.00	0.6996
PUT (TSM) TAIWAN SEMICONDUCTOR (TSM140118P17 5)	02/27/13	(1,000)	(1,499.62)	01/17/14	(1,000)	0.00	1,499.62	0.00	1.4996
PUT (TXN) TEXAS INSTRUMENTS (TXN140118P25)	04/03/13	(1,000)	(499.64)	01/17/14	(1,000)	0.00	499.64	0.00	0.4996
PUT (TXN) TEXAS INSTRUMENTS (TXN140118P30)	02/22/13	(1,000)	(1,999.57)	01/17/14	(1,000)	0.00	1,999.57	0.00	1.9996
PUT (TOT) TOTAL ADR EACH REP 1 (TOT140118P45)	02/19/13	(700)	(1,959.69)	01/17/14	(700)	0.00	1,959.69	0.00	2.7996
PUT (UNP) UNION PACIFIC CORP (UNP13101P150)	10/31/13	(200)	(349.92)	11/01/13	(200)	0.00	349.92	0.00	1.7496
PUT (UNP) UNION PACIFIC CORP (UNP13101P150)	11/08/13	(500)	(3,149.81)	12/20/13	(500)	0.00	3,149.81	0.00	2.2996
PUT (UNP) UNION PACIFIC CORP (UNP140118P115)	10/27/11	(300)	(3,149.87)	01/17/14	(300)	0.00	3,149.87	0.00	10.4996
PUT (UNP) UNION PACIFIC CORP (UNP140118P120)	11/13/12	(500)	(5,991.76)	01/17/14	(500)	0.00	5,991.76	0.00	11.9835
PUT (UNP) UNION PACIFIC CORP (UNP140118P125)	02/28/13	(500)	(3,249.75)	01/17/14	(500)	0.00	3,249.75	0.00	6.4995
PUT (UPS) UNITED PARCEL SVC (UPS140118P90)	02/27/13	(500)	(4,049.73)	01/17/14	(500)	0.00	4,049.73	0.00	8.0995
PUT (UPS) UNITED PARCEL SVC (UPS140118P75)	04/02/13	(500)	(2,241.88)	01/17/14	(500)	0.00	2,241.88	0.00	4.4838
PUT (UPS) UNITED PARCEL SVC (UPS140118P80)	04/02/13	(500)	(1,049.80)	01/17/14	(500)	0.00	1,049.80	0.00	2.0996
CALL (X) UNITED STATES STEEL (X140118C30)	08/07/12	(1,000)	(1,749.79)	01/03/14	(1,000)	0.00	1,749.79	0.00	3.4996
CALL (VALE) VALE S A SPONS ADR (VALE140118C25)	09/14/12	(1,000)	(2,299.65)	01/17/14	(1,000)	(1,056.30)	1,243.35	0.00	2.2997
PUT (WMT) WAL-MART STORES INC (WMT131221P72)	10/31/13	(1,000)	(799.69)	01/17/14	(1,000)	0.00	799.69	0.00	0.7997
PUT (WMT) WAL-MART STORES INC (WMT140118P65)	02/19/13	(700)	(1,309.66)	12/20/13	(700)	0.00	1,309.66	0.00	1.3097
PUT (WMT) WAL-MART STORES INC (WMT140118P67 5)	02/19/13	(300)	(2,008.69)	01/17/14	(300)	0.00	2,008.69	0.00	2.8696
PUT (WMT) WAL-MART STORES INC (WMT140118P70)	02/19/13	(500)	(863.87)	01/17/14	(300)	0.00	863.87	0.00	2.8796
PUT (WFC) WELLS FARGO & CO (WFC130720P33)	02/27/13	(1,000)	(1,924.76)	01/17/14	(1,000)	0.00	1,924.76	0.00	3.8495
PUT (WHR) WHIRLPOOL CORP (WHR131221P115)	03/13/13	(300)	(4,591.65)	01/17/14	(1,000)	0.00	4,591.65	0.00	4.5917
PUT (WHR) WHIRLPOOL CORP (WHR140118P20)	03/18/13	(500)	(1,199.63)	07/19/13	(1,000)	0.00	1,199.63	0.00	1.1996
PUT (WHR) WHIRLPOOL CORP (WHR140118P85)	03/18/13	(500)	(1,999.61)	01/17/14	(1,000)	0.00	1,999.61	0.00	1.9996
PUT (YHOO) YAHOO INC (YHOO140118P20)	03/18/13	(1,000)	(974.89)	01/17/14	(300)	0.00	974.89	0.00	3.2496
PUT (YHOO) YAHOO INC (YHOO140118P22)	01/03/14	(1,000)	(1,599.79)	12/20/13	(500)	0.00	1,599.79	0.00	3.1996
PUT (YHOO) YAHOO INC (YHOO140118P38)	04/02/13	(1,000)	(1,999.78)	01/17/14	(500)	0.00	1,999.78	0.00	3.9996
PUT (YUM) YUM BRANDS INC (YUM140118P57 5)	04/02/13	(1,000)	(1,299.63)	01/17/14	(1,000)	0.00	1,299.63	0.00	1.2996
			(2,199.61)	01/17/14	(1,000)	0.00	2,199.61	0.00	2.1996
			(283.69)	01/17/14	(1,000)	0.00	283.69	0.00	0.2837
			(1,649.62)	01/17/14	(1,000)	0.00	1,649.62	0.00	1.6496

Other % holdings

Bardays exp 10/01/13	09/30/13	(575)	(3,444.05)	10/01/13	(575)	0.00	3,444.05	0.00	5.9897
CALL (LOW) LOWES COMPANIES (LOW140118C30)	11/14/11	(500)	(899.86)	07/19/13	(500)	0.00	899.86	0.00	1.7997
CALL (WFC) WELLS FARGO & CO (WFC140118C35)	01/06/12	(1,000)	(2,999.69)	08/06/13	(1,000)	0.00	2,999.69	0.00	2.9997

CALL (UNP) UNION PACIFIC CORP (UNP140118C115)	1027/11	(200)	(2,099.91)	08/27/13	(200)	0.00	2,099.91	0.00	10.4996
CALL (RTN) RAYTHEON CO COM NEW (RTN140118C55)	12/22/11	(400)	(899.89)	08/08/13	(400)	0.00	899.89	0.00	2.2497
CALL (MRK) MERCK & CO INC NEW (MRK140118C40)	10/31/11	(1,000)	(1,999.73)	09/11/13	(1,000)	0.00	1,999.73	0.00	1.9997
CALL (BCS) BARCLAYS ADR-EACH CV (BCS140118C15)	08/30/13	(2,300)	(2,744.60)	09/25/13	(2,300)	0.00	2,744.60	0.00	1.1933
CALL (BCS) BARCLAYS ADR-EACH CV (BCS140118C15)	08/13/12	(200)	(238.66)	09/20/13	(200)	0.00	238.66	0.00	1.1933
CALL (ITW) ILLINOIS TOOL WORKS (ITW140118C60)	08/08/12	(700)	(2,939.73)	09/25/13	(700)	0.00	2,939.73	0.00	4.1996
CALL (CMCSA) COMCAST CORP NEW (CMCSA140118C30)	02/18/12	(800)	(2,553.38)	09/25/13	(800)	0.00	2,553.38	0.00	3.1917
CALL (RTN) RAYTHEON CO COM NEW (RTN140118C55)	12/22/11	(200)	(449.94)	09/27/13	(200)	0.00	449.94	0.00	2.2497
CALL (RTN) RAYTHEON CO COM NEW (RTN140118C55)	12/22/11	(100)	(229.97)	09/27/13	(100)	0.00	229.97	0.00	2.2997
CALL (LOW) LOWES COMPANIES (LOW140118C30)	11/14/11	(500)	(899.86)	10/31/13	(500)	0.00	899.86	0.00	1.7997
CALL (TXN) TEXAS INSTRUMENTS (TXN140118C35)	08/08/12	(1,000)	(1,599.67)	10/31/13	(1,000)	0.00	1,599.67	0.00	1.5997
CALL (IP) INTL PAPER CO (IP140118P40)	10/28/11	(500)	(999.87)	11/13/13	(500)	0.00	999.87	0.00	1.9997
CALL (WHR) WHIRLPOOL CORP (WHR140118C85)	08/09/12	(300)	(2,099.86)	11/13/13	(300)	0.00	2,099.86	0.00	8.9985
CALL (NOK) NOKIA (NOK140118C5)	08/07/12	(100)	(44.97)	11/13/13	(100)	0.00	44.97	0.00	0.4497
CALL (UPS) UNITED PARCEL SVC (UPS140118C85)	11/15/12	(500)	(1,499.86)	11/13/13	(500)	0.00	1,499.86	0.00	2.9997
CALL (DIS) DISNEY WALT CO (DIS140118C45)	01/05/12	(1,000)	(3,749.67)	12/11/13	(1,000)	0.00	3,749.67	0.00	3.7497
CALL (JCI) JOHNSON CTLS INC (JCI140118C35)	08/08/12	(1,000)	(899.68)	12/11/13	(1,000)	0.00	899.68	0.00	0.8997
CALL (TIF) TIFFANY & CO NEW (TIF140118C75)	09/08/12	(1,000)	(2,999.64)	12/17/13	(1,000)	0.00	2,999.64	0.00	2.9996
CALL (CMCSA) COMCAST CORP NEW (CMCSA140118C30)	02/16/12	(200)	(638.35)	12/27/13	(200)	0.00	638.35	0.00	3.1917
CALL (CMCSA) COMCAST CORP NEW (CMCSA140118C40)	08/02/12	(1,000)	(1,409.67)	12/27/13	(1,000)	0.00	1,409.67	0.00	1.4097
CALL (NOK) NOKIA (NOK140118C5)	08/07/12	(1,200)	(539.63)	12/27/13	(1,200)	0.00	539.63	0.00	0.4497
CALL (DOW) DOW CHEMICAL CO (DOW140118C40)	08/08/12	(2,000)	(1,799.37)	12/27/13	(2,000)	(9,811.88)	(8,012.51)	0.00	0.8997
CALL (GSCO) CISCO SYS INC (GSCO140118C20)	08/07/12	(2,000)	(1,999.37)	01/01/14	(2,000)	0.00	1,999.37	0.00	0.9997
CALL (JPM) JPMORGAN CHASE & CO (JPM140119C50)	08/08/12	(1,500)	(1,799.51)	01/01/14	(1,500)	0.00	1,799.51	0.00	1.1997
PUT (NEM) NEWMONT MNG CORP (NEM140118P40)	02/19/13	(1,000)	(3,499.54)	01/09/14	(1,000)	0.00	3,499.54	0.00	3.4995
CALL (MUR) MURPHY OIL CORP (MUR140118C67.5)	08/06/12	(500)	(1,399.81)	01/13/14	(500)	0.00	1,399.81	0.00	2.7996
CALL (YUM) YUM BRANDS INC (YUM140118C80)	11/09/11	(900)	(5,849.68)	01/17/14	(900)	0.00	5,849.68	0.00	6.4996
CALL (IP) INTL PAPER CO (IP140118C40)	10/28/11	(500)	(999.86)	01/17/14	(500)	0.00	999.86	0.00	1.9997
CALL (MU) MICRON TECHNOLOGY (MU140118C10)	08/08/12	(2,000)	(1,399.38)	01/17/14	(2,000)	0.00	1,399.38	0.00	0.8997
PUT (MS) MORGAN STANLEY (MS140118P20)	02/22/13	(1,000)	(1,599.62)	01/17/14	(1,000)	0.00	1,599.62	0.00	1.5996
CALL (NOK) NOKIA (NOK140118C5)	08/07/12	(700)	(314.79)	01/17/14	(700)	0.00	314.79	0.00	0.4497
PUT (T) AT&T (T140118P35)	11/08/12	(1,000)	(4,541.65)	01/17/14	(1,000)	0.00	4,541.65	0.00	4.5417
CALL (YHOO) YAHOO INC (YHOO140118C20)	04/19/12	(1,000)	(1,199.72)	01/17/14	(1,000)	0.00	1,199.72	0.00	1.1997
CALL (YUM) YUM BRANDS INC (YUM140118C80)	11/09/11	(100)	(649.96)	01/17/14	(100)	0.00	649.96	0.00	6.4996
CALL (GS) GOLDMAN SACHS GROUP (GS140118C145)	08/21/12	(1,000)	(2,999.64)	01/17/14	(1,000)	0.00	2,999.64	0.00	2.9996
CALL (GS) GOLDMAN SACHS GROUP (GS140118C150)	08/17/12	(200)	(499.92)	01/17/14	(200)	0.00	499.92	0.00	2.4996
CALL (GS) GOLDMAN SACHS GROUP (GS140118C150)	08/21/12	(300)	(749.89)	01/17/14	(300)	0.00	749.89	0.00	2.4996
CALL (BAC) BANK OF AMERICA (BACB140118C10)	08/08/12	(1,500)	(1,199.53)	01/17/14	(1,500)	0.00	1,199.53	0.00	0.7997
CALL (GLW) CORNING INC (GLW140118C17)	09/04/12	(1,000)	(399.70)	01/17/14	(1,000)	0.00	399.70	0.00	0.3997
CALL (HPQ) HEWLETT-PACKARD CO (HPQ140118C25)	08/08/12	(1,000)	(1,099.68)	01/17/14	(1,000)	0.00	1,099.68	0.00	1.0997
CALL (F) FORD MOTOR CO DEL (F140118C15)	09/12/12	(2,000)	(1,059.39)	01/17/14	(2,000)	0.00	1,059.39	0.00	0.5297
PUT (IBN) ICICI BANK LIMITED (IBN140118P37)	02/22/13	(1,000)	(2,599.56)	01/17/14	(1,000)	0.00	2,599.56	0.00	2.5996
CALL (MGM) MGM RESORTS (MGM140118C15)	08/08/12	(2,000)	(1,499.38)	01/17/14	(2,000)	0.00	1,499.38	0.00	0.7497
PUT (NEM) NEWMONT MNG CORP (NEM140118P45)	11/05/12	(1,000)	(4,691.65)	01/17/14	(1,000)	0.00	4,691.65	0.00	4.6917
PUT (SCCO) SOUTHERN COPPER CORP (SCCO140118P37)	02/21/13	(1,000)	(349.95)	01/17/14	(1,000)	0.00	349.95	0.00	3.4995
CALL (BAC) BANK OF AMERICA (BACB140118C15)	03/16/12	(2,000)	(1,096.74)	01/17/14	(2,000)	0.00	1,096.74	0.00	0.5434
CALL (C) CITIGROUP INC (C140118C45)	08/08/12	(1,500)	(1,499.52)	01/17/14	(1,500)	0.00	1,499.52	0.00	0.9997
CALL (MAR) MARRIOTT INTL (MAR140118C45)	08/06/12	(1,000)	(2,399.65)	01/17/14	(1,000)	0.00	2,399.65	0.00	2.3997
PUT (YUM) YUM BRANDS INC (YUM140118P72.5)	01/03/14	(1,000)	(583.68)	01/17/14	(1,000)	0.00	583.68	0.00	0.5837
CALL (LBTY) LBTY140118C32	08/10/13	(1,000)	6,202.50	01/17/14	(1,000)	(28,998.65)	(33,201.15)	0.00	-8.2025
PUT (MU) MICRON TECHNOLOGY (MU140118P24)	01/16/14	(2,000)	(1,228.02)	01/17/14	(2,000)	0.00	1,228.02	0.00	0.6140
PUT (YHOO) YAHOO INC (YHOO140118P42)	01/16/14	(1,000)	(1,023.68)	01/17/14	(1,000)	0.00	1,023.68	0.00	1.0237
PUT (MUR) MURPHY OIL CORP (MUR140118P85)	01/17/14	(500)	(1,243.84)	01/17/14	(500)	0.00	1,243.84	0.00	2.4877
PUT (YUM) YUM BRANDS INC (YUM140118P73.5)	01/16/14	(1,000)	(543.69)	01/17/14	(1,000)	0.00	543.69	0.00	0.5437
PUT (BAC) BANK OF AMERICA (BACB140118P17.5)	01/16/14	(2,000)	(728.03)	01/17/14	(2,000)	0.00	728.03	0.00	0.9640
CALL (MO) ALTRIA GROUP INC (MO150117C37)	02/04/13	(1,000)	(1,099.59)	08/11/14	(1,000)	(1,099.59)	0.00	0.00	1.0996
CALL (SPY) SPDR S&P 500 ETF (SPY141220C155)	09/08/12	(500)	(4,299.75)	08/19/14	(500)	(4,299.75)	0.00	0.00	8.5995

Unreconciled difference from July

TOTAL OPTIONS

CASH

TOTAL STOCKS & OPTIONS

(503,362.44)

(1,022,906.94)

(45,546.01)

457,816.43

(987,827.91)

35,079.03